

# SEC NEWS DIGEST

Issue 98-107

June 4, 1998

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## COMMISSION ANNOUNCEMENTS

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### COMMISSION MEETINGS

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Visitors are welcome at all open meetings, insofar as space is available. Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

### OPEN MEETING - FRIDAY, JUNE 12, 1998 - 11:00 A.M.

The subject matter of the open meeting scheduled for Friday, June 12, 1998, at 11:00 a.m., will be:

Consideration of whether to propose for public comment an amendment to Rule 102(e) of the Commission's Rules of Practice clarifying the Commission's standard for determining when accountants engage in "improper professional conduct." For further information, please contact, Michael J. Kigin, Associate Chief Accountant, Office of Chief Accountant at (202) 942-4400.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

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## **RULES AND RELATED MATTERS**

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### **NOTICE OF ORDER PURSUANT TO SECTION 12(h)**

The Commission has issued an order pursuant to Section 12(h) of the Securities Exchange Act of 1934, as amended (Exchange Act) granting Summit Properties Inc. an exemption from the provisions of Section 16 of the Exchange Act with respect to its ownership of and transactions in units of limited partnership interest of Summit Properties Partnership, L.P. (Rel. 34-40059 File No. 81-926)

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### **ENFORCEMENT PROCEEDINGS**

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#### **ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST DAVID D'ANDREA**

On June 3, the Commission has entered an Order Instituting Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Order) against David W. D'Andrea (D'Andrea) of Babylon, New York. On March 26, 1997, D'Andrea was convicted for conspiracy to commit securities fraud, mail fraud and wire fraud (United States v. D'Andrea, Case No. 96-6143-CR-Gonzalez, S.D. Fla. 1977). The Commission's Order alleges that while associated with the Boca Raton office of Barron Chase Securities, Inc., D'Andrea accepted approximately \$10,000 in undisclosed commissions from a stock promoter for inducing his clients to purchase certain securities. A hearing will be scheduled to determine what remedial sanctions, if any, are appropriate in the public interest. (Rel. 34-40060; File No. 3-9617)

#### **ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST AND SIMULTANEOUSLY SETTLED WITH JOSEPH MICELI, JR.**

The Commission has entered an Order Instituting Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions (Order) against Joseph Miceli, Jr. (Miceli) of Boca Raton, Florida. The Commission simultaneously accepted Miceli's Offer of Settlement, in which he agreed to be permanently barred from association with any broker, dealer, municipal securities dealer, investment adviser, or investment company.

The Order alleged that from August 1991 until September 1996, Miceli was a registered representative of a registered broker dealer. The Order further alleges that from in or about July 1993 through January 1994, Miceli accepted undisclosed commissions of approximately \$10,000 from a promoter when Miceli's clients purchased securities of certain issuers affiliated with the promoter.

On July 17, 1997, Miceli pled guilty to a one count criminal information charging him with conspiracy to commit securities fraud, mail fraud and wire fraud (United States v. Joseph Miceli, Jr., Case No. 96-6144-CR-GONZALEZ, S.D. Fla.). (Rel. 34-40061; File No. 3-9618)

#### **COMPLAINT FILED AGAINST BHARAT KOTECHA AND ARNON ISAACSON**

On June 3, in the United States District Court for the Northern District of Georgia, a complaint was filed by the Commission against defendants Bharat Kotecha (Kotecha) and Arnon Isaacson (Isaacson), former employees of The Home Depot, Inc. (Home Depot), an Atlanta-based chain of home improvement and building supply stores. The complaint seeks to permanently enjoin Kotecha from further violations of Section 17(a) of the Securities Act of 1933 and to enjoin both Kotecha and Isaacson from further violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission also seeks disgorgement of all gains enjoyed and all losses improperly avoided by Kotecha and Isaacson and by those persons to whom the defendants conveyed material nonpublic information acquired from Home Depot, prejudgment interest thereon, and civil penalties pursuant to the Insider Trading Sanctions Act of 1984.

