

Department of Commerce Export Enforcement Cases  
 Closed October 1, 1996 to September 30, 1997

Order Date -----	Cases -----	Charges -----	Sections Violated -----	Respondents -----	Result -----
10/02/96	In the Matter of Yuchai America Corporation	Attempted to export to the People's Republic of China two 5-axis CNC machining centers without applying for or obtaining the required validated export license; made false and misleading statement of material fact on export control document	787.3(a) [1] 787.5(a) [1]	Yuchai America Corporation	Settlement agreement - civil penalty of \$200,000
10/09/96	In the Matter of Rodco International, Inc.	Exported U.S.-origin chemicals to Mexico without obtaining the required validated licenses	787.6 [11]	Rodco International, Inc.	Settlement agreement - civil penalty of \$110,000, all suspended; export privileges denied for two years
10/11/96	In the Matter of Walton W. McCarthy	Willfully, knowingly, and unlawfully dealing and attempting to deal in property intended for exportation to Iraq, specifically, an underground shelter known as an "S30 Remote Tactical Base," and engaging and attempting to engage in activity intended to promote such dealing, in	Section 1705(b) of the IEEPA	Walton W. McCarthy	Export privileges denied until February 12, 2006

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		violation of the embargo against Iraq			
12/16/96	In the Matter of EC Company	Made false and misleading statements of material fact on export control document; exported U.S.-origin spare parts for small engines and office supplies to Vietnam without obtaining the required validated export license; transferred U.S.- origin commodities with knowledge or reason to know that a violation of the Act, or any regulation, order, or license issued under the Act occurred, was about to occur, or was intended to occur	787.4(a) [2] 787.5(a)(1)[1] 787.6 [1]	EC Company	Settlement agreement - civil penalty of \$8,000
12/16/96	In the Matter of May National Associates, Inc.	Exported U.S.-origin hydroroxy-terminated polybutadiene (HTPB) to France without obtaining the required validated export license; made false and	787.3(a) [1] 787.4(a) [1] 787.5(a)(1)[1] 787.6 [1]	May National Associates, Inc.	Settlement agreement - civil penalty of \$25,000

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		misleading statements of material fact on export control document; sold, transferred, or forwarded commodities exported or to be exported with knowledge or reason to know that a violation of the Act, or any regulation, order, or license issued under the Act occurred, was about to occur, or was intended to occur			
12/18/96	In the Matter of Doornbos, GmbH	Conspiracy; caused, aided or abetted the export of U.S.- origin equipment from the United States, through the Netherlands and/or Germany, to Libya for use in the Great Man Made River Project without obtaining the export authorization that Doornbos knew or had reason to know was required; made false and misleading statements of material fact on export control document	787.2 787.3(b) 787.4(a) 787.5(a)	[1] [1] [1] [1]	Doornbos, GmbH Settlement agreement - export privileges denied for four years
12/18/96	In the Matter	Conspiracy; caused, aided or abetted the export of U.S.-	787.2 787.3(b)	[1] [1]	Helmut Korelski Settlement agreement - export

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	of Helmut Korelski	origin equipment from the United States, through the Netherlands and/or Germany, to Libya for use in the Great Man Made River Project without obtaining the export authorization that Korelski knew or had reason to know was required; made false and misleading statements of material fact on export control document	787.4(a) [1] 787.5(a) [1]		privileges denied for four years
01/08/97	In the Matter of RMI Titanium Company	Exported titanium alloy products to France and Israel without the validated export licenses RMI knew or had reason to know were required; made false and misleading statements of material fact on export control documents	787.5(a)(1)[4] 787.4(a) [6] 787.6 [6]	RMI Titanium Company	Settlement agreement - civil penalty of \$160,000
01/22/97	In the Matter of Allvac	Exported titanium alloy products to Australia, China, France, Ireland, Israel, Italy, Japan, Germany,	787.6 [49]	Allvac	Settlement agreement - civil penalty of \$122,000; \$47,500 suspended for one year

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		Switzerland, Taiwan, and the United Kingdom and exported maraging steel to Germany without obtaining the required validated export licenses			
02/26/97	In the Matter of Morrison Express Corporation	Made false and misleading statements of material fact on export control document	787.5(a)(1)[1]	Morrison Express Corporation	Settlement agreement - civil penalty of \$5,000
03/10/97	In the Matter of Thomas Doyle	Knowingly and willfully exported and caused to be exported gear type fuel pumps from the United States to Germany for transshipment to Libya through the Republic of Malta; made false and misleading statements on export control documents	Sections 1701, 1702 and 1705(b) of the IEEPA; Section 2410(a) of the EAA	Thomas Doyle	Export privileges denied until July 31, 2006
03/10/97	In the Matter of Robert A. Vance	Knowingly and willfully exported and caused to be exported gear type fuel pumps	Sections 1701, 1702 and	Robert A. Vance	Export privileges denied until July 31, 2006

