

SEC NEWS DIGEST

Issue 2001-224

November 21, 2001

COMMISSION ANNOUNCEMENTS

RANDALL LEE NAMED HEAD OF THE SEC'S PACIFIC REGIONAL OFFICE

The Commission announced today the selection of Randall R. Lee as Regional Director of the Commission's Pacific Regional Office. Mr. Lee joins the Commission from the U.S. Attorney's Office in the Central District of California where he currently serves as Deputy Chief of the Major Frauds Section in the Criminal Division. Mr. Lee will assume his new post either in late December, 2001 or in early January, 2002. Mr. Lee succeeds Valerie Caproni, who is now in private practice.

The Pacific Region comprises offices in Los Angeles and San Francisco, California, and operates both enforcement and regulatory programs in a nine-state area. Mr. Lee will oversee these activities throughout the region.

Chairman Harvey L. Pitt said, "The Regional Offices are instrumental in carrying out the Commission's enforcement and regulatory duties. Mr. Lee's leadership and experience in both the private and public sectors will help us effectively achieve those goals in an especially important part of the country. On behalf of the Commission, I welcome him to our team and I look forward to working with him."

Stephen M. Cutler, the Director of the Division of Enforcement, said, "I am very pleased that Randall Lee has agreed to serve as Director of our Pacific Regional Office. He brings a unique blend of experience -- as a prosecutor of white collar crime and as a corporate lawyer in private practice -- that will serve us well. The Commission and the Pacific Regional Office will benefit from his considerable legal skills, intelligence, and experience."

Mr. Lee began his legal career as a law clerk to the Honorable James L. Buckley, United States Court of Appeals for the District of Columbia Circuit, Washington, D.C. He then became an associate in the corporate/securities area at the law firm of Munger, Tolles & Olson in Los Angeles, California. After five years in private practice, Mr. Lee joined the criminal division of the U.S. Attorney's Office for the Central District of California in Los Angeles, where he has worked for the last seven years. He has been responsible for both investigating and prosecuting complex white collar fraud cases and, in his present

position, manages senior Assistant U.S. Attorneys in the prosecution of cases involving, among other areas, false financial reporting, securities offering and other investment fraud, financial institution fraud, stock manipulation, insider trading and money laundering.

Mr. Lee received his B.A., cum laude, from Yale University in 1983 and his J.D. from Boalt Hall School of Law at the University of California at Berkeley in 1989. He was awarded the Order of the Coif and served as Articles Editor of the California Law Review at Boalt Hall. Prior to attending law school, Mr. Lee worked in the corporate finance department at Bankers Trust Company in New York, San Francisco and Los Angeles for three years. (Press Rel. 2001-141)

ENFORCEMENT PROCEEDINGS

IN THE MATTER OF EDWARD BRACKEN

On November 20, the Commission issued an Order Making Findings And Imposing Remedial Sanctions against Respondent Edward Bracken (Order). Bracken was formerly employed as a registered at a Solana Beach, California branch office of Cohig & Associates, Inc., a broker-dealer firm based in Denver, Colorado. The Order finds that on January 26, 2000, based upon a guilty plea, Bracken was convicted of one count of conspiracy to commit securities fraud (U.S. v. Edward Bracken, No. CR-S-97-022-LDG, D. Nev.). The Order finds that, in the plea agreement, Bracken admitted that, while associated with Cohig, Bracken conspired with others, including Michael Swan (Swan), then the president of Teletek, Inc., a publicly held company whose stock was traded in the U.S. over-the-counter markets, to receive undisclosed payments in return for selling Teletek stock to investors. The Order further finds that, in his plea agreement, Bracken admitted that he failed to disclose this extraordinary compensation to investors. The Order further finds that, in his plea agreement, Bracken admitted that the customers who bought Teletek stock from various brokers at Cohig who were bribed in the course of the conspiracy lost approximately \$130,000 on their purchases of Teletek stock. Based upon the above, the Commission barred Bracken from association with any broker or dealer. (Rel. 34-45084; File No. 3-10603)

IN THE MATTER OF MICHAEL FURR

On November 20, an administrative law judge issued an Order Entering Default, Making Findings, and Imposing Remedial Sanctions against Michael A. Furr. The order found that from January 1999 through January 2000, Furr touted at least twenty-six penny stocks on his free website, in printed research reports, and through electronic mail. For several of the stocks, Furr included false financial projections and made misrepresentations about the issuers' business ventures and assets. Furr also engaged in a fraudulent pattern of trading in some of the stocks. The same day as, or within a few

days of, issuing reports and electronic mail with buy recommendations, Furr sold stock in the recommended securities without adequately disclosing his intention to sell. In addition, Furr either failed to disclose or misrepresented the compensation he received for touting the securities he recommended. On May 9, 2001, the U.S. District Court for the Central District of California permanently enjoined Furr from violating the antifraud provisions of the federal securities laws based upon this conduct.

