

# sec news digest

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Issue 92-19

JAN 31 1992

January 29, 1992

U.S. SECURITIES  
EXCHANGE COMMISSION

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## COMMISSION ANNOUNCEMENTS

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### EXECUTIVE DIRECTOR TO HOLD PRESS BRIEFING

On Thursday, January 30, at 10:00 a.m., James M. McConnell, Executive Director, will hold a press briefing on the Commission's FY 1993 Budget Estimate. The briefing will be held in the open Commission meeting room, Room 1C30. (Press Rel. 92-08).

### SEC MARKET OVERSIGHT AND FINANCIAL SERVICES ADVISORY COMMITTEE MEETING

The Securities and Exchange Commission Market Oversight and Financial Services Advisory Committee will conduct a meeting on February 5 at 8:30 a.m. in Room 1C30 at the Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. The meeting is open to the public. The public may submit written comments to the Committee. (Rel. 34-30287; File No. 265-17)

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## ADMINISTRATIVE PROCEEDINGS

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### ROGER J. HOUDEK SANCTIONED

Chief Administrative Law Judge Warren E. Blair issued an initial decision barring Roger J. Houdek of Englewood, Colorado from association with any broker-dealer except that after one year he may become employed by a registered broker-dealer in a non-proprietary, non-supervisory position as a registered representative restricted to transactions in mutual fund shares and variable annuities.

Judge Blair found that Houdek had been permanently enjoined on April 24, 1991 by the United States District Court for the District of Colorado from violations of antifraud provisions and broker-dealer registration provisions of the Securities Exchange Act of 1934 and of the securities registration provisions of the Securities Act of 1933. Judge Blair also found that during the period from about July, 1982 to November, 1984 in the offer and sale of unregistered partnership interests of Colorado Planning Associates, Inc., Houdek wilfully aided and abetted violations of the broker-dealer registration provisions of the Securities Exchange Act of 1934, wilfully violated the antifraud provisions of the Securities Act of 1933 and of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and wilfully violated the securities registration provisions of the Securities Act of 1933. (I.D. Release No. 25)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGES

The Government Securities Clearing Corporation filed a proposed rule change (SR-GSCC-92-01) under Rule 19b-4 requesting permanent approval of GSCC's netting service for zero coupon Government securities. Publication of the proposal is expected in the Federal Register during the week of February 3. (Rel. 34-30291)

The Participants Trust Company filed a proposed rule change (SR-PTC-92-01) under Rule 19b-4 to modify the PTC's rebate policy relating to excess earnings from principle and interest payments. Publication of the proposal is expected in the Federal Register during the week of February 3. (Rel. 34-30292)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the American Stock Exchange (SR-Amex-91-27) to allow the Amex to list for trading options on the Standard & Poor's MidCap 400 Stock Index (MidCap). The MidCap is a market-weighted index composed of 400 domestic stocks designed to track the performance of mid-sized companies. Publication of the proposal is expected in the Federal Register during the week of February 3. (Rel. 34-30290)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 FLEET NORSTAR FINANCIAL GROUP INC, 50 KENNEDY PLZ, PROVIDENCE, RI 02903  
(401) 278-5800 - 605,000,000 (\$605,000,000) STRAIGHT BONDS. (FILE 33-45137 - JAN. 17)  
(BR. 2)
- S-4 TRANS FINANCIAL BANCORP INC, 500 E MAIN ST, BOWLING GREEN, KY 42101 (502) 781-5000  
- 857,056 (\$8,576,477) COMMON STOCK. (FILE 33-45141 - JAN. 17) (BR. 1)
- S-1 ZILOG INC, 210 E HACIENDA AVE, CAMPBELL, CA 95008 (408) 370-8000 - 1,437,500  
(\$30,007,812) COMMON STOCK. (FILE 33-45157 - JAN. 21) (BR. 3)
- S-3 SOUTHWEST AIRLINES CO, 2702 LOVE FIELD DR, P O BOX 36611, DALLAS, TX 75235  
(214) 904-4000 - 2,300,000 (\$86,968,750) COMMON STOCK. (FILE 33-45158 - JAN. 21)  
(BR. 3)
- S-4 EHRLICH BOBER FINANCIAL CORP, 1525 KINGS HWY EAST, FAIRFIELD, CT 06430  
(203) 338-0229 - 2,898,743 (\$898,611) COMMON STOCK. (FILE 33-45159 - JAN. 21) (BR. 12)
- S-2 BURNHAM PACIFIC PROPERTIES INC, 610 W ASH ST STE 2001, SAN DIEGO, CA 92101  
(619) 236-1555 - 75,000 (\$75,000,000) CONVERTIBLE DEBENTURES AND NOTES. 1,500,000  
(\$23,625,000) COMMON STOCK. (FILE 33-45160 - JAN. 21) (BR. 6)

