

# SEC NEWS DIGEST

Issue 96-185

September 27, 1996

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## ENFORCEMENT PROCEEDINGS

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### ADMINISTRATIVE AND CEASE-DESIST-PROCEEDINGS INSTITUTED AGAINST RICHARDT-ALYN & CO., RICHARD FEINBERG AND ALAN FEINBERG

The Commission has issued an Order Instituting Proceedings against Richardt-Alyn & Co. (Richardt-Alyn), a registered broker-dealer, and Richard B. Feinberg (R. Feinberg) and Alan S. Feinberg (A. Feinberg), the principals of Richardt-Alyn. The Order alleges that Richardt-Alyn, R. Feinberg and A. Feinberg executed approximately 184 over-the-counter (OTC) trades on behalf of customers at a certain price, and misrepresented to them that they had executed the trade at a price less favorable to its customers than the actual price of the trade. The Order also alleges that the customers paid or received the less favorable price for the trade and the respondents retained the difference between the actual price of the trade and the price customers paid or received as trading profits; the trading profits ranged between 1/8 and 1/16 per share and the respondents earned a total of approximately \$18,000 in undisclosed trading profits; and the respondents prepared brokerage documents that reflected different and less favorable prices than the actual execution price for the OTC trades described above. The Order seeks appropriate remedial action and the imposition of a cease-and-desist order. (Rel. 34-37727)

### ADMINISTRATIVE PROCEEDINGS ORDERED AGAINST MICHAEL ROBERTSON AND M.C. ROBERTSON & ASSOCIATES, INC., FORMER INVESTMENT ADVISER TO THE EMPLOYEES' RETIREMENT FUND FOR THE CITY OF FORT WORTH, TEXAS

The Commission announced on September 26 public administrative proceedings were instituted against Michael C. Robertson (Robertson) and M.C. Robertson & Associates, Inc. (MCR & Associates) alleging willful violations of the antifraud provisions of the Securities Act of 1933, the Securities Exchange Act of 1934, and the Investment Advisers Act of 1940. Robertson was, through June 1994, the investment adviser to the Employees' Retirement Fund for the City of Fort Worth (Fort Worth ERF), a municipal retirement system providing disability and retirement benefits to Fort Worth city employees, and is the investment adviser to the Oklahoma Police Pension Retirement System (Oklahoma Police PRS), a pension system benefiting Oklahoma law enforcement personnel.

The Order Instituting Proceedings alleges that from in or about July 1989 through June 1994, Robertson and MCR & Associates made materially false and misleading statements and omissions regarding his receipt of compensation from broker-dealers and mutual funds in connection with his activities as an investment adviser. The Order alleges that Robertson and MCR & Associates received approximately \$721,460.93 in undisclosed service fees paid by three mutual funds in the Fort Worth ERF's portfolio. The Order further alleges that, from October 1991 through July 1994, Robertson and MCR & Associates received undisclosed commission payments totalling \$13,863.72 in connection with the Fort Worth ERF commission recapture program. Finally, the Order alleges that, from December 1991 through July 1994, Robertson and MCR & Associates received undisclosed commission payments totalling \$48,205.12 in connection the Oklahoma Police PRS commission recapture program.

A hearing will be scheduled to determine whether the allegations are true, and if so, whether disgorgement, plus prejudgment interest, civil penalties, a cease-and-desist order, or other remedial sanctions are appropriate. (Rel. Nos. 33-7337; 34-37729; IA-1581; IC-22250)

#### COMMISSION ISSUES CEASE-AND-DESIST ORDER AGAINST ADVANCED MICRO DEVICES, INC.

The Commission entered an Order pursuant to Section 21C of the Securities Exchange Act (Exchange Act), ordering Advanced Micro Devices (AMD) to cease and desist from committing or causing violations of certain antifraud and reporting provisions of the Exchange Act. AMD consented to the Order without admitting or denying the Commission's findings.

The Order finds that AMD made inaccurate and misleading statements to the public concerning its development in a "dean room" of a 486 microprocessor which would be fully compatible with Intel Corporation's (Intel) 486 microprocessor. Beginning in June 1992 and continuing through August 1993, AMD led the public to believe that it was independently designing the microcode for its 486 microprocessor without access to Intel microcode when, in fact, AMD had provided its engineers in the "clean room" with Intel's copyrighted 386 microcode to accelerate the Company's development efforts. AMD made these statements via press releases and filings with the Commission, as well as during conferences with industry analysts. (Rel. 34-37730; AAE Rel. 826)

#### PUBLIC ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST RALPH SYLVESTER, DONALD KRUEGER AND JOHN HAWVER

The Commission announced the issuance of an order instituting public administrative proceedings pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934. The Order alleges that H. Ralph Sylvester, Donald R. Krueger and John C. Hawver willfully violated certain registration and antifraud provisions of the federal securities laws in the offer and sale of over \$1.7 million

worth of Canadian Trade Bank, Ltd. (CTB) "certificates of deposit" (CDs). In the offer and sale of the CDs, the respondents made material misrepresentations and failed to disclose material facts to investors regarding, among other things, the existence of a legitimate bank, the safety of the investment and the use of proceeds. As a result of their scheme, Sylvester, Krueger and Hawver personally profited by at least \$24,000, \$11,000 and \$11,000, respectively. The Order alleges further that on August 7, 1996, a U.S. District Court permanently enjoined the respondents from future violations of certain registration and antifraud provisions of the federal securities laws. A public hearing will be held to determine whether the allegations against the respondents are true, to offer them an opportunity to establish any defenses to such allegations and to determine what remedial action, if any, is appropriate. (Rel. 34-37733)

#### SECURITIES FRAUD COMPLAINT FILED AGAINST CHRISTOPHER BAGDASARIAN AND SAM WHITE

On September 26, the Commission filed a securities fraud complaint against Christopher K. Bagdasarian and Sam L. White in the United States District Court for the Southern District of New York. The Commission's complaint alleges that, in connection with Normandy America Inc.'s initial public offering, Bagdasarian, Normandy's CEO, and White, then a partner at Deloitte & Touche LLP, fabricated Bagdasarian's investment track record which was included in Normandy's registration statement, and which was critical to Normandy's offering. The complaint alleges that Bagdasarian misrepresented that he managed up to \$731.3 million and had a ten-year average annual rate of return of 29.1%. According to the complaint, the disclosed assets did not exist.

The complaint further alleges that White issued, purportedly on Deloitte's behalf, a series of letters which falsely stated that Deloitte had verified Bagdasarian's investment results. Bagdasarian also arranged the impersonation of one of his investors in a due diligence interview.

The Commission seeks permanent injunctions and civil penalties against Bagdasarian and White, and a bar against Bagdasarian acting as an officer or director of any public company. The Commission's investigation is continuing. [SEC v. Christopher Kent Bagdasarian and Sam Lance White, Civil Action No. 96-CV-7306, SDNY] (LR-15075; AAE Rel. 825)

#### SEC v. NIR KANTOR, ET AL.

On September 12, the U.S. District Court entered judgments of permanent injunction and other relief against Nir Kantor and Hanan Waizman. The Commission alleged that Kantor, a compliance officer at Banker's Trust, learned about ITT's imminent tender offer for Caesars World and told his cousin Waizman. Kantor and Waizman then purchased Caesars call options before ITT's December 1994 announcement of the tender offer, according to the complaint.

