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# SEC NEWS DIGEST

Issue 2001-77

April 20, 2001

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## COMMISSION ANNOUNCEMENTS

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### SEC ANNOUNCES ELEVENTH ANNUAL INTERNATIONAL INSTITUTE

The Commission is hosting its 11<sup>th</sup> Annual International Institute for Securities Market Development, beginning on Monday, April 23, 2001. The two-week, executive level program is the cornerstone of the SEC's international technical assistance program. It provides an opportunity to discuss the core principles of securities regulation among a wide-ranging group of participants from emerging markets. It features panels and workshops conducted by SEC staff and officials from the securities industry, the private bar, and international development organizations.

This year, the Institute audience will include over 100 senior securities officials from 66 emerging market countries. Prior Institutes have provided training for nearly 900 officials from all five continents.

The program will commence at 9:15 a.m. with welcoming remarks by SEC Acting Chairman Laura Unger. Marianne Smythe, Partner, Wilmer Cutler & Pickering, will deliver the keynote address at 9:30 a.m. Each of these presentations is open to the press and will be delivered at the SEC's Headquarters in the William O. Douglas Room, Room 1C30, 450 Fifth Street, N.W., Washington, D.C. (Press Rel. 2001-39)

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## ENFORCEMENT PROCEEDINGS

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### AUTOLEND GROUP, INC. CONSENTS TO CEASE AND DESIST ORDER FOR IMPROPER ACTIVITIES DURING TENDER OFFER

On April 19, the Commission instituted, and simultaneously settled, cease and desist proceedings against AutoLend Group, Inc. for fraudulent activities connected to a tender offer orchestrated by AutoLend's former president and chairman of the board, Nunzio DeSantis.

The Commission's Order Instituting Proceedings (Order) finds that in connection with a tender offer that was initiated in October 1996 and closed in April 1997, AutoLend

violated certain tender offer, antifraud and reporting provisions [Sections 10(b), 13(a), 13(e) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5, 10b-13, 13a-1, 13a-13 and 13e-4(b) thereunder] of the federal securities laws. The Order finds that while the tender offer was pending, AutoLend engaged in a scheme to defraud bondholders by (1) failing to disclose a secret agreement and sham transaction that occurred during the tender offer through which a straw party, on behalf of AutoLend, agreed to purchase certain bonds under terms different than the tender offer and (2) negotiating with non-accepting bondholders terms different from the tender offer without disclosing or extending such alternatives to all bondholders. AutoLend consented to the Cease and Desist Order without admitting or denying the findings in the Order. (Rel. 34-44202; File No. 3-10458)

### **COMMISSION DENIES EAJA CLAIM OF RICHARD ADAMS**

The Commission has denied the application of Richard J. Adams of Bloomfield, New Jersey for fees and expenses under the Equal Access to Justice Act (EAJA). Adams was a vice president and financial and operations principal of Graystone Nash, Incorporated, formerly a registered broker-dealer.

Adams' EAJA claim arose from the Commission's dismissal of its proceeding charging that Adams had participated in a fraudulent and manipulative scheme involving Graystone initial public offerings and their aftermarkets. The administrative law judge had dismissed the case on the merits and further ruled that the proceeding was barred by the statute of limitations contained in 28 U.S.C. § 2462. In dismissing the proceeding on appeal, the Commission did not reach the merits, but simply cited the age of the case and the fact that the Division of Enforcement did not oppose dismissal.

The Commission rejected Adams' EAJA claim as untimely. The EAJA requires that claims must be filed within 30 days of "final disposition" of the underlying proceeding. Adams' claim was not filed until 86 days after the proceedings against him had been dismissed. The Commission noted that, in view of its determination that Adams' claim was not timely filed, it was not reaching the issue of whether the Division's position in the underlying proceeding was "substantially justified" within the meaning of the EAJA. (Rel. 34-44205; File No. 3-8327-EAJ)

### **COMMISSION INSTITUTES AND SIMULTANEOUSLY SETTLES PUBLIC ADMINISTRATIVE PROCEEDINGS AGAINST TWO BROKERS IN INSIDER TRADING CASE**

The Commission announced that it has instituted and simultaneously settled public administrative proceedings, pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Exchange Act), against Cristan K. Blackman and Charles R. Roberts, Jr. The order instituting the proceedings (Order) bars Blackman and Roberts from association with any broker or dealer, with the right to reapply for association after five (5) years to the appropriate self-regulatory organization, or if there is none, to the Commission.

