

# SEC NEWS DIGEST

Issue 2001-7

January 10, 2001

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## COMMISSION ANNOUNCEMENTS

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### SEC STAFF RELEASES REPORT ON MUTUAL FUND FEES

The staff of the Commission's Division of Investment Management today released a report entitled "Report of the Division of Investment Management on Mutual Fund Fees and Expenses," which presents the results of a study of trends in mutual fund fees. The report describes the legal framework with respect to mutual fund fees, analyzes how fees have changed over time, identifies factors that may influence the current level of fees, and recommends initiatives that are designed to improve the corporate governance structure for the oversight of fund fees and the disclosure that investors receive regarding fees.

Paul Roye, Director of the SEC's Division of Investment Management, said, "We conducted our study of fees in light of the significant growth of the mutual fund industry and Americans' increasing reliance on mutual funds to finance retirement, housing, and their children's education. Our goal is to provide objective data describing trends in mutual fund fees that may be useful to Congress and the Commission in overseeing the mutual fund industry, and to others who are focusing on the effect of mutual fund fees on investor returns. We hope that the report will contribute to the public dialog about mutual fund fees, and thereby help to educate investors on the impact that fees have on their investment returns."

In addition to describing trends in mutual fund fees and factors that may influence the current level of fees, the report addresses a recommendation by the General Accounting Office to require disclosure of the dollar amount of fund fees paid indirectly by investors. The GAO made the recommendation in a report on mutual fund fees dated June 2000 that was prepared at the request of Representative Michael G. Oxley, then Chairman of the House Subcommittee on Finance and Hazardous Materials, and Representative John D. Dingell, the ranking member of the House Committee on Commerce. The staff of the Division of Investment Management agrees with the GAO that investors need clear and understandable information about the fees that they pay, and that the fund industry and the Commission should encourage fund shareholders to pay greater attention to fees and expenses. The report recommends that the Commission

consider requiring that the dollar amount of actual fees paid by investors would be disclosed in semi-annual and annual shareholder reports. The staff believes that this approach would enable investors to not only compare the expenses of funds, but also to evaluate the fee information that would be contained in the reports to shareholders alongside other key information about the fund's operating results

The text of the report is available on the Commission's website at <http://www.sec.gov/news/studies/feestudy.htm>. In addition, a fact sheet that briefly summarizes the staff's findings and recommendations is available on the website, or upon request. For more information about the report, please contact Barry Miller at (202) 942-0663 or Paul Goldman at (202) 942-0510. (Press Rel. 2001-08)

## **MARK SCHONFELD NAMED ASSOCIATE DIRECTOR OF THE COMMISSION'S NORTHEAST REGIONAL OFFICE**

Chairman Arthur Levitt today named Mark K. Schonfeld Associate Director of the Commission's Northeast Regional Office (NERO). As Associate Director, Mr Schonfeld will serve as one of the senior officials in the office and will help plan and direct the enforcement program of the region

SEC Enforcement Director Richard H. Walker said, "Mark brings considerable intellectual firepower and good judgment to this important position. I am confident that the NERO will continue to flourish with Mark co-heading its enforcement program "

Wayne M Carlin, Director of the Northeast Regional Office, said, "Mark is an extraordinarily talented lawyer and a strong advocate for the rights of investors. The enforcement program will benefit from his experience and wisdom. I know that Mark will continue to battle securities fraud as tenaciously as he has in the past "

Mr. Schonfeld has been an attorney with the Division of Enforcement since 1996. Most recently he served as Assistant Regional Director in the Northeast Regional Office. In that capacity, Mr Schonfeld has been responsible for several important Commission enforcement actions. Mr. Schonfeld was a principal attorney on the successful effort to put an end to a four-year "Ponzi" scheme that had been conducted by American Freedom Securities in Rochester, New York, and recovered more than \$4 million for investors. Mr. Schonfeld was chiefly responsible for *SEC v. Schuster*, a recent case arising from an undercover investigation by the FBI, against a defendant charged with attempting to bribe an employee of a prominent Manhattan law firm to obtain confidential information on upcoming mergers. Mr Schonfeld has also brought several significant cases in the areas of Internet securities fraud, offering fraud, accounting fraud, and fraud by broker-dealers and investment advisers.

Before joining the Commission staff, Mr. Schonfeld was a litigation associate with Testa, Hurwitz & Thibault in Boston. Mr Schonfeld also served as a law clerk to Hon Ellsworth A. Van Graafeiland on the United States Court of Appeals for the Second Circuit. Mr. Schonfeld, 38, received his J.D., *cum laude*, from Harvard Law School in

1988 and his B.A., *summa cum laude*, from the University of Rochester in 1984 (Press Rel. 2001-09)

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## **ENFORCEMENT PROCEEDINGS**

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### **CIVIL ACTION AGAINST LOCH HARRIS INC.**

On December 18, 2000, the Commission filed an Application for an Order to Show Cause in the United States District Court for the Northern District of Texas against Loch Harris Inc. The Application seeks to have the Court order Loch Harris to produce documents and testimony, pursuant to a subpoena *duces tecum* issued and served by the Commission in connection with an ongoing Commission investigation. In its Application, the Commission alleges that Loch Harris' failure to comply with the subpoena has impeded the Commission's investigation.