The Commission's complaint alleges that, during April and May of 1995, while employed in Home Depot's accounting department, Kotecha and Isaacson learned that the company's financial performance during the first quarter of its 1995 fiscal year would be disappointing. Using the information they received in the course of their work, the defendants opened and closed positions in call and put options on Home Depot's stock shortly before the company's public announcement about its disappointing first quarter earnings on May 16, 1995. In addition, Isaacson tipped his father and brother, who also used the information to open and close positions in put options on Home Depot's stock during the same time period. Through his trading on confidential information, Kotecha realized gains and avoided losses in the amount of \$47,312.50. Isaacson, his father and his brother realized gains of \$23,650 from trading prior to Home Depot's public announcement. [SEC v. Bharat Kotecha and Arnon Isaacson, Civil Action No. 1: 98-CV-1576, N.D. Ga.] (LR-15765)

#### **OFFSHORE INVESTMENT SCHEME SHUT DOWN BY TEMPORARY RESTRAINING ORDER**

The Commission announced that on June 3, in Waco, Texas, United States District Judge Walter S. Smith granted the Commission's request for an emergency restraining order to halt the fraudulent money raising efforts of Scott L. Klion, individually and d/b/a Cen-Tex Alchemy Guild, and Elizabeth Alaniz of Copperas Cove, Texas. According to the Commission's complaint, Klion and his fiancée, Alaniz, raised approximately \$2.7 million from at least 1,400 investors in several states through the offer and sale of interests in a fraudulent "Pure Contract Trust" investment program. Klion and Alaniz represented to investors that their money was pooled and then used to make a variety of foreign or offshore investments that Klion and Alaniz claimed were not subject to the jurisdiction of any

"Agent or Agency" of the U.S. Government. The complaint alleges that Klion and Alaniz told investors that these "secret" investments would generate gross returns of 400% or more, that virtually all of the investments were guaranteed by "Top 100" banks, and that the remainder were backed by either unidentified wealthy individuals or the governments of foreign countries. The complaint further states that the trading programs do not exist and that, in fact, the defendants used funds obtained from current investors to pay purported profits in the form of monthly dividends to prior investors, in an obvious "Ponzi" scheme, and for their own personal expenses, including a \$30,000 engagement ring that Klion purchased for Alaniz.

In its complaint, the Commission alleges that defendants have violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder. [SEC v. Scott L. Klion, individually and d/b/a Cen-Tex Alchemy Guild, and Elizabeth Alaniz, W98CA186, USDC, WD/TX, Waco Division] (LR-15766)

**EUGENE MCCLOSKEY AND CHARLES MEIZOSO, TWO FORMER GRUNTAL & CO., INCORPORATED MANAGERS, ARE ENJOINED FROM VIOLATING ANTIFRAUD AND RECORDKEEPING PROVISIONS AND ARE BARRED FROM THE SECURITIES INDUSTRY**

The Commission today filed a complaint in the United States District Court for the Southern District of New York against Eugene McCloskey and Charles Meizoso, former senior managers of Gruntal & Co., Incorporated, a registered broker-dealer, alleging that -- in connection with two fraudulent schemes to divert securities and funds totaling approximately \$11 million from customer accounts and other sources at Gruntal -- McCloskey and Meizoso violated antifraud and corporate recordkeeping provisions, and aided and abetted violations of broker-dealer recordkeeping provisions, of the federal securities laws. McCloskey and Meizoso each consented to the entry of a final judgment, which the judge today signed, (1) permanently enjoining him from (a) violating Section 17(a) of the Securities Act of 1933, Sections 10(b) and 13(b)(5) of the Securities Exchange Act of 1934 (Exchange Act), and Exchange Act Rules 10b-5 and 13b2-1, and (b) aiding and abetting violations of Section 17(a) of the Exchange Act and Exchange Act Rules 17a-3 and 17a-4; (2) ordering him to disgorge his ill-gotten gains and losses avoided, plus prejudgment interest thereon, but waiving such disgorgement, and not imposing a civil penalty, based on representations in a statement of financial condition; and (3) in the case of Meizoso, barring him from acting as an officer or director of any public corporation. Based on the injunctions, and offers of settlement of administrative proceedings from McCloskey and Meizoso, the Commission today issued separate orders as to McCloskey and Meizoso instituting administrative proceedings, accepting the offers of settlement, and barring McCloskey and Meizoso from association with any broker, dealer, investment adviser, investment company, or municipal securities dealer. [SEC v. Eugene McCloskey and Charles Meizoso, No. 98 Civ. 3943, MBM, SDNY] (LR-15767, AAE Rel. 1040); (Administrative Proceeding in the Matter of Eugene McCloskey - Rel. 34-40067, AAE

## INVESTMENT COMPANY ACT RELEASES

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### OPPENHEIMER SERIES FUND, INC., ET AL.