REGISTRATIONS CONTINUED

- S-3 JONES INTERCABLE INC, 9697 E MINERAL AVE, ENGLEWOOD, CO 80112 (303) 792-3111 - 5,000,000 (\$66,250,000) COMMON STOCK. (FILE 33-45161 - JAN. 21) (BR. 7)
- S-6 KANSAS TAX EXEMPT TRUST SERIES 49, 120 S MARKET ST STE 610, C/O RANSON & CO INC, WICHITA, KS 67202 - 1,000 (\$1,010,000) UNIT INVESTMENT TRUST. (FILE 33-45163 - JAN. 21) (BR. 17 - NEW ISSUE)
- S-6 NEBRASKA TAX EXEMPT TRUST SERIES 1, 120 SOUTH MARKET STE 450, WICHITA, KS 67202 - 1,000 (\$1,010,000) UNIT INVESTMENT TRUST. DEPOSITOR: RANSON & CO INC. (FILE 33-45164 - JAN. 21) (BR. 18 - NEW ISSUE)
- S-8 TRIMBLE NAVIGATION LTD /CA/, 585 N MARY AVE, SUNNYVALE, CA 94088 (408) 481-2900 - 308,945 (\$4,834,989) COMMON STOCK. 691,055 (\$11,920,699) COMMON STOCK. 37,038 (\$638,906) COMMON STOCK. (FILE 33-45167 - JAN. 21) (BR. 7)
- S-8 COMPTOK RESEARCH INC/NY, 110 BROADWAY, BUFFALO, NY 14203 (716) 842-2700 - 199,650 (\$4,804,177.90) COMMON STOCK. (FILE 33-45168 - JAN. 21) (BR. 10)
- S-8 TRC COMPANIES INC /DE/, 5 WATERSIDE CROSSING, WINDSOR, CT 06095 (203) 289-8631 - 250,000 (\$3,625,000) COMMON STOCK. (FILE 33-45169 - JAN. 21) (BR. 8)
- S-8 RESEARCH INC, 6425 FLYING CLOUD DR, EDEN PRAIRIE, MN 55344 (612) 941-3300 - 110,000 (\$385,000) COMMON STOCK. (FILE 33-45170 - JAN. 21) (BR. 9)
- S-8 DNA PLANT TECHNOLOGY CORP, 2611 BRANCH PIKE, CINNAMINSON, NJ 08077 (609) 829-0110 - 300,000 (\$2,437,500) COMMON STOCK. 4,711,111 COMMON STOCK. (FILE 33-45171 - JAN. 21) (BR. 8)
- S-8 IDEC PHARMACEUTICALS CORP / CA, 11099 N TORREY PINES RD STE 160, LA JOLLA, CA 92037 (619) 458-0600 - 955,201 (\$18,148,819) COMMON STOCK. (FILE 33-45172 - JAN. 21) (BR. 4)
- S-3 COUNTRYWIDE CREDIT INDUSTRIES INC, 155 NORTH LAKE AVE, PASADENA, CA 91109 (818) 304-8400 - 3,450,000 (\$130,668,750) COMMON STOCK. (FILE 33-45174 - JAN. 22) (BR. 12)
- S-8 STEPHAN CO, 1850 W MCNAB RD, FORT LAUDERDALE, FL 33309 (305) 971-0600 - 90,000 (\$2,098,980) COMMON STOCK. (FILE 33-45175 - JAN. 21) (BR. 9)
- S-3 TANDY CORP /DE/, 1800 ONE TANDY CNTR, FORT WORTH, TX 76102 (817) 390-3700 - 16,500,000 (\$441,375,000) PREFERRED STOCK. 165,000 PREFERRED STOCK. 16,500,000 COMMON STOCK. (FILE 33-45180 - JAN. 21) (BR. 10)
- F-1 I S G TECHNOLOGIES INC, 3030 ORLANDO DR, MISSISSAUGA ONTARIO L4V 1S8, A6 (416) 672-2100 - 650,000 (\$9,506,250) FOREIGN COMMON STOCK. 2,486,000 (\$36,357,750) FOREIGN COMMON STOCK. UNDERWRITER: GORDON CAPITAL CORP, SMITH BARNEY HARRIS UPHAM & CO, TUCKER ANTHONY. (FILE 33-45181 - JAN. 21) (BR. 9 - NEW ISSUE)
- S-4 ALEXANDER ENERGY CORP, 701 CEDAR LAKE BLVD, OKLAHOMA CITY, OK 73114 (405) 478-8686 - 907,231 (\$2,630,969.90) COMMON STOCK. (FILE 33-45182 - JAN. 21) (BR. 12)
- S-3 DELTA AIR LINES INC /DE/, HARTSFIELD ATLANTA INTL AIRPORT, ATLANTA, GA 30320 (404) 765-2600 - 23,200,000 (\$23,200,000) EQUIPMENT TRUST CERTIFICATES. 23,200,000 (\$23,200,000) EQUIPMENT TRUST CERTIFICATES. 36,800,000 (\$36,800,000) EQUIPMENT TRUST CERTIFICATES. 73,600,000 (\$73,600,000) EQUIPMENT TRUST CERTIFICATES. 52,000,000 (\$52,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-45186 - JAN. 21) (BR. 3)
- S-1 FIRST MORTGAGE CORP /CA/, 3230 FALLOWFIELD DR, DIAMOND BAR, CA 91765 (714) 595-1996 - 1,150,000 (\$11,500,000) COMMON STOCK. UNDERWRITER: CROWELL WEEDON & CO. (FILE 33-45187 - JAN. 21) (BR. 12)

