

# SEC NEWS DIGEST

Issue 2001-4

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## COMMISSION ANNOUNCEMENTS

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### **CHAIRMAN LEVITT PRAISES FORMER CHAIRMAN RUDER'S EFFORTS TO EDUCATE MUTUAL FUND DIRECTORS**

Chairman Arthur Levitt today praised former SEC chairman David Ruder and the Mutual Fund Director's Education Council for their efforts to educate mutual fund directors. The Council announced its Second Annual Policy Conference: "Crucial Issues for Investment Company Directors -- 2001," which is scheduled for January 11-12 at the Wyndham City Center in Washington, DC. A number of senior SEC officials will speak at the conference.

Chairman Levitt said, "I commend Chairman Ruder and the Education Council for their efforts to educate mutual fund directors, particularly independent directors. Consistent with his efforts while Chairman of the Commission, Chairman Ruder continues to focus on the needs of investors. The Education Council is an important tool to empower mutual fund directors in their critical role as watchdogs for fund shareholders."

The role of independent directors of mutual funds is an important issue for the Commission. On January 3, 2001, the Commission announced its approval of a comprehensive rulemaking package that significantly strengthened the role of mutual fund directors. The rulemaking package is posted on the Commission's website at <http://www.sec.gov/rules/final/34-43786.htm>

Information regarding the Second Annual Policy Conference, including a schedule of SEC speakers, can be obtained by contacting R.A. Pete Wentz, Planning Director, Northwestern University School of Law, at (312) 503-1828 (Press Rel. 2001-03)

### **LEVITT SENDS LETTER TO AUDIT COMMITTEES**

Chairman Arthur Levitt today sent the following letter to the audit committee chairmen of the top 5,000 public companies:

Dear Members of the Audit Committee:

Almost a year ago, the Commission, our major markets and standard setters -- building on the work of the Blue Ribbon Committee on Audit Committee Effectiveness -- adopted rules that strengthen the audit committee's independence, and give its members the tools and the wherewithal to fulfill their duty to the investing public. In addition, the rules improve communications, through greater disclosure, among the board, outside auditors and management.

When auditors and the board engage in frank and meaningful discussions about the significant, but sometimes gray areas of accounting, both the company's and its shareholders' interests are served. In this way, the board, including the audit committee, management, and outside auditors form a "three-legged stool" of responsible disclosure and active oversight.

In recent months, the Commission and the accounting profession have been engaged in a discussion on the vital issue of auditor independence. Among other reasons, increased economic pressures on the profession, coupled with greater competition and consolidation, mandated that we modernize and further clarify independence requirements. This discussion has led to a combination of rules and disclosures that establish clear guidelines on the non-audit services an auditor may provide to an audit client, as well as the meaningful involvement of the audit committee in consideration of consulting services that may impair independence. More specifically, the Commission's rules require companies to state in their proxy statement whether the audit committee has considered whether the provision of the non-audit services is compatible with maintaining the auditor's independence.

In August, the Panel on Audit Effectiveness issued its final report recommending that, among other things, audit committees obtain annual reports from management assessing the company's internal controls, specify in their charters that the outside auditor is ultimately accountable to the board of directors and audit committee, inquire about time pressures on the auditor, and pre-approve non-audit services provided by the auditor.

The Panel, more specifically, provided guidance an audit committee can use to determine the appropriateness of a service. This guidance includes:

1. Whether the service is being performed principally for the audit committee
2. The effects of the service, if any, on audit effectiveness or on the quality and timeliness of the entity's financial reporting process.
3. Whether the service would be performed by specialists (e.g., technology specialists) who ordinarily also provide recurring audit support.
4. Whether the service would be performed by audit personnel, and if so, whether it will enhance their knowledge of the entity's business and operations.

5. Whether the role of those performing the service would be inconsistent with the auditors' role (e.g., a role where neutrality, impartiality, and auditor skepticism are likely to be subverted)
6. Whether the audit firm personnel would be assuming a management role or creating a mutual or conflicting interest with management
7. Whether the auditors, in effect, would be "auditing their own numbers."
8. Whether the project must be started and completed very quickly
9. Whether the audit firm has unique expertise in the service.
10. The size of the fee(s) for the non-audit service(s).

I encourage your audit committee to discuss the Panel's recommendations as well as these ten factors and consider them in relevant discussions with your auditor. The Panel's report can be found at [www.pobauditpanel.org/](http://www.pobauditpanel.org/). I also encourage you to read the Commission's rule release at [www.sec.gov/rules/final/33-7919.htm](http://www.sec.gov/rules/final/33-7919.htm)

During my almost eight years at the Commission, I have come to believe that one of the most reliable guardians of the public interest is a competent, committed, independent and tough-minded audit committee. The audit committee stands to protect and preserve the integrity of America's financial reporting process. I encourage your committee to take every step possible to ensure that the integrity of the financial statements, and by extension, the interest of shareholders, remains second to none.

Sincerely,  
Arthur Levitt  
(Press Rel 2001-04)

### **ADDITIONAL PUBLIC APPEARANCES OF SENIOR COMMISSION OFFICIALS – JANUARY 2001**

The following is a schedule for January 2001 of additional public appearances of SEC officials, including the Chairman, Commissioners, and senior staff members. For more information on events hosted by groups other than the Commission, please call the contact numbers listed. As events are subject to change, please confirm them with the SEC's Office of Public Affairs or the sponsoring organizations.

When: Monday, January 8 – Tuesday, January 9  
Who: Paul Roye, Director, Division of Investment Management  
What: Practising Law Institute  
Where: New York City  
Contact: Paula Gomprecht, (212) 824-5749

When: Thursday, January 11  
Who: Annette Nazareth, Director, Division of Market Regulation  
What: ALI-ABA Course of Study "Broker-Dealer Regulation"  
Where: Washington, D C  
Contact: Kevin O'Connor, (215) 243-1637

When: Thursday, January 18  
Who: David Martin, Director, Division of Corporation Finance  
What: Practising Law Institute  
Where: New York City  
Contact: Paula Gomprecht, (212) 824-5749

When: Monday, January 29  
Who: Commissioner Laura Unger  
What: The World Economic Forum  
Where: Davos, Switzerland  
Contact: Swathi Kappagantula, 41 22 869 1481

When: Wednesday, January 31  
Who: David Becker, General Counsel, Office of the General Counsel  
What: Fordham University  
Where: New York City  
Contact: Robert Pfeifer, (212) 636-7933

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## ENFORCEMENT PROCEEDINGS

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### INTERNET NEWSLETTER OPERATORS BARRED FROM PARTICIPATION IN ANY PENNY STOCK OFFERINGS

On January 4, the Commission instituted, and simultaneously settled, public proceedings pursuant to Sections 15(b)(6) of the Securities Exchange Act of 1934, against Dominic Roelandt and Stephen B. Marek based upon consent injunctions entered against them in SEC v. Roelandt and Marek, Case No. '00-600-PHX-EHC, in the United States District Court for the District of Arizona.

