

# SEC NEWS DIGEST

Issue 98-95

May 18, 1998

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## ENFORCEMENT PROCEEDINGS

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### **BISCO INDUSTRIES, INC. CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE CEASE AND DESIST ORDER FOR VIOLATING RULE 10b-13 OF THE EXCHANGE ACT**

The Commission today announced that it instituted and settled administrative proceedings, pursuant to Section 21C of the Securities Exchange Act of 1934, against Respondent Bisco Industries, Inc., a privately-held, California-based company engaged in the business of distributing fasteners and electronic components. The Commission found that Bisco violated Rule 10b-13 of the Exchange Act, which prohibits any person who makes a cash tender offer for an equity security from, directly or indirectly, purchasing that security otherwise than pursuant to its tender offer. The Commission found that Bisco violated Rule 10b-13 by directly and indirectly purchasing 336,100 shares of Family Steak Houses of Florida, Inc. during the pendency of a cash tender offer it had made for Family Steak.

Without admitting or denying the Commission's findings, Bisco consented to the entry of an order which requires it to cease and desist from committing or causing any violation, and any future violations, of Rule 10b-13 of the Exchange Act. (Rel. 34-39997; File No. 3-9606)

### **CIVIL ACTION AGAINST NICHI CAPITAL, LTD., ET AL.**

The Commission announced that on May 12 the Honorable Charles S. Haight, Jr., Senior Judge of the United States District Court for the Southern District of New York issued an order that, among other things, preliminarily enjoins Nichi Capital, Ltd. (Nichi), Wise Choice Discount Brokerage, Inc. (Wise Choice), and Nichi's President, Oluwande A. Agunloye (Agunloye), and two registered representatives of Wise Choice, Ralph Anteby and Brian Walfish (collectively, defendants) from violating the antifraud provisions and registration provisions of the federal securities laws; continues the previously ordered freeze of the assets of Agunloye, Wise Choice and Nichi; and requires each of the defendants to provide an accounting.

In its complaint, filed March 27, 1998, the Commission alleges that since October 1997, the defendants have induced over \$200,000 in investments in Nichi securities by misrepresenting to investors that an IPO of Nichi stock was about to take place, that their shares would rise greatly in value after the company went public, and that their money would be placed in an escrow account until the IPO took place. The Commission alleges that those statements were false and misleading because, while Nichi had filed a registration statement for an initial public offering of its stock, the IPO was not expected to take place in the near future; no escrow account for the IPO funds was ever established; and the investors' money was used by Agunloye, at least in part, in connection with his acquisition of an equity interest in relief defendant Strategic Risk Management, Inc.

The Commission seeks a final judgment permanently enjoining the defendants from further violations of Sections 5(a) and 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934, and Rules 10b-5 and 10b-9 promulgated thereunder; and ordering them to disgorge their ill-gotten gains plus prejudgment interest, and to pay civil penalties. The Commission also seeks an order requiring Strategic to account for and disgorge an amount equal to the funds it improperly obtained from the defendants. (See also LR-15688, dated March 27, 1998). [SEC v. Nichi Capital Ltd., Oluwande Agunloye, Wise Choice Discount Brokerage, Inc., Ralph Anteby, and Brian Walfish, and Strategic Risk Management as Relief Defendant, 98 Civ. 2218, CSH, SDNY] (LR-15740)

**COMPLAINT FILED AGAINST PHEBE ERDMAN, HANS ERDMAN AND DAVID LEMON (DEFENDANTS) AND REBECCA ERDMAN AND LESLIE CHEKIN (RELIEF DEFENDANTS)**

