

ALJ

ADMINISTRATIVE PROCEEDING
FILE NO. 3-11084

U.S. SECURITIES AND EXCHANGE COMMISSION
MAILED FOR SERVICE

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
February 24, 2004

FEB 24 2004

FIRST CLASS

In the Matter of :
: ORDER
HARRISON SECURITIES, INC., :
FREDERICK C. BLUMER, :
and NEBRISSA SONG :
:
:
:

On January 26, 2004, I ordered the parties to submit proposed corrections to the hearing transcript within twenty business days (Hearing Transcript, pages 930-31). Respondents Harrison Securities, Inc. (Harrison), and Frederick C. Blumer (Blumer) have now requested additional time to submit their proposed corrections, stating that they did not receive the hearing transcript until February 20, 2004.¹ The request for additional time is granted. All parties may submit proposed transcript changes on or before March 2, 2004.


On January 26, 2004, I also ordered Respondents to submit a list of any additional challenges they intended to raise to the net capital computations made by the Division of Enforcement (Division). That order required a list of such additional challenges, with specifics, to be filed and served within twenty business days (Hearing Transcript, pages 932-33). Harrison and Blumer now balk at that requirement, but they do not explain why they stood silent at the hearing when the subject was discussed. The order was entered pursuant to Rule 111(d) of the Securities and Exchange Commission's Rules of Practice, and was designed to expedite the continued hearing. Insofar as Harrison and Blumer seek to vacate that order, their request is denied. However, additional time will be granted. Respondents' list of additional arithmetical

¹ The Office of the Secretary and the Office of Administrative Law Judges received the transcript by February 3, 2004. Diversified Reporting Services, Inc. (Diversified), has advised this Office that it did not receive an order from Harrison and Blumer until February 5, 2004. Diversified also advised that Harrison and Blumer requested and paid for delivery in ten business days. Diversified has met its obligations to Harrison and Blumer.

challenges will be considered timely if it is filed and served on or before March 2, 2004.

Harrison and Blumer raised these matters in a letter. Any future requests for relief should be filed as motions, and should not be submitted until after a good faith effort to consult with opposing counsel.

SO ORDERED.



James T. Kelly
Administrative Law Judge