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# SEC NEWS DIGEST

Issue 2001-109

June 6, 2001

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## COMMISSION ANNOUNCEMENTS

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### CLOSED MEETING – TUESDAY, JUNE 12, 2001 – 10:00 A.M.

The subject matter of the closed meeting scheduled for Tuesday, June 12, at 10:00 a.m. will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION OBTAINS A PERMANENT INJUNCTION AND OTHER RELIEF FROM CHARLES HAGEMANN

The Commission announced that Charles F. Hagemann was enjoined in the conclusion of an offering fraud action against twelve former salespersons employed by Papa Holdings, Inc., a defunct company formerly based in Woodland Hills, California. On May 31, a Final Judgment by consent was entered against Hagemann permanently enjoining him from future violations of the registration and antifraud provisions of the federal securities laws. Although the Final Judgment also orders Hagemann to pay \$53,685 in disgorgement, all but \$25,000 was waived and a civil penalty was not assessed based upon Hagemann's demonstrated inability to pay.

The Commission's complaint alleged that Hagemann made fraudulent representations in connection with his sales of the unregistered securities of two restaurant subsidiaries controlled by Papa Holdings. Papa Holdings and its restaurant subsidiaries raised over \$21 million from approximately 1,300 investors nationwide from November 1995 to January 1999. Instead of opening new restaurants, the restaurant subsidiaries used the money to pay undisclosed commissions and to cover losses incurred by existing

Papashon restaurants. None of the restaurant subsidiaries ever opened a restaurant and, due to their losses, existing Papashon Restaurants in Pasadena, Beverly Hills, Encino and Long Beach, California closed in 1999. [SEC v. David J. Naughton, et al., Civil Action No. 00-07531 R, Ex, CD Cal.] (LR-17025)

## **JUDAH WERNICK AND LEONARD GREER ENJOINED**

The Commission announced that on June 5, in a market manipulation case, Final Judgments were entered in the Southern District of New York against Judah Wernick and Leonard B. Greer, enjoining them from violating the antifraud provisions, and Greer from violating of certain securities reporting provisions, of the federal securities laws. Greer was ordered to disgorge \$257,800, plus prejudgment interest of \$203,986 and post judgment interest, and to pay a civil penalty of \$100,000. Wernick was ordered to disgorge \$218,149.71, plus prejudgment interest of \$172,936.58 and post judgment interest. Greer and Wernick consented to the entry of the Final Judgments without admitting or denying the allegations of the complaint.

In its complaint, the Commission alleged that, from January 1994 through April 1994, Wernick and Greer fraudulently manipulated the market price of the stock of AFGL International, Inc. from \$1 to \$7 per share. The Commission alleged that Wernick and Greer accomplished the manipulation by controlling the supply of AFGL stock that was available to the market; by accumulating over two-thirds of the freely-tradable AFGL stock in the proprietary account of Greer's firm, L.C. Wegard & Co.; by exerting price leadership to increase the market price of AFGL stock; and by generating tail demand for AFGL stock.

The Commission further alleged in the complaint that, during an intensive nine-day selling effort that began on April 20, 1994, Greer's firm, Wegard, sold over 1 million shares of AFGL stock to its customers, at artificially inflated prices, netting a profit of approximately \$3.5 million. The Commission also alleged that Wernick profited by selling AFGL securities to Greer. [SEC v. Leonard B. Greer, et al., Civil Action No. 97 Civ. 7267, MP, SDNY] (LR-17026)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **WESTERN-SOUTHERN LIFE ASSURANCE COMPANY, ET AL.**

An order has been issued on an application filed by Western-Southern Life Assurance Company, Western-Southern Life Assurance Company Separate Account 1 and Western-Southern Life Assurance Company Separate Account 2 (the Separate Accounts), pursuant to Section 26(c) of the Investment Company Act, approving the substitution of shares of the Touchstone Variable Series Trust's (TVST) Touchstone High Yield Portfolio for

shares of TVST's Touchstone Income Opportunity Portfolio held by the Separate Accounts to support certain variable annuity contracts. (Rel. IC-24996 – June 4)

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## **HOLDING COMPANY ACT RELEASES**

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### **XCEL ENERGY INC.**

An order has been issued authorizing Xcel Energy Inc. (Xcel), a registered holding company, to implement a stockholder protection rights plan that would declare a dividend of one right for each outstanding share of Xcel common stock. (Rel. 35-27413)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE**

A proposed rule change filed by the Philadelphia Stock Exchange (SR-Phlx-2001-57) waiving equity transaction value charges for PACE orders has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of June 4. (Rel. 34-44381)

### **APPROVAL OF PROPOSED RULE CHANGE**

The Commission approved a proposed rule change (SR-NASD-00-33) submitted by the National Association of Securities Dealers amending NASD Rule 3340 to prohibit publication of quotations or indications of interest in a security during a trading halt. Publication of the notice in the Federal Register is expected during the week of June 4. (Rel. 34-44390)

