

SEC NEWS DIGEST

Issue 2001-248

December 28, 2001

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS INSTITUTED AND SIMULTANEOUSLY SETTLED AGAINST BENJAMIN J. MALDONADO III

On December 27, the Commission entered an Order Instituting Public Administrative Proceedings, Making Findings, And Imposing Sanctions Pursuant To Section 15(b) Of The Securities Exchange Act Of 1934 against Benjamin J. Maldonado III of Bethesda, Maryland, based on the entry of an injunction. The Commission simultaneously accepted Maldonado's Offer of Settlement, barring him from associating with any broker or dealer with a right to reapply after five years. On December 19, 2001, the Commission filed a settled insider trading action in federal court in Washington, D.C. against Maldonado, a former stockbroker in the Washington, DC office of Merrill Lynch, Pierce, Fenner & Smith, Inc., and Sean R. Price, a Senior Vice President at Safenet, Inc., formerly known as Information Resource Engineering, Inc. [SEC v. Sean R. Price and Benjamin J. Maldonado III, Case No. 1:01CV02624, D.D.C., ESH]. Safenet is an Internet security company based in Baltimore, Maryland, and its stock is traded on the NASDAQ National Market under the symbol SFNT.

On December 20, 2001, a Final Judgment As To Defendant Benjamin J. Maldonado III was entered, by consent, against Maldonado enjoining him from future violations of Section 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 promulgated thereunder. (Rel. 45198; File No. 3-10666)

SECURITIES AND EXCHANGE COMMISSION SUES FORMER CHIEF FINANCIAL OFFICER OF FINE HOST CORPORATION FOR FINANCIAL FRAUD RESULTING IN THE COMPANY'S COLLAPSE; DELOITTE & TOUCHE AUDITORS SANCTIONED FOR IMPROPER PROFESSIONAL CONDUCT

On December 27, the Securities and Exchange Commission filed a civil injunctive action in U.S. District Court against Nelson Barber, the former Chief Financial Officer of Fine Host Corporation, alleging that Barber caused Fine Host to engage in an extensive financial fraud. Fine Host, a provider of food and beverage services to sports arenas, prisons, and schools, was at that time a public company with common stock listed for trading on the Nasdaq National Market System and a market capitalization that reached approximately \$390 million. When the fraud was detected, the stock lost essentially all of its value.

Barber, without admitting or denying the allegations of the Complaint, consented to the entry of a Final Judgment of the District Court permanently enjoining him from violating or aiding and abetting violations of the federal securities laws; permanently barring him from acting as an officer or director of any public company; and ordering him to pay a \$20,000 civil penalty.

In related matters, the Securities and Exchange Commission also issued settled administrative proceedings against Rachel Eckhaus, the former assistant controller of Fine Host Corporation, Jeffrey Bascik, the engagement partner for the Deloitte & Touche audits of Fine Host's financial statements for the affected periods; and Barbara Horvath, the Deloitte & Touche manager on certain of those audit engagements. Without admitting or denying the Commission's findings, each respondent consented to the entry of a Commission Order finding, among other things, that the respondent engaged in improper professional conduct for purposes of Rule 102(e)(1) of the Commission's Rules of Practice. The Order in Horvath's case censures her and the Orders in Eckhaus's and Bascik's cases deny them both the privilege of appearing or practicing before the Commission as an accountant, with the right to request reinstatement after two years. [SEC v. Nelson Barber, United States District Court for the District of Columbia, C.A. No. 1:01CV02670] (Rels. 34-45195; 34-45196; 34-45197; File Nos. 3-10663; 3-10664; 3-10665; AAER-1480; AAER-1481; AAER-1482; AAER-1483; LR-17291)

INVESTMENT COMPANY ACT RELEASES

FIRST VARIABLE LIFE INSURANCE COMPANY, ET AL.