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		from the United States to Germany for transshipment to Libya through the Republic of Malta; made false and misleading statements on export control documents	1705(b) of the IEEPA; Section 2410(a) of the EAA		
03/26/97	In the Matter of JML Freight Forwarding, Inc., formerly known as Jacky Maeder, Ltd.	Made false and misleading statements of material fact on export control documents	787.5(a)(1)[3]	JML Freight Forwarding, Inc., formerly known as Jacky Maeder	Settlement agreement - civil penalty of \$15,000
04/02/97	In the Matter of Thyssen Haniel Logistics, Inc.	Made false and misleading statements of material fact on export control documents	787.5(a)(1)[6]	Thyssen Haniel Logistics, Inc.	Settlement agreement - civil penalty of \$30,000
04/10/97	In the Matter of Martin Kaufman, doing business as Tourism Consultants International	Caused, aided or abetted the export of U.S.-origin computer equipment and related peripherals from the United States through Jamaica to Cuba without the required validated export license	787.2 [1]	Martin Kaufman	Settlement agreement - civil penalty of \$10,000

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04/18/97	In the Matter of Compaq Computer Corporation	Exported computer equipment from the United States to Venezuela, Chile and the People's Republic of China without obtaining the required validated export licenses	787.6 [3]	Compaq Computer Corporation	Settlement agreement - civil penalty of \$55,000
04/30/97	In the Matter of Aluru J. Prasad	Knowingly and willfully attempted to obtain classified information connected with the national defense of the United States for the Union of Soviet Socialist Republics	Section 793(b) of the Espionage Act	Aluru J. Prasad	Export privileges denied until December 9, 2006
05/01/97	In the Matter of Hellmann International Forwarders, Inc.	Made false and misleading statements of material fact on export control documents	787.5(a)(1)[3]	Hellmann International Forwarders, Inc.	Settlement agreement - civil penalty of \$15,000
05/01/97	In the Matter of Advanced Vacuum Systems, Inc.	Exported a low pressure sintering furnace to the People's Republic of China	787.6 [1]	Advanced Vacuum Systems, Inc.	Settlement agreement - civil penalty of \$5,000, \$2,000 suspended for three years

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		without obtaining the required validated license			
05/05/97	In the Matter of Thane-Coat, Inc., Jerry Vernon Ford, Preston John Engebretson, Export Materials, Inc. and Thane-Coat International, Ltd.	Reason to believe that the respondents employed a scheme to export U.S.-origin products from the United States, through the United Kingdom or Italy, to Libya, a country subject to a comprehensive economic sanctions program, without the authorization required	EAA EAR	Thane-Coat, Inc., Jerry Vernon Ford, Preston John Engebretson, Export Materials, Inc. and Thane-Coat International, Ltd.	Order temporarily denying export privileges for 180 days
05/29/97	In the Matter of President Titanium	Exported U.S.-origin titanium bars to various countries without obtaining the required validated export licenses	787.6 [25]	President Titanium	Settlement agreement - civil penalty of \$125,000, \$50,000 suspended for one year
05/29/97	In the Matter of Pan Asia Exim Enterprises PTE Limited	Reexported U.S.-origin spare parts for small 4HP to 12HP engines from Singapore to Vietnam without obtaining the	787.6 [1]	Pan Asia Exim Enterprises PTE Limited	Export privileges denied for two years



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		required reexport authorization			
05/29/97	In the Matter of Karl Cording	Conspiracy; exported U.S- origin shotguns, with barrel length 18 inches and over, to Namibia and South Africa, without applying for and obtaining the validated export licenses he knew or had reason to know were required; made false and misleading representations of material fact on export control documents	787.3(b) [1] 787.4(a) [2] 787.5(a) [2] 787.6 [2]	Karl Cording	Export privileges denied for 20 years
05/30/97	In the Matter of Lasertechnics Inc.	Exported U.S.-origin thyratrons to Hong Kong, Ireland, Malaysia, and Singapore without obtaining the required validated export licenses	787.6 [36]	Lasertechnics Inc.	Settlement agreement - civil penalty of \$180,000, \$80,000 suspended for three years
06/10/97	In the Matter of AAT	Exported U.S.-origin electronic test equipment and communications components to	787.6 [3]	AAT Communications Corporation	Settlement agreement - civil penalty of \$30,000