According to the Commission's Application, its investigation involves, among other things, whether Loch Harris and others may have made materially false or misleading statements, or omitted material facts, in connection with the offer, purchase or sale of Loch Harris securities. The possibly false and misleading statements concern technologies or devices known as "Eliminate Landmines Forever" (ELF) and Vacuum Multi-constituent Monitor for Plasma (VAMMP). Over the last twelve months, Loch Harris has issued a series of press releases regarding the development, operation, capabilities, testing and production of ELF and VAMMP. Loch Harris claims and press releases regarding these technologies appear to have influenced trading in the common stock of the company. The Commission's Application alleges that the subpoenaed documents and testimony are necessary to a determination as to whether Loch Harris and others have violated or are about to violate provisions of the federal securities laws [SEC v. Loch Harris Inc., Misc. Action No. 4-00-MC-0046-Y, USDC, NDTX, Fort Worth Division] (LR-16856)

### **SEC OBTAINS JUDGMENTS OF PERMANENT INJUNCTION AGAINST TELSIS COMMUNICATIONS, INC., HOME SHOPPING PARTNERS AND ELEAZAR HERACLEOPOLIS**

The Commission announced that on December 22, 2000, U.S. District Judge Virginia A. Phillips granted the Commission's Motion for Summary Judgment and entered Final Judgments of Permanent Injunction against Defendants Telsys Communications, Inc (Telsys), Home Shopping Partners (HSP), and Eleazar I. Heracleopolis (Heracleopolis). The Court also ordered Heracleopolis to pay disgorgement, prejudgment interest and a civil penalty. The case involved an offering fraud in which the defendants raised money for an alleged Internet home shopping website.

The complaint filed by the Commission on May 27, 1999, alleged that the defendants offered and sold unregistered units of participation in HSP, a partnership controlled by

Heracleopolis. The defendants targeted the elderly and retired women and falsely represented to these investors that a large return would be promptly paid on their investment. The funds were to be used to develop an Internet website. The Defendants raised over \$1,142,629 from at least 37 investors nationwide. No funds were ever returned or paid to any investors. A large percentage of the investor funds was simply diverted by Heracleopolis to his own uses and that of his family. In addition, substantial undisclosed commissions were paid to salespersons. Heracleopolis also used investor funds to purchase a laundromat for himself through a corporation wholly controlled by him.

The Final Judgments enjoin Telsys, HSP and Heracleopolis from violations of the registration and antifraud provisions, Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Final Judgment also enjoins Telsys and Heracleopolis from violations of the broker dealer registration provisions, Section 15(a)(1) of the Exchange Act. In addition, the Final Judgment orders Heracleopolis to pay disgorgement in the amount of \$502,812.67 plus prejudgment interest in the amount of \$38,630.40 and a \$110,000 civil money penalty [SEC v Telsys Communications, Inc., Home Shopping Partners and Eleazar I Heracleopolis, Civil Action No. 00-439, VAP, CWx, C D CA] (LR-16858).

#### **CEASE AND DESIST ORDER AGAINST DEAN ROWELL AND PAMELA NELSON AND CIVIL PENALTY CONSENTED TO BY DEAN ROWELL**

On January 10, the Commission issued an Order pursuant to Section 21C of the Securities Exchange Act of 1934 (Exchange Act) against Dean W. Rowell (Rowell), and Pamela K. Nelson (Nelson) requiring (1) Rowell to cease and desist from committing or causing any violations or future violations of Sections 13(d) and 16(a) of the Exchange Act and Rules 13d-1, 13d-2, 16a-2 and 16a-3 thereunder, and (2) Nelson to cease-and-desist from committing or causing any violations or future violations of Sections 13(g) and 16(a) of the Exchange Act and Rules 13d-1, 13d-2, 16a-2 and 16a-3 thereunder. Rowell is Chairman of the Board of Directors, President, Chief Executive Officer and Chief Financial Officer of Standard Energy Corp. (Standard Energy) and a beneficial owner of more than ten percent of the equity securities of Standard Energy. Nelson is a Director, Vice President and Corporate Secretary of Standard Energy, and a former beneficial owner of more than five percent of the equity securities of Standard Energy. The shares of Standard Energy are registered with the Commission pursuant to Section 12 of the Exchange Act and are traded on the NASDAQ OTC bulletin board.

Rowell, without admitting or denying the allegations in the Commission's Order, consented to the entry of the Order finding that: (1) he failed to timely file a Schedule 13D and thirty-one amendments thereto, resulting in delinquencies ranging from three weeks to nineteen years and nine months; and (2) he failed to timely file a Form 3, failed to timely file seventy-five Forms 4 (with delinquency periods ranging from three weeks to seventeen years and ten months), and three Forms 5 (with delinquency periods ranging from five years and ten months to seven years and eleven months). The total value of the transactions in Standard Energy stock for which Rowell filed late Forms 4

and 5 is approximately \$5,289,152. Nelson failed to timely file a Schedule 13G and one amendment thereto, resulting in delinquencies of more than eleven years and three months, and ten years and seven months, respectively; and (2) failed to timely file a Form 3, failed to timely file twenty-four Forms 4 (with delinquency periods ranging from more than one month to more than twelve years and eleven months), and three Forms 5 (with delinquency periods ranging from more than five years and ten months to more than seven years and ten months) The total value of the transactions in Standard Energy stock for which Nelson filed late Forms 4 and 5 is approximately \$391,148

Simultaneously with the entry of the Commission's Order, the Commission filed a civil action against Rowell pursuant to Section 21(d)(3) of the Exchange Act alleging violations of the ownership reporting provisions and seeking from Rowell a civil penalty. Without admitting or denying the Commission's allegations, Rowell consented to entry of a final judgment imposing a penalty of \$10,000. [SEC v. Dean W. Rowell, Civil Action No 1 01CV00041, PLF, D D.C.] (LR-16859); (Administrative Proceeding In the Matter of Dean W. Rowell and Pamela K. Nelson – Rel. 34-43828, File No 3-10404)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **APPROVAL OF PROPOSED RULE CHANGE**

