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# SEC NEWS DIGEST

Issue 2001-87

May 4, 2001

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## COMMISSION ANNOUNCEMENTS

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### MEDIA ADVISORY – COMMISSION TO HOLD PORTALS ROUNDTABLE

**What:** The SEC will hold a public roundtable moderated by Acting Chairman Laura S. Unger to discuss issues related to relationships between Internet websites and financial service providers.

**When:** Wednesday, May 23, 2001, 1:00 p.m. to 4:30 p.m.

**Where:** William O. Douglas Room, Room 1C30  
U.S. Securities and Exchange Commission  
450 Fifth Street, N.W.  
Washington, D.C. 20549

The agenda and list of participants will be released at a later date.  
(Press Rel. 2001-42)

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## ENFORCEMENT PROCEEDINGS

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### IN THE MATTER OF BARRY SCUTILLO, CPA

An Administrative Law Judge today issued an initial decision in Administrative Proceeding 3-9863, Barry C. Scutillo, CPA. The Order Instituting Proceedings charged that Scutillo, a certified public accountant in Fort Lauderdale, Florida, engaged in improper professional conduct in that he “intentionally, knowingly or recklessly” violated the applicable professional standards when auditing a public company. The alleged audit failures occurred in connection with an annual financial report filed with the Commission by Sky Scientific, Inc., a company located in Boca Raton, Florida.

The initial decision sustains the charges in part and dismisses them in part. As relief, the initial decision sanctions Scutillo by temporarily denying him the privilege of appearing

and practicing before the Commission as an accountant for three years. (Initial Decision No. 183; File No. 3-9863)

## **IN THE MATTER OF ABRAHAM WEITZ**

On May 3, the Commission accepted a settlement offer in administrative proceedings instituted against Abraham Weitz (Weitz), a Wayne, New Jersey broker formerly associated with First Montauk Securities Corp. (First Montauk). In his settlement offer, Weitz consented, without admitting or denying the Commission's findings, to the entry of an Order finding that he willfully and fraudulently inflated the value of his customers' accounts to hide trading losses and induce the customers to continue to trade.

In the Commission's Order Instituting Public Administrative Proceedings Pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934, Making Findings, and Issuing a Cease and Desist Order and an Order Imposing a Remedial Sanction (Order), the Commission found that Weitz deceived forty-eight First Montauk customers about the performance of their margin option accounts by arranging for them to receive monthly account statements showing inflated account values from October 1995 through March 1996. The affected accounts were inflated by a total of approximately \$6,330,000. Weitz perpetrated his scheme by orally reporting to First Montauk's back office clerks purchases of index options that were different from, and more valuable than, the options Weitz actually purchased for the accounts. Because Weitz reported the trades at the end of the month, First Montauk's clearing firm calculated the month-end value of the accounts based on the higher price of the options that Weitz had falsely reported, not on the actual options Weitz had purchased for his customers. Weitz continued this month-end deception until late March 1996, when he confessed his misconduct to First Montauk.

Pursuant to Weitz's settlement offer, the Order: (i) directs Weitz to cease and desist, pursuant to Section 21C of the Exchange Act, from violating or causing any violations and any future violations of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder; and (ii) bars Weitz, pursuant to Section 15(b) of the Exchange Act, from association with any securities broker or dealer, with a right to reapply after one year for association. The Order does not impose a civil penalty against Weitz, pursuant to Section 21B of the Exchange Act, based on his demonstrated financial inability to pay one. (Rel. 34-44252; File No. 3-10466)

## **FORMER CHIEF EXECUTIVE OFFICER OF LONGVIEW, TEXAS BROKER-DEALER NAMED IN ADMINISTRATIVE PROCEEDING**

On May 3, the Commission instituted public administrative proceedings charging that Van R. Lewis, III, a registered representative and principal and former CEO of Sunpoint Securities, Inc. (Sunpoint), a registered broker-dealer in Longview, Texas, spearheaded a scheme to divert more than \$25 million of money market funds held on behalf of the firms customers.

The Commission's order instituting proceedings alleges that Lewis was permanently enjoined from further violations of the federal securities laws in *SEC v. Sunpoint Securities, Inc., et al.*, (Civil No. 6:99CV667, U.S. District Court, Eastern District of Texas, Tyler Division). The Commission's complaint in the prior federal court case alleged that between December 1997 and November 1999, the customer funds were transferred to Lewis, or other entities under his control, and for Sunpoint's business expenses. The complaint also alleged that Lewis aided and abetted Sunpoint's violations of its net capital and customer reserve requirements and its recordkeeping violations. (Rel. 34-44253; File No. 3-10467)

#### **FORMER CHIEF FINANCIAL OFFICER OF LONGVIEW, TEXAS BROKER-DEALER BARRED**

On May 3, the Commission barred Mary Ellen Wilder, 57, of Longview, Texas, from association with securities broker-dealers. The action was pursuant to an order instituting and simultaneously settling public administrative proceedings charging that Wilder was previously enjoined from further violations of the federal securities laws in *SEC v. Sunpoint Securities, Inc., et al.*, (Civil No. 6:99CV667, U.S. District Court, Eastern District of Texas, Tyler Division).

Wilder, without admitting or denying the allegations, consented to findings that the Commission's federal court complaint alleged that between December 1997 and November 1999, while she was the chief financial officer and registered principal of Sunpoint Securities, Inc., a broker-dealer registered with the Commission, she participated in a scheme to divert more than \$25 million of customer funds to Sunpoint's chief executive officer, or other entities under his control, and for Sunpoint's business expenses. The complaint also alleged that Wilder aided and abetted Sunpoint's violations of its net capital and customer reserve requirements and its recordkeeping violations. (Rel. 34-44254; File No. 3-10468)

#### **SEC REVOKES REGISTRATION OF LONGVIEW, TEXAS BROKER-DEALER**

On May 3, the Commission revoked the registration of Sunpoint Securities, Inc. (Sunpoint), a Longview, Texas broker-dealer. The action was pursuant to an order instituting and simultaneously settling public administrative proceedings charging that Sunpoint was previously enjoined from further violations of the federal securities laws in *SEC v. Sunpoint Securities, Inc., et al.*, (Civil No. 6:99CV667, U.S. District Court, Eastern District of Texas, Tyler Division).

