

SEC NEWS DIGEST

Issue 2001-31

February 14, 2001

ENFORCEMENT PROCEEDINGS

COMPUTRON SOFTWARE, INC., CURRENTLY NAMED AXS-ONE INC., IS ORDERED TO CEASE AND DESIST FROM COMMITTING OR CAUSING ANY VIOLATION OF THE ANTIFRAUD, PERIODIC REPORTING, BOOKS AND RECORDS AND INTERNAL CONTROL PROVISIONS OF THE FEDERAL SECURITIES LAWS

On February 14, the Commission instituted public administrative proceedings against Computron Software, Inc., currently named AXS-One Inc. (Computron), for violating antifraud and other provisions of the federal securities laws by improperly recording over \$9 million in revenue on financial statements contained in 1995 and 1996 public filings. Simultaneously with the institution of these proceedings, the Commission accepted a settlement offer from Computron in which Computron consented, without admitting or denying the Commission's findings, to the entry of an order directing Computron to cease and desist from committing or causing any violation, and from committing or causing any future violation, of Section 17(a) of the Securities Act of 1933 and Sections 10(b), 13(a) and 13(b)(2) of the Securities Exchange Act of 1934, and Rules 10b-5, 12b-20, 13a-1 and 13a-13 thereunder. Computron also agreed to comply with undertakings that it cooperate in the Commission's continuing investigation into this matter.

Specifically, the Commission's order finds that Computron, which designs and markets software products and services, overstated software revenue and net income on its books and records and in financial statements contained in various Commission filings. In connection with its initial public offering in August 1995, Computron filed a registration statement with the Commission that included financial statements that materially overstated revenue and net income for the years ended December 31, 1992, 1993, 1994 and interim periods within 1993, 1994 and the first half of 1995. Computron also subsequently inflated revenue and net income in filings pertaining to quarterly and annual periods through the quarter ended September 30, 1996.

The Commission found that these misstatements resulted from a lengthy financial fraud which included, among other improper practices, the: (i) creation of duplicate contracts containing conflicting payments terms; (ii) improper dating of sales contracts to accelerate revenue recognition; and (iii) use of side letters to commit Computron to

provide free services, alter payment terms contained in sales contracts or promise to deliver software that was not yet fully developed.

In April 1997, Computron restated revenue and related line items in its financial statements filed with the Commission for the years ended December 31, 1992, 1993, 1994 and 1995 and interim periods therein, and the quarters ended September 30, 1995, March 31, 1996, June 30, 1996 and September 30, 1996. In connection with this restatement, Computron reversed or shifted to later reporting periods a total of approximately \$9 million in software sales revenue that it had improperly recorded as a result of the practices described above. (Rels 33-7953; 34-43959; AAE Rel. 1370, File No. 3-10420)

SEC OBTAINS DEFAULT JUDGMENTS AGAINST TAC INTERNATIONAL LTD. AND ITS OWNERS FOR “PRIME BANK” FRAUD; JUDGE ORDERS THAT \$10.9 MILLION BE DISGORGED

On January 31, 2001, the Commission obtained default judgments against TAC International Ltd, its former president and owner, Douglas R. Walker, and its current president and owner, Craig Southwood, in a civil action involving the sale of fraudulent “prime bank” securities which duped investors out of millions of dollars. Chief U.S. District Judge Graham C. Mullen of the U.S. District Court for the Western District of North Carolina found that TAC, Southwood and Walker violated the anti-fraud provisions of the federal securities laws. Chief Judge Mullen enjoined the defendants from future violations, ordered them to disgorge approximately \$10.9 million, imposed civil monetary penalties against them totaling \$770,000, and ordered them to produce a written, specific accounting of all proceeds obtained by them as a result of their conduct. Chief Judge Mullen also entered a final judgment against Jan Harry “Jack” Wilde, formerly one of TAC’s national vice-presidents, enjoining Wilde from future violations

The Commission’s action, filed on February 7, 2000, alleged that from the summer of 1996 until August of 1997, TAC, a Bahamas corporation, and its senior officers, represented that by buying a Bahamian International Business Corporation (IBC), investors could participate in certain securities trading programs not available in the United States. These trading programs supposedly enabled investors to obtain phenomenal returns, at no risk to principal, by participating in purported trading in high yield debentures between and among banks. The complaint alleged that the defendants did not engage in any trading, but instead used the money they procured from investors to pay for their lifestyles and personal expenses. According to the complaint, the defendants defrauded thousands of United States residents, who each entrusted the defendants with investments of at least \$1,500 each.

The default judgments entered against TAC, Southwood and Walker find that each of them violated the antifraud provisions of the federal securities laws, Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder, permanently enjoin each of them from engaging in future violations of those provisions, and require each of them to account for and disgorge

\$8,419,367.70, representing profits gained as a result of the conduct alleged in the complaint, together with prejudgment interest thereon in the amount of \$2,579,087.26, for a total of \$10,998,454.96. The Court also ordered TAC, Southwood and Walker to pay civil penalties of \$550,000, \$110,000 and \$110,000, respectively.