The Commission approved a proposed rule change filed by the Boston Stock Exchange (SR-BSE-00-12) relating to the time period for filing claims against specialists, under Section 19(b)(2) of the Securities Exchange Act of 1934 Publication of the notice is expected in the Federal Register during the week of January 8. (Rel 34-43798)

### **PROPOSED RULE CHANGES**

The International Securities Exchange filed a proposed rule change (SR-ISE-00-20) which amends ISE Rule 717 regarding limitations on orders. Publication is expected in the Federal Register during the week of January 8. (Rel. 34-43803)

The Commission noticed a proposed rule change filed by the Philadelphia Stock Exchange (SR-Phlx-99-50) The proposed rule change amends Phlx's Certificate of Incorporation to give the Phlx certain authority relating to the terms and conditions under which memberships can be leased. Publication is expected in the Federal Register during the week of January 8. (Rel 34-43812)

The National Association of Securities Dealers, through its wholly owned subsidiary The Nasdaq Stock Market, Inc., has filed a proposed rule change (SR-NASD-00-81) relating to computer to computer interface fees for non-NASD members. Publication of the proposal is expected in the Federal Register during the week of January 8. (Rel 34-43815)

## **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES**

A proposed rule change (SR-PCX-00-38) filed by the Pacific Exchange modifying its options trade-related transaction charges and changing its firm transaction fee, has become effective under Section 19(b)(3)(A)(ii) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of January 8 (Rel. 34-43811)

A proposed rule change (SR-NASD-00-80) filed by the National Association of Securities Dealers, through its wholly owned subsidiary The Nasdaq Stock Market, Inc., relating to computer to computer interface fees for NASD members, has become effective under Section 19(b)(3)(A)(ii) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of January 8. (Rel. 34-43821)

## **ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The National Association of Securities Dealers filed a request for accelerated approval of a proposed rule change (SR-NASD-00-75) and Amendment No. 1 thereto to extend the effectiveness of the pilot injunctive relief rule. Publication of the proposal is expected in the Federal Register during the week of January 8 (Rel. 34-43813)

## **WITHDRAWALS GRANTED**

An order has been issued granting the application of Resource Bankshares Corporation to withdraw its Common Stock, \$1.50 par value, from listing and registration on the American Stock Exchange, effective at the opening of business on January 5, 2001 (Rel. 34-43804)

An order has been issued granting the application of Ramco Energy plc to withdraw its American Depositary Shares (representing Ordinary Shares, nominal value 10p per share) from listing and registration on the American Stock Exchange, effective at the opening of business on January 5, 2001. (Rel. 34-43805)

## **DELISTINGS GRANTED**

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, \$.01 par value, of Specialty Equipment Companies Inc., effective at the opening of business on January 5, 2001. (Rel. 34-43806)

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration call and put option contracts issued by The Options Clearing Corporation with respect to certain underlying securities, effective at the opening of business on January 8, 2001 (Rel. 34-43818)

An order has been issued granting the application of the Pacific Exchange to strike from listing and registration the Common Stock, \$ 0025 par value, and Redeemable Common Stock Purchase Warrants Expiring May 7, 2003, of Ophidian Pharmaceuticals, Inc., effective at the opening of business on January 8, 2001. (Rel 34-43819)

## WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until January 26 to comment on the application of Ceridian Corporation to withdraw its Common Stock, \$.50 par value, from listing and registration on the Pacific Exchange and on the Chicago Stock Exchange (Rel. 34-43807)

A notice has been issued giving interested persons until January 30 to comment on the application of Massey Energy Company (formerly Fluor Corporation) to withdraw its Common Stock, \$.625 par value, from listing and registration on the Pacific Exchange on the Chicago Stock Exchange. (Rel. 34-43820)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows. Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 ORCHID BIOSCIENCES INC, 303 COLLEGE RD. EAST, PRINCETON, NJ 08540  
(609) 750-2200 - 5,000,000 (\$33,618,033) COMMON STOCK. (FILE 333-53118

-  
JAN. 03) (BR. 1)

S-8 AT&T CORP, 32 AVENUE OF AMERICAS, NEW YORK, NY 10013 (908) 221-4268  
-  
60,000,000 (\$60,000,000) COMMON STOCK. (FILE 333-53134 - JAN. 03) (BR.

7)

S-8 FLORIDA EAST COAST INDUSTRIES INC, ONE MALAGA STREET, ST AUGUSTINE,  
FL  
32084 (904) 396-6600 - 1,000,000 (\$34,440,000) COMMON STOCK. (FILE  
333-53144 - JAN. 03) (BR. 5)

S-8 GREENLAND CORP, 1935 AVENIDA DEL ORO, SUITE D, OCEANSIDE, CA 92056  
(619) 458-4226 - 999,015 (\$49,951) COMMON STOCK. (FILE 333-53148 -

S-3 TIVO INC, C/O COOLEY GODWARD LLP,  
 FIVE PALO ALTO SQUARE 3000 EL CAMINO REA, PALO ALTO, CA 94306  
 (408) 747-6080 - \$75,000,000 COMMON STOCK. (FILE 333-53152 - JAN. 03)  
 (BR. 7)