## REGISTRATIONS CONTINUED

- S-8 MICHIGAN NATIONAL CORP, 27777 INKSTER RD, FARMINGTON HILLS, MI 48018 (313) 473-3000 - 200,000 (\$3,537,500) COMMON STOCK. (FILE 33-45188 - JAN. 21) (BR. 2)
- S-3 FLEMING COMPANIES INC /OK/, 6301 WATERFORD BLVD, P O BOX 26647, OKLAHOMA CITY, OK 73126 (405) 840-7200 - 600,000 (\$19,837,500) COMMON STOCK. 77,190 (\$2,420,732.84) COMMON STOCK. (FILE 33-45190 - JAN. 21) (BR. 3)
- S-4 BRISTOL HOLDINGS INC, 2900 S HIGHLAND DR, BLDG 18-C, LAS VEGAS, NV 89109 (702) 735-7774 - 660,000 (\$1,732,500) COMMON STOCK. (FILE 33-45192 - JAN. 21) (BR. 12)
- S-1 SOMATIX THERAPY CORPORATION, 850 MARINA VILLAGE PKWY, ALAMEDA, CA 94501 (415) 748-3000 - 3,450,000 (\$43,125,000) COMMON STOCK. (FILE 33-45193 - JAN. 21) (BR. 4)
- S-8 WITCO CORP, 520 MADISON AVE, NEW YORK, NY 10022 (212) 605-3800 - 100,000 (\$4,100,000) COMMON STOCK. (FILE 33-45194 - JAN. 21) (BR. 1)
- N-2 VAN KAMPEN MERRITT TRUST FOR INVESTMENT GRADE CALIFORNIA MUN, ONE PARKVIEW PLAZA, OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE 33-45195 - JAN. 21) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT TRUST FOR INVESTMENT GRADE NEW JERSEY MUN, ONE PARKVIEW PLAZA, OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE 33-45196 - JAN. 21) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT TRUST FOR INVESTMENT GRADE NEW YORK MUNIC, ONE PARKVIEW PLZ, OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE 33-45197 - JAN. 21) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT TRUST FOR INVESTMENT GRADE FLORIDA MUNICI, ONE PARKVIEW PLAZA, OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE 33-45198 - JAN. 21) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT TRUST FOR INVESTMENT GRADE PENNSYLVANIA M, ONE PARKVIEW PLZ, OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE 33-45199 - JAN. 21) (BR. 18 - NEW ISSUE)
- S-1 AMBAC INC /DE/, ONE STATE STREET PLAZA, NEW YORK, NY 10004 (212) 668-0340 - 17,400,000 (\$538,312,500) COMMON STOCK. (FILE 33-45201 - JAN. 22) (BR. 10)

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## ACQUISITION OF SECURITIES

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Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form

filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AUDIO COMMUNICT NETWRK EDWARDS FRED C ET AL	COM 13D	12/20/91	115 7.0	05099410 6.1	UPDATE
BIOMEDICAL WASTE SYS INC DAVIS J MORTON ET AL	COM 13D	1/16/92	2,289 26.0	09199710 25.0	UPDATE
COMPUTER AUTOMATION INC SHEA EDMUND H JR	COM 13D	1/10/92	149 4.9	20490110 5.4	UPDATE
DATAPOINT CORP THOMAS BLAKE D ET AL	PFD 13D	1/22/92	146 7.6	23810030 7.1	UPDATE
DIAGNOSTIC SCIENCES INC NEW FIRST CAPITAL PRTRNS ET AL	COM 13D	1/22/92	2,931 12.6	25246110 14.3	UPDATE
ELSINORE CORP GOLDSMITH FINL	COM NEW 13D	1/22/92	2,408 20.0	29030820 20.0	UPDATE
FLAGSHIP FINL CORP ASSET VALUE FUND L P	COM 13D	1/22/92	62 1.8	33841310 9.8	UPDATE
FLAGSHIP FINL CORP JAINDL FREDERICK JOHN	COM 13D	1/21/92	345 10.0	33841310 10.0	UPDATE
HARPER GROUP GIBERT PETER	COM 13D	1/21/92	752 6.8	41345910 7.0	UPDATE
KENT ELECTRONICS CORP KENT ELECTRONICS CORP	COM 13D	1/14/92	600 9.6	49055310 0.0	NEW
MAF BANCORP INC HANAUER JOE F	COM 13D	1/19/92	173 4.9	55399810 5.8	UPDATE
MIDWEST ENERGY COS INC MIDWEST ENERGY CORP ET AL	COM 13D	12/20/91	48,090 80.0	59899510 0.0	NEW
NEWCOR INC LAWSON WILLIAM A	COM 13D	1/17/92	51 1.6	65118610 16.4	UPDATE
NOVA CORP OF ALBERTA CAISSE DE DEPOT DU QUEBEC	COM 13D	1/ 7/92	20,392 6.3	66977110 5.2	UPDATE
OUTLET COMMUNICATIONS INC GAMCO INVESTORS INC ET AL	CL A 13D	1/21/92	554 8.5	69011110 11.0	UPDATE
OXFORD CONS INC GEO-PARTNERS LTD	COM NEW 13D	10/ 9/91	250 25.3	69141530 0.0	NEW
PACIFIC AGRICULTURAL HLDGS ERIN INVESTMENTS	COM 13D	9/30/91	0 0.0	69376110 N/A	UPDATE
PACIFIC AGRICULTURAL HLDGS FOREIGN & COLONIAL MGMT ET AL	COM 13D	12/17/91	2,370 9.4	69376110 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
PACIFIC AGRICULTURAL HLDGS QUEEN ANNES GATE ASSET MGMT	COM 13D	12/19/91	2,750 7.5	69376110 0.0	NEW
PIONEER GROUP INC TWEEDY BROWNE CO L P ET AL	COM 13D	1/20/92	247 4.0	72368410 6.4	UPDATE
SECURITY FINL GRP MILLER JAMES W	COM 13D	12/27/91	64 9.1	81424310 7.7	UPDATE
SIXX HOLDINGS INC KNOX JACK D	COM 13D	1/14/92	1,857 43.0	83013510 41.5	UPDATE
SPEAR FINL SVCS INC MITCHELL JAMES K	COM 13D	1/17/92	642 9.0	84731010 11.9	UPDATE
SUN CITY INDS INC GOLDBERG ALAN I ET AL	COM 13D	1/21/92	109 7.6	86666510 9.3	UPDATE
TRANSCANADA PIPELINES LTD CAISSE DE DEPOT DU QUEBEC	COM 13D	1/ 7/92	14,501 8.5	89352610 6.7	UPDATE
U S LONG DISTANCE CORP DAVIS J MORTON ET AL	COM NEW 13D	12/31/91	417 4.7	91191220 14.1	UPDATE
VALUEVISION INTL DAVIS J MORTON ET AL	COM 13D	1/ 9/92	889 13.1	92099310 24.4	UPDATE
VETERINARY CTRS AMER INC LIGNEL JEAN-CHARLES	COM 13D	1/12/92	1,202 23.5	92551410 23.5	RVISION
WELLS GARDNER ELECTRS CORP KAUFMAN ALAN ET AL	COM 13D	1/15/92	76 2.0	94976510 6.1	UPDATE
WORDSTAR INTL INC POSNER RONALD S	COM 13D	9/19/91	1,131 7.7	98136310 0.0	NEW
XSIRIUS SCIENTIFIC INC DAVIS J MORTON ET AL	COM 13D	1/17/92	1,209 35.8	98390810 38.3	UPDATE
ACS ENTERPRISES INC SELZTER LOUIS N	COM 13D	12/23/91	1,212 25.3	00087210 0.0	NEW
AKORN INC KAPOOR JOHN N	COM 13D	1/15/92	3,250 28.2	00972810 26.1	UPDATE
CARETENDERS HEALTHCORP HEALTHSOUTH REHAB CORP	COM 13D	1/14/92	4,076 34.9	14174010 0.0	NEW
CARDINAL FINL GROUP INC MILES BILLY JOE ET AL	COM 13D	1/21/92	189 15.7	14199510 8.0	UPDATE
CAROLCO PICTURES INC CANAL+ S A ET AL	COM 13D	1/15/92	4,817 16.1	14376310 6.3	UPDATE