Wilde consented, without admitting or denying the allegations of the complaint, to the entry of a final judgment permanently enjoining him from his violative conduct, waiving disgorgement and declining to impose a civil monetary penalty based on his demonstrated inability to pay. TAC, Southwood, Walker and Wilde were the only remaining defendants in this matter. [SEC v TAC International Limited, et al., 3:00CV54MU, GCM, WDNC] (LR-16896)

TWO ARRESTED IN \$600,000 PRIME BANK SCHEME

On February 8, Massachusetts residents Edward J. Paradis, Jr. and Walter R. Snyder, Jr. were arrested on charges of mail fraud, wire fraud and conspiracy in connection with a prime bank scheme conducted between January 1995 and March 1998 in which they raised more than \$600,000 from four individuals. The arrests followed the unsealing of an indictment in the District of Massachusetts which charged that Paradis and Snyder falsely told the individuals that they could obtain funding for business projects by acquiring letters of credit from international "prime banks." Paradis and Snyder falsely represented that the business funding could be obtained only if the individuals made substantial up-front payments to Snyder and Paradis to pay fees charged by the "prime banks" issuing the letters of credit. The payments were to be maintained in escrow accounts administered by Snyder and refunded if the promised financing did not materialize within seven to thirty days. Contrary to these assurances, Paradis and Snyder used the funds for their own benefit and that of their relatives, and to pay for items such as rent, clothing, gas and a hairdressing salon.

In an earlier, related proceeding, the Securities and Exchange Commission filed a civil enforcement action against Paradis and Snyder on April 13, 1998 alleging that they violated the antifraud provisions of the federal securities laws in connection with the scheme described above. On March 11, 1999 and June 22, 1999, the United States District Court for the District of Massachusetts entered final judgments against Snyder and Paradis permanently enjoining them from violating Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities and Exchange Act of 1934 and Rule 10b-5 thereunder, requiring disgorgement and imposing monetary penalties. [U.S. v. Edward J. Paradis, Jr. and Walter R. Snyder, Jr., Criminal No. 4:01-CR-40006-NMG, USDC, D.MA] (LR-16897)

FEDERAL JUDGE ENTERS DEFAULT JUDGMENT IN INTERNET-BASED PYRAMID SCHEME

The Commission announced today that the Honorable Jack T. Camp, United States District Court Judge for the Northern District of Georgia, entered a Default Judgment As To Defendant Future Strategies Srl a/k/a Future Strategies International (Future

Strategies), an Italian entity headquartered in Carpi, Modena, Italy. The Default Judgment restrained and enjoined Future Strategies from further violations of the registration and antifraud provisions of the federal securities laws. The Court also ordered disgorgement against Future Strategies in the amount of \$950,073, including prejudgment interest in the amount of \$184,073, and imposed a civil penalty of \$550,000.

The Commission's complaint alleged that Future Strategies operated a worldwide pyramid scheme, known as "Pentagono," promoted over the Internet. The Commission's complaint alleged that, from at least May 1998, to May 1999, Future Strategies solicited United States residents to purchase Pentagono "Certificates," which served as a vehicle for transferring investors' funds to prior investors and to Future Strategies. Thousands of United States participants invested in this scheme. The complaint alleged that Future Strategies, as part of its solicitation, claimed that an investor could earn up to \$116,400 from an investment of approximately \$120 through Pentagono. [SEC v. Future Strategies Srl a/k/a Future Strategies International, USDC, NDGA, Civil Action No. 1:99-CV-1199-JTC] (LR-16898)

INVESTMENT COMPANY ACT RELEASES

GFINET, INC. AND MAGNETIC HOLDINGS INTERNATIONAL (DE) LLC

A notice has been issued giving interested persons until March 12, 2001, to request a hearing on an application filed by GFINet inc. and Magnetic Holdings International (DE) LLC, for an order under Sections 6(b) and 6(e) of the Investment Company Act. The order would exempt an employees' securities company formed for the benefit of key employees of GFINet inc. from certain provisions of the Act. (Rel. IC-24857 - February 13)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the National Association of Securities Dealers to extend a pilot program that makes available certain Nasdaq services and facilities until 6:30 P.M. (SR-NASD-01-12) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of February 19. (Rel. 34-43953)

DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the 6.00% Convertible Subordinated Debentures, due

November 1, 2015 of Phoenix Investment Partners, Ltd., effective at the opening of business of February 14, 2001. (Rel 34-43955)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C 20549 or at the following e-mail box address: <publicinfo@sec> In most cases, this information is also available on the Commission's website: <www.sec.gov>

F-3 ELAN CORP PLC, LINCOLN HOUSE LINCOLN PLACE, COUNTY WESTMEATH, DUBLIN 2 IRELAND, L2 (353) 709-4000 - 2,500,000,000 (\$2,500,000,000) STRAIGHT BONDS. (FILE 333-13130 - FEB. 06) (BR. 1)

F-3 GILAT COMMUNICATIONS LTD, 21/D YAGIA KAPAIM ST, P O BOX 3675, PETACH TLKVA, L3 00000 - 295,454 (\$987,909.54) FOREIGN COMMON STOCK. (FILE 333-13132 - FEB. 06) (BR. 7)

S-8 UNILEVER N V, WEENA 455, 3013 AL ROTTERDAM, THE NETHERLANDS, P7 - 1,252,454 (\$49,999,979.85) FOREIGN COMMON STOCK. (FILE 333-13136 - FEB. 06) (BR. 4)

SB-2 SUNDEW INTERNATIONAL INC, 1422 CHESTNUT STREET, SUITE 410, PHILADELPHIA, PA 19102 (215) 569-9176 - 50,000 (\$25,000) COMMON STOCK. (FILE 333-55116 - FEB. 02) (BR. 9 - NEW ISSUE)

S-8 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000 - 150,000 (\$8,047,500) COMMON STOCK. (FILE 333-55118 - FEB. 07) (BR. 5)

S-8 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000 - 100,000 (\$5,365,000) COMMON STOCK. (FILE 333-55122 - FEB. 07) (BR. 5)