Without admitting or denying the allegations, Kantor and Waizman consented to be enjoined from violating Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3, and to disgorge their trading profits along with prejudgment interest. Waizman also consented to pay a civil monetary penalty. Based on Kantor's demonstrated inability to pay, the Court waived Kantor's obligation to make the disgorgement payment and did not impose a civil penalty. [SEC v. KANTOR, et al., C.A.96-4012, SWK, SDNY] (LR-15076)

#### COMPLAINT FILED AGAINST RICHARD TAYLOR

A complaint was filed by the Commission on September 12 in the United States District Court for the District of Idaho against Richard T. Taylor (Taylor) for his failure to pay \$20,000 of the \$40,000 disgorgement ordered by the Commission (Commission's Order) on March 22, 1995 [In the Matter of Richard T. Taylor, Administrative Proceeding File No. 3-8408]. In its complaint, the Commission requests the Court to issue an order, pursuant to Section 21(e) of the Exchange Act, requiring Taylor to pay the outstanding disgorgement of \$20,000 forthwith. If Taylor fails to pay the disgorgement in a timely manner, the Commission has asked the Court to hold Taylor in contempt of Court.

In its March 22, 1995 Order, the Commission found that, during 1988 through 1991, Taylor engaged in a scheme with a registered broker-dealer, Synovus Securities, Inc. (Synovus) and Clark L. Reed, Jr., president of Synovus, to be interpositioned in 153 municipal bond transactions involving customers of Synovus. The Commission ordered Taylor to pay disgorgement in the amount of \$325,000 with payment of all but \$40,000 of the ill-gotten proceeds to be waived.

As set forth in the current complaint, Taylor has failed to comply with the terms of the Commission's Order. Taylor was ordered to pay \$40,000 in two installments of \$20,000 each. Taylor failed to make the second payment of \$20,000 which was due to be paid no later than the end of September 1995. [SEC v. Richard T. Taylor, Civil Action No. 96-0404-S.BLW, U.S.D.C. Idaho] (LR-15077)

#### TEMPORARY RESTRAINING ORDER ENTERED AGAINST KATHY KINGSMORE IN "PRIME BANK" SCHEME

On September 16, the Honorable Joe Kendall, United States District Judge, Dallas, Texas, granted the Commission's request for a temporary restraining order prohibiting Kathy S. Kingsmore from violating the antifraud provisions of the federal securities laws, and appointed a temporary receiver to recover funds and assets for the benefit of investors who invested with Kingsmore. The Commission's complaint in this matter alleges that Kingsmore violated the antifraud provisions of the federal securities laws in the offer and sale of purported "Prime Bank" investments in the form of Eurobonds. According to the complaint, Kingsmore raised at least \$3 million from approximately 34 investors in several states, and misappropriated a significant portion of investor funds for her own personal use, including for the purchase of an expensive Florida

vacation home. The complaint further alleges that Kingsmore, in order to hide her misuse of investor funds and to lull her investors, created and provided false financial statements to investors, including a false personal bank statement showing a balance of \$32 million.

The complaint also seeks an order permanently enjoining Kingsmore, and orders requiring disgorgement of all wrongfully obtained profits, and assessing a civil penalty. [SEC v. Kathy S. Kingsmore, 3:96-CV-2615-X, USDC, ND/TX, Dallas Division] (LR-15078)

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## INVESTMENT COMPANY ACT RELEASES

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### GE FUNDS, ET AL.

An order has been issued on an application filed by GE Funds, et al. under Section 6(c) of the Investment Company Act for an exemption from Section 12(d)(1), under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a), and pursuant to Rule 17d-1 permitting certain transactions in accordance with Section 17(d) and Rule 17d-1. The order would permit certain investment companies to purchase shares of an affiliated unregistered investment company for cash management purposes. (Rel. IC-22247 - September 25)

### CONNECTICUT GENERAL LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Connecticut General Life Insurance Company (CG Life), CG Corporate Insurance Variable Life Separate Account 02 (Account 02), and CIGNA Financial Advisors, Inc. from Section 27(c)(2) of the Act and Rule 6e-3(T)(c)(4)(v) thereunder. The order provides exemptions to the extent necessary to permit Account 02 and any other separate account established in the future by CG Life to support certain flexible premium variable life insurance contracts (Current Contracts) or contracts which are substantially similar in all material respects to the Current Contracts to deduct from premium payments received a charge that is reasonable in relation to CG Life's increased federal income tax burden resulting from the application of Section 848 of the Internal Revenue Code of 1986, as amended. (Rel. IC-22248 - September 25)

### THE LINCOLN NATIONAL LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting The Lincoln National Life Insurance Company (Lincoln Life), Lincoln National Variable Annuity Account L (Account L), Lincoln Life & Annuity Company of New York (Lincoln Life of NY), Lincoln Life & Annuity Company of New York Variable Annuity Account L (Account L-NY), and LNC Equity Sales Corporation from Sections 26(a)(2)(C) and 27(c)(2) of the Act. The order provides exemptions to the extent necessary to permit the deduction of a mortality and expense risk charge of 1.20% from: the assets of Account L or

Account L-NY in connection with the offer and sale of certain group variable annuity contracts (Contracts) and any contracts issued in the future by Lincoln Life or Lincoln Life of NY (Future Contracts) that are materially similar to the Contracts; and the assets of other separate accounts established in the future by Lincoln Life or Lincoln Life of NY to fund Contracts and Future Contracts. The order also permits, to the extent necessary, the offer and sale of Contracts and Future Contracts for which certain broker-dealers other than LNC Equity Sales Corporation serve as distributors and/or principal underwriters. (Rel. IC-22249 - September 25)

#### THE LINCOLN NATIONAL LIFE INSURANCE COMPANY, ET AL.

An order has been issued to The Lincoln National Life Insurance Company (Lincoln Life), Lincoln Life & Annuity Company of New York (Lincoln Life of NY), Lincoln National Variable Annuity Account L (Account L), Lincoln Life & Annuity Company of New York Variable Annuity Account L (Account L-NY), and LNC Equity Sales Corporation. The order was issued: pursuant to Section 17(b) of the Investment Company Act, granting an exemption from Section 17(a) of the Act; and pursuant to Section II of the Act approving the transfer of assets from the VA-1 Separate Account of UNUM Life Insurance Company of America (UNUM VA-1 Separate Account) to Account L and Account L-NY, and from VA-1 Separate Account of First UNUM Life Insurance Company of America (First UNUM VA-1 Separate Account) to Account L-NY; and the offer of exchange of interests in the UNUM VA-1 Separate Account for interests in Account L and Account L-NY, and the offer of exchange of interests in First UNUM VA-1 Separate Account for interests in Account L-NY, through the assumption reinsurance by Lincoln Life and Lincoln Life of NY of group variable annuity contracts issued by UNUM Life Insurance Company of America and First UNUM Life Insurance Company of America. (Rel. IC-22251 - September 26)

#### PUTNAM RESEARCH ANALYSTS FUND

A notice has been issued giving interested persons until October 22 to request a hearing on an application filed by Putnam Research Analysts Fund for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-22252 - September 26)

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#### HOLDING COMPANY ACT RELEASES

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#### SEI HOLDINGS, INC.