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
CIRCLE K CORP	COM		80	17257610	
AMERICAN FINANCIAL CORP ET AL	13D	12/27/91	0.2	7.6	UPDATE
CITY INVSTNG LIQUIDATING TR	UTS BEN INT		5,923	17790010	
FARALLON CAP MGMT ET AL	13D	1/14/92	15.2	12.9	UPDATE
COMMUNITY PSYCHIATRIC CTRS	COM		3,603	20401510	
INVESCO MIM INC ET AL	13D	1/24/92	7.8	7.4	UPDATE
DAKA INTL INC	COM		2,222	23406810	
FIRST CAPITAL CO/CHICAGO ET AL	13D	1/17/92	41.9	0.0	NEW
DIAGNOSTIC SCIENCES INC NEW	COM		2,181	25246110	
FIRST CAPITAL PRTRNS ET AL	13D	1/23/92	9.4	12.6	UPDATE
ELECTROSOURCE INC	COM		6,400	28615010	
BATTERY HORIZONS ET AL	13D	1/16/92	51.0	0.0	NEW
FEDERAL NATL MTG ASSN	COM		18,799	31358610	
FIDELITY INTL LTD	13D	1/ 2/92	6.9	8.1	UPDATE
FEDERAL NATL MTG ASSN	COM		18,799	31358610	
FMR CORP	13D	1/13/92	6.9	8.1	UPDATE
GENETICS INST INC	COM		24,116	37185510	
AHP BIOTECH HOLDINGS INC	13D	1/16/92	100.0	0.0	NEW
HMO AMER INC	COM		2,614	40499010	
TANNEBAUM THEODORE	13D	12/10/91	27.2	26.4	UPDATE
HMO AMER INC	COM		535	40499010	
WEINSTEIN ROBERT J	13D	12/10/91	5.6	4.5	UPDATE
INTERNATIONAL RECTIFIER CORP	COM		1,238	46025410	
STATE OF WISCONSIN INVEST BD	13D	12/ 9/91	6.2	0.0	NEW
JAMES RIVER CORP VA	COM		7,473	47034910	
INVESCO MIM INC ET AL	13D	1/16/92	9.2	0.0	NEW
KENT ELECTRONICS CORP	COM		555	49055310	
HARTZELL CORP	13D	1/14/92	8.9	0.0	NEW
LAWRENCE SV BK MA NEW	COM		210	52034110	
CONSOL ELEC DIST EMP RET PL	13D	1/16/92	4.9	8.9	UPDATE
MICHAEL FOODS INC	COM		992	59407410	
STATE OF WISCONSIN INVEST BD	13D	12/11/91	5.1	N/A	UPDATE
MITEL CORP	COM		40,265	60671110	
BRITISH TELECOMMUNICATIONS PLC	13D	1/17/92	51.0	19.9	UPDATE
NATIONAL CONVENIENCE STORES	COM		1,105	63557010	
BOMBAYWALA GHULAM M ET AL	13D	1/17/92	5.2	0.0	NEW
NATIONAL CONVENIENCE STORES	COM		28	63557010	
CHAMBERS F CLAYTON ET AL	13D	1/24/92	0.1	0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
PACIFIC ENTERPRISES PSS INC ET AL	COM 13D	1/21/92	3,373 4.7	69423210 5.8	UPDATE
PENRIL DATA COMMUNICATIONS EPSTEIN HENRY D	COM 13D	1/20/92	1,432 24.2	70935210 25.3	UPDATE
RPC ENERGY SVCS INC GAMCO INVESTORS INC ET AL	COM 13D	1/21/92	640 4.4	74966010 4.9	UPDATE
RE CAP CORP WORMS M M & CIE ET AL	COM 13D	1/ 6/92	354 5.0	75490410 10.4	UPDATE
REPUBLIC HEALTH CORP DEL MARTIN CHARLES N JR	COM 13D	1/15/92	1,000 7.1	76048110 0.0	NEW
SHOPKO STORES INC STATE OF WISCONSIN INVEST BD	COM 13D	11/22/91	1,668 5.2	82491110 0.0	NEW
SNYDER OIL CORP SNYDER JOHN C	COM 13D	12/24/91	2,679 11.7	83348210 12.9	UPDATE
SOUTHERN UN CO NEW LINDEMANN GEORGE L ET AL	COM 13D	1/15/92	1,305 24.7	84403010 23.6	UPDATE
TIDEWATER INC BESSEMER CAP PARTNERS LP	COM 13D	1/15/92	7,764 14.8	88642310 0.0	NEW
TIDEWATER INC CORPORATE ADVISORS ET AL	COM 13D	1/15/92	7,764 14.8	88642310 0.0	NEW
TIDEWATER INC ZAPATA CORP	COM 13D	1/15/92	10,461 20.0	88642310 0.0	NEW
TODD SHIPYARDS CORP MACTIER JAMES ALLAN	COM 13D	1/17/92	777 6.5	88903910 5.1	UPDATE
URETHANE TECH INC PEIZER TERREN S	COM 13D	1/17/92	4,070 58.1	91724610 100.0	UPDATE
WESTERN CO NORTH AMER FIDELITY INTL LTD	COM PAR 0.10 13D	1/13/92	791 4.5	95804340 5.6	UPDATE

**RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.



- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AAON INC	NV					X				11/30/91	
AARON RENTS INC	GA			X				X		01/17/92	
ACTION STAFFING INC	NY			X				X		01/13/92	
ADVANCED MONITORING SYSTEMS INC	CO	NO ITEMS								01/15/92	
AFC HOME EQUITY LOAN TRUST 1991-3	NJ			X				X		01/15/92	
AFC HOME EQUITY LOAN TRUST 1991-4	NJ			X				X		01/15/92	
AFFILIATED BANKSHARES OF COLORADO INC	CO					X				01/23/92	
AFFILIATED PUBLICATIONS INC	MA							X		12/27/91	AMEND
AGRISTAR INC	DE			X				X		12/16/91	AMEND
AIM TELEPHONES INC	NJ			X		X		X		01/07/92	
AIR METHODS CORP	DE							X		11/12/91	AMEND
ALLIED GROUP INC	IA					X		X		01/24/92	
ALLIED STORES CORP	DE			X				X		01/10/92	
ALLIED WASTE INDUSTRIES INC	DE					X		X		01/08/92	
ALTER SALES CO INC	FL					X				01/22/92	
AMERICAN BIODYNAMICS INC								X		01/20/92	
AMERICAN ENTERPRISES INC	NV			X				X		01/09/92	
AMERICAN PHYSICIANS SERVICE GROUP INC	TX			X						01/10/92	
AMERICAN STEEL & WIRE CORPORATION	DE			X						01/24/92	
AMERICOLD CORP /OR/	OR			X						01/24/92	
AMES DEPARTMENT STORES INC	DE			X				X		01/23/92	
AMGEN INC	DE			X				X		01/17/92	
ARTECH RECOVERY SYSTEMS INC	WA			X						01/17/92	
ARTISOFT INC	DE					X				01/16/92	
ASSIX INTERNATIONAL INC	DE					X		X		01/24/92	
ASSOCIATES CORPORATION OF NORTH AMERICA	DE							X		01/21/92	
ATLANTA GAS LIGHT CO	GA					X				01/10/92	
BALCOR EQUITY PROPERTIES XIV	IL			X				X		01/07/92	
BALTIMORE GAS & ELECTRIC CO	MD			X				X		01/23/92	
BAREFOOT INC /DE	DE			X				X		01/03/92	
BARNETT BANKS INC	FL					X				01/09/92	
BAROID CORPORATION /DE	DE			X				X		01/15/92	
BAUSCH & LOMB INC	NY			X						01/21/92	
BAYLY CORP	DE			X				X		01/16/92	
BEEBAS CREATIONS INC	CA			X				X		01/27/92	
BELL W & CO INC	DC			X						01/09/92	
BENNETT PETROLEUM CORP	CO			X						12/23/91	
BIO REFERENCE LABORATORIES INC	NJ			X				X		12/31/91	
BLYTH HOLDINGS INC	DE			X						01/16/92	
BOATMENS BANCSHARES INC /MO	MO							X		01/22/92	
BOATMENS BANCSHARES INC /MO	MO			X				X		01/23/92	
BONNEVILLE PACIFIC CORP	DE			X				X		12/05/91	
BUD FINANCIAL GROUP INC	CO			X						01/14/92	
BURLINGTON HOLDINGS INC	DE			X						01/20/92	
BURLINGTON INDUSTRIES CAPITAL INC	DE			X						01/20/92	
CAROLCO PICTURES INC	DE			X				X		12/30/91	
CELLULAR COMMUNICATIONS INC /DE	DE			X						01/27/92	
CENDEL CORP	KS			X				X		01/23/92	
CENTRAL FINANCIAL CORP /PA/	PA			X				X		01/17/92	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
CENTRAL TELEPHONE CO	DE					X		X		01/23/92	
CENTURION MINES CORP	UT					X				01/23/92	
CENTURY PROPERTIES FUND XV	CA	X						X		01/10/92	
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE					X		X		01/15/92	
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE					X		X		01/15/92	
CHASE MANHATTAN CREDIT CARD TRUST 1990-A						X		X		01/15/92	
CHASE MANHATTAN CREDIT CARD TRUST 1991-A	DE					X		X		01/15/92	
CHASE MANHATTAN GRANTOR TRUST 1991-A						X				01/15/92	
CHIPPEWA RESOURCES CORP/CO	CO	X				X				01/08/92	
CHIQUELITA BRANDS INTERNATIONAL INC	NJ					X				12/20/91	
CHIRON CORP	DE					X		X		01/08/92	
CITICORP	DE					X				01/21/92	
CITICORP MORT SEC INC REMIC PASS THRO CI				X						01/23/92	
CLEAN HARBORS INC	MA								X	01/20/92	
COMCAST CORP	PA					X		X		01/16/92	
COMDISCO RECEIVABLES TRUST 1991-A	DE					X		X		01/14/92	
COMMERCEBANCORP	CA					X		X		01/23/92	
COMMONWEALTH ENERGY SYSTEM	MA					X		X		01/23/92	
COMPUTER PRODUCTS INC	FL	X						X		01/03/92	
COMSTOCK RESOURCES INC	NV							X		11/12/91	AMEND
CONCORD CAMERA CORP	NJ					X				01/22/92	
CONKLIN CO INC	MN	NO ITEMS								01/14/92	
CORESTATES FINANCIAL CORP	PA					X		X		01/22/92	
CORNING INC /NY	NY					X		X		01/20/92	
CRAY COMPUTER CORP	DE					X		X		01/20/92	
CYTRX CORP	DE					X		X		01/23/92	
DAEDALUS ENTERPRISES INC	DE					X				01/17/92	
DIGITAL METCOM INC	NV					X		X		01/02/92	
DIMENSIONAL VISIONS GROUP LTD	DE	X								01/22/92	
DIRECT PHARMACEUTICAL CORP	DE	X						X		01/22/90	
DISCOVER CARD TRUST 1990 A	DE					X		X		01/15/92	
DISCOVER CARD TRUST 1990 B	DE					X		X		01/15/92	
DISCOVER CARD TRUST 1991 A	DE					X		X		01/15/92	
DISCOVER CARD TRUST 1991 C	DE					X		X		01/15/92	
DISCOVER CARD TRUST 1991 D	DE					X		X		01/15/92	