S-8 NATIONAL FUEL GAS CO, 10 LAFAYETTE SQ, BUFFALO, NY 14203 (716) 857-6980 - 1,900,000 (\$99,911,500) COMMON STOCK. (FILE 333-55124 - FEB. 07) (BR. 2)

S-8 GENZYME CORP, ONE KENDALL SQ, CAMBRIDGE, MA 02139 (617) 252-7500 - 120,000 (\$10,080,000) COMMON STOCK. (FILE 333-55126 - FEB. 07) (BR. 1)

S-8 FLORIDA ROCK INDUSTRIES INC, 155 EAST 21ST STREET, JACKSONVILLE, FL 32206 (904) 355-1781 - 500,000 (\$19,837,500) COMMON STOCK. (FILE 333-55128 - FEB. 07) (BR. 6)

S-8 RADVISION LTD, 24 RAOUL WALLENBERG ST, TEL AVIV ISRAEL, TEL AVIV, (011) 972-3645 - 894,945 (\$11,690,219.06) FOREIGN COMMON STOCK. (FILE 333-55130 - FEB. 07) (BR. 3)

S-8 FRP PROPERTIES INC, 155 EAST 21ST STREET, JACKSONVILLE, FL 32206 (904) 355-1781 - 500,000 (\$9,000,000) COMMON STOCK. (FILE 333-55132 - FEB. 07) (BR. 5)

S-8 WORLDNET RESOURCES GROUP INC, 140 WEST 9000 SOUTH SUITE 3, SANDY, UT 84070 (702) 866-5800 - 5,500,000 (\$1,017,500) COMMON STOCK. (FILE 333-55134 - FEB. 07) (BR. 9)

S-3 BALLY TOTAL FITNESS HOLDING CORP, 8700 WEST BRYN MAWR AVENUE, C/O BALLY TOTAL FITNESS, CHICAGO, IL 60631 (773) 399-7656 - 4,600,000 (\$136,436,000) COMMON STOCK. (FILE 333-55136 - FEB. 07) (BR. 5)

S-3 MEDIACOM COMMUNICATIONS CORP, 100 CRYSTAL RUN ROAD, MIDDLETOWN, NY 10941 (914) 695-2600 (FILE 333-55138 - FEB. 07) (BR. 7)

S-8 LUBYS INC, 2211 NE LOOP 410, P O BOX 33069, SAN ANTONIO, TX 78265 (210) 654-9000 - 100,000 (\$605,000) COMMON STOCK. (FILE 333-55140 - FEB. 07) (BR. 5)

S-3 NORTHEAST UTILITIES SYSTEM, 174 BRUSH HILL AVE, WEST SPRINGFIELD, MA 01090 (413) 785-5871 - 600,000,000 (\$600,000,000) STRAIGHT BONDS. (FILE 333-55142 - FEB. 07) (BR. 2)

S-3 CONTINENTAL AIRLINES INC /DE/, 1600 SMITH STREET 3303D, DEPT HQSEO, HOUSTON, TX 77002 (713) 324-5000 - 5,000,000 (\$250,000,000) PREFERRED STOCK. (FILE 333-55144 - FEB. 07) (BR. 5)

S-8 ASHFORD COM INC, 3800 BUFFALO SPEEDWAY SUITE 400, HOUSTON, TX 77098 (713) 369-1300 - 3,504,500 (\$2,312,970) COMMON STOCK. (FILE 333-55146 - FEB. 07) (BR. 2)

S-8 PREVIO INC, 12636 HIGH BLUFF DRIVE, SAN DIEGO, CA 92130 (619) 794-4300 - 500,000 (\$2,093,750) COMMON STOCK. (FILE 333-55148 - FEB. 07) (BR. 3)

S-3 AURORA BIOSCIENCES CORP, 11010 TORREYANA ROAD, SAN DIEGO, CA 92121 (619) -40-4663 - 81,507 (\$2,206,394.49) COMMON STOCK. (FILE 333-55150 - FEB. 07) (BR. 1)

S-8 OTG SOFTWARE INC, 6701 DEMOCRACY BLVD 8TH FLOOR, BETHESDA, MD 20817
(301) 897-1400 - 834,071 (\$9,750,290) COMMON STOCK. (FILE 333-55152 -
FEB. 07) (BR. 3)

S-8 ATS MEDICAL INC, 3905 ANNAPOLIS LA, SUITE 105, MINNEAPOLIS, MN 55447
(612) 553-7736 - 1,000,000 (\$11,492,200) COMMON STOCK. (FILE 333-55154
FEB. 07) (BR. 5)

S-8 STERICYCLE INC, 28161 NORTH KEITH DRIVE, SUITE 410, LAKE FOREST, IL
60045 (847) 945-6550 - 750,000 (\$23,745,000) COMMON STOCK. (FILE
333-55156 - FEB. 07) (BR. 6)

S-3 CELL PATHWAYS HOLDINGS INC, 702 ELECTRONIC DRIVE, HORSHAM, PA 19044
(215) 706-3800 - \$25,000,000 COMMON STOCK. (FILE 333-55158 - FEB. 07)
(BR. 1)

S-3 REALNETWORKS INC, 2601 ELLIOTT AVENUE, STE 1000, SEATTLE, WA 98121
(206) 674-2700 - 669,345 (\$5,731,601) COMMON STOCK. (FILE 333-55160 -
FEB. 07) (BR. 3)

SB-2 BBJ ENVIRONMENTAL TECHNOLOGIES INC, 6802 CITICORP BLVD SUITE 500,
TAMPA,
FL 74137 (918) 481-3339 - 9,381,535 (\$798,153.50) COMMON STOCK. (FILE
333-55162 - FEB. 07) (BR. 2)