On August 29, 2000, Stephen B. Marek and Dominic Roelandt were enjoined, by consent and without admitting or denying the complaint's allegations, from violating the antifraud provisions of the Securities Exchange Act [Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder]. Marek was ordered to pay disgorgement of \$100,835 and a civil penalty of \$100,835. Roelandt was ordered to pay disgorgement of \$41,958 and a civil penalty of \$41,958.

The Commission's complaint, filed April 4, 2000 charged that Roelandt, using an internet newsletter named "Coldstocks," and Marek, using newsletters named "BigProfitNews" and "Bulls-Eye Stocks," touted the stocks of 35 pennystock companies over the internet. The complaint alleged that e-mail messages or websites contained various false and misleading statements concerning the claimed performance of Marek's and Roelandt's past stock picks, their trading intentions, and compensations received by Marek.

The Orders bar Marek and Roelandt from participation in any penny stock offerings (Rel. 34-43800; File No 3-10400)

### **COMMISSION SUSPENDS STEVEN D. PIRRONE, A PRINCIPAL OF MILESTONE FINANCIAL SERVICES, INC.**

The Commission announced today that it had entered an Order Making Findings and Imposing Remedial Sanctions Against Steven D Pirrone (Order), a principal and supervisor at Milestone Financial Services Inc. located in Oakland, California

The Order found that Pirrone failed reasonably to supervise Dorthy Chikly while he was the president and a director of the California-based brokerage firm of Spectrum Securities, Inc. (Spectrum). According to the Order, Chikly aided and abetted violations of the securities laws by her husband, Brian D O'Toole, and Pirrone failed reasonably to supervise Chikly with a view toward preventing her aiding and abetting. The Order suspended Pirrone from associating in a supervisory or proprietary capacity with any broker or dealer for a period of four months, but did not impose a civil penalty based upon Pirrone's demonstrated inability to pay. Pirrone consented to entry of the Order and the bar from association without admitting or denying the Commission's findings.

The Order found that, at a time when O'Toole was experiencing difficulty finding employment with a brokerage firm due to his disciplinary history, he held himself out to investors as a registered representative associated with Spectrum. The Order further found that O'Toole used Chikly's association with Spectrum from approximately August 1996 through April 1997 to transfer over forty-nine customers and forty accounts to Spectrum, and to effect forty-eight trades for those customers totaling over \$200,000. None of these customers ever spoke to Chikly concerning any aspect of their accounts or trades, and O'Toole failed to disclose to customers that Chikly was their Spectrum representative.

According to the Order, Pirrone was responsible for supervising Chikly from the time she was accepted by Spectrum as a registered representative until at least January 1997, but never even spoke with her. Also, Spectrum had written supervisory policies or procedures during some or all of the time Chikly was associated with Spectrum, but Pirrone did not comply with or implement those procedures with respect to Chikly. The Order finds that Pirrone knew or should have known that Chikly was married to O'Toole, that the customers she brought to Spectrum were O'Toole's customers, that O'Toole was the primary contact with customers, and that Chikly had other full time employment while she was associated with Spectrum.

The Commission found that O'Toole's conduct violated Sections 10(b) and 15(a)(1) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Sections 206(1) and (2) of the Investment Adviser's Act of 1940, that Chikly aided and abetted O'Toole's violations, and that Pirrone failed reasonably to supervise Chikly. (Rel. 34-43802; File No 3-10018)

#### **PROCEEDINGS INSTITUTED AGAINST TRANSCRIPT INTERNATIONAL, INC.**

The Commission has entered an Order Instituting Public Proceedings, Making Findings and Imposing a Cease and Desist Order (Order) against Transcript International, Inc (Transcript), a company based in Lincoln, Nebraska. The Order finds that Transcript improperly recorded revenue on various transactions from the fourth quarter of 1996 through the fourth quarter of 1997. Based on these improper actions, Transcript published false and misleading financial statements in press releases and public filings with the Commission, including a registration statement for an October 1997 second offering of stock. Transcript also maintained false books and records showing these improper revenue entries, and its internal accounting controls were deficient. Transcript's actions violated Section 17(a) of the Securities Act of 1933, Sections 10(b), 13(a) and 13(b)(2) of the Exchange Act and Rules 10b-5, 12b-20, 13a-1, 13a-11, 13a-13 and 13b2-1 thereunder. Transcript consented to the Order without admitting or denying the Commission's findings. (Rel. 34-43809, File No 3-10402)

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#### **INVESTMENT COMPANY ACT RELEASES**

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#### **INTEGRITY LIFE INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Integrity Life Insurance Company (Integrity), National Integrity Life Insurance Company (National Integrity, together with Integrity, the Companies), Separate Account I of Integrity Life Insurance Company, Separate Account I of National Integrity Life Insurance Company (together with Separate Account I of Integrity Life Insurance Company, the Accounts), and Touchstone Securities, Inc. (Touchstone) (collectively referred to herein as Applicants) from the provisions of Sections 2(a)(32), 22(c) and 27(i)(2)(A) of the Act, and Rule 22c-1, thereunder, to permit, under specified circumstances, the recapture of credits applied to contributions made under certain flexible premium variable annuity contracts that the Companies will issue through the Accounts (the Contracts), as well as other contracts that the Companies may issue in the future through their existing or future separate accounts (Other Accounts) that are substantially similar to the Contracts in all material respects (Future Contracts). The order extends to any other National Association of Securities Dealers, Inc (NASD) member broker-dealer controlling or controlled by, or under common control or affiliated with, Touchstone, whether existing or created in the future, that serves as distributor or principal underwriter for the Contracts or Future Contracts. (Rel. IC-24818 – January 3)