An order has been issued amending a previous order issued to SEI Holdings, Inc. (Holdings), a wholly owned nonutility subsidiary of The Southern Company, a registered holding company. The order authorizes Holdings to engage, through subsidiaries, in the brokering and marketing of electric power, natural gas, and other

energy commodities for wholesale and retail customers in the United States and to provide related services to customers. (Rel. 35-26581)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **APPROVAL OF PROPOSED RULE CHANGES**

The Commission has approved a proposed rule change (SR-Phlx-96-13), as amended, by the Philadelphia Stock Exchange that adjusts the time that all customized foreign currency options (FCOs) cease trading on expiration day from 9:00 a.m. and 2:30 p.m. until 8:00 a.m.; adopts a uniform expiration time for all customized FCOs of 10:15 a.m. (instead of 11:59 p.m.); and makes all customized FCOs subject to pro-rata assignment. Publication of the Order is expected in the Federal Register during the week of September 30. (Rel. 34-37718)

The Commission approved a proposed rule change filed by the American Stock Exchange (SR-Amex-96-29) on July 29, 1996, proposing to amend Exchange Rule 906G to restrict the available exercise prices for FLEX equity call options and Rule 904G to eliminate the requirement that members sign the Trade Sheet when creating a binding FLEX contract. The Commission is also approving, on an accelerated basis, proposed rule changes filed by the Chicago Board Options Exchange (SR-CBOE-96-56), and the Pacific Stock Exchange (SR-PSE-96-31), on August 20, 1996 and August 26, 1996, respectively, to restrict the available exercise prices for FLEX equity call options. Publication of the approval order is expected in the Federal Register during the week of September 30. (Rel. 34-37726)

The Commission approved a proposed rule change submitted by the American Stock Exchange (SR-Amex-96-10) to amend Exchange Rules 60 and 220 and to adopt a policy regarding the use of wireless data communications devices at the Exchange. (Rel. 34-37728)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

## REGISTRATIONS

- SB-2 RANKIN AUTOMOTIVE GROUP INC, 3510 MACLEE DR, ALEXANDRIA, LO 71302 - 1,725,000 (\$20,700,000) COMMON STOCK. 150,000 WARRANTS, OPTIONS OR RIGHTS. 150,000 (\$2,340,000) COMMON STOCK. (FILE 333-5562-A - SEP. 13) (BR. 5 - NEW ISSUE)
- F-6 IMPERIAL TOBACCO GROUP PLC \ADR\, 111 WALL ST, C/O CITIBANK NA, NEW YORK, NY 10043 (212) 657-7827 - 200,000,000 (\$6,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-5592 - SEP. 18) (BR. 99 - NEW ISSUE)
- F-6 OIL CO LUKOIL /ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY 10286 (212) 815-2009 - 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-5596 - SEP. 19) (BR. 99)
- S-8 SEMICON TOOLS INC /NV/, 111 BUSINESS PARK DRIVE, ARMONK, NY 10504 (914) 273-1400 - 150,000 (\$72,000) COMMON STOCK. (FILE 333-5598 - SEP. 19) (BR. 4)
- S-4 CHAMPION ENTERPRISES INC, 2701 UNIVERSITY DR, STE 320, AUBURN HILLS, MI 48326 (810) 340-9090 - 17,311,983 (\$317,619,051.75) COMMON STOCK. (FILE 333-12351 - SEP. 20) (BR. 6)
- S-4 FIRST COMMERCIAL CORP, 400 WEST CAPITOL AVE, LITTLE ROCK, AR 72201 (501) 371-7000 - 415,663 (\$6,579,170.30) COMMON STOCK. (FILE 333-12353 - SEP. 20) (BR. 7)
- S-3 LEASING SOLUTIONS INC, 10 ALMADEN BLVD STE 1500, SAN JOSE, CA 95113 (408) 995-6565 - 57,500,000 (\$57,500,000) STRAIGHT BONDS. (FILE 333-12355 - SEP. 20) (BR. 3)
- S-8 CENTURA BANKS INC, 134 N CHURCH ST, ROCKY MOUNT, NC 27804 (919) 977-4400 - 500,000 (\$19,780,000) COMMON STOCK. (FILE 333-12357 - SEP. 20) (BR. 7)
- S-8 SEMICON TOOLS INC /NV/, 111 BUSINESS PARK DRIVE, ARMONK, NY 10504 (914) 273-1400 - 7,975,000 (\$4,991,250) COMMON STOCK. (FILE 333-12359 - SEP. 20) (BR. 4)
- S-1 BIOSENSE INC, LATHAM & WATKINS, 40 RAMLAND ROAD SOUTH, ORANGEBURG, NY 10962 (914) 359-2250 - \$50,000,000 COMMON STOCK. (FILE 333-12361 - SEP. 20) (NEW ISSUE)
- S-8 THERMATRIX INC, 101 METRO DRIVE, STE 248, SAN JOSE, CA 95110 - 1,280,332 (\$5,628,312.01) COMMON STOCK. (FILE 333-12363 - SEP. 20) (BR. 6)
- S-8 SENECA FOODS CORP /NY/, 1162 PITTSFORD VICTOR RD, PITTSFORD, NY 14534 (716) 385-9500 - 250,000 (\$4,125,000) COMMON STOCK. (FILE 333-12365 - SEP. 20) (BR. 2)
- S-3 ENERGY VENTURES INC /DE/, 5 POST OAK PARK, STE 1760, HOUSTON, TX 77027 (713) 297-8428 - 499,996 (\$18,969,849) COMMON STOCK. (FILE 333-12367 - SEP. 20) (BR. 4)
- S-1 EMERGENT GROUP INC, 15 SOUTH MAIN ST STE 750, GREENVILLE, SC 29601 (864) 235-8056 - 3,450,000 (\$44,850,000) COMMON STOCK. (FILE 333-12371 - SEP. 20) (BR. 7)
- SB-2 SOUTHERN COMMUNITY BANCSHARES INC, 325 SECOND ST S E, CULLMAN, AL 35055 (205) 734-4863 - 529,000 (\$10,580,000) COMMON STOCK. (FILE 333-12373 - SEP. 20) (NEW ISSUE)
- S-8 SOUTHERN MINERAL CORP, 500 DALLAS STREET, STE 2800, HOUSTON, TX 77002 (713) 658-9444 - 600,000 (\$2,700,000) COMMON STOCK. (FILE 333-12375 - SEP. 20) (BR. 4)
- SB-2 GOLD BANC CORP INC, 11301 NALL AVENUE, LEAWOOD, KS 66211 (913) 663-3228 - 2,300,000 (\$20,700,000) COMMON STOCK. (FILE 333-12377 - SEP. 20)