The Commission announced that on May 15 it filed a complaint in the District Court for the Southern District of Florida against Phebe W. Erdman (P. Erdman), Hans L. Erdman (H. Erdman) and David M. Lemon (Lemon) for their participation in a fraudulent, unregistered secondary offering of securities. The complaint also names Rebecca W. Erdman (R. Erdman) and Leslie Chekin (Chekin) as relief defendants. The complaint alleges that the defendants received \$240,000 by selling 370,000 shares of stock in a worthless shell company, International Trade & Commerce Corp. (ITC) to 40 investors. The defendants solicited investors by misrepresenting that ITC would acquire other businesses, realize substantial revenues and finance acquisitions through a public offering of its stock. The complaint alleges that P. Erdman and H. Erdman violated the registration provisions of the federal securities laws, and that P. Erdman, H. Erdman and Lemon violated the antifraud provisions. The complaint alleges that relief defendants R. Erdman and Chekin were unjustly enriched by the proceeds of fraudulent, unregistered sales of ITC stock. The complaint seeks permanent injunctions, disgorgement and civil penalties from the defendants and disgorgement from the relief defendants. [SEC v. Phebe W. Erdman, Hans L. Erdman and David M. Lemon (defendants) and Rebecca W. Erdman and Leslie Chekin (relief defendants), USDC, D. Southern Fl., Civil Action 98-6500-Civ-Moore] (LR-15742)

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## INVESTMENT COMPANY ACT RELEASES

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### THE CHASE MANHATTAN BANK, N.A. AND CHEMICAL BANK

An order has been issued on an application filed by The Chase Manhattan Bank, N.A. (Chase) and Chemical Bank (Chemical) under Section 6(c) of the Investment Company Act exempting applicants from Section 26(a)(2)(D) of the Act. The order denies a request for a hearing. In addition, the order amends a prior order granted to Chase which permits Chase, as trustee for certain unit investment trusts, to deposit trust assets in the custody of the Euroclear System and Cedel Bank S.A. The order substitutes Chemical (which has changed its name to The Chase Manhattan Bank) as the party to which relief is granted as a result of Chemical merger with Chase. (Rel. IC-23186; International Series Rel. 1135 - May 14)

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## HOLDING COMPANY ACT RELEASES

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### NEW CENTURY ENERGIES, INC., ET AL.

An order has been issued authorizing a proposal by New Century Energies, Inc., a registered holding company, and its wholly owned subsidiaries Public Service Company of Colorado (PSCo) and NC Enterprises, Inc. (NC Enterprises). PSCo proposes to transfer to NC Enterprises its interest in New Century International, Inc. (NCI). NCI owns a 50% interest in Yorkshire Power Group Limited, which, through a wholly owned subsidiary, owns a regional electric company operating in the United Kingdom. As consideration for the securities of NCI, NC Enterprises will issue a note to PSCo. (Rel. 35-26871)

### NEW CENTURY ENERGIES, INC., ET AL.

A supplemental order has been issued authorizing a proposal by New Century Energies, Inc. (NCE), a registered holding company, and its subsidiaries (collectively, Applicants). Applicants propose to modify their existing financing authorization to increase: common stock issuances by NCE from \$535 million to \$745 million; short-term debt issuances and sales from \$100 million to \$200 million; and nonexempt guarantees and credit support arrangements among the subsidiaries of NCE from \$50 million to \$100 million. Applicants also propose to use the proceeds from the various financings to invest in "energy-related companies." (Rel. 35-26872)

### AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.

A supplemental order has been issued correcting a prior order issued by the Commission on May 4, 1998 (HCAR No. 26867) (Order) on a proposal by American Electric Power Company, Inc., a registered holding company, and eight electric utility subsidiary companies,

Appalachian Power Company, Columbus Southern Power Company, Indiana Michigan Power Company, Kentucky Power Company, Ohio Power Company, AEP Generating Company, Kingsport Power Company, and Wheeling Power Company to engage in financing and related transactions. (Rel. 35-26873)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-CBOE-98-19) filed by the Chicago Board Options Exchange which amends CBOE Rule 3.8, "Nominees," to indicate that a nominee trading for his/her own account pursuant to CBOE Rule 3.8(a)(4)(C) may trade as an independent market maker and/or an independent floor broker has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of May 18. (Rel. 34-39987)