## **THE TRAVELERS INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 6(c) of the Investment Company Act granting exemptions from Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder to The Travelers Insurance Company, The Travelers Life and Annuity Company (together, Insurers), The Travelers Fund BD III for Variable Annuities, The Travelers Fund BD IV for Variable Annuities (Fund BD III, together with Fund BD IV, Accounts) and Travelers Distribution LLC (collectively, Applicants) to permit the recapture of credits added to purchase payments of certain variable annuity contracts (Contracts). The order extends to (i) any other contracts that may be issued in the future by the Insurers that are substantially similar in all material respects to the Contracts (Future Contracts) that are issued through the Accounts or through separate accounts to be established in the future (Future Accounts), and (ii) any other National Association of Securities Dealers, Inc member broker-dealers controlling or controlled by, or under common control with the Insurers, whether existing or created in the future, that acts as a distributor of and/or principal underwriter for the Contracts or Future Contracts offered through the Accounts or Future Accounts (Rel IC-24819 – January 3)

## **FRANK RUSSELL INVESTMENT COMPANY, ET AL.**

A notice has been issued giving interested persons until January 29 to request a hearing on an application filed by Frank Russell Investment Company, et al for an order that would grant relief from Sections 10(f), 17(a), and 17(e) of the Investment Company Act and from Rule 17e-1 under the Act. The order would permit certain registered management investment companies advised by several investment advisers to engage in principal and brokerage transactions with a broker-dealer affiliated with one of the investment advisers and to purchase securities in offerings underwritten by a principal underwriter of which one of the investment advisers is an affiliated person. The transactions would be between a broker-dealer or principal underwriter and a portion of the investment company's portfolio not advised by the adviser affiliated with the broker-dealer or principal underwriter. The order also would permit these investment companies not to aggregate certain purchases from an underwriting syndicate. (Rel. IC-24820 – January 3)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **APPROVAL OF PROPOSED RULE CHANGES**

The Commission approved a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-00-39) amending pilot programs for mediation and administrative conferences. Publication of the order is expected in the Federal Register during the week of January 8. (Rel. 34-43785)

The Commission approved a rule change proposal (SR-PHLX-00-66) filed by the Philadelphia Stock Exchange to reduce the exercise strike price interval from two cents

to one cent for foreign currency options on the Euro denominated in U S dollars Publication is expected in the Federal Register during the week of January 8. (Rel. 34-43790)

The Commission approved a proposed by-law change (SR-Phlx-00-98) by the Philadelphia Stock Exchange that clarifies references in its by-laws and rules to the Allocation, Evaluation and Securities Committee. (Rel 34-43792)

## **PROPOSED RULE CHANGES**

A proposed rule change has been filed by the Chicago Board Options Exchange (SR-CBOE-00-41) relating to the amendment of its rule governing the operation of its firm quote rule to permit split-price executions Publication of the proposal is expected in the Federal Register during the week of January 8 (Rel 34-43789)

The Government Securities Clearing Corporation filed a proposed rule change (SR-GSCC-00-02) amending one of the components of GSCC's clearing fund formula by reducing the liquidation amount from 25 percent to 10 percent Publication of the proposal is expected in the Federal Register during the week of January 8. (Rel 34-43791)

## **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE**

A proposed rule change filed by the International Securities Exchange (SR-ISE-00-21) to adopt a rule specifying that members must mark orders properly has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934 Publication of the proposal is expected in the Federal Register during the week of January 8. (Rel. 34-43795)

## **ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The Commission granted accelerated approval to a proposed temporary rule change (SR-Phlx-00-111) filed by the Philadelphia Stock Exchange to amend Phlx Rule 1063(a) and Phlx Options Floor Procedure Advices A-10 and C-1, which address trading in foreign currency options The proposed rule change will be effective only until March 31, 2001. Publication of the proposal is expected in the Federal Register during the week of January 8. (Rel. 34-43799)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if



applicable), File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

- S-8 FRANKLIN FINANCIAL CORP /TN/, FRANKLIN FINANCIAL CORP, PO BOX 625, FRANKLIN, TN 37065 (615) 790-2265 - 1,875,000 (\$21,562,500) COMMON STOCK. (FILE 333-52928 - DEC. 29) (BR. 7)
- S-1 MINDARROW SYSTEMS INC, SUMMIT OFFICE, 101 ENTERPRISE SUITE 340, ALISO VIEJO, CA 92655 (949) 916-8705 - 2,387,706 (\$4,775,412) COMMON STOCK. (FILE 333-52932 - DEC. 29) (BR. 8)
- F-3 ROYAL AHOLD, ALBERT HEIJNWEG 1, P O BOX 33, 1500 EA ZAANDAM THE, P7 (FILE 333-52934 - DEC. 29) (BR. 2)
- S-8 PACEL CORP, 8870 RIXLEW LANE SUITE 201, MANASSAS, VA 20109 (703) 257-4759 - 2,000,000 (\$64,000) COMMON STOCK. (FILE 333-52936 - DEC. 29) (BR. 9)
- S-8 AMERICAN INTERNATIONAL GROUP INC, 70 PINE ST, NEW YORK, NY 10270 (212) 770-7000 - 535,000 (\$51,125,938) COMMON STOCK. (FILE 333-52938 - DEC. 29) (BR. 1)
- SB-2 MARKETU INC, 33163 2ND AVE, MISSION BRITISH COLU, A6 (604) 820-7282 - 12,695,014 (\$2,412,053) COMMON STOCK. (FILE 333-52940 - DEC. 29) (BR. 9)
- S-3 CELLPOINT INC, 3000 HILLSWOOD DR, HILLSWOOD BUSINESS PARK, CHERTSEY SURREY ENGLAND, (011) 468-5449 - 3,773,274 (\$20,988,836.63) COMMON STOCK. (FILE 333-52942 - DEC. 29) (BR. 9)
- S-8 SECTOR COMMUNICATIONS INC, 1801 CENTURY PARK EAST, 23RD FLOOR, LOS ANGELES, CA 90067 (703) 761-1500 - 1,200,000 (\$108,000) COMMON STOCK. (FILE 333-52946 - DEC. 29) (BR. 3)
- S-8 SECTOR COMMUNICATIONS INC, 1801 CENTURY PARK EAST, 23RD FLOOR, LOS ANGELES, CA 90067 (703) 761-1500 - 200,000 (\$18,000) COMMON STOCK. (FILE 333-52948 - DEC. 29) (BR. 3)
- S-8 LIBERTY LIVEWIRE CORP, 900 N SEWARD ST, HOLLYWOOD, CA 90038 (323) 962-4000 - 24,659 (\$165,708) COMMON STOCK. (FILE 333-52950 - DEC. 29) (BR. 5)