S-8 PERCEPTRON INC/MI, 47827 HALYARD DRIVE, PLYMOUTH, MI 48170
(313) 414-4816 - 255,000 (\$382,500) COMMON STOCK. (FILE 333-55164 -
FEB. 07) (BR. 5)

S-2 SMI PRODUCTS INC, 1495 RIDGEVIEW DRIVE, SUITE 220, RENO, NV 89509
(775) 827-6300 - 2,516,000 (\$25,160) COMMON STOCK. (FILE 333-55166 -
FEB. 07) (NEW ISSUE)

S-4 COAST HOTELS & CASINOS INC, 4500 W TROPICANA AVE, LAS VEGAS, NV
89103
(702) 365-7000 - 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 333-
55170
- FEB. 07) (BR. 5)

S-8 BONE CARE INTERNATIONAL INC, ONE SCIENCE CT, MADISON, WI 53711
(608) 274-2663 - 600,000 (\$9,656,400) COMMON STOCK. (FILE 333-55174 -
FEB. 07) (BR. 1)

S-8 CORILLIAN CORP, 3400 NW JOHN OLSEN PLACE, HILLSBORO, OR 97124
(503) 627-0729 - 41,581 (\$279,008.51) COMMON STOCK. (FILE 333-55176 -
FEB. 07) (BR. 3)

SB-2 FOCUS ENHANCEMENTS INC, 600 RESEARCH DRIVE, WILMINGTON, MA 10887
(617) 938-8088 - 6,857,155 (\$11,040,019) COMMON STOCK. (FILE 333-55178
FEB. 07) (BR. 3)

S-8 SALES ONLINE DIRECT INC, 4 BRUSSELS STREET, SUITE 220, WORCESTER, MA
01610 (516) 625-4040 - 3,000,000 (\$1,020,000) COMMON STOCK. (FILE
333-55180 - FEB. 07) (BR. 8)

S-8 JDS UNIPHASE CORP /CA/, 210 BAYPOINTE PKWY, SAN JOSE, CA 95134
 (408) 434-1800 - 121,176 (\$6,047,439.75) COMMON STOCK. (FILE 333-55182

FEB. 07) (BR. 5)

SB-2 LAZYGROCER COM, 41 YORK ST., 3RD FL. K1N 5S7, OTTOWA ONTARIO,
 (613) 241-6185 - \$4,733,535 STRAIGHT BONDS. (FILE 333-55184 - FEB. 07)
 (NEW ISSUE)

S-8 HITSGALORE COM INC, 10134 6TH ST, STE J, RANCHO CUCAMONGA, CA 91730
 (909) 481-8821 - 50,000,000 (\$50,000) COMMON STOCK. (FILE 333-55186 -
 FEB. 08) (BR. 1)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website: <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT | |
|------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|------|----------|-------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| AFLAC INC | GA | | | | | X | | X | | | | 02/13/01 | |
| AIRPLANES LTD | DE | | | | | X | | | | | | 02/13/01 | |
| AIRPLANES US TRUST | DE | | | | | X | | | | | | 02/13/01 | |
| ALLBERRY INC | DE | | | | | | | X | | | | 05/05/00 | |
| ALLSTATE CORP | DE | | | | | X | | X | | | | 02/08/01 | |
| AMERICAN EAGLE OUTFITTERS INC | DE | | | | | | | X | | | | 12/01/00 | AMEND |
| AMERICAN WAGERING INC | NV | | | | | X | | X | | | | 01/16/01 | |
| ANGELAUDIO COM INC /DE/ | NV | | | | X | | | X | | | | 02/12/01 | AMEND |
| APPLEBEES INTERNATIONAL INC | DE | | | | | X | | | | | | 02/13/01 | |
| ARGONAUT TECHNOLOGIES INC | DE | | | | | | | X | | X | | 01/31/01 | |
| ARROW ELECTRONICS INC | NY | | | | | X | | X | | X | | 02/13/01 | |
| ASSISTED LIVING CONCEPTS INC | NV | | | | | X | | X | | | | 02/12/01 | |
| ATI NETWORKS INC /CO/ | CO | | X | | | | | | | | | 12/31/00 | |
| ATLANTIC COAST AIRLINES HOLDINGS IN C | DE | | X | | | | | | | | | 02/02/01 | |
| AVNET INC | NY | | | | | X | | X | | | | 02/12/01 | |

