

sec news digest

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U.S. SECURITIES
EXCHANGE COMMISSION

ENFORCEMENT PROCEEDINGS

COMPLAINT FILED AGAINST MICHAEL NOVICK

The Commission announced that on November 18 it filed a complaint in the U.S. District Court for the District of Minnesota against Michael H. Novick (Novick) seeking an Order of Permanent Injunction and Disgorgement based on violations of the antifraud provisions of the federal securities laws. The complaint alleges that from at least June 1989 through approximately January 1990, Novick, the president and compliance officer of M.H. Novick and Co., a now-defunct broker-dealer, engaged in unauthorized trading of securities with a market value in excess of \$1,000,000 in accounts of at least four customers. The complaint further alleges that from at least June 1989 through January 1990, Novick misrepresented and omitted material facts in connection with the unauthorized trading in order to conceal his misconduct. Additionally, Novick is alleged to have fraudulently induced one customer into a series of options trades by falsely representing that prior options trades he made in that customer's account resulted in substantial gains. The complaint also alleges that Novick fraudulently pledged approximately \$225,000 worth of stock owned by another to a customer as purported security for an investment. [SEC v. Michael Herbert Novick, USDC, Minnesota, No. 393 Civil 782] (LR-13879)

ROBERT PALMER, JR. ENJOINED AND ORDERED TO PAY A CIVIL PENALTY

The Commission announced that on November 23 the Honorable Morton A. Brody of the United States District Court for the District of Maine entered an Order of Permanent Injunction by consent against Robert W. Palmer, Jr. (Palmer) of Waterville, Maine, enjoining Palmer from violating Sections 5(a) and (c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and ordering Palmer to pay a civil penalty of five thousand dollars (\$5,000).

In its complaint, the Commission charged that, in connection with the offer and sale of unregistered securities issued by an entity known as the European Kings Club, Palmer and seven other defendants participated in a "Ponzi" scheme in violation of the registration and antifraud provisions of the federal securities laws. More specifically, the Commission alleged that Palmer failed to disclose to investors, inter alia, that the European Kings Club was a Ponzi scheme or that the European Kings Club was the subject of several significant civil and criminal proceedings and investigations initiated by European law enforcement authorities.

The Commission's action is pending against the other defendants, each of which is temporarily restrained and subject to an asset freeze and other relief. [SEC v. European Kings Club, et al., C.A. No. 93-0258-B, D. ME.] (LR- 13882)

CIVIL ACTION AGAINST MIRAN SARKISSIAN AND NOCOLA ANTAKLI

The Commission on November 23 filed suit in the U.S. District Court for the Eastern District of Michigan against Miran P. Sarkissian, a former executive of J.P. Industries, Inc. (JPI) and Nicola M. Antakli for alleged insider trading in violation of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder. The Commission alleged that on or about January 19, 1990, Sarkissian, by virtue of his employment at JPI, obtained material nonpublic information about a proposed tender offer for JPI's common stock and for his personal benefit disclosed this information to Antakli. Antakli subsequently purchased JPI common stock before the information became public, realizing \$158,883.32 in trading profits.

Sarkissian and Antakli consented, without admitting or denying the allegations, to final judgments permanently enjoining them from violating Sections 10(b) and 14(e) and Rules 10b-5 and 14e-3. Antakli agreed to pay \$158,883.32 representing trading profits, \$57,329.56 in prejudgment interest, and \$100,000 as a civil penalty. In the proposed final judgment to which Sarkissian consented, the Court would not impose a penalty based upon Sarkissian's demonstrated inability to pay.

The Commission acknowledges the assistance of the New York Stock Exchange in investigating this matter. [SEC v. Miran P. Sarkissian and Nicola M. Antakli, 93CV-74946, DT, USDC/ED/MI] (LR-13886)

TRO ENTERED AGAINST PONZI SCHEME PURPORTEDLY SELLING PRIME BANK INSTRUMENTS

The Commission announced that on November 22 the Honorable Barbara J. Rothstein, U.S. District Judge for the Western District of Washington, entered a temporary restraining order, an asset freeze, and order prohibiting the transfer of assets and the destruction of documents, and other relief against Northstar Investors Trust, a Colorado Trust; SLM Corp. a Wyoming limited liability company; Cross & Associates, Inc., a Georgia corporation; Del Olson of Bellevue, Washington; Stephen T. Cross of Wheatland, Wyoming; Stewart W. Cross of Atlanta, Georgia; and James G. Hands III of Atlanta, Georgia. The complaint alleges violations by all defendants of the anti-fraud provisions of the securities laws, and also seeks preliminary and permanent injunctions, disgorgement, prejudgment interest and civil penalties. The Commission's complaint further alleges that the defendants raised more than \$3.2 million from about 20 investors in a Ponzi scheme purportedly purchasing and selling interests in Prime Bank instruments and that investors were promised returns of approximately 2 to 3 percent per month when, in fact, no prime bank instruments were purchased, and investor funds were diverted for other purposes. [SEC v. Northstar Investors Trust, et al., C93-1626, W.D. Wa.] (LR-13887)