S-8 VERILINK CORP, 145 BAYTECH DR, SAN JOSE, CA 95134 (408) 945-1199 -  
2,900,000 (\$5,481,000) COMMON STOCK. (FILE 333-52958 - DEC. 29) (BR.  
7)

SB-2 AEI INCOME & GROWTH FUND 24 LLC, 1300 MINNESOTA WORLD TRADE CENTER,  
30 EAST 7TH STREET, ST PAUL, MN 55101 (651) 004-7333 - 24,000  
(\$24,000,000) COMMON STOCK. (FILE 333-52960 - DEC. 29) (BR. 9 - NEW  
ISSUE)

S-8 PROMOTIONS COM INC, 11 WEST 19TH STREET, NEW YORK, NY 10010  
(212) 481-3600 - 2,250,000 (\$510,000) COMMON STOCK. (FILE 333-52964 -  
DEC. 29) (BR. 8)

S-8 CIT GROUP INC, 1211 AVE OF THE AMERICAS, NEW YORK, NY 10036  
(212) 536-1390 - 1,000,000 (\$19,690,000) COMMON STOCK. (FILE 333-52966  
-  
DEC. 29) (BR. 7)

S-8 CORIXA CORP, 1124 COLUMBIA STREET SUITE 200, SEATTLE, WA 98104  
(206) 667-5711 - 5,767,083 (\$122,368,602) COMMON STOCK. (FILE 333-  
52968 -  
DEC. 29) (BR. 1)

S-8 ARKANSAS BEST CORP /DE/, 3801 OLD GREENWOOD RD, FORT SMITH, AR 72903  
(501) 785-6000 - 1,000,000 (\$15,981,000) COMMON STOCK. (FILE 333-52970  
-  
DEC. 29) (BR. 5)

S-3 HANOVER COMPRESSOR CO /, 12001 N HOUSTON ROSSLYN, HOUSTON, TX 77086  
(281) 447-8787 - 2,919,681 (\$119,444,149.71) COMMON STOCK. (FILE  
333-52972 - DEC. 29) (BR. 6)

S-3 EARTHCARE CO, 7200 BISHOP ROAD, AUSTELL, GA 30168 (770) 449-8844 -  
10,000,000 (\$23,750,000) COMMON STOCK. (FILE 333-52974 - DEC. 29) (BR.  
6)

S-3 EARTHCARE CO, 7200 BISHOP ROAD, AUSTELL, GA 30168 (770) 449-8844 -  
2,948,153 (\$7,001,863) COMMON STOCK. (FILE 333-52976 - DEC. 29) (BR.  
6)

S-3 DORAL FINANCIAL CORP, 1159 FRANKLIN D ROOSEVELT AVENUE, SAN JUAN, PR  
00920 (787) 749-7100 (FILE 333-52978 - DEC. 29) (BR. 7)

S-8 US CONCRETE INC, 1360 POST OAK BLVD, SUITE 800, HOUSTON, TX 77056  
(713) 350-6000 - 1,000,000 (\$5,781,250) COMMON STOCK. (FILE 333-52980  
-  
DEC. 29) (BR. 6)

S-2 SAMUELS JEWELERS INC, 2914 MONTOPOLIS, SUITE 200, AUSTIN, TX 78741  
(512) -36-9-14 - 2,630,940 (\$4,604,145) COMMON STOCK. (FILE 333-52982  
-  
DEC. 29) (BR. 2)

S-3 CITIBANK SOUTH DAKOTA N A, 701 E 60TH ST N, SIOUX FALLS, SD 57117  
(605) 331-2626 - 12,000,000,000 (\$2,000,000,000) STRAIGHT BONDS. (FILE  
333-52984 - DEC. 29) (BR. 8)

S-8 RUSSIAN IMPORTS COM, 827 STATE ST SUITE 26, SANTA BARBARA, CA 93101  
(805) 899-1299 - 6,000,000 (\$60,000) COMMON STOCK. (FILE 333-52986 -  
DEC. 29) (BR. 9)

S-8 WATCHOUT INC, 20283 STATE ROAD 7, SUITE #400, BOCA RATON, FL 33498  
(561) 482-9420 - 378,000 (\$105,840) COMMON STOCK. (FILE 333-52988 -  
DEC. 29) (BR. 4)

S-8 MEDIA 100 INC, 290 DONALD LYNCH BLVD, MARLBOROUGH, MA 01752  
(508) 460-1600 - 200,000 (\$600,000) COMMON STOCK. (FILE 333-52990 -  
DEC. 29) (BR. 3)

S-8 FLUOR CORP, ONE ENTERPRISE DR, ALISO VIEJO, CA 92656 (949) 349-2000  
-  
12,120,000 (\$399,232,800) COMMON STOCK. (FILE 333-52992 - DEC. 29)  
(BR. 6)

S-8 GUINNESS TELLI-PHONE CORP, 655 REDWOOD HIGHWAY, SUITE 273, MILL  
VALLEY,  
CA 94941 (415) -38-9-94 - 2,000,000 (\$400,000) COMMON STOCK. (FILE  
333-52994 - DEC. 29) (BR. 7)

S-8 SIEBEL SYSTEMS INC, 1855 SOUTH GRANT STREET, SAN MATEO, CA 94402  
(650) 295-5000 - 100,000,020 (\$6,180,646,804) COMMON STOCK. (FILE  
333-52998 - DEC. 29) (BR. 3)

S-3 PROSOFTTRAINING COM, 3001 BEE CAVES ROAD, SUITE 100, AUSTIN, TX  
78746  
(512) 328-6140 - 753,561 (\$7,724,000) COMMON STOCK. (FILE 333-53000 -  
DEC. 29) (BR. 8)

SB-2 POSTERALLEY COM INC, 650 S. CHERRY ST., STE. 310, DENVER, CO 80246  
(303) 629-9751 - 85,000 (\$50,000) COMMON STOCK. (FILE 333-53002 - DEC.  
29)  
(BR. 9)

S-8 SOFTQUAD SOFTWARE LTD, 161 EGLINTON AVENUE EAST, SUITE 400,  
TORONTO ONTARIO CANADA, M4P 1 (416) 544-9000 - 4,899,500 (\$10,999,867)  
COMMON STOCK. (FILE 333-53004 - DEC. 29) (BR. 3)