An order has been issued on an application filed by Oppenheimer Series Fund, Inc., et al. under Section 17(b) of the Investment Company Act granting an exemption from Section 17(a). The order permits certain series of Oppenheimer Series Fund, Inc. and Oppenheimer Integrity Funds, both registered open-end management investment companies, to acquire the assets and liabilities of certain series of Oppenheimer Series Fund, Inc. Due to certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-23239 - June 2)

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## HOLDING COMPANY ACT RELEASES

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### NEW ENGLAND ELECTRIC SYSTEM, ET AL.

A supplemental order has been issued authorizing New England Electric System (NEES), a registered holding company, and one of NEES' public utility subsidiary companies, New England Power Company (NEP), to increase from \$375 million to \$750 million the total amount of the short-term borrowings NEP may incur under the NEES intrasystem money pool, by borrowing from banks and/or through the issuance of commercial paper. (Rel. 35-26881)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGE

The Government Securities Clearing Corporation filed a proposed rule change (SR-GSCC-98-02) that will amend GSCC's rules to establish a service for the clearance of general collateral repurchase agreements involving government securities. Publication of the proposal is expected in the Federal Register during the week of June 8. (Rel. 34-40057)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-Phlx-98-21) filed by the Philadelphia Stock Exchange relating to the listing and trading of options on the Over-The-Counter Prime Index has become effective upon filing with the Commission. Publication of the proposal is expected in the Federal Register during the week of June 8. (Rel. 34-40058)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-10 INTRAWEST CORP, STE 800 200 BURRARD ST, VANCOUVER BRITISH CO, A1 00000  
(206) 623-7580 - \$75,556,250 FOREIGN COMMON STOCK (FILE 333-8810 -  
MAY. 26) (BR 5)
- F-6 CENTRAIS GERADORAS DO SOL DO BRASIL SA GERASUL /ADR/, 60 WALL ST,  
C/O MORGAN GUARANTY TRUST CO OF NEW YORK, NEW YORK, NY 10260 (212) 648-3250  
- 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE  
333-8814 - MAY 22) (BR. 99 - NEW ISSUE)
- S-8 HUMMINGBIRD COMMUNICATIONS LTD, 1 SPARKS AVENUE, NORTH YORK ONTARIO C,  
A1 (416) 496-2200 - 350,000 (\$10,543,750) FOREIGN COMMON STOCK. (FILE  
333-8822 - MAY 26) (BR. 3)
- S-4 STERLING SOFTWARE INC, 300 CRESCENT COURT, SUITE 1200, DALLAS, TX 75201  
(214) 981-1000 - 942,813 (\$3,152,510) COMMON STOCK. (FILE 333-53747 -  
MAY. 28) (BR. 3)
- S-8 WESTINGHOUSE AIR BRAKE CO /DE/, 1001 AIR BRAKE AVE, WILMERDING, PA 15148  
(412) 825-1000 - 500,000 (\$13,670,000) COMMON STOCK (FILE 333-53753 -  
MAY 28) (BR 5)
- SB-2 INTERACTIVE MAGIC INC /MD/, 215 SOUTHPORT DR, STE 1000, MORRISVILLE, NC  
27560 (919) 461-0722 - 3,220,000 (\$32,200,000) COMMON STOCK. (FILE  
333-53755 - MAY 28) (BR 9 - NEW ISSUE)
- S-4 COLUMBUS MCKINNON CORP, 140 JOHN JAMES AUDUBON PKWY, AMHERST, NY 14228  
(716) 689-5400 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE  
333-53759 - MAY 28) (BR. 5)
- S-3 MEDPARTNERS INC, 3000 GALLERIA TOWER, STE 1000, BIRMINGHAM, AL 35244  
(205) 733-8996 - 503,997 (\$4,441,473.56) COMMON STOCK. (FILE 333-53761 -  
MAY. 28) (BR. 1)
- S-8 MARKETSPAN CORP, 175 EAST OLD COUNTRY ROAD, HICKSVILLE, NY 11801  
(516) /75-5-65 - 750,000 (\$26,250,000) COMMON STOCK. (FILE 333-53765 -  
MAY. 28) (BR. 4)
- S-3 BALTIMORE GAS & ELECTRIC CO, 39 W LEXINGTON ST, CHARLES CTR, BALTIMORE,  
MD 21201 (410) 783-5920 - 10,000,000 (\$250,000,000) PREFERRED STOCK.  
(FILE 333-53767 - MAY 28) (BR 2)
- S-8 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -  
2,000,000 (\$82,940,000) COMMON STOCK. (FILE 333-53769 - MAY. 28) (BR. 3)