| NAME OF ISSUER | STATE | BK ITEM NO. | | | | | | | | | DATE | COMMENT |
|-----------------------------------------------------|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| BAKER HUGHES INC | DE | | X | | | | | X | | | 11/30/00 | AMEND |
| BALDWIN PIANO & ORGAN CO /DE/ | DE | | X | | | | | X | | | 01/26/01 | |
| BANCFIRST CORP /OK/ | OK | | | | | | | | X | | 02/12/01 | |
| BARTON BEERS LTD | MD | | | | | | | X | | | 05/05/00 | |
| BARTON BRANDS LTD /DE/ | DE | | | | | | | X | | | 05/05/00 | |
| BARTON BRANDS OF CALIFORNIA INC | CT | | | | | | | X | | | 05/05/00 | |
| BARTON BRANDS OF GEORGIA INC | GA | | | | | | | X | | | 05/05/00 | |
| BARTON CANADA LTD | DE | | | | | | | X | | | 05/05/00 | |
| BARTON DISTILLERS IMPORT CORP | NY | | | | | | | X | | | 05/05/00 | |
| BARTON FINANCIAL CORP | DE | | | | | | | X | | | 05/05/00 | |
| BARTON INC | DE | | | | | | | X | | | 05/05/00 | |
| BATAVIA WINE CELLARS INC | NY | | | | | | | X | | | 05/05/00 | |
| BECTON DICKINSON & CO | NJ | | | | | | | | X | | 02/01/01 | AMEND |
| BETHLEHEM CORP | PA | | | | | | | X | | | 12/14/00 | AMEND |
| BIFS TECHNOLOGIES CORP | | | X | | | | | | | | 02/13/01 | |
| BIOPOOL INTERNATIONAL INC | DE | | | | | X | | X | | | 02/08/01 | |
| BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP | VT | | X | | | | | X | | | 01/30/01 | |
| BROWN TOM INC /DE | DE | | | | | | | X | | | 01/12/01 | AMEND |
| BUSINESS MALL COM INC | NV | X | | | | | | | | | 01/24/01 | |
| BUSYBOX COM INC | DE | | | X | | | | | | | 02/07/01 | |
| CACI INTERNATIONAL INC /DE/ | DE | | X | | | | | X | | | 04/17/00 | AMEND |
| CANANDAIGUA EUROPE LTD | NY | | | | | | | X | | | 05/05/00 | |
| CANANDAIGUA LTD | NY | | | | | | | X | | | 05/05/00 | |
| CANANDAIGUA WINE CO INC /NY/ | NY | | | | | | | X | | | 05/05/00 | |
| CANDANAIGUA B V | DE | | | | | | | X | | | 05/05/00 | |
| CAPSULE COMMUNICATIONS INC DE | DE | | | | | X | | X | | | 02/09/01 | |
| CELOX LABORATORIES INC | MN | | | | | X | | X | | | 02/12/01 | |
| CENTRAL VERMONT PUBLIC SERVICE CORP | VT | | | | | X | | | | | 02/12/01 | |
| CHESAPEAKE ENERGY CORP | OK | | | | | X | | X | | | 02/12/01 | |
| CIDCO INC | DE | | | | | | | | X | | 02/12/01 | |
| CIPRICO INC | DE | | | | | X | | | | | 02/07/01 | |
| CITIZENS COMMUNICATIONS CO | DE | | | | | | | X | | | 11/30/00 | |
| CLOROX CO /DE/ | DE | | | | | X | | X | | | 02/01/01 | |
| CLOUD PEAK CORP | DE | | | | | | | X | | | 05/05/00 | |
| COLLEGELINK COM INCORP | DE | | | | | | | | X | | 02/13/01 | |
| COMMAND SYSTEMS INC | | | | | | X | | X | | | 02/12/01 | |
| COMMUNITY BANK SYSTEM INC | DE | X | | | | | | | | | 02/12/01 | |
| COM21 INC | DE | | | | | X | | | | | 12/31/00 | |
| CONAGRA FOODS INC /DE/ | DE | | | | | X | | X | | | 02/13/01 | |
| CONECTIV | DE | | | | | X | | | | | 02/12/01 | |
| CONSTELLATION BRANDS INC | DE | | | | | | | X | | | 05/05/00 | |
| CONVERGENT COMMUNICATIONS INC /CO | CO | | X | | | | | X | | | 01/26/01 | |
| DATAMEG CORP | NY | | | | | | | | X | | 06/30/00 | |
| DEERE & CO | DE | | | | | | | X | | | 02/13/01 | |
| DEERE JOHN CAPITAL CORP | DE | | | | | X | | X | | | 02/13/01 | |
| DIAMOND OFFSHORE DRILLING INC | DE | | | | | | | X | X | | 02/12/01 | |
| DIGITAL COURIER TECHNOLOGIES INC | DE | | | | | X | | | X | | 02/13/01 | |
| DIODES INC /DEL/ | DE | | X | | | | | X | | | 12/01/00 | AMEND |
| DIVINE INTERVENTURES INC | DE | | | | | X | | X | | | 02/12/01 | |
| DLJ MORTGAGE ACCEPTANCE CORP | DE | | | | | | | X | | | 01/29/01 | |
| DLJ MORTGAGE ACCEPTANCE CORP | DE | | | | | | | X | | | 01/30/01 | |
| DOVER CORP | DE | | | | | X | | X | | | 02/12/01 | |