- S-8 NEMATRON CORP, 5840 INTEFACE DRIVE, ANN ARBOR, MI 48103 (313) 994-0591  
- 200,000 (\$1,725,000) COMMON STOCK. (FILE 333-12379 - SEP. 20) (BR. 3)
- S-1 METROPOLITAN FINANCIAL CORP /OH/, 6001 LANDERHAVEN DR, MAYFIELD HEIGHTS,  
OH 44124 (216) 646-1111 - 805,000 (\$8,855,000) COMMON STOCK. (FILE  
333-12381 - SEP. 20) (BR. 7)
- SB-2 AMERICAN PROFESSIONAL BILLIARDS INC, 1700 EAST DESERT INN ROAD,  
LAS VEGAS, NV 89109 (702) 893-1277 - 4,500,000 (\$28,125,000) COMMON STOCK.  
100,000 (\$1,000) WARRANTS, OPTIONS OR RIGHTS. 200,000 (\$1,350,000)  
COMMON STOCK. (FILE 333-12383 - SEP. 20) (NEW ISSUE)
- S-8 FX ENERGY INC, 3006 HIGHLAND DR, STE 206, SALT LAKE CITY, UT 84106  
(801) 486-5555 - 120,500 (\$1,144,750) COMMON STOCK. (FILE 333-12385 -  
SEP. 20) (BR. 4)
- S-8 BLESSINGS CORP, 200 ENTERPRISE DRIVE, NEWPORT NEWS, VA 23603  
(804) 887-2100 - 50,000 (\$461,000) COMMON STOCK. (FILE 333-12387 -  
SEP. 20) (BR. 6)
- S-8 TECHNOLOGY MODELING ASSOCIATES INC, 595 LAWRENCE EXPRESSWAY, SUNNYVALE,  
CA 94086 (408) 328-0930 - 2,774,750 (\$24,082,274.05) COMMON STOCK. (FILE  
333-12389 - SEP. 20) (BR. 9)
- S-4 TUC HOLDING CO, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET, DALLAS, TX  
75201 (214) 812-4600 - 242,142,685 (\$9,897,582,249) COMMON STOCK. (FILE  
333-12391 - SEP. 20) (NEW ISSUE)
- S-1 EINSTEIN NOAH BAGEL CORP, 1526 COLE BLVD STE 200, GOLDEN, CO 80401  
(303) 202-9300 - 9,908,327 (\$307,158,137) COMMON STOCK. (FILE 333-12395 -  
SEP. 20) (BR. 2)
- S-2 GOLD KIST INC, 244 PERIMETER CTR PKWY NE, ATLANTA, GA 30346  
(404) 393-5000 - 44,000,000 (\$44,000,000) STRAIGHT BONDS. (FILE 333-12397  
- SEP. 20) (BR. 2)
- S-8 PSYCHEMEDICS CORP, 1280 MASSACHUSETTS AVENUE, SUITE 200, CAMBRIDGE, MA  
02138 (617) 868-7455 - 1,133,000 (\$7,931,000) COMMON STOCK. (FILE  
333-12403 - SEP. 20) (BR. 1)
- S-1 VIROPHARMA INC, 1250 S COLLEGEVILLE RD, PO BOX 5000, COLLEGEVILLE, PA  
19426 (610) 561-0200 - 2,587,500 (\$33,637,500) COMMON STOCK. (FILE  
333-12407 - SEP. 20)
- S-1 ACCESS TELEVISION NETWORK INC, 2600 MICHELSON DRIVE, STE 1650, IRVINE,  
CA 92612 (714) 263-9900 - \$25,300,000 COMMON STOCK. (FILE 333-12409 -  
SEP. 20) (BR. 7)
- S-8 CAMBRIDGE HEART INC, ONE OAK PARK DR, BEDFORD, MA 01730 (617) 271-1200  
- 2,888,663 (\$29,059,950) COMMON STOCK. (FILE 333-12411 - SEP. 20)  
(BR. 1)
- S-1 TICKETMASTER GROUP INC, 3701 WILSHIRE BLVD, LOS ANGELES, CA 90010  
(213) 381-2000 - \$115,000,000 COMMON STOCK. (FILE 333-12413 - SEP. 20)  
(NEW ISSUE)
- S-4 BANYAN MORTGAGE INVESTMENT FUND, 150 S WACKER DR STE 2900, CHICAGO, IL  
60606 (312) 553-9800 - 4,386,986 (\$10,784,000) COMMON STOCK. (FILE  
333-12415 - SEP. 20) (BR. 8)
- S-1 RADIUS INC, 215 MOFFETT PARK DRIVE, SUNNYVALE, CA 94089 (408) 541-6100  
- 42,447,531 (\$57,049,481.66) COMMON STOCK. 600,000 (\$600,000)  
WARRANTS, OPTIONS OR RIGHTS. 11,046,060 (\$15,445,904.64) COMMON STOCK.  
(FILE 333-12417 - SEP. 20) (BR. 3)
- S-1 UNITED DENTAL CARE INC /DE/, 14755 PRESTON RD, STE 300, DALLAS, TX 75240  
(214) 458-7474 - 2,300,000 (\$86,537,500) COMMON STOCK. (FILE 333-12425 -  
SEP. 20) (BR. 1)
- S-1 UNIVERSAL OUTDOOR INC, 321 N CLARK ST, SUITE 1010, CHICAGO, IL 60610  
(312) 644-8673 - \$200,000,000 STRAIGHT BONDS. (FILE 333-12427 - SEP. 20)  
(BR. 2)

S-3 MEDTRONIC INC, 7000 CENTRAL AVE NE, MS 316, MINNEAPOLIS, MN 55432  
(612) 574-4000 - 26,864 (\$1,672,284) COMMON STOCK. (FILE 333-12429 -  
SEP. 20) (BR. 1)

S-3 KEY CONSUMER ACCEPTANCE CORP, KEY TOWER 127 PUBLIC SQUARE, CLEVELAND, OH  
44114 (415) 259-3936 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE  
333-12431 - SEP. 20) (NEW ISSUE)

S-3 INTER TEL INC, 120 N 44TH ST, STE 200, PHOENIX, AZ 85034 (602) 961-9000  
- 14,458 (\$317,172.38) COMMON STOCK. (FILE 333-12433 - SEP. 20) (BR. 3)

S-3 MOLTEN METAL TECHNOLOGY INC /DE/, 400-2 TOTTEN POND RD, WALTHAM, MA  
02154 (617) 487-9700 - 307,735 (\$9,616,719) COMMON STOCK. (FILE 333-12435  
- SEP. 20) (BR. 4)

S-3 TRAVELERS GROUP INC, 388 GREENWICH ST, LEGAL DEPT 20TH FLOOR, NEW YORK,  
NY 10013 (212) 816-8000 - \$1,000,000,000 STRAIGHT BONDS. (FILE 333-12439 -  
SEP. 20) (BR. 1)

S-3 MICROSOFT CORP, ONE MICROSOFT WAY #BLDG 8, NORTH OFFICE 2211, REDMOND,  
WA 98052 (206) 882-8080 - 345 (\$46,036.80) COMMON STOCK. (FILE 333-12441 -  
SEP. 20) (BR. 3)

S-1 MEGO MORTGAGE CORP, 1000 PARKWOOD CIRCLE, SUITE 500, ATLANTA, GA 30339  
(702) 737-3700 - \$32,200,000 COMMON STOCK. (FILE 333-12443 - SEP. 20)  
(NEW ISSUE)