Furr failed to defend the administrative proceeding. Accordingly, based upon the injunction and violations of the antifraud provisions, the law judge barred Furr from participating in any offering of a penny stock. (Rel. 33-8037; 34-45085; File No. 3-10581)

INVESTMENT COMPANY ACT RELEASES

INTEGRITY LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until December 17, 2001, to request a hearing on an application filed by Integrity Life Insurance Company, Separate Account I of Integrity Life Insurance Company, National Integrity Life Insurance Company, Separate Account I of National Integrity Life Insurance Company and Touchstone Securities, Inc. (collectively, Applicants). Applicants seek an order of exemption pursuant to Section 6(c) of the Act to the extent necessary to permit the recapture, under specified circumstances, of credits applied to contributions made under certain flexible premium variable annuity contracts that Integrity Life Insurance Company and National Integrity Life Insurance Company (the Companies) will issue through Separate Account I of Integrity Life Insurance Company and Separate Account I of National Integrity Life Insurance Company (the Contracts), as well as other contracts that the Companies may issue in the future through their existing or future separate accounts that are substantially similar to the Contracts in all material respects (Future Contracts). Applicants also request that the order being sought extend to any other National Association of Securities Dealers, Inc. (NASD) member broker-dealer controlling or controlled by, or under common control or affiliated with, Touchstone, whether existing or created in the future, that serves as distributor or principal underwriter for the Contracts or Future Contracts. (Rel. IC-25274 - November 20)

PUTNAM AMERICAN GOVERNMENT INCOME FUND, ET AL.

An order has been issued on an application filed Putnam American Government Income Fund, et al. under Section 17(d) of the Investment Company Act and Rule 17d-1 under the Act to permit certain joint transactions. The order permits certain registered management investment companies to pay to an affiliated lending agent, and the lending agent to accept, fees based on a share of the revenues generated from securities lending transactions. (Rel. IC-25271 - November 16)

PIMCO FUNDS, ET AL.

A notice has been issued on an application filed by PIMCO Funds, et al. under Sections 6(c), 12(d)(1)(J), 17(b) and 17(d) of the Investment Company Act and Rule 17d-1 under the Act. The order permits certain registered management investment companies to invest uninvested cash and cash collateral in affiliated money market and short-term bond funds, and to participate in a joint lending and borrowing facility. The order supersedes an existing order. (Rel. IC-25272 – November 19)

GREAT AMERICAN LIFE INSURANCE COMPANY OF NEW YORK, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act granting exemptions from Sections 2(a)(32), 22(c) and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder, to Great American Life Insurance Company of New York, Annuity Investors Life Insurance Company (collectively, the Insurance Companies), GALIC of New York Separate Account I, Annuity Investors Variable Account A, Annuity Investors Variable Account B, and Great American Advisors, Inc. to permit the recapture of certain bonuses applied to purchase payments made under certain deferred variable annuity contracts. The order also extends to any other National Association of Securities Dealers, Inc. member broker-dealer controlling or controlled by, or under common control with the Insurance Companies, whether existing or created in the future, that serves as a distributor or principal underwriter of the contracts. (Rel. IC-25273 – November 19)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the American Stock Exchange relating to the withdrawal of continued approval of securities underlying options traded on the exchange (SR-Amex-2001-99) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45074)

A proposed rule change filed by the Pacific Exchange to eliminate references to fractional pricing from PCX and PCXE Rules (SR-PCX-2001-39) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45077)

A proposed rule change filed by the Philadelphia Stock Exchange extending a pilot program relating to automatic price improvement for equities trading in decimals (SR-Phlx 2001-101) has become effective under Section 19(b)(3)(A) of the Securities

Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45078)

A proposed rule change filed by the Philadelphia Stock Exchange extending a PACE order execution and price protection pilot program (SR-Phlx 2001-102) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45079)

A proposed rule change filed by the Philadelphia Stock Exchange relating to the listing agreement for index-linked exchangeable notes (SR-Phlx-2001-93) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45083)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the Pacific Exchange (SR-PCX-2001-24) relating to synchronization of member organization business clocks. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45080)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

A proposed rule change filed by the Philadelphia Stock Exchange relating to the listing and trading of index-linked exchangeable notes (SR-Phlx-2001-92) has been given accelerated approval under Section 19(b)(2) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 19. (Rel. 34-45082)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-1 MEDIS TECHNOLOGIES LTD, 805 THIRD AVENUE, NEW YORK, NY 10022
 (212) 935-8484 - 4,753,278 (\$20,070,055.95) COMMON STOCK. (FILE 333-73276
 - NOV. 14) (BR. 5)

S-8 ZEROS & ONES INC, 1714 16TH STREET, SANTA MONICA, CA 90404
 (310) 393-9992 - 4,800,000 (\$810,000) COMMON STOCK. (FILE 333-73278 -
 NOV. 14) (BR. 8)

S-8 ITT EDUCATIONAL SERVICES INC, 5975 CASTLE CREEK PKWY N DR, PO BOX
 50466,
 INDIANAPOLIS, IN 46250 (317) 594-9499 - 250,000 (\$9,653,750) COMMON
 STOCK.
 (FILE 333-73280 - NOV. 14) (BR. 8)

