

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM

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FY 2009 Proposed Appropriation Language

ADMINISTRATION FOR CHILDREN AND FAMILIES
Low Income Home Energy Assistance Program

For making payments under section ~~2604(a)-(d)~~ **2602(b)** of the Low-Income Home Energy Assistance Act of 1981 (~~42 U.S.C. 8623(a)-(d)~~) (**42 U.S.C. 8621(b)**), ~~\$2,015,206,000~~ **\$1,700,000,000**.

For making payments under section ~~2604(e)~~ **2602(e)** of the Low-Income Home Energy Assistance Act of 1981 (~~42 U.S.C. 8623(e)~~) (**42 U.S.C. 8621(e)**), ~~\$596,379,000~~ **\$300,000,000** , *to remain available until expended: Provided, That these funds are for the unanticipated home energy assistance needs of one or more states, as authorized by section 2604(e) of the Act, and* notwithstanding the designation requirement of section 2602(e) of such Act: ~~Provided, That of the amount provided by this paragraph, \$250,000,000 is designated as described in section 5 (in the matter preceding division A of this consolidated Act).~~

LANGUAGE ANALYSIS

Language Provision	Explanation
<i>"...to remain available until expended..."</i>	This language is inserted to extend the availability of the Contingency Fund until expended rather than to require obligation by the end of the year for which the funds are appropriated. Extending the availability will provide maximum flexibility to address emergencies when needed.
<i>"...Provided, That these funds are for the unanticipated home energy assistance needs of one or more states, as authorized by section 2604(e) of the Act, and..."</i>	This language is inserted to clarify that the purpose of the Contingency Fund is to address emergency energy assistance needs.
Provided, That of the amount provided by this paragraph, \$250,000,000 is designated as described in section 5 (in the matter preceding division A of this consolidated Act)	This language is deleted because it refers to one-time emergency funds provided in FY 2008.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Low Income Home Energy Assistance Program

Authorizing Legislation

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
<u>Low Income Home Energy Assistance Program:</u> ² Section 2602(b) of the Low Income Home Energy Assistance Act	Such sums	\$1,952,478,000	Such sums	\$1,672,478,000
Leveraging Incentive Fund, Section 2602(d) of the Low Income Home Energy Assistance Act	\$30,000,000 (\$50M if amount appropriated under (b) is not less than \$1.4B)	27,225,000	\$30,000,000 (\$50M if amount appropriated under (b) is not less than \$1.4B)	27,225,000
Energy Emergency Contingency Fund, Section 2602(e) of the Low Income Home Energy Assistance Act	Such sums	590,328,000	Such sums	300,000,000
Training and Technical Assistance, Section 2609(a) of the Low Income Home Energy Assistance Act	\$300,000	297,000	\$300,000	297,000
Total Request Level		\$2,570,328,000		\$2,000,000,000
Total request level against definite authorizations	\$30,300,000	\$27,522,000	\$30,300,000	\$27,522,000

¹ Amounts in this column represent the President's Budget.

² Legislation to reauthorize is pending Congressional action.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Low Income Home Energy Assistance Program

APPROPRIATIONS HISTORY TABLE

Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
2000				
Advance	\$1,100,000,000	\$1,100,000,000	\$1,100,000,000	\$1,100,000,000
Emergency Supplemental	---	---	---	600,000,000
Total	1,100,000,000	1,100,000,000	1,100,000,000	1,700,000,000
2001				
Block Grant	---	---	---	300,000,000
Contingency Fund	300,000,000	300,000,000	300,000,000	300,000,000
Advance	1,100,000,000	1,100,000,000	1,100,000,000	1,100,000,000
Emergency Supplemental	---	300,000,000	300,000,000	300,000,000
Total	1,400,000,000	1,700,000,000	1,700,000,000	2,000,000,000
2002				
Block Grant	1,400,000,000	1,700,000,000	1,700,000,000	1,700,000,000
Contingency Fund	300,000,000	300,000,000	300,000,000	300,000,000
Total	1,700,000,000	2,000,000,000	2,000,000,000	2,000,000,000
2003				
Block Grant	1,400,000,000	---	1,700,000,000	1,800,000,000
Contingency Fund	300,000,000	---	300,000,000	---
Rescission	---	---	---	-11,700,000
Total	1,700,000,000	---	2,000,000,000	1,788,300,000
2004				
Block Grant	1,700,000,000	1,700,000,000	2,000,000,000	1,800,000,000
Contingency Fund	300,000,000	100,000,000	---	100,000,000
Rescission	---	---	---	-11,210,000
Total	2,000,000,000	1,800,000,000	2,000,000,000	1,888,790,000
2005				
Block Grant	1,900,500,000	1,911,000,000	1,901,090,000	1,900,000,000
Contingency Fund	300,000,000	100,000,000	99,410,000	300,000,000
Rescission	---	---	---	-17,600,992
Total	2,200,500,000	2,011,000,000	2,000,050,000	2,182,000,000
2006				
Block Grant	1,800,000,000	2,006,799,000	1,883,000,000	2,500,000,000
Contingency Fund	200,000,000	---	300,000,000	683,000,000
Rescission	---	---	---	-21,830,000
Section 202 Transfer to CMS	---	---	---	-1,484,587
Total	2,000,000,000	2,006,799,000	2,183,000,000	3,159,685,413
2007				
Block Grant	1,782,000,000			1,980,000,000

Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
Contingency Fund	---	---	---	181,170,000
Total	1,782,000,000	---	---	2,161,170,000
2008				
Block Grant	1,500,000,000	---	---	2,015,206,000
Contingency Fund	282,000,000	---	---	596,379,000
Rescission	---	---	---	-41,257,000
Total	1,782,000,000	---	---	2,570,328,000
2009				
Block Grant	1,700,000,000	---	---	---
Contingency Fund	300,000,000	---	---	---
Total	2,000,000,000	---	---	---

ADMINISTRATION FOR CHILDREN AND FAMILIES
Low Income Home Energy Assistance Program

Amounts Available for Obligation

	FY 2007 <u>Actual</u>	FY 2008 <u>Enacted</u>	FY 2009 <u>Estimate</u>
Discretionary Appropriation	\$2,161,000,000	\$2,570,328,000	\$2,000,000,000
Unobligated balance, start of year	20,350,000	20,350,000 ¹	n/a
Unobligated balance, end of year.....	-20,350,000	n/a	n/a
Total obligations	\$2,161,170,000	\$2,570,328,000	\$2,000,000,000

Budget Authority by Activity

	FY 2007 <u>Enacted</u>	FY 2008 <u>Enacted</u>	FY 2009 <u>Estimate</u>
Block Grant:			
Grants to States	\$1,952,478,000	\$1,952,478,000	\$1,672,478,000
Leveraging Incentive Funds	27,225,000	27,225,000	27,225,000
Training & Technical Assistance	<u>297,000</u>	<u>297,000</u>	<u>297,000</u>
Subtotal, Block Grant	1,980,000,000	1,980,000,000	1,700,000,000
Contingency Fund	181,170,000	590,328,000	300,000,000
Contingency Funds Released	[181,170,000]	n/a	n/a
Total, Budget Authority	\$2,161,170,000	\$2,570,328,000	\$2,000,000,000

¹ A balance of \$20.4 million from FY 2005 remains available for release in FY 2008.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Low Income Home Energy Assistance Program

SUMMARY OF CHANGES

FY 2008 Enacted	
Total estimated budget authority	\$2,570,328,000
FY 2009 Estimate	
Total estimated budget authority	\$2,000,000,000
Net Change	-\$570,328,000

	<u>FY 2008 Enacted</u>	<u>Change from Base</u>
<u>Decreases:</u>		
A. <u>Program:</u>		
1) LIHEAP Block Grant decrease	\$1,980,000,000	-\$280,000,000
2) Contingency Fund decrease	590,328,000	-290,328,000
Net Change		-\$570,328,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Low Income Home Energy Assistance Program

Justification

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$2,161,170,000	\$2,570,328,000	\$2,000,000,000	-\$570,328,000

General Statement

The Low Income Home Energy Assistance Program (LIHEAP) provides assistance to low-income households in meeting the costs of heating and cooling their homes consistent with the Administration for Children and Families' strategic goal to build healthy, safe and supportive communities and tribes. Approximately 24 percent of LIHEAP recipients are "working poor" households that do not receive any other public assistance through Temporary Assistance for Needy Families (TANF), food stamps, Supplemental Security Income (SSI), or subsidized housing. For FY 2006, approximately 30 percent of LIHEAP heating recipients were elderly households and 22 percent were households including young children.

Legislation enacted in 1994 emphasized to states the importance of using LIHEAP funds to target assistance to households with high energy burdens or need. Through a collaborative process, the program has defined national reciprocity targeting indexes for both elderly (60 years and older) and young child (under six years old) households to track the rate at which these two vulnerable populations are served compared to the total number that these households represent in the LIHEAP income eligible population.

A leveraging incentive fund has been successful in encouraging states to add non-federal energy assistance resources to low income households beyond what could be provided with federal LIHEAP resources. Beginning in FY 1996, a Residential Energy Assistance Challenge Grant program (REACH) became a component of the leveraging incentive fund. It assists a limited number of LIHEAP grantees in developing and operating programs to help LIHEAP-eligible households reduce their energy vulnerability.

The FY 2009 President's Budget request is \$2,000,000,000 for this account. In addition, this budget also proposes appropriations bill language that would authorize LIHEAP Contingency Funds to remain available until expended.

LIHEAP BLOCK GRANT PROGRAM

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$1,980,000,000	\$1,980,000,000	\$1,700,000,000	-\$280,000,000

Authorizing Legislation – Section 2602(b) of the Low Income Home Energy Assistance Act.

2009 AuthorizationPending Congressional action

Allocation MethodFormula Grant

Program Description and Accomplishments – LIHEAP provides home energy assistance to low-income households through payments to eligible households or their home energy suppliers. Funds are provided through block grants to states, Indian tribes and tribal organizations, Puerto Rico and four other insular areas for their use in programs tailored to meet the unique requirements of their jurisdictions. This program assists eligible households in meeting the costs of home energy, defined by the statute to include sources of residential heating and cooling.

States may give priority to households with the highest home energy costs or need in relation to income. States are allowed flexibility in determining payment levels and types of payments, including unrestricted cash payments, payments to vendors on behalf of eligible households, or energy vouchers. Generally, states elect to provide benefits in the form of payments to vendors on behalf of recipient households. Up to ten percent of the funds payable to a state may be used to pay planning and administrative costs. A grantee may request that up to ten percent of the funds payable be held available for obligation in the subsequent year.

LIHEAP received a PART review in CY 2003 and received a rating of Results Not Demonstrated. The review cited as a strong attribute the program’s targeting of low-income, high energy burden households and vulnerable households with children, disabled and elderly individuals. As a result of the PART review, the program is working with external stakeholders, especially state LIHEAP grantees, to develop long term goals, identify states’ best strategies for leveraging resources through the LIHEAP Leveraging Incentive Program, and improve performance-based budgeting.

The FY 2006 result for the LIHEAP cost effectiveness measure, the ratio of LIHEAP households assisted per \$100 of LIHEAP administrative costs, was 3.04, missing the target of 3.74. The FY 2006 decline reflects the FY 2006 supplemental LIHEAP funds that included the restriction that none of the funds could be applied to administrative costs. Preliminary evidence suggests that state LIHEAP grantees used the additional funds to increase the amount of assistance benefits for the same households that were assisted earlier in FY 2006 rather than incur administrative costs associated with outreach and distributing benefits to new households. To reach the FY 2009 target of 3.95, the program will identify and disseminate best practices for administrative cost savings, and reduce the data collection burden on the states through electronic reporting.

Budget Request – The FY 2009 request is \$1,700,000,000, a \$280,000,000 decrease from the FY 2008 enacted level, but \$200 million above the FY 2008 President’s Budget request. This funding will help enable states to meet the demands for LIHEAP assistance, ensure that low-income households are not without heating or cooling, and provide protection to our most vulnerable populations, including the elderly, households with small children, and persons with disabilities.

Results indicate that LIHEAP continues to provide effective outreach to eligible households with a child under the age of five, as the targeting index score remains well over 100 but has not met its goal of 122 for the targeted index score for these households. The ability to influence this outcome measure is limited because states have considerable flexibility in determining which LIHEAP eligible households to target. In an effort to further improve outreach to low income child households, the program is studying the structure and outreach efforts of a range of federal programs, including the State Child Health Insurance Program and the Women, Infants and Children program to reach a targeted index score of 122 in FY 2009.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Goal 1.1: Increase the availability of Low-Income Home Energy Assistance Program (LIHEAP) fuel assistance to low income, vulnerable households, with at least one member that is an elderly individual or a young child to 96 and 122 respectively, by FY 2010, as measured by the annual reciprocity targeting index. ²										
1 A	Increase the reciprocity targeting index score of LIHEAP households having at least one member 60 years or older. <i>(outcome)</i>	78	79	92	74	94	Aug-08	96	96	96 (FY 2010)
1 B	Maintain the reciprocity targeting index score of LIHEAP households having at least one member five years or younger. ² <i>(outcome)</i>	115	113	122	115	122	Aug-08	122	122	122 (FY 2010)
1 C	Increase the ratio of LIHEAP households assisted (heating, cooling, crisis, and weatherization assistance) per \$100 of LIHEAP administrative costs. <i>(OMB approved efficiency)</i>	3.67	3.67 ³	3.74	3.04	3.81	Aug-08	3.88	3.95	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of households served with at least one member 60 years or older (millions).	1.5	1.5	n/a	1.5	n/a	Jun-08	n/a	n/a	n/a
	Number of households served with at least with at least one member five years or younger (millions).	1.0	1.1	n/a	1.1	n/a	Jun-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$1,889	\$2,182	\$3,159		\$2,162		\$2,570	\$2,000	

¹ The language of this measure has been corrected to reflect the appropriate data source and to be consistent with the related annual measures.

² This result has been updated based on final data for the FY 2005 LIHEAP Household Report to Congress.

Resource and Program Data
LIHEAP Block Grant

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$1,952,478,000	\$1,952,478,000	\$1,672,478,000
Discretionary ¹	27,225,000	27,225,000	27,225,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	285,000	285,000	285,000
Program Support	12,000	12,000	12,000
Total, Resources	\$1,980,000,000	\$1,980,000,000	\$1,700,000,000
<u>Program Data:</u>			
Number of Grants	283	283	283
New Starts:			
#	276	276	276
\$	\$1,979,526,000	\$1,979,526,000	\$1,699,526,000
Continuations:			
#	7	7	7
\$	\$178,000	\$178,000	\$178,000
Contracts:			
#	2	2	
\$	\$247,000	\$247,000	\$247,000
Interagency Agreements:			
#	1	1	1
\$	\$37,000	\$37,000	\$37,000

¹ The Secretary has authority to set aside up to 25 percent of Leveraging funding for the Residential Energy Assistance Challenge program (REACH). Of these Leveraging funds, \$1.1 million were released through REACH in FY 2007, and it is estimated that approximately \$1.5 million will be obligated under REACH in FYs 2008-2009.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Low Income Home Energy Assistance Program - Block Grants (CFDA # 93.568)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$16,672,612	\$16,672,612	\$14,314,869	-\$2,357,743
Alaska	7,418,091	7,418,091	6,369,068	-1,049,023
Arizona	7,450,979	7,450,979	6,397,305	-1,053,674
Arkansas	12,795,882	12,795,882	10,986,363	-1,809,519
California	89,236,390	89,236,390	76,617,103	-12,619,287
Colorado	31,367,366	31,367,366	26,931,577	-4,435,789
Connecticut	40,919,836	40,919,836	35,133,193	-5,786,643
Delaware	5,431,321	5,431,321	4,663,255	-768,066
District of Columbia	6,354,918	6,354,918	5,456,243	-898,675
Florida	26,527,472	26,527,472	22,776,112	-3,751,360
Georgia	20,979,412	20,979,412	18,012,626	-2,966,786
Hawaii	2,112,744	2,112,742	1,813,970	-298,772
Idaho	11,641,642	11,641,642	9,995,349	-1,646,293
Illinois	113,259,040	113,259,040	97,242,610	-16,016,430
Indiana	51,273,848	51,273,848	44,023,001	-7,250,847
Iowa	36,343,186	36,343,186	31,203,746	-5,139,440
Kansas	16,674,431	16,649,021	14,294,614	-2,354,407
Kentucky	26,686,205	26,686,205	22,912,398	-3,773,807
Louisiana	17,144,187	17,144,187	14,719,757	-2,424,430
Maine	25,540,609	25,540,609	21,928,806	-3,611,803
Maryland	31,331,801	31,331,801	26,901,041	-4,430,760
Massachusetts	81,820,482	81,820,482	70,249,909	-11,570,573
Michigan	106,706,465	106,706,465	91,616,662	-15,089,803
Minnesota	77,468,944	77,468,944	66,513,740	-10,955,204
Mississippi	14,349,950	14,349,950	12,320,664	-2,029,286
Missouri	45,240,083	45,240,083	38,842,496	-6,397,587
Montana	11,842,610	11,842,610	10,167,897	-1,674,713
Nebraska	17,963,100	17,958,100	15,418,571	-2,539,529
Nevada	3,808,981	3,808,981	3,270,337	-538,644
New Hampshire	15,493,145	15,493,145	13,302,195	-2,190,950
New Jersey	75,798,007	75,798,007	65,079,097	-10,718,910
New Mexico	9,358,167	9,344,738	8,023,260	-1,321,478
New York	247,708,083	247,708,088	212,678,661	-35,029,427
North Carolina	36,318,661	36,318,661	31,182,689	-5,135,972
North Dakota	12,752,502	12,752,502	10,949,118	-1,803,384

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	100,194,550	100,194,550	86,025,624	-14,168,926
Oklahoma	14,003,976	13,999,976	12,020,181	-1,979,795
Oregon	23,743,683	23,743,683	20,385,990	-3,357,693
Pennsylvania	133,272,895	133,272,895	114,426,223	-18,846,672
Rhode Island	13,435,331	13,435,331	11,535,385	-1,899,946
South Carolina	13,318,359	13,318,359	11,434,955	-1,883,404
South Dakota	10,410,444	10,410,444	8,938,260	-1,472,184
Tennessee	27,032,554	27,032,554	23,209,769	-3,822,785
Texas	44,144,179	44,144,179	37,901,568	-6,242,611
Utah	14,285,427	14,285,427	12,265,266	-2,020,161
Vermont	11,612,664	11,612,664	9,970,469	-1,642,195
Virginia	38,165,637	38,165,637	32,768,476	-5,397,161
Washington	38,357,397	38,357,397	32,933,119	-5,424,278
West Virginia	17,660,288	17,660,288	15,162,874	-2,497,414
Wisconsin	69,733,174	69,733,174	59,871,917	-9,861,257
Wyoming	5,626,109	5,626,106	4,830,495	-795,611
Subtotal	1,928,787,819	1,928,739,980	1,655,988,872	-272,751,108
Tribes	21,045,979	21,102,546	18,118,348	-2,984,198
American Samoa	43,742	43,742	37,556	-6,186
Guam	95,903	95,903	82,341	-13,562
Northern Mariana Islands	33,310	33,310	28,599	-4,711
Puerto Rico	2,380,560	2,380,560	2,043,915	-336,645
Virgin Islands	90,687	90,687	77,863	-12,824
Subtotal	23,690,181	23,746,748	20,388,622	-3,358,126
Total States/Territories	1,952,478,000	1,952,486,728	1,676,377,494	-276,109,234
Discretionary Funds	27,225,000	27,225,000	23,375,000	-3,850,000
Technical Assistance	293,073	288,272	247,506	-40,766
Subtotal adjustments	27,518,073	27,513,272	23,622,506	-3,890,766
TOTAL RESOURCES	\$1,979,996,073	\$1,980,000,000	\$1,700,000,000	-\$280,000,000

LIHEAP CONTINGENCY FUND

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$181,170,000	\$590,328,000	\$300,000,000	-\$290,328,000

Authorizing Legislation – Section 2602(e) of the Low Income Home Energy Assistance Act.