The Commission's Order finds that Blackman and Roberts were associated as registered representatives of a Morgan Keegan & Co. (Morgan Keegan), broker-dealer registered with the Commission. The Order further finds that on March 16, 2001 and March 26, 2001, the U.S. District Court for the Middle District of Tennessee entered permanent injunctions against Blackman and Roberts, respectively, prohibiting them from further violations of the antifraud provisions of the Exchange Act.

The permanent injunctions followed a civil action initiated by the Commission on November 16, 1999, alleging that Blackman and Roberts engaged in insider trading in violation of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder in connection with transactions in the securities of Mid Ocean Limited (Mid Ocean). Blackman and Roberts consented to the entry of the permanent injunctions without admitting or denying the allegations in the Commission's complaint against them. (Rel. 34-44204; File No. 3-10459)

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## HOLDING COMPANY ACT RELEASES

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### WESTERN RESOURCES, INC.

A notice has been issued giving interested persons until May 14, 2001, to request a hearing on a proposal by Western Resources, Inc. (WRI), a public utility holding company claiming an exemption under Section 3(a) of the Act by Rule 2 under the Act. Westar Generating, Inc. (Westar Generating), a wholly owned subsidiary of WRI will hold a 40% ownership interest in a generating facility that is under construction at The Empire District Electric Company State Line station (State Line) near Joplin, Missouri, in two phases. In the first phase, which has already occurred, Westar Generating acquired a 40% interest in the portion of State Line's assets under construction. The second phase, Westar Generating's acquisition of a 40% interest in the portion of the State Line assets that existed prior to the start of construction, will occur sometime prior to State Line's resumption of commercial operation. WRI is seeking authority to retain its 40% indirect interest in State Line when the plant resumes commercial operation. (Rel. 35-27380)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change and amendment Nos. 1 and 2 submitted by the New York Stock Exchange (SR-NYSE-97-18) to prohibit a specialist from entering a bid or offer in an electronic communications network or other market center at a price superior to the specialist's quote on the Exchange. (Rel. 34-44194)

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## SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS

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The following is a list of significant no-action, exemptive and interpretative letters recently issued by the Division of Market Regulation for the quarterly period January 31, 2001 through March 31, 2001.

These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, U.S. Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates and the public availability date.

<u>COMPANY</u>	<u>ACT/SECTION/RULE</u>	<u>DATE</u>
BondGlobe, Inc.	1934 Act, Section 15(a)	02/06/01
American Express Bank Ltd.	1934 Act/Advisors Act/ 1940 Act, Sections 15(a), 203(a), 7	02/27/01
Deutsche Banc Alex. Brown, Inc.	1934 Act, Rule 10b-10	12/19/01
EXAR Corporation	1934 Act, Rule 10-17	02/21/01
EPIX Holdings Corp.	1934 Act, Sections 15(a), (b)	03/12/01
Prescient Markets, Inc.	1933 Act, 1934 Act, Section 15(a)(b)/4(2) Paper	04/02/01 Confidential Treatment Lifted

<u>COMPANY</u>	<u>ACT/SECTION/RULE</u>	<u>DATE</u>
Alphonse J. Deluca	1934 Act, Section 3(a)(3), Rule 19h-1	03/12/01
IShares Cohen & Steers Major Shares Index Fund	Section 11(d)(1), Rules 10a-1; 10b-10; 10b-17; 11d1-2; 14e-5; 15c1-5; 15c-6; Reg. M, Rules 101-102	02/01/01
Rule 10a-1: Market Marker	Rule10a-1	02/09/01
IShares Goldman Sachs Technology Index Fund	Section 11(d)(1), Rules 10a-1; 10b-10; 10b-17; 11d-2; 14e-5; 15c1-5; 15c-6; Reg.M, Rules 101-102	03/13/01
Popular Total Return Fund, Inc.	Regulation M, Rule 102	03/13/01
Rule 10a-1:Exemptive Relief Under Short Sale Rule	Rule10a-1	03/30/01
Securities Industry Assoc.	1934 Act, Rule 11Ac1-5	03/12/01
Nasdaq Stock Market, Inc.	1934 Act, Rule 11Ac1-5	03/12/01

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 APPLIED MICRO CIRCUITS CORP, 6290 SEQUENCE DR, SAN DIEGO, CA 92121  
(619) 450-9333 - 25,000,000 (\$1,641,406,250) COMMON STOCK. (FILE  
333-53282 - JAN. 05) (BR. 5)