S-3 IMMERSION CORP, 801 FOX LANE, SAN JOSE, CA 95131 (408) 467-1900 -  
 259,130 (\$1,878,693) COMMON STOCK. (FILE 333-53154 - JAN. 03) (BR. 3)

S-8 NEW ERA OF NETWORKS INC, 6550 GREENWOOD PLAZA BLVD, ENGLEWOOD, CO  
 80111  
 (303) 694-3933 - 900,000 (\$4,752,900) COMMON STOCK. (FILE 333-53156 -  
 JAN. 03) (BR. 3)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year
- Item 9. Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website: <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.										DATE	COMMENT
		1	2	3	4	5	6	7	8	9			
AAMES MORTGAGE TRUST 2000-1	CA					X	X					12/26/00	
ABN AMRO MORTGAGE CORP	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1998- 2	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1998- 3	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1998- 4	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1998- 5	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 1	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 2	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999- 3	DE	X										12/25/00	



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
ABN AMRO MORTGAGE CORP SERIES 1999-4	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999-5	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999-6	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999-7	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 1999-8	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 2000-1	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 2000-2	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 2000-3	DE	X										12/25/00	
ABN AMRO MORTGAGE CORP SERIES 2000-4							X					12/21/00	
ACCREDITED HOME LENDERS INC	DE								X			12/26/00	
ADELPHIA BUSINESS SOLUTIONS INC	DE									X		01/10/01	
ADVANCE TECHNOLOGIES INC	NV	X										01/05/01	
ADVANCED NEUROMODULATION SYSTEMS INC	TX	X										01/10/01	
ADVANCED PRECISION TECHNOLOGY INC	NV				X			X				01/05/01	
ADVANTA CORP	DE					X		X				01/08/01	
ADVANTAGE MARKETING SYSTEMS INC/OK	OK		X					X				01/08/01	
ADVANTICA RESTAURANT GROUP INC	DE					X						01/09/01	
AIRGAS INC	DE					X		X				01/08/01	
ALLEGHENY ENERGY INC	MD					X		X				01/08/01	
ALLEGHENY ENERGY INC	MD							X	X			01/08/01	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE							X	X			01/09/01	
ALLIANCE CAPITAL MANAGEMENT L P	DE							X	X			01/09/01	
ALLIANCE PHARMACEUTICAL CORP	NY					X		X				01/08/01	
ALLIED CAPITAL CORP	MD		X					X				12/31/00	
ALTERA CORP	DE		X					X				12/27/00	
ALTRIS SOFTWARE INC	CA							X				11/14/00	AMEND
AMB PROPERTY CORP	MD					X		X				12/14/00	
AMB PROPERTY LP	DE					X		X				12/14/00	
AMERICAN SOUTHWEST FINANCIAL SECURITIES CORP	AZ	X										12/25/00	
ANGELAUDIO COM INC /DE/	NV		X			X						01/09/01	AMEND
APPLIED BIOMETRICS INC	MN					X		X				12/28/00	AMEND
APPLIED MAGNETICS CORP	DE				X			X				12/04/00	AMEND
ARONEX PHARMACEUTICALS INC	DE					X		X				01/08/01	
ASPEON INC	DE					X		X				01/04/01	
ATLANTIC CITY ELECTRIC CO	NJ					X		X				12/20/00	
ATRIUM COMPANIES INC	DE							X				10/24/00	AMEND
ATRIUM COMPANIES INC	DE					X		X				01/04/01	
ATRIX LABORATORIES INC	DE					X		X				12/29/00	
AVAYA INC	DE							X		X		01/08/01	
AVIRON	DE					X						01/09/01	
BACH-HAUSER INC	NV					X						01/02/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BALDWIN PIANO & ORGAN CO /DE/	DE					X	X				01/08/01	
BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1	NY							X			12/26/00	
BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1	NY							X			12/26/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-6	DE					X	X				10/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-6	DE					X	X				11/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-6	DE					X	X				12/25/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-6	DE					X	X				01/09/01	AMEND
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	DE					X	X				10/25/00	
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	DE					X	X				11/25/00	
BANK OF AMERICA MORTGAGE SEC MORT P ASS THROU CERT SER 2000 5	DE					X	X				12/25/00	
BANK OF GRANITE CORP	DE					X	X				01/08/01	
BANK UNITED CORP	DE					X	X				01/08/01	
BEACON CAPITAL PARTNERS INC	MD					X	X				12/28/00	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE							X			12/26/00	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE							X			12/26/00	
BEAR STEARNS ASSET BACKED CERTIFICA TES SERIES 2000-1	DE					X	X				12/26/00	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE							X			12/26/00	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE							X			12/26/00	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE	X									12/21/00	
BEAR STEARNS COMPANIES INC	DE					X	X				11/30/00	
BIOGAN INTERNATIONAL INC	DE							X			08/01/00	AMEND
BIOGAN INTERNATIONAL INC	DE					X	X				01/08/01	
BITWISE DESIGNS INC	DE					X	X				01/08/01	
