

SEC NEWS DIGEST

Issue 2001-16

January 24, 2001

ENFORCEMENT PROCEEDINGS

SEC FREEZES ASSETS OF OAKBROOK, ILLINOIS BROKER

The Commission announced that on December 5, 2000, Judge Castillo of the U S District Court for the Northern District of Illinois entered an order freezing the assets of Richard T Hammack (Hammack) of Oakbrook, Illinois until December 14, 2000. Earlier on the same day, the Commission filed a complaint and motion seeking a temporary emergency asset freeze. Judge Castillo also set a hearing date of December 14, 2000, to hear a motion to freeze Hammack's assets for the duration of the proceedings and to enter a preliminary injunction.

Hammack, a resident of Oakbrook, Illinois, was a broker in the local office of a brokerage firm. Judge Castillo's order was entered in response to the Commission's complaint and other supporting documents alleging that Hammack perpetrated a 22-month scheme in which he stole, through the false and forged documents, more than \$1.9 million from at least 56 investors who were his brokerage clients. [SEC v Richard T Hammack, Civil Action No. 00 C 7623, ND Ill., Castillo, J.] (LR-16868)

SEC SUES NEW JERSEY ACCOUNTANT FOR INSIDER TRADING IN THE SBC-SNET MERGER; DEFENDANT SETTLES BY PAYING MORE THAN \$350,000

On January 23, the Commission filed an insider trading action in the U S District Court for the Southern District of New York against New Jersey accountant Alan Myles Dornfeld in connection with his purchases of Southern New England Telecommunications Corp (SNET) common stock in advance of the January 5, 1998 public announcement of a merger between SBC Communications, Inc and SNET. The SEC alleges that Dornfeld violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

The complaint alleges that on December 22, 1997, Dornfeld received a call from a senior executive of SNET with knowledge of the proposed merger. Dornfeld was the long-time financial advisor to the SNET executive. Dornfeld was asked to perform calculations to determine the possible impact of an increase in SNET's stock price on the executive's

financial situation. From their conversation, Dornfeld discerned that a combination involving SNET was likely to occur in the very near future, and that the price of SNET was likely to increase significantly. The SEC alleges that Dornfeld misappropriated that information when, on December 22 and December 31, 1997, he bought a total of 12,000 shares of SNET stock at a cost of \$588,625. Dornfeld also recommended SNET to a relative. Based on Dornfeld's recommendation, his relative's spouse purchased 3,000 shares of SNET for \$144,000. On January 5, 1998, the day of the merger announcement, SNET's stock price increased 22%. Dornfeld sold all of his SNET shares and realized profits of \$132,937.50. His relative's spouse had profits of \$37,500.

Simultaneously with the filing of the complaint, the defendant agreed to settle the civil action by consenting, without admitting or denying the allegations in the complaint, to the entry of an order permanently enjoining him from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and ordering him to pay disgorgement, interest, and penalties totaling \$350,685.44.

The Commission acknowledges the assistance of the New York Stock Exchange in this matter [SEC v Alan Myles Dornfeld, No 01-CIV-0576 (JSR) (SDNY) (LR-16869)].

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the Municipal Securities Rulemaking Board (SR-MSRB-00-06) relating to municipal fund securities. Publication of the notice is expected in the Federal Register during the week of January 22 (Rel 34-43858).

IMMEDIATE EFFECTIVENESS OF PLAN AMENDMENT

An amendment to the Options Price Reporting Authority Plan (SR-OPRA-01-01) to establish certain notification requirements of the Plan processor and to make minor editorial revisions has become effective pursuant to Rule 11Aa3-2(c)(3)(iii) under the Securities Exchange Act of 1934 (Rel 34-43865).

DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$01 par value, of AMF Bowling, Inc., and the 10-7/8 Series B Senior Subordinated Notes, due March 15, 2006, and 12-1/4% Series B Senior Subordinated Discount Notes, due March 15, 2006, of AMF Bowling Worldwide, Inc., effective at the opening of business on January 23, 2001 (Rel 34-43868).

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$ 01 par value, of Pillowtex Corporation effective at the opening of business on January 23, 2001 (Rel 34-43869)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$ 01 par value, of e4L, Inc , effective at the opening of business on January 23, 2001 (Rel 34-43870)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$ 001 par value, of UniCapital Corporation effective at the opening of business on January 23, 2001 (Rel 34-43871)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the American Depositary Shares (each representing 3 Ordinary Participation Certificates, which represent 3 Class L Shares and 1 Series B Share) of Bufete Industrial, S A , effective at the opening of business on January 23, 2001 (Rel 34-43872)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$.01 par value, of Ballantyne of Omaha, Inc., effective at the opening of business on January 23, 2001 (Rel 34-43874)

SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS

The following is a list of significant no-action, exemptive and interpretative letters recently issued by the Division of Market Regulation. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, U S Securities and Exchange Commission, Washington, D C 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N W , Room 1024, Washington, D C , stating the name of the subject company, the Act and the Section of the Act to which it relates and the public availability date.

