

sec news digest

U.S. SECURITIES AND EXCHANGE COMMISSION

Issue 87-19

February 2, 1987

ADMINISTRATIVE PROCEEDINGS

STATON INVESTMENTS, INC. AND WILLIAM F. STATON SANCTIONED

The Commission instituted public administrative proceedings against Staton Investments, Inc. and William F. Staton under Sections 203(e) and (f) of the Investment Advisers Act of 1940. Simultaneously, Staton Investments and Staton submitted offers of settlement for the purpose of disposing of the issues raised in the administrative action.

In accepting the offers of settlement, the Commission found that Staton Investments had wilfully violated, and Staton had aided and abetted violations of, the books and records provisions of the Advisers Act and rules thereunder. Based on these findings, the Commission ordered that Staton Investments' registration as an investment adviser be revoked and that Staton be barred from association with any investment adviser. (Rel. IA-1053)

SANCTIONS IMPOSED UPON R. A. JOHNSON AND COMPANY, INC.

The Commission instituted public administrative proceedings under the Securities Exchange Act of 1934 against R. A. Johnson and Company, Inc., formerly known as R. L. Smith and Associates, Inc., a Salt Lake City brokerage firm, and simultaneously accepted the firm's Offer of Settlement. In that Offer, the firm consented to the Commission's Order Instituting Proceedings, Making Findings and Imposing Remedial Sanctions, without admitting or denying the allegations contained therein.

Accordingly, the Commission has entered an Order finding that the firm, while doing business as R. L. Smith and Associates, wilfully violated the registration, antifraud and antimanipulative provisions in the offer and sale of the securities of Gay International, Inc., a San Francisco-based corporation. The Order also finds that the firm was enjoined from violation of those provisions in SEC v. Gay International, Inc., Civ. No. C-84-5997 MHP [see LR-11281]. Further, in its Order the Commission censured the firm and ordered that it adopt certain compliance procedures proposed in its Offer. (Rel. 34-23985)

CIVIL PROCEEDINGS

RECEIVER APPOINTED IN ACTION AGAINST PHILIP C. CORDEK AND BAKER & GETTY DIVERSIFIED, INC.

The Chicago Regional Office announced that on January 20 District Court Judge George W. White, Northern District of Ohio, appointed a Receiver to marshal and conserve all assets of Philip C. Cordek and Baker & Getty Diversified, Inc. The Receiver, Don Graves of Cleveland, Ohio, will also make reports to the Court regarding the existence and location of the defendants' assets and a final report regarding how funds should be distributed.

Judge White had previously entered a Temporary Restraining Order against the defendants on December 9, 1986. Subsequently, the defendants consented to Orders of Preliminary Injunction on December 15, 1986. The Commission's December 9, 1986 complaint alleges that the defendants violated the antifraud provisions of the securities laws in the offer and sale of securities in the form of common stock to approximately 20 investors, thereby raising at least \$2 million from approximately December 1985 to the present. The complaint further alleges that the defendants sold the securities by making untrue statements of material facts concerning, among other things, the use of investor proceeds, and that the defendants would effect the purchases of common stock for which investors had paid, when, in fact, no transactions in securities were effected. (SEC v. Philip C. Cordek and Baker & Getty Diversified, NDOH, No. C-86-5126, filed December 9, 1986). (LR-11342)

INVESTMENT COMPANY ACT RELEASES

AMERICAN INTERNATIONAL LIFE ASSURANCE COMPANY OF NEW YORK

An order has been issued granting American International Life Assurance Company of New York, AIG Variable Account A, and American International Fund Distributors, Inc. exemptions from Sections 26(a) and 27(c)(2) of the Investment Company Act to permit the deduction of mortality and expense risk charges in connection with the issuance of individual single purchase payment deferred variable annuity contracts. (Rel. IC-15556 - Jan. 29)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until February 18 to comment on the application of the following stock exchanges for unlisted trading privileges in specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Midwest Stock Exchange - 16 issues. (Rel. 34-24033); and the Philadelphia Stock Exchange - one issue. (Rel. 34-24034)