S-4 NORTHERN BORDER PIPELINE CO, 1111 SOUTH 103RD STREET, OMAHA, NE
 68124
 (402) 398-7700 - 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE
 333-73282 - NOV. 13) (BR. 2)

S-8 SAFEGUARD SCIENTIFICS INC ET AL, 435 DEVON PARK DR,
 800 THE SAFEGUARD BLDG, WAYNE, PA 19087 (610) 293-0600 - 3,000,000
 (\$7,617,908) COMMON STOCK. (FILE 333-73284 - NOV. 14) (BR. 8)

S-8 AMNIS SYSTEMS INC, 289 CHURCH AVENUE, CHULA VISTA, CA 91910
 (619) 482-7177 - 1,110,000 (\$788,100) COMMON STOCK. (FILE 333-73288 -
 NOV. 14) (BR. 2)

S-8 EARTH SCIENCES INC, 8100 SOUTHPARK WAY, B-2, LITTLETON, CO 80120
 (303) -73-4-17 - 96,770 (\$28,063) COMMON STOCK. (FILE 333-73292 - NOV.
 14)
 (BR. 4)

S-8 INTERPOOL INC, 211 COLLEGE RD E, PRINCETON, NJ 08540 (609) 452-8900 -
 1,500,000 (\$18,281,259) COMMON STOCK. (FILE 333-73294 - NOV. 14) (BR.
 6)

S-8 INFORETECH WIRELESS TECHNOLOGY INC, 5500 152ND STREET, SUITE 214,
 VANCOUVER BRITISH COLUMBIA, A6 V3S-8 (604) 576-7442 - 11,400,000
 (\$684,000) COMMON STOCK. (FILE 333-73298 - NOV. 14) (BR. 7)

S-8 LOCKWAVE TECHNOLOGIES INC, 47 MALL DR., SUITE 5, COMMACK, NY 11725
 (631) 864-1515 - 1,200,000 (\$12,000) COMMON STOCK. (FILE 333-73300 -
 NOV. 14) (BR. 4)

S-8 PEPSI BOTTLING GROUP INC, ONE PEPSI WAY, SOMERS, NY 10589 (914) 767-
 6000
 - 2,500,000 (\$116,000,000) COMMON STOCK. (FILE 333-73302 - NOV. 14)
 (BR. 2)

S-8 MENTOR CORP /MN/, 201 MENTOR DR, SANTA BARBARA, CA 93111 (805) 879-
 6000
 - 3,000,000 (\$81,510,000) COMMON STOCK. (FILE 333-73306 - NOV. 14)
 (BR. 5)

S-8 WESTERN MEDIA GROUP CORP, 11900 WAYZATA BLVD, SUITE 100, HOPKINS, MN
 55305 (612) 512-1851 - 7,420,000 (\$225,800) COMMON STOCK. (FILE 333-
 73308
 - NOV. 14) (BR. 9)

S-3 PROXIM INC /DE/, 510 DEGUIGNE DRIVE, SUNNYVALE, CA 94086 (415) 960-
 1630
 - 439,994 (\$3,009,558.96) COMMON STOCK. (FILE 333-73310 - NOV. 14)
 (BR. 3)

S-8 IMAGING TECHNOLOGIES CORP/CA, 15175 INNOVATION DRIVE, SAN DIEGO, CA
 92128 (619) 613-1300 - 47,133,133 (\$1,414,000) COMMON STOCK. (FILE
 333-73312 - NOV. 14) (BR. 3)

S-8 ALLIANCE IMAGING INC /DE/, 1065 N PACIFICENTER DR, STE 200, ANAHEIM,
 CA
 92806 (714) 688-7100 - 1,000,000 (\$1,000,000)
 OTHER SECURITIES INCLUDING VOTING TRUST. 90,000 (\$1,071,000) COMMON
 STOCK.
 (FILE 333-73314 - NOV. 14) (BR. 1)

S-8 ALLIANCE IMAGING INC /DE/, 1065 N PACIFICENTER DR, STE 200, ANAHEIM,
 CA
 92806 (714) 688-7100 - 6,325,000 (\$75,267,500) COMMON STOCK. (FILE
 333-73316 - NOV. 14) (BR. 1)

S-8 TELAXIS COMMUNICATIONS CORP, 20 INDUSTRIAL DRIVE EAST, SOUTH
 DEERFIELD,
 MA 01373 (413) 665-8551 - 112,500 (\$38,250) COMMON STOCK. (FILE 333-
 73318
 - NOV. 14) (BR. 36)

S-3 ON SEMICONDUCTOR CORP, 5005 EAST MCDOWELL ROAD, PHOENIX, AZ 85008
 (602) 244-6600 - 44,326,241 (\$61,170,212.58) COMMON STOCK. (FILE
 333-73320 - NOV. 14) (BR. 5)