Listed below are significant interpretive, exemptive, or no-action letters issued by the Division of Market Regulation for the period October 1 through December 31, 2000

<u>Company</u>	<u>Exchange Act Section/Rule</u>	<u>Date Mailed</u>	<u>Date Available</u>
Putnam Retail Management, Inc	Section 15B, Rule 15c2-12	10/2/00	10/2/00

<u>Company</u>	<u>Exchange Act Section/Rule</u>	<u>Date Mailed</u>	<u>Date Available</u>
Progressive Technology Inc	Section 15(a)	10/11/00	10/11/00
BD Advantage, Inc	Section 15(a)	10/11/00	10/11/00
Unigraphics Solutions, Inc	Rule 14e-5	10/12/00	10/12/00
Federative Republic of Brazil	Reg M – Rule 101	10/13/00	10/13/00
Alliance Fund Distributors, Inc	Section 15B, Rule 15c2-12	10/20/00	10/20/00
UBS AG & UBS Warburg	Rule 14e-5	11/22/00	11/22/00
Massachusetts Municipal Wholesale Electric Company	Rule 15c2-12	11/27/00	11/27/00
Broker-to-Broker Networks, Inc	Section 15(a)	12/1/00	12/1/00
IShares S&P Global 100 Fund	Section 11(d)(1), 10a-1, 10b-10, 10b-17, 11d1-2, 14e-5, 15c1-5, 15c1-6; Reg M – Rules 101, 102	12/1/00	12/1/00
Jeffco Short Sale Relief	Rule 10a-1	12/7/00	12/7/00
e-Media, LLC	Section 15(a)	12/14/00	12/14/00

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

S-8 ZALE CORP, 901 W WALNUT HILL LN, IRVING, TX 75038 (972) 580-4000 -
1,850,000 (\$56,425,000) COMMON STOCK. (FILE 333-53802 - JAN. 17) (BR.
2)

S-8 ZALE CORP, 901 W WALNUT HILL LN, IRVING, TX 75038 (972) 580-4000 -
150,000 (\$4,575,000) COMMON STOCK. (FILE 333-53804 - JAN. 17) (BR. 2)

S-8 PNC FINANCIAL SERVICES GROUP INC, ONE PNC PLAZA, 249 FIFTH AVE,
PITTSBURGH, PA 15265 (412) 762-1553 - 22,000,000 (\$1,541,375,000)
COMMON STOCK. (FILE 333-53806 - JAN. 17) (BR. 7)

SB-2 MENTOR ON CALL INC, 11300 W. OLYMPIC BOULEVARD, SUITE 800, LOS
ANGELES,
CA 90064 (604) 688-6306 - 20,000,000 (\$4,664,000) COMMON STOCK. (FILE
333-53812 - JAN. 17) (BR. 9)

S-8 RADVISION LTD, 24 RAOUL WALLENBERG ST, TEL AVIV ISRAEL, TEL AVIV,
(011) 972-3645 - 636,477 (\$13,097,897.12) FOREIGN COMMON STOCK. (FILE
333-53814 - JAN. 17) (BR. 3)

S-8 PCSUPPORT COM INC, 3605 GILMORE WAY 3RD FL, BURNABY BRITISH
COLUMBIA,
CANADA V5G 4X5, (604) 419-4490 - 1,500,000 (\$1,062,643) COMMON STOCK.
(FILE 333-53816 - JAN. 17) (BR. 9)

S-4 US WEST CAPITAL FUNDING INC, 7800 E ORCHARD RD STE 480, ENGLEWOOD,
CO
80111 (303) 793-6629 - 3,000,000,000 (\$3,000,000,000) STRAIGHT BONDS.
(FILE 333-53818 - JAN. 17) (BR. 8)

S-1 ALTERNATIVE TECHNOLOGY RESOURCES INC, 629 J ST, SACRAMENTO, CA 95814
(916) 231-0400 - 20,604,773 (\$32,194,958) COMMON STOCK. (FILE 333-
53820 -
JAN. 17) (BR. 3)

S-4 COMMUNITY BANKS INC /PA/, 150 MARKET ST, MILLERSBURG, PA 17061
(717) 692-4781 - 1,341,534 (\$16,313,053) COMMON STOCK. (FILE 333-53822
-
JAN. 17) (BR. 7)

S-3 NEWPARK RESOURCES INC, 3850 N. CAUSEWAY BLVD, SUITE 1770, METAIRIE,
LA
70002 (504) 838-8222 - 5,758,422 (\$45,549,118) COMMON STOCK. (FILE
333-53824 - JAN. 17) (BR. 6)

S-3 FIFTH THIRD BANCORP, 38 FOUNTAIN SQ PLZ, FIFTH THIRD CENTER,
CINCINNATI,
OH 45263 (513) 579-5300 - 471,032 (\$25,996,256.08) COMMON STOCK. (FILE
333-53826 - JAN. 17) (BR. 7)

S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (718) 329-
4700
- 26,042 (\$1,180,028.13) COMMON STOCK. (FILE 333-53828 - JAN. 17) (BR.
5)