2009 AuthorizationPending Congressional action

Allocation Method. Other

Program Description and Accomplishments – This program is designed to provide additional funds to states and tribes that are adversely affected by extreme heat or cold, or other causes of energy-related emergencies. The authorizing statute gives the Secretary discretion in determining how Contingency Funds should be disbursed.

Over the past few years, several Contingency Fund disbursements have occurred. In August of 2002, \$100,000,000 was released due to a record breaking heat-wave over a sustained period of time. In January of 2003, \$200,000,000 was released due to higher than normal energy prices, especially from heating oil. In February of 2004, \$99,410,000 was released due to higher than normal energy prices and because of much colder weather in many states in January 2004. In 2005, \$250,000,000 was released due to higher than normal energy prices. In addition, \$27,250,000 was released in September of 2005 to assist states hit hardest by Hurricane Katrina. In 2006, there were three releases for a total of \$680 million due to higher than normal energy prices. This past year, \$50 million was released to address record high summer temperatures, and \$131 million was released to help low income households cope with the anticipated high costs for winter fuel. Most recently, in January 2008, \$450 million was released to provide heating assistance for the winter.

See the LIHEAP Block Grant for performance discussion.

Budget Request – The FY 2009 budget request for the LIHEAP Contingency Fund is \$300,000,000, a decrease of \$290,328,000 from the FY 2008 enacted level, but slightly higher than the FY 2008 President’s Budget request. We estimate that these funds will be sufficient to cover anticipated state energy emergencies. Recognizing that energy emergencies may not occur every year, this budget also proposes appropriations bill language that would authorize LIHEAP Contingency Funds to remain available until expended.

Resource and Program Data
LIHEAP Contingency Fund

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$181,170,000	\$590,328,000 ¹	\$300,000,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	181,170,000	590,328,000	300,000,000
<u>Program Data:</u>			
Number of Grants ²	211	n/a	n/a
New Starts:			
#	211	n/a	n/a
\$	\$181,170,000	n/a	n/a
Continuations:			
#			
\$			
Contracts:			
#			
\$			
Interagency Agreements:			
#			
\$			

¹ In addition, a balance of \$20.4 million from FY 2005 remains available for release in FY 2008.

² Does not reflect release of contingency funds in FY 2008 and FY 2009 since no decisions have been made at this time.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS¹

PROGRAM: Low Income Home Energy Assistance Program - Contingency Funds (CFDA #93.568)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$5,403,977	n/a	n/a	n/a
Alaska	1,212,648	n/a	n/a	n/a
Arizona	405,163	n/a	n/a	n/a
Arkansas	2,952,733	n/a	n/a	n/a
California	4,852,411	n/a	n/a	n/a
Colorado	1,705,665	n/a	n/a	n/a
Connecticut	7,182,018	n/a	n/a	n/a
Delaware	295,339	n/a	n/a	n/a
District of Columbia	345,562	n/a	n/a	n/a
Florida	1,442,486	n/a	n/a	n/a
Georgia	7,585,052	n/a	n/a	n/a
Hawaii	114,885	n/a	n/a	n/a
Idaho	633,038	n/a	n/a	n/a
Illinois	6,158,693	n/a	n/a	n/a
Indiana	2,788,121	n/a	n/a	n/a
Iowa	1,976,235	n/a	n/a	n/a
Kansas	3,052,748	n/a	n/a	n/a
Kentucky	5,323,864	n/a	n/a	n/a
Louisiana	5,354,571	n/a	n/a	n/a
Maine	6,946,225	n/a	n/a	n/a
Maryland	1,703,731	n/a	n/a	n/a
Massachusetts	11,936,922	n/a	n/a	n/a
Michigan	5,802,383	n/a	n/a	n/a
Minnesota	4,212,533	n/a	n/a	n/a
Mississippi	3,487,631	n/a	n/a	n/a
Missouri	7,405,241	n/a	n/a	n/a
Montana	643,967	n/a	n/a	n/a
Nebraska	976,780	n/a	n/a	n/a
Nevada	207,121	n/a	n/a	n/a
New Hampshire	3,275,857	n/a	n/a	n/a
New Jersey	4,121,672	n/a	n/a	n/a
New Mexico	508,870	n/a	n/a	n/a
New York	13,469,636	n/a	n/a	n/a
North Carolina	8,837,547	n/a	n/a	n/a
North Dakota	693,442	n/a	n/a	n/a

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	5,448,284	n/a	n/a	n/a
Oklahoma	3,513,275	n/a	n/a	n/a
Oregon	1,291,111	n/a	n/a	n/a
Pennsylvania	7,246,987	n/a	n/a	n/a
Rhode Island	1,992,191	n/a	n/a	n/a
South Carolina	4,317,379	n/a	n/a	n/a
South Dakota	566,090	n/a	n/a	n/a
Tennessee	6,535,394	n/a	n/a	n/a
Texas	2,400,430	n/a	n/a	n/a
Utah	776,799	n/a	n/a	n/a
Vermont	2,549,176	n/a	n/a	n/a
Virginia	2,075,335	n/a	n/a	n/a
Washington	2,085,762	n/a	n/a	n/a
West Virginia	960,314	n/a	n/a	n/a
Wisconsin	3,791,884	n/a	n/a	n/a
Wyoming	305,933	n/a	n/a	n/a
Subtotal	178,871,111	0	0	0
Tribes	2,155,105	n/a	n/a	n/a
American Samoa	2,379	n/a	n/a	n/a
Guam	5,215	n/a	n/a	n/a
Northern Mariana Islands	1,812	n/a	n/a	n/a
Puerto Rico	129,447	n/a	n/a	n/a
Virgin Islands	4,931	n/a	n/a	n/a
Subtotal	2,298,889	0	0	0
Total States/Territories	181,170,000	0	0	0
TOTAL RESOURCES	\$181,170,000	\$590,328,000	\$300,000,000	-\$290,328,000

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

CHILD CARE AND DEVELOPMENT BLOCK GRANT

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FY 2009 Proposed Appropriation Language

ADMINISTRATION FOR CHILDREN AND FAMILIES
 Payments to States for Child Care and Development Block Grant

For carrying out the Child Care and Development Block Grant Act of 1990 (*42 U.S.C. 9858 et Seq.*), ~~\$2,098,746,000~~ **\$2,062,081,000** shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: Provided, That ~~\$18,777,370~~ **\$18,449,329** shall be available for child care resource and referral and school-aged child care activities, of which ~~\$982,080~~ **\$964,923** shall be ~~for the Child Care Aware toll-free hotline~~ **available to the Secretary for discretionary activities to support comprehensive consumer education or parental choice**: Provided further, That, in addition to the amounts required to be reserved by the States under section 658G, ~~\$267,785,718~~ **\$263,107,502** shall be reserved by the States for activities authorized under section 658G, of which ~~\$98,208,000~~ **\$96,492,306** shall be for activities that improve the quality of infant and toddler care: Provided further, That ~~\$9,821,000~~ **\$9,649,427** shall be for use by the Secretary for child care research, demonstration, and evaluation activities.

LANGUAGE ANALYSIS

Language Provision	Explanation
<p>“...for the Child Care Aware toll-free hotline: available to the Secretary for discretionary activities to support comprehensive consumer education or parental choice:...”</p>	<p>This language is inserted to allow for open competition for the toll-free hotline award consistent with Congressional language in the FY 2007 Joint Resolution.</p>

ADMINISTRATION FOR CHILDREN AND FAMILIES
Child Care and Development Block Grant

Authorizing Legislation

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
Section 658B of the Child Care and Development Block Grant Act of 1990. The authorization for this program expired on September 30, 2002.	\$2,100,000,000	\$2,062,081,000	\$2,100,000,000	\$2,062,081,000
Total request level	\$2,100,000,000	\$2,062,081,000	\$2,100,000,000	\$2,062,081,000
Total request level against definite authorizations	\$2,100,000,000	\$2,062,081,000	\$2,100,000,000	\$2,062,081,000

¹ Amounts in this column represent the President's Budget.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Child Care and Development Block Grant

APPROPRIATIONS HISTORY TABLE

Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
2000				
Appropriation	\$1,182,672,000	\$1,182,672,000	\$1,182,672,000	\$1,182,672,000
2001				
Appropriation	817,328,000	400,000,000	817,328,000	817,196,000
Advance	1,182,672,000	1,182,672,000	1,182,672,000	1,182,672,000
Advance (FY 2002)	2,000,000,000	2,000,000,000	0	0
Total	4,000,000,000	3,582,672,000	2,000,000,000	1,999,868,000
2002				
Appropriation	2,199,987,000	2,199,987,000	2,000,000,000	2,099,994,000
Rescission				-15,000
Total	2,199,987,000	2,199,987,000	2,000,000,000	2,099,979,000
2003				
Appropriation	2,099,994,000	2,099,994,000	2,099,994,000	2,099,994,000
Rescission				-13,649,961
Total	2,099,994,000	2,099,994,000	2,099,994,000	2,086,344,039
2004				
Appropriation	2,099,729,000	2,099,729,000	2,099,729,000	2,099,729,000
Rescission				-12,419,000
Total	2,099,729,000	2,099,729,000	2,099,729,000	2,087,310,000
2005				
Appropriation	2,099,729,000	2,099,729,000	2,099,729,000	2,099,729,000
Rescission				-16,808,000
Total	2,099,729,000	2,099,729,000	2,099,729,000	2,082,921,000
2006				
Appropriation	2,082,910,000	2,082,910,000	2,082,910,000	2,082,910,000
Rescission				-20,829,000
Sec. 202 Transfer to CMS				-1,417,000
Total	2,082,910,000	2,082,910,000	2,082,910,000	2,060,664,000
2007				
Appropriation	2,062,081,000	2,062,081,000	2,062,081,000	2,062,081,000
2008				
Appropriation	2,062,081,000	2,062,081,000	2,062,081,000	2,098,746,000
Rescission				-36,665,000
Total	2,062,081,000	2,062,081,000	2,062,081,000	2,062,081,000
2009				
Appropriation	2,062,081,000			

ADMINISTRATION FOR CHILDREN AND FAMILIES
Child Care and Development Block Grant

Amounts Available for Obligation

	FY 2007 <u>Actual</u>	FY 2008 <u>Enacted</u>	FY 2009 <u>Estimate</u>
Appropriation:			
Annual.....	\$2,062,081,000	\$2,062,081,000	\$2,062,081,000

Budget Authority by Activity

	FY 2007 <u>Enacted</u>	FY 2008 <u>Enacted</u>	FY 2009 <u>Estimate</u>
Child Care and Development Block Grant	\$2,052,260,000	\$2,052,432,000	\$2,052,432,000
Research and Evaluation Fund.....	9,821,000	9,649,000	9,649,000
Total, BA	\$2,062,081,000	\$2,062,081,000	\$2,062,081,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Child Care and Development Block Grant

Justification

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Child Care and Development Block Grant	\$2,052,260,000	\$2,052,432,000	\$2,052,432,000	\$0
Research and Evaluation Fund	9,821,000	9,649,000	9,649,000	0
Total, Budget Authority	\$2,062,081,000	\$2,062,081,000	\$2,062,081,000	\$0

Authorizing Legislation — Section 658B of the Child Care and Development Block Grant Act.

FY 2009 Authorization \$2.1 billion, pending Congressional action

Allocation Method Formula Grant

General Statement

The Child Care and Development Block Grant (CCDBG) was created by the Omnibus Budget Reconciliation Act of 1990 (OBRA 1990) as a discretionary funded program. The Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (P.L. 104-193) made changes to this program by combining several child care entitlement programs (AFDC Child Care, Transitional Child Care and At-Risk Child Care) with CCDBG. The entitlement portion consists of mandatory and matching funds made available under section 418 of the Social Security Act. The combined funding from these streams is designated the Child Care and Development Fund (CCDF).

CCDBG makes funds available for child care services and activities to improve the availability, accessibility, and affordability of child care. The program allows states maximum flexibility in developing child care programs and enables states to use resources most effectively to meet local needs. Child care subsidies funded through the Block Grant assist low-income families who are working or attending training/education. A minimum of four percent of funds are set aside for activities to provide comprehensive consumer education to parents and the public, activities that increase parental choice and activities designed to improve the quality and availability of child care (such as implementing state health and safety and licensing regulations and resource and referral services). Additional appropriation set asides also designate funds for improving the quality and availability of care. Quality child care promotes literacy and prepares children to succeed in school. Two percent of the Block Grant funds are reserved for Indian tribes, and one half of one percent is reserved for the territories. A quarter of one percent is reserved for technical assistance.

The FY 2009 President’s Budget request for this account represents current law requirements. No proposed law amounts are included.

Program Description and Accomplishments — CCDBG provides grants to states, federally recognized tribes, and territories for the purposes of: providing low-income working families with financial assistance for child care; improving the quality and availability of child care; and establishing or expanding and conducting early child development programs and before- and after-school programs. Federal funds enable states, tribes and territories to provide child care services through grants, contracts, and certificates to low-income families for a parent who is working or attending training or educational programs. This program is designed to help low-income families succeed at work and remain self-sufficient.

These child care block grant funds will: (1) allow each state maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within each state; (2) promote parental choice to empower working parents to make their own decisions on child care that best suits their family's needs; (3) encourage states to provide consumer education information to help parents make informed choices about child care; (4) assist states in providing child care to parents trying to achieve and maintain independence from temporary cash assistance and assist other low-income working parents to maintain quality child care services for their children; and (5) assist states in implementing the health, safety, licensing, and registration standards established in state regulations.

In accordance with ACF's goal of ensuring healthy, safe and affordable child care for America's working families, this program also will support research, demonstration, and evaluation activities designed to provide a sound basis for policy development, consumer education, and innovation toward improved child care services and systems for the 21st century. In prior years, funds have been used for a number of critical efforts, including:

- Rigorous evaluation of alternative state child care subsidy policies designed to identify effective strategies for improving outcomes for families and children.
- A multi-year, multi-site study of alternative approaches that show promise for improving the knowledge, skills, and performance of child care providers.
- Enhancement of states' capacity to collect administrative data and conduct policy-relevant research and analysis.
- Development of the Child Care and Early Education Research Connections archive to provide web-based access to reports, papers, briefs, data and other research-related information to child care researchers and policymakers.
- Promotion of research partnerships involving a variety of stakeholders to link research, policy and practice.

The Child Care and Development Fund received a PART rating of Moderately Effective in CY 2004. The review cited the program's oversight, financial practices and effective collaborations with partners as strong attributes. As a result of the PART review, the program is undertaking a series of activities to measure erroneous payments and improve grantee oversight, and continuing to measure and show progress on two long term goals: promoting employment and self-sufficiency and promoting the quality of care and school readiness of children ages three to five. In recent years, the program has seen an increase in the proportion of regulated child care providers that serve families receiving child care subsidies – a key measure of program performance. In FY 2005, 71 percent of regulated centers and family-based child care homes accepted child care subsidies – exceeding the goal of 65 percent.

Budget Request — The FY 2009 budget request for the Child Care and Development Block Grant program is \$2,062,081,000, the same as the FY 2008 enacted level. This amount will provide funding to assist states in meeting the critical child care needs of low-income families and will provide funding to

allow the continuation of efforts to further document emerging trends in the child care field and encourage evidence-based practices. This request will continue ACF’s commitment to providing resources that allow families to become and remain self-sufficient and productive members of society. With this funding, ACF expects to maintain the proportion of low-income children served with child care subsidies, as compared to the number of children in families with income under 150 percent of the Federal poverty level, at 32 percent.

These funds also allow families to access quality child care, which promotes child development, literacy, and school readiness. This request will support continuing research, demonstration, and evaluation activities. Increasing our knowledge of what child care services and systems work best and disseminating that knowledge throughout the country are important steps in improving the quality of care provided to our children. Research and evaluation will provide information and data for policy makers to make decisions about how best to use resources and develop innovative child care strategies. In consultation with researchers, policy makers and practitioners, ACF has developed a comprehensive research agenda to develop the capacity to support ongoing and future child care research while at the same time answering key questions for child care policy, planning, and program administration. In the near future, the program also hopes to more accurately measure the link between child care subsidies and employment: the program is currently developing a new long-term performance measure focused on employment outcomes for families receiving child care subsidies.

Outputs and Outcomes Table — Outputs and outcomes are based on combined funding of the Child Care Entitlement and Child Care and Development Block Grant, which are administered by ACF as a single block grant designated the Child Care and Development Fund.

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 2.1: Reduce the percentage of Temporary Assistance for Needy Families (TANF) families with children that are exempt from employment participation because child care is unavailable to 1 percent by FY 2009.										
2 A	Maintain the proportion of children served through Child Care and Development Fund (CCDF), Temporary Assistance for Needy Families (TANF), and Social Services Block Grant (SSBG) child care funding as compared to the number of children in families with income under 150 percent of the Federal Poverty Level. ¹ (outcome)	32%	33%	32%	34%	32%	Mar-09	32%	32%	n/a
2 B	Increase the proportion of regulated centers and family child care homes that serve families and children receiving child care subsidies. (OMB approved efficiency)	64.0%	71.2%	66.0%	68% ²	67.0%	Mar-09	68.0%	69.0%	n/a

¹ This measure estimates the average monthly number of children receiving child care subsidies from all federal sources (Temporary Assistance for Needy Families, Child Care Development Fund, and Social Services Block Grant), compared on an annual basis to an estimate of the average monthly number of children who may be eligible for child care subsidies. Specifically, the denominator includes the average monthly number of children ages 0 to 12 (including disabled teenagers) with family income under 150 percent of the Federal Poverty Level whose parents/guardians are working or in school (any number of hours). The denominator is computed by the Urban Institute’s TRIM3 microsimulation model and is based on the Census Bureau’s Current Population Survey data.