S-8 INTERJET NET CORP, 15554 FM 529 STE 123, STE 550, HOUSTON, TX 77095  
(714) 723-2183 - 100,000 (\$400,000) COMMON STOCK. (FILE 333-53771 -  
MAY. 28) (BR. 9)

S-8 INTERPORE INTERNATIONAL /CA/, 181 TECHNOLOGY DR, IRVINE, CA 92718  
(714) 453-3200 - 894,765 (\$4,278,767) COMMON STOCK. (FILE 333-53775 -  
MAY. 28) (BR. 5)

S-8 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -  
360,000 (\$14,929,200) COMMON STOCK. (FILE 333-53777 - MAY 28) (BR 3)

S-1 UNICAPITAL CORP, 1111 KANE CONCOURSE, SUITE 301, BAY HARBOR ISLAND, FL  
33154 (305) 861-0603 - 25,000,000 (\$387,500,000) COMMON STOCK (FILE  
333-53779 - MAY 28) (BR 7)

S-8 COLUMBIA SPORTSWEAR CO, 6600 NORTH BALTIMORE, PORTLAND, OR 97203  
(503) 286-3676 - 2,500,000 (\$41,133,136.80) COMMON STOCK. (FILE 333-53785  
- MAY. 28) (BR. 2)

S-3 ADVANCED MACHINE VISION CORP, 2067 COMMERCE DR, MEDFORD, OR 97504  
(541) 776-7700 - 652,500 (\$1,324,575) COMMON STOCK. (FILE 333-53787 -  
MAY. 28) (BR. 5)

S-1 SIMCALA INC, OHIO FERRO ALLOYS ROAD, P O BOX 68, MT MEIGS, AL 36057  
(334) 215-7560 - 75,000,000 (\$75,000,000) STRAIGHT BONDS (FILE 333-53791  
- MAY. 28) (BR. 6)

S-8 SUNGARD DATA SYSTEMS INC, 1285 DRUMMERS LN, STE 300, WAYNE, PA 19087  
(610) 341-8700 - 3,000,000 (\$99,375,000) COMMON STOCK. (FILE 333-53793 -  
MAY. 28) (BR 3)

S-8 APPLIED DIGITAL ACCESS INC, 9855 SCRANTON RD, SAN DIEGO, CA 92121  
(619) 623-2200 - 700,000 (\$3,463,000) COMMON STOCK. (FILE 333-53795 -  
MAY 28) (BR 5)

SB-2 MOUND CITY FINANCIAL SERVICES INC, P O BOX 927, MADISON, WI 53701  
(608) 283-1773 - 12,000 (\$3,720,000) COMMON STOCK (FILE 333-53797 -  
MAY. 28) (BR 7)

S-8 ARXA INTERNATIONAL ENERGY INC, 110 CYPRESS STATION DRIVE, SUITE 280,  
STE 280, HOUSTON, TX 77090 (281) 444-1088 - 300,000 (\$168,000)  
COMMON STOCK. (FILE 333-53799 - MAY. 28) (BR 2)

S-8 NATIONAL SEMICONDUCTOR CORP, 2900 SEMICONDUCTOR DR, PO BOX 58090,  
SANTA CLARA, CA 95052 (408) 721-5000 - 258,695 (\$137,108.35) COMMON STOCK.  
(FILE 333-53801 - MAY. 28) (BR. 5)

S-3 MARTEK BIOSCIENCES CORP, 6480 DOBBIN RD, COLUMBIA, MD 21045  
(410) 740-0081 - 1,917,523 (\$24,333,368) COMMON STOCK (FILE 333-53803 -  
MAY. 28) (BR. 1)