S-8 SYMBOL TECHNOLOGIES INC, ONE SYMBOL PLAZA, HOLTSVILLE, NY 11742
 (516) 563-2400 - 7,500,000 (\$116,925,000) COMMON STOCK. (FILE 333-
 73322 -
 NOV. 14) (BR. 3)

S-8 DELTA PETROLEUM CORP/CO, 555 17TH ST STE 3310, DENVER, CO 80202
 (303) 293-9133 - 550,000 (\$1,303,500) COMMON STOCK. (FILE 333-73324 -
 NOV. 14) (BR. 4)

S-3 WILLIAMS COMPANIES INC, ONE WILLIAMS CTR, TULSA, OK 74172 (918) 573-
 2000
 - \$1,500,000,000 STRAIGHT BONDS. (FILE 333-73326 - NOV. 14) (BR. 2)

S-3 NEWPORT NEWS SHIPBUILDING INC, 4101 WASHINGTON AVE, LEGAL DEPT,
 NEWPORT NEWS, VA 23607 (757) 380-2000 - 5,785,067 (\$391,070,529)
 COMMON STOCK. (FILE 333-73328 - NOV. 14) (BR. 6)

S-8 LITWAVE CORP, 510 WEST HASTINGS STREET SUITE 1010, VANCOUVER BC, CANADA, A1 V6B 1 (305) 805-0344 - 3,150,000 (\$204,750) COMMON STOCK. (FILE 333-73330 - NOV. 14) (BR. 7)

S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL AIR, MD 21014 (410) 893-0134 - 50,000,000 (\$1,500,000) COMMON STOCK. (FILE 333-73334 - NOV. 14) (BR. 9)

S-3 READERS DIGEST ASSOCIATION INC, READERS DIGEST ROAD, PLEASANTVILLE, NY 10570 (914) 238-1000 - \$500,000,000 STRAIGHT BONDS. (FILE 333-73336 - NOV. 14) (BR. 5)

S-8 INTERNET BUSINESS INTERNATIONAL INC, 4634 SOUTH MARYLAND PARKWAY SUITE 101, LAS VEGAS, NV 89119 (702) 968-0008 - 2,000,000 (\$2,000) COMMON STOCK. (FILE 333-73344 - NOV. 14) (BR. 4)

S-8 BJ SERVICES CO, 5500 NW CENTRAL DR, HOUSTON, TX 77210 (713) 462-4239 - 6,000,000 (\$160,290,000) COMMON STOCK. (FILE 333-73348 - NOV. 14) (BR. 4)

S-8 PERKINELMER INC, 45 WILLIAM ST, WELLESLEY, MA 02481 (781) 237-5100 - 1,584,540 (\$25,241,722.20) COMMON STOCK. (FILE 333-73350 - NOV. 14) (BR. 5)

S-8 TELLIIUM INC, 2 CRESCENT PLACE, OCEANPORT, NJ 07757 - 18,516,069 (\$107,763,521.58) COMMON STOCK. (FILE 333-73352 - NOV. 14) (BR. 7)

S-8 OPTIMARK HOLDINGS INC, 10 EXCHANGE PLACE CENTRE, 24TH FLOOR, JERSEY CITY, NJ 07302 (201) -53-6-70 - 7,400,000 (\$740,000) COMMON STOCK. (FILE 333-73356 - NOV. 14) (BR. 8)

S-8 XDOGS COM INC, 527 MARQUETTE AVE, SUITE 2130, MINNEAPOLIS, MN 55402 (612) 359-9020 - 195,653 (\$45,000) COMMON STOCK. (FILE 333-73358 - NOV. 14) (BR. 5)

S-3 DIVINE INC, 1301 N ELSTON AVENUE, CHICAGO, IL 60622 (773) 394-6600 - 24,933,301 (\$11,219,985.45) COMMON STOCK. (FILE 333-73362 - NOV. 14) (BR. 8)

S-8 WORLDWIDE RESTAURANT CONCEPTS INC, 6101 W CENTINELA AVE, STE 200, CULVER CITY, CA 90230 (310) 568-0135 - 1,000,000 (\$1,070,000) COMMON STOCK. (FILE 333-73372 - NOV. 14) (BR. 5)

S-3 EQUITEX INC, 7315 E PEAKVIEW AVE, GREENWOOD EXECUTIVE PARK BLDG 8, ENGLEWOOD, CO 80111 (303) 796-8940 - 17,514,274 (\$73,992,495) COMMON STOCK. (FILE 333-73374 - NOV. 15) (BR. 7)

S-8 CURATIVE HEALTH SERVICES INC, 150 MOTOR PARKWAY, BOX 9052,
 HAUPPAUGE, NY
 11788 (516) 232-7000 - 1,210,000 (\$13,195,800) COMMON STOCK. (FILE
 333-73376 - NOV. 15) (BR. 1)

S-3 SOUTHERN CALIFORNIA WATER CO, 630 E FOOTHILL BLVD, SAN DIMAS, CA
 91773
 (909) 394-3600 - \$100,000,000 STRAIGHT BONDS. (FILE 333-73378 - NOV.
 15)
 (BR. 2)