Sunpoint, by and through its liquidation trustee, without admitting or denying the allegations, consented to findings that the Commission's federal court complaint alleged that between December 1997 and November 1999, diverted more than \$25 million of customer funds to Sunpoint's chief executive officer, or other entities under his control, and for Sunpoint's business expenses. The complaint also alleged that Sunpoint

committed violations of its net capital and customer reserve requirements and recordkeeping violations. (Rel. 34-44255; File No. 3-10469)

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## **HOLDING COMPANY ACT RELEASES**

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### **ALLEGHENY ENERGY, INC., ET AL.**

A notice has been issued giving interested persons until May 29 to request a hearing on a proposal by Allegheny Energy, Inc. (Allegheny), a registered holding company, and its subsidiary Allegheny Energy Supply Company, LLC (AE Supply) to sell 2% of the membership interests in AE Supply to Merrill Lynch & Co., Inc. (Merrill Lynch) as part of the consideration for the purchase of Merrill Lynch's energy commodity marketing and trading unit, Global Energy Markets. (Rel. 35-27390)

### **GPU, INC., ET AL.**

A supplemental order has been issued authorizing a proposal by GPU, Inc. (GPU), a registered public utility holding company, and its electric public utility subsidiaries, Jersey Central Power & Light Company (JCP&L), Metropolitan Edison Company (Met-Ed) and Pennsylvania Electric Company (Penelec) (collectively, GPU Subsidiaries or together with GPU, Applicants). Applicants request authority through December 31, 2003 for the GPU Subsidiaries to secure borrowings made from time to time under previously authorized lines of credit, short-term debt and a credit agreement (Credit Agreement) through the Authorization Period and to increase the aggregate principal amount of promissory notes that they may issue, sell and renew under the Credit Agreement from \$250 million up to \$500 million. Applicants also request authority to increase the level of fees and applicable margins used in determination of interest rates upon borrowings in connection with a renewal or extension of the Credit Agreement. The Commission has reserved jurisdiction over the Applicants' proposal for Met-Ed and Penelec to issue, sell and renew short-term debt to GPU from time to time through December 31, 2003. (Rel. 35-27391)

### **NORTHEAST UTILITIES, ET AL.**

A notice has been issued giving interested persons until May 28 to request a hearing on a proposal by Northeast Utilities (NU), a registered public utility holding company, to issue common shares (either authorized, but previously unissued shares or reacquired shares) through the Employee Share Purchase Plan (Plan) not to exceed one-half percent (0.5%) of the number of shares outstanding as of the end of the preceding year. An order has been issued authorizing NU to solicit proxies from its stockholders in connection with the submission of the Plan for consideration and action at the annual meeting of stockholders to be held on June 28, 2001. (Rel. 35-27392)

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## SELF-REGULATORY ORGANIZATIONS

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### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the National Association of Securities Dealers, through its wholly owned subsidiary, The Nasdaq Stock Market, Inc. (SR-NASD-2001-29), establishing the Nasdaq ReSource<sup>SM</sup> Service. (Rel. 34-44246)

### PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-2001-19) relating to Reporting Requirements for Clearing Members. Publication of the proposal is expected in the Federal Register during the week of May 7. (Rel. 34-44251)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 NEW CINEMA PARTNERS, 357 BAY STREET STE 404, TORONTO ONTARIO,  
CANADA M5H 2T7, FL 07601 (416) 367-8299 - 500,000 (\$40,000) COMMON  
STOCK.  
(FILE 333-59628 - APR. 26) (BR. 9)

S-8 TRANSACTION SYSTEMS ARCHITECTS INC, 224 SOUTH 108TH AVE, OMAHA, NE  
68154  
(402) 390-7600 - 1,500,000 (\$11,632,500) COMMON STOCK. (FILE 333-59630  
-  
APR. 27) (BR. 3)

S-8 TRANSACTION SYSTEMS ARCHITECTS INC, 224 SOUTH 108TH AVE, OMAHA, NE  
68154  
(402) 390-7600 - 25,000 (\$417,187.50) COMMON STOCK. (FILE 333-59632 -  
APR. 27) (BR. 3)

S-8 ROGERS CORP, P.O. BOX 188, ONE TECHNOLOGY DRIVE, ROGERS, CT 06263  
(860) 77-4-96 - 500,000 (\$13,752,500) COMMON STOCK. (FILE 333-59634 -  
APR. 27) (BR. 6)

S-8 STILWELL FINANCIAL INC, 920 MAIN STREET 21ST FLOOR, KANSAS CITY, MO  
64105 (816) 218-2400 - 500,000 (\$14,950,000) COMMON STOCK. (FILE  
333-59636 - APR. 27) (BR. 7)

S-8 TORQUE ENGINEERING CORP, 2932 THORNE DRIVE, ELKHART, IN 70518  
(219) 264-2628 - 535,543 (\$1,072,292.38) COMMON STOCK. (FILE 333-59638  
APR. 27) (BR. 4)

S-4 AMERICAN HOME PRODUCTS CORP, 5 GIRALDA FARMS, MADISON, NJ 07940  
(973) 660-3000 - 3,000,000,000 (\$3,000,000,000) STRAIGHT BONDS. (FILE  
333-59642 - APR. 27) (BR. 1)

S-8 JDA SOFTWARE GROUP INC, 14400 N 87TH ST, SCOTTSDALE, AZ 85260  
(408) 308-3000 - 3,600,000 (\$46,728,000) COMMON STOCK. (FILE 333-59644  
APR. 27) (BR. 3)