| NAME OF ISSUER | STATE CODE | BK ITEM NO. | | | | | | | | | DATE | COMMENT |
|--------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| EAGLE CAPITAL INTERNATIONAL LTD | NV | | | | | X | | | | | 02/09/01 | |
| EINSTEIN NOAH BAGEL CORP | DE | | | | | X | X | | | | 02/12/01 | |
| EL PASO ENERGY PARTNERS LP | DE | X | | | | | X | | | | 02/12/01 | |
| ELDERTRUST | MD | X | | | | | X | | | | 01/31/01 | |
| ELDERTRUST | MD | | | | | X | | | | | 02/12/01 | |
| ELGIN TECHNOLOGIES INC | DE | | | | | X | | | | | 01/29/01 | |
| ENERGYNORTH NATURAL GAS INC | NH | | | | | | | X | | | 02/13/01 | |
| ENTREPORT CORP | FL | X | | | | | X | | | | 01/31/01 | |
| EPRESENCE INC | MA | | | | | | X | X | | | 02/12/01 | |
| FACTORY CARD OUTLET CORP | DE | | | | | X | X | | | | 02/02/01 | |
| FAIRFIELD COMMUNITIES INC | DE | | | | | X | X | | | | 02/12/01 | |
| FASTNET CORP | PA | | | | | | | | X | | 01/31/01 | |
| FBR ASSET INVESTMENT CORP/VA | VA | | | | | X | X | | | | 02/08/01 | |
| FETCHOMATIC GLOBAL INTERNET INC | NV | | | | | X | | | | | 02/01/01 | |
| FIRST COMMUNITY BANCSHARES INC /NV/ | NV | | | | | X | | | | | 12/31/00 | |
| FIRST FINANCIAL BANCORP /CA/ | CA | | | | | X | | | | | 12/31/00 | |
| FIRSTMARK CORP /ME/ | ME | | | | | X | X | | | | 02/09/01 | |
| FLEET BANK NATIONAL ASSOCIATION /RI / | RI | | | | | X | X | | | | 02/12/01 | |
| FLEET CREDIT CARD MASTER TRUST II | NY | | | | | X | X | | | | 02/12/01 | |
| FORD CREDIT AUTO RECEIVABLES TWO L P | DE | | | | | X | X | | | | 01/31/01 | |
| FRANCISCAN VINEYARDS INC | DE | | | | | | X | | | | 05/05/00 | |
| FRIEDMAN BILLINGS RAMSEY GROUP INC | VA | | | | | | | X | | | 02/13/01 | |
| FRITZ COMPANIES INC | DE | | | | | X | | | | | 02/28/01 | |
| GAIAM INC | CO | X | | | | | | | | | 01/29/01 | |
| GAMOGEN INC | NY | | | | | X | | | | | 02/07/01 | |
| GARDEN BOTANIKA INC | WA | | | | | X | | | | | 02/09/01 | |
| GATX CAPITAL CORP | DE | X | | | | | | | | | 02/13/01 | |
| GATX CORP | NY | | | | | X | | | | | 02/13/01 | |
| GLOBAL TECHNOLOGIES LTD | DE | | | | | X | | | | | 02/05/01 | |
| GLOBAL TELEPHONE COMMUNICATION INC /NV/ | NV | | | | | | | X | | | 02/06/01 | AMEND |
| GRANT PRIDECO INC | DE | | | | | | X | X | | | 02/12/01 | |
| GRANT PRIDECO INC | DE | | | | | | X | X | | | 02/13/01 | |
| GREAT TRAIN STORE CO | DE | | X | | | X | X | | | | 12/21/00 | |
| GREAT TRAIN STORE CO | DE | | X | | | X | X | | | | 12/21/00 | |
| GREATBIO TECHNOLOGIES INC | NV | X | | | | | | | | | 02/13/01 | AMEND |
| GRIFFIN LAND & NURSERIES INC | DE | X | | | | | X | | | | 01/26/01 | |
| HAROLDS STORES INC | OK | X | | | | | X | | | | 02/13/01 | |
| HEALTHCARE REALTY TRUST INC | MD | | | | | | X | X | | | 02/12/01 | AMEND |
| HEALTHRITE INC | DE | | | | | X | | | | | 02/12/01 | |
| HILTON HOTELS CORP | DE | | | | | X | X | | | | 02/09/01 | |
| HOLLYWOOD PARTNERS COM INC | DE | | | | X | | X | | | | 02/05/01 | |
| HOMETOWN AUTO RETAILERS INC | DE | | | | | X | X | | | | 02/09/01 | |
| HRPT PROPERTIES TRUST | MD | | | | | X | | | | | 02/12/01 | |
| HUDSON CITY BANCORP INC | DE | | | | | X | X | | | | 02/09/01 | |
| I OMAGIC CORP/CA | NV | | | | | X | | | | | 02/12/01 | |
| IGEN INTERNATIONAL INC /DE | DE | | | | | X | X | | | | 02/08/01 | |
| ILEX ONCOLOGY INC | DE | | | | | X | X | | | | 02/13/01 | |
| ILLUMINET HOLDINGS INC | DE | | | | | | X | X | | | 02/12/01 | |
| INCYTE GENOMICS INC | DE | X | | | | | | | | | 02/13/01 | |
| INFOWAVE SOFTWARE INC | | | | | | X | X | | | | 01/29/01 | |