S-4 WELLPOINT HEALTH NETWORKS INC /DE/, 1 WELLPOINT WAY, THOUSAND OAKS,
 CA
 91362 (818) 703-4000 - 8,712,622 (\$939,000,314) COMMON STOCK. (FILE
 333-73382 - NOV. 15) (BR. 1)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ABC FAMILY WORLDWIDE INC	DE				X	X						11/20/01	
ACADIA REALTY TRUST	MD						X	X				11/21/01	
ACRODYNE COMMUNICATIONS INC	DE				X							11/16/01	
ACTIVE LINK COMMUNICATIONS INC	CO	X	X					X	X			11/08/01	
ADVANTA BUSINESS RECIEVABLES CORP								X				11/20/01	
AGILENT TECHNOLOGIES INC	DE				X	X						11/19/01	
AGILENT TECHNOLOGIES INC	DE				X	X						11/20/01	
AIRGATE PCS INC /DE/	DE						X	X				11/14/01	
AIRNET COMMUNICATIONS CORP	DE				X	X						11/14/01	
ALCOA INC	PA				X	X						11/19/01	
ALLIANCE CONSUMER INTERNATIONAL INC	CA				X	X						11/19/01	
ALLIANCE DATA SYSTEMS CORP	DE				X							11/16/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ALLIED PRODUCTS CORP /DE/	DE					X	X				11/08/01	
AMERICAN CLASSIC VOYAGES CO	DE					X	X				11/14/01	
AMERITRADE HOLDING CORP	DE						X				09/06/01	AMEND
AMERITRANS CAPITAL CORP	DE					X					10/30/01	
ANADIGICS INC	DE					X	X				11/16/01	
ANCHOR BANCORP WISCONSIN INC	WI		X				X				11/10/01	
APPLERA CORP	DE					X	X				11/16/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				10/15/01	
ARCHIBALD CANDY CORP	IL			X		X	X				11/15/01	
ASCONI CORP	NV					X	X				11/19/01	
ATCHISON CASTING CORP	KS					X	X				11/20/01	
ATG INC	CA					X	X				10/08/01	
ATHEROGENICS INC	GA					X	X				11/09/01	
ATWOOD OCEANICS INC	TX						X				09/30/01	
AXYS PHARMACEUTICALS INC	DE		X				X				11/16/01	
AZUL HOLDINGS INC	DE					X					11/20/01	
BACTROL TECHNOLOGIES INC /FL	FL		X	X		X	X				11/19/01	
BALANCED CARE CORP	DE					X	X				11/19/01	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X	X				11/20/01	
BANC ONE HELOC TRUST 1998-1	OH					X	X				05/21/01	
BANC ONE HELOC 1999-1	OH					X	X				05/21/01	
BAY VIEW CAPITAL CORP	DE						X				11/15/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE						X				10/30/01	
BICO INC/PA	PA					X					11/20/01	
BIOSANTE PHARMACEUTICALS INC	WY					X	X				06/26/01	
CALIFORNIA COMMUNITY BANCSHARES INC	DE					X	X				11/16/01	
CALPROP CORP	CA					X	X				11/14/01	
CAPITAL BEVERAGE CORP	DE		X	X		X					09/30/01	AMEND
CAPITOL BANCORP LTD	MI						X	X			11/19/01	
CARDIMA INC	DE					X	X				10/13/01	
CARMIKE CINEMAS INC	DE					X	X				11/14/01	
CHEMICAL CONSORTIUM HOLDINGS INC	DE						X				10/02/01	AMEND
CHESAPEAKE CORP /VA/	VA					X					11/19/01	
CHEVRONTXACO CORP	DE					X	X				10/09/01	
CHRYSLER FINANCIAL CO LLC	MI					X	X				11/06/01	
CINTAS CORP	WA					X	X				11/14/01	
CITY NATIONAL CORP	DE					X	X				11/16/01	
CNL RETIREMENT PROPERTIES INC	MD		X				X				11/09/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
COLLABORATIVE FINANCIAL NETWORK GRO UP INC	DE				X			X			10/29/01	
COLLATERALIZED ASSET BACKED BONDS S ERIES 2001-2	DE					X					09/25/01	
COLLATERALIZED ASSET BACKED BONDS S ERIES 2001-2	DE					X					10/25/01	
COMPOSITE TECHNOLOGY CORP	FL	X	X					X			11/03/01	
COMPUTER ASSOCIATES INTERNATIONAL I NC	DE					X		X			11/09/01	
CONOCO INC /DE	DE					X		X			11/18/01	
CONSUMER PORTFOLIO SERVICES INC	CA					X		X			11/19/01	
COOPER INDUSTRIES INC	OH							X	X		11/20/01	
CPS AUTO RECEIVABLES TRUST 1998-4	CA							X			10/31/01	
CREDIT SUISSE FIRST BOSTON FNT MOR BK PAS THR CERTS SER 01 5	DE					X		X			10/25/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			11/19/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X			X			11/20/01	
CROWN CORK & SEAL CO INC	PA							X			11/20/01	
CSFB ABS TRUST SERIES 2001-S13	DE					X		X			11/25/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001 HE20	DE					X		X			11/25/01	
CSFB MORTGAGE PASS-THRU CERT SERIES 2001-HE17	DE					X		X			09/25/01	
CSFB MORTGAGE PASS-THRU CERT SERIES 2001-HE17	DE					X		X			10/25/01	
CSFB MORTGAGE PASS-THRU CERT SERIES 2001-HE17	DE					X		X			11/25/01	
CT HOLDINGS INC	DE							X			11/16/01	
CULP INC	NC	X									10/28/01	
CURTISS WRIGHT CORP	DE					X		X			11/20/01	