### **WITHDRAWAL GRANTED**

An order has been issued granting the application of Home Security International, Inc. to withdraw its Common Stock, \$0.001 par value, from listing and registration on the American Stock Exchange, effective at the opening of business on June 6, 2001. (Rel. 34-44391)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-3 RIO TINTO PLC, 6 ST JAMES SQ, LONDON SW1Y 4LD ENGL, X0 (011) 441-7193 -  
1,000,000,000 (\$1,000,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT.  
(FILE 333-13558 - MAY. 25) (BR. 4)

S-8 WATSON PHARMACEUTICALS INC, 311 BONNIE CIRCLE, CORONA, CA 92880  
(909) 270-1400 - 7,500,000 (\$428,475,000) COMMON STOCK. (FILE 333-61844 -  
MAY. 30) (BR. 1)

S-3 UROLOGIX INC, 14405 21ST AVE N, MINNEAPOLIS, MN 55447 (612) 475-1400  
- 327,466 (\$8,988,942) COMMON STOCK. (FILE 333-61848 - MAY. 30) (BR. 5)

S-8 CYSIVE INC, 10780 PARKRIDGE BLVD, STE 400, RESTON, VA 20191  
(703) 259-2300 - 99,667 (\$333,884.45) COMMON STOCK. (FILE 333-61850 -  
MAY. 30) (BR. 3)

S-8 SYNCOR INTERNATIONAL CORP /DE/, 6464 CANOGA AVENUE, WOODLAND HILLS,  
CA 91367 (818) 73-7.40 - 500,000 (\$14,895,000) COMMON STOCK. (FILE  
333-61852 - MAY. 30) (BR. 1)

S-8 CITY NATIONAL CORP, 400 N ROXBURY DR, BEVERLY HILLS, CA 90210  
(310) 888-6000 - 2,000,000 (\$77,166,545.25) COMMON STOCK. (FILE 333-61854  
- MAY. 30) (BR. 7)

S-8 UNION PACIFIC CORP, 1416 DODGE STREET, MC 10015, OMAHA, NE 68179  
(214) 743-5600 - 12,000,000 (\$667,560,000) COMMON STOCK. (FILE 333-61856 -  
MAY. 30) (BR. 5)

S-8 GARDENBURGER INC, 1411 SW MORRISON ST, STE 400, PORTLAND, OR 97205  
(503) 205-1500 - 1,940,500 (\$1,458,921) COMMON STOCK. (FILE 333-61858  
- MAY. 30) (BR. 4)

S-3 SCANSOFT INC, 9 CENTENNIAL DRIVE, PEABODY, MA 01960 (978) 977-2000 -  
4,761,905 (\$5,785,714.58) COMMON STOCK. (FILE 333-61862 - MAY. 30)  
(BR. 3)

S-8 COST U LESS INC, 8160 304TH AVENUE SE, BLDG 3 SUITE A, PRESTON, WA  
98005  
(425) 644-4241 - 500,000 (\$905,000) COMMON STOCK. (FILE 333-61864 -  
MAY. 30) (BR. 2)

S-3 QUESTCOR PHARMACEUTICALS INC, 26118 RESEARCH ROAD, HAYWARD, CA 94545  
(510) 732-5551 - 1,225,200 (\$692,238) COMMON STOCK. (FILE 333-61866 -  
MAY. 30) (BR. 1)

S-3 BICO INC/PA, 2275 SWALLOW HILL ROAD, BLDG 2500, PITTSBURGH, PA 15220  
61868 -  
(412) 429-0673 - 800,000,000 (\$32,000,000) COMMON STOCK. (FILE 333-  
MAY. 30) (BR. 5)

S-8 NETHOLDINGS COM INC, 2929 S MARYLAND PARKWAY, LAS VEGAS, NV 89109  
(702) 320-3050 - 2,500,000 (\$2,650,000) COMMON STOCK. (FILE 333-61870  
MAY. 30) (BR. 4)

S-8 INTERNATIONAL STAR INC, 2820 CHILDRESS, LAS VEGAS, NV 89134 - 42,682  
(\$16,645.98) COMMON STOCK. (FILE 333-61872 - MAY. 30) (BR. 9)

S-3 CHORDIANT SOFTWARE INC, 20400 STEVENS CREEK BLVD, SUITE 400,  
CUPERTINO,  
CA 95014 (408) 517-6100 - 1,773,547 (\$5,462,524.76) COMMON STOCK.  
(FILE 333-61874 - MAY. 30) (BR. 3)

S-8 PRIMEDEX HEALTH SYSTEMS INC, 1516 COTNER AVE, LOS ANGELES, CA 90025  
(310) 478-7808 - 2,000,000 (\$1,280,000) COMMON STOCK. (FILE 333-61876  
MAY. 30) (BR. 1)

S-3 LEHMAN BROTHERS HOLDINGS INC, AMERICAN EXPRESS TWR,  
3 WORLD FINANCIAL CNTR, NEW YORK, NY 10285 (212) 526-7000 (FILE 333-  
61878 -  
MAY. 30) (BR. 7)

SB-2 ICOA INC, 111 AIRPORT RD, WARWICK, RI 02809 (401) 739-9205 -  
20,666,666  
(\$1,038,000) COMMON STOCK. (FILE 333-61880 - MAY. 30) (BR. 9)