A proposed rule change (SR-CHX-98-10) filed by the Chicago Stock Exchange to amend its membership dues and fees schedule has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal in the Federal Register is expected during the week of May 18. (Rel. 34-39991)

### PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-98-13) relating to the Automatic Execution of Small Retail Orders in Equity Options. Publication of the proposal is expected in the Federal Register during the week of May 18. (Rel. 34-39992)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 IMAGING DIAGNOSTIC SYSTEMS INC /FL/, 6531 NW 18TH COURT, PLANTATION, FL 33313 (305) 746-0500 - 200,000 (\$142,000) COMMON STOCK (FILE 333-52287 - MAY 11) (BR 5)

S-8 VANTIVE CORP, 2455 AUGUSTINE DR, SANTA CLARA, CA 95054 (408) 982-5700 - 2,503,409 (\$65,774,754 01) COMMON STOCK (FILE 333-52289 - MAY. 11) (BR 3)

S-8 BALANCED CARE CORP, 5021 LOUISE DR, SUITE 200, MECHANICSBURG, PA 17055 (717) 796-6100 - 2,025,000 (\$12,027,796) COMMON STOCK (FILE 333-52291 - MAY. 11) (BR 8)

S-3 AMERICAN COUNTRY HOLDINGS INC, 222 N LASALLE STREET, C/O JOHN DE ELORZA, CHICAGO, IL 60601 (312) 456-2000 - 9,460,800 (\$10,249,200) COMMON STOCK. (FILE 333-52293 - MAY. 11) (BR 8)

S-8 SS&C TECHNOLOGIES INC, 705 BLOOMFIELD AVE, CORPORATE PLACE, BLOOMFIELD, CT 06002 (860) 242-7887 - 1,500,000 (\$33,656,250) COMMON STOCK (FILE 333-52295 - MAY 11) (BR 3)

S-3 ASSISTED LIVING CONCEPTS INC, 10570 SE WASHINGTON, STE 213, PORTLAND, OR 97216 (503) 252-6233 - 75,000,000 (\$75,000,000) CONVERTIBLE DEBENTURES AND NOTES (FILE 333-52297 - MAY 11) (BR 1)

S-8 SANTA CRUZ OPERATION INC, 400 ENCINAL STREET, PO BOX 1900, SANTA CRUZ, CA 95060 (408) 427-7172 - 2,950,000 (\$17,734,375) COMMON STOCK (FILE 333-52299 - MAY 11) (BR 3)

S-3 AMERICAN BIOMED INC, 10077 GROGANS MILL ROAD, SUITE 100, WOODLANDS, TX 77380 (713) 367-3895 - 5,848,744 (\$5,293,113) COMMON STOCK (FILE 333-52301 - MAY. 11) (BR. 5)

S-8 LAZARE KAPLAN INTERNATIONAL INC, 529 FIFTH AVE, NEW YORK, NY 10017 (212) 972-9700 - 100,000 (\$1,140,625) COMMON STOCK. (FILE 333-52303 - MAY 11) (BR 2)

S-3 REGENCY BANCORP, 7060 N FRESNO, FRESNO, CA 93720 (209) 438-2600 - 926,211 (\$13,198,507) COMMON STOCK (FILE 333-52305 - MAY 11) (BR 7)

S-8 EXECUTIVE RISK INC /DE/, 82 HOPMEADOW ST, SIMSBURY, CT 06070 (860) 408-2000 - 200,000 (\$13,050,000) COMMON STOCK. (FILE 333-52307 - MAY. 11) (BR. 1)

S-8 AMERICAN WATER WORKS CO INC, 1025 LAUREL OAK RD, VOORHEES, NJ 08043 (609) 346-8200 - 900,000 (\$26,298,000) COMMON STOCK. (FILE 333-52309 - MAY 11) (BR 2)