CV THERAPEUTICS INC	DE					X		X			11/12/01	
CYBER GROUP NETWORK CORP						X		X			11/15/01	
DAL TILE INTERNATIONAL INC	DE					X		X			11/19/01	
DEERE & CO	DE							X			11/20/01	
DEERE JOHN CAPITAL CORP	DE					X					11/20/01	
DIGEX INC/DE	DE					X					11/15/01	
DISPLAY TECHNOLOGIES INC	NV					X		X			10/01/01	
DST SYSTEMS INC	DE					X					11/19/01	
DUKE ENERGY CORP	NC					X		X			11/20/01	
DVI RECEIVABLES CORP	DE							X			10/31/01	
DVI RECEIVABLES CORP VIII	DE							X			10/31/01	
E CRUITER COM INC								X	X		11/19/01	
EBENX INC	MN		X					X			11/05/01	
ECOM CORP	NV					X		X			09/28/01	
EDG CAPITAL INC	NY							X	X		11/14/01	
EME HOMER CITY GENERATION LP	CA					X		X			09/24/01	AMEND
ENBRIDGE ENERGY PARTNERS LP	DE					X		X			11/19/01	
ENDO PHARMACEUTICALS HOLDINGS INC	DE							X	X		11/20/01	
ENEFTECH CORP	TX	X	X			X	X	X			12/31/01	AMEND
EUPHONIX INC \CA\	CA					X		X			11/16/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
EVENFLO CO INC	DE					X	X				11/19/01	
EXPEDITORS INTERNATIONAL OF WASHING TON INC	WA									X	11/15/01	
EXPRESSO EXPRESS INC	TX	X	X			X	X	X			12/31/01	AMEND
FERRELLGAS PARTNERS L P	DE									X	11/20/01	
FIRST CONSUMERS MASTER TRUST	IL					X					10/31/01	
FIRST FINANCIAL CORP /TX/	TX					X					11/15/01	
FIRST FINANCIAL HOLDINGS INC /DE/	DE					X	X				11/20/01	
FIRST KEYSTONE CORP	PA					X					10/25/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC SER 1997 C-1	NC					X					10/18/01	
FIRST YEARS INC	MA					X	X				11/19/01	
FIRSTMARK CORP /ME/	ME					X					10/31/01	
FLEET HOME EQUITY LOAN CORP	DE		X								11/20/01	
FLEETBOSTON FINANCIAL CORP	RI					X	X				11/14/01	
FLEXSTEEL INDUSTRIES INC	MN					X					11/20/01	
FRANKLIN RECEIVABLES LLC	DE					X	X				11/16/01	
GAMA COMPUTER CORP	DE					X	X				11/07/01	
GLOBAL EXPRESS CAPITAL REAL ESTATE INVESTMENT FUND I LLC								X			11/15/01	
GLOBAL MARINE INC	DE	X						X			11/20/01	
GLOBALSANTAFE CORP			X					X			11/20/01	
GOLD CHAIN MINING CO	NV	X				X	X	X			09/30/01	
GREENPOINT HELOC 2001-1						X	X				08/15/01	
GREENPOINT HELOC 2001-1						X	X				08/15/01	
GREENPOINT HELOC 2001-1						X	X				10/15/01	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-3						X	X				08/15/01	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-3						X	X				09/17/01	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-3						X	X				10/15/01	
GS FINANCIAL SERVICES INC	FL					X		X			10/19/01	AMEND
HARRINGTON FINANCIAL GROUP INC	IN		X					X			11/03/01	
HMSR INC	DE					X		X			11/15/01	AMEND
HOMESEEKERS COM INC	NV		X					X			11/05/01	
IEMI	NV					X		X			10/29/01	AMEND
ILEX ONCOLOGY INC	DE					X		X			11/14/01	
IMAGING CENTER INC	MD					X		X			10/27/01	
IMATRON INC	NJ					X		X			11/16/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-5	CA					X					08/27/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-5	CA					X					09/25/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-5	CA					X					10/25/01	
INDYMAC MBS INC RESIDENTIAL ASSET S ECURITIZATION TR 2001-A1						X					09/25/01	
INDYMAC MBS INC RESIDENTIAL ASSET S ECURITIZATION TR 2001-A1						X					10/25/01	
INNOVATIVE MICRO TECHNOLOGY INC	DE		X					X			11/05/00	
INNOVATIVE SOLUTIONS & SUPPORT INC	PA					X					09/17/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
INTEGRATED INFORMATION SYSTEMS INC	DE					X		X			11/19/01	
INTERPLAY ENTERTAINMENT CORP	DE					X					11/15/01	
INVACARE CORP	OH					X					10/17/01	
IPI INC	MN		X					X			11/15/01	
IRWIN FINANCIAL CORPORATION	IN					X		X			11/20/01	
JDA SOFTWARE GROUP INC	DE							X			09/07/01	AMEND
JLM INDUSTRIES INC	DE					X		X			11/13/01	
JOSHUA TREE CONSTRUCTION INC	NV		X								09/30/01	
KANSAS CITY POWER & LIGHT CO	MO					X		X			11/19/01	
KERR MCGEE CORP /DE	DE					X		X			11/14/01	
KEYCORP STUDENT LOAN TRUST 2001-A								X			09/13/01	
KNICKERBOCKER L L CO INC	CA					X		X			11/07/01	
KROLL INC	OH					X		X			11/14/01	
KRYSTAL COMPANY	TN					X		X			11/20/01	
LCA VISION INC	DE					X		X			11/15/01	
LEHMAN ABS CORP	DE					X		X			11/15/01	
