

sec news digest

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March 26, 1992

U.S. SECURITIES
EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDINGS

NASD ACTION AGAINST LOWELL LISTROM, MARCO LISTROM AND STEPHEN MOCK AFFIRMED

The Commission has affirmed sanctions imposed by the NASD on Lowell H. Listrom (Lowell) and Marco R. Listrom (Marco), both of Kansas City, Missouri, and Stephen L. Mock of Overland Park, Kansas. Lowell was board chairman of Lowell H. Listrom & Company, Inc., a former NASD member firm, Marco was president of the firm, and Mock a vice president. The NASD censured respondents and imposed the following individual sanctions: Lowell - a \$25,000 joint and several fine with the firm and a bar from association with any member as a general securities principal; Marco - a \$5,000 fine and a requirement that he requalify as a general securities principal by examination within 60 days; and Mock - a \$5,000 fine, a 10 calendar-day suspension, and a requirement that he requalify as a financial and operations principal by examination.

The Commission affirmed the NASD's findings that during 1987 Lowell, Marco and Mock were responsible for the firm's failure to comply with net capital, recordkeeping and customer protection provisions. In affirming the sanctions imposed by the NASD, the Commission reiterated that the rules respondents violated were not technical but involved "fundamental safeguards imposed for the protection of the investing public." The Commission noted that Lowell, the most severely sanctioned of the three respondents, had "a disquieting history of prior infractions." (Rel. 34-30497)

CIVIL PROCEEDINGS

MONTANA NATURALS INT'L., INC., RONALD HAUGE AND MARK BAKER ENJOINED

The Commission announced on March 4 that the Honorable Charles C. Lovell, U.S. District Judge for the District Court for the U.S. District Court for the District of Montana, Missoula Division, permanently enjoined Montana Naturals Int'l., Inc. (MTNA), a publicly-traded company registered with the Commission; Ronald Hauge, President and CEO of MTNA; and Mark Baker, a former employee and independent auditor of MTNA, from violating the antifraud, filing and books and recordkeeping provisions of the federal securities laws. The complaint alleged that Hauge and Baker caused MTNA to file Forms 10-Q and 10-K with the Commission during 1988 and 1989 containing financial statements which, among other things, overstated inventory and accounts receivable. [SEC v. Montana Naturals Int'l., Inc. et al., D. Mt., Civil Action No. CV91-135-M-CCL] (LR-13198)

CERTIFIED PUBLIC ACCOUNTANT ENJOINED

The Commission announced that on March 2 the U.S. District Court for the Western District of Arkansas entered a Final Judgement of Permanent Injunction against Leroy P. Studer enjoining him from further aiding and abetting violations of the reporting provisions of the Securities Exchange Act of 1934. Studer consented to the Final Judgement without admitting or denying the Commission's allegations.

Studer, a certified public accountant located in Newport Beach, California, is the auditor for Gemini Energy Corporation, a Section 12(g) reporting company which was also permanently enjoined in the same civil action. According to the Commission's complaint, the defendants misrepresented in Commission filings and news releases Gemini's financial condition, including title to and the value of certain oil and gas leases; and the existence of legal proceedings affecting the company's properties and officers. [SEC v. Gemini Energy Corporation, et al., USDC, WD/ARK, Fort Smith Division, Civil Action No. 91-2157] (LR-13199)

INVESTMENT COMPANY ACT RELEASES

AMA FAMILY OF FUNDS, INC.

A notice has been issued giving interested persons until April 20 to request a hearing on an application filed by AMA Family of Funds, Inc. for an order under Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-18628 - March 24)

LAZARD SPECIAL EQUITY FUND, INC.

An order has been issued under Section 8(f) of the Investment Company Act declaring that Lazard Special Equity Fund, Inc. has ceased to be an investment company. (Rel. IC-18629 - March 24)

COLLEGE RETIREMENT EQUITIES FUND, ET AL.