S-8 PFIZER INC, 235 E 42ND ST, NEW YORK, NY 10017 (212) 573-2323 -  
12,500,000 (\$509,875,000) COMMON STOCK. (FILE 333-59654 - APR. 27)  
(BR. 1)

S-8 BRACKNELL CORP, 150 YORK STREET, SUITE 1506 M5H 3S5,  
TORONTO ONTARIO M5H, A6 (416) 956-0104 - 5,219,656 (\$22,346,652)  
COMMON STOCK. (FILE 333-59656 - APR. 27) (BR. 6)

S-8 BRACKNELL CORP, 150 YORK STREET, SUITE 1506 M5H 3S5,  
TORONTO ONTARIO M5H, A6 (416) 956-0104 - 5,000,000 (\$21,406,250)  
COMMON STOCK. (FILE 333-59658 - APR. 27) (BR. 6)

S-8 PFIZER INC, 235 E 42ND ST, NEW YORK, NY 10017 (212) 573-2323 -  
250,000,000 (\$197,500,000) COMMON STOCK. (FILE 333-59660 - APR. 27)  
(BR. 1)

S-8 TOWER AUTOMOTIVE INC, 4508 IDS CENTER, MINNEAPOLIS, MN 55402  
(612) 342-2310 - 3,000,000 (\$29,025,000) COMMON STOCK. (FILE 333-59664  
APR. 27) (BR. 6)

S-8 SPECTRALINK CORP, 5755 CENTRAL AVENUE, SUITE 202E, BOULDER, CO 80301  
(303) 440-5330 - 2,900,000 (\$29,507,202) COMMON STOCK. (FILE 333-59666  
APR. 27) (BR. 9)

S-3 YORK INTERNATIONAL CORP /DE/, 631 S RICHLAND AVE, YORK, PA 17403  
(717) 771-7890 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE  
333-59678 - APR. 27) (BR. 6)

S-8 SINGING MACHINE CO INC, 6601 LYONS ROAD, BLDG A-7, COCONUT CREEK, FL  
33073 (954) 596-1000 - 1,228,500 (\$2,524,285) COMMON STOCK. (FILE  
333-59684 - APR. 27) (BR. 2)

S-8 DELHAIZE BROTHERS & CO THE LION ESTABLISHMENT, 53 RUE OSSEGHEM,  
RUE OSSEGHEM 53, BRUSSELS BELGIUM, (011) 322-4122 - 4,800,000  
(\$243,446,400) COMMON STOCK. (FILE 333-59686 - APR. 27) (BR. 2)

S-3 MOORE MEDICAL CORP, PO BOX 1500, 389 JOHN DOWNEY DR, NEW BRITAIN, CT  
06050 (203) 826-3600 - 182,258 (\$1,576,531.70) COMMON STOCK. (FILE  
333-59688 - APR. 27) (BR. 1)

S-8 RJ REYNOLDS TOBACCO HOLDINGS INC, 401 NORTH MAIN STREET, WINSTON-  
SALEM,  
NC 27102 (336) -74-1-55 - 5,000,000 (\$274,075,000) COMMON STOCK. (FILE  
333-59690 - APR. 27) (BR. 4)

S-8 CLECO CORP, PO BOX 5000, PINEVILLE, LA 71361 (318) 484-7400 -  
800,000  
(\$35,528,000) COMMON STOCK. (FILE 333-59692 - APR. 27) (BR. 2)

S-8 SELAS CORP OF AMERICA, 2034 LIMEKILN PK, DRESHER, PA 19025  
(215) 646-6600 - 1,000,000 (\$3,400,000) COMMON STOCK. (FILE 333-59694  
-  
APR. 27) (BR. 6)

S-8 CLECO CORP, PO BOX 5000, PINEVILLE, LA 71361 (318) 484-7400 - 50,000  
(\$2,222,500) COMMON STOCK. (FILE 333-59696 - APR. 27) (BR. 2)

S-8 HOUSTON EXPLORATION CO, 1100 LOUISIANA STREET, SUITE 2000, HOUSTON,  
TX  
77002 (713) -83-0-68 - 1,000,000 (\$1,250,000) COMMON STOCK. (FILE  
333-59698 - APR. 27) (BR. 4)

S-3 ALEXION PHARMACEUTICALS INC, 25 SCIENCE PARK, STE 360, NEW HAVEN, CT  
06511 (203) 776-1790 - 193,394 (\$3,999,388) COMMON STOCK. (FILE 333-  
59702  
- APR. 27) (BR. 1)

S-8 DYNAMICS RESEARCH CORP, 60 FRONTAGE ROAD, ANDOVER, MA 01810  
(978) 475-9090 - 800,000 (\$7,284,000) COMMON STOCK. (FILE 333-59706 -  
APR. 27) (BR. 3)

SB-2 FOOTHILLS RESOURCES INC, 9 LANGTON CLOSE WOKING, SURREY,  
ENGLAND GU21 3QJ, X0 00000 - 4,000,000 (\$200,000) COMMON STOCK. (FILE  
333-59708 - APR. 27) (BR. 9 - NEW ISSUE)

SB-2 THOROUGHbred INTERESTS INC, 127 S. 6TH ST., 502-327-7880,  
LOUISVILLE, KY  
40242 (502) 584-4434 - 37,302,000 (\$3,730,200) COMMON STOCK. (FILE  
333-59710 - APR. 27) (BR. 9)

S-1 UNIGENE LABORATORIES INC, 110 LITTLE FALLS RD, FAIRFIELD, NJ 07004  
 (973) 882-0860 - 9,000,000 (\$4,680,000) COMMON STOCK. (FILE 333-59712  
 -  
 APR. 27) (BR. 1)

S-8 PRICE T ROWE GROUP INC, 100 E PRITT ST, BALTIMORE, MD 21202  
 (410) 345-2000 - 11,200,000 (\$385,280,000) COMMON STOCK. (FILE 333-  
 59714 -  
 APR. 27) (BR. 7)