S-3 ACTV INC /DE/, 1270 AVE OF THE AMERICAS, NEW YORK, NY 10020  
(212) 262-2571 - 7,572,709 (\$28,870,953.06) COMMON STOCK. (FILE 333-12445  
- SEP. 20) (BR. 3)

S-3 OCCUSYSTEMS INC, 3010 LBJ FREEWAY, STE 400, DALLAS, TX 75234  
(214) 484-2700 - 135,412 (\$3,977,728) COMMON STOCK. (FILE 333-12447 -  
SEP. 20) (BR. 1)

S-1 WIRELESS ONE INC, 5551 CORPORATE BLVD, STE 2K, BATON ROUGE, LA 70808  
(504) 926-7778 - 450,000 (\$6,750,000) COMMON STOCK. (FILE 333-12449 -  
SEP. 20) (BR. 3)

S-3 CORPORATE EXPRESS INC, 325 INTERLOCKEN PKWY, BROOMFIELD, CO 80021  
(303) 373-2800 - 325,000,000 (\$325,000,000) STRAIGHT BONDS. (FILE  
333-12451 - SEP. 20) (BR. 2)

S-3 EVERGREEN MEDIA CORP, 433 EAST LAS COLINAS BLVD, STE 2230, IRVING, TX  
75039 (214) 869-9020 - 9,200,000 (\$284,096,000) COMMON STOCK. (FILE  
333-12453 - SEP. 20) (BR. 3)

S-3 STILLWATER MINING CO /DE/, HC 54, BOX 365, NYE, MT 59061 (303) 978-2525  
- 51,450,000 (\$51,450,000) STRAIGHT BONDS. (FILE 333-12455 - SEP. 20)  
(BR. 4)

S-1 UNIVERSAL OUTDOOR HOLDINGS INC, 321 N CLARK ST SUITE 1010, CHICAGO, IL  
60610 (312) 644-8673 - \$227,304,688 COMMON STOCK. (FILE 333-12457 -  
SEP. 20) (BR. 2)

S-8 SCHEIB EARL INC, 8737 WILSHIRE BLVD, BEVERLY HILLS, CA 90211  
(310) 652-4880 - 200,000 (\$1,662,500) COMMON STOCK. (FILE 333-12461 -  
SEP. 20) (BR. 5)

S-8 PARK ELECTROCHEMICAL CORP, 5 DAKOTA DR, LAKE SUCCESS, NY 11042  
(516) 354-4100 - 550,000 (\$9,865,625) COMMON STOCK. (FILE 333-12463 -  
SEP. 20) (BR. 6)

S-8 MPTV INC, 3 CIVIC PLAZA, SUITE 210, NEWPORT BEACH, CA 92660  
(714) 760-6747 - 52,243,739 (\$6,938,622) COMMON STOCK. (FILE 333-12467 -  
SEP. 20) (BR. 8)

S-1 STYLING TECHNOLOGY CORP, ONE EAST CAMEBACK RD, PHOENIX, AZ 85012  
(602) 468-9169 - \$28,617,600 COMMON STOCK. \$1,540  
WARRANTS, OPTIONS OR RIGHTS. \$3,960,000 COMMON STOCK. (FILE 333-12469 -  
SEP. 20) (NEW ISSUE)

- S-1 TMP WORLDWIDE INC /FA/, 1633 BROADWAY, NEW YORK, NY 10019 - \$80,500,000 COMMON STOCK. (FILE 333-12471 - SEP. 23) (NEW ISSUE)
- S-8 TEGAL CORP /DE/, 2201 S MCDOWELL BLVD, P O BOX 6020, PETALUMA, CA 94955 (707) 763-5600 - 1,700,001 (\$8,462,382.33) COMMON STOCK. (FILE 333-12473 - SEP. 23) (BR. 5)
- S-8 TRANSOCEAN OFFSHORE INC, 4 GREENWAY PLAZA, HOUSTON, TX 77046 (713) 871-7500 - 1,000,000 (\$56,875,000) COMMON STOCK. (FILE 333-12475 - SEP. 20) (BR. 4)
- S-3 UNITOG CO, 101 WEST 11TH STREET, KANSAS CITY, MO 64105 (816) 474-7000 - 266,590 (\$7,531,167.50) COMMON STOCK. (FILE 333-12477 - SEP. 20) (BR. 2)
- S-1 HEALTHCARE FINANCIAL PARTNERS INC, 2 WISCONSIN CIRCLE, SUITE 320, CHEVY CHASE, MD 20815 (301) 961-1640 - 2,415,000 (\$33,810,000) COMMON STOCK. (FILE 333-12479 - SEP. 20) (NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER                           | STATE CODE | 8K ITEM NO. |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|------------|-------------|---|---|---|---|---|---|---|----------|---------|
|  |            | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 |          |         |
| ACCREDITED HOME LENDERS INC              | CA         |             |   |   | X |   |   | X |   | 09/26/96 |         |
| ACME METALS INC /DE/                     | DE         |             |   |   | X |   |   |   |   | 09/25/96 |         |
| ADVANCED VIRAL RESEARCH CORP             | DE         |             |   |   | X |   |   |   |   | 09/18/96 |         |
| ADVANTA MORTGAGE LOAN TRUST 1996-3       |            |             |   |   | X |   | X |   |   | 09/11/96 |         |
| AERO SYSTEMS ENGINEERING INC             | MN         |             |   |   | X |   |   |   |   | 09/23/96 |         |
| AFGL INTERNATIONAL INC                   | NV         |             |   |   |   | X |   | X |   | 09/16/96 | AMEND   |
| AIR ACADEMY NATIONAL BANCORP             | CO         | X           |   |   |   |   |   | X |   | 09/12/96 |         |
| ALL AMERICAN COMMUNICATIONS INC          | DE         |             |   |   | X |   | X |   |   | 09/19/96 |         |
| ALLIED WASTE INDUSTRIES INC              | DE         |             |   |   | X |   | X |   |   | 08/31/96 |         |
| AMERICAN OILFIELD DIVERS INC             | LA         |             |   |   | X |   |   |   |   | 09/25/96 |         |
| AMERICAN PLASTICS & CHEMICALS INC        | DE         | X           |   |   |   |   |   |   |   | 09/11/96 |         |
| AMERON INC/DE                            | DE         |             |   |   | X |   |   |   |   | 09/25/96 |         |
| ANDOVER TOGS INC                         | DE         |             |   |   | X |   | X |   |   | 09/19/96 |         |
| ANICOM INC                               | DE         |             |   |   | X |   | X |   |   | 03/12/96 | AMEND   |
| ANICOM INC                               | DE         |             |   |   | X |   | X |   |   | 09/25/96 |         |
| ANNTAYLOR INC                            | DE         | X           |   |   |   |   |   |   |   | 09/20/96 |         |
| ASCENT ENTERTAINMENT GROUP INC           | DE         |             |   |   | X |   |   |   |   | 09/04/96 |         |
| ASSET SECURITIZATION CORP COMM MORT PASS | NY         |             |   |   | X |   | X |   |   | 09/25/96 |         |
| ASSOCIATED ESTATES REALTY CORP           | OH         |             |   |   | X |   | X |   |   | 02/01/96 |         |
| AUTO TROL TECHNOLOGY CORP                | CO         |             |   |   | X |   |   |   |   | 09/26/96 |         |
| AUTOLEND GROUP INC                       | DE         | X           |   |   |   |   | X |   |   | 09/18/96 |         |
| BANK OF AMERICA NATIONAL TRUST & SAVING  |            |             |   |   | X |   | X |   |   | 09/16/96 |         |