S-8 ATLANTIC DATA SERVICES INC, ONE BATTERYMARCH PARK, QUINCY, MA 02169  
(617) 770-3333 - 2,263,010 (\$24,016,629.10) COMMON STOCK (FILE 333-53805  
- MAY. 28) (BR. 3)

S-3 NATIONAL TECHTEAM INC /DE/, 835 MASON AVE STE 200, DEARBORN, MI 48224  
(313) 277-2277 - 350,000 (\$3,020,500) COMMON STOCK (FILE 333-53807 -  
MAY. 28) (BR 3)

S-8 HARMON INDUSTRIES INC, 1300 JEFFERSON CT, BLUE SPRINGS, MO 64015  
(816) 229-3345 - 30,000 (\$703,125) COMMON STOCK (FILE 333-53809 -  
MAY. 28) (BR 7)

S-8 CRYO CELL INTERNATIONAL INC, 604 PACKARD CT, SAFETY HARBOR, FL 34695  
(201) 224-7070 - 25,000 (\$73,500) COMMON STOCK. (FILE 333-53811 - MAY. 28)  
(BR. 8)

S-8 ODS NETWORKS INC, 1101 E ARAPAHO ROAD, RICHARDSON, TX 75081  
(214) 234-6400 - 103,063 (\$462,752.87) COMMON STOCK. (FILE 333-53813 -  
MAY. 28) (BR. 3)

S-4 INSIGNIA PROPERTIES TRUST /, ONE INSIGNIA FINANCIAL PLAZA, GREENVILLE,  
SC 29602 (864) 239-1300 - 4,072,001 (\$67,717,376) COMMON STOCK (FILE  
333-53815 - MAY 28) (NEW ISSUE)

S-8 WEST CO INC, 101 GORDON DR, P O BOX 645, LIONVILLE, PA 19341  
(610) 594-2900 - 1,500,000 (\$43,500,000) COMMON STOCK (FILE 333-53817 -  
MAY. 28) (BR 6)

S-3 NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORP /DC/,  
2201 COOPERATIVE WY, HERNDON, VA 22071 (703) 709-6700 - 1,000,000,000  
(\$1,000,000,000) STRAIGHT BONDS (FILE 333-53819 - MAY. 28) (BR. 7)

S-4 TRANSAMERICAN REFINING CORP, 1300 EAST NORTH BELT, SUITE 320, HOUSTON,  
TX 77032 (281) 986-8811 - 200,000,000 (\$200,000,000) STRAIGHT BONDS.  
(FILE 333-53821 - MAY. 28) (BR. 4)

S-8 SARATOGA BANCORP, 12000 SARATOGA SUNNYVALE RD, SARATOGA, CA 95070  
(408) 973-1111 - 277,011 (\$4,259,044) COMMON STOCK. (FILE 333-53823 -  
MAY 28) (BR 7)

S-4 UNITRODE CORP, 7 CONTINENTAL BLVD, MERRIMACK, NH 03054 (603) 424-2410 -  
10,283,774 (\$151,068,640) COMMON STOCK (FILE 333-53825 - MAY. 28)  
(BR 5)

S-8 WESTBANK CORP, 225 PARK AVE, PO BOX 149, WEST SPRINGFIELD, MA 01090  
(413) 747-1400 - 200,000 (\$2,775,000) COMMON STOCK (FILE 333-53827 -  
MAY. 28) (BR 7)

S-8 GENETIC VECTORS INC, 2000 S DIXIE HIGHWAY, STE 100, MIAMI, FL 33133  
(305) 859-7800 - 375,000 (\$3,492,187 50) COMMON STOCK (FILE 333-53829 -  
MAY. 28) (BR 9)

S-8 WESTBANK CORP, 225 PARK AVE, PO BOX 149, WEST SPRINGFIELD, MA 01090  
(413) 747-1400 - 125,000 (\$1,734,375) COMMON STOCK. (FILE 333-53831 -  
MAY 28) (BR 7)

