

# sec news digest

Issue 95-172

September 6, 1995

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## COMMISSION ANNOUNCEMENTS

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### SECTION 16 LETTER INDEX BY SUBJECT MATTER

The Division of Corporation Finance has released an index organizing by subject matter Section 16 letters issued through September 1, 1995. The index is updated from time to time and copies of it may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549.

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## RULES AND RELATED MATTERS

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### REGISTRATION FEES FOR CERTAIN INVESTMENT COMPANIES

The Commission has adopted amendments to Rule 24f-2 under the Investment Company Act of 1940, the rule that permits certain investment companies to register an indefinite number of securities under the Securities Act of 1933. The amendments clarify the date when annual notices required under Rule 24f-2 are deemed filed with the Commission and include certain technical changes to clarify the operation of the rule. In addition, the Commission adopted a new form, Form 24F-2, to provide a standard format for annual notices filed under Rule 24f-2. FOR FURTHER INFORMATION CONTACT: Karen J. Garnett at (202) 942-0728. (Rel. 33-7208; IC-21332; File No. S7-3-95).

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION SUSTAINS NASD DISCIPLINARY FINDINGS AGAINST ROBERT GRUNBURG BUT REMANDS SANCTIONS

The Commission has sustained NASD findings in a disciplinary action against Robert A. Grunburg of Marina Del Rey, California, a former registered general securities principal, chief compliance officer and executive vice president of Pilgrim Distributors Corp., an NASD member firm.

The Commission found that Grunburg was responsible for publication in the Wall Street Journal of two misleading advertisements, and for failing to file the advertisements with the NASD within 10 days of first use. The advertisements left the reader with the misleading impression that five funds had been ranked as the five top performing funds of all mutual funds for 1992. The advertisements also contained exaggerated statements and omitted material information.

The Commission also found, in the context of a 1991 special concession arrangement between Pilgrim and another NASD member, that Pilgrim, acting through Grunburg, had issued inaccurate mutual fund prospectuses and failed to establish and maintain adequate written supervisory procedures.

The NASD censured Grunburg, suspended him from acting in any principal capacity for one month, required him to requalify by examination as a principal, and find him \$5,000. The Commission sustained the NASD's findings, but, out of concern that, among other things, certain mitigative factors were not considered in sanctioning Grunburg, the matter was remanded the matter for reconsideration of sanctions. (Rel. 34-36182)

### COMMISSION REVOKES TRANSFER REGISTRATION OF AND ASSESSES \$50,000 PENALTY AGAINST FIRST SECURITIES TRANSFER SYSTEMS, INC.; BARS STEVEN TELSEY FROM ASSOCIATION WITH ANY TRANSFER AGENT AND ASSESSES \$25,000 PENALTY AGAINST HIM

The Commission has found that First Securities Transfer Systems, Inc. (First Securities) filed with the Commission a false form TA-1 - Uniform Form For Registration As A Transfer Agent and that Steven Telsey operated First Securities and willfully caused the false filing. The Form TA-1 was falsified to conceal the fact that Telsey, who has a history of serious securities disciplinary problems, had any connection with First Securities, when in fact he was a control affiliate. The Commission revoked First Securities's transfer agent registration, barred Telsey from association with any transfer agent, and assessed civil penalties in the amount of \$50,000 against First Securities and \$25,000 against Telsey.

The Commission found that Telsey's conduct was fraudulent, deceitful, and involved a deliberate disregard of a regulatory requirement. The Commission noted that Telsey has a long history of federal securities law violations, all of them involving some form of deliberate deceit, which have resulted in an earlier Commission bar against association with any broker-dealer and a court-ordered injunction against violation of the bar order. The Commission concluded that the past sanctions have proven ineffective to induce Telsey to comply with the law, and that therefore a steep monetary penalty would be in the public interest. The Commission rejected evidence of inability to pay the penalties assessed as inadequately substantiated. (Rel. 34-36183)

#### ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST PETER WOODBRIDGE

The Commission announced the issuance of an order instituting public administrative proceedings pursuant to Section 15(b)(4) of the Securities Exchange Act of 1934 (Exchange Act). The Order alleges that on June 29, 1995, a U.S. District Court permanently enjoined Woodbridge from future violations of certain registration and antifraud provisions of the federal securities laws. The Order further alleges that Woodbridge willfully violated those provisions of the federal securities laws in his offer and sale of over \$2.4 million worth of Canadian Trade Bank, Ltd. (CTB) "certificates of deposit" (CDs). The CDs were purportedly issued by CTB which, according to Woodbridge, was a bank located in the country of Grenada. In the offer of sale of the CDs, Woodbridge made material misrepresentations and failed to disclose material facts to investors regarding, among other things, the existence of a legitimate bank, the safety of the investment and the receipt of commissions. Woodbridge and his agents received at least \$242,000 for selling the CDs. A public hearing will be held to determine whether the allegations against Woodbridge are true, to offer him an opportunity to establish any defenses to such allegations and to determine what remedial action, if any, is appropriate. (Rel. 34-36184)

#### CIVIL ACTION AGAINST THE BETTER LIFE CLUB OF AMERICA, INC. AND ROBERT TAYLOR

On September 1, the Commission commenced an action in the U.S. District Court for the District of Columbia against the Washington, D.C.-based Better Life Club of America, Inc. and its founder and president, Robert N. Taylor, alleging violations of the registration and anti-fraud provisions of the federal securities laws. Simultaneously, the Honorable Paul L. Friedman, United States District Judge for the District of Columbia, issued a Temporary Restraining Order freezing defendants' assets, enjoining the defendants from violating the registration and antifraud provisions of the federal securities laws, requiring a sworn accounting of all funds received from and paid to investors in their so-called "Advertising Pool" and ordering expedited discovery.

The Commission's complaint alleges that since at least April 1993, defendants have operated an investment program called the Better Life Club "Advertising Pool" which purports to double funds placed in the "Advertising Pool" in 90 days through investments in advertising the Club's "900" telephone numbers and other profitable businesses. The Commission alleged that "Advertising Pool" investments constitute the vast majority of the \$35 million Club members nationwide have contributed to the Club since early 1993. Defendants have attracted an ever-increasing number of investors to participate in their fraudulent scheme through regular "wealth building seminars" held across the country and in Canada and through the Club's Better Life News publication. The Court's Order also prohibits defendants, among other things, from soliciting or accepting additional investor funds or from promoting the "Advertising Pool" in any way.

The Court scheduled a hearing on the Commission's application for a preliminary injunction for September 29, 1995. [SEC v. The Better Life Club of America, Inc. and Robert N. Taylor, Civ. Action No. 95-1679, D.D.C.] (LR-14624)

#### CIVIL ACTION AGAINST GIOVANNI MALAVASI

The Commission filed a complaint in the United States District Court for the District of Columbia against Giovanni Malavasi, Secretary to the Board of Directors of Luxottica Group, SpA, an Italian corporation. The complaint alleges that Malavasi engaged in insider trading in violation of Sections 10(b) and 14(e) of the Securities and Exchange Act of 1934, and Rules 10b-5 and 14e-3 thereunder, by purchasing the securities of U.S. Shoe Corp. while in possession of material non-public information concerning Luxottica's planned tender offer for U.S. Shoe. The complaint seeks a permanent injunction against future violations of these provisions, and an order requiring Malavasi to disgorge his illegal trading profits plus prejudgment interest, and a civil penalty pursuant to the Insider Trading Sanctions Act of 1984. Simultaneously with the filing of the complaint, Malavasi, without admitting or denying any of the allegations in the complaint, consented to the entry of the permanent injunction and an order requiring him to pay \$100,000, an amount representing the disgorgement of his illegal trading profits plus prejudgment interest and a civil penalty of \$42,749. The judgment requires that the balance of an escrow account at a New York bank, into which Malavasi previously deposited \$100,000, be disbursed for this purpose. [SEC v. Giovanni Malavasi, USDC for the District of Columbia, Civil Action No. 95CV01691] (LR-14626)

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## HOLDING COMPANY ACT RELEASES

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### BASIC INVESTMENTS, INC.