LONE STAR STEAKHOUSE & SALOON INC	DE					X		X			11/15/01	
LONG BEACH SECURITIES CORP ASSET BA CK CERT SER 2001-2	DE					X					08/27/01	
LONG BEACH SECURITIES CORP ASSET BA CK CERT SER 2001-2	DE					X					09/25/01	
LTV CORP	DE					X		X			11/20/01	
LUCENT TECHNOLOGIES INC	DE					X		X			11/16/01	
MACKENZIE INVESTMENT MANAGEMENT INC	DE					X		X			11/20/01	
MAGELLAN PETROLEUM CORP /DE/	DE					X		X			11/19/01	
MATRIA HEALTHCARE INC	DE							X			11/15/01	
MELLON AUTO GRANTOR TRUST 2000-2						X		X			11/15/01	
METLIFE INC	DE							X	X		11/19/01	
MFN FINANCIAL CORP	DE					X		X			11/19/01	
MILLIONAIRE COM	NV					X					09/30/01	AMEND
MINNESOTA MINING & MANUFACTURING CO	DE					X		X			11/19/01	
MOHAWK INDUSTRIES INC	DE					X		X			11/19/01	
MONTANA POWER CO /MT/	MT					X		X			11/19/01	
MONTEREY BAY BANCORP INC	DE					X		X			11/20/01	
MORGAN STANLEY ABS CAPITAL I INC	DE					X		X			11/19/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC	DE					X		X			11/16/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-NC2	DE					X					09/25/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-NC2	DE					X					10/25/01	
MOTIENT CORP	DE					X					11/20/01	
NATIONAL CITY CREDIT CARD MASTER TR UST								X			11/15/01	
NAVISTAR FINANCIAL CORP	DE					X					10/31/01	
NCT GROUP INC	DE					X					11/14/01	
NET 1 L P	DE					X		X			11/15/01	
NET 2 L P	DE					X		X			11/15/01	
NORTHPORT INVESTMENTS INC	NV					X					10/15/01	
OAK TREE MEDICAL SYSTEMS INC	DE		X					X			11/16/01	
OCEANEERING INTERNATIONAL INC	DE					X		X			11/16/01	
OMNISKY CORP						X		X			11/20/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
OPUS360 CORP	DE					X	X				11/20/01	
OXFORD AUTOMOTIVE INC	MI					X	X				11/14/01	
PATRIOT MOTORCYCLE CORP	NV							X			05/21/01	
PEOPLES BANCORP INC	OH					X					11/19/01	
PEOPLES FINANCIAL SERVICES CORP/	PA					X					11/16/01	
PEREGRINE PHARMACEUTICALS INC	DE					X	X				11/19/01	
PHILLIPS PETROLEUM CO	DE					X	X				11/18/01	
PNC MORT SEC CORP COM MORT PASS THR CERT SER 2000-C1	MO					X	X				11/15/01	
PNC MORTGAGE ACCEPTANCE CORP	MO					X	X				11/13/01	
POWERBALL INTERNATIONAL INC	UT					X					11/20/01	
PRIMA ENERGY CORP	DE					X	X				11/13/01	
PROVINCE HEALTHCARE CO	DE					X					11/13/01	
PS BUSINESS PARKS INC/CA	CA						X	X			11/20/01	
PSF GROUP HOLDINGS INC	NC					X	X				10/26/01	
QUANTA SERVICES INC	DE					X	X				11/18/01	
REAL LOGIC INC	FL					X	X				11/19/01	
REDBACK NETWORKS INC	DE					X					11/20/01	
RELIABLE POWER SYSTEMS INC	CO					X	X				11/02/01	
RES CARE INC /KY/	KY					X	X				11/15/01	
RESEARCH INC /MN/	MN		X				X	X			11/09/01	
RESIDENTIAL ASSET MORT PROD GMACM M ORT PT CER SER 2001-J2	DE					X	X				05/25/01	
RESIDENTIAL ASSET MORT PROD GMACM M ORT PT CER SER 2001-J5						X	X				10/25/01	
RESIDENTIAL ASSET MORT PRODUCTS GMA CM MORT PT CER SE 2001-J4						X	X				09/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS GMACM MOR PT CE SE 01 J3						X	X				07/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE						X				11/15/01	AMEND
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE						X				11/19/01	
RESTORATION HARDWARE INC	CA					X	X				11/16/01	
RETRACTABLE TECHNOLOGIES INC	TX					X					11/15/01	
RETRACTABLE TECHNOLOGIES INC	TX					X					11/19/01	
REYNOLDS SMITH & HILLS INC	FL		X								11/12/01	AMEND
RIGHT START INC /CA	CA		X								09/05/01	AMEND
ROBERTS REALTY INVESTORS INC	GA		X				X				11/06/01	
ROHN INDUSTRIES INC	DE					X	X				11/14/01	
ROHN INDUSTRIES INC	DE						X				11/19/01	
RUBIOS RESTAURANTS INC	DE					X					11/12/01	
RYDER VEHICLE LEASE TRUST 2001 A						X	X				11/09/01	
SAUL CENTERS INC	MD					X					11/19/01	
SBA COMMUNICATIONS CORP	FL					X	X				11/16/01	
SCOTIA PACIFIC CO LLC	DE							X			11/20/01	
SERACARE LIFE SCIENCES INC								X			11/06/01	
SHURGARD STORAGE CENTERS INC	WA					X					10/01/01	
SIERRA PACIFIC RESOURCES	NV					X	X				11/16/01	
SILICON IMAGE INC	DE					X					10/30/01	
SPARTA SURGICAL CORP	DE						X				10/12/01	AMEND
SPARTAN MOTORS INC	MI						X	X			11/19/01	