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|-----------------------------------------------------------------|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| INSIGHT COMMUNICATIONS CO INC | DE | | | | | X | | X | | | 02/01/01 | |
| ISONICS CORP | CA | | | | | X | | X | | | 02/01/01 | |
| ITC LEARNING CORP | MD | | X | | | | | X | | | 12/15/00 | AMEND |
| IVOICE COM INC /DE | DE | | | | X | | | X | | | 02/12/01 | |
| J JILL GROUP INC | DE | | | | | X | | X | | | 02/06/01 | |
| JDS UNIPHASE CORP /CA/ | DE | | | | | | | X | | X | 02/13/01 | |
| JUMPMUSIC COM INC | NV | | | X | | X | | | | | 01/31/01 | |
| J2 GLOBAL COMMUNICATIONS INC | DE | | X | | | | | X | | | 11/29/00 | AMEND |
| J2 GLOBAL COMMUNICATIONS INC | DE | | | | | | | X | | X | 02/12/01 | |
| KRUG INTERNATIONAL CORP | OH | | X | | | | | X | | | 01/29/01 | |
| LAKES GAMING INC | MN | | | | | X | | X | | | 12/29/00 | |
| LEATHER FACTORY INC | DE | | | | | | | X | | | 11/30/00 | AMEND |
| LINC CAPITAL INC | DE | X | | | | | | | | | 02/12/01 | |
| LINCOLN INTERNATIONAL CORP | KY | | X | | | | | | | | 01/31/01 | |
| LOWES COMPANIES INC | NC | | | | | | | X | | X | 02/12/01 | |
| M V LEWIS CORP | DE | | | | | | | X | | | 05/05/00 | |
| MAGNA ENTERTAINMENT CORP | DE | | | | | X | | X | | | 02/09/01 | |
| MAIL COM INC | DE | | | | | X | | X | | | 02/08/01 | |
| MARKETING SPECIALISTS CORP | DE | | | | | X | | X | | | 02/07/01 | |
| MCN ENERGY GROUP INC | MI | | | | | X | | | | | 02/09/01 | |
| MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST | NY | | | | | X | | X | | | 02/09/01 | |
| MELTRONIX INC | CA | | | | X | | | | | | 02/06/01 | |
| MERCANTILE BANKSHARES CORP | MD | | | | | X | | | | | 02/02/01 | |
| MERITAGE HOSPITALITY GROUP INC /MI/ | MI | | | | | X | | | | | 02/09/01 | |
| MERRILL LYNCH DEP INC PUBLIC STEERS TRUST CERT SER 1998 H-Z3 | DE | | | | | X | | X | | | 02/01/01 | |
| METEOR INDUSTRIES INC | CO | | | | | X | | X | | | 01/11/01 | |
| METLIFE INC | DE | | | | | X | | X | | | 02/13/01 | |
| MIDLAND COGENERATION VENTURE LIMITE D PARTNERSHIP | MI | | | | | X | | | | | 01/29/01 | |
| MITY ENTERPRISES INC | UT | | | | | | | X | | X | 02/13/01 | |
| MOHAWK INDUSTRIES INC | DE | | | | | X | | X | | X | 02/08/01 | |
| MONARCH IMPORT CO | IL | | | | | | | X | | | 05/05/00 | |
| MONOGRAM PICTURES INC | NV | | | | | X | X | | | | 02/08/01 | |
| MORGAN STANLEY AIRCRAFT FINANCE | DE | | | | | X | | | | | 02/13/01 | |
| MORGAN STANLEY DEAN WITTER CAPITAL I TRUST 2001-PPM | DE | | X | | | | | X | | | 01/30/01 | |
| MT VEEDER CORP | DE | | | | | | | X | | | 05/05/00 | |
| MYRIENT INC | NV | | | | | X | | | | | 02/12/01 | |
| MYRIENT INC | NV | | | | | X | | | | | 02/12/01 | |
| NETGATEWAY INC | DE | | | | X | | | | | | 02/08/01 | |
| NETWORK CONNECTION INC | GA | | | | X | | | | | | 02/05/01 | |
| NEW YORK COMMUNITY BANCORP INC | DE | | | | | X | | X | | | 02/06/01 | |
| NEWFIELD EXPLORATION CO /DE/ | DE | | X | | | | | X | | | 01/23/01 | AMEND |
| NORTH FORK BANCORPORATION INC | DE | | | | | X | | X | | | 02/13/01 | |
| NORTHEAST INDIANA BANCORP INC | DE | | | | | X | | X | | | 02/09/01 | |
| NTELOS INC | VA | | X | | | | | X | | | 02/13/01 | |
| NUVOX INC /DE/ | DE | | | | | X | | X | | | 02/13/01 | |
| ONI SYSTEMS CORP | | | | | | X | | | | | 02/12/01 | |
| PERITUS SOFTWARE SERVICES INC | MA | | | | | X | | X | | | 02/08/01 | |
| PHILADELPHIA CONSOLIDATED HOLDING C ORP | PA | | | | | X | | X | | | 02/12/01 | |

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|----------------------------------------------------|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| PHOENIX RESTAURANT GROUP INC | GA | | X | | | | | | | | 01/26/01 | |
| PIXELWORKS INC | OR | | X | | | | | X | | | 01/30/01 | |
| PIZZA INN INC /MO/ | MO | X | | | | | | | | | 02/13/01 | |
| POLAROID CORP | DE | | | | | X | X | | | | 02/12/01 | |
| POLYPHENOLICS INC | NY | | | | | | X | | | | 05/05/00 | |
| POTOMAC ELECTRIC POWER CO | VA | | | | | X | X | | | | 02/09/01 | |
| POTOMAC ELECTRIC POWER CO | VA | | | | | X | | | | | 02/12/01 | |
| PREMIER FINANCIAL BANCORP INC | KY | X | | | | | | | | | 02/12/01 | |
| PROTECTION ONE INC | DE | | | | | X | X | | | | 02/13/01 | |
| PROTECTIVE LIFE CORP | DE | | | | | | | | X | | 02/13/01 | |
| PUBLIC SERVICE CO OF NORTH CAROLINA INC | SC | | | | | X | | | | | 12/30/00 | |
| PULTE CORP | MI | | | | | X | | | | | 02/12/01 | |
| QUESTAR MARKET RESOURCES INC | UT | | | | | X | | | | | 01/13/00 | |
| READY CAPITAL CORP | NY | X | | | | X | X | | | | 01/25/01 | |
| REDWOOD MORTGAGE INVESTORS VI | CA | X | | | | | | | | | 02/13/01 | |
| REDWOOD MORTGAGE INVESTORS VII | CA | X | | | | | | | | | 02/13/01 | |
| REDWOOD MORTGAGE INVESTORS VIII | CA | X | | | | | | | | | 02/13/01 | |
| REGENCY CENTERS CORP | FL | | | | | X | | | | | 02/12/01 | |
| REGENCY CENTERS CORP | FL | | | | | X | | | | | 02/12/01 | AMEND |
| REGENCY EQUITIES CORP | DE | | | | X | | X | | | | 02/05/01 | |
| REGENCY GROUP LTD INC | NV | | | | | | | | X | | 02/09/01 | |
| RENAISSANCE GOLF PRODUCTS INC | DE | | X | | | | | | | | 01/23/01 | |
| RESIDENTIAL ACCREDIT LOANS INC | DE | | | | | X | | | | | 01/25/01 | |
| RESIDENTIAL ACCREDIT LOANS INC | DE | | | | | | | X | | | 01/30/01 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | | X | | | | | 01/25/01 | |
| RESIDENTIAL ASSET SECURITIES CORP | DE | | | | | X | | | | | 01/25/01 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | X | | | | | 01/25/01 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | | | X | | | 01/30/01 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | | | X | | | 01/30/01 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | | | X | | | 01/30/01 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC | DE | | | | | X | | | | | 01/22/01 | |
| RIVIERA HOLDINGS CORP | NV | | | | | X | X | | | | 02/13/01 | |
| ROBERTS TRADING CORP | NY | | | | | | | X | | | 05/05/00 | |
| ROHN INDUSTRIES INC | DE | | | | | | | X | X | | 02/12/01 | |
| RPC INC | DE | | | | | X | X | | | | 02/12/01 | AMEND |
| RUSSELL-STANLEY HOLDINGS INC | DE | | | | | X | X | | | | 02/09/01 | |
| RYANS FAMILY STEAKHOUSES INC | SC | X | | | | | | | | | 01/03/01 | |
| SCOTSMAN HOLDINGS INC | DE | | | | | X | X | | | | 01/26/01 | |
| SENIOR CARE INDUSTRIES INC | NV | | | | X | X | | | | | 05/08/00 | AMEND |
| SENIOR CARE INDUSTRIES INC | NV | | | | X | | | | | | 01/30/01 | AMEND |
| SENIOR HOUSING PROPERTIES TRUST | MD | | X | | | | | X | | | 01/01/01 | |
| SEPTIMA ENTERPRISES INC | CO | X | | | | X | X | | | | 01/22/01 | |
| SEQUA CORP /DE/ | DE | | | | | X | X | | | | 02/09/01 | |
| SHELDAHL INC | MN | | | | X | | X | | | | 02/13/01 | |
| SIMON TRANSPORTATION SERVICES INC | NV | X | | | | | | | | | 09/30/01 | AMEND |
| SOUTHWEST GAS CORP | CA | | | | | | | X | | | 02/08/01 | |