INVESTMENT COMPANY ACT RELEASES

DEAN WITTER SELECT EQUITY TRUST, SELECTED OPPORTUNITIES SERIES

A notice has been issued giving interested persons until December 17, 1993 to request a hearing on an application filed by Dean Witter Select Equity Trust, Selected Opportunities Series on behalf of its series for an order under Section 6(c) of the Investment Company Act to permit each series to invest up to ten percent of its total assets in securities of issuers that derived more than fifteen percent of their gross revenues in their most recent fiscal year from securities related activities. (Rel. IC-19889 - November 22)

MFS LIFETIME INTERMEDIATE FUND
MFS MUNICIPAL BOND TRUST
MFS RESEARCH FUND

Notices have been issued giving interested persons until December 20, 1993 to request a hearing on applications filed by the above-named companies for orders under Section 8(f) of the Investment Company Act declaring that applicants have ceased to be investment companies. (Rel. IC-19890, IC-19891 and IC-19892 - November 22)

SELF-REGULATORY ORGANIZATIONS

UNLISTED TRADING PRIVILEGES SOUGHT

A notice has been issued giving interested persons until December 13, 1993 to comment on the application of the Cincinnati Stock Exchange for unlisted trading privileges in 37 issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. (Rel. 34-33225)

APPROVAL OF EXTENSION OF TEMPORARY REGISTRATION AS A CLEARING AGENCY

The Commission approved a request by the International Securities Clearing Corporation for an extension of its registration as a clearing agency under Section 17A of the Securities Exchange Act of 1934 until November 30, 1995. Publication of the proposal is expected in the Federal Register during the week of November 22. (Rel. 34-33233; International Series Rel. 614; File No. 600-20)

WITHDRAWAL OF PROPOSED RULE CHANGE

The Commission issued a notice that on November 4 The Options Clearing Corporation withdrew its proposed rule change (SR-OCC-89-13) relating to the procedures for netting cash settlement obligations. (Rel. 34-33234)

PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-AMEX-93-31) to adopt Rule 194 relating to specialist contact with listed companies and member organizations and to amend Rule 590(h) to give the Minor Floor Violation Disciplinary Committee the authority to impose fines for a violation of Rule 194. Publication of the proposal is expected in the Federal Register during the week of November 22. (Rel. 34-33235)

EXTENSION OF COMMENT PERIOD FOR PROPOSED RULE CHANGE

The Commission extended the time period for public comment on a proposed rule change filed by the National Association of Securities Dealers (SR-NASD-93-36) until December 23, 1993. The proposed rule change would amend Section 41 of the Code of Arbitration Procedure to permit parties in arbitration proceedings involving at least \$250,000 to make pre-hearing settlement offers before an arbitration hearing is set to begin. The proposed rule change would require parties who reject such settlement offers to pay the offering party's reasonable costs and attorneys fees if the award granted in the arbitration was not more favorable to the rejecting party than the settlement offer. The proposed rule change would expire after two years. Publication of the proposal is expected in the Federal Register during the week of November 29. (Rel. 34-33236)

SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS

INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of significant staff correspondence interpreting the new Section 16 rules. Copies of the letter may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>Letter</u>	<u>Availability Date</u>	<u>Subject</u>
Bioject Medical Technologies Inc.	November 24, 1993	Rule 16b-3(c)(2)(i)

SECURITIES ACT REGISTRATIONS

S-3 MORGAN STANLEY GROUP INC /DE/, 1251 AVE OF THE AMERICAS, NEW YORK, NY
10020 (212) 703-4000 - STRAIGHT BONDS. (FILE 33-51067 - NOV. 17) (BR. 11)

REGISTRATIONS CONT.

- S-3 BALLY MANUFACTURING CORP, 8700 W BRYN MAWR AVE, CHICAGO, IL 60631
(312) 399-1300 - 229,286 (\$2,063,574) COMMON STOCK. (FILE 33-51069 - NOV. 17) (BR. 12)

- S-8 UNION PACIFIC CORP, MARTIN TOMER, EIGHTH AND EATON AVES, BETHLEHEM, PA 18018 (215) 861-3200 - 8,000,000 (\$499,500,000) COMMON STOCK. (FILE 33-51071 - NOV. 17) (BR. 5)

- S-3 NIAGARA MOHAWK POWER CORP /NY/, 300 ERIE BLVD W, SYRACUSE, NY 13202 (315) 474-1511 (FILE 33-51073 - NOV. 17) (BR. 7)

- S-3 FORD MOTOR CREDIT CO, THE AMERICAN RD, PO BOX 6044, DEARBORN, MI 48121 (313) 322-3000 - 3,000,000,000 (\$3,000,000,000) STRAIGHT BONDS. (FILE 33-51075 - NOV. 17) (BR. 12)

- N-2 ITALY FUND INC, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (617) 573-1332 - 3,167,450 (\$29,892,810) COMMON STOCK. (FILE 33-51077 - NOV. 17) (BR. 18)

- S-3 COUNTRYWIDE MORTGAGE INVESTMENTS INC /DE/, 155 N LAKE AVE, PASADENA, CA 91109 (818) 304-8400 - 8,050,000 (\$71,443,750) COMMON STOCK. (FILE 33-51079 - NOV. 17) (BR. 6)