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	CODE	1	2	3	4	5	6	7	8	9		
SPIEGEL MASTER TRUST	DE					X					10/31/01	
STARMEDIA NETWORK INC	DE					X	X				11/19/01	
STEIN MART INC	FL						X	X			11/19/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-SB1	DE					X	X				11/25/01	
STRUCTURED PRODUCTS CORP	DE							X			10/30/01	
SUN COMMUNITY BANCORP LTD	AZ						X	X			11/19/01	
SUNTERRA CORP	MD					X	X				11/19/01	
TEAM MUCHO INC	OH					X	X				11/19/01	
TECHNOLOGY FLAVORS & FRAGRANCES INC	DE					X	X				11/19/01	
TELCO TECHNOLOGY INC	DE					X		X			11/12/01	AMEND
TELEX COMMUNICATIONS INC	DE					X	X				11/19/01	
TELIGENT INC	DE					X	X				11/15/01	
TEPPCO PARTNERS LP	DE					X	X				11/14/01	
TEXTRON INC	DE					X	X				11/19/01	
TRUSERV CORP	DE					X	X				11/16/01	
TRUSERV CORP	DE					X	X				11/20/01	
TRUSTCO BANK CORP N Y	NY					X					11/20/01	
U S TECHNOLOGIES INC	DE					X					11/13/01	
UAL CORP /DE/	DE							X			11/20/01	
UNIROYAL TECHNOLOGY CORP	DE		X								11/09/01	
UNIVERSAL FOREST PRODUCTS INC	MI						X	X			11/19/01	
V TWIN HOLDINGS INC	DC					X	X				11/27/00	AMEND
VALLEY MEDIA INC	DE				X	X	X				11/20/01	
VERSO TECHNOLOGIES INC	MN		X				X	X			11/16/01	
VISTA GOLD CORP						X					11/19/01	
WASTE MANAGEMENT INC	DE					X	X				11/15/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X				11/20/01	
WEST PHARMACEUTICAL SERVICES INC	PA					X					11/15/01	
WESTERN RESOURCES INC /KS	KS					X	X				11/20/01	
WHISTLER INC	DE	X	X				X				11/19/01	AMEND
WHX CORP	DE					X	X				11/19/01	
WINMARK CORP	MN					X	X				11/16/01	
WKI HOLDING CO INC	DE					X	X				11/19/01	
WOLFPACK CORP	DE						X				09/28/01	AMEND
WORLD WIRELESS COMMUNICATIONS INC	NV					X					02/26/01	
WSN GROUP INC	NV		X				X				10/25/01	
X-CHANGE CORP INC	NV					X	X	X			11/15/01	
XEROX CORP	NY					X					11/19/01	
XEROX CORP	NY					X					11/20/01	
XICOR INC	CA					X	X				11/19/01	
ZANY BRAINY INC	PA					X	X				11/19/01	