S-8 FORD MOTOR CO, ONE AMERICAN ROAD, DEARBORN, MI 48126 (313) 322-3000  
61,452,755 (\$1,529,251,808.17) COMMON STOCK. (FILE 333-61882 - MAY.  
30)  
(BR. 5)

S-8 BEAUTYMERCHANT COM INC, 4818 WEST COMMERCIAL BLVD, SUITE 411,  
FT LAUDERDALE, FL 33319 (954) 733-5785 - 360,000 (\$36,000) COMMON  
STOCK.  
(FILE 333-61884 - MAY. 30) (BR. 5)

- S-8 FORD MOTOR CO, ONE AMERICAN ROAD, DEARBORN, MI 48126 (313) 322-3000  
-  
18,147,675 (\$451,604,892.38) COMMON STOCK. (FILE 333-61886 - MAY. 30)  
(BR. 5)
- S-8 SPINNAKER EXPLORATION CO, 1200 SMITH STREET SUITE 800, HOUSTON, TX  
77002  
(713) 759-1770 - 2,000,000 (\$76,340,000) COMMON STOCK. (FILE 333-61888  
-  
MAY. 30) (BR. 4)
- S-8 EDGE PETROLEUM CORP, 1111 BAGBY, SUITE 2100, HOUSTON, TX 77002  
(713) 654-8960 - 475,000 (\$2,901,563) COMMON STOCK. (FILE 333-61890 -  
MAY. 30) (BR. 4)
- SB-2 CONSOLIDATED PICTURES CORP, 54 HAMILTON TERRACE, NEW YORK, NY 10031  
(646) 554-4768 - 1,000,000 (\$750,000) COMMON STOCK. 500,000 (\$750,000)  
WARRANTS, OPTIONS OR RIGHTS. (FILE 333-61892 - MAY. 30) (NEW ISSUE)
- S-8 HOME PRODUCTS INTERNATIONAL INC, 4501 W 47TH ST, CHICAGO, IL 60632  
(773) -89-0-10 - 502,000 (\$1,059,435) COMMON STOCK. (FILE 333-61894 -  
MAY. 30) (BR. 6)
- S-8 TECHNISOURCE INC, 1901 W CYPRESS CREEK RD STE 202, FT LAUDERDALE, FL  
33309 (954) 493-8601 - 500,000 (\$725,000) COMMON STOCK. (FILE 333-  
61896 -  
MAY. 30) (BR. 7)
- S-4 KERR MCGEE HOLDCO INC, KEN MCGEE CENTER, OKLAHOMA CITY, OK 73125  
(405) 370-1313 - 5,567,104 (\$383,315,597) COMMON STOCK. (FILE 333-  
61898 -  
MAY. 30) (BR. 1 - NEW ISSUE)
- S-8 STRESSGEN BIOTECHNOLOGIES CORP, 350 4243 GLANFORD AVE,  
VICTORIA BC CAN V8Z, A1 (250) 744-2811 - 3,500,000 (\$14,141,088.20)  
COMMON STOCK. (FILE 333-61900 - MAY. 30) (BR. 1)
- S-4 MARSHALL & ILSLEY CORP/WI/, 770 N WATER ST, MILWAUKEE, WI 53202  
(414) 765-7801 - 5,850,000 (\$258,964,845.40) COMMON STOCK. (FILE  
333-61902 - MAY. 30) (BR. 7)
- S-3 GLOBECOMM SYSTEMS INC, 375 OSER AVENUE, HAUPPAUGE, NY 11788  
(516) 231-9800 - 1,525,547 (\$12,021,310) COMMON STOCK. (FILE 333-61904  
-  
MAY. 30) (BR. 7)
- S-8 FIRST NATIONAL COMMUNITY BANCORP INC, 102 EAST DRINKER STREET,  
DUMORE,  
PA 18512 (717) 348-6438 - 100,000 (\$3,337,500) COMMON STOCK. (FILE  
333-61906 - MAY. 30) (BR. 7)
- S-8 FIRST NATIONAL COMMUNITY BANCORP INC, 102 EAST DRINKER STREET,  
DUMORE,  
PA 18512 (717) 348-6438 - 200,000 (\$6,675,000) COMMON STOCK. (FILE

333-61908 - MAY. 30) (BR. 7)

S-3 CERUS CORP, 2525 STANWELL DRIVE SUITE 300, CONCORD, CA 94520  
(510) 603-9071 - 500,000 (\$30,382,500) COMMON STOCK. (FILE 333-61910 -  
MAY. 30) (BR. 1)

S-3 CONEXANT SYSTEMS INC, 4311 JAMBOREE RD, NEWPORT BEACH, CA 92660  
(949) 221-4600 - 2,317,562 (\$23,245,147) COMMON STOCK. (FILE 333-61912  
MAY. 30) (BR. 5)

S-8 SUNRISE ASSISTED LIVING INC, 7902 WESTPARK DR, MCLEAN, VA 22102  
(703) 273-7500 - 300,000 (\$6,444,000) COMMON STOCK. (FILE 333-61914 -  
MAY. 30) (BR. 8)