S-4 AVNET INC, 2211 SOUTH 47TH STREET, PHOENIX, AZ 85034 (480) 643-2000 -  
27,299,595 (\$565,446,786.85) COMMON STOCK. (FILE 333-58852 - APR. 13)  
(BR. 5)

S-11 CORPORATE PROPERTY ASSOCIATES 15 INC, 50 ROCKFELLOW PALZA  
SECOND FLOOR,  
NEW YORK, NY 10020 (212) 492-1100 - 50,000,000 (\$500,000,000) COMMON STOCK.  
(FILE 333-58854 - APR. 13) (BR. 8 - NEW ISSUE)

S-8 ALL AMERICAN SEMICONDUCTOR INC, 16115 N W 52ND AVENUE, MIAMI, FL  
33014  
(305) 621-8282 - 637,418 (\$3,958,366) COMMON STOCK. (FILE 333-58860 -  
APR. 13) (BR. 5)

S-4 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MS D 106, MILPITAS, CA 95035  
(408) 433-8000 - 43,445,527 (\$636,834,434) COMMON STOCK. (FILE 333-58862 -  
APR. 13) (BR. 5)

S-8 MERLIN SOFTWARE TECHNOLOGIES INTERNATIONAL INC, SUITE 201 4199  
LOUGHEED,  
BURNABY BC CANADA V5G 4E1, A6 (702) 866-2500 - 83,371 (\$29,249.85)  
COMMON STOCK. (FILE 333-58866 - APR. 13) (BR. 9)

S-3 NEW VISUAL ENTERTAINMENT INC, 5920 FRIARS ROAD, SUITE 104, SAN  
DIEGO, CA  
92108 (619) 692-0333 - 12,262,483 (\$46,597,435) COMMON STOCK. (FILE  
333-58874 - APR. 13) (BR. 5)

S-4 FEDDERS CORP /DE, 505 MARTINSVILLE RD, WESTGATE CORPORATE CTR,  
LIBERTY CORNER, NJ 07938 (908) 604-8686 - 31,707,414 (\$147,080,855.63)  
COMMON STOCK. (FILE 333-58876 - APR. 13) (BR. 6)

S-4 TRITON PCS INC, 375 TECHNOLOGY DRIVE, MALVERN, PA 19355 (610) 651-  
5900  
- 350,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 333-58878 - APR. 13)  
(BR. 7)

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S-8 RELIABLE POWER SYSTEMS INC, 1450 WEST EVANS, DENVER, CO 80223  
(303) 922-1888 - 500,000 (\$125,000) COMMON STOCK. (FILE 333-58880 -  
APR. 13) (BR. 5)

S-1 ML JWH STRATEGIC ALLOCATION FUND LP, MERRILL LYNCH INVESTMENT  
PARTNERS,  
WORLD FINANCIAL CTR SOUTH TOWER 6TH FL, NEW YORK, NY 10080 (212) 236-  
4167  
- 350,000 (\$63,297,500) LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-58882 -  
APR. 13) (BR. 8)

S-8 DATA BROADCASTING CORPORATION, 22 CROSBY DRIVE, BEDFORD, MA  
01730  
(781) 687-8800 - 15,800,000 (\$106,419,172.50) COMMON STOCK. (FILE  
333-58886 - APR. 13) (BR. 7)

S-4 STATION CASINOS INC, 2411 W SAHARA AVE, LAS VEGAS, NV 89102  
(702) 367-2411 - \$300,000,000 STRAIGHT BONDS. (FILE 333-58888 - APR. 13)  
(BR. 5)

S-1 IWO HOLDINGS INC, 319 GREAT OAKS BLVD, ALBANY, NY 12203 (518) 862-  
6000  
- 160,000 (\$14,000,000) COMMON STOCK. (FILE 333-58890 - APR. 13) (BR. 7)

S-8 PINNACLE RESOURCES INC, 7345 E PEAKVIEW AVENUE, ENGLEWOOD, CO  
80111  
(303) 705-8600 - 1,000,000 (\$930,000) COMMON STOCK. (FILE 333-58894 -  
APR. 13) (BR. 9)

S-8 CYPRESS SEMICONDUCTOR CORP /DE/, 3901 N FIRST ST, SAN JOSE, CA 95134  
(408) 943-2600 - 7,539,540 (\$115,204,171.20) COMMON STOCK. (FILE  
333-58896 - APR. 13) (BR. 5)