A notice has been issued giving interested persons until September 25 to request a hearing on a request by Basic Investments, Inc. for an order exempting it under Section 3(a)(3) of the Act from all provisions of the Act, except for Section 9(a)(2). (Rel. 35-26366)

### CENTRAL AND SOUTH WEST CORPORATION, ET AL.

A notice has been issued giving interested persons until September 25 to request a hearing on a proposal by Central and South West Corporation (CSW), a registered holding company, and CSW Energy, Inc., and CSW International, Inc., both wholly owned nonutility subsidiary companies of CSW, to increase their financing authority with respect to exempt wholesale generators and foreign utility companies from \$400 million up to an amount equal to 50% of CSW's consolidated retained earnings. Applicants also propose to increase the aggregate amount of debt securities issued to third parties without recourse to CSW to \$3 billion. (Rel. 35-26366)

### THE CINCINNATI GAS AND ELECTRIC COMPANY

A notice has been issued giving interested persons until September 25 to request a hearing on a proposal by The Cincinnati Gas and Electric Company (CG&E), a wholly owned subsidiary company of CINergy Corporation, a registered holding company, to submit to the holders of its outstanding capital stock a proposal and an alternative proposal to amend CG&E's Articles of Incorporation with respect to a limitation on the issuance of unsecured debt securities. In connection therewith CG&E proposes to solicit proxies from the holders of its capital stock and pay reasonable fees to a professional proxy solicitor. (Rel. 35-26366)

### CENTRAL AND SOUTH WEST CORPORATION

An order has been issued authorizing Central and South West Corporation (CSW), a registered holding company, to organize a new subsidiary, EnerShop Inc. (EnerShop), to engage in the business of providing energy and demand side management services to commercial and industrial customers and to provide customer financing. CSW was also authorized to purchase common stock of EnerShop, make loans to EnerShop and guarantee obligations of EnerShop. (Rel. 35-26367)

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## SELF-REGULATORY ORGANIZATIONS

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### DELISTING GRANTED

An order has been issued granting the application of the Boston Stock Exchange to strike from listing and registration Pharma Patch Public Limited Company, Ordinary Shares IR\$.01 Par Value represented by American Depository Shares and Units consisting of one ADS and 1/2 Share of Class B Stock. (Rel. 34-36179)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Chicago Stock Exchange (SR-CSX-95-20) relating to technical corrections to its Enhanced SuperMAX rules has become effective upon filing. Publication of the proposal is expected in the Federal Register during the week of September 4. (Rel. 34-36180)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-Amex-95-24) filed by the American Stock Exchange to modify the Amex's odd-lot pricing system. (Rel. 34-36181)

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## SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS

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The following is a list of significant no-action and interpretative letters recently issued by the Division of Corporation Finance. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Bankers Trust New York Corporation	1933 Act - Rule 144 (d) (3)	5/16/95	5/16/95
The Colorado Capital Alliance, Inc.	1933 Act - Rule 502(c)	5/4/95	5/4/95
Michigan Growth Capital Symposium	1933 Act - Rule 502(c)	5/4/95	5/4/95
Packaging Plus Services, Inc.	1934 Act - Section 12(g) and Rule 12g-3	5/26/95	5/26/95

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#### SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and designation if the statement is a New Issue.