An order has been issued on an application filed by First Variable Life Insurance Company, et al. pursuant to Section 26(c) of the Investment Company Act, allowing the substitution of shares of certain portfolios of Variable Investors Series Trust (VIST) for shares of certain portfolios of other variable insurance products funds. Pursuant to Section 17(b) of the Act, the order grants exemptions from Section 17(a) permitting the substitutions to be carried out by means of an in-kind redemption and purchase. (Rel. IC-25347 - December 28)

HOLDING COMPANY ACT RELEASES

ALABAMA POWER COMPANY

An order has been issued authorizing a proposal by Alabama Power Company (Alabama Power), a wholly owned public utility subsidiary of The Southern Company, a registered holding company. Alabama Power was authorized to sell, from time to time prior to December 31, 2006, distribution line poles located in Alabama to non-affiliated telephone and other non-electric utility companies. (Release No. 35-27484)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Philadelphia Stock Exchange to increase the equity option transaction charge for broker-dealers (SR-Phlx-2001-113) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected to be made in the Federal Register during the week of December 31. (Rel. 34-45185)

PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-2001-59) and Amendment No. 1 thereto under Rule 19b-4 of the Securities Exchange Act of 1934 amending its disciplinary rules. Publication of the proposal, as amended, is expected in the Federal Register during the week of December 31. (Rel. 34-45191)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-

mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-3 PROVINCE HEALTHCARE CO, 105 WESTPARK DR, STE 400, BRENTWOOD, TN
37027
(615) 370-1377 - 172,500,000 (\$158,053,125) COMMON STOCK. (FILE 333-
75646
- DEC. 20) (BR. 1)

S-4 STERLING FINANCIAL CORP /PA/, NORTH POINTE BANKING CORP,
101 NORTH POINTE BLVD, LANCASTER, PA 17601 (717) 581-6030 - 954,914
(\$21,008,108) COMMON STOCK. (FILE 333-75650 - DEC. 20) (BR. 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.										DATE	COMMENT
		1	2	3	4	5	6	7	8	9			
ABN AMRO MORTGAGE CORP	DE									X		12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 2	DE									X		12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 3	DE									X		12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 4	DE									X		12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 5	DE									X		12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 1	DE									X		12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 2	DE									X		12/01/01	

STATE 8K ITEM NO.

NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
ABN AMRO MORTGAGE CORP SERIES 1999- 3	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 4	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 5	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 6	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 7	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 8	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 1	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 2	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 3	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 4	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 2	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 3	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 5	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 6	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 7	DE							X			12/01/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 8	DE					X		X			12/21/01	
ACCUIMAGE DIAGNOSTICS CORP	NV					X					12/10/01	
ACE SECURITIES CORP HOME EQUITY LN TR SER 2001-HE1	DE					X		X			12/20/01	
ACTIVE IQ TECHNOLOGIES INC	CO				X			X			12/20/01	
ADVANCED LIGHTING TECHNOLOGIES INC	OH		X					X			12/12/01	
AKI HOLDING CORP	DE		X					X			12/18/01	
AKI INC	DE		X					X			12/18/01	
ALLEN TEST CO INC & POINT RELEASE T EST 10/08/01	MD		X								12/18/01	
ALLEN TEST CO INC & POINT RELEASE T EST 10/08/01	MD		X								12/18/01	
ALLIS CHALMERS CORP	DE		X					X			12/12/01	
ALLSTATE CORP	DE					X		X			12/21/01	
AMERALIA INC	UT					X		X			12/17/01	
AMERICABILIA COM INC	FL					X					11/30/01	
AMERICAN CONSOLIDATED MINING CO	UT							X	X		12/21/01	
AMERICAN CONSOLIDATED MINING CO	UT							X	X		12/21/01	AMEND
AMERICAN SOUTHWEST FINANCIAL SECURI TIES CORP	AZ							X			12/01/01	