- S-8 NATIONAL STEEL CORP, 4100 EDISON LAKES PARKWAY, NISHANAWKA, IN 46545 (219) 273-7000 - 400,000 (\$40,800,000) COMMON STOCK. (FILE 33-51081 - NOV. 18) (BR. 6)

- S-8 NATIONAL STEEL CORP, 4100 EDISON LAKES PARKWAY, NISHANAWKA, IN 46545 (219) 273-7000 - 100,000 (\$1,200,000) COMMON STOCK. (FILE 33-51083 - NOV. 17) (BR. 6)

- SB-2 CARACO PHARMACEUTICAL LABORATORIES LTD, 1150 ELIJAH MCCOY DR, DETROIT, MI 48202 (313) 871-8400 - 1,380,000 (\$13,455,000) COMMON STOCK. 60,000 (\$120) WARRANTS, OPTIONS OR RIGHTS. 120,000 (\$1,404,000) COMMON STOCK. UNDERWRITER: FIRST EQUITY CORP. (FILE 33-71398-C - NOV. 08) (BR. 4 - NEW ISSUE)

- S-8 MICROCOM INC, 500 RIVER RIDGE DR, NORWOOD, MA 02062 (617) 551-1000 - 100,000 (\$275,000) COMMON STOCK. (FILE 33-71588 - NOV. 15) (BR. 7)

- S-1 IT NETWORK INC, 1000 ONE GLEN LAKES, 8140 WALNUT HILL LANE, DALLAS, TX 75231 (214) 369-8491 - 2,683,333 (\$40,249,995) COMMON STOCK. (FILE 33-71612 - NOV. 15) (BR. 7 - NEW ISSUE)

- S-1 LUCOR INC /DE/, 902 CLINT MOORE RD, BLDG 4 STE 100, BOCA RATON, FL 33487 (407) 997-5601 - 3,544,585 (\$7,219,170) COMMON STOCK. (FILE 33-71630 - NOV. 15) (BR. 4 - NEW ISSUE)

- S-1 CAPITAL PREFERRED YIELD FUND III L P, 7175 W JEFFERSON AVE STE 3000, LAKEWOOD, CO 80235 (303) 980-1000 - 200,000 (\$50,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-71646 - NOV. 16) (BR. 5 - NEW ISSUE)

- S-1 FIRST FORTIS LIFE INSURANCE CO, 220 SALINA MEADOWS PARKWAY, SYRACUSE, NY 13220 (315) 451-0066 - \$3,000,000 VARIABLE ANNUITY ISSUES. UNDERWRITER: FORTIS INVESTORS INC. (FILE 33-71690 - NOV. 15) (BR. 20 - NEW ISSUE)

- S-3 STERLING SOFTWARE INC, 8080 N CENTRAL EXPWY STE 1100, DALLAS, TX 75206 (214) 891-8600 - 2,529,542 (\$81,103,440.38) COMMON STOCK. (FILE 33-71706 - NOV. 16) (BR. 10)

REGISTRATIONS CONT.

