

COMMISSION ANNOUNCEMENTS

WEEKLY CALENDAR

The following is a schedule of speaking engagements, Congressional testimony, Commission hearings and other public events involving the Commission for the week of September 14, 1980. (Commission Meetings are announced separately in the News Digest)

Tuesday, September 16

- * Chairman Williams will participate in a conference jointly sponsored by the Financial Executives Research Foundation and the American Society of Corporate Secretaries on "Aids to the Effective Director -Issues and Perspectives" at the Fairmont Hotel in Philadelphia, Pa.
- * Commissioner Evans will be the keynote speaker before The "New" Stock Options Market Conference, sponsored by <u>Business Week</u> and <u>Securities Week</u>, in New York City. His presentation will be The Regulatory Outlook -Options.

ADMINISTRATIVE PROCEEDINGS

INITIAL DECISION SUSPENDS JAMES RYAN

Administrative Law Judge Max O. Regensteiner has issued an initial decision suspending James E. Ryan from association with a broker or dealer for three months. Ryan is vice-president of National Securities Corp., a Seattle broker-dealer. The decision is subject to Commission review on petition of a party or on the Commission's own initiative.

During the period in question (October 1978 - February 1979), Ryan dealt almost exclusively in low-priced mining company stocks traded on the Spokane Stock Exchange or in the Spokane over-the-counter market. According to the decision, he violated antifraud provisions of the securities laws by (1) recommending to customers the purchase of Nesco Mining Corp. stock without having an adequate basis for such recommendation and (2) selling various mining company stocks at prices not reasonably related to their market prices. Ryan was also found to have sold a block of Nesco stock, which had been acquired from the company's president, in violation of the Securities Act's registration requirements. Additionally, he was found responsible for violations of recordkeeping provisions involving a failure to note on customer order tickets the times when orders were received.

CIVIL PROCEEDINGS

WILLIAM C. SIMPSON ENJOINED

The Denver Regional Office announced that on August 27 the Honorable Fred Shannon, Judge, U.S. District Court for the Western District of Texas, San Antonio Division, signed a Default Judgment against William C. Simpson of San Antonio, Texas, permanently enjoining him from violating the antifraud provisions of the securities laws in the offer for sale, sale or offer to purchase or purchase of fractional undivided interests in oil, gas or other mineral rights, or any other security whatsoever. The complaint was filed on March 23, 1978 and Simpson was served with a summons and complaint on March 24, 1978. Simpson failed to file an answer by August 14, 1980 after he was ordered to do so by the court on July 15, 1980. (SEC v. Harry T. McClain, et al., Western District of Texas, Civil Action No. SA-78-105). (LR-9178)

INVESTMENT COMPANY ACT RELEASES

NEW HAVEN CORPORATION

A notice has been issued giving interested persons until October 6 to request a hearing on an application filed by New Haven Corporation, a non-diversified, closed-end, management investment company, declaring that it has ceased to be an investment company. (Rel. IC-11338 - Sept. 9)

NATIONAL MUNICIPAL TRUST

A notice has been issued giving interested persons until October 3 to request a hearing on an application of National Municipal Trust, National Municipal Trust, Special Trusts, Thomson McKinnon Securities Inc. and Piper, Jaffray & Hopwood Incorporated, for an order, pursuant to Section 6(c) of the Investment Company Act of 1940, amending a previous order of exemption from Section 22(d) of the Act to permit mandatory redemption of reinvestment units at the time of a unit-holder's withdrawal from or in the event of termination of the National Municipal Trust Reinvestment Program. (Rel. IC-11339 - Sept. 9)

BONDSTOCK CORPORATION

An order has been issued, pursuant to Section 8(f) of the Investment Company Act of 1940, declaring that Bondstock Corporation has ceased to be an investment company. (Rel. IC-11340 - Sept. 9)

O.N. MARKET YIELD FUND, INC.

An order has been issued on an application filed by O.N. Market Yield Fund, Inc. (Applicant), a money market fund registered under the Investment Company Act of 1940 as an open-end, non-diversified, management investment company, exempting Applicant, subject to conditions, from the provisions of Section 2(a)(41) of the Act and Rules 2a-4 and 22c-1 thereunder to the extent necessary to permit Applicant to utilize amortized cost valuation for the purpose of pricing its shares for sale and redemption. (Rel. IC-11341 - Sept. 9)

UCB FINANCIAL SERVICES, LTD.