- S-8 FORD MOTOR CO, THE AMERICAN RD, DEARBORN, MI 48121 (313) 322-3000 - \$38,300,000 COMMON STOCK. (FILE 33-62227 - AUG. 30) (BR. 4)
- S-8 SHERWIN WILLIAMS CO, 101 PROSPECT AVE NW, CLEVELAND, OH 44115 (216) 566-2200 - 6,000,000 (\$209,625,000) COMMON STOCK. (FILE 33-62229 - AUG. 30) (BR. 1)
- S-8 PROVIDENT LIFE & ACCIDENT INSURANCE CO OF AMERICA, 1 FOUNTAIN SQUARE, CHATTANOOGA, TN 37402 (615) 755-1011 - 1,000,000 (\$23,812,500) COMMON STOCK. (FILE 33-62231 - AUG. 30) (BR. 9)
- S-3 PUBLIC SERVICE CO OF COLORADO, 1225 17TH ST STE 300, DENVER, CO 80202 (303) 571-7511 - 3,000,000 (\$96,000,000) COMMON STOCK. (FILE 33-62233 - AUG. 30) (BR. 8)

REGISTRATIONS CONT.

- S-3 NATIONAL HEALTHCARE L P, 100 E VINE ST, MURFREESBORO, TN 37130  
(615) 890-2020 - 30,000,000 (\$60,665,929.50)  
CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-62235 - AUG. 30) (BR. 5)
- S-8 BIO LOGIC SYSTEMS CORP, ONE BIO LOGIC PLZ, MUNDELEIN, IL 60060  
(708) 949-5200 - 450,000 (\$2,137,500) COMMON STOCK. (FILE 33-62237 -  
AUG. 30) (BR. 8)
- S-8 BADGER METER INC, 4545 WEST BROWN DEER ROAD, C/O CORPORATE SECRETARY,  
MILWAUKEE, WI 53223 (414) 355-0400 - 100,000 (\$2,550,000) COMMON STOCK.  
(FILE 33-62239 - AUG. 30) (BR. 8)
- S-8 BADGER METER INC, 4545 WEST BROWN DEER ROAD, C/O CORPORATE SECRETARY,  
MILWAUKEE, WI 53223 (414) 355-0400 - 100,000 (\$2,550,000) COMMON STOCK.  
(FILE 33-62241 - AUG. 30) (BR. 8)
- S-6 FIDELITY DEFINED TRUSTS SERIES 1, 82 DEVONSHIRE STREET, BOSTON, MA 02109  
(617) 563-7000 - INDEFINITE SHARES. (FILE 33-62243 - AUG. 30) (BR. 22  
- NEW ISSUE)
- S-8 FIRST GEORGIA HOLDING INC, 1703 GLOUCESTER ST, BRUNSWICK, GA 31521  
(912) 267-7283 - 25,000 (\$200,000) COMMON STOCK. (FILE 33-62245 - AUG. 30)  
(BR. 1)
- S-8 BOWMAR INSTRUMENT CORP, 5080 N 40TH ST STE 475, PHOENIX, AZ 85018  
(602) 957-0271 - 600,000 (\$1,836,000) COMMON STOCK. (FILE 33-62247 -  
AUG. 30) (BR. 8)
- S-8 FIRST GEORGIA HOLDING INC, 1703 GLOUCESTER ST, BRUNSWICK, GA 31521  
(912) 267-7283 - 130,000 (\$1,040,000) COMMON STOCK. (FILE 33-62249 -  
AUG. 30) (BR. 1)
- S-3 FIRST BANK SYSTEM INC, FIRST BANK PL, 601 SECOND AVE S, MINNEAPOLIS, MN  
55402 (612) 973-1111 - 651,387 (\$29,190,279) COMMON STOCK. (FILE 33-62251  
- AUG. 30) (BR. 2)
- S-8 WEATHERFORD INTERNATIONAL INC, 1360 POST OAK BLVD STE 1000, HOUSTON, TX  
77056 (713) 439-9400 - 120,000 (\$1,500,000) COMMON STOCK. (FILE 33-62253 -  
AUG. 30) (BR. 3)
- S-4 FIRST BANK SYSTEM INC, FIRST BANK PL, 601 SECOND AVE S, MINNEAPOLIS, MN  
55402 (612) 973-1111 - 517,241 (\$1,951,761) COMMON STOCK. (FILE 33-62255 -  
AUG. 30) (BR. 2)
- S-3 EXECUTONE INFORMATION SYSTEMS INC, 478 WHEELERS FARMS RD, MILFORD, CT  
06460 (203) 876-7600 - 353,118 (\$889,857.36) COMMON STOCK. (FILE 33-62257  
- AUG. 30) (BR. 7)
- S-3 IES UTILITIES INC, 200 FIRST STREET SE, CEDAR RAPIDS, IA 52401  
(319) 398-4411 - \$250,000,000 STRAIGHT BONDS. (FILE 33-62259 - AUG. 31)  
(BR. 8)
- S-1 CORPORATE EXPRESS INC, 325 INTERLOCKEN PKWY, BROOMFIELD, CO 80021  
(303) 373-2800 - 17,825,000 (\$392,150,000) COMMON STOCK. (FILE 33-95902 -  
AUG. 28) (BR. 1)