S-1 MMCA AUTO RECEIVABLES TRUST, 6363 KATELLA AVE, CYPRESS, CA 90630  
(714) 236-1614 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-58904 -  
APR. 13) (BR. 8)

S-8 NEIMAN MARCUS GROUP INC, 27 BOYLSTON ST, P O BOX 9187, CHESTNUT  
HILL, MA  
02467 (617) 232-0760 - 2,400,000 (\$74,904,000) COMMON STOCK. (FILE  
333-58906 - APR. 13) (BR. 2)

SB-2 CUMETRIX DATA SYSTEMS CORP, 957 LAWSON STREET, INDUSTRY, CA  
91748  
(626) 965-6899 - \$5,269,014 COMMON STOCK. (FILE 333-58914 - APR. 13)  
(BR. 3)

S-3 NATURAL MICROSYSTEMS CORP, 100 CROSSING BLVD, FRAMINGHAM, MA  
01702  
(508) 650-1300 - 1,877,839 (\$11,980,612.82) COMMON STOCK. (FILE 333-58916  
- APR. 13) (BR. 7)

S-8 ACTIVISION INC /NY, 3100 OCEAN PARK BLVD, STE 1000, SANTA MONICA,  
CA  
90405 (310) 255-2000 - 2,000,000 (\$12,252,000) COMMON STOCK. (FILE  
333-58922 - APR. 13) (BR. 3)

S-8 NET GENESIS CORP, 150 CAMBRIDGE PARK DRIVE, CAMBRIDGE, MA 02140  
(617) 665-9200 - 1,000,000 (\$2,352,956) COMMON STOCK. (FILE 333-58924 -  
APR. 13) (BR. 3)

S-8 HEARTPORT INC, 700 BAY ROAD DR, REDWOOD CITY, CA 94063 (415) 306-  
7900 -  
1,100,000 (\$2,992,000) COMMON STOCK. (FILE 333-58928 - APR. 13) (BR. 5)

S-3 MGI PHARMA INC, 6300 WEST OLD SHAKOPEE RD, SUITE 110,  
BLOOMINGTON, MN  
55438 (612) 935-7335 - 300,000 (\$3,065,700) COMMON STOCK. (FILE 333-58930  
- APR. 13) (BR. 1)

S-3 DAMARK INTERNATIONAL INC, 7101 WINNETKA AVENUE NORTH,  
MINNEAPOLIS, MN  
55428 (612) 531-0066 - 7,800,000 (\$24,375,000) COMMON STOCK. (FILE  
333-58932 - APR. 13) (BR. 2)

S-3 HEALTHCENTRAL COM, MARKETPLACE TOWER, 6001 SHELLMOUND  
STREET STE 800,  
EMERYVILLE, CA 94608 (510) 250-2500 - 2,400,000 (\$216,000) COMMON STOCK.  
(FILE 333-58946 - APR. 13) (BR. 1)

S-8 PROVANT INC, 67 BATTERYMARCH ST SUITE 600, BOSTON, MA 02110  
(617) 261-1600 - 75,000 (\$389,062.50) COMMON STOCK. (FILE 333-58950 -  
APR. 13) (BR. 8)

S-8 PROVANT INC, 67 BATTERYMARCH ST SUITE 600, BOSTON, MA 02110  
(617) 261-1600 - 500,000 (\$2,630,000) COMMON STOCK. (FILE 333-58952 -  
APR. 13) (BR. 8)