An order has been issued on an application filed by UCB Financial Services, Ltd. (Applicant), pursuant to Section 6(c) of the Investment Company Act of 1940, exempting Applicant from all provisions of the Act. (Rel. IC-11342 - Sept. 9)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

DELISTING SOUGHT

A notice has been issued giving interested persons until September 30 to comment on the application of the <u>Cincinnati Stock Exchange</u> for unlisted trading privileges in the common stock (\$1.50 par value) of American General Corporation. This stock is listed and registered on one or more other national securities exchanges and is reported on the consolidated transaction reporting system. (Rel. 34-17139)

WITHDRAWAL GRANTED

An order has been issued granting the application of Basic Resources Corporation to withdraw its common stock (\$.05 par value) from listing and registration on the <u>American Stock Exchange</u>, Inc. (Rel. 34-17140)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The Midwest Stock Exchange, Incorporated has filed a proposed rule change under Rule 19b-4 (SR-MSE-80-8) to amend its recordkeeping requirement regarding transactions effected in margin accounts and to amend its advertising rule regarding examination authority. Publication of the proposal is expected to be made in the Federal Register during the week of September 15. (Rel. 34-17141)

MISCELLANEOUS

TOSHIBA CORPORATION

A notice has been issued giving interested persons until October 3 to request a hearing on an application by Toshiba Corporation, a Japanese corporation, pursuant to Section 12(h) of the Securities Exchange Act of 1934, for an order exempting Applicant from the reporting requirements of Section 15(d) of that Act. Applicant states that it has only 280 common stockholders resident in the United States. (Rel. 34-17137)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

- (S-18) SAN DIECO BANCORP, 116 Grand Ave., Escondido, Cal. 92025 (714) 745-9393 -300,000 shares of common stock. (File 2-68874-LA - Aug. 19) (Br. 2) (New Issue)
- (S-18) THE WILSHIRE BANCORPORATION, 615 South Flower St., Suite 1207, Los Angeles, Cal. 90017 (213) 629-1694 - 520,000 shares of common stock. (File 2-68888-LA -Aug. 20) (Br. 1) (New Issue)
- (S-18) AUTOMATIC FILM PROCESSOR CORPORATION, 145 Kisco Ave., Mt. Kisco, N.Y. 10549 -990,000 shares of common stock. Underwriter: Muller and Company. The company is engaged in the business of designing, developing, manufacturing and marketing a mid-capacity medical film processor, among other things. (File 2-68980-NY -Aug. 28) (Br. 8) (New Issue)
- (S-6) MUNICIPAL INVESTMENT TRUST FUND, ONE HUNDRED FORTY-SEVENTH MONTHLY PAYMENT SERIES, One Liberty Plaza, 165 Broadway, New York, N.Y. 10080 - an indefinite number of units of beneficial interest. Depositors: Merrill Lynch, Pierce, Fenner & Smith Incorporated, One Liberty Plaza, 165 Broadway, New York, N.Y. 10080, Bache Halsey Stuart Shields Incorporated, Dean Witter Reynolds Inc. and Shearson Loeb Rhoades Inc. (File 2-69066 - Sept. 5) (Br. 18) (New Issue)
- (S-1) KLA INSTRUMENTS CORPORATION, 4598 Patrick Henry Dr., Santa Clara, Cal. 95050 -410,000 shares of common stock. Underwriter: Kidder, Peabody & Co. Incorporated. The company designs, manufactures and markets automated electro-optical test systems. (File 2-69072 - Sept. 8) (Br. 8) (New Issue)
- (S-16) PHILADELPHIA ELECTRIC COMPANY, P.O. Box 8699, 2301 Market St., Philadelphia, Pa. 19101 (215) 841-4000 - \$125 million of first and refunding mortgage bonds, Series due 2010. The company provides electric, gas and steam service. (File 2-69086 - Sept. 9) (Br. 7)
- (S-2) REGENT PETROLEUM CORPORATION, Suite 260, Denver Club Bldg., 518 Seventeenth St., Denver, Colo. 80202 - 20,000,000 shares of common stock. Underwriter: Blinder, Robinson & Co., Inc. The only activities of the company from its

organization to date has been the acquisition of certain undeveloped oil and gas leases in Texas. (File 2-69087 - Sept. 9) (Br. 3) (New Issue)