S-8 COMMUNITY BANK SYSTEM INC, 5790 WIDEWATERS PKWY, DEWITT, NY 13214  
(315) 445-2282 - 12,000 (\$340,560) COMMON STOCK. (FILE 333- 116 -  
MAY. 30) (BR. 7)

S-8 SUNRISE ASSISTED LIVING INC, 7902 WESTPARK DR, MCLEAN, VA 22102  
(703) 273-7500 - 750,000 (\$16,110,000) COMMON STOCK. (FILE 333-61918 -  
MAY. 30) (BR. 8)

S-8 IT GROUP INC, 2790 MOSSIDE BLVD, MONROEVILLE, PA 15146 (412) 372-  
7701 -  
\$15,000,000 COMMON STOCK. (FILE 333-61920 - MAY. 30) (BR. 6)

S-8 IT GROUP INC, 2790 MOSSIDE BLVD, MONROEVILLE, PA 15146 (412) 372-  
7701 -  
1,300,000 (\$8,632,000) COMMON STOCK. (FILE 333-61922 - MAY. 30) (BR.  
6)

S-8 INTEGRATED TELECOM EXPRESS INC/ CA, 2710 WALSH AVE, SANTA CLARA, CA  
95051 (408) 980-8689 - 2,100,000 (\$5,124,000) COMMON STOCK. (FILE  
333-61924 - MAY. 30) (BR. 7)

S-8 PC TEL INC, 70 RIO ROBLES, 408-383-0452, SAN JOSE, CA 95134  
(408) 965-2100 - 1,050,000 (\$9,835,350) COMMON STOCK. (FILE 333-61926  
MAY. 30) (BR. 3)

S-8 BROOKS AUTOMATION INC, 15 ELIZABETH DR, CHELMSFORD, MA 01824  
(978) 262-2566 - 50,000 (\$2,594,500) COMMON STOCK. (FILE 333-61928 -  
MAY. 30) (BR. 5)

S-8 HCA THE HEALTHCARE CO, ONE PARK PLZ, NASHVILLE, TN 37203 (615) 344-  
9551  
- 10,000,000 (\$364,200,000) COMMON STOCK. (FILE 333-61930 - MAY. 30)  
(BR. 1)

S-8 CRYO CELL INTERNATIONAL INC, 3165 MCMULLEN BOOTH RD, BLDG B,  
CLEARWATER,  
FL 33761 (727) 723-0333 - 15,000 (\$73,200) COMMON STOCK. (FILE 333-  
61932 -  
MAY. 30) (BR. 8)

SB-2 ORNALI GROUP INC, 2533 W CARSON STREET, #A329, CARSON CITY, NV 89706  
 (661) 251-4113 - 5,500,000 (\$55,000) COMMON STOCK. (FILE 333-61934 -  
 MAY. 30) (NEW ISSUE)

S-8 NORTHROP GRUMMAN CORP /DE/, 1840 CENTURY PK E,  
 C/O NORTHROP GRUMMAN CORP, LOS ANGELES, CA 90067 (310) 553-6112 -  
 1,155,665 (\$68,450,006) COMMON STOCK. (FILE 333-61936 - MAY. 31) (BR.  
 5)