DELISTINGS GRANTED

Orders have been issued granting the applications of the following stock exchanges to strike the common stock of the following companies from listing and registration thereon: American Stock Exchange - SPENCER COMPANIES, INC., \$1.00 par value. (Rel. 34-24035); and the New York Stock Exchange - PENGU INDUSTRIES, INC., no par value. (Rel. 34-24036)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change under Rule 19b-4 (SR-CBOE-86-41) to allow the listing of additional exercise prices in Swiss franc currency option contracts. Publication of the proposal is expected to be made in the Federal Register during the week of January 26. (Rel. 34-24025)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-2 GILL INC. 395 OSER AVE. SMITHTOWN, NY 11787 (516) 231-3737 - 920,000 (\$14,030,000) COMMON STOCK. (FILE 33-11292 - JAN. 20) (BR. 8)
- S-3 RJR NABISCO INC. 1100 REYNOLDS BLVD. WINSTON-SALEM, NC 27102 (919) 773-2000 (FILE 33-11293 - JAN. 20) (BR. 3)
- F-6 STR SPEEDY PRINTING CENTRES PLC /ADR/. ONE WALL ST. C/O IRVING TRUST CO. NEW YORK, NY 10015 (212) 635-8973 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-11294 - JAN. 20) (BR. 99 - NEW ISSUE)
- S-8 ZENITH ELECTRONICS CORP. 100 MILWAUKEE AVE. GLENVIEW, IL 60025 (312) 391-7000 - 1,654,618 (\$40,331,314) COMMON STOCK. (FILE 33-11295 - JAN. 20) (BR. 11)
- S-3 COOPERVISION INC. 3145 PORTE DR. PALO ALTO, CA 94304 (415) 856-5000 - 34,003 (\$603,553) COMMON STOCK. (FILE 33-11298 - JAN. 20) (BR. 8)