- S-4 ANTEC CORP, 11450 TECHNOLOGY CIRCLE, SUITE 600, DULUTH, GA 30097  
(847) 439-4444 - 38,200,000 (\$224,425,000) COMMON STOCK. (FILE 333-58954 -  
APR. 13) (BR. 7)
- S-3 ZIONS BANCORPORATION /UT/, ONE SOUTH MAIN STREET, SUITE 1380,  
SALT LAKE CITY, UT 84111 (801) 524-4787 - 640,969 (\$33,003,493.81)  
COMMON STOCK. (FILE 333-58956 - APR. 13) (BR. 7)
- S-3 NATIONAL PROCESSING INC, 1231 DURRETT LANE, LOUISVILLE, KY 40213  
(502) 326-7000 - 60,000 (\$1,095,000) COMMON STOCK. (FILE 333-58958 -  
APR. 13) (BR. 3)
- S-3 CALYPTE BIOMEDICAL CORP, 1265 HARBOR BAY PARKWAY, ALAMEDA, CA  
94502  
(510) 749-5100 - 1,726,024 (\$440,136) COMMON STOCK. (FILE 333-58960 -  
APR. 13) (BR. 5)
- S-3 COMPUTER MOTION INC, 130 B CREMONA DRIVE, GOLETA, CA 93117  
(805) 968-9600 - 4,783,480 (\$12,628,387) COMMON STOCK. (FILE 333-58962 -  
APR. 13) (BR. 5)
- S-3 REGENCY CENTERS LP, 121 W FORSYTH STREET, SUITE 200, JACKSONVILLE,  
FL  
32202 (904) 356-7000 - 600,000,000 (\$600,000,000) STRAIGHT BONDS. (FILE  
333-58966 - APR. 13) (BR. 8)
- S-3 BECKMAN COULTER INC, 4300 N HARBOR BLVD, PO BOX 3100, FULLERTON,  
CA  
92834 (714) 773-6907 - 500,000,000 STRAIGHT BONDS. (FILE 333-58968 -  
APR. 13) (BR. 5)
- S-3 TERAFORCE TECHNOLOGY CORP, 1240 CAMPBELL ROAD, PO BOX HM 1437,  
RICHARDSON, TX 75081 (972) 367-2100 - 20,329,930 (\$8,335,271.30)  
COMMON STOCK. (FILE 333-58970 - APR. 13) (BR. 7)
- SB-2 SOUTHBOROUGH VENTURES INC, 1250 SATURNA DRIVE, PARKSVILLE, AL  
00000 -  
3,000,000 (\$60,000) COMMON STOCK. (FILE 333-58972 - APR. 13) (NEW ISSUE)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABC NACO INC	DE					X		X			04/17/01	
ACCUHEALTH INC	NY					X		X			02/22/01	
ADM TRONICS UNLIMITED INC/DE	DE	X									04/12/01	
ADVANCE FINANCIAL BANCORP	DE					X		X			04/18/01	
ADVANCED WIRELESS SYSTEMS INC	AL				X						04/19/01	
ALLETE	MN							X			04/18/01	
ALTAIR INTERNATIONAL INC	A6							X			12/15/00	AMEND
AMERICAN AIRLINES INC	DE					X					04/18/01	
AMR CORP	DE					X					04/18/01	
ANKER COAL GROUP INC	DE					x		X			04/11/01	
AOL TIME WARNER INC	DE					X					01/11/01	
APACHE MEDICAL SYSTEMS INC	DE					X		X			04/12/01	
APERIAN INC	DE		X					X			04/06/01	
ASCENT PEDIATRICS INC	DE					X					04/04/01	
ASHFORD COM INC	DE					X		X			04/10/01	
ASTORIA FINANCIAL CORP	DE							X	X		04/19/01	
AT HOME CORP	DE					X		X			04/17/01	
AT&T CORP	NY					X					04/17/01	
AVALON CORRECTIONAL SERVICES INC	NV	X									04/16/01	
BANCORP CONNECTICUT INC	DE					X					04/18/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X		X			04/19/01	
BANK OF NEW ENGLAND CORP	MA									NO ITEMS	03/31/01	
BANK ONE CORP	DE					X		X			04/17/01	
BANYAN STRATEGIC REALTY TRUST	MA					X		X			04/16/01	
BARD C R INC /NJ/	NJ								X		04/18/01	
BECTON DICKINSON & CO	NJ					X					04/18/01	
BIOSHIELD TECHNOLOGIES INC	GA				X						01/29/01	AMEND
BIOTECH HOLDRS TRUST						X		X			03/30/01	
BLUE CAPITAL ASSOCIATES INC	DE	X	X			X		X			04/03/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
BROADBAND HOLDERS TRUST										X	03/30/01		
BROADCOM CORP	CA									X	X	04/18/01	