- S-8 HAYES WHEELS INTERNATIONAL INC, 38481 MURON RIVER DR, ROMULUS, MI 48174
(313) 941-2000 - 250,000 (\$6,125,000) COMMON STOCK. (FILE 33-71708 -
NOV. 16) (BR. 4)
- S-1 MARINER HEALTH GROUP INC, 47 WATER ST, MYSTIC, CT 06355 (203) 572-7744
- 3,795,000 (\$65,938,125) COMMON STOCK. UNDERWRITER:
BROWN ALEX & SONS INC, COHEN & CO, MANDRECHT & QUIST INC. (FILE 33-71710 -
NOV. 16) (BR. 6)
- SB-2 FIRST LEHIGH CORP, 1620 POND ROAD, ALLENTOWN, PA 18104 (215) 398-6660 -
1,500,000 (\$7,500,000) PREFERRED STOCK. (FILE 33-71712 - NOV. 16) (BR. 1)
- F-2 CRA LTD, 31ST FL, 55 COLLINS ST, MELBOURNE VICTORIA 3000, CS
(613) 664-8888 - 300,000,000 (\$300,000,000)
FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-71714 - NOV. 16) (BR. 1)
- S-8 INTERNATIONAL IMAGING MATERIALS INC /DE/, 310 CONMERCE DR, AMHERST, NY
14228 (716) 691-6333 - 2,710,120 (\$25,285,419.60) COMMON STOCK. (FILE
33-71716 - NOV. 16) (BR. 1)
- S-3 IMPERIAL CREDIT INDUSTRIES INC, 20371 IRVINE AVE, SANTA ANA HEIGHTS, CA
92707 (714) 556-0122 - 75,000,000 (\$75,000,000) STRAIGHT BONDS.
UNDERWRITER: LENNAN BROTHERS, MONTGOMERY SECURITIES. (FILE 33-71718 -
NOV. 16) (BR. 12)
- M-2 GLOBAL PRIVATIZATION FUND INC, 1345 AVE OF THE AMERICAS, NEW YORK, NY
10105 (212) 969-1000 - 4,600,000 (\$69,000,000) COMMON STOCK. UNDERWRITER:
KIDDER PEABODY & CO. (FILE 33-71720 - NOV. 16) (BR. 16 - NEW ISSUE)
- S-8 INTERNATIONAL YOGURT CO, 5858 NE 87TH AVE, PORTLAND, OR 97220
(503) 256-3754 - 100,000 (\$825,000) COMMON STOCK. (FILE 33-71722 -
NOV. 15) (BR. 4)
- S-8 INTERNATIONAL YOGURT CO, 5858 NE 87TH AVE, PORTLAND, OR 97220
(503) 256-3754 - 50,000 (\$412,500) COMMON STOCK. (FILE 33-71724 - NOV. 16)
(BR. 4)
- S-8 F&E RESOURCE SYSTEMS TECHNOLOGY INC, 5800 CHEMICAL RD, BALTIMORE, MD
21226 (410) 354-3000 - 500,000 (\$500,000) COMMON STOCK. (FILE 33-71726 -
NOV. 16) (BR. 9)
- S-8 AMERICA ONLINE INC, 8619 WESTWOOD CENTER DR,
C/O LENNERT J LEADER, C.F.O., VIENNA, VA 22182 (703) 448-8700 - 1,150,000
(\$72,737,500) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC,
LAZARD FRERES & CO, MORGAN STANLEY & CO INC, ROBERTSON STEPHENS & CO.
(FILE 33-71728 - NOV. 16) (BR. 9)
- S-8 THOMAS GROUP INC, 5215 N OCONNOR BLVD SUITE 2500, IRVING, TX 75039
(214) 869-3400 - 2,566,546 (\$30,239,647) COMMON STOCK. (FILE 33-71752 -
NOV. 16) (BR. 5)
- S-8 KYSOR INDUSTRIAL CORP/MI, ONE MADISON AVE, CADILLAC, MI 49601
(616) 779-2200 - 1,000,000 (\$16,440,000) COMMON STOCK. (FILE 33-71758 -
NOV. 16) (BR. 10)
- S-1 MISSISSIPPI VALLEY BANCSHARES INC, 700 CORPORATE PARK DR, ST LOUIS, MO
63105 (314) 268-2580 - 224,400 (\$1,934,328) COMMON STOCK. (FILE 33-71760 -
NOV. 16) (BR. 1)

REGISTRATIONS CONT.

- S-8 TELTRONICS INC, 2150 WHITEFIELD INDUSTRIAL WAY, SARASOTA, FL 34243
(813) 753-5000 - 1,000,000 (\$1,000,000) COMMON STOCK. (FILE 33-71762 -
NOV. 16) (BR. 7)
- S-8 SKY SCIENTIFIC INC, 1515 N FEDERAL HWY STE 310, BOCA RATON, FL 33432
(407) 362-9494 - 40,000 (\$30,000) COMMON STOCK. (FILE 33-71764 - NOV. 16)
(BR. 8)
- S-8 STROBER ORGANIZATION INC, 550 HAMILTON AVE, BROOKLYN, NY 11232
(718) 832-1212 - 60,000 (\$270,000) COMMON STOCK. (FILE 33-71766 - NOV. 16)
(BR. 10)
- S-8 STROBER ORGANIZATION INC, 550 HAMILTON AVE, BROOKLYN, NY 11232
(718) 832-1212 - 210,000 (\$945,000) COMMON STOCK. (FILE 33-71768 -
NOV. 16) (BR. 10)
- S-8 TELTRONICS INC, 2150 WHITEFIELD INDUSTRIAL WAY, SARASOTA, FL 34243
(813) 753-5000 - 1,000,000 (\$1,250,000) COMMON STOCK. (FILE 33-71770 -
NOV. 16) (BR. 7)
- S-8 GROW BIZ INTERNATIONAL INC, 4200 DAHLBERG DR, GOLDEN VALLEY, MN 55422
(612) 520-8402 - 500,000 (\$8,437,500) COMMON STOCK. (FILE 33-71772 -
NOV. 16) (BR. 7)
- S-3 CAPITOL BANCORP LTD, 200 WASHINGTON SQ N, 200 WASHINGTON SQ N, LANSING,
MI 48933 (517) 487-6555 - 100,000 (\$925,000) COMMON STOCK. (FILE 33-71774
- NOV. 16) (BR. 2)
- S-8 D M MANAGEMENT CO, 25 RECREATION PARK DR, STE 200, NINGHAM, MA 02043
(617) 740-2718 - 200,000 (\$1,932,000) COMMON STOCK. (FILE 33-71776 -
NOV. 16) (BR. 2)
- S-8 CLIFFS DRILLING CO, 300 CITICORP CENTER, 1200 SMITH ST, HOUSTON, TX
77002 (713) 651-9426 - 110,000 (\$1,416,250) COMMON STOCK. (FILE 33-71778 -
NOV. 16) (BR. 4)
- S-8 THERMO VOLTEK CORP, 27 RADIO CIRCLE DR, MT KISCO, NY 10549
(914) 241-1300 - 399,999 (\$4,149,989.63) COMMON STOCK. (FILE 33-71780 -
NOV. 16) (BR. 3)
- S-8 THERMO VOLTEK CORP, 27 RADIO CIRCLE DR, MT KISCO, NY 10549
(914) 241-1300 - 199,999 (\$2,074,989.63) COMMON STOCK. (FILE 33-71782 -
NOV. 16) (BR. 3)
- S-8 THERMO VOLTEK CORP, 27 RADIO CIRCLE DR, MT KISCO, NY 10549
(914) 241-1300 - 37,500 (\$389,062.50) COMMON STOCK. (FILE 33-71784 -
NOV. 16) (BR. 3)
- S-8 INATRON INC, 389 OYSTER POINT BLVD, SOUTH SAN FRANCISCO, CA 94080
(415) 583-9964 - 1,000,000 (\$425,000) COMMON STOCK. (FILE 33-71786 -
NOV. 16) (BR. 8)
- S-8 TITAN WHEEL INTERNATIONAL INC, 2701 SPRUCE ST, QUINCY, IL 62301
(217) 228-6011 - 292,964 (\$204,195.91) COMMON STOCK. (FILE 33-71788 -
NOV. 16) (BR. 4)
- S-1 MAINSTREAM DATA INC /DE/, 420 CHIPETA WAY, STE 200, SALT LAKE CITY, UT
84108 (801) 584-2800 - 2,300,000 (\$25,300,000) COMMON STOCK. (FILE
33-71790 - NOV. 17) (BR. 8 - NEW ISSUE)