- S-1 RIVERBEND INTERNATIONAL CORP. 15749 E VENTURA AVE, SANGER, CA 93657 (209) 787-2501 - 1,219,000 (\$14,628,000) COMMON STOCK. 20,000 (\$100,000) COMMON STOCK. (FILE 33-11299 - JAN. 20) (BR. 4 - NEW ISSUE)
- S-1 FIRST M&F CORP/MS, 221 E WASHINGTON ST, P O BOX 520, KOSCIUSKO, MS 39090 (601) 289-5121 - 22,434 (\$897,360) COMMON STOCK. (FILE 33-11300 - JAN. 20) (BR. 2)
- S-18 DIAMOND LEASING INC. 19201 E MAIN ST STE 201, PARKER, CO 80134 (303) 841-6211 - 75,000,000 (\$750,000) COMMON STOCK. 7,500,000 (\$75,000) COMMON STOCK. 75,000,000 (\$1,500,000) COMMON STOCK. 7,500,000 (\$150,000) COMMON STOCK. UNDERWRITER: FIRST INTERSTATE SECURITIES INC. (FILE 33-11331-D - JAN. 13) (BR. 1 - NEW ISSUE)
- S-18 U S FUNDING CORP. 120-34 QUEENS BLVD, KEN GARDENS, NY 11415 (718) 544-8345 - 300,000 (\$300,000) COMMON STOCK. 1,200,000 (\$1,800,000) COMMON STOCK. 1,200,000 (\$2,100,000) COMMON STOCK. 600,000 (\$1,800,000) COMMON STOCK. (FILE 33-11347-NY - JAN. 14) (BR. 11 - NEW ISSUE)
- S-18 ROZA COMPANY LIMITED PARTNERSHIP, 1350 6TH AVE PENTHOUSE, NEW YORK, NY 10019 (212) 765-6760 - 50 (\$2,200,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-11349-NY - JAN. 14) (BR. 12 - NEW ISSUE)
- S-1 DIGICON INC. 3701 KIRBY DR. STE 112, HOUSTON, TX 77098 (713) 526-5611 - 13,233,000 (\$13,233,000) STRAIGHT BONDS. 36,367,000 (\$36,367,000) CONVERTIBLE DEBENTURES AND NOTES. 30,085,000 (\$30,085,000) STRAIGHT BONDS. 16,506,385 (\$6,602,554) COMMON STOCK. (FILE 33-11364 - JAN. 16) (BR. 4)
- S-4 GIBRALTAR FINANCIAL CORP /DE. 9111 WILSHIRE BLVD, BEVERLY HILLS, CA 90210 (213) 278-8720 - 1,250,000 (\$5,440,539) COMMON STOCK. (FILE 33-11369 - JAN. 16) (BR. 2)
- S-8 UNITED PARCEL SERVICE OF AMERICA INC - 3,269,935 (\$134,067,335) COMMON STOCK. (FILE 33-11378 - JAN. 16) (BR. 4 - NEW ISSUE)
- S-1 MLM ACQUISITION CORP. 440 MERRICK RD, OCEANSIDE, NY 11572 (516) 766-1900 - 35,000,000 (\$35,000,000) STRAIGHT BONDS. (FILE 33-11385 - JAN. 16) (BR. 12 - NEW ISSUE)
- S-1 GALAXY CABLEVISION L P, 1100 N MAIN, SIKESTON, MS 63801 (314) 471-3080 - 2,150,000 (\$43,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: JONES EDWARD D & CO. (FILE 33-11388 - JAN. 16) (BR. 8 - NEW ISSUE)
- S-8 CONVEX COMPUTER CORP. 701 N PLANO RD, RICHARDSON, TX 75081 (214) 952-0200 - 2,429,423 (\$32,797,210.50) COMMON STOCK. 600,000 (\$6,885,000) COMMON STOCK. (FILE 33-11389 - JAN. 20) (BR. 10)
- S-8 FLIGHT INTERNATIONAL GROUP INC. 3465 N DESERT DR, ATLANTA, GA 30344 (404) 763-1400 - 300,000 (\$1,628,412) COMMON STOCK. (FILE 33-11390 - JAN. 20) (BR. 3)
- S-18 HOMECALL INC /MD/. 30 E PATRICK ST, FREDERICK, MD 21701 (301) 663-8818 - 12,000,000 (\$3,000,000) COMMON STOCK. 12,000,000 (\$3,600,000) COMMON STOCK. 1,200,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 1,200,000 (\$360,000) COMMON STOCK. (FILE 33-11391 - JAN. 20) (BR. 6 - NEW ISSUE)
- S-8 VERTEX COMMUNICATIONS CORP /TX/, 2600 N LONGVIEW ST, KILGORE, TX 75662 (214) 984-0555 - 250,000 (\$702,500) COMMON STOCK. (FILE 33-11392 - JAN. 20) (BR. 7)
- S-8 ADAMS RUSSELL ELECTRONICS CO INC. 1380 MAIN ST, WALTHAM, MA 02154 (617) 894-8540 - 800,000 (\$12,800,000) COMMON STOCK. (FILE 33-11393 - JAN. 20) (BR. 3 - NEW ISSUE)
- S-3 WAL MART STORES INC. 702 SW 8TH ST, PO BOX 116, BENTONVILLE, AR 72716 (501) 273-4001 - 137,083,000 (\$137,083,000) STRAIGHT BCNDS. (FILE 33-11394 - JAN. 20) (BR. 2)
- N-2 TEMPLETON EMERGING MARKETS FUND INC. 700 CENTRAL AVE, ST PETERSBURG, FL 33701 (813) 823-8712 - 6,900,000 (\$69,000,000) COMMON STOCK. (FILE 33-11395 - JAN. 20) (BR. 18 - NEW ISSUE)
- S-1 GRAYBAR ELECTRIC CO INC. 34 N MERAMEC AVE, ST LOUIS, MO 63105 (314) 727-3900 - 121,552 (\$2,431,040) EQUIPMENT TRUST CERTIFICATES. (FILE 33-11397 - JAN. 20) (BR. 3)
- S-8 LONE STAR INDUSTRIES INC. ONE GREENWICH PLZ, PO BOX 5050, GREENWICH, CT 06836 (203) 661-3100 - 700,000 (\$22,662,375) COMMON STOCK. (FILE 33-11398 - JAN. 20) (BR. 10)

- S-8 SUFFIELD FINANCIAL CORP. 66 N MAIN ST. SUFFIELD, CT 06075 (203) 668-1261 - 782,606 (\$15,760,817) COMMON STOCK. (FILE 33-11400 - JAN. 20) (BR. 1)
- S-3 RI INC. ONE NEW YORK PLZ. NEW YORK, NY 10004 (212) 747-7000 - 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 33-11401 - JAN. 20) (BR. 12 - NEW ISSUE)
- S-3 ALASKA AIR GROUP INC. 19300 PACIFIC HWY SOUTH. SEATTLE, WA 98188 (206) 433-3200 - 1,931,035 (\$44,046,908) COMMON STOCK. (FILE 33-11403 - JAN. 20) (BR. 3)
- S-3 ANHEUSER BUSCH COMPANIES INC. ONE BUSCH PL. ST LOUIS, MO 63118 (314) 577-3314 (FILE 33-11405 - JAN. 20) (BR. 13)
- S-1 INTERMARK GAMING INTERNATIONAL INC. 7898 E ACONA DR. STE 104. PHOENIX, AZ 85260 (602) 948-7707 - \$6,900,000 COMMON STOCK. (FILE 33-11437 - JAN. 21) (BR. 6)
- S-8 CHIPS & TECHNOLOGIES INC. 521 COTTONWOOD DR. MILPITAS, CA 95035 (408) 434-0600 - 1,943,135 (\$22,823,984.37) COMMON STOCK. (FILE 33-11441 - JAN. 20) (BR. 3)