B2B INTERNET HOLDRSSM TRUST	NY									X		03/30/01	
CALENERGY MINERALS LLC	DE					X			X			04/19/01	
CALENERGY OPERATING CORP	DE					X			X			04/19/01	
CALPINE CORP	DE		X						X			04/19/01	
CANADIAN DERIVATIVES CLEARING CORP						X			X			04/05/01	
CANTERBURY INFORMATION TECHNOLOGY I NC	PA					X						04/16/01	
CASCADE CORP	OR					X						04/18/01	
CASUAL MALE CORP /MA/	MA		X						X			02/03/01	AMEND
CE SALTON SEA INC	DE					X			X			04/19/01	
CE TURBO LLC	DE					X			X			04/19/01	
CENDANT CORP	DE					X			X			04/18/01	
CENDANT CORP	DE					X			X			04/18/01	
CERIDIAN CORP /DE/	DE								X	X		04/18/01	
CHAMPION ENTERPRISES INC	MI					X			X			04/18/01	
CHINAWA COM INC	CA				X				X			03/15/01	
CHITTENDEN CORP /VT/	VT					X						04/19/01	
CHRISKEN PARTNERS CASH INCOME FUND L P	DE					X						04/02/01	
CIMNET INC/PA	DE					X			X			03/30/01	
CITIBANK SOUTH DAKOTA N A	DE		X									01/26/01	
CITIBANK SOUTH DAKOTA N A	DE		X									01/26/01	
CITICORP	DE					X						03/31/01	
CITY NATIONAL CORP	DE					X			X			04/17/01	
CLAIMSNET COM INC	DE					X			X			04/18/01	
CMC SECURITIES CORP II	DE					X			X			02/25/01	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE					X			X			04/17/01	
COMMAND SECURITY CORP	NY								X			04/18/01	
COMMERCIAL MORTGAGE PASS-THROUGH CE RTIFICATES SERIES 2000-C2	MO					X			X			04/12/01	
CONEJO ENERGY CO	CA					X			X			04/19/01	
CONSECO FINANCE CORP	DE								X			04/18/01	
CONSECO FINANCE SECURITIZATIONS CORP P	DE								X			04/18/01	
CONVERGENT COMMUNICATIONS INC /CO	CO					X			X			04/19/01	
CORNERSTONE REALTY INCOME TRUST INC	VA		X			X			X			04/04/01	
CORNING INC /NY	NY		X									04/18/01	
CORPORATE VISION INC /OK	OK								X			04/17/01	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 01-WM2	DE					X			X			04/30/01	
CROWN MEDIA HOLDINGS INC	DE					X			X			04/12/01	
CULLEN FROST BANKERS INC	TX									X		04/18/01	
CYBERIAN OUTPOST INC	DE					X			X			04/16/01	
DEAN FOODS CO	DE					X						04/19/01	
DEL RANCH LP	CA					X			X			04/19/01	
DELTA PETROLEUM CORP/CO	CO		X						X			04/13/01	
DORAL FINANCIAL CORP	PR					X			X			04/18/01	
DOSKOCIL MANUFACTURING CO INC	TX					X						02/28/01	AMEND
DUPONT E I DE NEMOURS & CO	DE					X						04/19/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
EGLOBE INC	DE			X							04/18/01	
ELMORE LP	CA				X	X					04/19/01	
ENTHEOS TECHNOLOGIES INC	NV					X					06/30/01	
EPLUS INC	DE				X						04/16/01	
EQUITYALERT COM INC	NV					X					06/30/01	
ERESOURCE CAPITAL GROUP INC	DE		X				X				04/03/01	
ESB FINANCIAL CORP	PA				X	X					04/17/01	
EURO TRADE FORFAIING INC	UT				X						04/18/01	
EUROPE 2001 HOLDRS TRUST	NY						X				03/30/01	
EXACT SCIENCES CORP	DE				X	X					04/18/01	
EXPRESS SCRIPTS INC	DE					^	X		X		04/18/01	
FACTUAL DATA CORP	CO				X						06/30/01	
FARM FAMILY HOLDINGS INC	DE				X		X				04/12/01	
FINANCIAL INTRANET INC/NY	NV		X		X	X					04/05/01	
FIRST ALLIANCE CORP /DE/	DE				X	X					04/12/01	
FIRST CHARTER CORP /NC/	NC						X		X		04/18/01	
FIRST FINANCIAL BANKSHARES INC	TX				X						04/10/01	
FIRST FINANCIAL HOLDINGS INC /DE/	DE				X	X					03/31/01	