S-8 VERIZON COMMUNICATIONS INC, 1095 AVE OF THE AMERICAS, NEW YORK, NY
10036
(212) 395-2121 - 750,000 (\$40,757,850) COMMON STOCK. (FILE 333-53830 -
JAN. 17) (BR. 7)

S-3 COMPASS BANCSHARES INC, 15 SOUTH 20TH ST, P O BOX 10566, BIRMINGHAM,
AL
35233 (205) 933-3000 - 6,889 (\$166,851.58) COMMON STOCK. (FILE 333-
53832 -
JAN. 17) (BR. 7)

SB-2 THEREDYMAN COM INC, 7160 SHORELINE DRIVE, STE. 310, LA JOLLA, CA
92123
(619) 546-3848 - 5,000,000 (\$500,000) COMMON STOCK. (FILE 333-53834 -
JAN. 17) (NEW ISSUE)

S-8 INTERSPACE ENTERPRISES INC, 7825 FAY AVENUE, #200, LA JOLLA, CA
92037
(858) 456-3539 - 700,000 (\$147,000) COMMON STOCK. (FILE 333-53838 -
JAN. 17) (BR. 9)

S-8 AMERICAN SCIENCE & ENGINEERING INC, 829 MIDDLESEX TURNPIKE,
40 ERIE STREET, BILLERICA, MA 01821 (978) 262-9700 - 440,000
(\$1,993,750)
COMMON STOCK. (FILE 333-53842 - JAN. 17) (BR. 5)

S-8 NX NETWORKS INC, 13595 DULLES TECHNOLOGY DR, HERNDON, VA 20171
(703) 742-6000 - 1,799,714 (\$2,933,533.82) COMMON STOCK. (FILE 333-
53844 -
JAN. 17) (BR. 3)

S-4 IES UTILITIES INC, 200 FIRST ST SE, IES TOWER, CEDAR RAPIDS, IA
52401
(319) 398-4411 - 761,381 (\$38,069,050) PREFERRED STOCK. (FILE 333-
53846 -
JAN. 17) (BR. 2)

S-8 HARVARD BIOSCIENCE INC, 84 OCTOBER HILL RD, HOLLISTON, MA 01746
(508) 893-8999 - 4,849,096 (\$47,593,877) COMMON STOCK. (FILE 333-53848
-
JAN. 17) (BR. 5)

S-8 NETWORK ACCESS SOLUTIONS CORP, 100 CARPENTER DR, STERLING, VA 20164
(703) 742-7700 - 2,500,000 (\$2,150,000) COMMON STOCK. (FILE 333-53850
-
JAN. 17) (BR. 7)

S-3 NX NETWORKS INC, 13595 DULLES TECHNOLOGY DR, HERNDON, VA 20171
(703) 742-6000 - 7,317,022 (\$11,926,745.86) COMMON STOCK. (FILE 333-
53852
- JAN. 17) (BR. 3)

S-3 STATE STREET CORP, 225 FRANKLIN ST, BOSTON, MA 02110 (617) 786-3000
-
1,200,000 (\$135,420,000) COMMON STOCK. (FILE 333-53854 - JAN. 17) (BR.
7)

SB-2 MILLER PETROLEUM INC, 3651 BAKER HIGHWAY, STE 106, HUNTSVILLE, TN
37756
(423) 663-9457 - 2,761,152 (\$4,220,584) COMMON STOCK. (FILE 333-53856
-
JAN. 17) (BR. 8)

S-3 INTRAWARE INC, 25 ORINDA WAY, ORINDA, CA 94563 (925) 446-8729 -
1,096,968 (\$3,532,237) COMMON STOCK. (FILE 333-53858 - JAN. 17) (BR.
7)

S-3 MARRIOTT INTERNATIONAL INC /MD/, 10400 FERNWOOD ROAD,
DEPARTMENT 52-924.20, BETHESDA, MD 20817 (301) 380-3000 - 300,000,000
(\$300,000,000) STRAIGHT BONDS. (FILE 333-53860 - JAN. 17) (BR. 8)

S-3 HOUSEHOLD INTERNATIONAL INC, 2700 SANDERS RD, PROSPECT HEIGHTS, IL
60070
(847) 564-6996 - 8,000,000 (\$200,000,000) PREFERRED STOCK. (FILE
333-53862 - JAN. 17) (BR. 7)

S-3 CL&P FUNDING LLC, 107 SELDEN ST, BERLIN, CT 06037 (860) 665-5000 -
1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-53866 -
JAN. 18) (NEW ISSUE)

S-8 INTERLINK ELECTRONICS INC, 546 FLYNN RD, CAMARILLO, CA 93012
(805) 484-8855 - 2,250,000 (\$22,429,687.50) COMMON STOCK. (FILE 333-
53870
- JAN. 18) (BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events

- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website. <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAON INC	NV					X						07/26/00	
ADELPHIA COMMUNICATIONS CORP	DE					X						01/19/01	
ADVANCED TECHNOLOGY INDUSTRIES INC	DE					X	X					12/28/00	
ADVANCED TECHNOLOGY INDUSTRIES INC	DE					X	X					01/11/01	
ADVANTA BUSINESS RECIEVABLES CORP										X		01/22/01	
ADVANTA CORP	DE					X	X					01/23/01	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	NY					X	X					12/31/00	
AGRILINK FOODS INC	NY					X						01/23/01	
AIR PRODUCTS & CHEMICALS INC /DE/	DE					X						12/31/00	
AIRTRAN HOLDINGS INC	NV					X	X					01/23/01	
ALEXION PHARMACEUTICALS INC	DE					X	X					01/23/01	
ALFA INTERNATIONAL CORP	NJ					X						01/22/01	
ALLAIRE CORP	DE					X	X					01/16/01	
ALLIED WASTE INDUSTRIES INC	DE									X		01/22/01	
ALPHA TECHNOLOGIES GROUP INC	DE		X			X	X					01/09/01	
AMERICA ONLINE LATIN AMERICA INC	DE								X			01/11/01	
AMERICAN EXPRESS CO	NY					X	X					09/29/00	
AMERICAN HOMESTAR CORP	TX			X			X					01/11/01	
AMERICAN PACIFIC CORP	DE					X	X					01/17/01	
AMERICAN RIVER HOLDINGS	CA					X	X					01/22/01	
AMERICAN TOWER CORP /MA/	DE					X	X					01/18/01	
ANNTAYLOR INC	DE					X						01/15/01	
ANNTAYLOR INC	DE					X						01/15/01	
AT&T LATIN AMERICA CORP	DE									X		01/22/01	
ATLANTIC COAST AIRLINES HOLDINGS IN C	DE	X										01/23/01	
AURORA FOODS INC /DE/	DE					X	X					01/23/01	
AUTOZONE INC	NV					X	X					01/11/01	
AZURIX CORP	DE					X						01/22/01	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X	X					01/22/01	
BANC ONE HELOC TRUST 1998-1	OH					X	X					01/22/01	
BANC ONE HELOC 1999-1	OH					X	X					01/22/01	
BANK OF AMERICA CORP /DE/	DE					X	X					01/17/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X					12/18/00	
BANK ONE TEXAS NATIONAL ASSOCIATION						X	X					01/22/01	
BECTON DICKINSON & CO	NJ									X		01/23/01	
BELLSOUTH CORP	GA					X						01/22/01	
BIGSTAR ENTERTAINMENT INC /NY	DE					X	X					01/22/01	
BINDVIEW DEVELOPMENT CORP	TX					X	X					01/23/01	
BIOMUNE SYSTEMS INC	NV					X	X					01/19/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT								X		01/31/01	
BREAKAWAY SOLUTIONS INC	DE					X					01/19/01	
BUSYBOX COM INC	DE				X			X			01/23/01	
CABLE LINK INC	OH		X					X			09/14/00	AMEND
CAPITAL AUTO RECEIVABLES INC	DE							X			01/23/01	
CAPTEC NET LEASE REALTY INC	DE					X					12/06/00	
CARESCIENCE INC	PA					X	X				01/12/01	
CELLEGY PHARMACEUTICALS INC	CA					X					01/22/01	
CENTEX CONSTRUCTION PRODUCTS INC	DE							X			11/10/00	AMEND
CENTEX CORP	NV							X	X		01/23/01	
CENTURA BANKS INC	NC								X		01/22/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD					X					12/20/00	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-2	MD					X					12/20/00	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD					X					12/20/00	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD					X					12/20/00	
CHITTENDEN CORP /VT/	VT					X					01/17/01	
CHROMCRAFT REVINGTON INC	DE	X									12/26/00	
CITADEL BROADCASTING CO	NV					X	X				01/15/01	
CITADEL COMMUNICATIONS CORP	NV					X	X				01/15/01	
CITICORP MORTGAGE SECURITIES INC	DE					X					01/23/01	
CMC SECURITIES CORP II	DE					X	X				12/25/00	
CNET NETWORKS INC	DE					X	X	X			01/19/01	
CNH CAPITAL RECEIVABLES INC						X	X				01/16/01	
CNH RECEIVABLES INC	DE					X	X				01/16/01	
COAXIAL COMMUNICATIONS OF CENTRAL O HIO INC	OH					X	X				01/05/01	
COAXIAL FINANCING CORP						X	X				01/05/01	
COAXIAL LLC	DE					X	X				01/05/01	
COMERICA INC /NEW/	DE							X			01/22/01	
COMMODORE APPLIED TECHNOLOGIES INC	DE					X	X				01/18/01	
COMMONWEALTH BIOTECHNOLOGIES INC	VA					X	X				01/22/01	
COMMUNITY BANCORP OF NEW JERSEY	NJ					X	X				01/16/01	
COMPAQ COMPUTER CORP	DE					X	X				01/23/01	
COMPREHENSIVE MEDICAL DIAGNOSTICS G ROUP INC	FL					X	X				07/25/00	AMEND
CONSOLIDATED CAPITAL INSTITUTIONAL PROPERTIES 2	CA					X	X				12/22/00	
COVEST BANCSHARES INC	DE					X	X				12/31/00	
CRIIMI MAE INC	MD					X					01/22/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CRYS TEL TELECOMMUNICATIONS COM INC	FL	X									12/15/00	
CTS CORP	IN	X									01/23/01	
CUBIST PHARMACEUTICALS INC	DE				X	X					10/23/00	
CWABS INC	DE				X	X					11/30/00	
CWABS INC	DE				X	X					01/18/01	
CWMBS INC	DE				X	X					11/30/00	
CYBER GROUP NETWORK CORP						X					01/10/01	
CYPRESS BIOSCIENCE INC	DE	X					X				01/19/01	
CYPRESS BIOSCIENCE INC	DE	X					X				01/19/01	AMEND
DANAHER CORP /DE/	DE				X	X					01/17/01	
DATA BROADCASTING CORPORATION	DE	X									01/08/01	
DATA CRITICAL CORP	DE				X	X					01/23/01	
DATATRAK INTERNATIONAL INC	OH							X			01/23/01	
DELCO REMY INTERNATIONAL INC	DE				X	X					01/19/01	
DIAMOND OFFSHORE DRILLING INC	DE						X	X			01/22/01	
DIGITAL VILLAGE WORLD TECHNOLOGIES INC	NV							X			12/18/00	AMEND
DOLLAR GENERAL CORP	TN							X			01/23/01	
DORSEY TRAILERS INC	DE				X	X					01/08/01	
DOUBLECLICK INC	DE				X	X					09/24/00	AMEND
DOVER CORP	DE				X	X					01/22/01	
E TRADE GROUP INC	DE						X				01/19/01	AMEND
EATON CORP	OH				X			X			01/22/01	
EBIZ ENTERPRISES INC	NV			X		X					01/17/01	
ELCOTEL INC	DE				X						01/12/01	
EMBARCADERO TECHNOLOGIES INC							X				11/07/00	AMEND
EMBARCADERO TECHNOLOGIES INC							X				11/07/00	AMEND
ENERGY VENTURES INC /	DE			X							12/04/00	
ENZON INC	DE				X						01/22/01	
ESENJAY EXPLORATION INC	OK				X						01/16/01	
EXTENDICARE HEALTH SERVICES INC	DE	X					X				01/08/01	
FAN ENERGY INC	NV	X	X		X	X					01/08/01	
FINANCIAL INDUSTRIES CORP	TX	X									01/22/01	
FIRST ALLIANCE CORP /DE/	DE				X	X					01/12/01	
FIRST DEPOSIT BANCSHARES INC	GA				X	X					01/18/01	
FIRST ENTERTAINMENT HOLDING CORP	NV	X									01/19/01	
FIRST FINANCIAL HOLDINGS INC /DE/	DE				X	X					01/19/01	
FIRST NATIONAL OF NEBRASKA INC	NE				X						01/20/01	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-B	UT	X									12/25/00	
FIRST SECURITY AUTO GRANTOR TRUST 1 998-A	UT	X									12/23/00	
FIRST SECURITY AUTO OWNER TRUST 199 8-1	DE	X									12/23/00	
FIRST SECURITY AUTO OWNER TRUST 199 9 1	UT	X									12/25/00	
FIRST SECURITY AUTO OWNER TRUST 199 9-2	UT	X									12/25/00	
FIRST SECURITY AUTO OWNER TRUST 200 0-1	UT	X									12/23/00	
FIRST SECURITY AUTO OWNER TRUST 200 0-2	UT	X									12/23/00	
FIRST USA BANK NATIONAL ASSOCIATION					X	X					01/22/01	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X					01/22/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
FLORIDA POWER & LIGHT CO	FL					X					01/22/01	
FPL GROUP INC	FL					X					01/22/01	
FRANKLIN RECEIVABLES LLC	DE		X								12/31/00	
FRONTIER AIRLINES INC /CO/	CO	X			X						03/31/01	
GASTON FEDERAL BANCORP INC	SC							X			01/22/01	
GENEREX BIOTECHNOLOGY CORP	DE					X		X			01/16/01	
GILLETTE CO	DE	X									01/23/01	
GLENOIT ASSET CORP	DE					X		X			01/17/01	
GLENOIT CORP	DE					X		X			01/17/01	
GLOBAL MARINE INC	DE					X					01/22/01	
GLOBAL SOURCES LTD	DE				X						01/16/01	
GLOBALNET FINANCIAL COM INC	DE					X		X			12/18/00	
GOLDEN PHOENIX MINERALS INC /MN/	MN		X					X			11/07/00	AMEND
GPU INC /PA/	PA					X		X			01/22/01	
GREEN MOUNTAIN POWER CORP	VT	X									01/23/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X		X			12/18/00	
GTS DURATEK INC	DE					X		X			01/22/01	
HANOVER DIRECT INC	DE					X		X			01/19/01	
HARBOR BANKSHARES CORP	MD					X		X			01/22/01	
HILB ROGAL & HAMILTON CO /VA/	VA					X		X			01/23/01	
HOME SECURITY INTERNATIONAL INC	DE	X									01/12/01	
HOUSEHOLD CONSUMER LOAN TRUST 1996-1	DE							X			01/22/01	
HOUSEHOLD CONSUMER LOAN TRUST 1996-2	DE							X			01/22/01	
HOUSEHOLD CONSUMER LOAN TRUST 1997-1	DE							X			01/22/01	
HOUSEHOLD CONSUMER LOAN TRUST 1997-2	DE							X			01/22/01	
HOUSEHOLD CREDIT CARD MASTER NOTE TRUST I	DE							X			01/23/01	
HOUSEHOLD CREDIT CARD MASTER TRUST I	DE							X			01/23/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD AFF CRE CAR MAS TR I	DE							X			01/23/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD CONSUMER LN TRUST 1995-1 /	DE							X			01/22/01	
HUMPHREY HOSPITALITY TRUST INC	VA					X					01/19/01	
HVIDE MARINE INC	DE					X					01/22/01	
HYPERTENSION DIAGNOSTICS INC /MN/	MN					X		X			01/23/01	
I LINK INC	FL					X		X			01/19/01	
IBM CREDIT CORP	DE					X					12/31/00	
IMMULABS CORP	CO				X						01/16/01	
IMPERIAL SUGAR CO /NEW/	TX					X		X			01/19/01	
INDYMAC BANCORP INC	DE					X		X			01/22/01	
INFORMATION HOLDINGS INC	DE							X			11/06/00	AMEND
INFOSPACE INC	DE					X		X			01/22/01	
INSIDERSTREET COM INC	NV			X		X	X	X			01/05/01	
INSIGHT CAPITAL INC	DE		X					X			01/05/01	
INSIGHT COMMUNICATIONS CO INC	DE		X					X			01/05/01	
INSIGHT COMMUNICATIONS OF CENTRAL OHIO LLC						X		X			01/05/01	
INSIGHT COMMUNICATIONS OF CENTRAL OHIO LLC						X		X			01/05/01	