REGISTRATIONS EFFECTIVE

Jan. 16: The Chase Manhattan Corporation, 33-11318; Oxford CMO Trust II and Oxford CMO I Limited Partnership, 33-11079, 33-11079-01.

Jan. 19: Spectrum Financial Corporation, 33-11120.

Jan. 20: Arvin Industries, Inc., 33-10774; Biotherapeutics Incorporated, 33-10723; CB&T Bancshares, Inc., 33-11263; Key Centurion Bancshares Inc., 33-10708; Lamcor Incorporated, 33-10280-C; Medical Properties, Inc./Nu-Med, Inc., 33-9369, 33-9369-01; Menlo Capital Corporation, 33-9190-D; Old National Bancorp, 33-11178.

Jan. 21: Alaska Air Group, Inc., 33-11403; Cornerstone Financial Corporation, 33-10727; Custom Private Cable, Inc., 33-9415-D; Cycle Affiliated, Inc., 33-2205-D; Enzo Biochem, Inc., 33-11014; Greenwich Pharmaceuticals Incorporated, 33-11363; Outlet Broadcasting, Inc., 33-9443; Outlet Communications, Inc., 33-9442; Patrick Petroleum Company, 33-9564; Pro-Fac Cooperative, Inc., 33-10591; Security Pacific National Bank, 33-10284; Telephone Express Corporation, 33-6537; Trust Southwest Tax Exempt Income Trust, Series 26, 2-98825; Western Auto Supply Company, 33-9560.

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| | | | EVENT DATE | SHRS (000) % OWNED | CUSIP/ PRIOR % | FILING STATUS |
|--|-----|-----|------------|--------------------|------------------|---------------|
| AMERICAN FIRST CORP OKLA PARKER RICHARD M | COM | 13D | 12/31/86 | 299 5.6 | 02616110 5.3 | UPDATE |
| BIRD INC ENTWISTLE CO | COM | 13D | 1/ 9/87 | 470 11.4 | 09077510 0.0 | NEW |
| CBS INC LOEWS CORP | COM | 13D | 1/14/87 | 5,857 24.9 | 12484510 22.5 | UPDATE |
| CASEYS GEN STORES INC CARLSON WALTER J | COM | 13D | 1/ 7/87 | 2,945 25.8 | 14752810 25.7 | UPDATE |
| CASEYS GEN STORES INC LAMB RONALD M | COM | 13D | 1/ 7/87 | 2,696 23.6 | 14752810 23.6 | UPDATE |

ACQUISITIONS REPORTS CONT.