² FY 2006 data is not available to determine the denominator used to calculate this proportion. Therefore, ACF has used the FY 2005 denominator or “total number of regulated providers” to calculate the FY 2006 actual for this measure. Data for this measure is taken from an annual licensing study of child care programs conducted by the National Association for Regulatory Administration and the National Child Care Information Center. The study methodology was revised in 2006 and the survey was not conducted. The licensing study is expected to resume in 2007, therefore data to calculate an actual for FY 2007 will be available.

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 2.2: Increase the percentage of young children (ages three to five not yet in kindergarten) from families under 150 percent of poverty receiving non-parental care showing three or more school readiness skills from 32 percent in 2001 to 42 percent in 2011.										
2 C	Increase by 10 percent the number of regulated child care centers and homes nationwide accredited by a recognized early childhood development professional organization. ³ (outcome)	11,888 (13% over FY 2003 result)	13,101 (10% over FY 2004 result)	14,411 (10% over FY 2005 result)	13,834 (6% over FY 2005 result)	15,217 (10% over FY 2006 result)	Jun-08	10% over prev year	10% over prev year	n/a
2 D	Increase the number of states that have implemented state early learning guidelines in literacy, language, pre-reading, and numeracy for children ages three to five that align with state K-12 standards and are linked to the education and training of caregivers, preschool teachers, and administrators. ⁴ (outcome)	n/a	22	n/a	n/a	28	32	n/a	35	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target / Est.	Actual	Target / Est.	Actual			
	Number of grants	320	322	n/a	312	n/a	317	n/a	n/a	n/a
	Estimated number of families receiving consumer education	10.2 million	10.4 million	10.4 million	Jun-08	10.4 million	Jun-09	n/a	n/a	n/a
	Appropriated Amount (\$ Million, CCDBG)	\$2,087	\$2,083	\$2,061		\$2,062		\$2,062	\$2,062	

³ This measure is based on data collected each calendar (not fiscal) year.

⁴ This measure is biennially reported due to constraints on data availability, and is collected each calendar year rather than fiscal year.

Resource and Program Data
Child Care and Development Block Grant

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$2,047,105,000	\$2,047,277,000	\$2,047,277,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	4,483,000	4,483,000	4,483,000
Program Support ¹	655,000	655,000	655,000
Total, Resources	\$2,052,242,000	\$2,052,432,000	\$2,052,432,000
<u>Program Data:</u>			
Number of Grants	317	317	317
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	317	317	317
\$	\$2,047,047,000	\$2,047,277,000	\$2,047,277,000
Contracts:			
#	3	3	3
\$	\$4,276,000	\$4,276,000	\$4,276,000
Interagency Agreements:			
#	2	2	2
\$	\$833,000	\$833,000	\$833,000

¹Includes funding for interagency agreements, information technology support, printing, and other services.

Resource and Program Data
Research and Evaluation Fund

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary			
Research/Evaluation	\$9,407,000	\$9,199,000	\$9,199,000
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	414,000	450,000	450,000
Total, Resources	\$9,821,000	\$9,649,000	\$9,649,000
<u>Program Data:</u>			
Number of Grants	18	19	19
New Starts:			
#	16	6	5
\$	\$3,045,000	\$1,650,000	\$150,000
Continuations:			
#	2	13	14
\$	\$1,380,000	\$2,200,000	\$3,700,000
Contracts:			
#	9	9	9
\$	\$5,211,000	\$5,614,000	\$5,614,000
Interagency Agreements:			
#	2	2	2
\$	\$185,000	\$185,000	\$185,000

¹ Includes funding for information technology support, grant panels, and other associated overhead.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Child Care & Development Block Grant (CFDA #93.575)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$40,007,253	\$39,938,012	\$39,938,012	\$0
Alaska	4,056,719	4,063,637	4,063,637	0
Arizona	50,535,178	51,630,874	51,630,874	0
Arkansas	25,026,001	25,551,344	25,551,344	0
California	231,860,323	229,341,428	229,341,428	0
Colorado	23,764,851	23,919,280	23,919,280	0
Connecticut	14,164,330	13,741,772	13,741,772	0
Delaware	4,451,593	4,554,281	4,554,281	0
District of Columbia	3,167,948	2,884,639	2,884,639	0
Florida	114,853,108	112,312,713	112,312,713	0
Georgia	78,229,330	80,269,824	80,269,824	0
Hawaii	7,767,792	7,268,806	7,268,806	0
Idaho	11,655,143	12,025,624	12,025,624	0
Illinois	76,570,415	75,187,739	75,187,739	0
Indiana	41,429,945	42,047,168	42,047,168	0
Iowa	17,655,465	18,275,136	18,275,136	0
Kansas	18,508,948	18,834,057	18,834,057	0
Kentucky	35,313,975	35,713,817	35,713,817	0
Louisiana	45,664,161	42,649,276	42,649,276	0
Maine	6,667,020	6,833,893	6,833,893	0
Maryland	25,700,984	25,113,292	25,113,292	0
Massachusetts	25,405,945	24,754,736	24,754,736	0
Michigan	57,740,733	57,162,178	57,162,178	0
Minnesota	25,579,674	26,031,375	26,031,375	0
Mississippi	31,950,522	32,361,666	32,361,666	0
Missouri	38,693,984	38,961,966	38,961,966	0
Montana	5,676,759	5,942,814	5,942,814	0
Nebraska	11,506,546	11,732,995	11,732,995	0
Nevada	14,230,287	14,789,491	14,789,491	0
New Hampshire	4,685,162	4,723,355	4,723,355	0
New Jersey	36,494,101	35,242,559	35,242,559	0
New Mexico	18,281,386	18,455,572	18,455,572	0
New York	107,222,061	103,991,017	103,991,017	0
North Carolina	66,514,217	67,493,543	67,493,543	0
North Dakota	3,678,999	3,784,109	3,784,109	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	66,959,140	67,654,227	67,654,227	0
Oklahoma	31,005,000	31,683,098	31,683,098	0
Oregon	22,309,758	22,581,517	22,581,517	0
Pennsylvania	62,528,482	62,021,822	62,021,822	0
Rhode Island	5,594,847	5,382,814	5,382,814	0
South Carolina	36,828,326	36,809,012	36,809,012	0
South Dakota	5,412,252	5,513,644	5,513,644	0
Tennessee	44,348,112	45,692,043	45,692,043	0
Texas	216,535,657	221,871,870	221,871,870	0
Utah	22,336,372	22,897,785	22,897,785	0
Vermont	2,906,310	2,936,470	2,936,470	0
Virginia	39,306,222	38,812,649	38,812,649	0
Washington	33,179,749	33,657,396	33,657,396	0
West Virginia	13,533,052	13,561,733	13,561,733	0
Wisconsin	29,529,425	30,023,767	30,023,767	0
Wyoming	2,687,427	2,765,009	2,765,009	0
Subtotal	1,959,710,989	1,961,448,844	1,961,448,844	0
Indian Tribes	30,398,927	41,241,618	41,241,618	0
American Samoa	2,606,042	2,535,971	2,535,971	0
Guam	4,047,582	4,022,522	4,022,522	0
Northern Mariana Islands	1,799,139	1,887,360	1,887,360	0
Puerto Rico	34,859,806	33,310,581	33,310,581	0
Virgin Islands	1,857,642	1,864,552	1,864,552	0
Subtotal	75,569,138	84,862,604	84,862,604	0
Total States/Territories	2,035,280,127	2,046,311,448	2,046,311,448	0
Technical Assistance	5,122,336	5,155,202	5,155,202	0
Research Set-Aside	9,813,199	9,649,427	9,649,427	0
Child Care Aware	982,080	964,923	964,923	0
Subtotal Adjustments	15,917,615	15,769,552	15,769,552	0
TOTAL RESOURCES	\$2,051,197,742	\$2,062,081,000	\$2,062,081,000	\$0

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

CHILDREN AND FAMILIES SERVICES PROGRAMS

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FY 2009 Proposed Appropriation Language

ADMINISTRATION FOR CHILDREN AND FAMILIES Children and Families Services Programs

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, sections 310 and 316 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), sections 330F and 330G of the Public Health Service Act, the Abandoned Infants Assistance Act of 1988, sections 261 and 291 of the Help America Vote Act of 2002, part B-~~I(4)~~ of title IV and sections 413, 1110, and 1115 of the Social Security Act; for making payments under ~~the Community Services Block Grant Act~~, sections 439(i), 473B, and 477(i) of the Social Security Act, and the Assets for Independence Act, and for necessary administrative expenses to carry out ~~such~~ *said* Acts and titles I, IV, V, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. chapter 9), the Low-Income Home Energy Assistance Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, and section 505 of the Family Support Act of 1988, ~~\$9,129,990,000~~ **\$8,493,210,000**, of which ~~\$4,400,000~~ **\$19,674,000**, to remain available until September 30, ~~2009~~ **2010**, shall be for grants to States for adoption incentive payments, as authorized by section 473A of the Social Security Act and may be made for adoptions completed before September 30, ~~2008~~ **2009**: *Provided*, That ~~\$7,000,270,000~~ **\$7,026,571,000** shall be for making payments under the Head Start Act, of which \$1,388,800,000 shall become available October 1, ~~2008~~ **2009**, and remain available through September 30, ~~2009~~ **2010**: ~~Provided further, That \$705,451,000 shall be for making payments under the Community Services Block Grant Act: *Provided further*, That not less than \$8,000,000 shall be for section 680(3)(B) of the Community Services Block Grant Act: *Provided further*, That in addition to amounts provided herein, \$6,000,000~~ **\$5,762,000** shall be available from amounts available under section 241 of the Public Health Service Act to carry out the provisions of section 1110 of the Social Security Act: *Provided further*, That to the extent Community

~~Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further,* That the Secretary of Health and Human Services shall establish procedures regarding the disposition of intangible property which permits grant funds, or intangible assets acquired with funds authorized under section 680 of the Community Services Block Grant Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant for purposes and uses consistent with the original grant: *Provided further,* That funds appropriated for section 680(a)(2) of the Community Services Block Grant Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: *Provided further,* That ~~\$53,625,000~~ **\$75,000,000** is for a compassion capital fund to provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations: *Provided further,* That ~~\$17,720,000~~ **\$17,410,000** shall be for activities authorized by the Help America Vote Act of 2002, of which ~~\$12,370,000~~ **\$12,154,000** shall be for payments to States to promote access for voters with disabilities, and of which ~~\$5,350,000~~ **\$5,256,000** shall be for payments to States for protection and advocacy systems for voters with disabilities: *Provided further,* That ~~\$110,836,000~~ **\$136,664,000** shall be for making competitive grants to provide abstinence education (as defined by section 510(b)(2) of the Social Security Act) to adolescents, and for Federal costs of administering the grant: *Provided further,* That grants under the immediately preceding proviso shall be made only to public and private entities which agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which abstinence education was provided: *Provided further,* That within amounts provided herein for abstinence education for adolescents, up to \$10,000,000 may be available for a national abstinence education campaign: *Provided*~~

*further, That in addition to amounts provided herein for abstinence education for adolescents, \$4,500,000 \$4,410,000 shall be available from amounts available under section 241 of the Public Health Service Act to carry out evaluations (including longitudinal evaluations) of adolescent pregnancy prevention approaches: **Provided further, That \$10,000,000 shall be for a human services case management system for Federally-declared disasters , to include funding for local and State planning grants, for comprehensive national case management contracts, and for Federal costs of administering the system, to remain available through September 30, 2010;** Provided further, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness: ~~Provided further, That \$17,301,000 shall be available for the projects and in the amounts specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).~~*

(42 U.S.C. 254c-6, 254c-7, 604 note, 670 note, 673b, 2991 et seq., 5101 et seq., 5111 et seq., 5751 et seq., 9834 et seq., 10409, 10416, 15001 et seq., 15421, 15461; 24 U.S.C. ch. 9)

GENERAL PROVISIONS

Sec. 204. None of the funds appropriated in this title for Head Start shall be used to pay the compensation of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

LANGUAGE ANALYSIS

Language Provision	Explanation
“...-I(1)...”	This change is made to correct the reference.
“...the Community Services Block Grant Act...”	This language can be deleted because no funding is being requested for the Community Services Programs in FY 2009.
“...and section 505 of the Family Support Act of 1988...”	This language can be deleted because no funding is being requested for the Job Opportunities for Low-Income Individuals program in FY 2009.

<p>“... Provided further, That \$705,451,000 shall be for making payments under the Community Services Block Grant Act: Provided further, That not less than \$8,000,000 shall be for section 680(3)(B) of the Community Services Block Grant Act:...”</p>	<p>This language can be deleted because no funding is being requested for the Community Services Programs in FY 2009.</p>
<p>“... Provided further, That to the extent Community Services Block Grant funds... or investments in private business enterprises owned by community development corporations:...”</p>	<p>This language can be deleted because no funding is being requested for the Community Services Programs in FY 2009.</p>
<p><i>“... Provided further, That \$10,000,000 shall be for establishing a broad human services case management system for local, state and federally declared disasters, to include funding for state planning grants, a comprehensive national case management contract, and for Federal costs of administering the system, to remain available through September 30, 2010;...”</i></p>	<p>This language is inserted to provide the authority to establish the proposed disaster human services case management system.</p>
<p>“...: Provided further, That \$17,301,000 shall be available for the projects and in the amounts specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)...”</p>	<p>This language can be deleted because it refers to one-year FY 2008 Congressional projects.</p>
<p><i>“(42 U.S.C. 254c-6, 254c-7...24 U.S.C. ch. 9)”</i></p>	<p>The appropriate United States Code references have been added for all program authorities included in this appropriation account for clarification purposes.</p>

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

Authorizing Legislation

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
1. Head Start [Section 639 of the Head Start Act]	\$7,350,000,000	\$6,877,975,000	\$7,650,000,000	\$7,026,571,000
2. Head Start Training and Technical Assistance (T/TA) [Section 640(a)(2)(C)(i) of the Head Start Act]	2 ½% to 3% of the annual appropriation of which not less than 20% for Early Head Start grantees; and of remainder not less than 50% for direct use by Head Start grantees; not less than 25% for State-based T/TA; and the balance for T/TA related to achieving compliance with the Head Start Performance Standards, except that not less than \$3,000,000 will be for Family Literacy programs	(174,949,000)	2 ½ to 3% of the annual appropriation of which not less than 20% for Early Head Start grantees; and of remainder not less than 50% for direct use by Head Start grantees; not less than 25% for State-based T/TA; and the balance for T/TA related to achieving compliance with the Head Start Performance Standards, except that not less than \$3,000,000 will be for Family Literacy programs	(178,664,000)
3. Head Start Research, Demonstration, Evaluation including the Head Start National Impact Studies [Section 640(a)(2)(D) of the Head Start Act]	Not more than \$20,000,000, of which no more than \$7,000,000 for the Head Start National Impact Studies	(20,000,000)	Not more than \$20,000,000, of which no more than \$7,000,000 for the Head Start National Impact Studies	(20,000,000)

¹ Amounts in this column represent the President's Budget.

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
4. Discretionary Payments [Section 640(a)(2)(E) of the Head Start Act]	Not more than \$42,000,000	(38,590,000)	Not more than \$42,000,000	(42,000,000)
5. Indian (including Early Head Start) and Migrant and Seasonal expansion [Section 640(a)(3)(A)(i)(II) and 640(a)(3)(A)(ii)(I) of the Head Start Act]	Of any appropriation increase, \$10,000,000 (each) or 5% of the increase, whichever is less, except that no funds will be allocated for expansion if the appropriation increase will not permit a cost of living increase equal to at least 50% of the prior year increase in the CPI-U	0	Of any appropriation increase, \$10,000,000 (each) or 5% of the increase, whichever is less, except that no funds will be allocated for expansion if the appropriation increase will not permit a cost of living increase equal to at least 50% of the prior year increase in the CPI-U	(14,859,600)
6. Head Start Quality Improvement [Section 640(a)(4)(A)(i) and 640(a)(4)(B)(i)(I) of the Head Start Act]	After awarding COLA, T/TA and Indian and Migrant and Seasonal expansion, 40% of the balance (except that the allocation shall be 45% if the 15% reserved for the State Advisory Councils is not required)	0	After awarding COLA, T/TA and Indian and Migrant and Seasonal expansion, 40% of the balance (except that the allocation shall be 45% if the 15% reserved for the State Advisory Councils is not required)	0

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
7. Head Start/EHS Expansion [Section 640(a)(4)(A)(ii) and 640(a)(4)(B)(i)(II) of the Head Start Act]	After awarding COLA, T/TA and Indian and Migrant and Seasonal expansion, 45% of the balance (except that the allocation shall be 55% if the 15% reserved for the State Advisory Councils is not required)	0	After awarding COLA, T/TA and Indian and Migrant and Seasonal expansion, 45% of the balance (except that the allocation shall be e 55% if the 15% reserved for the State Advisory Councils is not required)	0
8. State Advisory Councils [640(a)(4)(A)(iii) of the Head Start Act]	After awarding COLA, T/TA and Indian and Migrant and Seasonal expansion, 15% of the balance remaining will be reserved, except that no more than \$100,000,000 cumulatively through FY 2012 shall be awarded for this purpose	0	After awarding COLA, T/TA and Indian and Migrant and Seasonal expansion, 15% of the balance remaining will be reserved, except that no more than \$100,000,000 cumulatively through FY 2012 shall be awarded for this purpose.	0
9. Head Start Collaboration grants [Section 640(a)(2)(B)(vi) of the Head Start Act]	In the same amount as the corresponding collaboration grant provided for fiscal year 2007	(8,200,000)	In the same amount as the corresponding collaboration grant provided for fiscal year 2007	(8,200,000)
10. Head Start Fellowships [Section 648A(d)(6) of the Head Start Act with funds reserved for discretionary payments]	Not more than \$1,000,000	(1,000,000)	Not more than \$1,000,000	(1,000,000)
11. Runaway and Homeless Youth Basic Center Program	Such sums	52,857,000	Such sums	52,857,000

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
[Section 388(a)(1) of the Runaway and Homeless Youth Act](Authorization for the program will expire at the end of FY 2008)				
12. Runaway and Homeless Youth Transitional Living Program, including Maternity Group Homes [Section 388(a)(1) of the Runaway and Homeless Youth Act] (Authorization for the program will expire at the end of FY 2008)	45% of the RHY appropriated amount and increasing to 55% as warranted	43,271,000	45% of the RHY appropriated amount and increasing to 55% as warranted	43,271,000
13. Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth [Section 388(a)(4) of the Runaway and Homeless Youth Act] (Authorization for the program will expire at the end of FY 2008)	Such sums	17,221,000	Such sums	17,221,000
14. Community-Based Abstinence Education [Section 1110 of the Social Security Act, using definitions contained in Section 510(b)(2) of the Act]	Such sums	108,900,000	Such sums	136,664,000
15. Mentoring Children of Prisoners [Section 439(i) of the Social Security Act]	Such sums	48,628,000	Such sums	50,000,000