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		1	2	3	4	5	6	7	8	9		
INSIGHT MIDWEST LP	DE		X						X		01/05/01	
INTERCONTINENTAL LIFE CORP	TX	X									01/22/01	
INTERNATIONAL LEASE FINANCE CORP	CA								X		01/19/01	
INTRAWARE INC	DE				X		X				01/12/01	
INTRENET INC	IN		X					X			01/23/01	
IRWIN FINANCIAL CORPORATION	IN		X								12/31/00	
JET SET LIFE USA INC	DE	X									12/22/00	
JET SET LIFE USA INC	DE	X									12/22/00	AMEND
JONES APPAREL GROUP INC	PA				X						01/23/01	
JUNO ONLINE SERVICES INC	DE				X		X				01/22/01	
J2 GLOBAL COMMUNICATIONS INC	DE							X	X		01/22/01	
KANA COMMUNICATIONS INC	DE							X			04/19/00	
KANA COMMUNICATIONS INC	DE				X		X				01/18/01	
KAYE GROUP INC	DE				X		X				01/20/01	
KUSHNER LOCKE CO	CA				X		X				01/22/01	
LANDSTAR INC	NV	X									01/22/01	
LASALLE HOTEL PROPERTIES	MD								X		01/22/01	
LIFE ENERGY & TECHNOLOGY HOLDINGS I NC	DE				X						01/19/01	
LONE STAR TECHNOLOGIES INC	DE				X		X				01/22/01	
LOOKSMART LTD	DE				X		X				01/11/01	
LORAL SPACE & COMMUNICATIONS LTD	DO				X		X				01/04/01	
LSI LOGIC CORP	DE				X		X				01/23/01	
MANUFACTURED HOUS CONT SEN SUB PASS THR CERT SERIES 2000B	TN				X		X				01/08/01	
MARVELL TECHNOLOGY GROUP LTD	DO				X		X				01/19/01	
MAVERICK TUBE CORPORATION	DE				X		X				01/23/01	
MCSI INC	OH				X		X				01/22/01	
MEDIACOM CAPITAL CORP	NY				X		X				01/16/01	
MEDIACOM COMMUNICATIONS CORP	DE				X		X				01/18/01	
MEDIACOM LLC	NY				X		X				01/16/01	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X		X				11/30/00	
MERCER INTERNATIONAL INC	WA				X		X				01/23/01	AMEND
MERCK & CO INC	NJ							X	X		01/23/01	
MERRILL LYNCH & CO INC	DE				X		X				01/23/01	
METAWAVE COMMUNICATIONS CORP	DE				X		X				01/16/01	
METROLOGIC INSTRUMENTS INC	NJ						X				01/23/01	
METROPOLITAN EDISON CO	PA				X		X				01/22/01	
MGM MIRAGE	DE				X		X				01/18/01	
MID AMERICA APARTMENT COMMUNITIES I NC	TN								X		01/22/01	
MIKASA INC	DE	X						X			01/12/00	
MILLENNIUM PHARMACEUTICALS INC	DE				X						01/23/01	
MONARCH DENTAL CORP	DE				X						01/11/01	
MONOGRAM PICTURES INC	NV						X				01/17/01	
MONY GROUP INC	DE						X		X		01/04/01	
MORGAN STANLEY ABS CAPITAL I TRUST 2000-1	DE				X		X				12/26/00	
MORGAN STANLEY ABS CAPITAL I TRUST 2000-1	DE				X		X				12/26/00	
MOYCO TECHNOLOGIES INC	PA	X					X				01/10/01	
NATIONAL CITY CORP	DE				X		X		X		01/23/01	
NATIONAL COMMERCE BANCORPORATION	TN				X		X		X		01/19/01	