| | | | | | | |
|--|--------------------|-------|----------|----------------|------------------|--------|
| CASEYS GEN STORES INC LAMBERTI DONALD F | COM | 13D | 1/ 7/87 | 4,846 42.4 | 14752810 44.1 | UPDATE |
| COMPUTER MICROFILM CORP GA RIVLIN GERALD B TRUST | COM | 13D | 1/ 7/87 | 91 5.1 | 20523810 0.0 | NEW |
| CORTLANDT REALTY ASSOC ML CORTLANDT REALTY CORP | UNITS LIM PART INT | 14D-1 | 1/16/87 | 0 68.0 | 22070099 0.0 | UPDATE |
| FIRST WESTN FINL CORP ABRAMSON ALBERT ET AL | COM | 13D | 1/ 7/87 | 420 6.6 | 33753110 5.2 | UPDATE |
| IMPERIAL '400' NATIONAL INC 400 GROUP LTD PARTNERSHIP | COM | 13D | 12/31/86 | 0 N/A | 45275830 N/A | UPDATE |
| INITIALS + INC CUMBERLAND ASSOCIATES | COM | 13D | 1/ 8/87 | 214 11.4 | 45719710 8.0 | UPDATE |
| JOHNSON ELECTRS INC EMERGING GROWTH PARTNERS ET AL | COM | 13D | 1/ 5/87 | 68 4.2 | 47908810 6.3 | UPDATE |
| NCA CORP EMERGING GROWTH PARTNERS ET AL | COM | 13D | 12/31/86 | 186 6.7 | 62878710 6.3 | UPDATE |
| PETRO LEWIS CORP FREEPORT MCMORAN INC | COM | 14D-1 | 1/16/87 | 18,040 69.5 | 71645110 68.0 | UPDATE |
| PETRO LEWIS FREEPORT MCMORAN INC | 1.65 CUM PFD STK | 14D-1 | 1/16/87 | 464 66.2 | 71645130 68.6 | UPDATE |
| PETRO LEWIS FREEPORT MCMORAN INC | 2.28 CUM PFD STK | 14D-1 | 1/16/87 | 531 44.2 | 71645150 42.8 | UPDATE |
| PETRO LEWIS CORP FREEPORT MCMORAN INC | PFD CV \$3.33 | 14D-1 | 1/16/87 | 502 51.5 | 71645160 47.1 | UPDATE |
| POREX TECHNOLOGIES CORP GORDON FREDERICK L | COM | 13D | 1/ 5/87 | 658 6.0 | 73328010 0.0 | NEW |
| PREMARK INTL INC HARRIS ASSOCIATES INC | COM | 13D | 1/ 9/87 | 1,911 5.7 | 74045910 0.0 | NEW |
| RENT A WRECK AMER INC SCHWARTZ DAVID | COM | 13D | 12/31/86 | 820 40.2 | 76009810 0.0 | NEW |
| SAXON OIL CO HALLWOOD GRP INC | COM NEW | 13D | 1/14/87 | 29,280 49.2 | 80557520 6.0 | UPDATE |
| SAXON OIL DEV PARTNERS L P HALLWOOD GRP INC | DEPOSITARY UNIT | 13D | 1/14/87 | 0 0.0 | 80557710 42.1 | UPDATE |
| SCIENCE MGMT CORP SKIDMORE JAMES A JR | COM | 13D | 12/31/86 | 227 8.8 | 80863810 7.3 | UPDATE |
| SEARCH NAT RES INC INTERFIRST VENTURE CORP | COM | 13D | 1/13/87 | 4,148 84.0 | 81222110 0.0 | NEW |
| SOUTHWARD VENTURES DEP TRST CHESLER ALAN HARVEY | UNITS BEN INT | 13D | 12/12/86 | 0 N/A | 84490210 N/A | UPDATE |
| THACKERAY CORP ODYSSEY PARTNERS ET AL | SH BEN INT | 13D | 12/30/86 | 1,366 26.7 | 88321710 29.2 | UPDATE |
| THACKERAY CORP SHARP PETER ET AL | SH BEN INT | 13D | 12/30/86 | 1,500 29.4 | 88321710 29.6 | UPDATE |
| WARWICK INS MGRS INC ODYSSEY PARTNERS ET AL | COM NEW | 13D | 1/ 8/87 | 292 7.7 | 93658640 5.8 | UPDATE |