FIRST INDIANA CORP	IN				X						04/17/01	
FIRST INDIANA CORP	IN								X		04/18/01	
FIRST INDIANA CORP	IN								X		04/18/01	AMEND
FIRST MERCHANTS CORP	IN		X								04/19/01	
FIRST MIDWEST BANCORP INC	DE					X	X				04/18/01	
FIRST NATIONAL BANK OF ATLANTA						X	X				03/15/01	
FIRST NATIONAL BANK OF ATLANTA						X	X				03/15/01	
FIRST PLACE FINANCIAL CORP /DE/	DE					X					04/17/01	
FIRST SIERRA RECEIVABLES II INC	DE		X				X				03/01/01	
FISH LAKE POWER LLC	DE				X	X					04/19/01	
FORD MOTOR CO	DE				X	X					04/19/01	
FORD MOTOR CREDIT CO	DE				X	X					04/19/01	
FORTUNE BRANDS INC	DE				X	X					04/19/01	
FORTUNE NATURAL RESOURCES CORP	DE				X	X					04/17/01	
GALENA ACQUISITION CORP	DE		X		X						03/30/01	
GENERAL MOTORS CORP	DE				X						04/18/01	
GENERAL MOTORS CORP	DE				X						04/18/01	AMEND
GENERAL SEMICONDUCTOR INC	DE				X	X					04/06/01	
GEORGIA PACIFIC CORP	GA				X	X					04/19/01	
GIGA INFORMATION GROUP INC	DE				X	X					04/19/01	
GRAND SLAM TREASURES INC	NV		X	X	X	X					04/12/01	
GREATER COMMUNITY BANCORP	NJ		X								04/18/01	
GREENPOINT CREDIT MAN HOUSING CONT	CA				X	X					03/29/01	
TR PAS THR CERT SER 01 1												
GULFMARK OFFSHORE INC	DE				X						04/19/01	
HANMI FINANCIAL CORP	DE				X						04/18/01	
HARRAHS ENTERTAINMENT INC	DE				X	X					04/18/01	
HCNB BANCORP INC	MD				X						04/12/01	
HEILIG MEYERS CO	VA				X	X					04/11/01	
HELLER FINANCIAL INC	DE				X	X					04/18/01	
HERITAGE BANKSHARES INC /VA	VA				X	X					04/06/01	AMEND
HEWLETT PACKARD CO	DE				X	X					04/18/01	
HEXCEL CORP /DE/	DE				X	X					04/19/01	
HOMEPLACE OF AMERICA INC				X			X				01/31/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HORSESHOE GAMING HOLDING CORP	DE					X		X			04/12/01	
HORTON D R INC /DE/	DE					X					04/18/01	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	NV	X									04/19/01	
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	NV	X									04/19/01	
HOUSEHOLD AUTOMOTIVE TRUST IV SERIE S 2000-1	DE	X									04/18/01	
HOUSEHOLD AUTOMOTIVE TRUST V SERIES 2000 2	DE	X									04/18/01	
HOUSEHOLD AUTOMOTIVE TRUST VI SERIE S 2000 3	NV	X									04/18/01	
HOUSEHOLD AUTOMOTIVE TRUST 2001-1	DE	X									04/18/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE	X									04/19/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD CO NSUMER LN TRUST 1995-1 /	DE	X									03/06/01	
HOUSEHOLD INTERNATIONAL INC	DE					X		X			03/31/01	
HUGHES ELECTRONICS CORP	DE		X					X			04/03/01	
HUNTINGTON BANCSHARES INC/MD	MD					X		X			04/17/01	
HUNTINGTON BANCSHARES INC/MD	MD					X					04/19/01	
ID SYSTEMS INC	DE					X					03/30/01	
INFORETECH WIRELESS TECHNOLOGY INC	NV							X			01/12/01	AMEND
INFOUSA INC	DE							X	X		04/16/01	
INSITUFORM TECHNOLOGIES INC	DE							X			02/28/01	AMEND
INTEGRAL VISION INC	MI					X					04/17/01	
INTEL CORP	DE					X		X			04/17/01	
INTERNATIONAL BUSINESS MACHINES COR P	NY					X					04/18/01	
INTERNATIONAL PAPER CO /NEW/ INTERNET ARCHITECTURE HOLDRSSM TRUS T	NY					X		X			03/30/01	
INTERNET HOLDRS TRUST	NY					X		X			03/30/01	
INTERNET INFRASTRUCTURE HOLDRSSM TR UST	NY							X			03/30/01	