REGISTRATIONS CONT.

- S-8 BARRETT BUSINESS SERVICES INC, 4724 S W MACADAM AVE, PORTLAND, OR 97201
(503) 243-2444 - 250,000 (\$3,217,062.45) COMMON STOCK. (FILE 33-71792 - NOV. 16) (BR. 6)
- S-8 SANTA CRUZ OPERATION INC, 400 ENCINAL STREET, SANTA CRUZ, CA 95061
(408) 425-7222 - 6,969,174 (\$56,978,961.15) COMMON STOCK. (FILE 33-71794 - NOV. 16) (BR. 10)
- S-8 KENTUCKY ENTERPRISE BANCORP INC, 800 MONMOUTH ST, NEWPORT, KY 41071 - 68,000 (\$680,000) COMMON STOCK. (FILE 33-71804 - NOV. 16) (BR. 2)
- S-8 COMMUNITY BANCORP INC/NY, 200 HILL ST, RHINEBECK, NY 12572
(914) 876-7041 - 715,838 (\$10,911,272.58) COMMON STOCK. (FILE 33-71806 - NOV. 16) (BR. 2)
- S-8 COVENTRY CORP, 53 CENTURY BLVD STE 250, NASHVILLE, TN 37214
(615) 391-2440 - 353,230 (\$14,217,507.50) COMMON STOCK. (FILE 33-71808 - NOV. 16) (BR. 6)
- F-6 GRUPO TELEVISIA S A DE C V /ADR/, TWO RECTOR ST, NEW YORK, NY 10006
(212) 978-5009 - 200,000,000 (\$10,000,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71810 - NOV. 16)
- S-3 PERSEPTIVE BIOSYSTEMS INC, 38 SIDNEY ST, CAMBRIDGE, MA 02139
(617) 621-1787 - 5,290,000 (\$124,632,400) COMMON STOCK. (FILE 33-71814 - NOV. 16) (BR. 8)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ALASKA APOLLO RESOURCES INC				X					X	09/17/93	
AMAX GOLD INC	DE	X				X	X			11/15/93	
AMERICAN EXP REC FIN COR AME EXP MAS TR	DE					X	X			07/07/93	

K REPORTS CONT.