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT |
|---|------------|-------------|----------|---|---|---|---|----------|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | |
| GREEN DANIEL CO | | | | | | X | X | 12/26/86 | | |
| GRUBB & ELLIS REALTY INCOME TRUST | CA | | | | | X | X | 12/24/86 | | |
| HAILEY ENERGY CORP | UT | | X | | | | | 12/12/86 | | |
| HALYX DEVELOPMENT CO INC | DE | | | | X | X | | 01/06/87 | | |
| HUTTON PRC TECHNOLOGY PARTNERS I | | | X | | | | X | 12/22/86 | | |
| IMPERIAL INDUSTRIES INC | DE | | | X | X | | X | 01/14/87 | | |
| INTERPROVINCIAL PIPE LINE LTD | | | X | | | | X | 12/05/86 | | |
| KARCHER CARL ENTERPRISES INC | CA | | | | | X | | 01/05/87 | | |
| KEYSTONE MEDICAL CORP | DE | | NO ITEMS | | | | | | 12/18/86 | AMEND |
| LADD FURNITURE INC | NC | | | | | | X | 10/30/86 | AMEND | |
| LANDMARK LAND CO INC/DE | DE | | | | | X | X | 01/14/87 | | |
| LIBERTY AMERICAN CORP | NE | | X | | | | X | 12/30/86 | | |
| LINDAL CEDAR HOMES INC | | | X | | | | | 12/31/86 | | |
| MARCADE GROUP INC | NY | | X | | | | X | 12/31/86 | | |
| MCA INC | DE | | | | | X | | 01/15/87 | | |
| MCGRATH RENTCORP | CA | | X | | | | X | 12/31/86 | | |
| MICROBYX CORP | DE | | | X | | | X | 01/01/86 | | |
| MILLER TECHNOLOGY & COMMUNICATIONS CORP | AZ | | X | | | | X | 12/31/86 | | |
| MORGAN J P & CO INC | DE | | | | | X | X | 01/15/87 | | |
| NATIONAL PATENT DEVELOPMENT CORP | DE | | X | | | | X | 12/31/86 | | |
| NEW ENGLAND LIFE PENSION PROPERTIES IV | MA | | | | X | X | X | 01/14/87 | | |
| NORTHSTAR MINERALS INC | DE | X | | X | | | X | 12/16/86 | AMEND | |
| PITNEY BOWES CREDIT CORP | DE | | | | X | | | 01/16/87 | | |
| PRCCTER & GAMBLE CO | OH | | | | | | X | 01/15/87 | | |
| QUAREX INDUSTRIES INC | DE | | | X | | | X | 12/31/86 | | |
| QUESTAR CORP | UT | | X | | | | X | 01/07/87 | | |
| REGENCY CRUISES INC | DE | | | | X | X | | 12/30/86 | | |
| RIC 19 LTD | CA | | X | | X | X | | 01/07/87 | | |
| RIVERSIDE GROUP INC/FL | FL | | | | | | X | 12/23/86 | AMEND | |
| SCRIPPS HOWARD BROADCASTING CO | OH | | X | | | | X | 01/02/87 | | |
| SEARCH NATURAL RESOURCES INC | MN | | X | | X | X | | 12/31/86 | | |
| SECURITY PACIFIC CORP | DE | | | | X | X | | 12/17/87 | | |
| SHEFFIELD EXPLORATION CO INC | DE | | X | | | | X | 01/08/87 | | |
| STERLING HISTORIC INVESTORS LP | DE | | X | | | | X | 12/31/86 | | |
| SUNSHINE MINING CO /DE/ | DE | | | | X | X | | 12/30/86 | | |
| TAX COMPUTER SYSTEMS INC | NY | | NO ITEMS | | | | | | 12/31/86 | |
| TRANSCONTINENTAL GAS PIPE LINE CORP | DE | | | | | X | | 01/16/87 | | |
| TRITON GROUP LTD /DE/ | DE | | NO ITEMS | | | | | | 12/05/86 | AMEND |
| TRITON GROUP LTD /DE/ | DE | | | | | X | | 01/13/87 | | |
| TVX BROADCAST GROUP INC | VA | | X | | | | X | 12/31/86 | | |
| UNITED ARTISTS COMMUNICATIONS INC | MD | | | | X | | | 01/05/87 | | |
| UNITED STATES MUTUAL FINANCIAL CORP | MI | | | | X | | X | 01/05/87 | | |
| VANDERBILT SQUARE CORP | FL | | X | | | | X | 12/31/86 | | |
| VERTEX INDUSTRIES INC | NJ | | X | | | | X | 12/18/86 | | |
| VISUAL TECHNOLOGY INC | MA | | | | | X | X | 12/31/86 | | |
| WELLS REAL ESTATE FUND II | GA | | X | | | | X | 01/15/87 | | |
| WESTWORLD COMMUNITY HEALTHCARE INC | DE | | | | | | X | 01/02/87 | AMEND | |
| ZIEGLER MORTGAGE SECURITIES INC II | WI | | | | | X | X | 01/09/87 | | |

