



## Surcharge Recipient Organization's Compliance Procedures for Surcharge Eligibility and Payments

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### Appendix C - Sample Recipient Assertion for Eligibility

(designated recipient organization)  
(address)  
(date)

Chief Financial Officer  
United States Mint  
Department of the Treasury  
Washington, DC 20220

RE: Assertions to Establish Eligibility

The (name of designated recipient organization) has been designated the recipient of commemorative coin surcharge funds by (title and date of enabling legislation).

For the purpose of establishing eligibility to receive funds, I assert the following:

1. Qualifying funds have been raised from private sources as shown in the attached Schedule of Funds Raised from Private Sources, dated (insert date).
2. The private funds raised are for the purpose(s) described in the enabling legislation. The purpose is (state authorized purpose).
3. None of the qualifying funds raised from private sources has been used to compensate, directly or indirectly, any agent or attorney for services rendered to support or influence in any way legislative actions of Congress relating to numismatic coin activity.

In accordance with statute and the U.S. Mint's procedures, an auditor has been engaged to conduct a compliance attestation engagement. Our auditor is:

(insert auditor's name  
address  
telephone)



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Upon completion, the auditor's report will be promptly forwarded to you.

Very truly yours,

(name)  
Chief Executive Officer (or Chief Financial Officer)  
(designated recipient organization)

Attachment: Schedule of Funds Raised from Private Sources