A notice has been issued giving interested persons until April 20 to request a hearing on an application filed by College Retirement Equities Fund (CREF), Teachers Insurance and Annuity Association of America (TIAA) and College Credit Trust (Trust) (collectively, Applicants). The application is for an order of the Commission pursuant to Sections 6(c), 17(b) and 17(d) of the Investment Company Act and Rule 17d-1 thereunder. The order would exempt Applicants from the provisions of Section 17(a) and granting the necessary approvals under Section 17(d) and Rule 17d-1 thereunder. The exemptions would apply to the extent necessary to permit Applicants to participate in the Trust and for CREF to acquire TIAA's investment in the Trust and for TIAA to sell, either directly or through a redemption of TIAA's investment in the Trust, such interest to CREF. TIAA and the College Entrance Examination Board have created the Trust for the purpose of making educational loans, and CREF will be the principal investor. The Student Loan Marketing Association will administer the Trust and the loans and provide related services. (Rel. IC-18630 - March 24)

HOLDING COMPANY ACT RELEASES

THE CONNECTICUT LIGHT AND POWER COMPANY

An order has been issued authorizing The Connecticut Light and Power Company, a public-utility subsidiary company of Northeast Utilities, a registered holding company, to issue and sell up to \$632 million principal amount of its first mortgage bonds or preferred stock or a combination of both in one or more series from time to time through December 31, 1993. (Rel. 35-25500)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

WITHDRAWALS GRANTED

An order has been issued granting the application of Emisphere Technologies, Inc. to withdraw from listing and registration its Common Shares, \$.01 Par Value, on the Boston Stock Exchange. (Rel. 34-30510)

An order has been issued granting the application of Fidelity National Financial, Inc. to withdraw from listing and registration its Common Stock, \$.0001 Par Value, on the American Stock Exchange. (Rel. 34-30511)

WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until April 14 to comment on the application of OMI Corp. to withdraw its Common Stock, \$.50 Par Value, from listing and registration on the American Stock Exchange. (Rel. 34-30512)

A notice has been issued giving interested persons until April 16 to comment on the application of Magma Copper Company to withdraw its Subordinated Reset Debentures, due 2001, from listing and registration on the American Stock Exchange. (Rel. 34-30513)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-91-05) that would amend Schedule I to the NASD By-Laws, which governs the operation of the PORTAL Market and any trading effected in PORTAL securities. The PORTAL Market was established to provide a marketplace for primary distributions and secondary trading meeting the requirements of Rule 144A under The Securities Act of 1933. Publication of the proposal is expected in the Federal Register during the week of March 30. (Rel. 34-30508)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the National Association of Securities Dealers which amends Article III, Section 27 (g)(2) of the Rules of Fair Practice to amend the definition of "branch office." The rule change codifies existing interpretations of Section 27 (g)(2), which were published by the NASD to clarify for members the activities that trigger the branch office registration requirement. Publication of the proposal is expected in the Federal Register during the week of March 30. (Rel. 34-30509)

SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of significant staff correspondence interpreting the new Section 16 rules. Copies of the letter may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>Letter</u>	<u>Availability Date</u>	<u>Subject</u>
Kirkland & Ellis	March 26, 1992	Rule 16a-8

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-1 BUCKLE INC, 2407 WEST 24TH ST, KEARNEY, NE 68847 (308) 236-8491 - 1,955,000 (\$33,235,000) COMMON STOCK. (FILE 33-46294 - MAR. 17) (BR. 2 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TR FD MON PYMT SER 517, NY - INDEFINITE SHARES. (FILE 33-46430 - MAR. 18) (BR. 22 - NEW ISSUE)
- N-1A DG CITADEL FUNDS, FEDERATED INVESTORS TOWER, PITTSBURGH, PA 15222 (412) 288-8208 - INDEFINITE SHARES. (FILE 33-46431 - MAR. 18) (BR. 22)