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
16. Mentoring Children of Prisoners, Service Delivery Demonstration Projects [Section 439(i)(2)(B)(i) of the Social Security Act]	Not more than \$5,000,000	(5,000,000)	Not more than \$10,000,000	(10,000,000)
17. CAPTA State Grants [Section 112(a)(1) of the Child Abuse Prevention and Treatment Act] (Authorization for the program will expire at the end of FY 2008)	Such sums	26,535,000	Such sums	26,535,000
18. Child Abuse Discretionary Activities, including Home Visitation [Section 112(a)(2) of the Child Abuse Prevention and Treatment Act]	Such sums	37,135,000	Such sums	37,135,000
19. Community-Based Child Abuse Grants for the Prevention of Child Abuse and Neglect [Section 210 of the Child Abuse Prevention and Treatment Act]	Such sums	41,689,000	Such sums	41,689,000
20. Child Welfare Services [Section 425 of the Social Security Act]	\$325,000,000	281,744,000	\$325,000,000	281,744,000
21. Child Welfare Training [Section 426 of the Social Security Act]	Such sums	7,207,000	Such sums	7,207,000

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
22. Adoption Opportunities [Section 205 of the Child Abuse Prevention and Treatment and Adoption Reform Act] (Authorization for the program will expire at the end of FY 2008)	Such sums	26,379,000	Such sums	26,379,000
23. Abandoned Infants Assistance [Section 302 of the Child Abuse Prevention and Treatment and Adoption Reform Act] (Authorization for the program will expire at the end of FY 2008)	Such sums	11,628,000	Such sums	11,628,000
24. Independent Living Training Vouchers [Section 477(h)(2) of the Social Security Act]	\$60,000,000	45,351,000	\$60,000,000	45,351,000
25. Adoption Incentives [Section 473A(h) of the Social Security Act](Authorization for the program will expire at the end of FY 2008)	\$43,000,000	4,323,000	\$43,000,000	19,674,000
26. Children's Health Activities [Section 330 F & G of title III of the Public Health Service Act](Authorization for program expired at the end of FY 2005)	Such sums	12,453,000	Such sums	12,453,000
27. State Councils [Section 129(a) of the Developmental Disabilities Assistance and Bill of Rights Act](Authorization for program expired at the end of FY 2007)	Such sums	72,482,000	Such sums	72,482,000

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
28. Protection and Advocacy [Section 145 of the Developmental Disabilities Assistance and Bill of Rights Act] (Authorization for program expired at the end of FY 2007)	Such sums	39,024,000	Such sums	39,024,000
29. Projects of National Significance [Section 163 of the Developmental Disabilities Assistance and Bill of Rights Act] (Authorization for program expired at the end of FY 2007)	Such sums	14,162,000	Such sums	14,162,000
30. University Centers for Excellence [Section 156 of the Developmental Disabilities Assistance and Bill of Rights Act] (Authorization for program expired at the end of FY 2007)	Such sums	36,943,000	Such sums	36,943,000
31. Voting Access for Individuals with Disabilities [Section 264 and 292 of the Help America Vote Act of 2002](Authorization for the program expired at the end of FY 2005)	\$35,000,000	17,410,000	\$35,000,000	17,410,000

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
32. Native American Programs [Section 816(a) of the Native American Programs Act of 1974](Authorization for the program expired at the end of FY 2002, except for Native Language Program)	Such sums	45,523,000	Such sums	45,523,000
33. Social Services Research and Demonstration [Section 1110 of the Social Security Act]	Such sums	15,193,000	Such sums	(5,762,000)
34. Compassion Capital Fund [Section 1110 of the Social Security Act]	Such sums	52,688,000	Such sums	75,000,000
35. Assets for Independence [Section 416 of the Assets for Independence Act](Authorization for program expired at the end of FY 2003)	\$25,000,000	24,025,000	\$25,000,000	24,025,000
36. Family Violence Prevention and Services Programs/Battered Women's Shelters [Section 310 of the Family Violence Prevention and Services Act] (Authorization for the program will expire at the end of FY 2008)	Such sums	122,552,000	Such sums	122,552,000

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
37. Domestic Violence Hotline and Internet Grant [Section 316(g) of the Family Violence Prevention and Services Act] (Authorization for the program will expire at the end of FY 2008)	\$3,500,000 (Secretary may make a portion available for internet grants to the extent the appropriated amount exceeds \$3M)	2,918,000	\$3,500,000 (Secretary may make a portion available for internet grants to the extent the appropriated amount exceeds \$3M)	2,918,000
38. Federal Administration (Includes Faith-Based Center)	Such sums	185,858,000	Such sums	196,792,000
39. Disaster Human Services Case Management [Authorization is being established through appropriations language in this budget]	\$0	0	\$10,000,000	10,000,000
Unfunded Authorizations:				
1. Centers of Excellence in Early Childhood [Section 657B(f) of the Head Start Act]	Such sums	0	Such sums	0
2. Community Services Block Grant [Section 674(a) of the Community Services Block Grant Act] (Authorization for the program expired at the end of FY 2003)	Such sums	653,800,000	Such sums	0
3. Rural Community Facilities Program [Section 680(a)(3) of the Community Services Block Grant Act, as amended] (Authorization for the program expired at the end of FY 2003)	From amounts reserved under 674(b)(3) of the Community Services Block Grant Act	7,860,000	From amounts reserved under 674(b)(3) of the Community Services Block Grant Act	0

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
4. Transitional Housing Assistance Program [Section 319 of the Family Violence Prevention and Services Act] (Authorization for the program expired at the end of FY 2003)	\$25,000,000	0	\$25,000,000	0
5. Community Economic Development Program [Section 674(b)(3) of the Community Services Block Grant Act] (Authorization for the program expired at the end of FY 2003)	Not more than 9% of section 674(a)	31,467,000	Not more than 9% of section 674(a)	0
6. Job Opportunities for Low Income Individuals [Title V, Section 505 of the FSA of 1998, P.L. 100-485 and Section 112 of the PRWORA 1996, P.L. 104-193]	\$25,000,000	5,288,000	\$25,000,000	0
7. Grants for Training and Collaboration on the Intersection Between Domestic Violence and Child Maltreatment [Section 41203 of the Violence Against Women Act]	\$5,000,000	0	\$5,000,000	0
8. Collaborative Grants to Increase Long-Term Stability of Victims [Section 41404 of the Violence Against Women Act]	\$10,000,000	0	\$10,000,000	0

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
9. Projects to Address Needs of Children Who Witness Domestic Violence [Section 310(a)(3) of the Family Violence Prevention Services Act] (Authorization for the program will expire at the end of FY 2008)	When appropriated amounts under Section 310 of the FVPSA exceeds \$130M the Secretary shall reserve and make available a portion of the excess amount	0	When appropriated amounts under Section 310 of the FVPSA exceeds \$130M the Secretary shall reserve and make available a portion of the excess amount	0
Mandatory Authorization:				
1. Abstinence Education Program [Section 510 of the Social Security Act] (Authorization for the program will expire on December 31, 2007.)	\$50,000,000	50,000,000	\$50,000,000	50,000,000
Total discretionary request level		\$8,970,489,000		\$8,493,210,000
Total request level		\$9,020,489,000		\$8,543,210,000
Total request level against definite authorization	\$7,956,500,000	\$7,309,034,000	\$8,266,500,000	\$7,477,693,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
2000				
Appropriation	\$5,944,100,000	\$5,946,820,683	\$6,113,784,000	\$6,038,229,000
Rescission				-\$6,142,000
Total				\$6,032,087,000
2001				
Appropriation	6,587,953,000	6,135,216,000	6,684,635,000	7,956,860,000
Rescission				-506,000
Total				7,956,354,000
2002				
Appropriation	8,181,492,000	8,275,442,000	8,592,496,000	8,431,510,000
Rescission				-2,327,000
Total				8,529,183,000
2003				
Appropriation	8,519,632,000	8,505,723,000	8,646,392,000	8,658,118,000
Rescission				-12,843,000
Total				8,645,275,000
2004				
Appropriation	8,577,382,000	8,679,670,000	8,855,501,000	8,816,601,000
Rescission				-53,409,000
Total				8,763,192,000
2005				
Appropriation	9,106,025,000	8,985,633,000	9,094,146,000	9,069,535,000
Rescission				-73,284,000
Total				8,996,251,000
2006				
Appropriation	8,377,293,000	8,688,707,000	9,037,153,000	8,938,536,000
Rescission				-89,334,000
Section 202				
Transfer to CMS				-5,123,000
<i>Hurricane Relief</i>				90,000,000
Total				8,934,079,000
2007				
Appropriation	8,238,603,000	8,652,666,000	8,856,185,000	8,938,454,000

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
2008				
Appropriation	8,239,709,000	9,146,940,000	9,213,332,000	9,129,990,000
Rescission				-159,501,000
Total				8,970,489,000
2009				
Appropriation	8,493,210,000			

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

Amounts Available for Obligation

	<u>FY 2007</u> <u>Enacted</u>	<u>FY 2008</u> <u>Enacted</u>	<u>FY 2009</u> <u>Estimate</u>
Appropriation:			
Annual	\$8,938,470,000	\$9,129,990,000	\$8,493,210,000
Pre-Appropriated Mandatory	50,000,000	50,000,000	50,000,000
Advance funding of Head Start included in annual appropriation	[1,388,800,000]	[1,388,800,000]	[1,388,800,000]
Enacted rescission	0	-159,501,000	0
Comparability adjustment for TAPS transfer	<u>-16,000</u>	<u>0</u>	<u>0</u>
Subtotal, Adjusted Appropriation	\$8,988,454,000	\$9,020,489,000	\$8,543,210,000
Unobligated balance start of year	5,000,000	5,000,000	0
Unobligated balance end of year	-5,000,000	0	0
Total, Obligations, discretionary only	\$8,938,454,000	\$8,975,489,000	\$8,493,210,000
Total, Obligations	\$8,988,454,000	\$9,025,489,000	\$8,543,210,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

Budget Authority by Activity

	<u>FY 2007</u> <u>Enacted</u>	<u>FY 2008</u> <u>Enacted</u>	<u>FY 2009</u> <u>Estimate</u>
Head Start	\$6,888,571,000	\$6,877,975,000	\$7,026,571,000
Runaway and Homeless Youth Program	87,837,000	96,128,000	96,128,000
Education and Prevention Grants to Prevent Sexual Abuse of Runaway, Homeless and Street Youth	15,027,000	17,221,000	17,221,000
Community-Based Abstinence Education	108,900,000	108,900,000	136,664,000
Abstinence Education Program (<i>Mandatory Pre-appropriated</i>)	50,000,000	50,000,000	50,000,000
Mentoring Children of Prisoners	49,493,000	48,628,000	50,000,000
Child Abuse State Grants	27,007,000	26,535,000	26,535,000
Child Abuse Discretionary Activities, including Home Visitation	25,780,000	37,135,000	37,135,000
Community-Based Child Abuse Prevention	42,430,000	41,689,000	41,689,000
Child Welfare Services	286,754,000	281,744,000	281,744,000
Child Welfare Training	7,335,000	7,207,000	7,207,000
Adoption Opportunities	26,848,000	26,379,000	26,379,000
Abandoned Infants Assistance Program	11,835,000	11,628,000	11,628,000
Independent Living Education and Training Vouchers	46,157,000	45,351,000	45,351,000
Adoption Incentives	5,000,000	4,323,000	19,674,000
Children's Health Act Programs	12,674,000	12,453,000	12,453,000
Developmental Disabilities: State Councils	71,771,000	72,482,000	72,482,000
Developmental Disabilities: Protection and Advocacy	38,718,000	39,024,000	39,024,000
Developmental Disabilities:	11,414,000	14,162,000	14,162,000

	FY 2007 <u>Enacted</u>	FY 2008 <u>Enacted</u>	FY 2009 <u>Estimate</u>
Projects of National Significance.....			
Developmental Disabilities:			
University Centers For Excellence	33,212,000	36,943,000	36,943,000
Voting Access for Individuals with Disabilities	15,720,000	17,410,000	17,410,000
Native American Programs.....	44,332,000	45,523,000	45,523,000
Social Services Research and Demonstration...	5,868,000	15,193,000	0 ²
Compassion Capital Fund.....	64,350,000	52,688,000	75,000,000
Disaster Human Services Case Management....	0	0	10,000,000
Community Services Block Grant	630,425,000	653,800,000	0
Community Services Discretionary Activities	39,697,000	44,615,000	0
Assets for Independence	24,452,000	24,025,000	24,025,000
Battered Women's Shelters and Domestic Violence Hotline	127,701,000	125,470,000	125,470,000
Federal Administration	187,760,000	184,496,000	195,430,000
Center for Faith-Based and Community Initiatives	1,386,000	1,362,000	1,362,000
Total, Discretionary, B.A.....	\$8,938,454,000	\$8,970,489,000	\$8,493,210,000
Total, Mandatory, B.A.....	\$50,000,000	\$50,000,000	\$50,000,000
Total, BA	\$8,988,454,000	\$9,020,489,000	\$8,543,210,000

² This exhibit does include \$5.8 million requested for this account in FY 2009 with PHS evaluation funds.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs
(Discretionary Only)

SUMMARY OF CHANGES

FY 2008 Enacted	
Total estimated budget authority.....	\$8,970,489,000
(Obligations)	(\$8,975,489,000)
FY 2009 Estimate	
Total estimated budget authority.....	\$8,493,210,000
(Obligations)	(\$8,493,210,000)
Net Change.....	-\$477,279,000

	2008 Enacted <u>Budget Base</u>	<u>Change from Base</u>
	Budget Authority	Budget Authority
	(FTE)	(FTE)
<u>Increases:</u>		
A. <u>Built-in:</u>		
1) Federal Administration – Increase in personnel compensation and related benefit costs associated with January 2009 civilian pay raise, annualization of FY 2008 pay raise, annualization of staff onboard at end of year, and mandatory increases in non-pay costs	\$185,858,000	+\$7,134,000
2) Federal Administration – Increase in funding for significantly expanded program responsibilities required by the 2007 reauthorization of Head Start....	\$185,858,000	+\$3,800,000
Subtotal, Built-in Increases	1,299	0 +\$10,934,000
B. <u>Program:</u>		
1) Increase funding for Head Start to provide programs a 1.9% cost-of-living adjustment and increase enrollment in Indian and Migrant Head Start programs.....	\$6,877,975,000	+\$148,596,000
2) Increase funding for Community-Based Abstinence Education to support 198 grants, including 50 new grants.....	\$108,900,000	+\$27,764,000

	2008 Enacted <u>Budget Base</u>		<u>Change from Base</u>
	Budget Authority		Budget Authority
	(FTE)		(FTE)
3) Increase funding for the Compassion Capital Fund to support 173 new grants to support faith-based and community organizations	\$52,688,000		+\$22,312,000
4) Increase funding for Adoption Incentives to cover the estimated incentives earned by states in FY 2008..	\$4,323,000		+\$15,351,000
5) Fund new Disaster Human Services Case Management initiative to establish a human services case management system	\$0		+\$10,000,000
6) Increase funding for Mentoring Children of Prisoners to fund 188 mentoring grants and 13,000 vouchers...	\$48,628,000		+\$1,372,000
Subtotal, Program Increases			+\$225,395,000
Total Increases			+\$236,329,000
<u>Decreases:</u>			
B. <u>Program:</u>			
1) Elimination of Community Services Block Grant and Community Services Discretionary Activities programs	\$698,415,000		-\$698,415,000
2) Reduce budget authority for Social Services Research and Demonstration and fund program through PHS Evaluation set-aside	\$15,193,000		-\$15,193,000
Total Decreases			-\$713,608,000
Net Change	1,299	\$8,970,489,000	0
			-\$477,279,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

Justification

	2007 Enacted	2008 Enacted	2009 Estimate	Increase or Decrease
Budget Authority	\$8,938,454,000	\$8,970,489,000	\$8,493,210,000	-\$487,279,000
FTE	1,229	1,299	1,299	0

General Statement

The Children and Families Services Programs appropriation account incorporates funding for programs serving children, youth, families, the developmentally disabled, Native Americans, victims of child abuse and neglect and domestic violence, and other vulnerable populations. The FY 2009 request for Children and Families Services Programs is \$8,493,210,000, a net decrease of \$487,279,000 from the 2008 enacted level.

Highlights of the FY 2009 request for Children and Families Services Programs include:

- Head Start (+\$148.6 million) — This increased funding will be used to provide programs a cost-of-living increase of approximately 1.9 percent and increase enrollment as required by the Head Start Act in Indian and Migrant Head Start programs by approximately 1,900 children.
- Community-Based Abstinence Education (+\$27.8 million) – This funding will assist public and private entities in providing abstinence-until-marriage education to the adolescent population; promote abstinence-until-marriage awareness through a national abstinence education campaign; and provide for a comprehensive evaluation of program activities. This increase would fund approximately 50 new grants.
- Disaster Human Services Case Management (+\$10 million) — These funds will support the cost of establishing a contract for case management plus three full-time personnel to manage the contract and provide technical assistance to states prior to and during a disaster. State planning grants will be awarded to approximately 10 states in the first year to develop case management capacity to be prepared in the event of a disaster.
- Compassion Capital Fund (+\$22.3 million) – This increase will help faith-based and community organizations increase their effectiveness and enhance their ability to help those in need. Of this amount, \$35 million is for the Communities Empowering Youth Program to help combat gang activity.
- Federal Administration (+\$10.9 million) – The request for Federal Administration would fund improper payments (IPIA) efforts for the TANF and Child Care programs and expanded IPIA efforts for child welfare programs. In addition, this request includes \$3.8 million to support significantly expanded programmatic responsibilities required by the recent reauthorization of the Head Start program.

The FY 2009 President's Budget request of \$8,493,210,000 for this account represents current law requirements except for the straight-line reauthorization legislation shown in the Authorizing Language exhibit. No proposed law amounts are included.

HEAD START

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Current Funding	\$5,499,771,000	\$5,513,437,000	\$5,637,771,000	+\$124,334,000
Advance from Prior Year	1,388,800,000	1,364,538,000 ¹	1,388,800,000	+24,262,000
Advance Funding Enacted/Requested	[1,388,800,000]	[1,388,800,000]	[1,388,800,000]	0
Total, BA	\$6,888,571,000	\$6,877,975,000	\$7,026,571,000	+\$148,596,000

Authorizing Legislation – Section 639 of the Head Start Act

FY 2009 Authorization \$7,650,000,000

Allocation Method Competitive Grant

Program Description and Accomplishments – The Head Start program was established as part of the Economic Opportunity Act of 1964 (P.L. 88-452). The program provides grants directly to local public and private non-profit and for-profit agencies to provide comprehensive child development services to economically disadvantaged children and families, with a special focus on helping preschoolers develop the early reading and math skills they need to be successful in school. In FY 1995, the Early Head Start program was established to serve children from birth to three years of age in recognition of the mounting evidence that the earliest years matter a great deal to children’s growth and development.