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	Communications Corporation	the United Kingdom under the authority of general license G-DEST knowing or having reason to believe that the commodities were intended for ultimate destination in Iran			
06/10/97	In the Matter of McNeil International	Knowingly and willfully exported, reexported, diverted, and transshipped computers and related equipment to Libya, in violation of the embargo against Libya	Sections 1701, 1702 and 1705 of IEEPA	McNeil International	Export privileges denied until August 22, 2006
06/10/97	In the Matter of David McKeeve	Knowingly and willfully exported, reexported, diverted, and transshipped computers and related equipment to Libya, in violation of the embargo against Libya	Sections 1701, 1702 and 1705 of IEEPA	David McKeeve	Export privileges denied until August 22, 2006
06/16/97	In the Matter of Delft Instruments N.V.	Made false and misleading statements of material fact during the course of an enforcement proceeding	787.5(a) [5]	Delft Instruments N.V.	Settlement agreement - civil penalty of \$50,000

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06/17/97	In the Matter of Dell Computer Corporation	Exported U.S.-origin computer equipment to Iran without obtaining the required validated export licenses; made false and misleading statements of material facts on export control documents	787.6 [3] 787.5(a)(1)[3]	Dell Computer Corporation	Settlement agreement - civil penalty of \$50,000
06/19/97	In the Matter of Thane-Coat, Inc., Jerry Vernon Ford, Preston John Engebretson, Export Materials, Inc. and Thane-Coat International, Ltd.	Reason to believe that the respondents employed a scheme to export U.S.-origin products from the United States, through the United Kingdom or Italy, to Libya, a country subject to a comprehensive economic sanctions program, without the authorization required	EAA EAR	Thane-Coat, Inc., Jerry Vernon Ford, Preston John Engebretson, Export Materials, Inc. and Thane-Coat International, Ltd.	US/EA affirmed order of May 5, 1997 temporarily denying export privileges
07/15/97	In the Matter of Tex-Co International, Inc.	Knowingly and willfully exported, and caused to be exported, various items of oil field equipment to an	Sections 1702 and 1705 of IEEPA	Tex-Co International, Inc.	Export privileges denied until June 24, 2006

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		intermediary for ultimate delivery to Umm Al-Jawaby Oil Service Company, Ltd., a specially designated national of the government of Libya, located in London, United Kingdom, without the written authorization of the United States Government			
07/17/97	In the Matter of I.G.G. Corporation	Exported U.S.-origin electronic equipment to the United Kingdom knowing that each of those exports was made without the required individual validated license	787.6 [40]	I.G.G. Corporation	Settlement agreement - civil penalty of \$400,000; export privileges denied for seven years, all of which is suspended
07/18/97	In the Matter of James J. Gato	Gato, a person denied all U.S. export privileges, resold, transferred and disposed of U.S.-origin commodities to a third party, knowing or having reason to know that the third party intended to, and in fact did,	787.4(a) [1] 787.6 [1]	James J. Gato	On April 9, 1996, an Order was entered imposing a civil penalty of \$5,000, \$3,000 of which was due within 30 days of entry of the Order. The remaining \$2,000 was suspended for five years. Gato failed to pay any of the \$3,000 portion of the \$5,000

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		export the commodities to Australia			civil penalty as required by the Order. BXA and Gato jointly moved to modify the terms of that Order. The AS/EE entered this Order to modified the payment schedule of the April 9, 1996 Order
07/22/97	In the Matter of William A. Roessl, individually and formerly doing business as Enigma Industries	Exported a U.S.-origin Floating Point Systems model 164 Array Processor from the United States through Canada to the then-Federal Republic of Germany without the validated license he knew or had reason to know was required	787.4(a) [1] 787.5(a) [1] 787.6 [1]	William A. Roessl, individually and formerly doing business as Enigma Industries	Export privileges denied for 10 years
07/25/97	In the Matter of Datrac AG	Reexported U.S.-origin data communications equipment from Switzerland to Singapore without obtaining the	787.6 [1]	Datrac AG	Settlement agreement - civil penalty of \$2,500

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		required reexport authorization			
08/08/97	In the Matter of Ian Ace	Conspiracy; exported U.S- origin shotguns, with barrel length 18 inches and over, to Namibia and South Africa, without applying for and obtaining the validated export licenses he knew or had reason to know were required; made false and misleading representations of material fact on export control documents	787.3(b) [1] 787.4(a) [2] 787.5(a) [2] 787.6 [2]	Ian Ace	Export privileges denied for 20 years
09/30/97	In the Matter of Lockheed Martin Corporation	Exported graphite/epoxy prepreg material to South Korea without obtaining the required validated export licenses	787.6 [9]	Lockheed Martin Corporation	Settlement agreement - civil penalty of \$45,000