REGISTRATIONS CONTINUED

- S-1 CELL GENESYS INC, 344 A LAKESIDE DR, FOSTER CITY, CA 94404 (415) 358-9600 - 3,450,000 (\$44,850,000) COMMON STOCK. UNDERWRITER: OPPENHEIMER & CO INC, ROBERTSON STEPHEN & CO. (FILE 33-46452 - MAR. 17) (BR. 4 - NEW ISSUE)
- S-3 RYKA INC, 249 OCEANA WAY, RTE 3 INDUSTRIAL PARK, NORWOOD, MA 02062 (617) 762-9900 - 325,000 (\$783,250) COMMON STOCK. (FILE 33-46461 - MAR. 18) (BR. 5)
- S-3 LOJACK CORP, 72 RIVER PK, NEEDHAM, MA 02194 (617) 444-4900 - 794,449 (\$1,837,163.31) COMMON STOCK. 2,859,451 (\$6,612,480.44) PREFERRED STOCK. 1,459,800 WARRANTS, OPTIONS OR RIGHTS. 1,459,800 (\$3,375,787.50) COMMON STOCK. (FILE 33-46462 - MAR. 18) (BR. 3)
- S-1 COMPUTONE CORPORATION, 259 RADNOR CHESTER RD STE 120, RADNOR, PA 19087 (215) 964-0652 - 2,550,236 (\$22,153,900.13) COMMON STOCK. (FILE 33-46463 - MAR. 18) (BR. 9)
- S-1 SEAHAWK DEEP OCEAN TECHNOLOGY INC, 5102 S WESTSHORE BLVD, TAMPA, FL 33611 (813) 832-4040 - 68,600,000 (\$3,215,625) COMMON STOCK. 4,588,000 (\$458,800) COMMON STOCK. 8,640,000 (\$518,400) COMMON STOCK. 33,894,112 (\$2,372,589) COMMON STOCK. 23,500,000 (\$1,099,250) COMMON STOCK. (FILE 33-46464 - MAR. 18) (BR. 4)
- S-3 GUILFORD MILLS INC, 4925 W MARKET ST, GREENSBORO, NC 27407 (919) 292-7550 - 65,281 (\$1,534,103.50) COMMON STOCK. (FILE 33-46465 - MAR. 18) (BR. 8)
- S-1 NEWS COMMUNICATIONS INC, 330 SEVENTH AVE 22ND FLR, NEW YORK, NY 10001 (212) 268-8600 - 21,900,600 (\$10,354,604) COMMON STOCK. 20,700,000 WARRANTS, OPTIONS OR RIGHTS. 21,900,600 (\$15,527,525) COMMON STOCK. (FILE 33-46467 - MAR. 19) (BR. 12)
- S-1 SUNBELT NURSERY GROUP INC, ONE RIDGMAR CNTR STE 600, 6500 W FREEWAY, FT WORTH, TX 76116 (817) 738-8111 - 600,000 (\$4,350,000) COMMON STOCK. (FILE 33-46468 - MAR. 19) (BR. 9)
- S-3 WORTHINGTON INDUSTRIES INC, 1205 DEARBORN DR, COLUMBUS, OH 43085 (614) 438-3210 - 300,000 (\$7,425,000) COMMON STOCK. (FILE 33-46470 - MAR. 19) (BR. 6)
- S-8 DMI INC /CO/, 1 HUGHES, IRVINE, CA 92718 (714) 583-1800 - 90,000 (\$326,250) COMMON STOCK. (FILE 33-46471 - MAR. 19) (BR. 10)
- S-8 INTERGROUP HEALTHCARE CORP /DE, 1010 NORTH FINANCE CENTER DR, TUCSON, AZ 85710 (602) 721-4444 - 750,000 (\$16,312,500) COMMON STOCK. (FILE 33-46472 - MAR. 19) (BR. 9)
- S-8 CENTURY TELEPHONE ENTERPRISES INC, PREMIER BLDG -7TH FLR, 1900 NORTH 18TH ST, MONROE, LA 71201 (318) 388-9500 - 1,250,000 (\$41,663,096) COMMON STOCK. 1,250,000 PREFERRED STOCK. (FILE 33-46473 - MAR. 19) (BR. 7)
- S-8 WESTERN STAR INC, 4000 KRUSE WAY PL BLDG 3, STE 300, LAKE OSWEGO, OR 97035 (503) 697-3000 - 500,000 (\$2,125,000) COMMON STOCK. (FILE 33-46474 - MAR. 19) (BR. 9)
- S-8 COOKER RESTAURANT CORP /OH/, 1530 BETHEL ROAD, COLUMBUS, OH 43220 (614) 457-8500 - 36,666 (\$460,524.96) COMMON STOCK. (FILE 33-46475 - MAR. 19) (BR. 12)
- S-8 HOME DEPOT INC, 2727 PACES FERRY RD, ATLANTA, GA 30339 (404) 433-8211 - 11,789,623 (\$722,114,408.75) COMMON STOCK. (FILE 33-46476 - MAR. 19) (BR. 10)
- S-3 LDOS COMMUNICATIONS INC /TN/, 4780 I-55 NORTH STE 500, LEFLEURS BLUFF TOWER, JACKSON, MS 39211 (601) 364-7000 - 64,736 (\$1,974,448) COMMON STOCK. (FILE 33-46477 - MAR. 19) (BR. 7)