BLC FINANCIAL SERVICES INC	DE					X	X				12/31/00	
BOCA RESORTS INC	DE		X					X			12/22/00	
BONTEX INC	VA		X								01/04/01	
BRACKNELL CORP			X					X			12/22/00	
BROADCOM CORP	CA					X	X				01/08/01	
BROWN TOM INC /DE	DE							X	X		01/09/01	
BUCKEYE TECHNOLOGIES INC	DE	X									12/31/00	
BUFFALO CAPITAL V LTD	CO					X					01/08/01	
BUILDERS TRANSPORT INC	DE										05/07/00	
BUILDING MATERIALS CORP OF AMERICA	DE					X	X				01/05/01	
BUILDING MATERIALS INVESTMENT CORP						X	X				01/05/01	
BUILDING MATERIALS MANUFACTURING CO RP						X	X				01/05/01	
C-PHONE CORP	NY					X	X				01/08/01	
CADENCE DESIGN SYSTEMS INC	DE					X	X				01/04/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CADIZ INC	DE					X					01/02/01	
CANADIAN DERIVATIVES CLEARING CORP						X	X				01/03/01	
CAPITAL AUTO RECEIVABLES INC	DE					X					01/09/01	
CARMIKE CINEMAS INC	DE					X	X				01/08/01	
CELERITY SYSTEMS INC	DE					X	X				12/26/00	
CENDANT CORP	DE					X					01/05/01	
CERIDIAN CORP	DE						X	X	X		01/09/01	
CHARLES & COLVARD LTD	NC					X	X				01/09/01	
CHARLES RIVER LABORATORIES INC										X	01/08/01	
CHARLES RIVER LABORATORIES INTERNAT IONAL INC										X	01/08/01	
CHELSEA GCA REALTY INC	MD		X					X			12/22/00	
CHICAGO BRIDGE & IRON CO N V			X			X	X				12/28/00	
CHL MORTGAGE PASS THROUGH 2000-1	DE					X	X				06/25/00	
CHOICE ONE COMMUNICATIONS INC	DE	X									01/09/01	
CIPHERGEN BIOSYSTEMS INC	DE					X	X				01/08/01	
CITICORP MORTGAGE SECURITIES INC	DE					X					01/08/01	
CMI INDUSTRIES INC	DE		X					X			01/05/01	
CODDLE CREEK FINANCIAL CORP	NC					X	X				01/08/01	
COINLESS SYSTEMS INC	UT					X	X				12/18/00	
COMMERCIAL MORTGAGE PASS-THROUGH CE RTIFICATES SERIES 2000-C4	DE	X									12/20/00	
CONECTIV	DE					X	X				12/29/00	
CONSECO FINANCE CORP	DE							X			12/28/00	
CONSECO FINANCE SECURITIZATIONS COR P	DE							X			12/28/00	
CORZON INC	TX		X					X			11/29/00	
CREDIT SUISSE FIRST BOSTON MORT SEC CORP M B P T C SE 00 WM2	DE					X	X				12/31/00	
CSX TRADE RECEIVABLES CORP	DE					X	X				12/26/00	
CUBIST PHARMACEUTICALS INC	DE	X						X			10/23/00	AMEND
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000 4	DE					X	X				12/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-5	DE					X	X				12/25/00	
CWMB INC CML MORTGAGE PASS THR TRUS T 2000-8	DE					X	X				12/25/00	
CWMB INC	DE	X									12/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000 6	DE					X	X				10/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000 6	DE					X	X				11/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000 6	DE					X	X				12/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000-10	DE					X	X				12/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000-5	DE					X	X				09/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000-5	DE					X	X				10/25/00	
CWMB INC CHL MORTGAGE PASS THROUGH TRUST 2000-5	DE					X	X				11/25/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2000-5	DE					X		X			12/25/00	
CWMB5 INC CHL MORTGAGE PASS THROUGH TRUST 2000-9	DE					X		X			12/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			06/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			07/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			08/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			09/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			10/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			11/25/00	
CWMB5 INC MORT PASS THR CERT SER 20 00-4	DE					X		X			12/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			04/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			05/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			06/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			07/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			08/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			09/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			10/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			11/25/00	
CWMB5 INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A3	DE					X		X			12/25/00	
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE					X		X			01/09/01	
DATA RESEARCH ASSOCIATES INC	MO					X		X			01/08/01	
DATALINK NET INC	NV					X					01/09/01	AMEND
DEALER AUTO RECEIVABLES CORP	DE					X		X			12/15/00	
DEALER AUTO RECEIVABLES CORP	DE					X		X			12/15/00	AMEND
DEERE & CO	DE								X		01/09/01	
DELMARVA POWER & LIGHT CO /DE/	DE					X		X			12/20/00	
DIAL CORP /NEW/	DE							X	X		01/08/01	
DISCOVER CARD MASTER TRUST I	DE					X		X			01/04/01	
DISCOVER CARD MASTER TRUST I	DE					X		X			01/08/01	
DISCOVERY LABORATORIES INC /DE/	DE				X						12/15/00	AMEND
DISCOVERY LABORATORIES INC /DE/	DE				X			X			12/15/00	AMEND
DLJ MORTGAGE ACCEPTANCE CORP	DE							X			12/26/00	
DLJ MORTGAGE ACCEPTANCE CORP	DE					X		X			12/31/00	
EGGHEAD COM INC/DE	DE					X					11/13/00	
ELDERTRUST	MD					X		X			12/19/00	