S-8 PERKINELMER INC, 45 WILLIAM ST, WELLESLEY, MA 02481 (781) 237-5100 -  
 4,400,000 (\$297,880,000) COMMON STOCK. (FILE 333-61938 - MAY. 31) (BR.  
 5)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABN AMRO MORTGAGE CORP SERIES 2001- 2	DE		X						X		05/25/01	
ADMIRALTY BANCORP INC	DE					X	X				05/18/01	
AES CORPORATION	DE					X	X				06/05/	
AGENCY COM LTD	DE		X								06/01/01	
ALASKA AIR GROUP INC	DE								X		06/05/01	
ALBEMARLE CORP	VA		X								05/31/01	
ALLIANT TECHSYSTEMS INC	DE							X	X		06/04/01	
ALLIED RISER COMMUNICATIONS CORP	DE		X								06/05/01	
AMERICA WEST AIRLINES INC	DE							X	X		06/05/01	
AMERICA WEST HOLDINGS CORP	DE							X	X		06/05/01	
AMERICAN ITALIAN PASTA CO	DE					X	X				06/05/01	
AMERICAN PLASTICS & CHEMICALS INC	DE		X								05/31/01	
AMERICOMM RESOURCES CORP	DE		X			X					06/05/01	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AMORTIZING RESI COLLATERAL TR MORT PAS THRU CER SER 2001-BC1	DE	X										05/25/01	
AMORTIZING RESIDENTIAL COL TR MOR P AS THR CER SER 2001-BC2	DE	X										05/25/01	
APPALACHIAN BANCSHARES INC	GA							X	X			06/04/01	
ASSET BACKED CERTIFICATES SERIES 20 01-BC1	DE	X										05/25/01	
ASSET BACKED CERTIFICATES SERIES 20 01-2	DE	X										05/25/01	
ASSOCIATES CREDIT CARD RECEIVABLES CORP	DE					X						04/30/01	
ATLANTIC CITY BOARDWALK ASSOCIATES LP	NJ					X	X					03/31/01	
ATLANTIC CITY BOARDWALK ASSOCIATES LP	NJ					X	X					04/30/01	
ATTORNEYS COM INC	FL					X						06/01/01	
AUTOMATIC SYSTEMS INC	MO					X	X					06/04/01	
AVALON CORRECTIONAL SERVICES INC	NV	X										06/04/01	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE	X										05/25/01	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE	X										05/25/01	
BALDWIN PIANO & ORGAN CO /DE/	DE				X							05/31/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	NC	X										05/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	NC	X										05/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-6	NC	X										05/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-8	NC	X										05/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										05/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										05/25/01	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-9	NC	X										05/25/01	
BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1	NY	X										05/25/01	
BANCFIRST CORP /OK/	OK					X	X					05/24/01	
BANCTEC INC	DE					X						05/30/01	
BANK OF AMERICA MORT SEC INC MORT P AS THRU CERT SER 2000-A	NC	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	NC	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-1	NC	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 1999-2	NC	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-6	DE	X										05/25/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-7	NC	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2001-1	DE	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2001-3	DE	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2001-5	DE	X										05/25/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THRU CERTS SER 2000 8	NC	X										05/25/01	
BANK OF AMERICA MORTGAGE SEC INC MO R PS THR CER SER 2001-A	DE	X										05/25/01	
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	NC	X										05/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE	X										05/25/01	
BANK OF AMERICA MORTGAGE SECURITIES INC 2001-2	DE	X										05/25/01	
BANK OF AMERICA 1999-10	NC	X										05/25/01	
BANK OF AMERICA 1999-11	NC	X										05/25/01	
BANK OF AMERICA 1999-11	NC	X										05/25/01	
BANK OF AMERICA 1999-3	NC	X										05/25/01	
BANK OF AMERICA 1999-4	DE	X										05/25/01	
BANK OF AMERICA 1999-5	NC	X										05/25/01	
BANK PLUS CORP	DE					X		X				06/02/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE	X										05/25/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000-1	NY	X										05/25/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-2	NY	X										05/25/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THROUGH CERT SER 2001-1	NY	X										05/25/01	
BIO PLEXUS INC	CT					X						05/23/01	
BIO TECHNOLOGY GENERAL CORP	DE		X					X				03/19/01	AMEND
BISYS GROUP INC	DE					X		X				06/01/01	
BLACK HAWK GAMING & DEVELOPMENT CO INC	CO					X						05/25/01	
BNCCORP INC	DE					X		X				05/30/01	
CAMCO FINANCIAL CORP	DE					X		X				06/04/01	
CANADA SOUTHERN PETROLEUM LTD	CT					X						05/29/01	