REGISTRATIONS CONTINUED

- S-1 AMERICAN HEALTH CORP, 1100 EAST HECTOR ST STE 455, CONSHOHOCKEN, PA 19428
(215) 832-0440 - 200,000 (\$2,200,000) COMMON STOCK. 2,100,000 (\$23,100,000)
COMMON STOCK. 100,000 (\$1,000) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$1,100,000)
COMMON STOCK. UNDERWRITER: ADVEST INC, FOLEY MUFSON HOWE & CO. (FILE 33-46484 -
MAR. 17) (BR. 6 - NEW ISSUE)
- S-8 SEACOAST BANKING CORP OF FLORIDA, 815 COLORADO AVE, STUART, FL 34994 (407) 287-4000
- 300,000 (\$3,545,250) COMMON STOCK. (FILE 33-46504 - MAR. 18) (BR. 2)
- S-8 DYCOM INDUSTRIES INC, 450 AUSTRALIAN AVE SOUTH STE 860, WEST PALM BEACH, FL 33401
(407) 659-6301 - 900,000 (\$7,312,500) COMMON STOCK. (FILE 33-46506 - MAR. 18) (BR. 10)
- S-1 MEDAREX INC, 22 CHAMBERS ST, PRINCETON, NJ 08542 (609) 921-7121 - 2,645,000
(\$28,433,750) COMMON STOCK. (FILE 33-46509 - MAR. 18) (BR. 4)
- S-1 CONTINENTAL CABLEVISION INC, THE PILOT HOUSE, LEWIS WHARF, BOSTON, MA 02110
(617) 742-9500 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. 100,000,000
(\$100,000,000) STRAIGHT BONDS. (FILE 33-46510 - MAR. 19) (BR. 7)
- S-3 DEERE & CO, JOHN DEERE RD, MOLINE, IL 61265 (309) 765-8000 - 400,000,000
(\$400,000,000) STRAIGHT BONDS. 50,000,000 (\$50,000,000) WARRANTS, OPTIONS OR RIGHTS.
(FILE 33-46513 - MAR. 19) (BR. 4)
- S-3 DEERE JOHN CAPITAL CORP, FIRST INTERSTATE BANK BLDG, 1 E FIRST ST STE 600, RENO, NV
89501 (702) 786-5527 - 400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 33-46514 -
MAR. 19) (BR. 12)
- S-2 CORPORATE SOFTWARE INCORPORATED, 275 DAN RD, CANTON, MA 02021 (617) 821-4500 -
105,000 (\$1,824,375) COMMON STOCK. 1,338,250 (\$23,252,093) COMMON STOCK. (FILE
33-46516 - MAR. 19) (BR. 9)
- S-3 LENNAR CORP, 700 NW 107TH AVE, MIAMI, FL 33172 (305) 559-4000 - 86,250,000
(\$86,250,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-46517 - MAR. 19) (BR. 10)
- S-8 BURLINGTON RESOURCES INC, 999 THIRD AVE, SEATTLE, WA 98104 (206) 467-3838 - 100,000
(\$3,525,000) COMMON STOCK. (FILE 33-46518 - MAR. 19) (BR. 3)
- S-8 EL PASO NATURAL GAS CO, ONE PAUL KAYSER CENTER, 304 TEXAS AVE, EL PASO, TX 79901
(915) 541-2600 - 4,100,000 (\$84,818,750) COMMON STOCK. (FILE 33-46519 - MAR. 19)
(BR. 7)
- S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (617) 329-4700 -
1,600,000 (\$14,400,000) COMMON STOCK. (FILE 33-46520 - MAR. 19) (BR. 3)
- S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (617) 329-4700 - 200,000
(\$1,800,000) COMMON STOCK. (FILE 33-46521 - MAR. 19) (BR. 3)
- S-3 HANNA M A CO/DE, 1301 E 9TH ST STE 3600, CLEVELAND, OH 44114 (216) 589-4000 -
2,000,000 (\$50,125,000) COMMON STOCK. (FILE 33-46522 - MAR. 19) (BR. 5)
- S-6 FIRST TRUST SPEC SIT TR SER 30 FIRST TR INSURED CORP TR SE 4,
1001 WRENNEVILLE ROAD SUITE 300, LISLE, IL 60532 - INDEFINITE SHARES. DEPOSITOR:
NIKE SECURITIES LP. (FILE 33-46523 - MAR. 19) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST SERIES 285, ONE PARKVIEW PLAZA, OAKBROOK TERRACE, IL
60181 - 1,000 (\$1,010,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC.
(FILE 33-46524 - MAR. 19) (BR. 18 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-6 INSURED MUNICIPALS INCOME TRUST SERIES 283, ONE PARKVIEW PLAZA, OAKBROOK TERRACE, IL 60181 - 1,000 (\$1,010,000) UNIT INVESTMENT TRUST. DEPOSITOR: VAN KAMPEN MERRITT INC. (FILE 33-46525 - MAR. 19) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST SERIES 284, ONE PARKVIEW PLAZA, OAKBROOK TERRACE, IL 60181 - 1,000 (\$1,010,000) UNIT INVESTMENT TRUST. (FILE 33-46526 - MAR. 19) (BR. 18 - NEW ISSUE)
- S-1 HALL MARK ELECTRONICS CORP /DE/, 11333 PAGEMILL DR, DALLAS, TX 75243 (214) 343-5000 - 5,175,000 (\$87,975,000) COMMON STOCK. (FILE 33-46528 - MAR. 19) (BR. 3)
- S-8 JOHNSON PRODUCTS CO INC, 8522 S LAFAYETTE AVE, CHICAGO, IL 60620 (312) 483-4100 - 120,000 (\$2,205,000) COMMON STOCK. (FILE 33-46529 - MAR. 19) (BR. 10)
- S-8 GFC FINANCIAL CORP, 1850 NORTH CENTRAL AVE, PHOENIX, AZ 85004 (602) 207-4900 - 500,000 (\$11,500,000) COMMON STOCK. (FILE 33-46530 - MAR. 19) (BR. 12)
- S-1 CELLULAR TECHNICAL SERVICES CO INC, 2401 FOURTH AVE, SEATTLE, WA 98121 (206) 443-6400 - 1,150,000 (\$5,750,000) COMMON STOCK. 287,500 (\$2,300,000) COMMON STOCK. (FILE 33-46531 - MAR. 19) (BR. 9)
- S-2 ETOWN CORP, 600 SOUTH AVE, P O BOX 788, WESTFIELD, NJ 07091 (908) 654-1234 - 575,000 (\$15,956,250) COMMON STOCK. (FILE 33-46532 - MAR. 19) (BR. 8)
- S-3 METROPOLITAN FINANCIAL CORP /DE/, 6800 FRANCE AVE SOUTH, MINNEAPOLIS, MN 55435 (612) 928-5000 - 2,874,405 (\$66,290,965.31) COMMON STOCK. (FILE 33-46534 - MAR. 19) (BR. 1)
- S-2 HOOK SUPERX INC, 175 TRI COUNTY PKWY, CINCINNATI, OH 45246 (513) 782-3000 - 1,562,500 (\$26,562,500) COMMON STOCK. 9,319,375 (\$158,429,375) COMMON STOCK. (FILE 33-46537 - MAR. 19) (BR. 4)
- S-11 CHASE MORTGAGE FINANCE CORP, ONE CHASE MANHATTAN PLZ, C/O A HARDY EUBANKS III, NEW YORK, NY 10081 (212) 552-1358 - 2,000,000,000 (\$2,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 33-46538 - MAR. 19) (BR. 11)
- S-2 MID AM INC, 222 S MAIN ST, BOWLING GREEN, OH 43402 (419) 352-5271 - 1,150,000 (\$28,750,000) PREFERRED STOCK. (FILE 33-46539 - MAR. 19) (BR. 2)
- S-3 LDDS COMMUNICATIONS INC /TN/, 4780 I-55 NORTH STE 500, LEFLEURS BLUFF TOWER, JACKSON, MS 39211 (601) 364-7000 - 100,000 (\$3,050,000) COMMON STOCK. (FILE 33-46556 - MAR. 19) (BR. 7)
- S-3 MULTIMEDIA INC, 305 SOUTH MAIN ST, GREENVILLE, SC 29601 (803) 298-4373 - 180,000 (\$5,017,500) COMMON STOCK. (FILE 33-46557 - MAR. 19) (BR. 7)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ OWNED	CUSIP/ PRIORITY	FILING STATUS