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AMERICAN EXP REC FIN COR AHE EXP MAS TR	DE				X	X				07/07/93	
AMERICAN PACIFIC CORP	DE				X					11/08/93	
AMERICAN TELEPHONE & TELEGRAPH CO	NY					X				08/16/93	AMEND
ATC II INC /DE/	DE					X				06/28/93	AMEND
ATOCCA CORP	WA					X				10/08/93	AMEND
BANK ONE ARIZONA NA /NY/								X		07/12/93	
BARTON INDUSTRIES INC	OK			X						11/04/93	
BEEPER PLUS INC	NV				X					10/26/93	
BONNEVILLE PACIFIC CORP	DE			X		X				11/15/93	
CALIFORNIA FEDERAL BANK	CA				X	X				10/25/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP IX	IL		X			X				10/31/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP X	IL		X			X				10/31/93	
CHANEL I LTD	NV	X	X		X	X	X			11/04/93	
CHEMICAL MORTGAGE SEC INC MULTI CL NOR P						X	X			08/25/93	
CNIRON CORP	DE				X	X				11/16/93	
CHURCHILL TECHNOLOGY INC	CO		X							01/19/93	
CIT GROUP SECURITIZATION CORP	DE				X	X				11/15/93	
CLARK EQUIPMENT CO /DE/	DE				X					11/16/93	
COLUMBIA LEASE INCOME FUND II-B LP	DE			X						11/09/93	
CSA INCOME FUND LIMITED PARTNERSHIP III	MA				X	X				11/16/93	
CUMBS INC	DE				X	X				10/25/93	
CUMBS INC 1993-1 MORT PASS THRO CERT SER					X	X				10/25/93	
CUMBS INC 1993-2 MORT PASS THRO CERT SER					X	X				10/25/93	
CUMBS INC 1993-3 MORT PASS THRO CERT SER					X	X				10/25/93	
CUMBS INC 1993-4 MORT PASS THRO CERT SER					X	X				10/25/93	
DAKOTA MINING CORP					X					11/11/93	
DEERE & CO	DE				X					11/17/93	
DEERE JOHN CAPITAL CORP	DE				X					11/17/93	
DIVERSIFAX INC	DE	X	X		X	X				11/08/93	
DLJ MORTGAGE ACCEPTANCE CORP	DE	X				X				10/27/93	
ECI TELECOM LTD					X	X				11/08/93	
EL PASO REFINERY LP	DE				X	X				11/02/93	
EMC CORP	MA				X	X				11/19/93	
EQCC ASSET BACKED CORP	DE					X				11/10/93	
EQCC RECEIVABLES CORP	DE					X				11/10/93	
FAIRFIELD COMMUNITIES INC	DE				X	X				09/29/93	
FIRST GOLDEN BANCORPORATION	DE				X					11/16/93	
FRANKLIN ADVANTAGE REAL ESTATE INCOME FU	CA				X					11/12/93	
FUND AMERICA INVESTORS CORP	DE	X				X				10/29/93	
GLENWAY FINANCIAL CORP	DE				X	X				11/04/93	
GRUBB & ELLIS REALTY INCOME TRUST LIQUID	CA				X	X				11/12/93	AMEND
HONE STATE HOLDINGS INC	DE				X	X				11/08/93	
IDF INTERNATIONAL INC	NY	X			X	X				11/03/93	
ILLINOIS POWER CO	IL				X	X				10/15/93	
ILX INC/AZ/	AZ	X				X				11/10/93	
INFINITY BROADCASTING CORP	DE				X	X				11/04/93	
INTERNATIONAL LEASE FINANCE CORP	CA					X				11/19/93	
JCP MASTER CREDIT CARD TRUST /DE/	DE					X				11/15/93	
JCP RECEIVABLES INC	DE					X				11/15/93	
JNB INCOME PROPERTIES LTD VIII	IL	X			X					11/03/93	
JUDICATE INC	DE				X	X				11/03/93	
KEARNY STREET REAL ESTATE CO LP	DE				X	X				10/31/93	
KENAMETAL INC	PA					X				08/04/93	AMEND
KNOWLEDGEWARE INC	CA				X					11/09/93	
LACLEDE GAS CO	MO				X					11/18/93	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
MBNA AMERICA BK MAT ASSOC MBNA MAST CRED								X			11/12/93	
MEDI DATA INTERNATIONAL INC	NY	X							X		11/03/93	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE		X						X		10/29/93	
MERRY LAND & INVESTMENT CO INC	GA		X						X		11/11/93	
MG PRODUCTS INC	FL		X		X	X					10/29/93	
MICRO HEALTHSYSTEMS INC	DE								X		08/31/93AMEND	
MIDWEST FEDERAL FINANCIAL CORP	WI					X	X				10/21/93	
MONEY STORE INC THS HOME EQ LO AS BACKED	NJ										07/15/93	
MONEY STORE INC THS HOME EQ LO AS BACKED	NJ										07/15/93	
MONEY STORE INC THS HOME EQ LOAN ASSET B	NJ										07/15/93	
NEW ENGLAND TELEPHONE & TELEGRAPH CO	NY							X			11/15/93	
NEW PLAN REALTY TRUST	MA										11/17/93	
NEW YORK TELEPHONE CO	NY							X			11/10/93	
NEW YORK TELEPHONE CO	NY								X		11/16/93	
NORTHLAND CRANBERRIES INC /WI/	WI								X		09/13/93	
NVR LP	VA						X	X			11/10/93	
NYNEX CORP	DE							X			11/10/93	
ONBANCORP INC	DE										08/31/93AMEND	
OP TECH ENVIRONMENTAL SERVICES INC	DE		X								10/25/93	
OPTICAL DATA SYSTEMS INC	TX							X			10/27/93	
OXIGENE INC							X	X			11/08/93	
PACIFIC INLAND BANCORP	CA				X						09/15/93AMEND	
PACIFIC TELECOM INC	WA					X	X				11/19/93	
PACIFICORP /OR/	OR				X						11/19/93	
PAINEWEBBER MORT ACCEP CORP IV MORT PAS			X						X		10/29/93	