On December 12, 2007, President Bush signed the “Improving Head Start for School Readiness Act of 2007” (P.L. 110-134) authorizing Head Start through FY 2012. This bill makes several significant changes to Head Start, including the awarding of Head Start grants for five year periods; new competition requirements for poor performing grantees; new requirements for grantees to increase collaboration with both other pre-K programs in their community as well as the schools to which Head Start children will be attending; implementation of a new state-based training and technical assistance (T/TA) system; enhanced qualification requirements for Head Start teachers and other staff; expanded eligibility in certain situations, including serving children in families whose incomes is up to 130 percent of the poverty line; authority to propose serving additional infant and toddler age children; and increased resources for the American Indian/Alaskan Native and the Migrant/Seasonal programs. The bill also terminated the National Reporting System, which collected information on child outcomes using a consistent methodology across all programs.

Head Start programs promote school readiness by enhancing the social and cognitive development of children through the provision of educational, health, nutritional, social and other services to enrolled children and families. Head Start programs will be expected to work closely with local school systems to assure the gains Head Start children achieve in Head Start are sustained as children leave Head Start and enter public school.

Head Start programs engage parents in their children’s learning and help them in making progress toward their educational, literacy and employment goals. Significant emphasis is placed on the involvement of

¹ At the time the omnibus appropriation bill was enacted, the FY 2008 advance funding had already been obligated. Therefore, the entire amount of the Head Start rescission was taken from Current Funding.

parents in the administration of local Head Start programs and many current and former Head Start parents are employed by local Head Start programs.

All Head Start programs are reviewed, on-site, at least once every three years and those programs not providing acceptable levels of service must either improve their program’s quality or risk losing their Head Start grant. In FY 2008, the Administration for Children and Families will implement a risk-based assessment system designed to allow ACF to quickly identify potential problems in local grantees and address them early on before they become more difficult to resolve.

All Head Start grantees must, unless a waiver is granted, contribute 20 percent of the total cost of the program from non-federal funds. No more than 15 percent of total costs may be for program administration. At least 90 percent of the enrollees in a program must come from families whose income is below the poverty guidelines as established by the Office of Management and Budget or from families receiving public assistance, except that a program that has substantially met the needs of all interested and eligible families in its community using the above criteria may propose that up to 35 percent of its enrollment be of children whose family income is between 100 to 130 percent of the poverty line. Each Head Start program must assure that at least ten percent of its enrollment is for children with disabilities.

Funding for the Head Start program during the last ten years has been as follows:

1999	\$4,658,151,000
2000	\$5,267,000,000
2001	\$6,199,123,000
2002	\$6,536,977,000
2003	\$6,667,533,000
2004	\$6,774,848,000
2005	\$6,843,114,000
2006	\$6,782,062,000
2006	\$90,000,000
2007	\$6,888,571,000
2008	\$6,877,975,000

Head Start received a PART rating of Moderately Effective in CY 2006. The review cited the improved grantee monitoring system and establishment of coordination offices in each state as strong attributes. As a result of the PART review, the program is working to utilize child outcomes information to help local grantees improve children’s school readiness, developing performance measures to assess the social/emotional outcomes of children in Head Start, and continuing to work with states to promote a coordinated early childhood education delivery system. Several Head Start performance measures rely on data from the NRS, specifically, those that measure Head Start children’s progress in vocabulary, letter recognition, and early mathematics skills. Given termination of the NRS by the Improving Head Start Act of 2007, Head Start will revise its approach to measuring child outcomes consistent with requirements in the reauthorization legislation.

The Head Start program has shown a steady increase in the number of teachers with degrees in early childhood education and has achieved the annual target each year since the performance measure was established in FY 2001. In the 2006-2007 program year, 74.2 percent of Head Start’s teachers had an AA degree or higher, almost twice the rate in 1998 when the requirement that at least 50 percent of teachers have Early Childhood Education (ECE) degrees was added to the Head Start Act. In addition, the percentage of Early Head Start children (children under age three) who have completed all medical screenings increased significantly from 83 percent in FY 2006 to 91 percent in FY 2007, while 96 percent of pre-school age Head Start children (ages three to five) have received all required screenings.

Budget Request – The FY 2009 request for the Head Start program is \$7,026,571,000, an increase of \$148,596,000 from the FY 2008 enacted level. These funds will allow local programs to serve approximately 895,000 low-income children and families. This increased funding will be used to provide programs a cost-of-living increase of approximately 1.9 percent and increase enrollment as required by the Head Start Act in Indian and Migrant Head Start programs by approximately 1,900 children. T/TA activities will be designed to assure Head Start programs can better meet the needs of enrolled children and families.

In early 2008, the Head Start program will establish a baseline for a performance indicator that aims to reduce the number of grantees with repeat deficiencies. This indicator will show the extent to which ACF works closely with grantees, including focused T/TA support and helping grantees make the systemic changes they need to prevent being cited for repeat deficiencies during their next onsite monitoring review. The 2005 Government Accountability (GAO) report, “Head Start: Comprehensive Approach to Identifying and Preventing Grantee Financial Management Weaknesses,” found that 53 percent of grantees with financial management findings were again cited in the grantee’s next review. A related performance measure examines the number of grantees with deficiencies in early childhood development services. The Head Start education and early childhood development performance standards require that grantees provide for the development of each child’s cognitive and language skills, including supporting emerging literacy and numeracy development and developing age-appropriate reasoning, problem-solving, and decision-making skills that provide a foundation for school readiness and later school success. Compliance with these and other related requirements are examined during triennial Program Review Instrument for Systems Monitoring (PRISM) reviews. The FY 2006 baseline of 26 grantees with deficiencies in early childhood development is based upon the results of 481 triennial and first-year PRISM reviews completed in FY 2006. By FY 2009, the Head Start program aims to have no more than 19 grantees with such deficiencies, representing a ten percent reduction per year.

Regarding the Head Start program’s efficiency measure - decreasing under-enrollment in Head Start programs - ACF is committed to ensuring that Head Start is serving the full number of children for which Congress has appropriated funds. The most recent data available indicate that, during the 2006-2007 program year, Head Start grantees had, on average, failed to fill 1.5 percent of the funded slots for Head Start children. This represents approximately 13,600 children who could have been served using existing Head Start funds. In the 2005-2006 program year, the under-enrollment rate was 0.7 percent. As a result of the recent data trends, the Office of Head Start has revised its future targets to reach 1.5 percent in FY 2008 and 1.4 percent in FY 2009. ACF has undertaken specific efforts to improve and standardize how grantees report enrollment. Whereas prior to 2005, grantees reported enrollment data annually after the program year, ACF developed a website in 2005 that enabled grantees to report enrollment every three months. Per the reauthorization of the Head Start Act, ACF will now collect online enrollment data on a monthly basis from all Head Start grantees.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 3.1: Increase the percentage of programs in which children on average can identify 10 or more letters of the alphabet. ¹										
3 A	Increase the percentage of programs in which children on average can identify 10 or more letters of the alphabet as measured in the spring by the National Reporting System. ² <i>(outcome)</i>	88.5%	91.8%	94.0%	93.5%	96.0%	94.8%	n/a	n/a	n/a
Long-Term Objective 3.2: Increase the percentage of programs that achieve average fall to spring gains of at least 12 months in word knowledge (PPVT). ^{1,3}										
Long-Term Objective 3.3: Increase the percentage of programs that achieve average fall to spring gains of at least four counting items. ^{1,2}										
Long-Term Objective 3.4: Increase the percentage of programs in which children make prescribed gains on a measure of social skills. ¹										
Long-Term Objective 3.5: Increase the percentage of children completing the Head Start program rated by parent as being in excellent or very good health to 83 percent by FY 2010. The baseline is 77 percent in FY 1998.										
Long-Term Objective 3.6: Increase the percentage of Early Head Start children completing all medical screenings to 91 percent by FY 2010.										
3 B	Increase the percentage of Early Head Start children completing all medical screenings. <i>(outcome)</i>	81.0%	82.1%	83.0%	82.8%	85.0%	91.0%	87.0%	89.0%	91.0% (FY 2010)
Long-Term Objective 3.7: Increase the percentage of parents of children in their pre-kindergarten Head Start year who report reading to child three times per week or more, as measured in the spring of their pre-kindergarten Head Start year, to 85 percent by FY 2013. The baseline is 68.4 percent in FY 1998.										
Long-Term Objective 3.8: Increase to 55 percent the percentage of classrooms with lead teachers scoring 73 or higher (unweighted) on an observational measure of teacher-child interaction by FY 2010. The baseline is 51 percent in FY 2004.										
3 C	Increase the percentage of teachers with AA, BA, Advanced Degree, or a degree in a field related to early childhood education. <i>(outcome)</i>	64.8%	69.0%	65.0%	71.6%	71.0%	74.2%	73.0%	75.0%	n/a
3 D	Reduce the percent of grantees with repeat deficiencies through the provision of targeted technical assistance. <i>(outcome)</i>	n/a	n/a	TBD	Jan-08	TBD	Jan-09	TBD	TBD	n/a
3 E	Decrease the number of grantees with deficiencies in early childhood development. <i>(outcome)</i>	n/a	n/a	n/a	26	23	Jan-08	21	19	n/a
3 F	Decrease under-enrollment in Head Start programs, thereby increasing the number of children served per dollar. <i>(OMB approved efficiency)</i>	4.4%	2.8%	3.6%	0.7%	2.8%	1.5%	1.5% ³	1.4% ³	n/a

² The National Reporting System (NRS) was operational in FY 2007, during the relevant period in which ACF is reporting. However, per the Improving Head Start Act of 2007, the NRS has been discontinued. For future years, ACF will develop alternatives to measures using NRS data. Targets beyond FY 2007 are no longer relevant and have not been included.

³ This long term objective is also a performance indicator in the FY 2007-2012 HHS Strategic Plan.

⁴ The FY 2008 and FY 2009 targets were adjusted following the release of the FY 2006 data, in order to maintain rigorous targets for this performance measure.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of Early Head Start medical screenings completed	64,912	67,255	n/a	71,114	n/a	72,567	n/a	n/a	n/a
	Number of Head Start teachers without a degree who are enrolled in Early Childhood Education degree program	8,422	7,406	n/a	6,378	n/a	5,551	n/a	n/a	n/a
	Number of Head Start teachers with at least an AA degree	36,473	38,534	n/a	40,786	n/a	41,710	n/a	n/a	n/a
	Number of teachers aids with at least an AA degree	6,934	7,602	n/a	8,145	n/a	8,347	n/a	n/a	n/a
	Number of Head Start staff who are current or former Head Start parents	59,191	58,860	n/a	58,604	n/a	58,779	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$6,773.9	\$6,842.3	\$6,776.8		\$6,887.9		\$6,878.0	\$7,026.6	

Resource and Program Data
Head Start

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$6,654,299,000	\$6,644,435,600	\$6,785,906,725
(Head Start)	(5,999,931,000)	(5,991,037,195)	(6,119,953,538)
(Early Head Start)	(654,368,000)	(653,398,405)	(665,953,187)
Research/Evaluation	19,793,000	20,000,000	20,000,000
Demonstration/Development			
Training/Technical Assistance	175,214,000	174,949,400	178,664,275
(Head Start)	(140,771,000)	(139,959,520)	(143,531,420)
(Early Head Start)	(34,443,000)	(34,989,880)	(35,132,855)
Program Support ¹	38,590,000	38,590,000	42,000,000
Total, Resources	\$6,887,896,000	\$6,877,975,000	\$7,026,571,000
<u>Program Data:</u>			
Number of Grants	1,756	1,756	1,756
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	1,756	1,756	1,756
\$	\$6,713,508,782	\$6,713,502,090	\$6,862,098,090
Contracts:			
#	27	27	27
\$	\$154,935,559	\$145,300,915	\$145,300,915
Interagency Agreements:			
#	7	7	7
\$	\$13,761,995	\$13,761,995	\$13,761,995

¹ Includes funding for grant/panel review costs, federal oversight responsibilities and technology costs.

Additional Head Start Program Data

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
Number of Grantees	1,604	1,604	1,604
Children in Head Start Projects:	908,412	894,347	894,347
(Head Start)	846,624	833,516	833,516
(Early Head Start)	61,788	61,831	60,831
Number of Staff	220,000	217,000	217,000
Volunteers	1,384,000	1,384,000	1,384,000
Number of Classrooms	49,400	48,700	48,700

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Head Start (CFDA #93.600)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$107,069,710	\$106,910,747	\$108,965,040	+\$2,054,293
Alaska	12,524,123	12,505,529	12,745,823	+240,294
Arizona	103,928,297	103,773,998	105,768,018	+1,994,020
Arkansas	64,793,182	64,696,986	65,940,140	+1,243,154
California	835,094,424	833,853,590	849,874,233	+16,020,643
Colorado	68,621,163	68,519,283	69,835,883	+1,316,600
Connecticut	52,112,641	52,035,272	53,035,131	+999,859
Delaware	13,290,490	13,270,758	13,525,756	+254,998
District of Columbia	25,211,331	25,173,901	25,657,618	+483,717
Florida	264,221,005	263,828,726	268,898,201	+5,069,475
Georgia	169,203,527	168,952,317	172,198,740	+3,246,423
Hawaii	22,980,561	22,946,443	23,387,359	+440,916
Idaho	22,907,992	22,873,982	23,313,506	+439,524
Illinois	271,880,496	271,476,845	276,693,279	+5,216,434
Indiana	96,596,956	96,453,542	98,306,899	+1,853,357
Iowa	51,762,241	51,685,391	52,678,528	+993,137
Kansas	51,136,866	51,060,945	52,042,082	+981,137
Kentucky	108,290,595	108,129,820	110,207,537	+2,077,717
Louisiana	146,504,237	146,286,727	149,097,630	+2,810,903
Maine	27,724,725	27,683,563	28,215,503	+531,940
Maryland	78,356,161	78,239,829	79,743,209	+1,503,380
Massachusetts	108,797,056	108,635,529	110,722,964	+2,087,435
Michigan	235,517,531	235,167,867	239,686,624	+4,518,757
Minnesota	72,300,453	72,193,111	73,580,304	+1,387,193
Mississippi	162,356,794	162,115,749	165,230,807	+3,115,058
Missouri	119,482,617	119,305,226	121,597,679	+2,292,453
Montana	21,035,544	21,004,313	21,407,912	+403,599
Nebraska	36,207,292	36,153,536	36,848,227	+694,691
Nevada	24,380,031	24,343,835	24,811,602	+467,767
New Hampshire	13,441,195	13,421,239	13,679,129	+257,890
New Jersey	129,545,483	129,353,152	131,838,676	+2,485,524
New Mexico	52,515,381	52,437,413	53,444,999	+1,007,586
New York	434,979,286	434,333,488	442,679,217	+8,345,729
North Carolina	141,857,656	141,647,045	144,368,796	+2,721,751
North Dakota	17,245,660	17,220,056	17,550,939	+330,883

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	247,914,736	247,546,666	252,303,282	+4,756,616
Oklahoma	81,384,010	81,263,182	82,824,656	+1,561,474
Oregon	59,714,535	59,625,878	60,771,591	+1,145,713
Pennsylvania	229,113,424	228,773,267	233,169,152	+4,395,885
Rhode Island	22,105,950	22,073,130	22,497,266	+424,136
South Carolina	82,842,414	82,719,421	84,308,877	+1,589,456
South Dakota	18,902,974	18,874,909	19,237,591	+362,682
Tennessee	119,832,346	119,654,435	121,953,598	+2,299,163
Texas	480,685,049	479,971,393	489,194,055	+9,222,662
Utah	37,920,068	37,863,769	38,591,323	+727,554
Vermont	13,615,255	13,595,041	13,856,270	+261,229
Virginia	99,506,637	99,358,903	101,268,087	+1,909,184
Washington	100,776,184	100,626,565	102,560,107	+1,933,542
West Virginia	50,851,993	50,776,495	51,752,167	+975,672
Wisconsin	91,252,718	91,117,239	92,868,059	+1,750,820
Wyoming	12,422,337	12,403,894	12,642,235	+238,341
Subtotal	5,912,713,332	5,903,933,940	6,017,376,306	+113,442,366
Indian Tribes	188,210,173	187,930,744	198,441,838	+10,511,094
Migrant Program	287,675,464	287,248,362	299,667,847	+12,419,485
American Samoa	2,159,044	2,155,838	2,197,263	+41,425
Guam	2,172,537	2,169,311	2,210,995	+41,684
No. Marianas	1,671,096	1,668,615	1,700,678	+32,063
Palau	1,338,959	1,336,971	1,362,661	+25,690
Puerto Rico	250,345,489	249,973,812	254,777,063	+4,803,251
Virgin Islands	8,029,929	8,018,007	8,172,074	+154,067
Subtotal	741,602,691	740,501,660	768,530,419	+28,028,759
Total States/Territories	6,654,316,023	6,644,435,600	6,785,906,725	+141,471,125
Training and Technical Assistance	175,197,405	174,949,400	178,664,275	+3,714,875
Research/Evaluation	19,792,760	20,000,000	20,000,000	0
Program Support	38,590,000	38,590,000	42,000,000	+3,410,000
Subtotal Adjustments	233,580,165	233,539,400	240,664,275	+7,124,875
TOTAL, B.A.	\$6,887,896,188	\$6,877,975,000	\$7,026,571,000	+\$148,596,000

RUNAWAY AND HOMELESS YOUTH PROGRAM

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Basic Centers	\$48,298,000	\$52,860,000	\$52,860,000	\$0
Transitional Living (including Maternity Group Homes)	39,539,000	43,268,000	43,268,000	0
Total, BA	\$87,837,000	\$96,128,000	\$96,128,000	\$0

Authorizing Legislation — Section 388(a)(1) of the Runaway and Homeless Youth Act.

2009 Authorization \$96 million, as requested in straightline reauthorization request.

Allocation Method Competitive Grant

Program Description and Accomplishments — The Runaway and Homeless Youth Program provides grants to public and private organizations to establish and operate runaway and homeless youth shelters and Transitional Living Programs including maternity group homes. Grants are used to develop or strengthen community-based shelters that are not a part of the law enforcement, juvenile justice, child welfare and mental health systems.

Part A, the **Basic Center Program**, funds grants to community-based public and private agencies for the provision of outreach, crisis intervention, temporary shelter, counseling, family unification and aftercare services to runaway and homeless youth and their families. Basic Centers can provide 15 days of shelter for up to 20 youth at each facility with an exception in those jurisdictions that require a higher limit in order to be licensed as a Basic Center program. Funds available for the Basic Center Program are allotted among the states using a formula based on the population of youth less than 18 years of age as a proportion of the national population.

Part B, the **Transitional Living Program**, funds grants to public and private organizations to support projects that provide not more than 20 homeless youth ages 16-21 at each facility with stable, safe longer-term residential services for 540 days. An additional 180 days is allowed if the youth has not reached age 18. These services include counseling in basic life skills, interpersonal skill building, educational advancement, job attainment skills, and physical and mental health care. These services are designed to help youth that are homeless develop the skills necessary to make a successful transition to self-sufficient living. These community-based, adult-supervised group homes provide safe, stable, nurturing environments for youth who cannot live safely with their own families.