S-3 ONTRO INC, 12765 DANIELSON COURT STE 401, POWAY, CA 92064 - 827,872  
 (\$981,028) COMMON STOCK. (FILE 333-59716 - APR. 27) (BR. 9)

S-8 CYTOGEN CORP, 600 COLLEGE RD EAST CN 5308, PRINCETON, NJ 08540  
 (609) 987-8200 - 200,000 (\$576,000) COMMON STOCK. (FILE 333-59718 -  
 APR. 27) (BR. 1)

S-3 INVERNESS MEDICAL TECHNOLOGY INC/DE, 200 PROSPECT STREET, WALTHAM,  
 MA  
 02453 (781) 647-3900 - 808,617 (\$21,614,332.41) COMMON STOCK. (FILE  
 333-59720 - APR. 27) (BR. 36)

S-8 STANLEY FURNITURE CO INC/, 1641 FAIRYSTONE PK HWY, STANLEYTOWN, VA  
 24168  
 (540) 627-2000 - 100,000 (\$2,760,000) COMMON STOCK. (FILE 333-59722 -  
 APR. 27) (BR. 6)

SB-2 ARTWORK & BEYOND INC, 761 D COATES AVENUE, HOLBROOK, NY 11741  
 (631) -47-1-67 - 1,000,000 (\$1,500,000) COMMON STOCK. (FILE 333-59726  
 -  
 APR. 27)

S-8 ASIA GLOBAL CROSSING LTD, 360 N CRESCENT DR, BEVERLY HILLS, CA 90210  
 (310) 385-5200 - 83,193,768 (\$503,322,296) COMMON STOCK. (FILE 333-  
 59728 -  
 APR. 27) (BR. 7)

S-3 OSICOM TECHNOLOGIES INC, 9990 MESA RIM ROAD, SAN DIEGO, CA 92121  
 (310) 581-4030 - 1,907,494 (\$15,832,200) COMMON STOCK. (FILE 333-59730  
 -  
 APR. 27) (BR. 7)

S-1 WRIGHT MEDICAL GROUP INC, 5677 AIRLINE ROAD, ARLINGTON, TN 38002  
 (901) 867-9971 - \$132,250,000 COMMON STOCK. (FILE 333-59732 - APR. 27)  
 (NEW ISSUE)

S-8 US DATA AUTHORITY INC, 3500 NW BOCA RATON BLVD, BUILDING 811,  
 BOCA RATON, FL 33431 (954) 922-6070 - 1,500,000 (\$1,200,000) COMMON  
 STOCK.  
 (FILE 333-59734 - APR. 27) (BR. 9)

S-8 THEGLOBE COM INC, 120 BROADWAY, NEW YORK, NY 10271 (212) 894-3600 -  
 3,600,000 (\$2,821,375) COMMON STOCK. (FILE 333-59736 - APR. 27) (BR.  
 2)



S-8 RAINBOW TECHNOLOGIES INC, 50 TECHNOLOGY DRIVE, IRVINE, CA 92718  
 (714) 454-2100 - 2,500,000 (\$15,025,000) COMMON STOCK. (FILE 333-59738  
 -  
 APR. 27) (BR. 3)

S-3 DANAHER CORP /DE/, 1250 24TH ST NW, SUITE 800, WASHINGTON, DC 20037  
 (202) 828-0850 - 5,750,000 (\$318,377,500) COMMON STOCK. (FILE 333-  
 59740 -  
 APR. 27) (BR. 5)

S-3 CENDANT CORP, 9 WEST 57TH STREET, NEW YORK, NY 10019 (973) 496-5036  
 -  
 2,808,989 (\$50,000,004) COMMON STOCK. (FILE 333-59742 - APR. 27) (BR.  
 8)

S-2 VOXWARE INC, 168 FRANKLIN CORNER RD, LAWRENCEVILLE, NJ 08648  
 (609) 514-4100 - 5,570,000 (\$3,286,300) COMMON STOCK. (FILE 333-59744  
 -  
 APR. 27) (BR. 3)

S-3 ADVANCED AERODYNAMICS & STRUCTURES INC/, 3060 AIRPORT WAY, LONG  
 BEACH,  
 CA 90806 (310) 988-2088 - 129,651,000 (\$59,108,280) COMMON STOCK.  
 (FILE  
 333-59746 - APR. 27) (BR. 5)

S-1 HYDRIL CO, 3300 N SAM HOUSTON PKWY E, HOUSTON, TX 77032 (281) 449-  
 2000  
 - \$129,190,323 COMMON STOCK. (FILE 333-59748 - APR. 27) (BR. 4)

S-1 CHECKERS DRIVE IN RESTAURANTS INC /DE, PO BOX 18800, CLEARWATER, FL  
 33762 (727) 519-2000 - 425,000 (\$2,337,500) COMMON STOCK. (FILE 333-  
 59750  
 - APR. 27) (BR. 5)

S-3 SECURITY ASSOCIATES INTERNATIONAL INC, 2101 S ARLINGTON HEIGHTS RD,  
 STE 100, ARLINGTON HEIGHTS, IL 60005 (847) 956-8650 - 3,768,600  
 (\$8,290,920) COMMON STOCK. (FILE 333-59752 - APR. 27) (BR. 8)

S-4 KANA COMMUNICATIONS INC, 740 BAY RD, REDWOOD CITY, CA 94063  
 (650) 325-9850 - 114,217,537 (\$129,636,904.50) COMMON STOCK. (FILE  
 333-59754 - APR. 27) (BR. 8)

S-3 MIDAMERICAN ENERGY CO, 666 GRAND AVE, P O BOX 657, DES MOINES, IA  
 50306  
 (515) 242-4300 - 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE  
 333-59760 - APR. 27) (BR. 2)

S-8 INTRACO SYSTEMS INC, 3998 FAU BLVD, SUITE 210, BOCA RATON, FL 33431  
 (561) 367-0600 - 3,000,000 (\$420,000) COMMON STOCK. (FILE 333-59764 -  
 APR. 30) (BR. 8)