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		1	2	3	4	5	6	7	8	9		
NATIONAL DATA CORP	DE							X		X	01/22/01	
NATIONAL PROCESSING INC	OH				X			X			01/22/01	
NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORP /DC/	DC							X			01/22/01	
NETGATEWAY INC	DE			X				X			01/12/01	
NETPLIANCE INC	DE				X			X			01/22/01	
NETSOL INTERNATIONAL INC	NV				X						01/23/01	
NEVADA POWER CO	NV				X			X			01/22/01	
NEW CENTURY MORT SEC INC NEW CENT H M EQ LN TR SER 2000-NCA	DE				X			X			01/31/01	
NEW HORIZON KIDS QUEST INC	MN					X		X			01/10/01	
NEWMONT MINING CORP	DE		X					X			01/10/01	
NEXTEL COMMUNICATIONS INC	DE					X		X			01/22/01	
NISSAN AUTO RECEIVABLES CORP II	DE					X		X			01/22/01	
NORD PACIFIC LIMITED						X					12/27/00	
NORFOLK SOUTHERN CORP	VA					X		X			01/23/01	
NORFOLK SOUTHERN RAILWAY CO /VA/	VA					X		X			01/23/01	
NORTEL NETWORKS CORP						X					01/18/01	
NORTH AMERICAN GAMING & ENTERTAINMENT CORP	DE					X					01/08/01	
NORTH BANCSHARES INC	DE	X									12/31/00	AMEND
OAK HILL FINANCIAL INC	OH					X		X			01/23/01	
OCCIDENTAL PETROLEUM CORP /DE/	DE					X			X		01/24/01	
OLIN CORP	VA							X	X		01/22/01	
OPENWAVE SYSTEMS INC	DE					X		X	X		01/22/01	
OREGON TRAIL FINANCIAL CORP	OR					X		X			01/19/01	
OREGON TRAIL FINANCIAL CORP	OR					X		X			01/19/01	
OUT TAKES INC	DE					X					01/19/01	
OZ COM			X			X		X			11/08/00	AMEND
PATHFINDER BANCORP INC	DE					X		X			12/17/00	
PENNSYLVANIA ELECTRIC CO	PA					X		X			01/22/01	
PEOPLES BANCORP INC	OH	X									12/31/00	
PETMED EXPRESS INC	FL				X			X			01/16/01	
PHOENIX ASSOCIATES						X		X			01/05/01	
PHOENIX GROUP CORP	DE					X		X			12/31/00	
PLAYANDWIN INC	NV				X						12/21/00	
PLIANT SYSTEMS INC	DE								X		01/23/01	
PRIDE COMPANIES LP	DE	X									01/17/01	
PRIME RECEIVABLES CORP	DE							X			01/16/01	
PRIME SUCCESSION INC	DE					X		X			12/18/00	
PRIVATEBANCORP INC	DE					X		X			01/22/01	
PRO FAC COOPERATIVE INC	NY					X					01/23/01	
PROGRESS ENERGY INC	NC								X		01/22/01	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH					X		X			12/26/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM								X		01/23/01	
QUEST BIOTECHNOLOGY INC	MI			X				X			01/22/01	
RALCORP HOLDINGS INC /MO	MO					X		X			01/23/01	
REGENCY CENTERS LP	FL							X			01/22/01	
REPUBLIC SECURITY FINANCIAL CORP	FL					X		X			01/23/01	
RESIDENTIAL ASSET FUNDING CORP C-BA SS 2000-CB4						X		X			12/26/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			01/18/01	