S-3 PICO HOLDINGS INC /NEW, 875 PROSPECT ST, STE 301, LA JOLLA, CA 92037 (619) 456-6022 - 4,572,015 (\$22,860,075) COMMON STOCK (FILE 333-52313 - MAY 11) (BR 1)

S-8 AMERICAN ITALIAN PASTA CO, 1000 ITALIAN WAY, EXCELSIOR SPRINGS, MO 64024 (816) 502-6000 - 3,045,043 (\$60,834,244) COMMON STOCK (FILE 333-52315 - MAY. 11) (BR 2)

S-8 MEDICAL TECHNOLOGY & INNOVATIONS INC /FL/, 3125 NOLT RD, LANCASTER, PA 17631 (717) 892-6770 - 688,000 (\$146,960) COMMON STOCK (FILE 333-52317 - MAY. 11) (BR. 5)

S-8 BUSH BOAKE ALLEN INC, 7 MERCEDES DR, MONTVALE, NJ 07645 (201) 391-9870 - 100,000 (\$2,903,125) COMMON STOCK (FILE 333-52319 - MAY 11) (BR 2)

S-3 AETNA INC, 151 FARMINGTON AVE, HARTFORD, CT 06156 (860) 273-0123 - 1,100,000,000 (\$1,100,000,000) STRAIGHT BONDS (FILE 333-52321 - MAY. 11) (BR. 1)

S-8 RIDGESTONE FINANCIAL SERVICES INC, 13925 W NORTH AVE, BROOKFIELD, WI 53005 (414) 782-1392 - 400,000 (\$7,300,000) COMMON STOCK (FILE 333-52323 - MAY 11) (BR 7)

S-8 MUELLER INDUSTRIES INC, 6799 GREAT OAKS ROAD, SUITE 200, MEMPHIS, TN 38138 ((90) 1)7-53-3 - 300,000 (\$19,846,875) COMMON STOCK (FILE 333-52325 - MAY 11) (BR 6)

SB-2 LOGISTICARE INC, ONE CROWN CENTER 1895 PHOENIX BLVD, STE 306,  
COLLEGE PARK, GA 30349 (770) 907-7596 - 2,645,000 (\$31,740,000)  
COMMON STOCK. (FILE 333-52327 - MAY 11) (NEW ISSUE)

S-8 GO VIDEO INC, 14455 N HAYDEN RD STE 219, SCOTTSDALE, AZ 85260  
(602) 998-3400 - 1,000,000 (\$2,660,000) COMMON STOCK. (FILE 333-52329 -  
MAY 11) (BR 2)

S-3 NEOTHERAPEUTICS INC, 157 TECHNOLOGY DR, STE J-821, IRVINE, CA 92618  
(714) 832-4902 - 730,000 (\$6,657,500) COMMON STOCK (FILE 333-52331 -  
MAY 11; (BR 9)

S-4 SUNBEAM CORP/FL/, 1615 SOUTH CONGRESS AVENUE, SUITE 200, DELRAY BEACH,  
FL 33445 (561) 243-2100 - 7,199,452 (\$196,536,040) COMMON STOCK (FILE  
333-52333 - MAY 11) (BR 2)

S-3 IMPAC MORTGAGE HOLDINGS INC, 20371 IRVINE AVE, SANTA ANA HEIGHTS, CA  
92707 (714) 556-0122 - 1,990,147 (\$33,096,145) COMMON STOCK. (FILE  
333-52335 - MAY 11) (BR. 8)

S-8 WASTE MANAGEMENT INC /DE/, 3003 BUTTERFIELD RD, OAK BROOK, IL 60523  
(630) 572-8800 - 5,652,534 (\$168,980,243) COMMON STOCK (FILE 333-52337 -  
MAY 11) (BR 6)

S-8 LANDEC CORP \CA\, 3603 HAVEN AVE, MENLO PARK, CA 94025 (415) 261-3697 -  
950,000 (\$6,234,375) COMMON STOCK. (FILE 333-52339 - MAY 11) (BR. 6)