S-3 CORPORATE OFFICE PROPERTIES TRUST, 8815 CENTRE PARK DR, SUITE 400,  
COLUMBIA, MD 21045 (610) 538-1800 - 1,296,802 (\$12,546,559.35)  
COMMON STOCK. (FILE 333-59766 - APR. 27) (BR. 8)

S-4 GLOBAL CROSSING LTD, WESSEX HOUSE 45 REID ST, HAMILTON HM12,  
BERMUDA, D0  
HM12 (441) 296-8600 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS.  
(FILE 333-59768 - APR. 27) (BR. 7)

S-8 SEDONA CORP, 649 NORTH LEWIS ROAD, LIMERICK, PA 19468 (610) 495-3003  
-  
6,000,000 (\$5,400,000) COMMON STOCK. (FILE 333-59780 - APR. 30) (BR.  
3)

S-3 RAIT INVESTMENT TRUST, 1818 MARKET ST, 28TH FL, PHILADELPHIA, PA  
19103  
(215) 546-5119 - 44,057,000 (\$44,057,000)  
COMMON SHARES OF BENEFICIAL INTEREST. 420,000 (\$5,943,000) COMMON  
STOCK.  
(FILE 333-59782 - APR. 30) (BR. 8)

S-1 CERES GROUP INC, 17800 ROYALTON RD, STRONGSVILLE, OH 44136  
(216) 572-2400 - 5,750,000 (\$28,175,000) COMMON STOCK. (FILE 333-59784  
-  
APR. 30) (BR. 1)

S-3 CALPINE CORP, 50 WEST SAN FERNANDO ST, SAN JOSE, CA 95113 (408) 995-  
5115  
- 151,176 (\$8,371,371) COMMON STOCK. (FILE 333-59786 - APR. 30) (BR.  
2)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES CAPITAL CORP	CA					X	X				03/29/01	
AAMES CAPITAL CORP	CA					X	X				03/29/01	
ABN AMRO MORTGAGE CORP	DE	X									03/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 2	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 3	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 4	DE	X									04/25/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 5	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 1	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 2	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 3	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 4	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 5	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 6	DE	X									03/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 7	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 8	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 1	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 2	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 3	DE	X									04/01/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 4			X								04/01/01	
ACCEPTANCE INSURANCE COMPANIES INC	DE					X					05/01/01	
ACE SECURITIES CORP	DE						X				05/02/01	
ACE SECURITIES CORP	DE					X	X				05/03/01	
AGATE TECHNOLOGIES INC DE	DE			X			X				04/27/01	
ALAMOSA DELAWARE INC	DE						X				02/14/01	AMEND
ALAMOSA HOLDINGS INC	DE						X				02/14/01	AMEND
ALASKA AIR GROUP INC	DE							X			05/03/01	
ALLEGIANCE TELECOM INC	DE						X	X			05/02/01	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE						X	X			04/30/01	
ALLIANCE CAPITAL MANAGEMENT L P	DE						X	X			04/30/01	
AMB FINANCIAL CORP	DE					X	X				04/25/01	
AMERICAN ELECTRIC POWER COMPANY INC	NY						X				05/03/01	AMEND
AMERICAN EXPRESS CENTURION BANK	UT					X	X				04/19/01	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				04/30/01	
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE					X	X				04/19/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE					X		X				04/30/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X		X				04/19/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X		X				04/30/01	
AMERICAN SOUTHWEST FINANCIAL SECURI TIES CORP	AZ	X										04/01/01	
AMERIGAS PARTNERS LP	DE					X						05/02/01	
AMKOR TECHNOLOGY INC	DE							X				04/26/01	
AML COMMUNICATIONS INC	DE			X				X				04/25/01	
AMPEX CORP /DE/	DE					X						04/27/01	
ANALYTICAL SURVEYS INC	CO					X		X				04/27/01	
ANALYTICAL SURVEYS INC	CO					X		X				05/01/01	
APPLEBEES INTERNATIONAL INC	DE					X				X		05/02/01	
ARCH COAL INC	DE					X		X				05/02/01	
ARMITEC INC	DE			X				X				04/12/01	
ASDAR INC	NV					X	X					03/31/01	
ASSET BACKED SECURITIES CORP	DE					X		X				03/29/01	
ATLANTIC CITY BOARDWALK ASSOCIATES LP	NJ					X		X				03/31/01	
ATLANTIC GULF COMMUNITIES CORP	DE					X		X				05/02/01	
ATLAS AIR INC	DE					X		X				04/27/01	
ATLAS AIR WORLDWIDE HOLDINGS INC	DE					X		X				04/27/01	
ATWOOD OCEANICS INC	TX							X				05/02/01	
AVAYA INC	DE									X		11/13/00	
AVISTA CORP	WA					X						05/02/01	
AXCESS INC/TX	DE					X		X				05/01/01	
AXSYS TECHNOLOGIES INC	DE					X		X				05/02/01	
BAIL CORP	CO									X		04/18/01	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2001-4	DE	X										04/20/01	
BANYAN CORP /OR/	OR		X									06/30/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE	X										04/01/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE					X		X				05/01/01	
BICO INC/PA	PA					X						05/01/01	
BINGO COM INC	FL	X	X	X	X	X	X	X	X	X	X	03/24/01	
BIORELEASE CORP	DE					X						05/02/01	
CADMUS COMMUNICATIONS CORP/NEW	VA					X		X				05/03/01	
CAL DIVE INTERNATIONAL INC	MN							X		X		05/03/01	
CALA CORP	OK	X	X									05/02/01	
CB RICHARD ELLIS SERVICES INC	DE					X		X				04/24/01	
CENDANT CORP	DE					X						04/30/01	
CENTER TRUST INC	MD					X		X				03/01/01	
CEPHALON INC	DE					X		X				05/02/01	
CHASE CREDIT CARD MASTER TRUST	NY					X		X				04/16/01	
CHASE MANHATTAN BANK /NY/	NY					X		X				04/16/01	
CHITTENDEN CORP /VT/	VT					X						05/01/01	
CIGNA CORP	DE					X		X				05/02/01	
CNBC BANCORP /OH						X		X				04/24/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
COASTAL BANCORP INC	TX					X	X				05/01/01	
COLLINS & AIKMAN CORP	DE					X	X				04/18/01	
COMMERCIAL MORTGAGE PASS THROUGH CE RTIFICATES SERIES 2001-1	DE					X	X				05/02/01	
COMMUNICATION INTELLIGENCE CORP	DE					X	X				04/26/01	
COMPLE TEL EUROPE NV						X	X				05/03/01	
CONTINENTAL AIRLINES INC /DE/	DE					X					05/02/01	
COOPER COMPANIES INC	DE					X	X				04/25/01	
CORTEX PHARMACEUTICALS INC/DE/	DE					X					04/30/01	
COUNTRYWIDE CREDIT INDUSTRIES INC	DE					X	X				05/03/01	
COVINGHAM CAPITAL CORP	UT					X					04/20/01	
COYNE INTERNATIONAL ENTERPRISES CORP	NY					X		X			04/25/01	
P												
CVEO CORP	MA		X					X			04/30/01	
CYSIVE INC	DE					X	X				05/01/01	
DATA CRITICAL CORP	DE					X	X				05/02/01	
DEL WEBB CORP	DE					X	X				04/30/01	
DIGEX INC/DE	DE					X	X				05/02/01	
DOUBLE EAGLE PETROLEUM CO	WY								X		05/01/01	AMEND
DREAMLIFE INC	DE					X	X				04/30/01	
DVI RECEIVABLES CORP	DE							X			03/31/01	
DVI RECEIVABLES CORP VIII	DE							X			03/31/01	
DYNAMIC HEALTHCARE TECHNOLOGIES INC	FL					X	X				05/02/01	
E COMNETRIX INC						X			X		04/25/01	
E STAMP CORP	DE					X	X				04/19/01	
ECONTENT INC	DE					X					04/17/01	
ELECTRICAL GENERATION TECHNOLOGY CO	UT					X					05/03/01	
RP												
ELECTRONIC RETAILING SYSTEMS INTERN ATIONAL INC	DE					X					05/02/01	
EOG RESOURCES INC	DE					X					05/02/01	
EVANS BOB FARMS INC	DE					X	X				04/27/01	
FAIRCHILD INTERNATIONAL CORP						X	X				04/12/01	
FEDERATED INVESTORS INC /PA/	PA		X								04/20/01	
FILM ROMAN INC	DE					X	X				05/02/01	
FIRST CAPITAL INTERNATIONAL INC	DE					X			X		04/30/01	
FIRST CINCINNATI INC	OH					X					04/20/01	
FIRST INTERNATIONAL BANCORP INC	DE					X	X				05/02/01	
FIRST NATIONAL BANK OF ATLANTA						X	X				04/15/01	
FIRST NATIONAL BANK OF ATLANTA						X	X				04/30/01	
FIRST UNION CORP	NC					X	X				04/15/01	
FIRST USA BANK NATIONAL ASSOCIATION						X	X				05/02/01	
FIRST USA CREDIT CARD MASTER TRUST	DE					X	X				05/02/01	
FOCAL COMMUNICATIONS CORP	DE					X					05/03/01	
FUTUREONE INC /NV/	NV					X					05/02/01	
GEHL CO	WI						X		X		05/03/01	
GENERAL MOTORS CORP	DE					X					04/03/01	
GENUITY INC	DE					X	X				05/03/01	
GENZYME CORP	MA		X				X				12/14/00	AMEND
GENZYME CORP	MA		X				X				12/18/00	AMEND
GERBER SCIENTIFIC INC	CT	X									05/02/01	
GLACIER BANCORP INC	DE						X				02/28/01	AMEND