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		1	2	3	4	5	6	7	8	9		
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE									X	01/18/01	
RESMED INC	DE					X		X			01/23/01	
REUTER MANUFACTURING INC	MN				X			X			01/16/01	
RUDDICK CORP	NC					X		X			01/23/01	
SALIENT CYBERTECH INC	DE				X	X					03/31/01	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CEP SE 2000-2	VA					X		X			12/26/00	
SAXON ASSET SECURITIES TRUST 2000-3	CA					X		X			10/25/00	
SAXON ASSET SECURITIES TRUST 2000-3	CA					X		X			11/27/00	
SAXON ASSET SECURITIES TRUST 2000-3	CA					X		X			12/26/00	
SBA COMMUNICATIONS CORP	FL									X	01/22/01	
SCB COMPUTER TECHNOLOGY INC	TN					X		X			01/23/01	
SCOTIA PACIFIC CO LLC	DE									X	01/22/01	
SENTRY TECHNOLOGY CORP			X								01/16/01	
SEVENTHCAI INC	NV	X	X		X	X	X	X			01/17/01	
SHAMAN PHARMACEUTICALS INC	DE			X							01/02/01	AMEND
SHERWIN WILLIAMS CO	OH					X		X			01/22/01	
SIERRA PACIFIC POWER CO	NV					X		X			01/22/01	
SIERRA PACIFIC RESOURCES	NV					X		X			01/22/01	
SIMMONS FIRST NATIONAL CORP	AR					X					01/18/01	
SIMMONS FIRST NATIONAL CORP	AR					X					01/23/01	
SIX FLAGS INC	DE					X		X			01/22/01	
SIZZLER INTERNATIONAL INC	DE					X		X			01/10/01	
SMITH INTERNATIONAL INC	DE					X		X			01/22/01	
SMURFIT STONE CONTAINER CORP	DE					X		X			01/19/01	
SOUTHTRUST CORP	DE					X					01/23/01	
SPARTAN MOTORS INC	MI							X		X	01/22/01	
SPARTAN STORES INC	MI							X		X	01/23/01	
STAR GAS PARTNERS LP	DE					X					12/14/00	
STAR TELECOMMUNICATIONS INC	DE					X		X			01/10/01	
STEEL TECHNOLOGIES INC	KY							X		X	12/31/00	
STEMCELL GLOBAL RESEARCH INC	NV					X					01/23/01	AMEND
STONE CONTAINER CORP	DE					X		X			01/19/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2000-5						X		X			12/26/00	
SUNDOG TECHNOLOGIES INC	DE									X	01/23/00	
SYSCO CORP	DE					X		X			01/16/01	
SYSCO CORP	DE					X		X			01/17/01	
SYSCO CORP	DE					X		X			01/22/01	
TARRAGON REALTY INVESTORS INC	NV					X					01/01/01	
TAYLOR ANN STORES CORP	DE					X					01/15/01	
TAYLOR ANN STORES CORP	DE					X					01/15/01	
TIME WARNER TELECOM INC	DE					X		X			01/18/01	
TIME WARNER TELECOM INC	DE					X		X			01/31/01	
TOLL BROTHERS INC	DE					X		X			01/19/01	
TOWER BANCORP INC	PA					X		X			01/23/01	
TOWER GLOBAL VENTURES CORP	DE	X				X	X	X			01/18/01	
TRADAMAX GROUP	NV	X				X	X	X	X		12/20/00	AMEND
TRANSKARYOTIC THERAPIES INC	DE					X		X			01/19/01	
TRANSWORLD HEALTHCARE INC	NY					X					01/22/01	
TUSCARORA INC	PA					X		X			01/21/01	
U S REALTEL INC	DE							X		X	01/22/01	
U S TECHNOLOGIES INC	DE					X		X			12/27/00	