DISCOVER CARD TRUST 1991 E	DE					X		X		01/15/92	
DISCOVER CARD TRUST 1991-B	DE					X		X		01/15/92	
DIVERSIFIED RETAIL GROUP INC	MD	X	X							01/15/92	
DRCA MEDICAL CORP	TX	X						X		01/06/92	
EAGLE HOLDINGS INC	CO							X		01/13/92	
EMPLOYEE BENEFIT PLANS INC	DE	X								01/17/92	
EQUITABLE RESOURCES INC /PA/	PA					X		X		01/22/92	
EXOLON ESK CO	DE							X		01/17/92	
FAST FOOD OPERATORS INC	NY	NO ITEMS								12/30/91	AMEND
FBS MORTGAGE CORP MOR PA THR CERT SERIES	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORT PAS THR CERT SERI	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PAS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NE	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS								01/14/92	
FCC 1991-A GRANTOR TRUST	CA							X	X	01/15/92	
FEDERATED DEPARTMENT STORES INC	DE			X				X		01/10/92	
FIRST AMERICAN FINANCIAL CORP	CA							X		11/08/91	AMEND
FIRST BANK SYSTEM INC	DE	X				X		X		01/15/92	
FIRST CONNECTICUT SMALL BUSINESS INVESTM	CT		X	X		X		X		01/09/92	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
FIRST INTERSTATE BANCORP /DE/	DE					X	X			01/22/92	
FIRST INTERSTATE BANK OF NEVADA NATIONAL						X				01/15/92	
FIRST INTERSTATE BANK OF WASHINGTON NA						X				01/15/92	
FIRST USA BANK	DE					X	X			01/15/92	
FIRST USA CREDIT CARD BACKED NOTES SERIE	DE					X	X			01/15/92	
FLAGSHIP FINANCIAL CORP	PA					X	X			01/20/92	
FLEET CONSUMER DISCOUNT CO /PA/	RI					X	X			12/16/91	
FLEET CONSUMER DISCOUNT CO /PA/	RI					X	X			01/15/92	
FLEET CONSUMER DISCOUNT CO /PA/	RI					X	X			01/22/92	
FLEET FINANCE & MORTGAGE INC/FL/	RI					X	X			12/16/91	
FLEET FINANCE & MORTGAGE INC/FL/	RI					X	X			01/15/92	
FLEET FINANCE & MORTGAGE INC/FL/	RI					X	X			01/22/92	
FLEET FINANCE & MORTGAGE INC/NC	RI					X	X			12/16/91	
FLEET FINANCE & MORTGAGE INC/NC	RI					X	X			01/15/92	
FLEET FINANCE & MORTGAGE INC/NC	RI					X	X			01/22/92	
FLEET FINANCE HOME EQUITY TRUST 1991-1	RI					X	X			01/22/92	
FLEET FINANCE HOME EQUITY TRUST 1991-2	RI					X	X			01/15/92	
FLEET FINANCE INC /RI/	RI					X	X			01/15/92	
FLEET FINANCE INC /RI/	RI					X	X			01/22/92	
FLEET FINANCE INC /SC/	RI					X	X			12/16/91	
FLEET FINANCE INC /SC/	RI					X	X			01/15/92	
FLEET FINANCE INC /SC/	RI					X	X			01/22/92	
FLEET FINANCE INC /TN/	RI					X	X			12/16/91	
FLEET FINANCE INC /TN/	RI					X	X			01/15/92	
FLEET FINANCE INC /TN/	RI					X	X			01/22/92	
FLEET FINANCE INC OF GEORGIA/GA/	RI					X	X			12/16/91	
FLEET FINANCE INC OF GEORGIA/GA/	RI					X	X			01/15/92	
FLEET FINANCE INC OF GEORGIA/GA/	RI					X	X			01/22/92	
FLEET FINANCE INC/DE/	RI					X	X			12/16/91	
FLEET FINANCE INC/DE/	RI					X	X			12/16/91	
FLEET FINANCE INC/DE/	RI					X	X			01/15/92	
FLEET FINANCE INC/DE/	RI					X	X			01/22/92	
FLEET FINANCE OF LOUISIANA INC /LA/	RI					X	X			12/16/91	
FLEET FINANCE OF LOUISIANA INC /LA/	RI					X	X			01/15/92	
FLEET FINANCE OF LOUISIANA INC /LA/	RI					X	X			01/22/92	
FLEET INDUSTRIAL LOAN CO /VA/	RI					X	X			12/16/91	
FLEET INDUSTRIAL LOAN CO /VA/	RI					X	X			01/15/92	
FLEET INDUSTRIAL LOAN CO /VA/	RI					X	X			01/22/92	
FLEET INDUSTRIAL LOAN CO OF MINNESOTA IN	RI					X	X			12/16/91	
FLEET INDUSTRIAL LOAN CO OF MINNESOTA IN	RI					X	X			01/15/92	
FLEET INDUSTRIAL LOAN CO OF MINNESOTA IN	RI					X	X			01/22/92	
FLEET WASHINGTON INDUSTRIAL LOAN CO /WA/	RI					X	X			01/22/92	
FLOATING POINT SYSTEMS INC	OR						X			01/14/92	
FLORIDA EMPLOYERS INSURANCE CO						X	X			12/03/91	
FORD MOTOR CO	DE					X	X			01/08/92	
FRANKLIN CONSOLIDATED MINING CO INC	DE						X			01/14/92	
GEMINI EQUIPMENT PARTNERS INCOME FUND V	VA					X				11/15/91	AMEND
GEMINI EQUIPMENT PARTNERS INCOME FUND VI	VA					X				11/15/91	AMEND
GEMINI 87-88 XI L P	VA					X				11/15/91	AMEND
GEMINI 87-88 XVI L P	VA					X				11/15/91	
GEOTEK INDUSTRIES INC	DE					X	X			01/23/92	
GEOWASTE INC	DE					X				01/15/92	
GIBRALTAR FINANCIAL CORP	DE					X	X			01/10/92	
GLEASON CORP /DE/	DE					X		X		12/31/91	
GLEASON CORP /DE/	DE					X		X		12/31/91	AMEND
GLOBAL SPILL MANAGEMENT INC	DE	X	X	X	X	X	X	X		11/25/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA									NO ITEMS	12/25/91
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA									NO ITEMS	12/26/91