PAINEWEBBER MORT ACCEP CORP IV MORT PASS			X						X		10/28/93	
PROTEIN DESIGN LABS INC/DE	DE					X	X				10/28/93	
PSI ENERGY INC	IN								X		11/19/93	
PSI RESOURCES INC	IN								X		11/19/93	
PULTE CORP	NI					X	X				11/17/93	
REPUBLIC AUTOMOTIVE PARTS INC	DE								X		09/27/93AMEND	
RESEARCH INDUSTRIES CORP	UT				X		X				11/04/93	
RESOLUTION TRUST CORP COMM NOR PAS THR C								X	X		09/27/93	
RESOLUTION TRUST CORP COMM MORT PAS THR								X	X		09/27/93	
SATCOM TECHNOLOGY CORP	DE					X	X				11/12/93	
SECURITY PACIFIC ACCEPTANCE CORP	DE								X		10/31/93	
SIERRA HOME SERVICE COMPANIES INC								X	X		11/10/93	
SIGNET CREDIT CARD TRUST 1990-1	NY							X	X		11/16/93	
SOCIETY CORP	OH		X								11/19/93	
SOURCE ONE MORTGAGE SERVICES CORP	DE								X		10/26/93	
SOURCE ONE MORTGAGE SERVICES CORP	DE					X	X				11/11/93	
STRIKER INDUSTRIES INC	DE					X					11/15/93	
STRUCTURAL INSTRUMENTATION INC	DE					X	X				11/01/93	
SYSTEM INDUSTRIES INC	DE			X				X			11/12/93	
SYSTEMS TECHNOLOGY ASSOCIATES INC	FL						X				11/16/93	
TFG HOME LOAN TRUST 1990-1	CA						X	X			11/15/93	
TIPPERARY CORP	TX		X						X		08/31/93AMEND	
TOROTEL INC	MO								X		09/15/93AMEND	
TOYOTA AUTO RECEIVABLES 1993-A GRANTOR T	CA					X	X				11/15/93	
TRANSAMERICA CORP	DE								X		11/19/93	
TRANSAMERICA FINANCE CORP	DE		X								11/19/93	
UNILAB CORP	DE						X	X			11/10/93	
UNION TEXAS PETROLEUM HOLDINGS INC	DE						X	X			11/19/93	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
UNISYS RECEIVABLES INC	DE					X	X			10/31/93	
UNITED AIR LINES INC	DE							X		11/17/93	
UNITED GAMING INC	NV					X	X			11/05/93	
USBANCORP INC /PA/	PA					X				11/10/93	
VIRCO MFG CORPORATION	DE				X		X			11/10/93	
WHOLE FOODS MARKET INC	TX							X		09/10/93	
WITTER DEAN DISCOVER & CO	DE					X	X			11/18/93	
WM BANCORP	MD							X		11/12/93	
WORLD OMNI 1993-A GRANTOR TRUST	FL	NO	ITEMS							11/15/93	
XYTRONIX INC	DE					X	X			11/16/93	
ZENITH ELECTRONICS CORP	DE					X	X			11/19/93	
ADAGE INC	PA		X			X				11/15/93	
ADVANCED MEDICAL PRODUCTS INC	DE							X		11/17/93ANEND	
ALABAMA POWER CO	AL					X	X			11/16/93	
ALAMO GROUP INC	DE		X							11/07/93	
ALLIANCE CAPITAL MANAGEMENT LP	DE					X	X			11/17/93	
ALLIED CLINICAL LABORATORIES INC	DE					X	X			11/15/93	
ALPHA BETA TECHNOLOGY INC	MA					X	X			11/15/93	
ALPHAREL INC /CA/	CA							X		09/24/93ANEND	
AMERICAN BANCSHARES INC/NC			X			X				11/08/93	
AMERICAN BRANDS INC /DE/	DE					X				11/23/93	
AMERICAN EXP REC FIN COR AME EXP MAS TR	DE					X	X			11/09/93	
AMERICAN EXP REC FIN COR AME EXP MAS TR	DE					X	X			11/09/93	
AMERICAN EXP REC FIN COR AME EXP MAS TR						X	X			11/09/93	
AMERICAN MEDICARE CORP	DE						X			11/15/93	
ANGELES PARTICIPATING MORTGAGE TRUST	CA		X			X	X			11/03/93	
APPALACHIAN OIL & GAS CO INC	UT				X	X	X	X		11/01/93	
ARLINGTON REALTY INVESTORS	TX	X				X	X			11/10/03	
ARRHYTHMIA RESEARCH TECHNOLOGY INC /DE/	DE		X					X		11/19/93	
ARTAGRAPH REPRODUCTION TECHNOLOGY INC						X		X		11/08/93	
ATEL CASH DISTRIBUTION FUND V L P	CA		X					X		11/15/93	
AUTOMATED TELEPHONE MANAGEMENT SYSTEMS I	TX					X		X		10/21/93ANEND	
BANK OF BOSTON CORP	MA						X	X		11/22/93	
BARD C R INC /NJ/	NJ							X		10/08/93ANEND	
BARD C R INC /NJ/	NJ							X		10/15/93ANEND	
BARD C R INC /NJ/	NJ							X		10/18/93ANEND	
BARD C R INC /NJ/	NJ							X		10/19/93ANEND	
BARD C R INC /NJ/	NJ							X		11/15/93ANEND	
BIOCONTROL TECHNOLOGY INC	PA						X	X		11/16/93	
BIOPHARMACEUTICS INC	DE							X		11/03/93	
BLACK & DECKER CORP	MD							X	X	11/22/93	
BLOCKBUSTER ENTERTAINMENT CORP	DE		X					X		11/19/93	
BNY MASTER CREDIT CARD TRUST	DE							X	X	11/15/93	
BYTEX CORP	DE	X								11/02/93	
CAMBRIDGE BIOTECH CORP	DE						X			10/28/93ANEND	
CARLYLE REAL ESTATE LTD PARTNERSHIP XVI	IL		X					X		11/02/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP XVII	IL	NO	ITEMS							11/02/93	
CASE RECEIVABLES INC	DE							X		11/16/93	
CELTRIX PHARMACEUTICALS INC	DE						X	X		11/18/93	
CENTENNIAL CELLULAR CORP	DE							X		11/04/93	
CHEMIFIX TECHNOLOGIES INC	DE					X	X			11/12/93	
CHRYSLER FINANCIAL CORP	MI							X	X	11/22/93	
CIGNA CORP	DE	X								11/19/93	