- (S-7) GREAT AMERICAN INDUSTRIES, INC., 300 Plaza Dr., Binghamton, N.Y. 13903 (607) 729-3475 - \$20 million of subordainted debentures, due October 1, 2000. Underwriter: Drexel Burnham Lambert Incorporated. The company is engaged in the manufacture of neoprene-based rubber products. (File 2-69088 - Sept. 9) (Br. 3)
- (S-1) MACRODYNE INDUSTRIES, INC., 4465 Wilshire Blvd., Los Angeles, Cal. 90010 (213) 930-1043 - \$11 million of convertible subordinated debentures, due 1995. Underwriter: Bateman Eichler, Hill Richards Incorporated. The company specializes in the manufacture of forged and precision machined aerospace products. (File 2-69089 - Sept. 9) (Br. 2)
- (S-8) NUGGET OIL CORPORATION, Suite 102, 7850 Metro Pkwy., Bloomington, Minn. 55420 (612) 854-7755 - 120,000 shares of common stock. (File 2-69090 - Sept. 9) (Br. 4)
- (S-16) MIDDLE SOUTH UTILITIES, INC., 225 Baronne St., New Orleans, La. 70112 (504) 529-5262 - 8,000,000 shares of common stock. The company provides electric, gas and transit service. (File 2-69091 - Sept. 9) (Br. 8)
- (S-7) UTAH POWER & LIGHT COMPANY, 1407 West North Temple St., P.O. Box 899, Salt Lake City, Utah 84110 (801) 535-2000 - 4,000,000 shares of common stock. Underwriters: Merrill Lynch White Weld Capital Markets Group, Kidder, Peabody & Co. Incorporated, Dean Witter Reynolds Inc. and Goldman, Sachs & Co. The company is engaged in generating and selling electric energy. (File 2-69092 -Sept. 9) (Br. 8)
- (S-7) ASTREX, INC., 150 Fifth Ave., New York, N.Y. 10011 (212) 989-5000 440,000 shares of common stock. Underwriter: Jesup & Lamont Securities Co., Inc. The company is engaged in the sale and distribution of a broad spectrum of electronic parts and components. (File 2-69093 Sept. 9) (Br. 2)
- (S-1) PATRICK 1981 COMBINATION PROGRAM, 744 West Michigan Ave., Jackson, Mich. 49201 (517) 787-6633 - 2,400 performation interests, convertible into limited partnership interests. (File 2-69094 - Sept. 9) (Br. 3)

REGISTRATIONS EFFECTIVE

<u>Aug. 28</u>: CGA Computer Associates, Inc., 2-68650; Griffin Petroleum Corp., 2-63808; Nuveen Tax Exempt Bond Fund, Multi State Series 11, 2-68484; Tiger International Inc., 2-68885.
<u>Aug. 29</u>: Emerson Electric Co., 2-68855; Enterprise Energy Partners 1980, 2-68351; Pattibone Corp., 2-66655; Pengo Industries, Inc., 2-68527; Oklahoma Energies Inc., 2-68239.
<u>Sept. 2</u>: High Voltage Engineering Corp., 2-68858; Mutz Corp., 2-68564.
<u>Sept. 3</u>: The Fund for Ready Income, Inc., 2-64728; Leggett & Platt, Incorporated, 2-68791.
Sept. 4: The National Municipal Trust, Forty-Third Series, 2-68887.

REGISTRATIONS WITHDRAWN

Aug. 25: National Bancorporation, Inc., 2-60017. <u>Aug. 27</u>: Brad Ragan, Inc., 2-54849 <u>Sept. 2</u>: Grow Group, Inc., 2-68680. <u>Sept. 3</u>: Marine Resources, 2-66429; United Financial Corp., 2-54694.