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| BANK OF AMERICA NATIONAL TRUST & SAVING  |               |             |   |   | X | X |   |   |   | 09/16/96 |         |
| BAY AREA BANCSHARES                      | CA            |             |   | X |   | X |   |   |   | 09/19/96 |         |
| BAY AREA HOLDINGS INC                    | CA            | X           | X |   | X |   |   |   |   | 09/03/96 |         |
| BEAR STEARNS MORTGAGE SECURITIES INC     | DE            |             |   | X |   | X |   |   |   | 09/24/96 |         |
| BIODYNAMICS INTERNATIONAL INC            | FL            |             |   | X |   | X |   |   |   | 09/20/96 |         |
| BONNEVILLE PACIFIC CORP                  | DE            |             |   | X | X |   |   |   |   | 09/16/96 |         |
| BOSTONFED BANCORP INC                    | DE            |             | X |   |   |   |   |   |   | 09/23/93 |         |
| CARAUSTAR INDUSTRIES INC                 | NC            |             |   |   |   | X |   |   |   | 07/15/96 | AMEND   |
| CAROLCO PICTURES INC                     | DE            |             |   | X | X |   |   |   |   | 08/31/96 |         |
| CHECKFREE CORP \DE\                      | DE            |             |   | X | X |   |   |   |   | 09/15/96 |         |
| CHEMICAL MORTGAGE SECURITIES INC         | NY            |             |   | X | X |   |   |   |   | 08/15/96 |         |
| CLARCOR INC                              | DE            |             |   | X | X |   |   |   |   | 09/23/96 |         |
| CLEVETRUST REALTY INVESTORS              | MA            |             |   | X | X |   |   |   |   | 09/24/96 |         |
| COLE NATIONAL CORP /DE/                  | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |
| COLE NATIONAL GROUP INC                  | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |
| COLGATE PALMOLIVE CO                     | DE            |             |   | X | X |   |   |   |   | 09/19/96 |         |
| COLUMBIA HCA HEALTHCARE CORP/            | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |
| COMMAND SECURITY CORP                    | NY            |             |   |   |   | X |   |   |   | 09/25/96 |         |
| COMMERCIAL INTERTECH CORP                | OH            |             | X |   |   | X |   |   |   | 09/10/96 |         |
| COMMONWEALTH ALUMINUM CORP               | DE            |             | X |   |   | X |   |   |   | 09/20/96 |         |
| COMPUDYNE CORP                           | NV            | X           |   |   |   |   |   |   |   | 07/25/96 |         |
| COMPUTER TRANSCEIVER SYSTEMS INC         | NY            |             |   | X |   |   |   |   |   | 09/16/96 |         |
| CONCURRENT COMPUTER CORP/DE              | DE            |             |   | X |   | X |   |   |   | 09/25/96 |         |
| CONTIMORTGAGE HOME EQUITY LOAN TRUST 199 |               |             |   | X | X |   |   |   |   | 09/15/96 |         |
| CORESTAFF INC                            | DE            |             | X |   |   | X |   |   |   | 09/18/96 |         |
| CPI CORP                                 | DE            | X           |   |   |   |   |   |   |   | 09/18/96 |         |
| CROWN CORK & SEAL CO INC                 | PA            |             |   | X | X |   |   |   |   | 09/26/96 |         |
| CS FIRST BOSTON MORTGAGE SECURITIES CORP | DE            |             |   | X | X |   |   |   |   | 09/20/96 |         |
| CSG SYSTEMS INTERNATIONAL INC            | DE            |             |   | X |   | X |   |   |   | 09/25/96 | AMEND   |
| CUC INTERNATIONAL INC /DE/               | DE            |             |   | X | X |   |   |   |   | 09/26/96 |         |
| D-VINE LTD                               | DE            | X           |   |   |   | X |   |   |   | 09/12/96 |         |
| DATA TRANSLATION INC                     | MA            |             |   | X |   |   |   |   |   | 09/12/96 |         |
| DATALOGIX INTERNATIONAL INC              | NY            |             |   | X | X |   |   |   |   | 09/24/96 |         |
| DATALOGIX INTERNATIONAL INC              | NY            |             |   | X |   |   |   |   |   | 09/24/96 |         |
| DEUTSCHE FLOORPLAN RECEIVABLES L P       | DE            | X           |   |   |   |   |   |   |   | 08/31/96 |         |
| DK INDUSTRIES INC                        | CO            |             |   |   |   | X |   |   |   | 05/31/96 | AMEND   |
| DK INDUSTRIES INC                        | CO            |             | X |   | X | X |   |   |   | 06/25/96 |         |
| DURAMED PHARMACEUTICALS INC              | DE            | X           |   |   | X |   |   |   |   | 09/12/96 |         |
| ENNIS BUSINESS FORMS INC                 | TX            |             |   | X |   |   |   |   |   | 09/18/96 |         |
| EQCC ASSET BACKED CORP                   | DE            |             |   | X | X |   |   |   |   | 09/18/96 |         |
| EQCC RECEIVABLES CORP                    | DE            |             |   | X | X |   |   |   |   | 09/18/96 |         |
| ERLY INDUSTRIES INC                      | CA            | X           |   |   | X |   |   |   |   | 07/05/96 | AMEND   |
| F & M DISTRIBUTORS INC                   | MI            |             |   | X | X |   |   |   |   | 09/06/96 |         |
| FINANCIAL ASSET SECURITIES CORP          | DE            |             |   | X | X |   |   |   |   | 09/16/96 |         |
| FIRST AMERICAN SCIENTIFIC CORP \NV\      | NV            | X           |   |   |   |   |   |   |   | 08/31/95 |         |
| FLEET FINANCIAL GROUP INC                | RI            |             |   | X | X |   |   |   |   | 09/27/96 |         |
| FOUNTAIN OIL INC                         | OK            |             |   |   |   | X |   |   |   | 09/17/96 |         |
| GABLES RESIDENTIAL TRUST                 | MD            |             |   |   | X |   |   |   |   | 09/11/96 |         |
| GE CAPITAL MORTGAGE SERVICES INC         | NJ            |             |   | X | X |   |   |   |   | 09/19/96 |         |
| GE CAPITAL MORTGAGE SERVICES INC         | NJ            |             |   | X | X |   |   |   |   | 09/24/96 |         |
| GEORGIA BANCSHARES INC /GA/              | GA            |             |   | X |   |   |   |   |   | 09/16/96 |         |
| GOLF TECHNOLOGY HOLDING INC              | ID            |             |   | X | X |   |   |   |   | 05/22/96 |         |
| GRAND PREMIER FINANCIAL INC              | DE            |             | X |   |   |   |   |   |   | 08/22/96 | AMEND   |
| GRIFFITH CONSUMERS CO /DE/               | DE            | X           |   |   | X |   |   |   |   | 07/11/96 |         |
| HALLIBURTON CO                           | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |
| HAVEN BANCORP INC                        | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |
| HAWAIIAN AIRLINES INC/HI                 | HI            |             |   | X | X |   |   |   |   | 09/22/96 |         |
| HEALTH MANAGEMENT SYSTEMS INC            | NY            |             |   | X | X |   |   |   |   | 09/03/96 |         |
| HEARTLAND EXPRESS INC                    | NV            |             |   | X |   |   |   |   |   | 09/12/96 |         |
| HEILIG MEYERS CO                         | VA            |             |   | X | X |   |   |   |   | 09/26/96 |         |