CARBIDE GRAPHITE GROUP INC /DE/	DE					X		X				06/03/01	
CATAWBA VALLEY BANCSHARES INC	NC					X						05/31/01	
CATERPILLAR FINANCIAL SERVICES CORP	DE					X		X				06/01/01	
CCBT FINANCIAL COMPANIES INC	MA					X						06/04/01	AMEND
CELL THERAPEUTICS INC	WA					X		X				06/04/01	
CGB&L FINANCIAL GROUP INC	DE					X		X				06/01/01	
CHINA RESOURCES DEVELOPMENT INC	NV		X					X				04/30/01	AMEND
CHORDIANT SOFTWARE INC								X				05/17/01	
CHORDIANT SOFTWARE INC								X				05/29/01	
CHURCH & DWIGHT CO INC /DE/	DE		X					X				05/21/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CITIZENS COMMUNICATIONS CO	DE					X	X				06/01/01	
COBALT GROUP INC	WA					X	X				06/02/01	
COLORADO MEDTECH INC	CO						X	X			06/04/01	
COLUMBIA FINANCIAL OF KENTUCKY INC	OH					X	X				06/04/01	
COLUMBUS MCKINNON CORP	NY					X	X				06/04/01	
COMMUNITY BANKS INC /PA/	PA		X					X			03/30/01	AMEND
CONDOR CAPITAL INC	NV		X								03/30/01	
CONTINENTAL AIRLINES INC /DE/	DE								X		06/04/01	
CONTINUCARE CORP	FL					X	X				05/31/01	
COR THERAPEUTICS INC / DE	DE					X	X				06/04/01	
CORNICHE GROUP INC /DE	DE					X					06/05/01	
COUNTY BANK CORP	MI					X					06/05/01	
CREDENCE SYSTEMS CORP	DE					X		X			06/01/01	
CREDIT SUISSE FIR BO MO SEC CORP CD MC MO BK PA TH CER 2001-2	DE	X									05/25/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			05/31/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X	X				05/31/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X					05/31/01	
CREDIT SUISSE FIRST BOSTON USA INC	DE					X					05/31/01	
CULP INC	NC	X									04/29/01	
CUMMINS ENGINE CO INC	IN					X					06/04/01	
CWABS INC	DE					X	X				05/30/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-BC2	DE	X									05/25/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-1	DE	X									05/25/01	
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-1	DE	X									05/25/01	
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-2	DE	X									05/25/01	
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-3	DE	X									05/25/01	
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-4	DE	X									05/25/01	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-2	DE	X									05/25/01	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-6	DE	X									05/25/01	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-7	DE	X									05/25/01	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-9	DE	X									05/25/01	
CWMBS INC MORTGAGE PASS THRU CERTIF ICATES SERIES 2001-8	DE	X									05/25/01	
CYBER MERCHANTS EXCHANGE INC	CA					X		X			05/21/01	
CYBERIAN OUTPOST INC	DE					X	X				05/29/01	
DELPHI AUTOMOTIVE SYSTEMS CORP	DE					X	X				05/31/01	
DIGITAL RIVER INC /DE	DE		X					X			03/20/01	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
DISCOVER CARD MASTER TRUST I	DE					v					06/01/01	
DOCUPORT INC	DE					X	X				05/18/01	
DONINI INC	NJ					X		X			06/05/01	
DTE ENERGY CO	MI					X	X				06/04/01	
DYNA GROUP INTERNATIONAL INC	NV						X				05/21/01	
EASYRIDERS INC	DE						X	X			06/01/01	
EAUTOCLAIMS COM INC	NV							X			07/20/00	AMEND
EDG CAPITAL INC	NY					X	X				06/04/01	
EDISON SCHOOLS INC	DE					X	X				06/04/01	
EINSTEIN NOAH BAGEL CORP	DE					X	X				06/04/01	
ELECTRONIC DATA SYSTEMS CORP /DE/	DE					X	X				05/23/01	
EMCLAIRE FINANCIAL CORP	PA						X				05/31/01	
EMERGISOFT HOLDING INC	NV	X	X		X			X			05/25/01	
EMPIRE OF CAROLINA INC	DE					X	X				06/01/01	
ENTRUST INC	MD					X	X				06/04/01	
ENVIRONMENTAL REMEDIATION HOLDING C ORP	CO						X	X			05/21/01	
EVISION USA COM INC	CO				X			X			05/29/01	
EVISION USA COM INC	CO				X						06/01/01	
FERRELLGAS PARTNERS L P	DE					v		X			06/05/01	
FIELDWORKS INC	MN					X		X			06/04/01	
FINANCIAL ASSET SEC CORP ASSET BACK ED CERTS SERIES 2001 FF1	NY	X									05/25/01	
FINANCIAL ASSET SEC CORP NEW SOUTH HOME EQUITY TRUST 2001-1	DE	X									05/25/01	
FIRST COMMONWEALTH CORP	VA						X				06/05/01	
FIRST ENTERTAINMENT HOLDING CORP	NV						X				05/29/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 H	TX	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 1	TX	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 2	NY	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 3	TX	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 4	TX	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2000 5	TX	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-1	TX	X									05/25/01	