ARA GROUP INC NEUBAUER JOSEPH	COM 13D	1/14/92	1,204 19.9	00203099 19.9	RVISION
AMAX GOLD INC FRIEDLAND ROBERT M	COM 13D	2/23/92	3,756 5.7	02312010 6.5	NEW
AMAX GOLD INC FRIEDLAND ROBERT M	COM 13D	2/23/92	3,756 5.7	02312010 6.5	RVISION
AMAX GOLD INC FRIEDLAND ROBERT M	COM 13D	2/23/92	3,756 5.7	02312010 6.5	RVISION
AMAX GOLD INC FRIEDLAND ROBERT M	COM 13D	2/23/92	3,756 5.7	02312010 6.5	RVISION
ASSOCIATED COMMUNICATIONS CO CL B BERKMAN JACK N	COM 13D	3/24/92	2,844 14.9	04554120 0.0	NEW
ASSOCIATED COMMUNICATIONS CO CL B BERKMAN JACK N	COM 13D	3/24/92	2,844 14.9	04554120 0.0	RVISION
ASSOCIATED COMMUNICATIONS CO CL B BERKMAN MYLES P	COM 13D	3/24/92	1,052 5.5	04554120 0.0	NEW
ASSOCIATED COMMUNICATIONS CO CL B BERKMAN MYLES P	COM 13D	3/24/92	1,052 5.5	04554120 0.0	RVISION
BANK OF GONZALES HOLDING CO NOLAND JOHN B	COM 13D	1/ 8/92	37 8.5	06234710 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
BANK OF GONZALES HOLDING CO NOLAND JOHN B	COM 13D	1/ 8/92	37 8.5	06234710 0.0	RVSION
ROBERT PHARMACEUTICAL CORP YAMANOUCHI PHARM CO ET AL	COM 13D	3/17/92	4,000 27.9	07704910 0.0	NEW
ROBERT PHARMACEUTICAL CORP YAMANOUCHI PHARM CO ET AL	COM 13D	3/17/92	4,000 27.9	07704910 0.0	RVSION
BELVEDERE CORP STOREBRAND AMERICA INC	COM 13D	3/20/92	3,253 54.8	08117810 54.8	UPDATE
BELVEDERE CORP STOREBRAND AMERICA INC	COM 13D	3/20/92	3,253 54.8	08117810 54.8	RVSION
CCC FRANCHISING CORP BRENNAN ROBERT E	COM 13D	2/12/92	17,000 54.0	12487710 54.0	RVSION
CENTER BKS INC O'CONNOR FRANCIS R	COM 13D	3/18/92	84 9.2	15140910 8.2	UPDATE
CENTER BKS INC O'CONNOR FRANCIS R	COM 13D	3/18/92	84 9.2	15140910 8.2	RVSION
CEZAR IND LTD DOLEZAL FAMILY L P	COM CL A 13D	3/13/92	7,548 12.9	15721610 0.0	NEW
CEZAR IND LTD DOLEZAL FAMILY L P	COM CL A 13D	3/13/92	7,548 12.9	15721610 0.0	RVSION
DIAGNOSTIC SCIENCES INC NEW FIRST CAPITAL PRTRRS ET AL	COM 13D	2/27/92	1,500 6.6	25246110 9.6	RVSION
DIAGNOSTIC SCIENCES INC NEW FIRST CAPITAL PRTRRS ET AL	COM 13D	2/27/92	1,500 6.6	25246110 9.6	RVSION
GALVEST INC AMSTER HOWARD ET AL	COM PAR \$0.10 13D	3/11/92	0 0.0	36416920 N/A	UPDATE
GALVEST INC AMSTER HOWARD ET AL	COM PAR \$0.10 13D	3/11/92	0 0.0	36416920 N/A	RVSION
GENISCO TECHNOLOGY CORP WARSCHAW LOUIS ET AL	COM 13D	3/13/92	1,800 34.2	37229810 0.0	NEW
GENISCO TECHNOLOGY CORP WARSCHAW LOUIS ET AL	COM 13D	3/13/92	1,800 34.2	37229810 0.0	RVSION
GYNEX INC HARRIS IRVING B ET AL	COM 13D	3/13/92	4,018 30.7	40377810 33.3	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
GYNEX INC HARRIS IRVING B ET AL	COM 13D	3/13/92	4,018 30.7	40377810 33.3	RVSION
LOGOS SCIENTIFIC INC ASSOCIATED TRADES INC	COM 13D	3/19/92	27,000 30.2	54190110 0.0	NEW
LOGOS SCIENTIFIC INC ASSOCIATED TRADES INC	COM 13D	3/19/92	27,000 30.2	54190110 0.0	RVSION