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| HOUSEHOLD FINANCE CORP HOUSEHOLD AFF CRE | DE            |             | X |   |   |   |   |   |   | 08/16/96 |         |
| HOUSEHOLD PRIVATE LABEL CREDIT CARD MAST | NV            |             | X |   |   |   |   |   |   | 08/20/96 |         |
| IMMUNE AMERICA INC                       | NV            | X           |   |   |   |   |   |   |   | 08/15/96 |         |
| INCO HOMES CORP                          | DE            |             |   | X |   |   |   |   |   | 09/17/96 |         |
| INCO HOMES CORP                          | DE            |             |   |   |   | X |   |   |   | 09/25/96 | AMEND   |
| INDUSTRIAL BANCORP INC                   | OH            |             |   | X | X |   |   |   |   | 09/17/96 |         |
| INLAND MONTHLY INCOME FUND III INC       | MD            |             |   | X |   |   |   |   |   | 09/23/96 |         |
| INTERNATIONAL REALTY GROUP INC           | DE            |             |   | X |   | X |   |   |   | 04/30/94 |         |
| JETFORM CORP                             | A1            |             | X |   |   | X |   |   |   | 09/10/96 |         |
| JMB INCOME PROPERTIES LTD XII            | IL            |             | X |   |   | X |   |   |   | 09/11/96 |         |
| JONES CABLE INCOME FUND 1-B LTD          | CO            |             |   | X | X |   |   |   |   | 09/13/96 |         |
| JONES CABLE INCOME FUND 1-C LTD          | CO            |             |   | X | X |   |   |   |   | 09/13/96 |         |
| JTS CORP                                 |               |             |   | X | X | X |   |   |   | 07/28/96 |         |
| KUHLMAN CORP                             | DE            |             |   | X |   |   |   |   |   | 09/25/96 |         |
| LEHMAN ABS CORP                          | DE            |             |   | X | X |   |   |   |   | 08/01/96 |         |
| LEHMAN ABS CORP                          | DE            |             |   | X | X |   |   |   |   | 09/24/96 |         |
| LEHMAN ABS CORP                          | DE            |             |   | X | X |   |   |   |   | 09/24/96 |         |
| LEHMAN ABS CORP LEHMAN HOME EQUITY LOAN  | DE            |             | X |   |   |   |   |   |   | 06/25/96 |         |
| LEHMAN BROTHERS HOLDINGS INC             | DE            |             |   | X | X |   |   |   |   | 09/26/96 |         |
| LOEWEN GROUP INC                         |               |             |   | X | X |   |   |   |   | 09/24/96 |         |
| MAGAININ PHARMACEUTICALS INC             | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |
| MAGAININ PHARMACEUTICALS INC             | DE            |             |   | X | X |   |   |   |   | 09/26/96 |         |
| MARINEX MULTIMEDIA CORP                  | NV            | X           |   | X | X | X | X |   |   | 09/17/96 |         |
| MARKET DATA CORP                         | TX            |             |   | X |   |   |   |   |   | 09/25/96 |         |
| MAX & ERMAS RESTAURANTS INC              | DE            |             |   | X |   |   |   |   |   | 09/04/96 |         |
| MBNA CORP                                | MD            |             |   | X |   |   |   |   |   | 09/23/96 |         |
| MBNA CORP                                | MD            |             |   | X |   |   |   |   |   | 09/25/96 |         |
| MERIT SECURITIES CORP                    | VA            |             |   | X |   |   |   |   |   | 09/20/96 | AMEND   |
| MICHAELS STORES INC                      | DE            |             |   | X | X |   |   |   |   | 06/20/96 |         |
| MID OCEAN LTD                            | E9            |             |   | X | X |   |   |   |   | 09/12/96 |         |
| MLCC MORTGAGE INVESTORS INC              | DE            |             |   | X |   |   |   |   |   | 09/25/96 |         |
| MONEY STORE D C INC                      | DC            |             |   | X | X |   |   |   |   | 09/18/96 |         |
| MONEY STORE HOME EQUITY CORP             | KY            |             |   | X | X |   |   |   |   | 09/18/96 |         |
| MONEY STORE KENTUCKY INC                 | KY            |             |   | X | X |   |   |   |   | 09/18/96 |         |
| MONEY STORE MINNESOTA INC                | MN            |             |   | X | X |   |   |   |   | 09/18/96 |         |
| MOONLIGHT INTERNATIONAL CORP             | DE            |             |   | X | X |   |   |   |   | 08/27/96 |         |
| MORGAN PRODUCTS LTD                      | DE            |             |   | X | X |   |   |   |   | 08/30/96 |         |
| NAPA NATIONAL BANCORP                    | CA            |             |   | X |   |   |   |   |   | 09/19/96 |         |
| NATIONAL RURAL UTILITIES COOPERATIVE FIN | DC            |             |   |   |   | X |   |   |   | 09/19/96 |         |
| NAVISTAR FINANCIAL RETAIL RECEIVABLES CO | DE            |             |   | X | X |   |   |   |   | 08/15/96 | AMEND   |
| NAVISTAR FINANCIAL RETAIL RECEIVABLES CO | DE            |             |   | X | X |   |   |   |   | 09/16/96 |         |
| NETWORK SYSTEMS INTERNATIONAL INC        | NV            |             |   |   |   | X | X |   |   | 09/20/96 |         |
| NIKE INC                                 | OR            | X           |   |   |   |   |   |   |   | 09/16/96 |         |
| NORTHERN ILLINOIS FINANCIAL CORP         | IL            |             |   | X |   |   |   |   |   | 08/29/96 |         |
| NORTHLAND CABLE PROPERTIES SEVEN LIMITED | WA            |             | X |   |   | X |   |   |   | 09/13/96 |         |
| NORWEST ASSET SECURITIES CORP            | DE            |             |   | X | X |   |   |   |   | 09/20/96 |         |
| NRG GENERATING U S INC                   | DE            |             |   | X | X |   |   |   |   | 09/16/96 |         |
| NU TECH BIO MED INC                      | DE            |             | X |   |   | X |   |   |   | 09/13/96 |         |
| OMEGA HEALTH SYSTEMS INC                 | DE            | X           | X |   |   |   |   |   |   | 09/10/96 |         |
| ONYX ACCEPTANCE FINANCIAL CORP           | DE            |             |   |   | X |   |   |   |   | 08/31/96 |         |
| ONYX ACCEPTANCE FINANCIAL CORP           | DE            |             |   |   | X |   |   |   |   | 08/31/96 |         |
| ORION CAPITAL CORP                       | DE            |             |   | X | X |   |   |   |   | 09/11/96 |         |
| PANACO INC                               | DE            |             | X |   |   |   |   |   |   | 09/04/96 |         |
| PENNFIRST BANCORP INC                    | PA            |             |   | X | X |   |   |   |   | 09/19/96 |         |
| PIONEER WESTERN PROPERTIES INCOME FUND L | FL            | X           |   |   |   |   |   |   |   | 09/26/96 |         |
| PLC SYSTEMS INC                          |               |             |   | X | X |   |   |   |   | 09/24/96 |         |
| PRIME RECEIVABLES CORP                   | DE            |             |   |   |   | X |   |   |   | 09/16/96 |         |
| PROVIDENT BANCORP INC                    | OH            |             |   | X |   |   |   |   |   | 09/26/96 |         |
| PSC INC                                  | NY            |             |   |   |   | X |   |   |   | 12/31/96 | AMEND   |
| PSC INC                                  | NY            |             |   |   |   | X |   |   |   | 12/31/96 | AMEND   |
| RESIDENTIAL ACCREDIT LOANS INC           | DE            |             |   | X | X |   |   |   |   | 09/25/96 |         |