REGISTRATIONS CONT.

- S-8 LUNET TECHNOLOGIES INC, 3060 WILLIAMS DR, STE 507, FAIRFAX, VA 22031 - 2,619,000 (\$124,238,813) COMMON STOCK. (FILE 33-95904 - AUG. 28) (BR. 7)
- S-8 VERMONT PURE HOLDINGS LTD, ROUTE 66 PO BOX C, RANDOLPH, VT 05060 (802) 728-3600 - 1,501,668 (\$3,191,044.50) COMMON STOCK. (FILE 33-95908 - AUG. 28) (BR. 3)
- S-1 INVESTORS FINANCIAL SERVICES CORP, 89 SOUTH ST, BOSTON, MA 02205 (617) 330-6700 - \$46,000,000 COMMON STOCK. (FILE 33-95980 - AUG. 18) (BR. 11 - NEW ISSUE)
- F-3 UNILEVER N V, BURGEMEESTERS JACOBPLEIN 1, 3015 CA, ROTTERDAM THE NETHERLANDS, P7 - 1,020,000,000 STRAIGHT BONDS. (FILE 33-96178 - AUG. 25) (BR. 4)
- S-8 VIDEOSERVER INC, 5 FORBES RD, LEXINGTON, MA 02173 (617) 863-2300 - 2,526,025 (\$92,199,912.50) COMMON STOCK. (FILE 33-96192 - AUG. 25) (BR. 3)
- S-8 QUANTUM RESTAURANTS GROUP INC, 3333 NEW HYDE PK RD STE 210, NEW HYDE PARK, NY 11042 (516) 627-1515 - 385,000 (\$4,788,437.50) COMMON STOCK. (FILE 33-96196 - AUG. 25) (BR. 12)
- S-8 HARRIS & HARRIS GROUP INC, ONE ROCKEFELLER PLAZA, ROCKEFELLER CENTER, NEW YORK, NY 10020 (212) 332-3600 - 1,758,780 (\$9,453,442.50) COMMON STOCK. (FILE 33-96198 - AUG. 25) (BR. 9)
- S-3 PRESTIGE FINANCIAL CORP, 1 ROYAL RD, PO BOX 2480, FLEMINGTON, NJ 08822 (908) 806-6200 - 250,000 (\$2,906,250) COMMON STOCK. (FILE 33-96202 - AUG. 25) (BR. 1)
- S-8 FERRARA FOOD COMPANY INC, 120 TICES LANE STE C, EAST BRUNSWICK, NJ 08816 (908) 651-7600 - 5,161 (\$10,425.22) COMMON STOCK. (FILE 33-96204 - AUG. 25) (BR. 11)
- S-3 HUMAN GENOME SCIENCES INC, 9410 KEY WEST AVENUE, ROCKVILLE, MD 20850 (301) 309-8504 - 2,875,000 (\$75,109,375) COMMON STOCK. (FILE 33-96206 - AUG. 25) (BR. 4)
- S-8 RT INDUSTRIES INC, 751 PARK OF COMMERCE, SUITE 104, BOCA RATON, FL 33487 (407) 989-1400 - 100,000 (\$275,000) COMMON STOCK. (FILE 33-96210 - AUG. 25) (BR. 4)
- S-8 SANCTUARY WOODS MULTIMEDIA CORP, 1825 SOUTH GRANT ST, STE 410, SAN MATEO, CA 94402 - 3,480,671 (\$23,059,445) COMMON STOCK. (FILE 33-96212 - AUG. 25) (BR. 10)
- S-1 INTERCEL INC/DE, 421 GILMER AVE, P O BOX 657, LANETT, AL 36863 (334) 644-2355 - 8,480,609 (\$136,749,820.13) COMMON STOCK. (FILE 33-96216 - AUG. 25) (BR. 7)
- S-1 INTERCEL INC/DE, 421 GILMER AVE, P O BOX 657, LANETT, AL 36863 (334) 644-2355 - \$150,000,000 STRAIGHT BONDS. (FILE 33-96218 - AUG. 25) (BR. 7)
- S-8 RT INDUSTRIES INC, 751 PARK OF COMMERCE, SUITE 104, BOCA RATON, FL 33487 (407) 989-1400 - 25,000 (\$68,750) COMMON STOCK. (FILE 33-96220 - AUG. 25) (BR. 4)