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		1	2	3	4	5	6	7	8			9
ANACOMP INC	IN				X						12/26/01	
ANCHOR GAMING	NV					X	X				12/26/01	
ANZ CAPEL COURT LTD	C3						X				12/14/01	
APACHE MOTOR CORP	NV							X			09/12/01	AMEND
APCOA STANDARD PARKING INC /DE/	DE				X	X					12/20/01	
ASPEN GROUP RESOURCES CORP	A6							X			12/20/01	
ASSET BACKED SEC CORP HOME EQUITY L OAN TR 2001-HE1	DE	X									12/17/01	
ASSET BACKED SEC CORP HOME EQUITY L OAN TRUST SERIES 2001 HE3	DE				X	X					12/17/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE						X				12/01/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE						X				12/01/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES TRUST 2001-TOP4	DE				X	X					12/17/01	
BMW AUTO LEASING LLC							X				12/26/01	
BMW MANUFACTURING LP							X				12/26/01	
BMW VEHICLE LEASE TRUST 2000-A							X				12/26/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-A	DE						X				11/30/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-B	DE						X				11/30/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-B	DE						X				11/30/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1998-C	DE						X				11/30/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 1999-A	DE						X				11/30/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 2000-A	DE						X				11/30/01	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP SER 2001-A	DE						X				11/30/01	
BOMBARDIER CREDIT RECEIVABLES CORP SERIES 1997-1	DE						X				11/30/01	
BOMBARDIER CREDIT RECEIVABLES CORP SERIES 2000-1	DE						X				11/30/01	
CADDO ENTERPRISES INC						X					12/24/01	
CADDO ENTERPRISES INC						X					12/24/01	AMEND
CENDANT MORTGAGE CORP MORT PASS THR OUGH CERT SERIES 2001-6	DE				X	X					10/25/01	
CENTEX HOME EQUITY LOAN TRUST 2001- C					X	X					12/25/01	
CH ENERGY GROUP INC	NY					X					12/26/01	
CHALONE WINE GROUP LTD	CA				X	X					12/10/01	AMEND
CIRCUIT SOURCE INTERNATIONAL INC	NV	X				X					12/21/01	
CIRUS TELECOM INC	DE				X	X					12/24/01	
CIT EC EF 2001-A	DE					X					12/18/01	
CIT EQUIPMENT COLLATERAL 2001-1						X					12/18/01	
CITYXPRESS COM CORP	FL				X	X					12/17/01	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
COMMODORE MINERALS INC	NV	X			X	X	X	X			10/13/01	AMEND
COMMONWEALTH BANCORP INC	PA				X	X					12/21/01	
COMMSCOPE INC	DE	X				X					11/16/01	AMEND
CONSECO FINANCE CORP	DE					X					12/18/01	
CONSECO FINANCE SECURITIZATIONS CORP	DE					X					12/18/01	
CORMAX BUSINESS SOLUTIONS INC	UT					X					12/26/01	
CORNERSTONE INTERNET SOLUTIONS CO / DE/	DE					X					12/27/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SEC CORP 2001-CK6	DE					X	X				12/20/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE						X				12/06/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X	X				12/21/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X	X				12/25/01	
CREDIT SUISSE FIRST BOSTON MR SC CR MR BK PA TH CR SR 2001-4	DE					X	X				03/26/01	
CREDIT SUISSE FRST BOSTON MR SC CR MR BK PA TH CR SR 2001-1	DE					X	X				02/26/01	
CREDIT SUISSE FRT BOSTON MR SC CR M R BK PA TH CR SR 2001-AR7	DE						X				08/28/01	
CSFB ABS TRUST SERIES 2001 HE12	DE					X	X				12/25/01	
CSFB ABS TRUST SERIES 2001-HE22	DE					X	X				12/25/01	
CSFB ABS TRUST SERIES 2001-S13	DE					X	X				09/25/01	
CSFB MORTGAGE BACKED PASS THROUGH C ERTIFICATES SERIES 2001 9	DE					X	X				04/25/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001 HE20	DE					X	X				12/25/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-HE16	DE					X	X				12/25/01	
CSFB MORTGAGE PASS-THRU CERT SERIES 2001-HE17	DE					X	X				11/25/01	
CTS CORP	IN					X	X				12/26/01	
CWMBS INC	DE					X	X				12/21/01	
CWMBS INC	DE					X	X				12/21/01	
CYBER VITAMIN COM	CA	X	X			X	X	X			11/26/01	
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE					X	X				12/20/01	
DANIELSON HOLDING CORP	DE					X					09/30/01	
DELTA FUNDING CORP HOME EQUITY LOAN ASSET BK CERT SER 2001-1	NY					X	X				12/17/01	
DICUT INC		X	X			X					12/26/01	
DREAMLIFE INC	DE		X								12/27/01	
DYNEGY INC /IL/	IL					X	X	X			12/19/01	
EARTHWATCH INC	DE					X	X				12/14/01	
EAST COAST POWER LLC	DE	X					X				12/12/01	
EDISON BROTHERS STORES INC	DE					X					12/19/01	
EL PASO CORP/DE	DE					X	X				12/20/01	