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| RESIDENTIAL ASSET SECURITIES CORP        | DE            |             |   |   |   | X |   | X |   | 09/24/96 |         |
| RESIDENTIAL FUNDING MORTGAGE SECURITIES  | DE            |             |   |   |   | X |   | X |   | 09/24/96 |         |
| ROYAL CASINO GROUP INC                   | UT            |             |   |   | X |   |   | X |   | 09/18/96 | AMEND   |
| SALOMON BROTHERS MORTGAGE SECURITIES VII | DE            |             |   |   |   |   |   | X |   | 09/23/96 |         |
| SCIENTIFIC NRG INC                       | MN            |             |   |   |   | X |   |   |   | 09/26/96 |         |
| SCOTTS LIQUID GOLD INC                   | CO            | X           |   |   |   |   |   |   |   | 09/26/96 |         |
| SDT HOLDING CORP                         | CO            |             | X |   |   |   |   | X |   | 09/19/96 |         |
| SEAGULL ENERGY CORP                      | TX            |             | X |   |   |   |   | X |   | 09/10/96 |         |
| SEPTIMA ENTERPRISES INC                  | CO            |             |   |   | X | X | X | X | X | 09/09/96 |         |
| SINOAMERICAN TELECOM INC                 | DE            |             |   |   |   |   |   | X |   | 09/05/96 | AMEND   |
| SIS BANCORP INC                          | MA            |             | X |   |   |   |   |   |   | 09/23/96 |         |
| SOMATIX THERAPY CORPORATION              | DE            |             |   |   | X |   | X |   |   | 09/24/96 | AMEND   |
| SPARTA PHARMACEUTICALS INC               | DE            |             |   |   |   |   |   | X |   | 03/15/96 | AMEND   |
| SPARTA PHARMACEUTICALS INC               | DE            |             |   |   | X |   | X |   |   | 08/23/96 |         |
| SPARTA PHARMACEUTICALS INC               | DE            |             |   |   | X |   | X |   |   | 09/23/96 |         |
| SPARTA PHARMACEUTICALS INC               | DE            |             |   |   | X |   | X |   |   | 09/24/96 |         |
| SPECTRAVISION INC                        | DE            |             |   | X |   |   |   | X |   | 09/13/96 |         |
| SUIZA FOODS CORP                         | DE            |             |   |   |   |   |   | X |   | 07/19/96 | AMEND   |
| SYMANTEC CORP                            | DE            |             | X |   |   |   |   | X |   | 09/10/96 |         |
| TAMPA ELECTRIC CO                        | FL            |             |   |   |   | X |   |   |   | 08/25/96 |         |
| TAYLOR ANN STORES CORP                   | DE            | X           |   |   |   |   |   |   |   | 09/20/96 |         |
| TECHNOLOGY SOLUTIONS COMPANY             | DE            |             |   |   |   |   |   | X |   | 09/24/96 |         |
| TECO ENERGY INC                          | FL            |             |   |   |   | X |   |   |   | 08/25/96 |         |
| TFC ENTERPRISES INC                      | DE            |             |   |   |   | X |   |   |   | 09/25/96 |         |
| THOMPSON PBE INC                         | DE            |             |   |   |   |   |   |   | X | 09/18/96 |         |
| TIERRA ENVIRONMENTAL CORP                | CO            | X           |   |   |   | X |   |   |   | 08/19/96 |         |
| TMS MORTGAGE INC                         | NJ            |             |   |   |   | X |   | X |   | 09/18/96 |         |
| TOROTEL INC                              | MO            | X           |   |   |   |   |   |   |   | 09/16/96 |         |
| TROY HILL BANCORP INC                    | PA            |             |   |   |   | X |   | X |   | 09/16/96 |         |
| U S BIOSCIENCE INC                       | DE            |             |   |   |   | X |   | X |   | 09/19/96 |         |
| UCFC ACCEPTANCE CORP                     | LA            |             |   |   |   | X |   | X |   | 09/16/96 |         |
| UCFC ACCEPTANCE CORP                     | LA            |             |   |   |   | X |   | X |   | 09/24/96 |         |
| ULTRAMAR CORP /DE                        | DE            |             |   |   |   | X |   | X |   | 09/22/96 |         |
| UNITED STATES CAN COMPANY /DE/           | DE            |             | X |   |   |   |   | X |   | 09/11/96 |         |
| UROHEALTH SYSTEMS INC                    | DE            |             | X |   |   |   |   | X |   | 07/01/96 | AMEND   |
| US CAN CORP                              | DE            |             | X |   |   |   |   | X |   | 09/11/96 |         |
| US OFFICE PRODUCTS CO                    | DE            |             |   |   |   | X |   | X |   | 09/23/96 |         |
| USA WASTE SERVICES INC                   | OK            |             | X |   |   |   |   | X |   | 09/12/96 |         |
| VANGUARD REAL ESTATE FUND II             | MA            |             |   |   |   | X |   |   |   | 09/11/96 |         |
| VILLAGE BANCORP INC                      | CT            |             |   |   |   | X |   |   |   | 09/19/96 |         |
| VOLKSWAGEN CREDIT AUTO RECEIVABLES CORP  | DE            |             |   |   |   | X |   | X |   | 08/31/96 |         |
| WESTERFED FINANCIAL CORP                 | DE            |             |   |   |   | X |   | X |   | 09/24/96 |         |
| YOUTH SERVICES INTERNATIONAL INC         | MD            |             | X |   |   |   |   | X |   | 09/20/96 |         |
| ZANART ENTERTAINMENT INC                 | FL            |             | X |   |   |   |   | X |   | 09/11/96 |         |
| ZAPATA CORP                              | DE            |             |   |   |   | X |   | X |   | 09/08/96 |         |
| ZYGO CORP                                | DE            |             | X |   |   |   |   | X |   | 09/12/96 |         |