REGISTRATIONS CONT.

- S-4 MK GOLD CO, MORRISON KNUDSEN PLAZA, BOISE, ID 83729 (208) 386-8900 - 19,397,800 (\$66,679,937.50) COMMON STOCK. (FILE 33-96222 - AUG. 25) (BR. 2)
- S-3 REGIS CORP, 7201 METRO BLVD, EDINA, MN 55439 (612) 947-7777 - 288,000 (\$6,048,000) COMMON STOCK. (FILE 33-96224 - AUG. 25) (BR. 5)
- S-8 KEMET CORPORATION, PO BOX 528, GREENVILLE, SC 29606 (803) 963-6300 - 577,500 (\$26,799,864.38) COMMON STOCK. (FILE 33-96226 - AUG. 25) (BR. 3)
- S-1 BOSTON CHICKEN INC, 14103 DENVER W PKWY, PO BOX 4086, GOLDEN, CO 80401 (303) 278-9500 - 3,000,000 (\$72,187,500) COMMON STOCK. (FILE 33-96230 - AUG. 25) (BR. 12)
- S-8 CKF BANCORP INC, 340 WEST MAIN ST, DANVILLE, KY 40422 (606) 236-4181 - 140,000 (\$1,984,125) COMMON STOCK. (FILE 33-96236 - AUG. 25) (BR. 1)
- S-1 TREND LINES INC, 135 AMERICAN LEGION HWY, CHELSEA, MA 02151 (617) 884-8882 - 2,875,000 (\$38,812,500) COMMON STOCK. (FILE 33-96238 - AUG. 25) (BR. 2)
- S-3 SUN HEALTHCARE GROUP INC, 5131 MASTHEAD ST NE, ALBUQUERQUE, NM 87109 (505) 821-3355 - 6,545,470 (\$103,942,063.60) COMMON STOCK. (FILE 33-96240 - AUG. 25) (BR. 5)
- S-8 DEEPTech INTERNATIONAL INC, 7500 TEXAS COMMERCE TOWER, 600 TRAVIS ST, HOUSTON, TX 77002 (713) 224-7400 - 4,000,000 (\$19,000,000) COMMON STOCK. (FILE 33-96242 - AUG. 25) (BR. 3)
- S-3 GRANCARE INC, ONE RAVINIA DRIVE SUITE 1500, ATLANTA, GA 30346 (770) 393-0199 - \$100,000,000 STRAIGHT BONDS. (FILE 33-96244 - AUG. 25) (BR. 5)
- S-1 LENOX BANCORP INC, 5255 BEECH ST, ST BERNARD, OH 45217 (513) 242-6900 - 476,100 (\$4,761,000) COMMON STOCK. (FILE 33-96248 - AUG. 28) (BR. 2)
- F-1 CANADIAN NATIONAL RAILWAY CO, 935 DE LA GAUCHETIERE ST W, MONTREAL QUEBEC CANADA H3B 2M9, AB (514) 399-5430 - 1,000,000 (\$1,000,000) FOREIGN COMMON STOCK. (FILE 33-96250 - AUG. 28) (BR. 5)
- S-4 PITTENCRIEFF COMMUNICATIONS INC, 1 VILLAGE DR STE 500, ABILENE, TX 79606 (915) 690-5800 - 27,114,564 (\$96,201,806.03) COMMON STOCK. (FILE 33-96252 - AUG. 28) (BR. 8)
- S-3 WONDERWARE CORP, 100 TECHNOLOGY DR, IRVINE, CA 92718 (714) 727-3200 - 93,630 (\$3,452,606.25) COMMON STOCK. (FILE 33-96254 - AUG. 28) (BR. 9)
- S-1 THOMPSON PBE INC, 4553 GLENCOE AVE, STE 200, MARINA DEL REY, CA 90292 (310) 306-7112 - 3,450,000 (\$60,375,000) COMMON STOCK. (FILE 33-96256 - AUG. 28) (BR. 4)
- S-3 SHUFFLE MASTER INC, 10921 VALLEY VIEW RD, EDEN PRAIRIE, MN 55344 (612) 943-1951 - 315,114 (\$5,238,770.25) COMMON STOCK. (FILE 33-96258 - AUG. 28) (BR. 6)
- S-8 NEW WORLD COMMUNICATIONS GROUP INC, 3200 WINDY HILL RD STE 1100 WEST, ATLANTA, GA 30339 (404) 955-0045 - 2,500,000 (\$51,092,500) COMMON STOCK. (FILE 33-96260 - AUG. 25) (BR. 7)