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		1	2	3	4	5	6	7	8	9		
EMB CORP	HI								X		09/30/01	AMEND
EVOLVE ONE INC	DE	X							X		12/14/01	
EXPRESS SCRIPTS INC	DE				X						12/24/01	
FAIRPOINT COMMUNICATIONS INC	DE	X									12/21/01	
FERRO CORP	OH				X	X					12/17/01	
FINANCIAL ASSET SE CP SOUNDVIEW HM EQ LN AS BK CER SE 2001-1	DE				X	X					12/17/01	
FINANCIAL SERVICES VEHICLE TRUST									X		12/26/01	
FIRST CAPITAL INCOME PROPERTIES LTD SERIES IX	FL				X						12/27/01	
FIRST CAPITAL INCOME PROPERTIES LTD SERIES X	FL				X						12/27/01	
FIRST CONSUMERS MASTER TRUST	IL				X						11/30/01	
FIRST HORIZON ASSET SECURITIES INC	DE				X	X					12/20/01	
FIRST HORIZON ASSET SECURITIES INC	DE				X	X					12/20/01	
FIRST HORIZON ASSET SECURITIES INC	DE				X	X					12/20/01	
FOAMEX CAPITAL CORP	DE				X	X					12/26/01	
FOAMEX CAPITAL CORP	DE				X	X					12/26/01	
FOAMEX INTERNATIONAL INC	DE				X	X					12/26/01	
FOAMEX INTERNATIONAL INC	DE				X	X					12/26/01	
FOAMEX L P	DE				X	X					12/26/01	
FOAMEX L P	DE				X	X					12/26/01	
FORWARD INDUSTRIES INC	NY				X	X					09/30/01	
FRANKLIN RECEIVABLES LLC	DE				X	X					11/30/01	
FRANKLIN RECEIVABLES LLC	DE				X	X					12/13/01	
FREEPORT MCMORAN OIL & GAS ROYALTY TRUST	TX	X							X		12/26/01	
GB HOLDINGS INC	DE				X						08/29/01	
GB PROPERTY FUNDING CORP	DE				X						08/29/01	
GLOBIX CORP	DE				X	X					12/27/01	
GRAND CENTRAL FINANCIAL CORP	DE				X	X					12/26/01	
GREATE BAY HOTEL & CASINO INC	NJ				X						08/29/01	
GREENWICH CAPITAL ACCEPTANCE INC MR T LN PS TH CRT SR 01 FRB1	DE				X	X					12/17/01	
HADRO RESOURCES INC	NV				X	X					12/24/01	
HANOVER DIRECT INC	DE								X		12/20/01	
HEADWATERS INC	DE				X						12/27/01	
HEALTH MANAGEMENT SYSTEMS INC	NY	X							X		12/21/01	
HEALTHTRONICS SURGICAL SERVICES INC	GA	X							X		12/11/01	
HEARX LTD	DE	X							X		12/07/01	
HEARX LTD	DE				X	X					12/13/01	
HOMESTORE COM INC	DE				X						12/21/01	
HOTJOBS COM LTD	DE				X	X					12/24/01	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	NV								X		12/17/01	
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	NV								X		12/17/01	
HOUSEHOLD AUTOMOTIVE TRUST IV SERIE S 2000-1	DE								X		12/17/01	

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NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
HOUSEHOLD AUTOMOTIVE TRUST SERIES 2 001-2	DE								X		12/17/01	
HOUSEHOLD AUTOMOTIVE TRUST V SERIES 2000 2	DE								X		11/17/01	
HOUSEHOLD AUTOMOTIVE TRUST VI SERIE S 2000 3	NV								X		11/17/01	
HOUSEHOLD AUTOMOTIVE TRUST 2001-1	DE								X		12/17/01	
HOUSEHOLD CREDIT CARD MASTER NOTE T RUST I	DE								X		12/17/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE								X		12/17/01	
HRSI FUNDING INC II	DE								X		12/17/01	
IMMUNE RESPONSE CORP	DE					X		X			12/20/01	
IMPAC SECURED ASSETS CORP	CA								X		12/21/01	
INSCI STATEMENTS COM CORP	DE					X					12/21/01	
INTEGRATED INFORMATION SYSTEMS INC	DE					X		X			12/21/01	
INTERFOODS OF AMERICA INC	NV					X		X			12/24/01	
INTERNATIONAL ASSETS HOLDING CORP	DE								X		12/13/01	
IVC INDUSTRIES INC	DE					X					12/21/01	
J P MORGAN CHASE & CO	DE					X		X			12/26/01	
JORE CORP	MT					X					11/30/01	
JP MORGAN CHASE COMMERCIAL MORT SEC CORP SERIES 2001-CIBCI	DE					X					07/15/01	
JP MORGAN CHASE COMMERCIAL MORT SEC CORP SERIES 2001-CIBCI	DE					X					08/15/01	
KANA SOFTWARE INC	DE					X		X			12/27/01	
KNIGHT TRADING GROUP INC	DE					X		X			12/26/01	
KROLL INC	OH				X			X			09/28/01	AMEND
LEHMAN BROTHERS HOLDINGS INC	DE							X			12/26/01	
LEINER HEALTH PRODUCTS INC	DE					X		X			12/26/01	
LEXINGTON PRECISION CORP	DE					X		X			12/21/01	
LIBERTY MEDIA CORP /DE/	DE					X		X			12/26/01	
LIFEPOINT INC	DE					X					12/31/01	
LTC HEALTHCARE INC	NV		X					X			12/26/01	
MAGNUM HUNTER RESOURCES INC	NV					X					12/17/01	
MARITRANS INC /DE/	DE					X		X			12/21/01	
MB FINANCIAL INC /MD	MD					X		X			12/27/01	
MCGRATH RENTCORP	CA					X		X			12/20/01	
MEDIMMUNE INC /DE	DE					X					12/27/01	
MEDIX RESOURCES INC	CO					X					12/18/01	
MELLON HOME EQUITY LINE OF CREDIT T R AS BA NOT SER 2000-1	NY					X		X			12/20/01	
MELLON RESIDENTIAL FUNDING CORP MOR PAS THR CER SER 01 TBC1	DE					X		X			12/17/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES QWS-2	DE					X		X			12/20/01	
MIDDLESEX WATER CO	NJ					X					12/19/01	
MIDWAY GAMES INC	DE					X		X			12/26/01	
MIDWEST BANC HOLDINGS INC	DE					X		X			12/20/01	
ML LEE ACQUISITION FUND II L P	DE					X					12/31/01	