S-8 STEINER LEISURE LTD, STE 104 A SAFFREY SQUARE, NASSAU, C5 00000  
(809) 356-0006 - 185,625 (\$5,523,834) COMMON STOCK. (FILE 333-52343 -  
MAY 11) (BR 8)

S-8 VISUAL NETWORKS INC, 2092 GAITHER RD, SUITE 220-I, ROCKVILLE, MD 20850  
(301) 296-2300 - 1,667,493 (\$4,827,776) COMMON STOCK (FILE 333-52345 -  
MAY 11) (BR 3)

S-8 VISUAL NETWORKS INC, 2092 GAITHER RD, SUITE 220-I, ROCKVILLE, MD 20850  
(301) 296-2300 - 300,000 (\$6,987,000) COMMON STOCK (FILE 333-52347 -  
MAY 11) (BR 3)

S-8 VISUAL NETWORKS INC, 2092 GAITHER RD, SUITE 220-I, ROCKVILLE, MD 20850  
(301) 296-2300 - 914,700 (\$31,550,430) COMMON STOCK (FILE 333-52349 -  
MAY 11) (BR 3)

S-3 ADVANTA MORTGAGE CONDUIT SERVICES INC, 16875 W BERNARDO DR, SAN DIEGO,  
CA 92127 - 1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE.  
(FILE 333-52351 - MAY. 11) (BR. 8)

S-8 CROWN VANTAGE INC, 300 LAKESIDE DR, 14TH FL, OAKLAND, CA 94612  
(510) 874-3400 - 300,000 (\$2,831,250) COMMON STOCK. (FILE 333-52355 -  
MAY 11) (BR 4)

S-8 PREMIERE TECHNOLOGIES INC, 3399 PEACHTREE RD NE, LENOX BLDG STE 400,  
ATLANTA, GA 30326 (404) 262-8400 - 4,527,841 (\$124,991,824) COMMON STOCK  
(FILE 333-52357 - MAY 11) (BR 7)

S-3 FCNB CORP, 7200 FCNB COURT, FREDERICK, MD 21703 (301) 662-2191 -  
1,610,000 (\$40,250,000) PREFERRED STOCK (FILE 333-52359 - MAY 11)  
(BR 7)

S-3 PREMIERE TECHNOLOGIES INC, 3399 PEACHTREE RD NE, LENOX BLDG STE 400,  
ATLANTA, GA 30326 (404) 262-8400 - 83,079 (\$2,432,657) COMMON STOCK  
(FILE 333-52363 - MAY 11) (BR 7)

S-2 THERMO ECOTEK CORP, 245 WINTER ST, SUITE 300, WALTHAM, MA 02154  
(617) 662-1000 - 5,175,000 (\$92,826,562 50) COMMON STOCK. (FILE 333-52365  
- MAY 11) (BR 2)

S-1 CDNOW INC, 610 OLD YORK ROAD, SUITE 300, JENKINTOWN, PA 19046  
(215) 517-7325 - 2,875,000 (\$75,842,500) COMMON STOCK (FILE 333-52367 -  
MAY 11) (BR 2)

S-3 FOSTER WHEELER CORP, PERRYVILLE CORPORATE PARK, CLINTON, NJ 08809  
(908) 730-4090 - 170,000,000 (\$170,000,000) STRAIGHT BONDS (FILE  
333-52369 - MAY 11) (BR 6)

S-3 AXIOHM TRANSACTION SOLUTIONS INC, 15070 AVENUE OF SCIENCE, SAN DIEGO, CA  
92128 (619) 451-3485 - 5,515,858 (\$74,464,083) COMMON STOCK (FILE  
333-52371 - MAY 11) (BR. 5)

N-2 DLJ HIGH YIELD BOND FUND, 277 PARK AVENUE, NEW YORK, NY 10172  
(212) 892-6692 - 6,666,667 (\$100,000,000)  
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-52373 - MAY 11) (BR 18)