S-3 NEWPORT CORP, 1791 DEERE AVE, IRVINE, CA 92714 (714) 863-3144 -  
120,847  
(\$8,738,446.50) COMMON STOCK. (FILE 333-53006 - DEC. 29) (BR. 5)

S-8 WEYERHAEUSER CO, 33663 WEYERHAEUSER WAY SOUTH, FEDERAL WAY, WA 98003  
(253) 924-2345 - 1,513,428 (\$72,218,906.25) COMMON STOCK. (FILE 333-  
53010  
- DEC. 29) (BR. 6)

S-3 CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP, 11 MADISON  
AVENUE,  
NEW YORK, NY 10010 (212) 325-2000 - 1,000,000 (\$1,000,000)  
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-53012 - DEC. 29)  
(BR. 8)

S-3 WIRELESS FACILITIES INC, 9805 SCRANTON RD, SUITE 100, SAN DIEGO, CA 92121 (619) 824-2929 - 326,713 (\$11,771,469.39) COMMON STOCK. (FILE 333-53014 - DEC. 29) (BR. 8)

S-8 CHANDLER INSURANCE CO LTD, 5TH FLR ANDERSON SQUARE, PO BOX 1854, GRAND CAYMAN CAYMAN, E9 00000 (345) 949-8177 - 500,000 (\$5,000,000) PREFERRED STOCK. (FILE 333-53016 - DEC. 29) (BR. 1)

S-8 TESSA COMPLETE HEALTH CARE INC/GA, 138 ESCONDIDO AVENUE, SUITE 207, VISTA, CA 92084 (760) 643-3952 - 390,625 (\$125,000) COMMON STOCK. (FILE 333-53018 - DEC. 29) (BR. 9)

S-3 AGL CAPITAL CORP, 817 WEST PEACHTREE ST NW, 10TH FLOOR, ATLANTA, GA 30308 (404) 584-9470 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-53020 - DEC. 29) (NEW ISSUE)

SB-2 VENTURELIST COM INC, 583 SAN MATEO AVE, SAN BRUNO, CA 94066 (650) 588-2678 - 2,224,551 (\$3,460.41) COMMON STOCK. (FILE 333-53022 - DEC. 29) (BR. 9)

SB-2 VSOURCE INC, 5740 RALSTON STREET, SUITE 110, VENTURA, CA 93003 (805) 677-6720 - 3,006,543 (\$5,171,254) COMMON STOCK. (FILE 333-53024 - DEC. 29) (BR. 7)

S-8 INTERNATIONAL SPECIALTY PRODUCTS INC /NEW/, 818 WASHINGTON STREET, WILMINGTON, DE 19801 (201) 628-3520 - 318,599 (\$2,160,499) COMMON STOCK. (FILE 333-53032 - DEC. 29) (BR. 2)

S-3 TETRA TECH INC, 670 N ROSEMEAD BOULEVARD, PASEDNA, CA 91107 (626) 351-4664 - 296,667 (\$10,049,595) COMMON STOCK. (FILE 333-53034 - DEC. 29) (BR. 6)

S-8 TETRA TECH INC, 670 N ROSEMEAD BOULEVARD, PASEDNA, CA 91107 (626) 351-4664 - 3,377,533 (\$114,413,930) COMMON STOCK. (FILE 333-53036 - DEC. 29) (BR. 6)

S-4 PARK NATIONAL CORP /OH/, 50 NORTH THIRD ST, NEWARK, OH 43055 (614) 349-8451 - 3,350,000 (\$258,373,294) COMMON STOCK. (FILE 333-53038 - DEC. 29) (BR. 7)

S-3 BIONUTRICS INC, 2425 E CAMELBACK RD, STE 650, PHOENIX, AZ 85016 (602) 508-0112 - 560,000 (\$560,000) COMMON STOCK. (FILE 333-53042 - DEC. 29) (BR. 1)

S-4 APPLIEDTHEORY CORP, 1500 BROADWAY 3RD FL, NEW YORK, NY 10036 (516) 466-8422 - 1,450,000 (\$3,171,150) COMMON STOCK. (FILE 333-53044 - DEC. 29) (BR. 3)

S-8 BERKSHIRE HATHAWAY INC, 1440 KIEWIT PLZ, OMAHA, NE 68131 (402) 346-1400  
 - 45,527 (\$100,000,056) COMMON STOCK. (FILE 333-53046 - DEC. 29) (BR. 1)

SB-2 E REX INC, 1916 PIKE PLACE, SUITE 1405, SEATTLE, WA 98101 (206) 521-2090  
 - 42,846,167 (\$21,423,084) COMMON STOCK. (FILE 333-53048 - DEC. 29) (BR. 9)

SB-2 TEL ONE INC, PMB 3437028 WATERS AVENUE, TAMPA, FL 33634 (813) 751-1921  
 - 4,000,000 (\$8,000,000) COMMON STOCK. (FILE 333-53050 - DEC. 29) (NEW ISSUE)