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
GLOBAL ONLINE INDIA INC	DE	X										05/02/01	
GLOBAL PAYMENTS INC	GA					X						05/01/01	
GLOBAL TECHNOLOGIES LTD	DE					X	X					04/26/01	
GOTHINK COM INC			X									05/01/01	
GRAND ENTERPRISES INC/DE	DE	X	X			X	X	X				04/18/01	
GRANT PRIDECO INC	DE					X	X					05/03/01	
GREEN MOUNTAIN POWER CORP	VT	X										05/01/01	
GREENHOLD GROUP INC	FL	X	X					X				12/21/00	AMEND
HAGGAR CORP	NV					X	X		X			05/02/01	
HANCOCK HOLDING CO	MS					X						04/27/01	
HARVARD BIOSCIENCE INC	DE					X						05/01/01	
HEALTHCARE RECOVERIES INC	DE					X	X					04/25/01	
HEARX LTD	DE					X	X					04/27/01	
HEMLOCK FEDERAL FINANCIAL CORP	DE					::	X					05/03/01	
HOENIG GROUP INC	DE					X	X					05/03/01	
HOST MARRIOTT CORP/	MD					X	X					04/02/01	
HUMPHREY HOSPITALITY TRUST INC	VA				X		X					04/20/01	
HYSEQ INC	NV					X	X					04/25/01	
I LINK INC	FL		X			X	X					04/15/01	
IDT VENTURE GROUP INC	FL				X		X					12/20/00	AMEND
IKON OFFICE SOLUTIONS INC	OH					X	X					04/26/01	
IL FORNAIO AMERICA CORP	DE					X	X					05/01/01	
ILLINI CORP	IL					X	X					05/02/01	
ILLINOIS SUPERCONDUCTOR CORPORATION	DE					X	X					04/27/01	
IMH ASSETS CORP IMPAC CMB TRUST SER IES 1999-1	CA	X										04/01/01	
INLAND RETAIL REAL ESTATE TRUST INC	MD		X					X				03/28/01	
INSPIRE PHARMACEUTICALS INC	DE					X						05/03/01	
INTEGRAMED AMERICA INC	DE							X	X			05/02/01	
INTELLICORP INC	DE					X	X					05/02/01	
INTERFERON SCIENCES INC	DE	X										05/01/01	
INTERMEDIA COMMUNICATIONS INC	DE					X	X					05/02/01	
INTERNATIONAL FLAVORS & FRAGRANCES INC	NY					X	X					05/03/01	
IOMED INC	UT					X	X					05/01/01	
IOS CAPITAL INC	DE					X	X					04/26/01	
J JILL GROUP INC	DE					X	X					05/02/01	
JABIL CIRCUIT INC	DE					X	X					05/02/01	
JDS UNIPHASE CORP /CA/	DE							X				05/04/01	
KEVCO INC	TX					X	X					02/28/01	
KEVCO INC	TX					X	X					04/13/01	
KEYCORP STUDENT LOAN TRUST 2000-B	DE					X	X					04/25/01	
KINDER MORGAN ENERGY PARTNERS L P	DE					X	X					04/18/01	
KINDER MORGAN ENERGY PARTNERS L P	DE					X	X					05/02/01	
KINDER MORGAN INC	KS					X	X					04/18/01	
KINDER MORGAN INC	KS					X	X					04/18/01	AMEND
KYZEN CORP	TN					X						04/16/01	
LAHAINA ACQUISITIONS INC	CO		X									04/20/01	
LAHAINA ACQUISITIONS INC	CO					X						04/24/01	
LAHAINA ACQUISITIONS INC	CO					X						04/24/01	
LASALLE HOTEL PROPERTIES	MD							X	X			01/01/01	
LASALLE HOTEL PROPERTIES	MD							X	X			05/02/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
LCA VISION INC	DE					X	X				05/02/01	
LCC INTERNATIONAL INC	DE					X	X				05/01/01	
LEADING EDGE EARTH PRODUCTS INC					X						04/27/01	
LEAP WIRELESS INTERNATIONAL INC	DE					X	X				05/02/01	
LECTEC CORP /MN/	MN	X					X				04/30/01	
LEE ENTERPRISES INC	DE					X					05/03/01	
LEHMAN ABS CORP	DE					X	X				04/30/01	
LEHMAN BROTHERS HOLDINGS INC	DE						X				05/02/01	
LERNOUT & HAUSPIE SPEECH PRODUCTS N V						X					04/27/01	
LONE STAR TECHNOLOGIES INC	DE					X	X				05/02/01	
LOTUS PACIFIC INC	DE					X					05/02/01	
MAGNA ENTERTAINMENT CORP	DE					X	X				05/02/01	
MALAN REALTY INVESTORS INC	MI			X			X				04/27/01	
MARKETING SPECIALISTS CORP	DE					X	X				04/24/01	
MATTHEWS STUDIO EQUIPMENT GROUP	CA			X							03/31/01	
MATTHEWS STUDIO EQUIPMENT GROUP	CA		X								04/19/01	
MDI ENTERTAINMENT INC	DE					X	X				04/25/01	
MEDPLUS INC /OH/	OH					X	X				04/25/01	
MELLON HOME EQUITY LINE OF CREDIT T R AS BA NOT SER 2000-1	NY	X									04/20/01	
MELLON HOME EQUITY LINE OF CREDIT T R AS BA NOT SER 2000-1	NY	X									04/20/01	
MERISTAR HOTELS & RESORTS INC	DE					X	X				01/01/01	
MERRILL LYNCH & CO INC	DE						X				03/30/01	
MICROSTRATEGY INC	DE					X					04/30/01	