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		1	2	3	4	5	6	7	8	9			
EMERGENT INFORMATION TECHNOLOGIES I NC	CA					X	X					12/29/00	
EMERSON ELECTRIC CO	MO					X						01/09/01	
EMPIRE OF CAROLINA INC	DE					X	X					01/03/01	
ENTEGRIS INC	MN					X	X					01/08/01	
ENTERGY CORP /DE/	DE							X	X			01/09/01	
FINANCIAL ASSET SEC CORP FIRST FRAN KLIN MORT LN TR 2000 FF1	DE									X		12/26/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					06/30/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					07/31/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					08/31/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					09/30/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					10/31/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					11/30/00	
FINANCIAL ASSET SECURITIES CORP	DE					X	X					12/31/00	
FIRST ENTERTAINMENT HOLDING CORP	NV	X										01/08/01	
FIRST FEDERAL BANKSHARES INC	DE						X					12/22/00	
FIRST PLACE FINANCIAL CORP /DE/ FNB CORP/PA	DE PA		X						X			12/22/00	
FORTUNE BRANDS INC	DE					X	X					01/09/01	
FORWARD AIR CORP	TN					X	X					01/03/01	
FOX ENTERTAINMENT GROUP INC	DE					X						12/29/00	
FRENCH FRAGRANCES INC	FL					X	X					01/31/01	
FUSION FUND INC /DE/	DE					X						01/08/01	
FX ENERGY INC	NV					X						01/09/01	
GENERAL MOTORS CORP	DE					X						01/08/01	
GETTY PETROLEUM MARKETING INC /MD/	MD						X		X			01/03/01	
GLENOIT ASSET CORP	DE					X	X					01/05/01	
GLENOIT CORP	DE					X	X					01/05/01	
GREENPOINT HELOC 2000 2						X	X					12/15/00	
GREENPOINT HM EQ LN AS BK NT SR 200 0-1 CL A2 VA RT AS BK NT						X	X					12/15/00	
GREENPOINT HM EQ LN TR 1999-2 REV H M EQ ASS BK NOT SE 1999-2	DE					X	X					12/15/00	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-1	DE					X	X					12/15/00	
GREENWICH CAPITAL ACCEPTANCE INC	DE							X				12/26/00	
GUILFORD PHARMACEUTICALS INC	DE					X	X					01/05/01	
HEALTHCARE REALTY TRUST INC	MD							X	X			01/08/01	
HEALTHRITE INC	DE					X						01/09/01	
HEALTHSTREAM INC	TN					X						01/05/01	
HOME LOAN TRUST 2000 1 MORTGAGE PAS S THROUGH CERT SER 2000 A	NC					X	X					12/15/00	
IBEAM BROADCASTING CORP	DE		X					X				10/03/00	AMEND
IEXALT INC	NV		X					X				10/24/00	AMEND
ILLINOIS SUPERCONDUCTOR CORPORATION	DE					X	X					01/03/01	
IMH ASSETS CORP IMPAC CMB TRUST SER IES 1999-1	CA	X										12/25/00	
IMPAC MORTGAGE PASS THROUGH CERTIFI CATES SERIES 2000-2	CA					X	X					12/26/00	
IMPAC SECURED ASSETS CORP	CA							X				01/08/00	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CERT SER 2000-3	CA					X	X					10/25/00	

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		1	2	3	4	5	6	7	8	9			
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CERT SER 2000-3	CA					X	X					11/27/00	
IMPAC SECURED ASSETS CORP MORTGAGE PASS THR CERT SER 2000-3	CA					X	X					12/26/00	
IMPERIAL SUGAR CO /NEW/ INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	TX DE					X	X					01/08/01 05/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					06/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					07/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					08/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					09/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					10/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					11/25/00	
INDYMAC ABS INC HOME EQUITY LN AST BK TR SE SPMD 2000-A	DE					X	X					12/25/00	
INDYMAC MBS INC RESIDENTIAL ASSET S ECURITIZATION TR 2000-A8						X	X					11/25/00	
INDYMAC MBS INC RESIDENTIAL ASSET S ECURITIZATION TR 2000-A8						X	X					12/25/00	
INFONAUTICS INC	PA	X										01/03/01	
INFORMATION MANAGEMENT ASSOCIATES I NC	CT					X	X					12/26/00	
INKTOMI CORP	DE						X					10/30/00	AMEND
INTEGRA LIFESCIENCES HOLDINGS CORP	DE					X	X					12/22/00	
INTERACTIVE GAMING & COMMUNICATIONS INC	DE					X						12/31/00	
INVESTORS FIRST STAGED EQUITY L P	DE	X					X					12/27/00	
ISLE OF CAPRI CASINOS INC	DE	X										01/09/01	
JUDGE GROUP INC	PA					X	X					12/29/00	
KEY PRODUCTION CO INC	DE	X					X					12/29/00	
KINDER MORGAN ENERGY PARTNERS L P	DE								X			01/09/01	
KINDER MORGAN INC	KS								X			01/09/01	
KING PHARMACEUTICALS INC	TN					X	X					01/08/01	
KSL RECREATION GROUP INC	DE	X					X					12/22/00	
LANGUAGEWARE NET CO LTD						X	X					01/05/01	
LCA VISION INC	DE					X	X					01/03/01	
LEVEL JUMP FINANCIAL GROUP INC	FL	X					X					12/12/00	
LIBERTY LIVEWIRE CORP	DE	X					X					12/22/00	
LIFE MEDICAL SCIENCES INC	DE					X	X					12/26/00	
LIGAND PHARMACEUTICALS INC	DE					X	X					01/08/01	
LOEWS CORP	DE					X	X					01/09/01	
LOWRANCE ELECTRONICS INC	DE					X	X					01/04/01	
LSI INDUSTRIES INC	OH						X					11/21/00	AMEND
MANHATTAN ASSOCIATES INC	GA						X					10/24/00	AMEND
MCLEODUSA INC	DE						X		X			01/08/01	
MCM CAPITAL GROUP INC	DE					X	X					12/21/00	
MED-DESIGN CORP	DE						X		X			01/05/01	