S-3 MICROWARE SYSTEMS CORP, 1500 N.W. 118TH STREET, DES MOINES, IA 50325 (515) -22-3-80 - 18,711,358 (\$9,355,679) COMMON STOCK. (FILE 333-53054 - DEC. 29) (BR. 3)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year
- Item 9. Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES MORTGAGE TRUST 2000-2	CA					X	X				12/19/00	
ABN AMRO MORTGAGE CORP SERIES 2000-4			X						X		12/20/00	
ACCIDENT PREVENTION PLUS INC	NV					X	X				01/03/01	
A DEN ENTERPRISES INC	CA				X						11/01/00	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ALL STAR GAS CORP	MO					X	X				01/03/01	
ALLIN CORP	DE					X	X				12/29/00	
ALPHACOM INC	NV				X						01/03/01	AMEND
AMCI INTERNATIONAL INC	UT	X									12/31/00	
AMERISTAR CASINOS INC	NV		X		X	X					12/20/00	
ANGELAUDIO COM INC /DE/	NV				X	X					12/15/00	
ASV INC /MN/	MN						X	X			01/04/01	
ATWOOD OCEANICS INC	TX					X					01/04/01	
AVIRON	DE					X	X				12/28/00	
BANK OF NEW ENGLAND CORP	MA	NO ITEMS									11/30/00	
BARTON BEERS LTD	MD					X					01/04/01	
BARTON BRANDS LTD /DE/	DE					X					01/04/01	
BARTON BRANDS OF CALIFORNIA INC	CT					X					01/04/01	
BARTON BRANDS OF GEORGIA INC	GA					X					01/04/01	
BARTON CANADA LTD	DE					X					01/04/01	
BARTON DISTILLERS IMPORT CORP	NY					X					01/04/01	
BARTON FINANCIAL CORP	DE					X					01/04/01	
BARTON INC	DE					X					01/04/01	
BATAVIA WINE CELLARS INC	NY					X					01/04/01	
BAY VIEW SECURITIZATION CORP	DE							X			12/15/00	
BHIT INC	DE				X		X				12/19/00	AMEND
BILLING CONCEPTS CORP	DE						X	X			12/22/00	
BIOSHIELD TECHNOLOGIES INC	GA					X	X				12/06/00	
BRAZOS SPORTSWEAR INC /DE/	DE					X	X				12/27/00	
BRIDGE BANCORP INC	NY					X					01/31/01	
BRIGHTCUBE INC	NV	X						X			12/20/00	
BUILDERS TRANSPORT INC	DE					X	X				11/27/00	
CALIBER LEARNING NETWORK INC	MD					X	X	X			12/29/00	
CANADIAN DERIVATIVES CLEARING CORP						X	X				12/04/00	
CANANDAIGUA EUROPE LTD	NY					X					01/04/01	
CANANDAIGUA LTD	NY					X					01/04/01	
CANANDAIGUA WINE CO INC /NY/	NY					X					01/04/01	
CANDANAIGUA B V	DE					X					01/04/01	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1	MD					X					12/20/00	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD					X					12/20/00	
CAREER EDUCATION CORP	DE					X	X				01/02/01	
CARROLS CORP	DE	X			X	X					12/19/00	
CDI CORP	PA	X									10/27/00	
CENTERSPAN COMMUNICATIONS CORP	OR	X						X			12/19/00	
CENTEX CREDIT CORP HOME EQ LN ASSET BACKED CERT SER 2000 B	DE					X	X				12/31/00	
CENTEX HOME EQUITY LOAN TRUST 2000-A	DE					X	X				12/31/00	
CENTEX HOME EQUITY LOAN TRUST 2000-C	DE					X	X				12/31/00	
CENTRAL COAL & COKE CORP	DE					X					01/03/01	
CENTRE CAPITAL CORP /NV/	NV	X	X	X	X			X			12/04/00	
CHASE CREDIT CARD MASTER TRUST	NY					X	X				12/15/00	
CHASE CREDIT CARD MASTER TRUST	NY					X	X				12/15/00	
CHASE CREDIT CARD MASTER TRUST	NY					X	X				12/15/00	
CHASE INDUSTRIES INC	DE					X	X				12/26/00	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
CHASE MANHATTAN BANK /NY/	NY				X	X					12/15/00	
CHASE MANHATTAN BANK /NY/	NY				X	X					12/15/00	
CHASE MANHATTAN BANK /NY/	NY				X	X					12/15/00	
CHASE MANHATTAN BANK CHASE CREDIT C ARD OWNER TRUST 2000 3	DE				X	X					12/15/00	
CHASE MANHATTAN BANK USA CHASE CRED IT CARD OWNER TR 2000-1	DE				X	X					12/15/00	
CHASE MANHATTAN BANK USA CHASE CRED IT CARD OWNER TR 2000-2	DE				X	X					12/15/00	
CHICAGO PIZZA & BREWERY INC	CA			X							12/31/00	
CLECO UTILITY GROUP INC	LA				X	X					12/31/00	
CLOUD PEAK CORP	DE				X						01/04/01	
COHO ENERGY INC	TX				X						01/03/01	
COLUMBIA FUTURES FUND	DE				X						12/01/00	
COMCAST CABLE COMMUNICATIONS INC	DE				X						12/31/00	
COMCAST CORP	PA				X						12/31/00	
COMMUNITY CAPITAL CORP /SC/	SC				X	X					01/01/01	
COMMUNITY FINANCIAL GROUP INC	TN				X						12/29/00	
CONSTELLATION BRANDS INC	DE				X						01/04/01	
CONTIFINANCIAL CORP	DE			X		X					12/20/00	