INTERWOVEN INC	CA					X		X			04/17/01	
IRWIN FINANCIAL CORPORATION	IN		X								03/31/01	
ISONICS CORP	CA					X		X			04/17/01	
IVANHOE ENERGY INC						X					03/29/01	
IVILLAGE INC	DE					X		X			04/18/01	
I2 TECHNOLOGIES INC	DE					X		X			04/18/01	
I3 MOBILE INC	DE					X		X			04/16/01	
JOHNSON CONTROLS INC	WI					X		X			04/18/01	
KAISER GROUP HOLDINGS INC	DE					X		X			04/17/01	
KANKAKEE BANCORP INC	DE					X		X			04/18/01	
KEYCORP /NEW/ KEYSTONE PROPERTY TRUST	OH					X		X	X		04/18/01	
KFX INC	MD		X					X			04/18/01	
KINGDOM VISION NETWORK INC	DE					X		X			04/17/01	
KVH INDUSTRIES INC \DE\ KWIKWEB COM INC	CO				X						02/12/01	
LA-Z-BOY INC	DE								X		04/19/01	
	NV		X								04/19/01	
	MI							X			04/19/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
LEATHERS L P	CA				X	X					04/19/01	
LEVEL 3 COMMUNICATIONS INC	DE				X						04/18/01	
LIFEPOINT HOSPITALS INC	DE							X			04/18/01	
LOUDEYE TECHNOLOGIES INC					X	X					04/18/01	
MAINSRING INC	DE						X	X			04/19/01	
MANITOWOC CO INC	WI				X	X					04/16/01	
MANITOWOC CO INC	WI				X	X					04/17/01	
MARKET 2000 PLUS HOLDRS SM TRUST	NY				X	X					03/30/01	
MATTEL INC /DE/	DE				X	X					04/19/01	
MAVERICK TUBE CORPORATION	DE				X						04/18/01	
MBNA CORP	MD				X						04/18/01	
MEDAMICUS INC	MN						X	X			04/12/01	AMEND
MEDICAL DYNAMICS INC	CO				X	X					04/16/01	
MEDISYS TECHNOLOGIES INC	UT				X						04/10/01	
MELLON FINANCIAL CORP	PA				X	X					04/17/01	
MERIT MEDICAL SYSTEMS INC	UT				X						04/18/01	
MERRILL LYNCH & CO INC	DE				X	X					04/18/01	
METRIS MASTER TRUST	DE						X				04/18/00	
METRIS RECEIVABLES INC	DE						X				04/18/00	
MNB BANCSHARES INC	DE				X						04/19/01	
NATIONAL MERCANTILE BANCORP	CA				X	X					04/16/01	
NEWPORT CORP	NV		X				X				02/02/01	AMEND
NEXTHEALTH INC	DE				X	X					04/16/01	
NIGUEL ENERGY CO	CA				X	X					04/19/01	
NISSAN AUTO RECEIVABLES CORP II	DE				X	X					04/16/01	
NORTH FORK BANCORPORATION INC	DE				X	X					04/16/01	
NORTHEAST INDIANA BANCORP INC	DE				X	X					03/31/01	
NS GROUP INC	KY						X	X			04/18/01	
OIL SERVICE HOLDERS TRUST	NY				X	X					03/30/01	
OPEN TEXT CORP					X		X				04/05/01	
OPHIDIAN PHARMACEUTICALS INC	DE						X				04/16/01	
PARTNERS FIRST CREDIT CARD MASTER T RUST	DE						X				03/15/01	
PARTNERS FIRST CREDIT CARD MASTER T RUST	DE				X	X					03/15/01	
PATHMARK STORES INC	DE				X						04/04/01	
PEN HOLDINGS INC	TN				X	X					04/10/01	
PETROQUEST ENERGY INC	DE				X						04/19/01	
PHARMACEUTICAL HOLDRS TRUST							X				03/30/01	
PITNEY BOWES INC /DE/	DE	X									03/31/01	
PITNEY BOWES INC /DE/	DE	X									04/18/01	
PITNEY BOWES INC /DE/	DE	X									04/18/01	
PLAINS ALL AMERICAN PIPELINE LP	DE					X					04/19/01	
PNC MORT SEC CORP COM MORT PASS THR CERT SER 2000-C1	MO				X	X					04/16/01	AMEND
PNC MORTGAGE ACCEPTANCE CORP	MO				X	X					04/12/01	
POLAROID CORP	DE				X	X					04/19/01	
POWERTEL INC /DE/	DE				X	X					04/19/01	
PREMIERWEST BANCORP	OR		X								04/16/01	
PROCARE INDUSTRIES LTD	CO						X				03/14/01	
PROGRESS FINANCIAL CORP	DE										04/18/01	
PROTECTION ONE ALARM MONITORING INC	DE				X	X					04/16/01	