MANHATTAN LIFE INSURANCE CO UNION CENTRAL LIFE INS	LTD PART STK 13D	3/18/92	2,437 72.9	56308010 0.0	NEW
MANHATTAN LIFE INSURANCE CO UNION CENTRAL LIFE INS	LTD PART STK 13D	3/18/92	2,437 72.9	56308010 0.0	RVSION
MAXICARE HEALTH PLANS INC GENERAL MTRS PEN INVT ET AL	COM NEW 13D	3/10/92	2,547 26.5	57790420 0.0	NEW
MAXICARE HEALTH PLANS INC GENERAL MTRS PEN INVT ET AL	COM NEW 13D	3/10/92	2,547 26.5	57790420 0.0	RVSION
NACCO INDS RANKIN CLARA T ET AL	COM CL B 13D	3/16/92	2,004 95.0	62957920 76.8	UPDATE
NACCO INDS RANKIN CLARA T ET AL	COM CL B 13D	3/16/92	2,004 95.0	62957920 76.8	RVSION
NATURE FOOD CENTRES INC ROSSETTI RONALD L ET AL	COM 13D	3/11/92	2,572 51.4	63901610 0.0	NEW
NATURE FOOD CENTRES INC ROSSETTI RONALD L ET AL	COM 13D	3/11/92	2,572 51.4	63901610 0.0	RVSION
NEW PLAN RLTY TR ALGEMEEN BURGERLIJK PENSIOENFD	COM 13D	3/17/92	5,147 11.5	64805910 11.6	UPDATE
NEW PLAN RLTY TR ALGEMEEN BURGERLIJK PENSIOENFD	COM 13D	3/17/92	5,147 11.5	64805910 11.6	RVSION
NEWORLD BANCORP INC DEL KANEK JOHN A	COM 13D	12/26/91	332 8.2	65171110 9.0	UPDATE
NEWORLD BANCORP INC DEL KANEK JOHN A	COM 13D	12/26/91	332 8.2	65171110 9.0	RVSION
NUEVO ENERGY CO TORCHMARK CORP ET AL	COM 13D	12/18/91	2,773 31.9	67050910 29.0	UPDATE
NUEVO ENERGY CO TORCHMARK CORP ET AL	COM 13D	12/18/91	2,773 31.9	67050910 29.0	RVSION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
PRICE COMMUNICATIONS CORP APOLLO INVTS FUND L P ET AL	COM 13D	3/16/92	2,409 26.7	74143710 24.2	UPDATE
PRICE COMMUNICATIONS CORP APOLLO INVTS FUND L P ET AL	COM 13D	3/16/92	2,409 26.7	74143710 24.2	RVISION
RESOURCE GENERAL CORP T R SPORT INC	COM 13D	3/18/92	69 6.4	76121010 0.0	NEW
RESOURCE GENERAL CORP T R SPORT INC	COM 13D	3/18/92	69 6.4	76121010 0.0	RVISION
TRANSPORTATION CAPITAL CORP GLAUBINGER LAWRENCE D ET AL	COM 13D	3/16/92	1,429 74.7	89387210 41.0	UPDATE
TRANSPORTATION CAPITAL CORP GLAUBINGER LAWRENCE D ET AL	COM 13D	3/16/92	1,429 74.7	89387210 41.0	RVISION
UNITED INNS INC GAMCO INVESTORS INC ET AL	COM 13D	3/19/92	449 17.0	91068810 18.1	UPDATE
UNITED INNS INC GAMCO INVESTORS INC ET AL	COM 13D	3/19/92	449 17.0	91068810 18.1	RVISION
WESTERN STAR BUS SYS ALLEN & CO INC ET AL	COM 13D	3/16/92	425 17.9	95959930 19.2	UPDATE
WESTERN STAR BUS SYS ALLEN & CO INC ET AL	COM 13D	3/16/92	425 17.9	95959930 19.2	RVISION

SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

Consumer Affairs (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

Personnel Locator (202-272-2550): Requests for names and phone numbers of Commission personnel.

Public Affairs (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

Public Reference (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

SEC Information Line (202-272-3100/5624): General information about SEC operations and activities through a series of recorded messages.