FIRST HORIZON MORTGAGE PASS THROUGH TRUST 2001-2	DE	X									05/25/01	
FREDS INC	TN						X				06/05/01	
FREDS INC	TN						X				06/05/01	AMEND
FURNITURE BRANDS INTERNATIONAL INC	DE					X	X				06/05/01	
G&L REALTY CORP	MD					X					06/04/01	
GALLAGHER RESEARCH CORP	NV	X	X		X		X				05/24/01	
GATX RAIL CORP	NY		X								06/04/01	
GENUS INTERNATIONAL CORP	WY							X			06/04/01	
GEORGIA PACIFIC CORP	GA					X	X				06/04/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
GLOBAL PAYMENTS INC	GA					X					06/01/01	
GLOBAL TECHNOLOGIES LTD	DE					X					05/23/01	
GLOBAL TELESYSTEMS INC	DE					X		X			06/04/01	
GMACM MORTGAGE LOAN TRUST MORT PASS THR CERT SER 2001-GH1	NY	X									05/25/01	
GMACM MORTGAGE LOAN TRUST MORT PASS THR CERT SERIES 2001-J1	NY	X									05/25/01	
GOLDEN BOOKS FAMILY ENTERTAINMENT I NC	DE		X	X				X			06/04/01	
GOODYS FAMILY CLOTHING INC /TN	TN					X		X			05/31/01	
GOURMETMARKET COM INC/CA			X			X		X			05/25/01	
HEALTHNET INTERNATIONAL INC	CO				X						06/05/01	
HEIDRICK & STRUGGLES INTERNATIONAL INC	DE					X					06/05/01	
HEWLETT PACKARD CO	DE					X		X			06/04/01	
HEWLETT PACKARD CO	DE					X		X			06/04/01	
HOLLYWOOD ENTERTAINMENT CORP	OR					X		X			06/05/01	
HOST MARRIOTT CORP/	MD					X		X			05/29/01	
HOST MARRIOTT L P	DE					X		X			05/29/01	
HOUGHTON MIFFLIN CO	MA							X	X		06/01/01	
HUDSONS GRILL INTERNATIONAL INC	TX		X								06/05/01	
IBIS TECHNOLOGY CORP	MA					X		X			06/05/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-2	CA	X									05/25/01	
INHALE THERAPEUTIC SYSTEMS INC	DE					X		X			06/01/01	
INTEGRATED MEASUREMENT SYSTEMS INC /OR/	OR					X		X			06/01/01	
INTERNETMERCADO COM INC	DE	X	X					X			06/04/01	
KENILWORTH SYSTEMS CORP	NY					X					06/05/01	
KING RESOURCES INC	DE		X								06/01/01	
KUSHNER LOCKE CO	CA					X		X			06/04/01	
LABORATORY CORP OF AMERICA HOLDINGS	DE					X		X			06/04/01	
LAIDLAW GLOBAL CORP	DE					X					05/01/01	
LAKEHEAD PIPE LINE PARTNERS L P	DE					X		X			06/04/01	
LASON INC	DE		X					X			05/18/01	
LEAR CORP /DE/	DE					X		X			06/04/01	
LEHMAN ABS CORP	DE					X		X			05/30/01	
LEHMAN ABS CORP	DE					X		X			06/01/01	
LICO STEEL INC	MO					X		X			06/04/01	
LIFEPOINT HOSPITALS INC	DE							X	X		06/02/01	
LONE STAR TECHNOLOGIES INC	DE					X		X			06/01/01	
LONE STAR TECHNOLOGIES INC	DE					X		X			06/04/01	
LSI LOGIC CORP	DE					X		X			05/25/01	
LYNX THERAPEUTICS INC	DE					X		X			05/24/01	
MACDERMID INC	CT								X		06/05/01	
MACROMEDIA INC	DE							X			03/20/01	AMEND
MALLON RESOURCES CORP	CO	X									06/04/01	
MARKEL CORP	VA					X		X			05/30/01	
MATTHEWS STUDIO EQUIPMENT GROUP	CA			X							04/30/01	
MELLON HOME EQUITY LINE OF CREDIT T R AS BA NOT SER 2000-1	NY	X									05/21/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES ATT-1	DE					X		X			06/01/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES WCM-1	DE					X		X			06/01/01	
MERRILL LYNCH MORT INV INC MORT PAS THR CER CDMC SER 2001-A1	NY	X									05/25/01	
MILLENNIUM CHEMICALS INC	DE					X		X			06/04/01	
MINNTECH CORP	MN					X		X			05/30/01	
MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2	NY	X									05/25/01	
MORTGAGE PASS-THROUGH CERTIFICATES SERIES 2001-8	DE	X									05/25/01	
NATIONAL DATA CORP	DE								X		06/04/01	
NATURAL WONDERS INC	DE					X		X			06/04/01	
NAVIDEC INC	CO		X					X			05/29/01	
NB&T FINANCIAL GROUP INC	OH	X									06/01/01	
NBT BANCORP INC	DE					X					06/01/01	
NELX INC	KS	X	X			X	X	X			06/04/01	
NETWORK CONNECTION INC	GA					X		X			05/22/01	
NETWORK CONNECTION INC	GA					X					05/25/01	
NEW WORLD COFFEE MANHATTAN BAGEL IN C	DE					X		X			06/05/01	
NOBLE DRILLING CORP	DE							X	X		06/01/01	
NORTH VALLEY BANCORP	CA					X		X			05/31/01	
NORTHWEST AIRLINES CORP	DE							X			05/24/01	
NOVASTAR MORTGAGE FUNDING TRUST SER IES 2001-1	DE	X									05/25/01	
OLIN CORP	VA							X	X		06/05/01	
OMNINET MEDIA CORP	IN		X			X					03/31/01	AMEND
ONE WORLD ONLINE COM INC	NV							X			06/04/01	
ONECLASS SYNERGY CORP	DE		X					X			05/29/01	
OPEN MARKET INC	DE					X		X			05/25/01	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2001-1	DE	X									05/25/01	
OUTSOURCE INTERNATIONAL INC	FL					X		X			05/16/01	
OWENS & MINOR INC/VA/	VA					X		X			06/05/01	
PALATIN TECHNOLOGIES INC	DE								X		06/04/01	
PAYLESS CASHWAYS INC	DE			X							06/04/01	
PC CONNECTION INC	DE					X		X			05/29/01	
PEABODY ENERGY CORP	DE					X		X			06/04/01	
PHAGE THERAPEUTICS INTERNATIONAL IN C	FL					X		X			06/04/01	