RECENT 8K FILINGS CONT.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT |
|--|---------------|-------------|----------|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | |
| ADVANCED MAGNETICS INC | DE | | X | | | | | X | 01/05/87 | |
| ALASKA AIR GROUP INC | DE | | X | | | | | X | 10/24/86 | AMEND |
| ALLEGHENY BANKSHARES CORP | WV | | | | X | | | | 12/04/86 | |
| AMERICAN OASAR PETROLEUM CO | TX | | | | X | | | | 01/05/87 | |
| AMERICAN OASAR PETROLEUM CO | TX | | | | X | | | | 01/12/87 | |
| ANHEUSER BUSCH COMPANIES INC | DE | | | | X | | X | | 01/20/87 | |
| ASSOCIATED MORTGAGE INVESTORS | MA | | X | | | | X | | 07/02/86 | AMEND |
| ASSOCIATED PLANNERS REALTY FUND | CA | | X | | | | X | | 12/31/86 | |
| BANNER INDUSTRIES INC /DE/ | DE | | | | X | | | | 12/19/86 | |
| CBH BANCORP | OH | | X | | | | X | | 01/14/87 | |
| CANANDAIGUA WINE CO INC | DE | | | | | | X | | 11/03/86 | AMEND |
| CANNON GROUP INC/DE | DE | | | | X | | X | | 12/21/86 | |
| CENTEL CORP | KS | | | | X | | | | 01/10/87 | |
| CENTRAL TELEPHONE CO | DE | | | | X | | | | 01/10/87 | |
| CENTRAL TELEPHONE CO CF FLORIDA | FL | | | | X | | | | 01/10/87 | |
| CENTRAL TELEPHONE CO CF ILLINOIS | IL | | | | X | | | | 01/10/87 | |
| CENTRAL TELEPHONE CO CF VIRGINIA | VA | | | | X | | | | 01/10/87 | |
| CERADYNE INC | CA | | | | | | X | | 11/05/86 | AMEND |
| CHASE MANHATTAN CORP | DE | | | | X | | X | | 01/20/87 | |
| CHEMEX PHARMACEUTICALS INC | WY | | | | X | X | | | 12/30/86 | |
| COAST MANUFACTURING CO INC | | | X | | | | X | | 12/30/86 | |
| COLORADO NATIONAL BANKSHARES INC | CO | | | | X | | | | 01/20/87 | |
| COMMERCIAL CREDIT CO | DE | | | | | | X | | 01/19/87 | |
| CONNECTICUT GENERAL REALTY INVESTORS III | CT | | | | X | | | | 12/19/86 | |
| CONSOLIDATED CAPITAL INCOME TRUST | CA | | | | X | | | | 01/19/87 | |
| CONSOLIDATED CAPITAL PROPERTIES III | CA | | | | X | | X | | 12/18/86 | |
| CONSOLIDATED CAPITAL SPECIAL TRUST | CA | | | | X | | X | | 01/19/87 | |
| CVB FINANCIAL CORP/SUCCESSOR | CA | | | | X | | X | | 01/07/87 | |
| DIAMOND SHAMROCK CORP /DE/ | DE | | | | X | | | | 01/07/87 | |
| DYNATECH CORP | MA | | X | | | | X | | 01/14/87 | |
| ELECTRONIC CONTROL SYSTEMS INC | WV | | NO ITEMS | | | | | | 07/21/86 | AMEND |
| ENERGETICS INC | DE | | X | | | | X | | 01/09/87 | |
| ENZON INC | DE | | | | X | | | | 01/13/87 | |
| FIRST AMERICAN CORP /TN/ | TN | | | | X | | | | 01/20/87 | |
| FREQUENCY ELECTRONICS INC | DE | | | | X | | X | | 01/13/87 | |
| GREENBRIER EQUIPMENT FUND LTD I-B | FL | | X | | | | | | 12/31/86 | |
| GROWTH HOTEL INVESTORS | CA | | X | | | | X | | 09/30/86 | AMEND |
| GROWTH HOTEL INVESTORS II | CA | | X | | | | X | | 12/19/86 | AMEND |
| GRUMMAN CORP | NY | | | | X | | | | 01/15/87 | |
| INTERMARK INC/DE | DE | | | | | | X | | 11/03/86 | |
| INTERNATIONAL TECHNOLOGY CORP | DE | | | | X | | X | | 01/16/87 | |
| JWP INC | NY | | X | | X | | X | | 01/06/87 | |
| MARINE CORP /WI/ | WI | | | | X | | | | 01/05/87 | |
| MCA INC | DE | | | | X | | | | 01/16/87 | |
| MOMENTUM INC | DE | | NO ITEMS | | | | | | 12/09/86 | AMEND |
| NATIONAL DATA COMMUNICATIONS INC | DE | | X | X | | | | | 01/06/87 | |
| NATIONAL DISTILLERS & CHEMICAL CORP | VA | | | | | | X | | 11/05/86 | AMEND |
| ONE VALLEY BANCORP OF WEST VIRGINIA INC | WV | | | | X | | | | 12/31/86 | |
| PACF MEMBERSHIP WAREHOUSE INC /CO/ | CO | | | | | | X | | 01/08/87 | |
| PERKINS FAMILY RESTAURANTS LP | DE | | | | X | | | | 12/31/86 | |
| REVLON GROUP INC | DE | | | | X | | X | | 12/02/86 | |
| REVLON INC | DE | | | | X | | X | | 12/02/86 | |
| RTC 19 LTD | CA | | X | | X | | X | | 01/07/86 | |
| RTC 19 LTD | CA | | X | | X | | X | | 12/30/86 | |
| SILICON VALLEY GROUP INC | CA | | | | X | | X | | 12/31/86 | |
| SUDBURY HOLDINGS INC | DE | | | | | | X | | 11/05/86 | AMEND |
| TD FITNESS INC | FL | | | | X | | | | 12/19/86 | AMEND |
| TWAIN MARK BANKSHARES INC | MO | | X | | | | X | | 12/30/86 | |
| UNIVERSAL RESOURCES CORP | TX | | X | | | | X | | 01/07/87 | |
| UNIVEST FINANCIAL CORP | CO | | | | | | X | | 12/22/86 | |
| VALSPAR CORP | DE | | X | | | | X | | 12/31/86 | |
| VERTIPILE INC | NY | | | | X | | | | 01/14/87 | |
| VIDEO STATION INC | CA | | | | X | | X | | 07/21/86 | AMEND |
| VILLAGE GREEN BOOKSTORE INC | NY | | | | | | X | | 12/02/86 | AMEND |
| VIRGINIA ELECTRIC & POWER CO | VA | | | | X | | | | 01/07/87 | |
| VISTA PROPERTIES | CA | | | | X | | X | | 12/30/86 | |
| WESBANCO INC | WV | | | | X | | | | 12/31/85 | |
| WESTERNWORLD SAMUEL COMMUNICATIONS INC | CA | | X | | | | X | | 12/15/86 | |