CORIXA CORP	DE				X	X					12/29/00	
COTELLIGENT INC	DE				X	X					12/06/00	
CRAY INC	WA				X	X					12/15/00	
CROSSKEYS SYSTEMS CORP					X						12/21/00	
CROWN CENTRAL PETROLEUM CORP /MD/	MD				X	X					01/03/01	
DAIMLER BENZ AUTO GRANTOR TRUST 199 7 A	DE				X	X					11/20/00	
DAIMLER BENZ VEHICLE OWNER TRUST 19 98-A	DE				X	X					11/20/00	
DEAN WITTER PORTFOLIO STRATEGY FUND LP	NY				X						12/01/00	
DEAN WITTER REALTY YIELD PLUS L P	DE			X							12/22/00	
DEL GLOBAL TECHNOLOGIES CORP	NY			X							01/04/01	
DIAL CORP /NEW/	DE			X							01/03/01	
DIAL CORP /NEW/	DE			X							01/03/01	
DIALYSIS CORP OF AMERICA	FL				X						11/15/00	
DOLLAR GENERAL CORP	TN							X			01/04/01	
DONALDSON CO INC	DE				X	X					01/03/01	
DORSEY TRAILERS INC	DE				X	X					12/29/00	
DROVERS BANCSHARES CORP	PA				X	X					12/27/00	
DUCK HEAD APPAREL CO INC	GA				X						12/30/00	
DYNACORE HOLDINGS CORP	DE			X							12/29/00	
E SYNERGIES INC	CA			X		X	X				12/07/00	
EINSTEIN NOAH BAGEL CORP	DE				X						01/03/01	
EL PASO ENERGY CORP/DE	DE				X	X					01/03/01	
EMPIRE DISTRICT ELECTRIC CO	KS			X							01/03/01	
EQUALNET COMMUNICATIONS CORP	TX				X	X					12/20/00	
ETOYS INC	DE				X	X					01/04/01	
EUROKIOSK INC	DE			X							12/20/00	
EVISION USA COM INC	CO			X			X				12/19/00	
EXPORT FUNDING CORP	DE				X	X					12/22/00	
FAIRFAX GROUP INC	FL			X		X					12/21/00	
FAIRMARKET INC	DE				X	X					12/21/00	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
FIDELITY NATIONAL CORP /GA/	GA					X					12/29/00	
FIRST MARINER BANCORP	MD					X	X				12/29/00	
FOCUS ENHANCEMENTS INC	DE					X					12/28/00	
FORD MOTOR CO	DE					X	X				01/03/01	
FORD MOTOR CREDIT CO	DE					X	X				01/03/01	
FOREST LABORATORIES INC	DE					X					03/31/01	
FOX ENTERTAINMENT GROUP INC	DE					X					12/21/00	
FRANCISCAN VINEYARDS INC	DE					X					01/04/01	
FULTON FINANCIAL CORP	PA					X	X				01/02/01	
GE CAPITAL COM MORT CORP COM MORT P AS THR CERT SER 2000-1	DE					X	X				01/04/01	
GENERAL MAGIC INC	DE					X	X				01/03/01	
GENERAL MEDIA INC	DE					X					12/31/00	
GENERAL MOTORS CORP	DE					X					01/03/01	
GENESCO INC	TN								X		01/04/01	
GENESIS WORLDWIDE INC	OH					X					01/03/01	
GENEVA STEEL HOLDINGS CORP		X									01/03/01	
GOLD BANC CORP INC	KS					X					01/03/01	
GOLDEN SKY DBS INC	DE				X		X				12/26/00	
GOLDEN SKY SYSTEMS INC	DE				X		X				12/26/00	
GULF WEST BANKS INC	FL					X					01/02/01	
HALLIBURTON CO	DE					X	X				01/02/01	
HARRAHS ENTERTAINMENT INC	DE					X					01/04/01	
HARVARD BIOSCIENCE INC	DE					X					12/26/00	
HEALTH NET INC	DE					X	X				01/03/01	
HEALTHAXIS INC	PA					X	X				12/19/00	
HOME SECURITY INTERNATIONAL INC	DE				X	X	X				12/15/00	
ILLINOIS SUPERCONDUCTOR CORPORATION	DE					X					12/07/00	
ILLINOIS SUPERCONDUCTOR CORPORATION	DE		X			X	X				12/20/00	
IMH ASSETS CORP COLLATERALIZED ASSE T BACKED BONDS SER 2000 1	DE								X		12/26/00	
IMMERSION CORP	DE					X	X				09/29/00	
IMPAC MORTGAGE PASS THROUGH CERTIFI CATES SERIES 2000-2	CA					X	X				11/27/00	
IMPAC SECURED ASSETS CORP	CA							X			12/26/00	
INSMED INC	VA					X	X				01/04/01	
INVESTAMERICA INC	NV					X					12/28/00	
IRWIN FINANCIAL CORPORATION	IN		X								01/02/01	
ITC LEARNING CORP	MD		X				X				12/15/00	
J P MORGAN CHASE & CO	DE		X			X	X				12/31/00	
JCC HOLDING CO	DE			X			X				01/04/01	
KEYCORP STUDENT LOAN TRUST 1999 A						X	X				12/27/00	
KITTY HAWK INC	DE					X	X				12/20/00	
LANDAIR CORP	TN					X	X				12/27/00	
LEHMAN BROTHERS HOLDINGS INC	DE					X	X				01/04/01	
LIFE FINANCIAL CORP	DE					X					12/31/00	
LIGAND PHARMACEUTICALS INC	DE					X	X				01/03/01	
LNB BANCORP INC	OH					X	X				04/18/00	
LUMINEX CORP	DE					X					01/03/01	
M & A WEST INC	CO				X		X				01/02/01	AMEND
M V LEWIS CORP	DE					X					01/04/01	
MAGELLAN FILMED ENTERTAINMENT INC	FL	X									09/26/00	AMEND
MANUGISTICS GROUP INC	DE		X				X				12/21/00	