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant. Item 2. Acquisition or Disposition of Assets. Item 3. Bankruptcy or Receivership. Item 4. Changes in Registrant's Certifying Accountant. Item 5. Other Materially Important Events. Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

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AMINEX RESUBRUIS CORP	5	JS/J6/80	
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BANK CURP OF GEERGIA	2,7	J8/84/80	
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SENGAL CIL & LAS CLEP	5	07/29/80	
BUGUE ELECTITIC MANUFALTURING CU	5	08/09/80	
BOAD INDUSTRIES INC	2	03/11/80	
BUWLINE CUPP	2	08/65/80	
CER CLUTHIEPS INC	5	06/30/60	
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CHATHAM CORP	.4	03/13/80	
LIP CURP	3	07/01/80	
CLAUSING CORP	2	08/15/80	
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CULUMEIA GAS SYSTEM INC	5	08/14/80	
CLAMMEDORE PESLURUES CLAP	5	07730780	
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LASH INDUSTRIES INC	5	08/04/86	
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HEALTH EXTENSION PLOVICES INC	5	07/31/30
HICL CLAP OF MALLICA	14	06/01/50
FOUSING DYNAMICS INC	ý.	08/13/80
HOVEF MARINE CULP	5,7	J7/31/80
TON PEARMACELLICALS INC.	4	02/07/30
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EATERSCIENCE SYSTERS INC	5,7	03/15/20
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MISSION INVESTMENT TRUST	5	38/36/80
HURTUAGE INVESTORS OF MASHINGTON	/	08/12/80
MULTIVEST IAL /NEW/	4	08/07/80
MARPAGANSETT TACING ASSECTATION INC	5	06/23/30
NATIONAL PARACON LUKP	1,7	08/11/80
NATIONAL STUDENT MARKETING CURP JOE/		08/03/80
HEUTREGENA CUEP	5	07/01/80
NEW YEXICO BANGLEST CLAP	5	07/23/80
NOLNEY REAL PROPERTY INVESTORS THE	7	07/23/80 AMEND
UCEANEERING INTERNATIONAL INC	2,7	08/01/80
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PALIFIC INTERNATIONAL EQUITIES INC	1,5,7	08/07/80
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PAINE WEBBER INCOME PREPARTIES THE LTD P	2	08/38/30
PENCE INDUSTRIES INC	2	03/11/80
PERRY DRUG STURES INC	2+7	07/31/80
PETKOMINERALS CORP	2,7	07/31/80
PUTTER INSTRUMENT LE INC	2,3	06/01/80
QUALITY CAFE INC	5	08/01/83
JUIXATE COMP	2.7	07/14/80
RAND INFOPRATION SYSTEMS INC	1,7	07/29/80
ROCKACED NATIONAL CERP	2,7	07/15/80 AMEND
ROCKY MEUNTAIN MINERALS INC	5,7	08/18/80
SAGE LIVERGY CL	5	06/26/80
SAV LN DRUGS LAL	5	08/07/80
SB PARINGKS	2 5	08/07/80
LLLAR METRICS INC	5	06/27/79
SOLID STATE SCIENTIFIC INC	2	03/31/83
SPAKTAN MANUFACTURING CLAP	2,7	07/22/80
SPECIALTY RESIAURANTS CLRP	2,5,7	08/04/80
SUPERIOR CAPE INC	5,7	39/10/79
SUFERIOR CARE INC	5	01/03/80
SWIFT INDUSTRIES INC	2	08/13/90
SWIFT INDUSTRIES INC.	2	08/08/60
TALLEY COPP	2,7	U7/?1/80
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	4	09/07/20
TUPPS CHEWING CUM INC	2	06/01/80
TUREP PPOPERTIES CC	2,2	07/24/80
TRANS WESTERN EXPLORATION INC.	5	02/21/80
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RECENT 8K FILINGS CONT.