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT | |
|-----------------------------------|-------|-------------|---|---|---|---|---|---|---|---|------|----------|-------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| SOVEREIGN BANCORP INC | PA | | | | | X | X | | | | | 02/09/01 | |
| STEVENS POINT BEVERAGE CO | WI | | | | | | X | | | | | 05/05/00 | |
| STRATESEC INC | DE | | | | | | X | | | | | 11/30/00 | AMEND |
| STROUDS INC | DE | | | | | X | X | | | | | 02/02/01 | |
| SYMPHONIX DEVICES INC | DE | | | | X | | | | | | | 02/12/01 | |
| TALK CITY INC | DE | | | | | X | | | | | | 01/01/01 | |
| TEAM COMMUNICATIONS GROUP INC | CA | | | | | X | X | | | | | 02/12/01 | |
| TORVEC INC | NY | X | | | | | | | | | | 02/12/01 | AMEND |
| TRSG CORP | DE | | | | X | | | | | | | 02/13/01 | |
| TRUE NORTH COMMUNICATIONS INC | DE | | | | | | | X | | | | 02/13/01 | |
| UAL CORP /DE/ | DE | | | | | | | X | | | | 02/14/01 | |
| UNITED STATES LIME & MINERALS INC | TX | | | | | X | X | | | | | 02/12/01 | |
| UNIVERSAL CORP /VA/ | VA | | | | | | | X | | | | 02/12/01 | |
| UNUMPROVIDENT CORP | DE | | | | | X | | | | | | 02/12/01 | |
| US AIRWAYS GROUP INC | DE | | | | | X | | X | | | | 02/13/01 | |
| US AIRWAYS INC | DE | | | | | X | | X | | | | 02/13/01 | |
| VECTREN CORP | IN | | | | | X | X | | | | | 02/12/01 | |
| VEGA ATLANTIC CORP/CO | CO | X | | | | | | X | | | | 02/12/01 | |
| VERADO HOLDINGS INC | DE | | | | | X | | | | | | 07/03/00 | |
| VERIDA INTERNET CORP | NV | | X | | | | | | | | | 02/13/01 | |
| VIROPHARMA INC | DE | | | | | X | X | | | | | 02/08/01 | |
| VITAFORT INTERNATIONAL CORP | DE | | | | X | | X | | | | | 02/05/01 | |
| WAMEX HOLDINGS INC | NY | | X | X | | X | X | | | | | 01/29/01 | AMEND |
| WARWICK COMMUNITY BANCORP INC | DE | | | | | | X | X | | X | | 02/13/01 | |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | X | X | | | | | 02/12/01 | |
| WILLIAMS SCOTSMAN INC | MD | | | | | X | X | | | | | 02/07/01 | |
| WJ COMMUNICATIONS INC | CA | | | | | X | | | | | | 02/12/01 | |
| WOMEN COM NETWORKS INC | DE | | | | | X | X | | | | | 02/05/01 | |
| WOODS EQUIPMENT CO | DE | | | | | X | | | | | | 02/07/01 | |
| WORLDWIDE XCEED GROUP INC | DE | | | | | X | | | | | | 02/09/01 | |
| XOMA LTD | AZ | | | | | X | X | | | | | 01/29/01 | AMEND |
| ZAPME CORP | DE | X | | | | | | | | | | 01/31/01 | |
| 3TEC ENERGY CORP | DE | | X | | | | | X | | | | 01/30/01 | |
| 5 G WIRELESS COMMUNICATIONS INC | NV | | X | | | X | | | | | | 02/05/01 | |