REGISTRATIONS CONT.

- S-8 JAVA CENTRALE INC /CA/, 1610 ARDEN WAY, STE 299, SACRAMENTO, CA 95815  
(916) 568-2310 - 218,000 (\$1,506,380) COMMON STOCK. (FILE 33-96264 -  
AUG. 28) (BR. 11)
- S-3 INNERDYNE INC, 1244 REAMWOOD AVE, SUNNYVALE, CA 94089 (407) 745-6010 -  
1,723,199 (\$5,169,597) COMMON STOCK. (FILE 33-96266 - AUG. 28) (BR. 8)
- S-8 TATHAM OFFSHORE INC, 7400 TEXAS COMMERCE TOWER, 600 TRAVIS ST, HOUSTON,  
TX 77002 (713) 224-7400 - 5,000,000 (\$22,500,000) COMMON STOCK. (FILE  
33-96274 - AUG. 28) (BR. 4)
- S-1 DONALDSON LUFKIN & JENRETTE INC, 140 BROADWAY, NEW YORK, NY 10005  
(212) 902-2000 - \$385,660,000 COMMON STOCK. \$55,700,000  
WARRANTS, OPTIONS OR RIGHTS. (FILE 33-96276 - AUG. 29) (BR. 11)
- S-3 IMMULOGIC PHARMACEUTICAL CORP /DE/, 610 LINCOLN ST, WALTHAM, MA 02154  
(617) 466-6000 - 2,300,000 (\$24,150,000) COMMON STOCK. (FILE 33-96278 -  
AUG. 29) (BR. 4)
- S-8 INSIGHT ENTERPRISES INC, 1912 WEST FOURTH ST, TEMPE, AZ 85281  
(602) 902-1001 - 100,000 (\$1,508,750) COMMON STOCK. (FILE 33-96280 -  
AUG. 25) (BR. 9)
- S-3 MONMOUTH CAPITAL CORP, 125 WYCKOFF RD, P O BOX 335, EATONTOWN, NJ 07724  
(908) 542-4927 - 250,000 (\$750,000) COMMON STOCK. (FILE 33-96282 -  
AUG. 28) (BR. 5)
- S-3 ADVANCED TECHNOLOGY MATERIALS INC /DE/, 7 COMMERCE DR, DANBURY, CT 06210  
(203) 794-1100 - 2,300,000 (\$29,756,250) COMMON STOCK. (FILE 33-96284 -  
AUG. 29) (BR. 8)
- S-8 INSIGHT ENTERPRISES INC, 1912 WEST FOURTH ST, TEMPE, AZ 85281  
(602) 902-1001 - 499,750 (\$3,788,187.81) COMMON STOCK. (FILE 33-96286 -  
AUG. 25) (BR. 9)
- F-1 NEW YORK BROKER DEUTSCHLAND AG, GRAF ADOLF STR 12, 40212,  
DUSSELDORF GERMANY, 18 (305) 579-0500 - 28,236 (\$3,600,090)  
FOREIGN COMMON STOCK. (FILE 33-96294 - AUG. 28) (BR. 12 - NEW ISSUE)
- SB-2 VIDEO SENTRY CORP, 6365 CARLSON DRIVE, EDEN PRAIRIE, MN 55346  
(612) 934-9900 - 2,061,000 (\$27,308,250) COMMON STOCK. (FILE 33-96300 -  
AUG. 29) (BR. 9)
- S-8 CYTEL CORP/DE, 3525 JOHN HOPKINS COURT, SAN DIEGO, CA 92121  
(619) 552-3000 - 300,000 (\$2,625,000) COMMON STOCK. (FILE 33-96302 -  
AUG. 29) (BR. 4)
- S-1 SUPREME INTERNATIONAL CORP, 7495 NW 48TH ST, MIAMI, FL 33166  
(305) 592-2830 - \$32,516,250 COMMON STOCK. (FILE 33-96304 - AUG. 29)  
(BR. 8)
- S-8 CALIFORNIA FINANCIAL HOLDING CO, 501 WEST WEBER AVENUE, STOCKTON, CA  
95203 (209) 948-6870 - 200,000 (\$3,326,000) COMMON STOCK. (FILE 33-96308 -  
AUG. 29) (BR. 1)
- S-1 FIRST MERCHANTS ACCEPTANCE CORP, 570 LAKE COOK RD, STE 126, DEERFIELD,  
IL 60015 (708) 948-9300 - 3,687,039 (\$83,880,137.25) COMMON STOCK. (FILE  
33-96310 - AUG. 29) (BR. 11)