S-8 READ RITE CORP /DE/, 345 LOS COCHES ST, MILPITAS, CA 95035  
(408) 262-6700 - 4,400,000 (\$48,812,500) COMMON STOCK. (FILE 333-52379 -  
MAY. 12) (BR 5)

S-8 WINDMERE DURABLE HOLDINGS INC, 5980 MIAMI LAKES DR, MIAMI LAKES, FL  
33014 (305) 362-2611 - 850,000 (\$17,777,642) COMMON STOCK. (FILE  
333-52383 - MAY 12) (BR 2)

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#### RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABR INFORMATION SERVICES INC	FL		X					X			04/30/98	
ACTION INDUSTRIES INC	PA							X			05/15/98	AMEND
ADVANCED RADIO TELECOM CORP	DE				X			X			05/13/98	
ADVANTA BUSINESS SERVICES CORP	DE							X			05/12/98	
ADVANTA LEASING RECEIVABLES CORP IV	NV							X			05/12/98	
ADVANTA LEASING RECEIVABLES CORP V	NV							X			05/12/98	
AIRGAS INC	DE					X					05/15/98	
ALLIED DIGITAL TECHNOLOGIES CORP	DE				X		X				05/05/98	
AMERICAN BANKNOTE CORP	DE					X					11/20/97	
AMERICAN EXPRESS CENTURION BANK	UT					X		X			05/15/98	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE					X		X			05/15/98	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X		X			05/15/98	
AMERICAN INDUSTRIAL PROPERTIES REIT INC	TX							X			03/23/98	AMEND
AMERICAN REAL ESTATE INVESTMENT COR P	MD		X		X		X				04/30/98	
AMERICAN TELECASTING INC/DE/	DE					X		X			04/09/98	AMEND
ANALOGY INC	OR					X		X			05/12/98	
ANTENNAS AMERICA INC	UT					X		X			05/14/98	
AUTOZONE INC	NV						X	X			05/11/98	
AVALON COMMUNITY SERVICES INC	NV		X					X			05/15/98	AMEND
AXIOHM TRANSACTION SOLUTIONS INC	CA	X									05/15/98	
BAY VIEW SECURITIZATION CORP	DE							X			05/11/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BEAR STEARNS MORTGAGE SECURITIES IN C	DE					X					05/01/98	
BERTUCCIS INC	MA					X					05/15/98	
BEST BUY CO INC	MN					X	X				05/11/98	
BRIDGESTREET ACCOMMODATIONS INC	DE		X				X				03/02/98	AMEND
CAMERA PLATFORMS INTERNATIONAL INC	DE		X				X				03/09/98	AMEND
CAPITAL MEDIA GROUP LTD	NV					X	X				02/12/98	
CAREY DIVERSIFIED LLC	DE					X	X				03/26/98	
CENTRAL PARKING CORP	TN		X				X				02/12/98	AMEND
CHADMOORE WIRELESS GROUP INC	CO					X	X				05/15/98	
CHINA CONTINENTAL INC /UT/	UT					X					03/10/98	
CINCINNATI BELL INC /OH/	OH							X			03/03/98	AMEND
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE					X	X				05/15/98	
CISCO SYSTEMS INC	CA						X	X			03/13/98	
COINMACH CORP	DE		X				X				03/02/98	AMEND
COINMACH LAUNDRY CORP	NY		X				X				03/02/98	AMEND
COLTEC INDUSTRIES INC	PA		X								05/15/98	
COMMUNITY BANK SHARES OF INDIANA IN C	IN		X								05/06/98	
COOPER TIRE & RUBBER CO	DE					X	X				05/15/98	
CORNELL CORRECTIONS INC	DE					X					05/01/98	AMEND
COSTA RICA INTERNATIONAL INC	NV							X			02/26/98	AMEND
CRIMI MAE FINANCIAL CORP	MD		X								05/01/98	