In addition, under the Transitional Living Program, a **Maternity Group Homes** component provides to youth who are vulnerable to abuse, neglect, and poverty, targeted community-based, adult-supervised group homes and their children. Maternity Group Home programs provide a range of coordinated services such as child care, education, job training, health and nutrition, counseling and advice on parenting and life skills to young mothers to assist them in moving forward with their lives.

Funding also is provided for the national toll-free runaway and homeless youth crisis hotline that responds to between 100,000-120,000 calls a year. Of these calls, 44 percent come from youth, 36 percent come from parents and the remaining 20 percent are general information and client-related calls.

Funding for the program during the last five years has been as follows:

2004	\$89,431,000
2005	\$88,724,000
2006	\$87,776,000
2007	\$87,837,000
2008	\$96,128,000

The Runaway and Homeless Youth Program received a PART rating of Effective in CY 2006. The review cited the program’s clear purpose, strong program design and progress on several outcome and efficiency measures as strong attributes of the program. The year following the review, all RHY performance measures exceeded their targets. In response to the PART review, and in concert with a statutory requirement, the program is working to design and implement a survey instrument to be used in an evaluation of long term outcomes of homeless youth in the Transitional Living Program.

The programs’ grantees have successfully enrolled runaway and homeless youth. In FY 2007, 43,910 youth entered shelter services in the Basic Centers Program, and 3,671 youth entered residential services in the Transitional Living Program. The program also has increased the proportion of youth entering safe and appropriate settings after services. For example, in FY 2007, 86 percent of youth receiving TLP services exited to safe and appropriate settings; this exceeded the program’s goal of 84 percent.

Budget Request — The FY 2009 request is \$96,128,000, the same as the FY 2008 enacted level. These funds will be used to support 356 Basic Center Programs and 209 Transitional Living Programs, including maternity group homes that provide transitional living opportunities to pregnant and parenting homeless youth. In order to reach the most vulnerable youth, ACF will continue to solicit grant applications for maternity group homes programs as a funding priority.

The program has set a solid track record for using funds effectively and efficiently. For example, the Transitional Living Program has concentrated on the program goal of “program completion” (i.e., through completing the program as planned or by exiting early due to a positive opportunity). Programs operate most effectively when they work to ensure that all youth graduate or leave ahead of schedule for a positive opportunity, since program completion has been shown to increase the likelihood of safe exits and (understandably) improve educational and employment progress. Program funds also are used efficiently when youth graduate, since youth who complete their programs generally are making the best use of the funding for their experiences, while dropouts may encumber a slot that could go to a youth with greater commitment. Since 2003, the program has succeeded in annually increasing the completion rate of youth in the TLP program; in FY 2009, it is expected that 53.6 percent of youth will either graduate or leave ahead of schedule based on a positive opportunity.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 4.1: Increase the percentage of youth served in the Runaway and Homeless Youth (RHY) Transitional Living Program (TLP) entering safe and appropriate settings after exiting ACF-funded TLP services to at least 85 percent and maintain this level through FY 2010.										
4 A	Increase the percentage of youth living in safe and appropriate settings after exiting ACF-funded Transitional Living Program (TLP) services. <i>(outcome)</i>	78%	82%	83%	82%	84%	86%	85%	85%	85% (FY 2010)

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 4.2: By FY 2009, increase the percentage of youth who successfully complete the Transitional Living Program (TLP) by “graduating” or who leave ahead of schedule based upon a positive opportunity to at least 55%.										
4 B	Increase funding efficiency by increasing the percentage of youth who complete the Transitional Living Program (TLP) by graduating or who leave ahead of schedule based upon an opportunity. <i>(outcome and OMB approved efficiency)</i>	45.6%	47.9%	47.6%	50.0%	49.6%	57.5%	51.6%	53.6%	55.0% (FY 2010)
4 C	Increase the percentage of Transitional Living Program (TLP) youth who are engaged in community service and service learning activities while in the program. <i>(outcome)</i>	27.0%	31.0%	32.0%	32.3% ¹	33.0%	42.1%	34.0%	35.0%	n/a
4 D	Increase by two percent annually the percentage of youth who are prevented from running away through Basic Center Programs (BCP) in-home/off-site services as a percentage of all youth receiving such services, including those who must be fully admitted to shelter despite such preventive efforts. <i>(outcome)</i>	n/a	n/a	n/a	n/a	n/a	n/a	Baseline TBD	2% over prev year	2% over prev. year (FY 2010)

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of Basic Center Program grants	345	342	n/a	336	n/a	336	n/a	n/a	n/a
	Number of youth entered BCP for services in the shelter	67984	53724	n/a	48506	n/a	43910	n/a	n/a	n/a
	Number of Transitional Living Program grants	194	192	n/a	193	n/a	190	n/a	n/a	n/a
	Number of youth entered TLP for services in the residency	4637	3562	n/a	4155	n/a	3671	n/a	n/a	n/a
	Number of contacts with youth or families who receive preventive services intended to avoid full intake into shelter or TLP services	88924	82797	n/a	129488	n/a	91116	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$89.4	\$88.7	\$87.8		\$87.8		\$96.1	\$96.1	

¹ This figure has been revised due to the submission of updated data.

Resource and Program Data
Basic Center Program

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$43,284,000	\$47,574,000	\$43,471,000
Research/Evaluation	194,000	201,000	207,000
Demonstration/Development	600,000	600,000	600,000
Training/Technical Assistance ¹	3,590,000	3,855,000	3,855,000
Program Support ²	630,000	630,000	624,000
Total, Resources	\$48,298,000	\$52,860,000	\$52,860,000
<u>Program Data:</u>			
Number of Grants	347	365	356
New Starts:			
#	142	132	94
\$	\$20,670,000	\$17,844,000	\$12,792,000
Continuations:			
#	205	233	262
\$	\$26,573,000	\$29,730,000	\$34,782,000
Contracts:			
#	3	3	3
\$	\$903,000	\$5,081,000	\$5,071,000
Interagency Agreements:			
#	1	1	1
\$	\$130,000	\$140,000	\$150,000

¹ Includes training and technical assistance, National Clearinghouse logistical support, management information system and hotline.

² Includes information technology support, printing, contract fees and grants/panel review costs.

Resource and Program Data
Transitional Living Program

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$34,496,000	\$38,941,000	\$38,941,000
Research/Evaluation	332,000	400,000	440,000
Demonstration/Development	1,380,000	1,380,000	1,380,000
Training/Technical Assistance ¹	1,580,000	1,590,000	1,600,000
Program Support ²	1,751,000	957,000	907,000
Total, Resources	\$39,539,000	\$43,268,000	\$43,268,000
<u>Program Data:</u>			
Number of Grants	203	209	209
New Starts:			
#	86	103	0
\$	\$15,816,000	\$19,956,000	0
Continuations:			
#	117	106	209
\$	\$21,640,000	\$18,985,000	\$38,941,000
Contracts:			
#	3	3	3
\$	\$1,953,000	\$4,187,000	\$4,177,000
Interagency Agreements:			
#	1	1	1
\$	\$130,000	\$140,000	\$150,000

¹ Includes funding for National Clearinghouse logistical support.

² Includes funding for information technology support, contract fees and grants/panel review costs.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Runaway and Homeless Youth - Basic Center (CFDA #93.623)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$499,900	\$725,148	\$725,148	\$0
Alaska	194,801	124,470	124,470	0
Arizona	806,085	964,762	964,762	0
Arkansas	336,286	451,767	451,767	0
California	5,185,125	5,545,807	5,545,807	0
Colorado	560,129	748,761	748,761	0
Connecticut	400,805	557,859	557,859	0
Delaware	120,144	132,696	132,696	0
District of Columbia	50,000	100,000	100,000	0
Florida	2,499,954	2,765,655	2,765,655	0
Georgia	1,303,925	1,453,627	1,453,627	0
Hawaii	162,432	218,562	218,562	0
Idaho	202,350	246,229	246,229	0
Illinois	1,764,429	1,933,833	1,933,833	0
Indiana	915,743	987,266	987,266	0
Iowa	476,697	477,943	477,943	0
Kansas	325,104	444,613	444,613	0
Kentucky	573,455	665,072	665,072	0
Louisiana	789,175	680,146	680,146	0
Maine	188,325	224,078	224,078	0
Maryland	600,000	880,585	880,585	0
Massachusetts	920,937	1,006,174	1,006,174	0
Michigan	2,030,267	1,565,493	1,565,493	0
Minnesota	1,058,684	830,442	830,442	0
Mississippi	447,299	467,005	467,005	0
Missouri	773,000	915,288	915,288	0
Montana	132,484	166,449	166,449	0
Nebraska	453,813	380,505	380,505	0
Nevada	368,379	403,557	403,557	0
New Hampshire	185,478	223,056	223,056	0
New Jersey	1,045,510	1,397,724	1,397,724	0
New Mexico	579,182	420,564	420,564	0
New York	3,035,166	2,923,485	2,923,485	0
North Carolina	1,202,921	1,376,048	1,376,048	0
North Dakota	100,000	100,000	100,000	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	1,616,738	1,726,834	1,726,834	0
Oklahoma	503,532	569,234	569,234	0
Oregon	631,194	587,817	587,817	0
Pennsylvania	1,750,495	1,923,890	1,923,890	0
Rhode Island	135,723	185,251	185,251	0
South Carolina	471,996	682,680	682,680	0
South Dakota	110,973	141,573	141,573	0
Tennessee	763,636	945,267	945,267	0
Texas	3,163,847	3,547,558	3,547,558	0
Utah	315,000	411,894	411,894	0
Vermont	100,000	100,000	100,000	0
Virginia	1,190,329	1,190,505	1,190,505	0
Washington	936,890	999,845	999,845	0
West Virginia	259,641	300,045	300,045	0
Wisconsin	686,372	871,531	871,531	0
Wyoming	100,000	100,000	100,000	0
Subtotal	43,024,350	46,788,593	46,788,593	0
American Samoa	45,000	45,000	45,000	0
Guam	45,000	45,000	45,000	0
Northern Mariana Islands	0	45,000	45,000	0
Puerto Rico	200,000	602,707	602,707	0
Virgin Islands	0	45,000	45,000	0
Subtotal	290,000	782,707	782,707	0
Total States/Territories	43,314,350	47,571,300	47,571,300	0
Technical Assistance	3,590,000	3,855,000	3,855,000	0
Demonstration	600,000	600,000	600,000	0
Research/Evaluation	194,000	201,000	207,000	+6,000
Program Support	599,506	632,700	626,700	-6,000
Subtotal Adjustments	4,983,506	5,288,700	5,288,700	0
TOTAL RESOURCES	\$48,297,856	\$52,860,000	\$52,860,000	\$0

EDUCATION AND PREVENTION GRANTS TO REDUCE SEXUAL ABUSE OF RUNAWAY, HOMELESS AND STREET YOUTH

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$15,027,000	\$17,221,000	\$17,221,000	\$0

Authorizing Legislation — Section 388(a)(4) of the Runaway and Homeless Youth Act.

2009 Authorization\$17 million, as requested in straightline reauthorization.

Allocation Method Competitive Grant

Program Description and Accomplishments — The Runaway and Homeless Youth Act authorizes funding for grants to private, non-profit agencies for street-based outreach and education, including treatment, counseling, provision of information, and referral for runaway, homeless and street youth who have been subjected to or are at risk of being subjected to sexual abuse and exploitation. This program is a lifeline used to help youth leave the streets.

Youth receiving program services are provided tangible assistance in the form of food, hygiene packages, and information or referrals for additional services, including runaway and homeless youth shelters which many eventually enter. These services are coordinated with existing services for runaway and homeless youth, namely emergency shelter, food, clothing and transitional living program efforts. The coordination of these resources and programs increases the capacity to provide outreach to street youth through partnerships with service organizations. An established data collection tool captures the number of contacts, as well as the tangible assistance and information on referral services to Runaway and Homeless Youth shelters.

Funding for the program during the last five years has been as follows:

2004	\$15,302,000
2005	\$15,178,000
2006	\$15,017,000
2007	\$15,027,000
2008	\$17,221,000

RHY received a PART rating of Effective in CY 2006. Further detail of the PART Assessment, as well as other performance information, may be found under the Runaway and Homeless Youth Program section.

Budget Request — The FY 2009 budget request for Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth is \$17,221,000, the same as the FY 2008 enacted level. This request will be used to assist private, non-profit agencies in meeting the critical needs of the runaway, homeless and street youth population. These funds will assist 156 grantees in designing programs that build relationships between grantee staff and youth receiving street-based outreach services and educational information.

Resource and Program Data
 Education & Prevention Grants to Reduce Sexual Abuse of
 Runaway, Homeless and Street Youth

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$13,776,000	\$15,499,000	\$15,499,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	1,248,000	1,722,000	1,722,000
Total, Resources	\$15,024,000	\$17,221,000	\$17,221,000
<u>Program Data:</u>			
Number of Grants	136	156	156
New Starts:			
#	87	20	49
\$	\$8,919,000	\$1,975,000	\$4,857,000
Continuations:			
#	49	136	107
\$	\$4,857,000	\$13,524,000	\$10,642,000
Contracts:			
#	2	2	2
\$	\$1,118,000	\$1,582,000	\$1,572,000
Interagency Agreements:			
#	1	1	1
\$	\$130,000	\$140,000	\$150,000

¹ Includes funding for information technology support, contract fees and grants/panel review costs.

COMMUNITY-BASED ABSTINENCE EDUCATION (Discretionary)

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Budget Authority	\$108,900,000	\$108,900,000	\$136,664,000	+\$27,764,000
<i>PHS Evaluation Funds</i>	<i>4,500,000</i>	<i>4,500,000</i>	<i>4,410,000</i>	<i>-90,000</i>
Total Program Level	\$113,400,000	\$113,400,000	\$141,074,000	+\$27,674,000

Authorizing Legislation – Section 1110 of the Social Security Act (using the definitions contained in Section 510(b)(2) of the Act).

2009 Authorization Such sums as may be appropriated.

Allocation Method Competitive Grant

Program Description and Accomplishments — Community-Based Abstinence Education project grants provide support to public and private entities for the development and implementation of abstinence-until-marriage education programs that conform with the standards contained in Section 510(b)(2) of the Social Security Act for adolescents, ages 12 through 18, in communities nationwide.

The community-based programs are unique in that their entire focus is to educate the adolescent population and create an environment within communities that supports adolescents in making decisions to postpone sexual activity until marriage. There is no match requirement for these grants. Priority funding is given to entities that demonstrate a strong record for abstinence-until-marriage education among adolescents.

In addition to the community-based project grants, the funding will provide for comprehensive evaluations (including longitudinal evaluations) of abstinence education programs. Further, Congress directed that up to \$10 million be used to support a national abstinence education campaign. This initiative focuses on establishing a national campaign that equips parents of teens with resources to educate, mentor, and support their children in making the best health choice to abstain from sex, drugs, tobacco and alcohol through effective communication. ACF is partnering with the Office of Population Affairs in the Office of Public Health and Science in developing and implementing this education campaign.

Funding for the Community-Based Abstinence Education program during the last five years has been as follows:

2004	\$74,549,000
2005	\$103,698,000
2006	\$113,325,000
2007	\$113,400,000
2008	\$113,400,000

Abstinence Education received a PART rating of Adequate in CY 2006. The review recognized the program as the only federal program with the sole purpose of promoting abstinence until marriage. As a result of the PART review, the program is developing program specific performance measures to assess outcomes for program participants and is establishing baselines and rigorous targets for those measures.

The program has utilized national-level indicators to gauge program performance, while at the same time developing program-level performance measures. The national rate of unmarried teen births – one of the program’s national-level indicators – has steadily declined, from 37.0 births per 1,000 unmarried teen girls ages 15-19 in 2001, to 34.5 in 2005¹. Analyses of these trends confirm that the declines are at least partially attributable to a delay in age of first sexual intercourse. Meanwhile, the program has worked to develop a data collection tool to examine teen abstinence before and after program participation. This tool has been drafted in coordination with nationally-recognized experts in the research and abstinence education fields.

Budget Request — The FY 2009 budget request for the Community-Based Abstinence Education is \$141,074,000, an increase of \$27,674,000 from the FY 2008 enacted level. This amount will provide funding to assist public and private entities in providing abstinence-until-marriage education to the adolescent population; promote abstinence-until-marriage awareness through a national abstinence education campaign; and provide for a comprehensive evaluation of program activities. These funds will support approximately 73 new starts, 125 continuation grants, \$10 million for the national abstinence education campaign, and \$4.4 million for evaluations of abstinence-until-marriage programs.

Since abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems, the program will continue the important work of supporting abstinence-until-marriage education programs through various service delivery methods. Some of the proven service delivery methods that successful programs use include club-based and classroom-based methods delivered in a variety of settings including public schools, community centers, hospitals and university settings.

With the proposed funding, the Abstinence Education program expects to see results in both its national-level indicators and in its program-specific performance measures. With regard to its national-level indicators, the program expects a 0.5 percentage-point decrease, from FY 2008 to FY 2009, in the proportion of high school students who have ever had sexual intercourse. Indeed, data trends show significant declines in the proportion of students who have ever had sexual intercourse during the past 15 years. With regard to its program-specific performance measures, the program expects to track and see progress in the number of youth who abstain from sexual intercourse, as well as the number of youth who discontinue having sexual intercourse. In addition to the performance measures, the program also will use the proposed research funding to continue to identify promising practices and to evaluate abstinence education programs.

¹ 2005 is the most recent year in which final data from the National Center for Health Statistics is available to calculate the annual unmarried teen birthrate.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 5.1: Decrease the proportion of students in grades 9-12 that have ever had sexual intercourse to 44.5 percent by 2009.										
5 A	Decrease the proportion of students grades 9-12 that have ever had sexual intercourse ² (outcome)	n/a	46.8%	n/a	n/a	45.0%	Jun-09	n/a	0.5 perc point under prev year	n/a
Long-Term Objective 5.2: Decrease the rate of births to unmarried teenage girls (i.e. births per 1,000 women) ages 15-19 to 33.0 by 2008.										
5 B	Decrease the rate of births to unmarried teenage girls (i.e. births per 1,000 women) ages 15-19. ¹ (outcome)	34.7	34.5	33.8	Feb-09	33.4	Feb-10	33.0	0.4 under prev year	n/a
5 C	Decrease the cost of program delivery per youth. (OMB approved efficiency)	n/a	\$54	n/a	Mar-08	n/a	Mar-09	2% under prev year	1% under prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target / Est.	Actual	Target / Est.	Actual			
	Number of Community-Based Abstinence Education grants	119	143	n/a	158	n/a	171	n/a	n/a	n/a
	Number of Abstinence Education Program grants	52	52	n/a	51	n/a	43	n/a	n/a	n/a
	Number of Community-Based Abstinence Education interagency agreements, contracts, and cooperative agreements	3	7	n/a	7	n/a	6	n/a	n/a	n/a
	Number of youth served through Community-Based Abstinence Educations grants ¹	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of participants served through Abstinence Education Program grants	n/a	1,587,515	n/a	1,600,000	n/a	1,600,000	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$74.5	\$103.7	\$113.3		\$113.4		\$113.4	\$141.1	

² This measure is based on data collected each calendar (not fiscal) year.