MMCA AUTO OWNER TRUST 2001-1	DE					X	X				05/02/01	
MMCA AUTO RECEIVABLES TRUST	DE					X	X				05/02/01	
MONTEREY BAY BANCORP INC	DE					X					05/02/01	
MONTEREY BAY BANCORP INC	DE					X					05/03/01	AMEND
MORROW SNOWBOARDS INC	OR		X					X			01/31/00	AMEND
NATIONAL STEEL CORP	DE					X	X				05/03/01	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE	X									04/01/01	
NATIONWIDE FINANCIAL SERVICES INC/ NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE					X	X				05/02/01	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE					X	X				04/27/01	
NEUBERGER BERMAN INC	DE						X	X			05/01/01	
NEW ERA OF NETWORKS INC	DE	X									02/20/01	AMEND
NISSAN AUTO RECEIVABLES 2001-B OWNE R TRUST	DE					X	X				04/26/01	
NORD PACIFIC LIMITED						X					05/02/01	
NVIDIA CORP/CA	DE		X				X				04/18/01	
OHIO CASUALTY CORP	OH					X	X				05/02/01	
OTHNET INC	A6					X	X				03/23/01	
OUTSOURCE INTERNATIONAL INC	FL					X	X				04/30/01	
PACIFIC GAS & ELECTRIC CO	CA							X			05/02/01	
PALATIN TECHNOLOGIES INC	DE					X					05/02/01	
PANAGRA INTERNATIONAL CORP/ PARTNERS FIRST CREDIT CARD MASTER T RUST	NY						X				04/27/01	
PARTNERS FIRST CREDIT CARD MASTER T RUST	DE					X	X				04/15/01	
PAUL SON GAMING CORP	NV					X	X				04/30/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
PAYSTAR COMMUNICATIONS CORP	NV		X					X			04/17/01	
PENNFED FINANCIAL SERVICES INC	DE				X		X				04/25/01	
PETMED EXPRESS INC	FL			X							05/03/01	
PG&E CORP	CA									X	05/02/01	
PHILADELPHIA CONSOLIDATED HOLDING C ORP	PA				X		X				04/20/01	
PHILIP MORRIS COMPANIES INC	VA							X		X	05/02/01	
PHOTRONICS INC	CT					X	X				05/03/01	
PILOT NETWORK SERVICES INC	DE					X	X				04/26/01	
PLIANT SYSTEMS INC	DE		X				X				05/01/01	
PMA CAPITAL CORP	PA					X	X		X		05/02/01	
POWERTEL INC /DE/	DE					X	X				04/13/01	
PRI AUTOMATION INC	MA					X	X				04/19/01	
PRICELINE COM INC	DE									X	05/01/01	
PRIME COMPANIES INC	DE			X							05/03/01	AMEND
PROSPERITY BANCSHARES INC	TX						X				02/23/01	AMEND
PSINET INC	NY					X	X				05/02/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM						X		X		04/18/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM									X	05/02/01	
PULTE CORP	MI					X	X				04/30/01	
PW EAGLE INC	MN					X	X				05/02/01	
QUALMARK CORP	CO			X			X				05/01/01	
RANKIN AUTOMOTIVE GROUP INC	LA		X				X				04/20/01	
RAYTHEON CO/	DE					X					04/26/01	
READERS DIGEST ASSOCIATION INC	DE					X					03/30/01	
REALTY INCOME CORP	MD					X	X				05/03/01	
RECKSON ASSOCIATES REALTY CORP	MD						X		X		05/03/01	
RECKSON OPERATING PARTNERSHIP LP	DE						X		X		05/03/01	
REYNOLDS & REYNOLDS CO	OH					X					05/02/01	
RFS HOTEL INVESTORS INC	TN					X	X				05/03/01	
ROHN INDUSTRIES INC	DE						X		X		04/30/01	AMEND
SAFETY KLEEN CORP/	DE					X					05/03/01	
SALISBURY BANCORP INC	CT					X					04/28/01	
SALIX PHARMACEUTICALS LTD								X		X	05/01/01	
SBA COMMUNICATIONS CORP	FL					X	X				04/27/01	
SEL-LEB MARKETING INC	NY					X	X				04/30/01	
SHARP HOLDING CORP	WY					X	X				04/19/01	
SLM FUNDING CORP	DE					X	X				04/25/01	
SOFTNET SYSTEMS INC	DE					X					05/02/01	
SOURCE MEDIA INC	DE					X	X				05/01/01	
SOUTHWESTERN ENERGY CO	AR		X								05/02/01	
SPACEDEV INC	CO					X					04/17/01	AMEND
STAR RECEIVABLES FUNDING INC	DE							X			04/16/01	
STAR STRUCK LTD	DE				X						04/20/01	
STERLING FINANCIAL CORP /PA/	PA		X								04/17/01	AMEND
STERLING FINANCIAL CORP /PA/	PA		X								04/24/01	
STILWELL FINANCIAL INC	DE							X	X		05/02/01	
STONE ENERGY CORP	DE							X			05/02/01	
STRATFORD AMERICAN CORP	AZ		X					X			04/19/01	
SUIZA FOODS CORP	DE					X	X				03/31/01	
SUPERIOR ENERGY SERVICES INC	DE					X					05/02/01	
SUPERIOR ENERGY SERVICES INC	DE					X					05/03/01	