PHARMCHEM INC	CA					X					06/01/01	
PHH CORP	MD					X		X			06/01/01	
PHYCOR INC /TN/	TN					X		X			05/29/01	
PIONEER COMPANIES INC	DE					X					06/05/01	
PIONEER CORP OF AMERICA	DE					X					06/05/01	
PITNEY BOWES INC /DE/	DE					X					06/04/01	
PITNEY BOWES INC /DE/	DE					X					06/05/01	
POPE & TALBOT INC /DE/	DE					X		X			05/24/01	
PROLONG INTERNATIONAL CORP	NV					X					05/11/01	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
PROVELL INC	MN					X	X					06/04/01	
PS BUSINESS PARKS INC/CA	CA										X	06/01/01	
PSS WORLD MEDICAL INC	FL					X						05/24/	
PW EAGLE INC	MN					X	X					06/01/01	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE					X						06/05/01	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE					X						06/05/01	AMEND
REGENT ENERGY CORP	NV									X		03/09/01	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X					06/05/01	
RHEOMETRIC SCIENTIFIC INC	NJ		X						X			05/31/01	
RHINO ENTERPRISES GROUP INC	NV	X										06/05/01	
S Y BANCORP INC	KY					X	X					06/04/01	
SALTON INC	DE					X	X					06/04/01	
SAVVIS COMMUNICATIONS CORP	DE					X	X					05/16/01	
SONEX RESEARCH INC	MD	X										06/04/01	
SOUTHERN CALIFORNIA EDISON CO	CA					X						06/01/01	
SPARTON CORP	OH					X	X					06/01/01	
SPIEKER PROPERTIES INC	MD					X	X					06/04/01	
SPIEKER PROPERTIES L P	CA					X	X					06/04/01	
SPORTSNUTS COM INTERNATIONAL INC	DE		X									06/01/	
STARTEK INC	DE					X	X					05/31/01	
STERLING FINANCIAL CORP /PA/	PA	X										05/23/01	
STEWART ENTERPRISES INC	LA					X						06/04/01	
STEWART ENTERPRISES INC	LA					^						06/05/01	
STOCKERYALE INC	MA					X	X					06/04/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-2	NY	X										05/25/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-5	DE	X										05/25/01	
STRUCTURED ASSET SECURITIES CORP MO R PAS THR CERT SER 2001-6	DE	X										05/25/01	
STRUCTURED ASSET SECURITIES CORP MO RT PASS THR CERT SER 01 1	DE	X										05/25/01	
STRUCTURES ASSET MORTGAGE INV INC M OR PAS-THR CER SER 2001-3	NY	X										05/25/01	
SURGILIGHT INC	DE							X	X			06/05/01	
TELEPHONE & DATA SYSTEMS INC /DE/	DE		X						X			05/31/01	
TELLABS INC	DE					X						06/04/01	
TESSERACT GROUP INC	MN					X	X					06/04/	
TITANIUM METALS CORP	DE					X						06/01/01	
TRIPLE S PLASTICS INC	MI					X	X					05/25/01	
UCAR INTERNATIONAL INC	DE					X	X					05/15/01	
UNION PLANTERS MORTGAGE LOAN TRUST SERIES 2001-UP1	DE	X										05/25/01	
UNITED INVESTORS REALTY TRUST	TX					X	X					05/31/01	
UNITED TRUST GROUP INC	IL					X						06/05/01	
UNIVERSAL COMPRESSION HOLDINGS INC	DE					X						06/05/01	
UNIVERSAL COMPRESSION INC	TX					X						06/05/01	
USA TECHNOLOGIES INC	PA					X						06/04/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
VALERO ENERGY CORP/TX	DE					X	X					06/04/01	
VERIZON COMMUNICATIONS INC	DE					X						06/05/01	
VERSO TECHNOLOGIES INC	MN					X	X					05/04/01	AMEND
VERSO TECHNOLOGIES INC	MN					X	X					06/04/01	
VIALINK CO	DE					X	X					06/01/01	
WEBMETHODS INC	DE					X	X					06/01/01	
WELLS FARGO ASSET SEC CORP MOR PASS	NY	X										05/25/01	
THR CERT SER 2001-4													
WELLS FARGO ASSET SEC CORP MOR PASS	NY	X										05/25/01	
THR CERT SER 2001-5													
WELLS FARGO ASSET SEC CORP MOR PASS	DE	X										05/25/01	
THR CERT SER 2001-6													
WELLS FARGO ASSET SEC CORP MOR PASS	DE	X										05/25/01	
THR CERT SER 2001-7													
WELLS FARGO ASSET SEC CORP MORT PAS	DE	X										05/25/01	
S THR CERT SER 2001-11													
WELLS FARGO ASSET SEC CORP MORT PAS	NY	X										05/25/01	
S THR CERT SER 2001-9													
WELLS FARGO ASSET SECURITIES CORP	DE						X	X				06/04/01	
WELLS FARGO ASSET SECURITIES CORP M	NY	X										05/25/01	
OR PAS THR CER SE 2001-01													
WELLS FARGO ASSET SECURITIES CORP M	NY	X										05/25/01	
OR PAS THR CER SE 2001-02													
WELLS FARGO ASSET SECURITIES CORP M	NY	X										05/25/01	
OR PAS THR CER SE 2001-03													
WESTERN MULTIPLEX CORP	DE		X						X			03/22/01	AMEND
WIT SOUNDVIEW GROUP INC	DE								X			06/01/01	
WORLD INTERNETWORKS INC	NV								X			03/22/01	AMEND
WORLDPORT COMMUNICATIONS INC	DE							X	X			05/24/01	
WRIGLEY WILLIAM JR CO	DE	X	X	X								06/05/01	
XCEL ENERGY INC	MN							X				05/25/01	
YALE INDUSTRIAL PRODUCTS INC	MO							X	X			06/04/01	
1ST GENX INC	NV							X				06/30/01	
5 G WIRELESS COMMUNICATIONS INC	NV		X						X			06/30/01	