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
MCMORAN EXPLORATION CO /DE/	DE					X						01/02/01	
MEDICAL DISCOVERIES INC	UT				X			X				12/08/00	AMEND
MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 2000-TBC1	NY							X				12/26/00	
MINING SERVICES INTERNATIONAL CORP/	UT					X						01/03/01	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1A	DE					X		X				11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE					X		X				11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE					X		X				11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1D	DE					X		X				11/30/00	
MOLECULAR BIOSYSTEMS INC	DE		X									01/03/01	
MONARCH IMPORT CO	IL							X				01/04/01	
MORGAN STANLEY ABS CAPITAL I TRUST 2000-1	DE							X	X			11/27/00	
MORGAN STANLEY DEAN WITTER SPECTRUM CURRENCY LP	DE							X				12/01/00	
MORGAN STANLEY DEAN WITTER SPECTRUM TECHNICAL LP	DE							X				12/01/00	
MORTGAGE PASS THROUGH CERT SERIES 2 000-1	DE								X			12/26/00	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2000-7	DE								X			12/26/00	
MT VEEDER CORP	DE							X				01/04/01	
NATIONAL PENN BANCSHARES INC	PA							X	X			12/20/00	
NAVISTAR FINANCIAL DEALER NOTE MAST ER TRUST	DE							X	X			11/30/00	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE		X									11/30/00	
NAVISTAR FINANCIAL SECURITIES CORP	DE							X	X			11/30/00	
NBT BANCORP INC	DE							X				01/03/01	
NEFF CORP	DE		X									01/02/01	
NEOTHERAPEUTICS INC	DE									X		01/04/01	
NETERGY NETWORKS INC	DE									X		01/03/01	
NETWORK COMMERCE INC	WA		X						X			12/22/00	
NEWPARK RESOURCES INC	DE							X	X			12/28/00	
NICOLE INDUSTRIES INC	NV							X				01/03/01	
NOVASTAR FINANCIAL INC	MD							X				01/01/01	
NOVO NETWORKS INC	DE					X			X			01/02/01	
OAKWOOD MORTGAGE INVESTORS INC	NV							X	X			12/21/00	
OFFICE DEPOT INC	DE							X	X			01/03/01	
OGDEN CORP	DE							X	X			01/03/01	
ONE LIBERTY PROPERTIES INC	MD		X									12/28/00	
ONVIA COM INC	DE							X	X			01/02/01	
OPTION ONE MORT ACCEPT CORP ASSET B ACKED CERT SER 2000-2	DE								X			12/26/00	
OPTION ONE MORT ACCEPT CORP ASSET B ACKED CERT SERIES 2000-3	DE								X			12/26/00	
PAB BANKSHARES INC	GA							X				01/02/01	
PACIFIC GAS & ELECTRIC CO	CA							X				01/04/01	
PACIFIC WEBWORKS INC	NV		X						X			10/31/00	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
PALADYNE CORP	DE					X	X				12/27/00	
PETROCORP INC	TX					X	X				12/22/00	
PETSMART INC	DE		X					X			12/20/00	
PG&E CORP	CA					X					01/04/01	
POLYPHENOLICS INC	NY					X					01/04/01	
PROFESSIONAL BENEFITS INSURANCE CO	TX							X			10/31/00	
PROFESSIONAL BENEFITS INSURANCE CO	TX							X			11/30/00	
PROVIDENT BANK	OH							X			12/26/00	
PROVIDENT BANK	OH							X			12/26/00	
PROVIDENT HOME EQUITY LOAN TRUST 20 00-A	OH					X	X				11/27/00	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE							X			12/26/00	
QC OPTICS INC	DE				X		X				01/02/01	
QLT INC/BC	AL					X	X				12/14/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			12/26/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			12/26/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			12/26/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			12/26/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			12/26/00	
ROBERTS TRADING CORP	NY					X					01/04/01	
ROCK OF AGES CORP	DE					X					01/02/01	
SALOMON BROTHERS COMMERCIAL MORT TR 2000-C3	DE					X	X				12/19/00	
SAXON ASSET SECURITIES CO	VA					X					12/20/00	
SAXON ASSET SECURITIES TR 2000-2 MO RT LN AS BK CER SE 2000-2	VA					X	X				11/27/00	
SCP POOL CORP	DE					X					12/31/00	
SEARS ROEBUCK & CO	NY					X	X				01/04/01	
SMITH RIVER BANKSHARES INC	VA					X	X				01/03/01	
SOLECTRON CORP	DE		X								12/26/00	
SOUTHERN ENERGY INC	DE		X				X				12/19/00	
STAN LEE MEDIA INC	CO					X	X				12/15/00	
STATION CASINOS INC	NV		X					X			12/20/00	
STEVENS POINT BEVERAGE CO	WI					X					01/04/01	
STOCKWALK COM GROUP INC	MN					X					01/01/01	
STRATUS SERVICES GROUP INC	DE		X					X			10/27/00	AMEND
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE							X			12/21/00	
SUPERGEN INC	DE					X	X				12/21/00	
SURGICARE INC/DE	DE		X								10/30/00	
TARGET CORP	MN					X					01/04/01	
TDK MEDIACTIVE INC	DE							X			12/19/00	
TECHNOLOGY SYSTEMS INTERNATIONAL IN C /FL/	FL				X			X			12/29/00	
TEKGRAF INC	GA					X	X				01/03/01	
THERMOELASTIC TECHNOLOGIES INC /CO/	CO				X		X				12/20/00	
THESTREET COM	DE					X	X				12/20/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
TIFFANY & CO	DE					X					01/04/01	
TRANSKARYOTIC THERAPIES INC	DE					X	X				01/03/01	
TRIARC COMPANIES INC	DE								X		01/03/01	
TRITON ENERGY LTD	E9								X		01/03/01	
TRUE NORTH COMMUNICATIONS INC	DE					X					01/04/01	
TUMBLEWEED COMMUNICATIONS CORP	DE						X	X			01/03/01	
UNION BANKSHARES INC	VT					X					01/03/01	
UNITED AUTO GROUP INC	DE						X	X			12/28/00	
UNITY BANCORP INC /DE/	DE					X	X				12/11/00	
UNUMPROVIDENT CORP	DE					X					01/03/01	
US INDUSTRIES INC /DE	DE					X					01/04/01	
USA BIOMASS CORP	DE					X	X				12/29/00	
VALSPAR CORP	DE		X				X				12/20/00	
VENTURIAN CORP	MN					X					12/26/00	
VIALINK CO	DE					X	X				12/29/00	
VIDEONICS INC	CA					X					12/28/00	
VIRTUAL COMMUNITIES INC/DE/	DE					X	X				01/03/01	
VIRTUAL TECHNOLOGY CORP	MN			X			X				12/20/00	
WEBMD CORP /NEW/	DE					X	X				12/29/00	
WELLS FARGO ASSET SEC CORP MORT PAS	DE							X			12/26/00	
S THR CERT SER 2000-11												
WELLS FARGO ASSET SEC CORP MORT PAS	NY							X			12/26/00	
S THR CERT SER 2000-2												
WELLS FARGO ASSET SEC CORP MORT PAS	DE							X			12/26/00	
S THR CERT SER 2000-5												
WELLS FARGO ASSET SEC CORP MORT PAS	DE							X			12/26/00	
S THR CERT SER 2000-6												
WELLS FARGO ASSET SEC CORP MORT PAS	NY							X			12/26/00	
S THR CERT SERIES 2000-4												
WELLS FARGO ASSET SEC CORP MORT PAS	DE							X			12/26/00	
S THROUGH CERT SER 2000 8												
WELLS FARGO ASSET SECURITIES CORP M	DE							X			12/26/00	
ORT BK SEC 2000-10 TRUST												
WELLS FARGO ASSET SECURITIES CORP M	DE					X	X				01/03/01	AMEND
ORT BK SEC 2000-10 TRUST												
WELLS FARGO ASSET SECURITIES CORP M	DE							X			12/26/00	
ORT BK SEC 2000-3 TRUST												
WELLS FARGO ASSET SECURITIES CORP M	DE							X			12/26/00	
ORT BK SEC 2000-9 TRUST												
WELLS REAL ESTATE INVESTMENT TRUST	MD		X					X			12/21/00	
INC												
WESTERN MEDIA GROUP CORP	MN	X	X					X			10/31/00	AMEND
WESTERN WATER CO	DE					X					12/27/00	
WILD OATS MARKETS INC	DE								X		01/04/00	
WITTER DEAN CORNERSTONE FUND II	NY					X					12/01/00	
WITTER DEAN CORNERSTONE FUND III	NY					X					12/01/00	
WITTER DEAN CORNERSTONE FUND IV	NY					X					12/01/00	
WITTER DEAN WORLD CURRENCY FUND L P	DE					X					12/01/00	
XCEL ENERGY INC	MN					X	X				01/04/01	
XETA TECHNOLOGIES INC	OK								X		10/31/00	
YARC SYSTEMS CORPORATION INC	CA					X					01/31/01	
YELLOWAVE CORP	NY					X	X				01/03/01	
3 DIMENSIONAL PHARMACEUTICALS INC	DE					X	X				01/02/01	