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SWIFT ENERGY CO	TX										05/03/01	
S1 CORP /DE/	DE				X	X					05/01/01	
TECO ENERGY INC	FL				X	X					05/01/01	
TEKINSIGHT COM INC	DE				X	X					04/25/01	
TELIGENT INC	DE				X	X					05/01/01	
TELSCAPE INTERNATIONAL INC	TX		X		X						04/24/01	
TEXAS BIOTECHNOLOGY CORP /DE/	DE				X						05/03/01	
TFC ENTERPRISES INC	DE			X	X	X					05/03/01	AMEND
THAON COMMUNICATIONS INC	NV						X				04/23/01	AMEND
THOMAS & BETTS CORP	TN				X	X		X			04/29/01	
TRAVELBYUS INC	TX		X								05/02/01	
TRIDEX CORP	CT				X		X				05/01/01	AMEND
TRIPLE S PLASTICS INC	MI				X						04/30/01	
TRUSERV CORP	DE				X	X					05/02/01	
TULLYS COFFEE CORP			X		X	X					03/12/01	
U S DIAGNOSTIC INC	DE				X						04/20/01	
UBRANDIT COM	NV				X						04/30/01	
U DATE COM INC	DE						X				05/23/01	AMEND
UGI CORP /PA/	PA				X						05/02/01	
UNICAPITAL CORP	DE										04/08/01	
UNICAPITAL CORP	DE				X						04/08/01	
UNICAPITAL CORP	DE				X						04/22/01	
UNICAPITAL CORP	DE				X						04/24/01	
UNITED HERITAGE CORP	UT							X			05/02/01	
UNITRIN INC	DE				X						05/02/01	
US BANCORP \DE\	DE				X	X					05/03/01	
US HOME SYSTEMS INC /TX	DE			X		X					05/01/01	
VALLEY FINANCIAL CORP /VA/	VA				X						05/02/01	
VENCOR INC /NEW/	DE		X				X				04/20/01	
VIRATA CORP	DE				X	X					04/25/01	
VISUAL DATA CORP	FL						X				05/02/01	AMEND
WACHOVIA CREDIT CARD MASTER TRUST					X	X					04/30/01	
WEIGHT WATCHERS INTERNATIONAL INC	VA				X						04/18/01	
WEST COAST BANCORP /NEW/OR/	OR				X	X					05/02/01	
WESTAFF INC	DE				X	X					05/01/01	
WESTECH CAPITAL CORP	NY				X	X					04/30/01	
WESTERN RESOURCES INC /KS	KS				X	X					05/02/01	
WHISPERING OAKS INTERNATIONAL INC			X		X	X					04/24/01	
WILLIAMS COMMUNICATIONS GROUP INC	DE				X	X					04/23/01	
WILLIAMS COMPANIES INC	DE		X			X					04/23/01	
3DFX INTERACTIVE INC	CA		X			X		X			04/18/01	
800 AMERICA COM INC	NV				X	X					05/03/01	