Resource and Program Data
Community-Based Abstinence Education

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$91,996,000	\$91,996,000	\$117,054,000
Research/Evaluation	4,500,000	4,500,000	4,410,000
Demonstration/Development ¹	10,000,000	10,000,000	10,000,000
Training/Technical Assistance ²	5,693,000	5,670,000	7,054,000
Program Support ³	1,211,000	1,234,000	2,556,000
Total, Resources	\$113,400,000	\$113,400,000	\$141,074,000
<u>Program Data:</u>			
Number of Grants	171	188	198
New Starts:			
#	62	84	73
\$	\$32,521,000	\$38,112,000	\$36,637,000
Continuations:			
#	109	104	125
\$	\$59,475,000	\$53,884,000	\$80,417,000
Contracts:			
#	3	4	7
\$	\$5,988,000	\$4,789,000	7,384,000
Interagency Agreements:			
#	3	6	6
\$	\$14,522,000	\$15,614,000	\$15,614,000

¹ Includes funding to continue supporting a national abstinence education campaign.

² Includes funding for technical assistance, capacity-building and training support.

³ Includes funding for information technology support, grants/panel review costs, online data collection instruments, contract fees, travel, printing and staff and associated overhead costs.

ABSTINENCE EDUCATION PROGRAM (Pre-appropriated mandatory funds)

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$50,000,000	\$50,000,000	\$50,000,000	\$0

Authorizing Legislation – Section 510 of the Social Security Act.

2009 Authorization.....\$50,000,000 (The program has been reauthorized in P.L. 110-173 through June 30, 2008. Legislation will be proposed to reauthorize this program in FY 2008 and FY 2009.)

Allocation Method Formula Grant

Program Description and Accomplishments – Section 510 of Title V of the Social Security Act provides \$50,000,000 “...to enable the states to provide abstinence education, and at the option of the states, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock.” This formula grant program to states is allocated using a pro-rata method based on the ratio of the number of low-income children in each state to the total of all low-income children in all states. States are required to match every four dollars they receive of federal abstinence education funds with three non-federal dollars. The non-federal match must be used solely for the activities enumerated under Section 510 and may be state dollars, local government dollars, and/or private dollars such as foundation or in-kind support.

Funding for the Abstinence Education program during the last five years has been as follows:

2004	\$50,000,000
2005	\$50,000,000
2006	\$50,000,000
2007	\$50,000,000
2008	\$50,000,000

Abstinence Education received a PART rating of Adequate in CY 2006. Further detail of the PART Assessment, as well as other performance information, may be found under the Community-Based Abstinence Education section.

Budget Request – Under current law, the mandatory Abstinence Education program will expire on June 30, 2008, and will receive \$37.5 million in FY 2008 funds. The FY 2009 budget request proposes to reauthorize the program before it expires to provide \$50 million annually in both FY 2008 and FY 2009 to support continued operation of these state programs.

Resource and Program Data
Abstinence Education Program

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$38,673,246	\$50,000,000	\$50,000,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$38,673,246	\$50,000,000	\$50,000,000
<u>Program Data:</u>			
Number of Grants	43	59	59
New Starts:			
#	43	59	59
\$	\$38,673,246	\$50,000,000	\$50,000,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 MANDATORY STATE/FORMULA GRANTS

PROGRAM: Abstinence Education (CFDA #93.235)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$955,157	\$955,157	\$955,157	0
Alaska	88,501	88,501	88,501	0
Arizona	1,034,776	1,034,776	1,034,776	0
Arkansas	587,519	587,519	587,519	0
California	0	7,055,239	7,055,239	0
Colorado	488,314	488,314	488,314	0
Connecticut	0	344,944	344,944	0
Delaware	93,978	93,978	93,978	0
District of Columbia	142,008	142,008	142,008	0
Florida	2,521,581	2,521,581	2,521,581	0
Georgia	1,467,206	1,467,206	1,467,206	0
Hawaii	162,787	162,787	162,787	0
Idaho	208,264	208,264	208,264	0
Illinois	1,834,583	1,834,583	1,834,583	0
Indiana	754,073	754,073	754,073	0
Iowa	318,198	318,198	318,198	0
Kansas	337,110	337,110	337,110	0
Kentucky	817,297	817,297	817,297	0
Louisiana	1,283,563	1,283,563	1,283,563	0
Maine	0	161,298	161,298	0
Maryland	569,675	569,675	569,675	0
Massachusetts	712,241	712,241	712,241	0
Michigan	1,417,131	1,417,131	1,417,131	0
Minnesota	0	488,623	488,623	0
Mississippi	828,953	828,953	828,953	0
Missouri	885,593	885,593	885,593	0
Montana	0	172,303	172,303	0
Nebraska	218,740	218,740	218,740	0
Nevada	280,174	280,174	280,174	0
New Hampshire	94,901	94,901	94,901	0
New Jersey	0	914,495	914,495	0
New Mexico	502,785	502,785	502,785	0
New York	3,676,827	3,676,827	3,676,827	0
North Carolina	1,248,963	1,248,963	1,248,963	0
North Dakota	88,991	88,991	88,991	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	1,640,982	1,640,982	1,640,982	0
Oklahoma	690,342	690,342	690,342	0
Oregon	487,695	487,695	487,695	0
Pennsylvania	0	1,693,422	1,693,422	0
Rhode Island	0	165,277	165,277	0
South Carolina	751,961	751,961	751,961	0
South Dakota	136,379	136,379	136,379	0
Tennessee	993,367	993,367	993,367	0
Texas	4,777,916	4,777,916	4,777,916	0
Utah	288,156	288,156	288,156	0
Vermont	0	66,633	66,633	0
Virginia	841,329	841,329	841,329	0
Washington	814,663	814,663	814,663	0
West Virginia	385,852	385,852	385,852	0
Wisconsin	602,958	602,958	602,958	0
Wyoming	0	73,138	73,138	0
Subtotal	36,031,489	47,166,861	47,166,861	0
American Samoa	0	67,638	67,638	0
Guam	0	62,835	62,835	0
Northern Marianas Islands	0	26,408	26,408	0
Puerto Rico	2,537,208	2,537,208	2,537,208	0
Marshall Islands	0	13,501	13,501	0
Micronesia	47,492	47,492	47,492	0
Palau	0	21,000	21,000	0
Virgin Islands	57,057	57,057	57,057	0
Subtotal	2,641,757	2,833,139	2,833,139	0
Total States/Territories	38,673,246	50,000,000	50,000,000	0
Undistributed	11,326,754			
Subtotal Adjustments	11,326,754	0	0	0
TOTAL RESOURCES	\$50,000,000	\$50,000,000	\$50,000,000	\$0

MENTORING CHILDREN OF PRISONERS

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$49,493,000	\$48,628,000	\$50,000,000	+\$1,372,000

Authorizing Legislation — Section 439(i) of the Social Security Act.

2009 AuthorizationSuch sums as may be appropriated.

Allocation Method Competitive Grant

Program Description and Accomplishments — The Mentoring Children of Prisoners (MCP) program was reauthorized in 2006. The MCP program provides competitive grants to faith-based and community organizations as well as to state and local governments and Indian tribes and consortia to create and sustain one-to-one mentoring relationships. Matches are with children of prisoners, ages 4 through 18, and compassionate adult mentors in their communities. The authorizing language allows applicants to apply for grants up to \$5 million, which will represent up to 75 percent of the program cost in the first two fiscal years of funding. In the final year of funding, grantees are required to become gradually more self-sufficient with at least 50 percent of funding provided through public-private partnerships.

In addition to the grant program, the reauthorization included a new voucher program allowing families to enroll children in accredited mentoring programs of their choice. Once these children are identified by their caregivers, they receive vouchers with a services value of \$1,000. The voucher demonstration project is administered by a national mentoring support agency that recruits and accredits mentoring programs nationwide.

Funding for the program during the last five years has been as follows:

2004	\$49,701,000
2005	\$49,598,000
2006	\$49,459,000
2007	\$49,493,000
2008	\$48,628,000

MCP received a PART rating of Results Not Demonstrated in CY 2005. Systematic data collection in this new program had only been initiated in the previous year. As a result of the PART review, the program has taken numerous actions to assist grantees in becoming more efficient, resulting in a steady increase in the number of matches between children and volunteer adults since 2005. The PART review noted that the MCP program has many strengths, including strong management and program design. Since its PART review, the program has increased the proportion of mentoring matches that last at least 12 months, from 20 percent in FY 2005 to 34 percent in FY 2007. The program also has heavily invested in research to determine the outcomes of mentoring relationships. An annual survey demonstrates that a large proportion (90 percent) of mentees rate their relationships with their mentors as “high quality.” Current research is following youth after their mentoring experiences to examine longer-term outcomes (e.g. academic commitment, risk reduction) of mentoring relationships.

Budget Request — The FY 2009 request for Mentoring Children of Prisoners is \$50,000,000, an increase of \$1,372,000 from the FY 2008 enacted level. These funds will support the establishment of mentoring

relationships for children of arrested and/or incarcerated parents through 188 mentoring grants and 13,000 vouchers.

With the requested funding, the program expects to see continued achievement of results. For example, by FY 2009, it is expected that 125,000 children of prisoners will have been involved in mentoring matches. The program is implementing multiple activities to assist in the achievement of performance goals, including technical assistance meetings and implementation of the voucher demonstration project.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 6.1: By FY 2008, 65 percent of children of prisoners receiving mentoring through Mentoring Children of Prisoners (MCP) will be or will have been in relationships lasting at least one year.										
6 A	Increase the percentage of mentoring matches that endure at least 12 months. ¹ (outcome)	n/a ²	20.0%	30.0%	28.4%	60.0%	34%	40% ³	45% ³	n/a
Long-Term Objective 6.2: Achieve the proportion of mentees in active mentoring relationships that have already lasted more than twelve months as a percentage of the entire caseload at 22 percent and maintain this level through FY 2010.										
6 B	Increase the percentage of mentees in active mentoring relationships that have already been sustained more than 12 months. (outcome)	n/a ²	19.0%	18.0%	26.3%	20.0%	33.0%	22.0%	23.0%	22.0% (FY 2010)
6 C	Increase the number of children of prisoners in one-to-one matches with caring adults who have been trained and screened by the MCP program. (outcome)	3,000	14,000	69,000	40,118	75,000	70,425	109,000	125,000	n/a
6 D	Increase the percentage of youth that consider their mentoring relationship to be of "high quality." ⁴ (outcome)	n/a ²	n/a ⁴	n/a	85%	87%	Dec-07	89%	90%	n/a
Long-Term Objective 6.3: By FY 2009, there will be improved risk reduction and academic commitment in the MCP evaluation's experimental group as compared to comparison groups.										
6 E	Relative stabilization or improvement of intermediate outcomes among a sample of children of prisoners as measured after at least 12 months in one-to one mentoring relationships in the MCP program.	n/a ²	Devel. rsrch. design	Develop survey instruments	Completed	Site selection and training of survey administrators	Completed	Administer baseline survey	Conduct follow-up survey	n/a
6 F	By FY 2007, reduce the percentage of matches that terminate at three months or less to 20 percent of all matches terminating in the year. (OMB approved efficiency)	n/a ²	37.0%	25.0%	21.6%	20.0%	26.0%	18.0%	17.0%	n/a

¹ Percentages are as measured in the final quarter of the year among grantees who have received MCP funding for at least twelve months. The numerator does not include stable and continuing matches in the caseload that reached the 11 month point or less during the final quarter.

² Data collection during FY 2004 was still in development (OMB approval, system implementation, etc). This is applicable to all measures except number of matches.

³ Preliminary data was insufficient for setting appropriate targets for this measure. Targets have been reassessed based on several years of performance data collected by the program.

⁴ Relationship Quality Survey was under development during FY 2005.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of grants	221	221	n/a	221	n/a	219	n/a	n/a	n/a
	Average number of days a child is on a waiting list to be matched with a mentor	n/a ²	53	n/a	59	n/a	62	n/a	n/a	n/a
	Matches that last 3 months or less	n/a ²	265	n/a	263	n/a	227	n/a	n/a	n/a
	Matches that last 12 months or more during the fiscal year	n/a ²	1880	n/a	4729	n/a	6101	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$49.7	\$49.6	\$49.5		\$49.5		\$48.6	\$50	

Resource and Program Data
Mentoring Children of Prisoners

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$40,983,000	\$34,630,000	30,246,000
Research/Evaluation	492,000	505,000	505,000
Demonstration/Development	4,850,000	10,000,000	15,000,000
Training/Technical Assistance	1,150,000	1,195,000	1,649,000
Program Support ¹	1,901,000	2,298,000	2,600,000
Total, Resources	\$49,376,000	\$48,628,000	\$50,000,000
<u>Program Data:</u>			
Number of Grants ²	219	219	188
New Starts:			
#	144	0	33
\$	\$27,027,000	\$0	\$6,140,000
Continuations:			
#	75	219	155
\$	\$18,806,000	\$44,630,000	\$39,106,000
Contracts:			
#	5	5	5
\$	\$3,080,000	\$3,324,000	\$4,066,000
Interagency Agreements:			
#	1	1	1
\$	\$137,000	\$147,000	\$150,000

¹ Includes funding for information technology support, grants/panel review costs and staff and associated overhead costs.

² In FY 2007, 2008 and 2009 one grantee will receive \$4.8 million, \$10 million, and \$15 million respectively to issue vouchers to be used for providing mentoring services to children of prisoners as authorized under the statute.

CAPTA STATE GRANTS

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$27,007,000	\$26,535,000	\$26,535,000	\$0

Authorizing Legislation — Section 112(a)(1) of the Child Abuse Prevention and Treatment Act.

2009 AuthorizationSuch sums as may be appropriated as proposed in straight-line reauthorization.

Allocation MethodFormula Grant

Program Description and Accomplishments — The Child Abuse Prevention and Treatment Act (CAPTA) State Grant program provides grants to states to improve child protective service systems. Grants are based on an initial allocation of \$50,000 per state with additional funds distributed in proportion to the state’s population of children under the age of 18. This program assists states in improving: intake, assessment, screening and investigation of child abuse and neglect reports; risk and safety assessment protocols; training for child protective services workers and mandated reporters; programs and procedures for the identification, prevention and treatment of child abuse and neglect; and services to disabled infants with life-threatening conditions and their families. In addition, under this program, states perform a range of prevention activities including addressing the needs of infants born with prenatal drug exposure, referring children not at risk of imminent harm to community services, implementing criminal record checks for prospective foster and adoptive parents and other adults in their homes, training child protective services workers, protecting the legal rights of families and alleged perpetrators, and supporting Citizen Review Panels.

Funding for the program during the last five years has been as follows:

2004	\$21,883,000
2005	\$27,280,000
2006	\$27,007,000
2007	\$27,007,000
2008	\$26,535,000

The CAPTA State Grant program received a PART rating of Results Not Demonstrated in CY 2004. However, the review cited the program’s ability to enhance states’ investigative ability and effective management of child protective services oversight as strong attributes of the program. As a result of the PART review, the program is working to improve child safety by providing technical assistance to states on improved safety decision-making in child protective services and continues to use the Child and Family Service Reviews (CFSRs) to assess state performance and program improvements in responding to reports of child abuse and neglect in a timely manner as well as preventing repeat maltreatment.

In order to evaluate whether the program has been successful in decreasing the rate of repeat maltreatment, the program tracks the percentage of children with substantiated reports of maltreatment within six months of a previously substantiated report of maltreatment. From FY 2003 to FY 2006, the national rate of repeat maltreatment has fallen from 8.5 percent to 7.8 percent. While that rate represents an improvement from the CY 2002 repeat maltreatment rate of nine percent, it falls short of the target to reduce the percentage of victims who experience repeat maltreatment to seven percent. A number of different factors influence the rate of recurrence of child maltreatment, ranging from individual-level

events (e.g. a parent in recovery from substance abuse relapses and leaves children unattended, resulting in another finding of neglect) to more systemic issues relating to agency practice in failing to completely identify safety issues or family service needs. The Children's Bureau's work with states focuses on addressing these systemic and practice issues, for instance, by improving safety and risk assessment protocols, by encouraging the use of comprehensive assessment to ensure that the needs of families that place children at risk for abuse and neglect are identified and addressed, and by expanding the service array available in communities, so that families can access needed services in a timely manner. Progress is also being made with states that are undergoing CFSRs, with many states meeting the more stringent standard of performance (6.1 percent or less recurrence of maltreatment) that was used as a statewide data indicator in the first round of the CFSRs. In FY 2000, 29 percent of states met this standard, 33 percent in FY 2001, 38 percent in FY 2002 and FY 2003, and 42 percent in FY 2004. All states not meeting this standard at the time of their first CFSR put into place a CFSR Program Improvement Plan (PIP) identifying specific activities aimed at reducing maltreatment recurrence. Thus far, 48 out of 52 states/jurisdictions have either met the standard, or have met their official PIP goals. As the remaining states complete their PIPs to reduce repeat maltreatment, improvement is expected toward the seven percent national target by FY 2009.

Budget Request — The FY 2009 request for the CAPTA State Grant program is \$26,535,000, the same as the FY 2008 enacted level. These funds will help enable states to improve their child protection systems, including prevention services for families. Child abuse and neglect continues to be a significant problem in the United States. It is important that we continue to support the efforts of the states in establishing and maintaining effective systems of child protection, a critical element in eliminating the tragedy of child abuse and neglect.

In addition to the continued emphasis for states to reduce the rate of repeat maltreatment through the CFSR assessments, the program is working to improve states' average response time between maltreatment report and investigation. This performance measure is calculated based on the median of all states' average response times in hours from screen-in reports to the initiation of the investigation. This indicator reflects the timeliness of state and local child protective services agencies' initiation of an investigation in response to reports of child abuse or neglect. This measure is targeted to decrease by five percent each year through FY 2009. ACF will continue to work with states to improve the accuracy and completeness of the data, as well as to improve actual performance in ensuring that states respond to reports of abuse and neglect in a timely manner.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004	FY 2005	FY 2006		FY 2007		FY 2008	FY 2009	Out-Year Target
		Actual	Actual	Target	Actual	Target	Actual	Target	Target	
Long-Term Objective 7.1: Nine states or jurisdictions will be in substantial conformity with Safety Outcome Measure 1: "Children are first and foremost protected from abuse and neglect" by the end of FY 2010. To be in substantial conformity with this measure, states must achieve desired outcomes in 95 percent of reviewed cases as well as meet national standards for rates of maltreatment recurrence and the absence of abuse and/or neglect in foster care. ¹ (CAPTA, Child Welfare Services, Foster Care)										
7 B	Decrease the percentage of children with substantiated reports of maltreatment that have a repeated substantiated report of maltreatment within six months. (outcome, CAPTA)	8.1% ²	8.7% ²	7.0%	7.8%	7.0%	Oct-08	7.0%	7.0%	n/a

¹ The language of this measure was revised to be consistent with the structure of the larger grouping of CFSR measures.