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WHYD PEUDS INC	1	08/20/20
HALHINGTON NUTUAL CAVINGS DARK SERIES A	6	07/25/80
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NESPIC INVESTORS THUST	5,7	07/20/90
FREEING PITTEOUPUN STELL COPP	6	34/22/83
AUTION INDUSTRIES INC	5	08/13/80
AMERICAN AGRUNUMICS CORP	5	08/15/80
APPLICATION ENGINEERING CLRP/DE	1	07/01/80
ARISTAR INC	5	08/12/80
ATKINSUN W P EILL ENTERPRISES INC	2	09/26/80
	2	J8/14/80
BAIKU CCRP Bup CCRP	5	08/25/80
	5	34/25/80
SUILDEX INC	5	08/21/80
CENTRAL ILLINEIS PUBLIC SERVICE CU	5	03/14/80
CENTRAL NATIONAL BANCSHARES INC	5	09/07/80
CENTURY PROPERTIES	2	01/22/80 AHEND
CENTURY PROPERTIES FUND XIII	5	08/27/80
CHARMEC GROUP INC		08/14/80
CHESAPEAKE INCUSTRIES INC /MD/	2	08/14/80
CHESAPEAKE INVESTORS INC/MD/	2	
CHRUMALLUY AMERICAN CURP /DE/	2	08/12/80
LIMARREN INVESTMENT CO INC	2 2 5 5 5)3/12/8)
CITIES SERVICE CO	5	03/25/80
LEASTLAND LORP OF FLORIDA	5	J8/22/80
CULT UIL INC	4	05/19/80
CENSULIDATED CAPITAL CORP/FL	5	03/03/80 AMEND
CUNTINENTAL INFORMATION SYSTEMS CORP	4	J1/25/80
CHAWFERD CCRP	2	02/01/80
DETPUTT EDISCN CO	5	08/25/80
CULUESNE LIGHT CU	5	UA/01/80
EL PASO ELECTRIC CC /TX/	13	53/01/80
ELECTRONIC MODULES CORP	7	08/25/80
EXTRACTIVE FUELS INC	5	08/21/80
EALLS CITY INDUSTRIES INC	7	06/30/80 A4END
FEDERATED GUARANTY LIFE INSURANCE CO	4	06/23/80
FIRST FEDERAL SAVINGS & LEAN ASSECTATION	5	07/01/80
FUSSIL CIL & CAS INC	2,5	J8/14/80
GAMBLE SAUGMC INC	1	J3/12/8J
JAMBLES CREDIT CORP	1	08/08/80
GLENDALE FEDERAL SAVINGS & LOAN ASSOCIAT	5	37/01/80
GULF UNIDA INDUSTRIES INC	7	07/29/80 AMEND
HIGHLAND SAVINGS & LUAN CERP	б	US/25/80
HULK MANUFACTURING CC	1	00/11/80
IDAHU PUNER CL	5	08/20/80
	5	39/01/80
TEL COLLATERAL CORP NISTRUMENT SYSTEMS CORP /DE/	6	38/25/80
INTERNATIONAL HARVESTER CO /DE/	5	03/22/80
INVESTURS FUNLING CORP OF NEW YORK	5	08/01/80
	5	05/28/80
JACQUIN CHARLES ST CIE INC	2	08/25/80
MA CUM INC	2	08/04/80
MULUMOS PROPERTIES III LTD	5	03/28/80
MCCUWELL ENTERPRISES INC	5	J4/03/79 AMEND
MCNEIL PEAL ESTATE FUND VIII LTD	2	06/03/80 AMEND
MONEIL REAL ESTATÉ FUND X ETD	2	07/07/80
METROPOLITAN GREETINGS INC	۷	01707700

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

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FIRST CLASS MAIL

NOTICE

Many requests for copies of documents referred to in the SEC News Digest have erroneously been directed to the Government Printing Office. Copies of such documents and of registration statements may be ordered from the Public Reference Section, Securities and Exchange Commission, Washington, D.C. 20549. When you are ordering more than one, we prefer that the documents be listed in alphabetical order to expedite service. The reproduction cost is 10c per page plus postage (\$3.50) minimum); 20c per page plus postage for expedited service (\$5.00 minimum) and 30c per page plus postage for priority service (\$5.00) minimum). Cost estimates are given or request. All other reference material is available in the SEC Docket.

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sale by the superintendent of Documents, Government Printing Office, Washington, D.C. 20402.