REGISTRATIONS CONT.

- S-8 LAS VEGAS ENTERTAINMENT NETWORK INC, 1801 CENTURY PARK E 23RD FL,  
LOS ANGELES, CA 90067 (310) 551-0011 - 2,000,000 (\$1,906,250) COMMON STOCK.  
(FILE 33-96312 - AUG. 29) (BR. 12)
- S-8 PROTEIN DESIGN LABS INC/DE, 2375 GARCIA AVE, MOUNTAIN VIEW, CA 94043  
(415) 903-3700 - 2,000,000 (\$37,625,000) COMMON STOCK. (FILE 33-96318 -  
AUG. 29) (BR. 4)
- S-8 PROGRESS SOFTWARE CORP /MA, 14 OAK PARK, BEDFORD, MA 01730  
(617) 280-4000 - 1,150,000 (\$62,207,700) COMMON STOCK. (FILE 33-96320 -  
AUG. 29) (BR. 10)
- S-8 FORREST CITY FINANCIAL CORP, 715 N WASHINGTON, FORREST CITY, AK 72335  
(501) 633-1525 - 6,876 (\$96,264) COMMON STOCK. (FILE 33-96322 - AUG. 29)  
(BR. 1)
- S-8 FORREST CITY FINANCIAL CORP, 715 N WASHINGTON, FORREST CITY, AK 72335  
(501) 633-1525 - 22,923 (\$320,922) COMMON STOCK. (FILE 33-96324 - AUG. 29)  
(BR. 1)
- S-8 DISCOUNT AUTO PARTS INC, 4900 FRONTAGE RD S, LAKELAND, FL 33801  
(813) 687-9226 - 300,000 (\$9,189,000) COMMON STOCK. (FILE 33-96326 -  
AUG. 29) (BR. 1)