RECENT 8K FILINGS CONT.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT |
|--------------------------------------|---------------|-------------|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | |
| AMERICAN MIDLAND CORP | NY | | | X | X | | | X | 12/15/86 | |
| BRENNER INTERNATIONAL INC | DE | X | | | | | | | 01/07/87 | |
| CABLE TV FUND VII-C | | X | | | | | | | 11/12/86 | AMEND |
| CALIFORNIA CARS GRANTOR TRUST 1986-A | CA | | | X | | | | X | 01/14/87 | |
| CITIBANK NA | | X | | | | | | | 01/15/87 | |
| DETREX CORPORATION | MI | | | | X | | | X | 01/15/87 | |
| FERTIL A CHRON INC | DE | | | | | | | X | 11/03/86 | AMEND |
| HIGH PLAINS GENETICS INC | CO | | | | X | X | X | | 12/31/86 | |
| INVESTORS COMMODITY FUND II | CO | | | X | | | | | 01/05/87 | |
| KIRSCHNER MEDICAL CORP | DE | | | | X | | | | 01/14/87 | |
| MOTOR CLUB OF AMERICA | NJ | X | | | | | | X | 12/31/86 | |
| MOTOR CLUB OF AMERICA INSURANCE CO | NJ | X | | | | | | X | 12/31/86 | |
| NYLEX CORP | FL | | | X | | | | | 01/05/87 | |
| NAC RF CORP | DE | | | | X | | | X | 01/08/87 | |
| NATIONAL BANKSHARES OF LA JOLLA | CA | | | | X | | | | 01/15/86 | |
| NEVEX GOLD CO INC | WA | | | | X | | | | 12/12/86 | |
| NORTHERN STATES POWER CO /WI/ | WI | | | | X | | | | 06/12/86 | AMEND |
| PHILIP MORRIS COMPANIES INC | VA | | | | | | | X | 01/13/87 | |
| PORTSMOUTH SQUARE INC | CA | | | | X | | | | 01/08/87 | |
| PPG INDUSTRIES INC | PA | | | | X | | | | 01/15/87 | |
| RAYTECH CORP | DE | | | | | | | | 12/04/86 | AMEND |
| RIC 19 LTD | CA | X | | | X | | | X | 12/18/86 | AMEND |
| SAVERS INC | DE | | | | X | | | X | 01/14/87 | |
| SONFX RESEARCH INC | MO | | | | X | | | | 12/24/86 | |
| SUGARLOAF MOUNTAIN CORP | NE | | | | X | | | | 11/03/86 | |
| TELECRAFTER CORP | DE | | | | X | | | | 01/13/87 | |
| UNITED CAPITAL HOLDINGS INC | CO | X | | | | | | | 01/15/87 | |
| WETTERAU INC | MO | | | | X | | | X | 01/20/87 | |