CROGHAN BANCSHARES INC	OH					X					05/13/98	
CTC COMMUNICATIONS CORP	MA					X					04/10/98	
DEKALB GENETICS CORP	DE					X	X				05/08/98	
DEL LABORATORIES INC	DE					X	X				03/15/98	
DYNAMICWEB ENTERPRISES INC	NJ		X				X				05/01/98	
ECOGEN INC	DE		X				X				05/15/98	
EFTC CORP/	CO					X					05/14/98	
ENSCOR INC			X				X				04/30/98	
EVI INC	DE					X					03/31/98	
EXCITE INC	CA					X					02/02/98	
FINANCIAL ASSET SECURITIES CORP	DE					X	X				03/16/98	
FINET HOLDINGS CORP	DE		X				X				05/15/98	
FIRST NATIONAL ENTERTAINMENT CORP	CO		X								05/06/98	
FIRST UNITED BANCSHARES INC /AR/	AR					X					05/15/98	
FLORIDA PANTHERS HOLDINGS INC	DE		X				X				03/02/98	AMEND
FNANB CREDIT CARD MASTER TRUST						X	X				05/15/98	
FRANKLIN HOLDING CORP	DE					X					05/08/98	
FRED MEYER INC	DE						X				03/09/98	AMEND
FREMONT FUNDING INC	DE						X				05/15/98	
G&K SERVICES INC	MN		X								05/01/98	
GANTOS INC	MI					X	X				05/12/98	
GENTLE DENTAL SERVICE CORP	WA		X				X				02/28/98	AMEND
GEOKINETICS INC	DE		X				X				04/30/98	
GLENBOROUGH REALTY TRUST INC	MD		X								05/15/98	AMEND
GLENBOROUGH REALTY TRUST INC	MD		X								05/15/98	AMEND
GLOBAL INTELICOM INC	NV					X	X				04/30/98	
GREAT WEST LIFE & ANNUITY INSURANCE CO	CO					X					04/29/98	
GS MORTGAGE SECURITIES CORP II	DE					X	X				05/15/98	
HEALTH OUTCOMES MANAGEMENT INC	MN						X				05/13/98	AMEND
HELIX TECHNOLOGY CORP	DE		X								05/07/98	
HICKORY TECH CORP	MN		X				X				05/15/98	
HOMESIDE INC						X					05/15/98	AMEND
HOMESIDE LENDING INC	FL					X					05/15/98	AMEND
HOMESIDE LENDING INC	FL						X				05/15/98	
HUBCO INC	NJ		X				X				10/20/97	AMEND
ILLINOIS SUPERCONDUCTOR CORPORATION	DE					X	X				05/15/98	
INSILCO CORP/DE/	DE					X	X				05/14/98	
INTERPORE INTERNATIONAL /CA/	CA		X			X	X				05/06/98	
IPC INFORMATION SYSTEMS INC	DE		X				X				04/30/98	
JAVA CENTRALE INC /CA/	CA					X	X				05/08/98	
JCP RECEIVABLES INC	DE						X				05/15/98	
JONES MEDICAL INDUSTRIES INC /DE/	DE		X				X				04/30/98	
LASERSCOPE	CA		X				X				05/07/98	
LIFESTREAM TECHNOLOGIES INC	NV					X	X				05/08/98	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
LYCOS INC	DE								X		04/30/98	AMEND
MARTIN INDUSTRIES INC /DE/	DE				X						05/15/98	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE	X		X	X						04/30/98	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST		X					X				04/30/98	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II		X		X	X						04/30/98	
MBNA CORP	MD					X					04/30/98	
MEDFORD BANCORP INC	MA					X					04/30/98	
MEDIMMUNE INC /DE/	DE				X						03/31/98	
MERCURY FINANCE CO	DE				X	X					05/14/98	
MERIDIAN NATIONAL CORP	DE			X							05/08/98	
MIMBRES VALLEY FARMERS ASSOC INC	NM			X		X					03/20/98	AMEND