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	CODE	1	2	3	4	5	6	7	8	9			
MEDIX RESOURCES INC	CO					X						12/22/00	
MERRILL LYNCH MOR INV FRST FRAN MOR LN AS BK CER SR 2000-FF1	DE					X	X					09/20/00	
MERRILL LYNCH MOR INV FRST FRAN MOR LN AS BK CER SR 2000-FF1	DE					X	X					10/20/00	
METROPOLITAN MORTGAGE & SECURITIES CO INC	WA								X			01/08/01	
MICROFINANCIAL INC	MA					X	X					01/08/01	
MID AMERICA APARTMENT COMMUNITIES I NC	TN										X	01/05/01	
MISONIX INC	NY				X		X					01/03/01	
ML LEE ACQUISITION FUND L P	DE					X						01/09/01	
MLMI RESECURITIZATION PASS THROUGH CERT SER 2000-WM1									X			12/28/00	
MLMI RESECURITIZATION PASS THROUGH CERT SER 2000-WM1									X			12/28/00	
MLMI RESECURITIZATION PASS THROUGH CERT SER 2000-WM1									X			12/28/00	
MLMI RESECURITIZATION PASS THROUGH CERT SER 2000-WM2									X			12/28/00	
MLMI RESECURITIZATION PASS THROUGH CERT SER 2000-WM2									X			12/28/00	
MLMI RESECURITIZATION PASS THROUGH CERT SER 2000-WM2	DE					X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE					X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE					X	X					12/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1D	DE					X	X					12/29/00	
MONEY STORE COMMERCIAL MORTGAGE INC	NJ					X	X					09/15/00	
MORGAN STANLEY ABS CAPITAL I INC MS DWCC HELOC TRUST 2000-1	DE								X			12/26/00	
MORGAN STANLEY ABS CAPITAL I TRUST 2000-1	DE					X	X					12/26/00	
MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2	NY								X			12/26/00	
MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2	NY								X			12/26/00	
MORGAN STANLEY DEAN WITTER CAPITAL 1 INC SERIES 2000-PRIN	DE	X										12/23/00	
MORTGAGE ASSET BACKED PASS THROUGH CERT SERIES 2000-1	DE								X			12/26/00	
MORTGAGE ASSET BACKED PASS THROUGH CERT SERIES 2000-1	DE								X			12/26/00	
MOTORS & GEARS INC	DE					X	X					01/08/01	
NATIONAL PROCESSING INC	OH					X	X					01/09/01	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE	X										12/22/00	
NETGATEWAY INC	DE					X	X					01/08/01	
NEW CENTURY MORT SEC INC NEW CENT H M EQ LN TR SER 2000-NC1	DE					X	X					07/31/00	

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	CODE	1	2	3	4	5	6	7	8	9		
NEW CENTURY MORT SEC INC NEW CENT H DE M EQ LN TR SER 2000-NC1	DE					X		X			08/31/00	
NEW CENTURY MORT SEC INC NEW CENT H DE M EQ LN TR SER 2000-NC1	DE					X		X			09/30/00	
NEW CENTURY MORT SEC INC NEW CENT H DE M EQ LN TR SER 2000-NC1	DE					X		X			10/31/00	
NEW CENTURY MORT SEC INC NEW CENT H DE M EQ LN TR SER 2000-NC1	DE					X		X			11/30/00	
NEW CENTURY MORT SEC INC NEW CENT H DE M EQ LN TR SER 2000-NC1	DE					X		X			12/31/00	
NORWEST ASSET SEC CORP MORT PAS THR DE CER SER 2000-2 TR	DE							X			12/26/00	
NORWEST ASSET SECURITIES CORP MORT DE PASS THR CERT SER 2000 1	DE							X			12/26/00	
NORWEST INTEGRATED STRUCTURED ASSET DE S INC SERIES 2000-1 TRUST	DE							X			12/26/00	
NORWEST INTEGRATED STRUCTURED ASSET DE S INC SERIES 2000-1 TRUST	DE							X			12/26/00	
NTL INC/DE/	DE									X	01/09/01	
O A K FINANCIAL CORP	MI					X		X			12/30/00	
OBJECTSOFT CORP	DE						X	X			12/29/00	
ONYX SOFTWARE CORP/WA	WA						X	X			01/04/01	
OPTION ONE MORT ACCEP CORP ASSET BA DE CKED CERT SER 2000-1	DE							X			12/26/00	
OPTION ONE MORTGAGE ACCEPTANCE CORP DE ASS BACK CERT SER 2000 4	DE							X			12/26/00	
OXBORO MEDICAL INC	MN						X				12/21/00	
P&L COAL HOLDINGS CORP	DE		X					X			03/31/01	
PACER INTERNATIONAL INC/TN	TN		X					X			12/22/00	
PACIFIC GATEWAY EXCHANGE INC	DE			X		X					12/20/00	
PANJA INC	TX					X		X			01/02/01	
PEOPLES ENERGY CORP	IL		X								01/08/01	
PEPSICO INC	NC					X					01/08/01	
PIONEER COMPANIES INC	DE					X		X			01/05/01	
PIONEER CORP OF AMERICA	DE					X		X			01/05/01	
PLANAR SYSTEMS INC	OR		X					X			12/22/00	
PMCC FINANCIAL CORP	DE						X				12/15/00	
PONDER INDUSTRIES INC	DE	X	X	X				X			11/28/00	
POTOMAC ELECTRIC POWER CO	VA		X								01/08/01	
PRIME RETAIL INC/BD/	MD		X			X		X			12/31/00	
PRIMIX	DE						X	X			12/27/00	
PRINCETON MINING CO	ID	X									01/08/00	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH						X	X			12/26/00	
QUENTRA NETWORKS INC	DE		X		X	X					12/14/00	
RAPID RETRIEVAL SYSTEMS INC	NV			X							01/05/01	
RAPID RETRIEVAL SYSTEMS INC	NV			X							01/05/01	AMEND
RELIANCE GROUP HOLDINGS INC	DE							X	X		01/05/01	
RESIDENTIAL ACCREDIT LOANS INC	DE							X			12/28/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X		X			12/21/00	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A7	DE					X		X			10/25/00	