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CINCINNATI MILACRON INC /DE/	DE	X								11/08/93	
COASTAL HEALTHCARE GROUP INC	DE					X	X			07/01/93	AMEND
COMMERCIAL FEDERAL CORP	NE			X	X					10/28/93	
COMPUTER DEVICES INC /ND	ND			X						11/18/93	
COMPUTERVISION CORP /DE/	DE			X	X					11/17/93	
CONSTOCK RESOURCES INC	NV	X				X				11/17/93	
CONVERSE TECHNOLOGY INC/NY/	NY			X						11/18/93	
CONCORD CAMERA CORP	NJ			X						11/15/93	
CONMED CORP	NY			X	X					11/01/93	
CONTINUUM CD INC	DE					X				09/30/93	AMEND
CONTINUUM CD INC	DE			X	X					11/15/93	
CORNERSTONE NATURAL GAS INC	DE	X	X	X		X				11/02/93	
CPC INTERNATIONAL INC	DE					X	X			11/22/93	
CMBS INC 1993-7 MORT PASS THRO CERT SER						X	X			10/01/93	
DAM TECHNOLOGIES INC /UT	UT					X				11/15/93	
DISCOVERY TECHNOLOGIES INC /KS/	KS	X								11/08/93	
DIVERSIFIED FOOD MANUFACTURERS LTD	DE	X	X				X			03/27/93	
DOLLAR TIME GROUP INC	NV	X	X			X	X			10/21/93	
DR STRUCTURED FINANCE CORP	DE					X	X			11/04/93	
EAGLE Picher INDUSTRIES INC	ON	X								11/19/93	
ELECTRONIC ASSOCIATES INC	NJ					X	X			11/15/93	
ELJER INDUSTRIES INC	DE					X	X			11/17/93	
ENCON SYSTEMS INC	DE		X				X			11/03/93	
ENVIROFIL INC	DE		X				X			11/05/93	
EZCONY INTERAMERICA INC	R1			X			X			09/29/93	AMEND
EZCONY INTERAMERICA INC	R1						X			09/29/93	AMEND
FBS MORTGAGE CORP	NV									11/18/93	
FBS MORTGAGE CORP MORT PASS THRO CERT SE	NV									11/18/93	
FBS MORTGAGE CORP MORTGAGE PAS THR CERT	NV									11/18/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NE									11/18/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV									11/18/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV									11/18/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV									11/18/93	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV									11/18/93	
FCC NATIONAL BANK						X	X			11/05/93	
FGIC SECURITIES PURCHASE INC	DE					X	X			11/17/93	
FIRST CHICAGO CORP	DE					X				11/22/93	
FLEETWOOD CREDIT 1993-B GRANTOR TRUST	CA				X	X				11/15/93	
FRANKLIN REAL ESTATE INCOME FUND	CA					X				11/12/93	
FRANKLIN SELECT REAL ESTATE INCOME FUND	CA									11/12/93	
GENESIS INVESTMENT GROUP INC	AZ	X								11/11/93	
GIANT INDUSTRIES INC	DE				X	X				11/18/93	
GLACIER HOLDINGS INC	CO		X				X			09/08/93	AMEND
GRASSO CORPORATION	NV	X	X							10/29/93	
GROW GROUP INC	NY				X	X				11/15/93	
GULL LABORATORIES INC /UT/	UT				X		X			11/15/93	
GYRODYNE COMPANY OF AMERICA INC	NY					X				10/29/93	
NACH CO	DE	X					X			08/04/93	
NAL INC /NI/	NI				X	X				11/08/93	
HARKEN ENERGY CORP	DE				X					11/19/93	
HCA HOSPITAL CORPORATION OF AMERICA	DE				X	X				11/17/93	
IDB COMMUNICATIONS GROUP INC	DE						X			11/12/93	
ILX INC/AZ/	AZ	X								11/19/93	
INNOVATIVE SHIPPING SYSTEMS INC /FL/	FL		X				X			08/03/93	