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NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
ML LEE ACQUISITION FUND RETIREMENT ACCOUNTS II L P	DE					X					12/31/01	
MMI PRODUCTS INC	DE					X					12/27/01	
MORGAN STANLEY DEAN WIT CAP I INC M OR PAS-THR CER SER 2001-1	NY					X		X			12/17/01	
MURDOCK COMMUNICATIONS CORP	IA					X		X			12/24/01	
NASH FINCH CO	DE								X		12/27/01	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE							X			12/01/01	
NCH CORP	DE					X		X			12/24/01	
NEON SYSTEMS INC	DE					X		X			12/21/01	
NEVADA HOLDING GROUP INC /NV/	NV				X	X		X			12/11/01	AMEND
NEW DRAGON ASIA CORP	FL	X	X			X		X			12/13/01	
NEXT GENERATION TECHNOLOGY HOLDINGS INC	DE							X			10/09/01	AMEND
NISSAN AUTO RECEIVABLES II 2001-C O WNER TRUST	DE					X					12/17/01	
NISSAN AUTO RECEIVABLES 2001-B OWNE R TRUST	DE					X					12/17/01	
NORTEL NETWORKS CORP						X		X			12/20/01	
NORTEL NETWORKS LTD						X		X			12/20/01	
NOVAMETRIX MEDICAL SYSTEMS INC	DE					X		X			12/18/01	
OPTIMARK HOLDINGS INC	DE					X					12/20/01	
ORION POWER HOLDINGS INC						X		X			12/26/01	
OUTSOURCE INTERNATIONAL INC	FL					X		X			12/19/01	
OUTSOURCE INTERNATIONAL INC	FL					X		X			12/19/01	
PACIFIC GULF PROPERTIES INC	MD					X					12/31/01	
PALM INC	DE					X		X			12/19/01	
PANTHER TELECOMMUNICATIONS CORP	FL				X						12/19/01	AMEND
PEDIATRIX MEDICAL GROUP INC	FL					X		X			12/14/01	
PHOTOELECTRON CORP	MA	X						X			12/17/01	
PLAYBOY ENTERPRISES INC	DE					X		X			12/27/01	
PREFERREDPLUS TRUST SERIES ALL-1	DE					X		X			12/20/01	
PREFERREDPLUS TRUST SERIES ALL-1	DE					X		X			12/20/01	
PREMIER FINANCIAL BANCORP INC	KY	X									12/10/01	
PRIZE ENERGY CORP	DE					X		X			12/17/01	
PROFIT RECOVERY GROUP INTERNATIONAL INC	GA					X		X			12/19/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM								X		12/27/01	
RADNOR HOLDINGS CORP	DE	X						X			12/12/01	
RAWLINGS SPORTING GOODS CO INC	DE					X		X			12/21/01	
RAZORFISH INC	DE					X		X			12/24/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X		X			12/20/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X		X			12/21/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X		X			12/21/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X		X			12/28/01	
RIDGEWOOD ELECTRIC POWER TRUST IV	DE					X					12/31/01	
RIM COM INC					X			X			12/10/01	AMEND
RIVERSTONE NETWORKS INC	DE					X		X			11/15/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
RTI INTERNATIONAL METALS INC	OH					X						12/26/01	
SALEM COMMUNICATIONS CORP /DE/	DE					X	X					12/14/01	
SALIX PHARMACEUTICALS LTD						X						12/27/01	