S-8 INTERNATIONAL SPECIALTY PRODUCTS INC, 818 WASHINGTON STREET, WILMINGTON,  
DE 19801 (302) 429-8554 - 500,000 (\$8,734,375) COMMON STOCK. (FILE  
333-53833 - MAY. 28) (BR. 2)

S-3 FIRST INDUSTRIAL REALTY TRUST INC, 311 S WACKER DRIVE, SUITE 4000,  
CHICAGO, IL 60606 (312) 344-4300 - 1,112,644 (\$33,768,746) COMMON STOCK.  
(FILE 333-53835 - MAY. 28) (BR 8)

S-4 WAM NET INC, 6100 W 110TH ST, MINNEAPOLIS, MN 55438 (612) 886-5100 -  
208,530,000 (\$69,510,000) STRAIGHT BONDS (FILE 333-53841 - MAY 28)  
(NEW ISSUE)

S-4 UNION PLANTERS CORP, 7130 GOODLETT FARMS PKWY, MEMPHIS, TN 38018  
(901) 580-6000 - \$34,459,148 COMMON STOCK (FILE 333-53843 - MAY 28)  
(BR 7)

S-3 BARRINGER TECHNOLOGIES INC, 219 SOUTH STREET, NEW PROVIDENCE, NJ 07974  
(908) 665-8200 - 125,031,250 (\$1,617,188) COMMON STOCK (FILE 333-53845 -  
MAY. 28) (BR. 1)

S-3 NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORP /DC/,  
2201 COOPERATIVE WY, HERNDON, VA 22071 (703) 709-6700 - \$250,000,000  
STRAIGHT BONDS. (FILE 333-53847 - MAY. 28) (BR. 7)

S-8 ADAC LABORATORIES, 540 ALDER DR, MILPITAS, CA 95035 (408) 321-9100 -  
100,000 (\$1,975,000) COMMON STOCK. (FILE 333-53849 - MAY. 28) (BR. 5)

S-3 DAIN RAUSCHER CORP, DAIN BOSWORTH PLAZA, 60 SOUTH SIXTH ST, MINNEAPOLIS,  
MN 55402 (612) 371-7750 (FILE 333-53851 - MAY. 28) (BR. 7)

S-3 FEDERAL MOGUL CORP, 26555 NORTHWESTERN HGWY, SOUTHFIELD, MI 48034  
(248) 354-7700 - 11,500,000 (\$575,000,000) PREFERRED STOCK (FILE  
333-53853 - MAY 28) (BR 5)



- S-3 ASSET SECURITIZATION CORP, TWO WORLD FINANCIAL CENTER,  
 BUILDING B 21ST FLOOR, NEW YORK, NY 10281 (212) 667-9300 - 1,000,000  
 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-53859 -  
 MAY. 28) (BR. 8)
- S-3 CWMBS INC, 4500 PARK GRANADA, CALABASAS, CA 91302 (818) 304-5591 -  
 9,000,000,000 (\$9,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE.  
 (FILE 333-53861 - MAY 28) (BR 8)
- S-8 UNIFIED FINANCIAL SERVICES INC, 431 N PENNSYLVANIA ST , INDIANAPOLIS, IN  
 46204 (314) 634-3301 - 1,500,000 (\$1,382,498) COMMON STOCK (FILE  
 333-53863 - MAY 29) (BR 9)
- S-4 PURINA MILLS INC, 1401 S HANLEY ROAD, ST LOUIS, MO 63144 (314) 768-4100  
 - 350,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 333-53865 - MAY 29)
- S-1 4FRONT TECHNOLOGIES INC, 5650 GREENWOOD PLZ BLVD STE 107, ENGLEWOOD, CO  
 80111 (303) 721-7341 - 4,600,000 (\$58,926,000) COMMON STOCK (FILE  
 333-53867 - MAY 29) (BR. 3)
- S-3 FLIR SYSTEMS INC, 16505 SW 72ND AVE, PORTLAND, OR 97224 (503) 684-3731  
 - 2,770,500 (\$53,858,520) COMMON STOCK. (FILE 333-53869 - MAY. 29)  
 (BR. 5)
- S-8 ADAC LABORATORIES, 540 ALDER DR, MILPITAS, CA 95035 (408) 321-9100 -  
 847,000 (\$16,728,250) COMMON STOCK. (FILE 333-53871 - MAY. 29) (BR. 5)