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		1	2	3	4	5	6	7	8	9		
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A7	DE					X		X			11/25/00	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A7	DE					X		X			12/25/00	
ROBERDS INC	OH	NO ITEMS									05/07/00	
RYANS FAMILY STEAKHOUSES INC	SC		X								01/03/01	
SALISBURY BANCORP INC	CT					X		X			01/08/01	
SALOMON BROTHERS MOR SEC VII FL RT MR PA TH CER SER 2000 LB1	DE							X			12/26/00	
SANGSTAT MEDICAL CORP	DE					X		X			12/29/00	
SAUER DANFOSS INC	DE					X					01/08/01	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CER SE 2000-2	VA					X		X			12/26/00	
SEAGRAM CO LTD	CA					X		X			12/21/00	
SEAGRAM CO LTD	CA					X		X			01/08/01	
SECURITY CAPITAL GROUP INC/ SEQUOIA MORT TRUST 4 MORT LOAN ASSE T BACK PASS-THROUGH CERT	MD		X								10/20/00	
SEQUOIA MORT TRUST 4 MORT LOAN ASSE T BACK PASS-THROUGH CERT	DE					X		X			12/22/00	
SILICON VALLEY GROUP INC	DE					X		X			01/08/01	
SIMON PROPERTY GROUP LP	DE							X	X		01/08/01	
SISTERSVILLE BANCORP INC	DE					X		X			01/02/01	
SMARTERKIDS COM INC						X		X			01/09/01	
SNYDER COMMUNICATIONS INC	DE								X		01/08/01	
SOLOMON ALLIANCE GROUP INC /AZ			X					X			12/29/00	
SOUTH FINANCIAL GROUP INC	SC								X		01/08/01	
SOUTHERN MINERAL CORP	NV					X		X			01/08/01	
SPARTAN MOTORS INC	MI							X	X		01/09/01	
SPINPLANET COM INC	CO	X	X			X		X			12/15/00	
SPMD INC 2000 B	DE					X		X			08/25/00	
SPMD INC 2000 B	DE					X		X			08/25/00	
SPMD INC 2000 B	DE					X		X			09/25/00	
SPMD INC 2000 B	DE					X		X			10/25/00	
SPMD INC 2000 B	DE					X		X			11/25/00	
SPMD INC 2000 B	DE					X		X			12/25/00	
SPORTSMANS WHOLESALE CO		X									01/09/01	
STARNET COMMUNICATIONS INTERNATIONA L INC/ FA	DE					X		X			01/05/01	
STATION CASINOS INC	NV		X					X			01/03/01	
STC BROADCASTING INC	DE		X					X	X		01/05/01	
STRUCTURED ASSET SEC CORP MORT PAS THR CERT SER 2000-4	DE							X			12/26/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X		X			05/31/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X		X			06/30/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X		X			07/31/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X		X			08/31/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X		X			09/30/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X		X			10/31/00	

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	CODE	1	2	3	4	5	6	7	8	9			
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X	X					11/30/00	
STRUCTURED ASSET SEC CORP PASS THR CERT SER 2000-1	DE					X	X					12/31/00	
STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 2000-BC1	NY							X				12/26/00	
STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 2000-BC2	DE							X				12/26/00	
SUN BANCORP INC	PA					X	X					01/08/01	
SUPERIOR TELECOM INC	DE					X	X					01/09/01	
SURGICARE INC/DE	DE	X										10/30/00	AMEND
SVI HOLDINGS INC	NV					X	X					12/22/00	
SYMANTEC CORP	DE		X			X	X					12/18/00	
TERAYON COMMUNICATION SYSTEMS	DE					X	X					12/22/00	
TIAA REAL ESTATE ACCOUNT	NY					X						01/09/01	
TRANSMEDIA NETWORK INC /DE/	DE					X	X					01/08/01	
UNAPIX ENTERTAINMENT INC	DE					X						12/21/00	
UNION PLANTERS MORT PASS THR CERT S ER 2000-UP1	DE							X				12/26/00	
UNITED SHIPPING & TECHNOLOGY INC	UT					X	X					01/04/01	
UNITY WIRELESS CORP	DE				X							12/31/00	
UNIVERSAL CORP /VA/	VA					X						12/20/00	
UNIVERSAL MEDIA HOLDINGS INC	DE	X	X	X	X	X	X	X	X			01/04/01	
US AIRWAYS GROUP INC	DE					X			X			01/09/01	
US AIRWAYS INC	DE					X			X			01/09/01	
USCI INC	DE			X								12/29/00	
VALUE CITY DEPARTMENT STORES INC /O H	OH					X	X					01/04/01	
VIROPHARMA INC	DE					X						01/09/01	
WASHINGTON MUTUAL INC	WA					X	X					01/04/01	
WASTE SYSTEMS INTERNATIONAL INC	DE					X	X					01/04/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X					01/08/01	
WESBANCO INC	WV					X						12/29/00	
XEROX CORP	NY					X						01/09/01	
3TEC ENERGY CORP	DE					X	X					01/03/01	