MINNESOTA POWER & LIGHT CO	MN				X						05/15/98	
MMCA AUTO OWNER TRUST 1995-1	DE						X				04/30/98	
MULTIMEDIA CONCEPTS INTERNATIONAL I NC	DE							X			05/13/98	
NAPCO SECURITY SYSTEMS INC	DE				X	X					05/14/98	
NAVARRE CORP /MN/	MN				X	X					05/01/98	
NCC INDUSTRIES INC	DE				X	X					05/08/98	
NEW HORIZONS WORLDWIDE INC	DE	X									04/30/98	
NEWCOURT RECEIVABLES CORP	DE				X	X					02/17/98	
NOODLE KIDOODLE INC	DE	X									05/14/98	
NORWEST ASSET SECURITIES CORP	DE				X	X					05/13/98	
OSICOM TECHNOLOGIES INC	NJ	X									05/08/98	
PAREXEL INTERNATIONAL CORP	MA				X	X					03/01/98	AMEND
PECO ENERGY CO	PA	X									05/14/98	
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT					X					04/30/98	
PERSONNEL GROUP OF AMERICA INC	DE				X	X					05/14/98	
PRIMESTAR INC	DE	X				X					04/15/98	AMEND
PROFESSIONAL BENEFITS INSURANCE CO	TX		X			X					04/30/98	
PROFFITTS CREDIT CARD MASTER TRUST	NV				X	X					05/15/98	
PROTECTION ONE ALARM MONITORING INC	DE				X						05/15/98	
PROTECTION ONE INC	DE				X						05/15/98	
QUIZNOS CORP	CO				X						05/14/98	
RALLYS HAMBURGERS INC	DE			X		X					05/08/98	
REALTY REFUND TRUST	OH	X				X					02/27/98	AMEND
REGAL INTERNATIONAL INC	DE			X							02/19/96	
REGENCY BANCORP	CA				X	X					05/08/98	
ROYAL OAK MINES INC	WA				X						05/15/98	
SAGE LABORATORIES INC	MA				X						05/13/98	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL				X	X					05/15/98	
SERVICE CORPORATION INTERNATIONAL	TX				X	X					05/14/98	
SIERRA HEALTH SERVICES INC	NV	X									05/15/98	
SNYDER COMMUNICATIONS INC	DE					X					03/25/98	AMEND
STEWART ENTERPRISES INC	LA				X						05/15/98	
STRUCTURED ASSET SECURITIES CORP	DE				X	X					04/30/98	
STRUCTURED ASSET SECURITIES CORP	DE				X	X					04/30/98	
SUN HEALTHCARE GROUP INC	DE					X					05/05/95	AMEND
SUN HEALTHCARE GROUP INC	DE					X					08/15/96	AMEND
TII INDUSTRIES INC	DE				X	X					03/15/98	
TOWN SPORTS INTERNATIONAL INC	NY					X					04/06/98	AMEND
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE				X	X					05/15/98	
U S WIRELESS CORP	DE					X					05/12/98	
UCFC FUNDING CORP	LA				X	X					05/15/98	
US WEST INC	DE					X					05/14/98	
USAA FEDERAL SAVINGS BANK		X									04/30/98	
USW-C INC	DE					X					05/15/98	
VAN DE KAMPS INC	DE				X	X					05/01/98	
VENTURE STORES INC	DE	X									05/15/98	
VIRGINIA GAS CO					X						04/27/98	
VISHAY INTERTECHNOLOGY INC	DE					X					03/02/98	AMEND
VOXEL /CA/	CA				X						05/08/98	
WANG LABORATORIES INC	DE				X	X					04/22/98	
WEST COAST ENTERTAINMENT CORP	DE						X				04/30/98	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
WNC HOUSING TAX CREDIT FUND VI LP S ERIES 5	CA								X			04/30/98	
YAMAHA MOTOR RECEIVABLES CORP	DE									X		05/15/98	