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		1	2	3	4	5	6	7		
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA								12/26/91	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA								01/01/92	
GMAC MORTGAGE CORP OF IOWA MORT PAS THR	PA								01/01/92	
GOLDEN WEST FINANCIAL CORP /DE/	DE				X		X		01/22/92	
GREAT FALLS BANCORP	NJ				X		X		12/30/91	
GREAT FALLS BANCORP	NJ				X		X		01/16/92	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH									12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
GREAT WESTERN BANK MORTGAGE PASS THROUGH	DE								12/01/91	
HADSON CORP	DE							X	12/15/91	AMEND
HARVEY GROUP INC	NY				X		X		01/17/92	
HQ OFFICE INTERNATIONAL INC	DE		X						01/20/92	
HQ OFFICE SUPPLIES WAREHOUSE INC	DE		X		X				01/21/92	
IDAHO POWER CO	ID						X		01/23/92	
IMMUNOTHERAPEUTICS INC	DE			X		X			01/14/92	
INDUSTRIAL FUNDING CORP	OR				X	X			01/16/92	
INLAND GOLD & SILVER CORP /WA/	WA		X						01/22/92	
INTERNATIONAL TELECHARGE INC	DE	X	X			X			10/21/91	AMEND
IROQUOIS BANCORP INC	NY					X			11/14/91	AMEND
JCP RECEIVABLES INC	DE						X		01/15/92	
JETBORNE INTERNATIONAL INC	DE			X					01/24/92	
KAMENSTEIN M INC	NY	X				X			01/10/92	
KIEWIT PETER SONS INC	DE				X				01/08/92	
LAFAYETTE AMERICAN BANCORP INC	DE				X				11/18/91	
LEISURE TECHNOLOGY INC	DE		X			X			01/20/92	
LEONA ENTERPRISES INC	DE		X		X				11/22/91	AMEND
LEZAK GROUP INC	NV			X					01/16/92	
LICON INTERNATIONAL INC	DE			X		X			01/17/92	
LIDAK PHARMACEUTICALS	CA			X	X				01/17/92	
LORAL CORP /NY/	NY			X					01/22/92	
LUKENS INC /DE/	DE			X					01/21/92	
LUND INTERNATIONAL HOLDINGS INC	DE			X					01/15/92	
MACMILLAN BLOEDEL LTD					X	X			01/15/92	
MARIETTA CORP	NY			X					01/13/92	
MARINE SPORTS INC /DE/	DE			X					01/22/92	
MARKEL CORP	VA	X				X			01/17/92	
MAXTOR CORP	DE			X	X				01/07/92	
MBIA INC	CT			X	X				01/27/92	
MCCRORY CORP	DE			X					12/26/91	
MEDIVATORS INC	MN	X				X			01/03/92	
MEI DIVERSIFIED INC	DE	X							08/30/90	AMEND
MEI DIVERSIFIED INC	DE	X							09/30/91	AMEND
MERRILL LYNCH & CO INC	DE			X	X				01/27/92	
MET CAPITAL CORPORATION	DE			X					01/22/92	
MIDWEST ENERGY COMPANIES INC	DE	X		X	X				12/20/91	AMEND
MILASTAR CORP	DE					X			11/15/91	AMEND
MILESTONE PROPERTIES INC	DE	X				X			01/07/92	
MOBIL CORP	DE			X	X				01/27/92	
MONARCH CAPITAL CORP /MA/	MA			X	X				07/16/91	
MORGAN STANLEY GROUP INC /DE/	DE			X	X				01/21/92	
MORGAN STANLEY GROUP INC /DE/	DE			X	X				01/24/92	
NATIONAL GYPSUM CO	DE		X		X				01/21/92	
NEW ENGLAND BANCORP INC	MA			X					12/14/91	
NEWMIL BANCORP INC	DE			X					12/31/91	