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	CODE	1	2	3	4	5	6	7	8	9			
UACSC 2000-D OWNER TRUST AUTO REC B ACKED NOTES	NY					X		X				01/08/01	
UNITED BANKSHARES INC/WV	WV					X		X				01/22/01	
UNIVERSAL BEVERAGES HOLDINGS CORP	FL					X						01/20/01	
US BANCORP \DE\	DE					X		X				01/22/01	
VANDERBILT MORT & FI INC MAN HO CO SE SU PAS TH CE SE 2000A	TN					X		X				01/08/01	
VANDERBILT MORT & FIN INC SEN SUB P AS THR CERT SER 2000 C	TN					X		X				01/08/01	
VANDERBILT MORT & FIN INC SEN SUB P ASS THR CERT SER 2000-D	TN					X		X				01/08/01	
VARI L CO INC	CO					X			X			01/10/01	
VERSO TECHNOLOGIES INC	MN							X				12/19/00	AMEND
VOLKSWAGEN DEALER FINANCE LLC	DE					X		X				01/22/01	
WASHINGTON GROUP INTERNATIONAL INC	DE					X						01/16/01	
WASHINGTON MUTUAL INC	WA								X			01/22/01	
WASHINGTON MUTUAL INC	WA								X			01/22/01	AMEND
WATERS INSTRUMENTS INC	MN		X						X			01/22/01	
WATSCO INC	FL								X			01/22/01	
WAYNE BANCORP INC /OH/	OH					X						12/26/00	
WEINERS STORES INC	DE							X	X			01/22/01	
WEINGARTEN REALTY INVESTORS /TX/	TX					X		X				01/16/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X				01/23/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X				01/23/01	
WELLS FARGO MORTGAGE BACK SEC MORT PASS THR CERT SER 2000 12	DE					X		X				11/29/00	
WESTPAC SECURITISATION MANAGEMENT P TY LTD								X				01/19/01	
WINCO PETROLEUM CORP	CO		X						X			01/01/98	
WINCO PETROLEUM CORP	CO		X						X			04/14/99	
WORLD ACCESS INC /NEW/	DE		X						X			12/18/00	AMEND
WORLDWIDE EQUIPMENT CORP	FL		X			X	X	X				01/22/01	
XCARE NET INC	DE					X		X				11/08/00	AMEND