SAVE ON ENERGY INC			X			X						12/26/01	
SCANSOFT INC	DE		X					X				12/12/01	
SECURITY CAPITAL GROUP INC/	MD		X					X				12/26/01	
SENIOR HOUSING PROPERTIES TRUST	MD					X	X					12/20/01	
SEPRACOR INC /DE/	DE					X	X					12/21/01	
SERVICE MERCHANDISE CO INC	TN					X	X					10/29/01	
SL INDUSTRIES INC	NJ					X	X					12/13/01	
SOLAR SATELLITE COMMUNICATION INC	CO	X	X			X	X					12/26/01	
SOUTHPOINT STRUCTURED ASSETS INC	DE					X	X					12/15/01	
SPACELABS MEDICAL INC	DE					X	X					12/04/01	
SPIEGEL MASTER TRUST	DE					X						11/30/01	
STEINS HOLDINGS INC /NV/	NV	X	X					X				12/24/01	
STEWART ENTERPRISES INC	LA					X						12/26/01	AMEND
STONEPATH GROUP INC	DE					X	X					12/14/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X					12/21/01	
STRUCTURED ASSET SECURITIES CORP	DE					X						12/21/01	
STRUCTURED ASSET SECURITIES CORP	DE					X	X					12/24/01	
STRUCTURED PRODUCTS CORP	DE							X				12/01/01	
SUNSHINE PCS CORP	DE								X			12/20/01	
SUPREME HOSPITALITY	NV	X										12/31/01	
TECH DATA CORP	FL					X	X					12/21/01	
TEXAS E SOLUTIONS INC	NV	X			X	X	X	X				12/15/01	
TMP WORLDWIDE INC	DE					X	X					12/27/00	
TOYOTA AUTO LEASE TRUST 1998 C	CA					X	X					12/26/01	
TOYOTA AUTO LEASE TRUST 1998-B	CA					X	X					12/26/01	
TRC COMPANIES INC /DE/	DE							X				12/21/01	AMEND
TRC COMPANIES INC /DE/	DE					X	X					12/26/01	
TRINITY INDUSTRIES INC	DE					X	X					10/26/01	AMEND
TRISM INC /DE/	DE			X		X						12/18/01	
TRUSERV CORP	DE					X	X					12/20/01	
UACSC 2001-C OWNER TRUST	NY					X	X					11/08/01	
UACSC 2001-C OWNER TRUST	NY					X	X					11/30/01	
UICI	DE					X						12/21/01	
UNITED AUTO GROUP INC	DE							X	X			12/26/01	
UNITED INDUSTRIES CORP	DE		X									12/17/01	
USOL HOLDINGS INC	OR		X									12/13/01	
VEECO INSTRUMENTS INC	DE					X	X					12/17/01	
VERISIGN INC/CA	DE		X					X				12/12/01	
VINEYARD NATIONAL BANCORP	CA					X	X					12/24/01	
VSOURCE INC	DE					X	X					12/21/01	
WARNACO GROUP INC /DE/	DE					X	X					12/27/01	
WARREN RESOURCES INC	NY					X						12/25/01	
WASHINGTON MUTUAL INC	WA								X			12/21/01	
WELLSTONE ACQUISITION CORP	DE			X			X					12/19/01	
WEST COAST BANCORP /NEW/OR/	OR					X	X					12/18/01	
WESTERN SIERRA BANCORP	CA					X	X					12/18/01	

NAME OF ISSUER	STATE	8K ITEM NO.								DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8			9
WINTRUST FINANCIAL CORP	IL						X	X			12/26/01	
WORLD ENVIROTECH INC	CO	X					X	X	X		12/26/01	
WORLDPORT COMMUNICATIONS INC	DE						X	X			12/21/01	
XIN NET CORP	FL						X	X			12/26/01	
YAHOO INC	DE						X	X			12/24/01	
1 800 FLOWERS COM INC	DE						X				12/26/01	