² This figure has been revised due to submission of updated state data.

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
7 C	Improve states' average response time between maltreatment report and investigation, based on the median of states' reported average response time in hours from screened-in reports to the initiation of the investigation. (outcome and OMB approved efficiency, CAPTA)	48.00 hrs	67.00 hrs	63.65 hrs	65.50 hrs	62.23 hrs	Oct-08	5% under prev year	5% under prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of children with substantiated reports of maltreatment that have a repeated substantiated report of maltreatment within six months	23,683	25,092	n/a	25,310	n/a	Oct-08	n/a	n/a	n/a
	Number of children with substantiated reports of maltreatment that do not have a repeated substantiated report of maltreatment within six months	289,641	313,891	n/a	328,542	n/a	Oct-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$21.8	\$27.2	\$27.0		\$27.0		\$26.5	\$26.5	

Resource and Program Data
CAPTA State Grants

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$27,007,000	\$26,535,000	\$26,535,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$27,007,000	\$26,535,000	\$26,535,000
<u>Program Data:</u>			
Number of Grants	56	56	56
New Starts:			
#	56	56	56
\$	\$27,007,000	\$26,535,000	\$26,535,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: CAPTA State Grants (CFDA #93.669)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$403,461	\$396,559	\$396,559	\$0
Alaska	111,083	109,890	109,890	0
Arizona	562,613	552,605	552,605	0
Arkansas	269,137	264,859	264,859	0
California	3,196,791	3,135,352	3,135,352	0
Colorado	432,902	425,426	425,426	0
Connecticut	320,834	315,546	315,546	0
Delaware	113,533	112,293	112,293	0
District of Columbia	86,599	85,884	85,884	0
Florida	1,369,413	1,343,652	1,343,652	0
Georgia	816,347	801,384	801,384	0
Hawaii	147,257	145,358	145,358	0
Idaho	171,365	168,995	168,995	0
Illinois	1,101,228	1,080,704	1,080,704	0
Indiana	569,882	559,732	559,732	0
Iowa	267,574	263,326	263,326	0
Kansas	268,055	264,434	264,434	0
Kentucky	367,914	361,707	361,707	0
Louisiana	422,240	414,972	414,972	0
Maine	139,954	138,197	138,197	0
Maryland	505,049	496,165	496,165	0
Massachusetts	522,913	513,680	513,680	0
Michigan	868,746	852,761	852,761	0
Minnesota	448,813	441,026	441,026	0
Mississippi	292,790	288,049	288,049	0
Missouri	497,029	488,301	488,301	0
Montana	116,490	115,191	115,191	0
Nebraska	189,999	187,265	187,265	0
Nevada	251,479	247,545	247,545	0
New Hampshire	148,327	146,407	146,407	0
New Jersey	751,179	737,488	737,488	0
New Mexico	208,763	205,663	205,663	0
New York	1,524,454	1,495,666	1,495,666	0
North Carolina	744,445	730,886	730,886	0
North Dakota	94,280	93,415	93,415	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	944,916	927,443	927,443	0
Oklahoma	326,779	321,375	321,375	0
Oregon	325,679	320,296	320,296	0
Pennsylvania	963,607	945,769	945,769	0
Rhode Island	129,580	128,027	128,027	0
South Carolina	383,172	376,667	376,667	0
South Dakota	111,065	109,873	109,873	0
Tennessee	501,015	492,209	492,209	0
Texas	2,101,926	2,061,863	2,061,863	0
Utah	290,848	286,145	286,145	0
Vermont	93,015	92,175	92,175	0
Virginia	641,797	630,243	630,243	0
Washington	531,453	522,053	522,053	0
West Virginia	174,063	171,640	171,640	0
Wisconsin	470,355	462,148	462,148	0
Wyoming	87,080	86,356	86,356	0
Subtotal	26,379,288	25,914,665	25,914,665	0
American Samoa	58,283	58,122	58,122	0
Guam	67,792	67,444	67,444	0
Northern Mariana Islands	55,752	55,639	55,639	0
Puerto Rico	384,763	378,226	378,226	0
Virgin Islands	61,122	60,904	60,904	0
Subtotal	627,712	620,335	620,335	0
Total States/Territories	27,007,000	26,535,000	26,535,000	0
TOTAL RESOURCES	\$27,007,000	\$26,535,000	\$26,535,000	\$0

CHILD ABUSE DISCRETIONARY ACTIVITIES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$25,780,000	\$37,135,000	\$37,135,000	\$0

Authorizing Legislation — Section 112(a)(2) of the Child Abuse Prevention and Treatment Act.

2009 Authorization Such sums as may be appropriated as proposed in straightline reauthorization.

Allocation Method Competitive Grant

Program Description and Accomplishments — The Child Abuse Discretionary Activities account funds a number of research and demonstration grants and contracts. The program funds research on the causes, prevention, identification and treatment of child abuse and neglect; investigative, administrative and judicial procedures; and the national incidence study of child abuse and neglect. The program also funds projects to: Compile, publish and disseminate training materials; provide technical assistance; and demonstrate and evaluate improved methods and procedures to prevent and treat child abuse and neglect. In addition, the program funds a national resource center on issues relating to child maltreatment and a national clearinghouse – the Child Welfare Information Gateway, which gathers and disseminates information on promising programs of prevention and treatment and on the incidence of child abuse and neglect. Finally, the program funds a Home Visitation initiative that makes competitive grants to states to encourage investment of existing funding streams into proven-effective home visitation programs. These grants provide funding to expand, upgrade, or build home visitation programs based on models that have been shown, in well-designed randomized controlled trials, to produce sizable, sustained effects on important child outcomes such as abuse and neglect. In addition, these funds support a national cross-site evaluation examining the factors associated with enabling the successful replication or expansion of home visitation models.

Research and demonstration grants are awarded competitively to public and private agencies, including state and local government agencies, universities, voluntary and faith-based organizations. Contracts may be awarded to public, nonprofit or proprietary organizations. Projects supported by grants and contracts awarded under this program may run up to five years, depending upon the availability of funds.

Funding for the program during the last five years has been as follows:

2004	\$34,386,000
2005	\$31,640,000
2006	\$25,446,000
2007	\$25,780,000
2008	\$37,135,000

Performance measurement for the Child Abuse Discretionary Activities program is part of a broader Child Welfare performance program area.

Budget Request — The FY 2009 request for Child Abuse Discretionary activities is \$37,135,000, the same as the FY 2008 enacted level. These funds will support over 50 grants designed to assist and enhance national, state and local efforts to prevent, identify and treat child abuse, including \$10 million to support evidence-based home visitation programs. In addition, the request includes \$500,000 to conduct

a feasibility study on the creation, development and maintenance of a national child abuse and neglect offender registry.

Outputs and Outcomes Table

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of child abuse user manuals disseminated by the Child Welfare Information Gateway	n/a	37,327	n/a	23,900	n/a	42,296	n/a	n/a	n/a
	Number of Child Maltreatment series reports (NCANDS data) disseminated by the Child Welfare Information Gateway	n/a	5,302	n/a	6,937	n/a	6,009	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$34.3	\$31.6	\$25.4		\$25.7		\$37.1	\$37.1	

Resource and Program Data
Child Abuse Discretionary Activities

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary ¹		\$1,805,000	
Research/Evaluation	\$8,272,000	7,212,000	\$8,500,000
Demonstration/Development	10,392,000	22,322,000	22,634,000
Training/Technical Assistance	6,635,000	5,341,000	5,596,000
Program Support ²	481,000	455,000	405,000
Total, Resources	\$25,780,000	\$37,135,000	\$37,135,000
<u>Program Data:</u>			
Number of Grants	48	66	53
New Starts:			
#	8	35	7
\$	\$3,200,000	\$19,207,000	\$2,800,000
Continuations:			
#	40	31	46
\$	\$14,337,000	\$10,200,000	\$27,185,000
Contracts:			
#	11	10	8
\$	\$7,118,000	\$6,593,000	\$6,000,000
Interagency Agreements:			
#	4	4	4
\$	\$1,125,000	\$1,135,000	\$1,150,000

¹Includes funding for eight Congressional earmarks.

²Includes funding for information technology support, grant paneling/review, contract fees and printing.

COMMUNITY-BASED CHILD ABUSE PREVENTION

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$42,430,000	\$41,689,000	\$41,689,000	\$0

Authorizing Legislation — Section 210 of the Child Abuse Prevention and Treatment Act.

2009 AuthorizationSuch sums as may be appropriated as proposed in straight-line reauthorization.

Allocation MethodFormula Grant

Program Description and Accomplishments — The Community-Based Child Abuse Prevention (CBCAP) grants are provided to a lead state agency to disburse funds for community child abuse and neglect prevention activities. Funds are used to develop, operate, expand and enhance community-based efforts to strengthen and support families to prevent child abuse and neglect; foster the development of a continuum of preventive services through state and community-based public and private partnerships; and finance public information activities focusing on the healthy and positive development of families and child abuse and neglect prevention activities. Voluntary home visiting programs are a core local service as are programs that focus on prevention services to families that include children or parents with disabilities.

Seventy percent of a state's grant amount is calculated on the basis of the number of children under 18 in the state, with a minimum award of \$175,000 per state. The remaining part of the grant award is allotted among the states based on the amount leveraged by the state from private, state, or other non-federal sources and directed through the state lead agency in the preceding fiscal year for community-based child abuse prevention services.

Funding for the program during the last five years has been as follows:

2004	\$33,205,000
2005	\$42,858,000
2006	\$42,430,000
2007	\$42,430,000
2008	\$41,689,000

The CBCAP program received a PART rating of Results Not Demonstrated in CY 2004. However, the review cited the effective management oversight as a strong attribute of the program. As a result of the PART review, the program is continuing to work with grantees to encourage the development and use of evidence-based and evidence-informed practices in child abuse prevention and providing technical assistance to new discretionary grantees that are replicating or evaluating evidence-based programs such as the Nurse Family Partnership (Olds Study).

Since each state blends CBCAP funds with funds from other sources, it is hard to identify how much of the impact of these primary prevention efforts can be attributed specifically to CBCAP. One indicator the program tracks is the rate of first-time child maltreatment victims (maltreatment victims who have not been maltreatment victims in any prior year) per 1,000 children. Trend information shows a decrease from the FY 2001 rate of 7.18 to the FY 2003 baseline rate of 7.08, followed by a small but steady increase through FY 2006. This measure has been affected by an increase in the number of states

reporting over time. As the number of states that submit data increases, the data will improve, becoming more accurate and reliable overall.

Budget Request — The FY 2009 request for the Community-Based Child Abuse Prevention program is \$41,689,000, the same as the FY 2008 enacted level. This funding level will maintain child abuse and neglect prevention activities, including the strong prevention aspects of the responsible fatherhood and healthy marriage initiatives and expanded use of community and faith-based organizations.

Recognizing that the use of evidence-based and evidence-informed practices often facilitates the efficiency and effectiveness of funding, ACF developed an efficiency measure to reflect progress towards this goal. Currently, ACF and its National Resource Center for CBCAP are working closely with the states to promote more rigorous evaluations of their funded programs. Over time, the program expects to increase the number of effective programs and practices that are implemented, thereby maximizing the impact and efficiency of CBCAP funds. The target of a three percentage point annual increase in the amount of funds devoted to evidence-based practice was selected as a meaningful increment of improvement that takes into account the fact that this is the first time the CBCAP program has required programs to target their funds in this manner.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
7 A	Decrease the rate of first-time victims per 1,000 children, based on National Child Abuse and Neglect Data System (NCANDS) reporting of the child maltreatment victims each year who had not been maltreatment victims in any prior year. <i>(outcome, CBCAP)</i>	7.12 ¹	7.25	6.46	7.39	6.26	Oct-08	0.20 under prev year	0.20 under prev year	n/a
7 D	Increase the percentage of Community-Based Child Abuse Prevention (CBCAP) total funding that supports evidence-based and evidence-informed child abuse prevention programs and practices. <i>(OMB approved efficiency, CBCAP)</i>	n/a	n/a	n/a	27%	30%	Feb-08	3 per pts over prev year	3 per pts over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of first-time victims of child maltreatment	407,286	429,523	n/a	462,670	n/a	Oct-08	n/a	n/a	n/a
	Number of children receiving preventive services through CBCAP and other sources	2 million	2 million	n/a	3.8 million	n/a	Oct-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$33.2	\$42.8	\$42.4		\$42.4		\$41.7	\$41.7	

¹ This figure has been revised due to submission of updated state data.

Resource and Program Data
Community-Based Child Abuse Prevention

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$40,547,000	\$39,842,000	\$39,842,000
Discretionary	424,000	417,000	417,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	1,170,000	1,246,000	1,246,000
Program Support ¹	288,000	184,000	184,000
Total, Resources	\$42,429,000	\$41,689,000	\$41,689,000
<u>Program Data:</u>			
Number of Grants	60	60	60
New Starts:			
#	56	59	57
\$	\$40,542,000	\$40,259,000	\$40,259,000
Continuations:			
#	4	1	3
\$	\$1,599,000	\$1,075,000	\$1,075,000
Contracts:			
#	1	2	2
\$	\$129,000	\$171,000	\$171,000
Interagency Agreements:			
#	1	2	2
\$	\$159,000	\$184,000	\$184,000

¹ Includes funding for information technology support and contract fees.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN & FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Community-Based Child Abuse Prevention (CFDA #93.590)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$402,816	\$394,467	\$394,467	\$0
Alaska	234,751	234,110	234,110	0
Arizona	1,021,608	1,001,427	1,001,427	0
Arkansas	247,041	241,914	241,914	0
California	3,930,920	3,850,233	3,850,233	0
Colorado	774,900	759,608	759,608	0
Connecticut	736,198	721,909	721,909	0
Delaware	206,815	206,689	206,689	0
District of Columbia	216,692	216,384	216,384	0
Florida	1,450,495	1,420,312	1,420,312	0
Georgia	1,160,290	1,136,894	1,136,894	0
Hawaii	826,263	814,704	814,704	0
Idaho	202,978	202,923	202,923	0
Illinois	1,249,294	1,223,518	1,223,518	0
Indiana	1,644,143	1,612,454	1,612,454	0
Iowa	612,222	600,360	600,360	0
Kansas	733,716	719,609	719,609	0
Kentucky	2,631,553	2,582,161	2,582,161	0
Louisiana	438,759	429,698	429,698	0
Maine	216,123	215,825	215,825	0
Maryland	727,726	713,118	713,118	0
Massachusetts	595,256	583,047	583,047	0
Michigan	1,039,064	1,017,769	1,017,769	0
Minnesota	1,509,325	1,480,438	1,480,438	0
Mississippi	261,713	256,255	256,255	0
Missouri	600,023	587,792	587,792	0
Montana	201,699	201,668	201,668	0
Nebraska	237,304	236,616	236,616	0
Nevada	465,024	455,921	455,921	0
New Hampshire	205,629	205,525	205,525	0
New Jersey	755,830	740,067	740,067	0
New Mexico	579,182	572,184	572,184	0
New York	1,616,083	1,582,444	1,582,444	0
North Carolina	835,795	818,573	818,573	0
North Dakota	204,762	204,674	204,674	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	1,181,298	1,157,181	1,157,181	0
Oklahoma	1,077,547	1,056,944	1,056,944	0
Oregon	300,488	294,228	294,228	0
Pennsylvania	1,071,384	1,049,248	1,049,248	0
Rhode Island	254,829	253,817	253,817	0
South Carolina	359,140	351,650	351,650	0
South Dakota	211,638	211,423	211,423	0
Tennessee	898,076	880,335	880,335	0
Texas	2,281,558	2,234,142	2,234,142	0
Utah	382,559	374,875	374,875	0
Vermont	276,846	275,428	275,428	0
Virginia	659,180	645,483	645,483	0
Washington	555,793	544,291	544,291	0
West Virginia	200,000	200,000	200,000	0
Wisconsin	568,315	556,739	556,739	0
Wyoming	207,506	207,367	207,367	0
Subtotal	39,258,149	38,534,441	38,534,441	0
Indian Tribes	424,298	416,890	416,890	0
American Samoa	200,000	200,000	200,000	0
Guam	200,000	200,000	200,000	0
Northern Mariana Islands	200,000	200,000	200,000	0
Puerto Rico	488,462	478,580	478,580	0
Virgin Islands	200,000	200,000	200,000	0
Subtotal	1,712,760	1,695,470	1,695,470	0
Total States/Territories	40,970,909	40,229,911	40,229,911	0
Other	383,185	384,089	384,089	0
Technical Assistance	1,075,000	1,075,000	1,075,000	0
Subtotal Adjustments	1,458,185	1,459,089	1,459,089	0
TOTAL RESOURCES	\$42,429,094	\$41,689,000	\$41,689,000	\$0

CHILD WELFARE SERVICES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$286,754,000	\$281,744,000	\$281,744,000	\$0

Authorizing Legislation – Section 425 of the Social Security Act.

2009 Authorization..... \$325,000,000

Allocation MethodFormula Grant

Program Description and Accomplishments — The Child Welfare Services program helps state public welfare agencies improve their child welfare services with the goal of keeping families together. States provide services that meet the following purposes (recently revised by the Child and Family Services Improvement Act of 2006): 1) protecting and promoting the welfare of all children; 2) preventing the neglect, abuse, or exploitation of children; 3) supporting at-risk families through services which allow children, where appropriate, to remain safely with their families or return to their families in a timely manner; 4) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and 5) providing training, professional development and support to ensure a well-qualified child welfare workforce. Services are available to children and their families without regard to income.

Funds are distributed to states in the form of grants. Each state receives a base amount of \$70,000. Additional funds are distributed in proportion to the state's population of children under age 21 multiplied by the complement of the state's average per capita income. The state match requirement is 25 percent. Eligible Indian tribes must meet plan requirements specified in regulation.

This program (title IV-B subpart 1) is linked to the title IV-E Foster Care and Adoption Assistance Programs. In 1993, Congress amended the Social Security Act and created the Family Preservation and Support Services Program (renamed Promoting Safe and Stable Families in 1997) as subpart 2 of the title IV-B Program and linked it to this program and the title IV-E programs. The same state agency must administer, or supervise, the administration of all the programs. The broad goal of all the programs is to strengthen the families of at risk children. Taken together, these programs provide a continuum of services to help children and their families.

Funding for the program during the last five years has been as follows:

2004	\$289,320,000
2005	\$289,650,000
2006	\$286,754,000
2007	\$286,754,000
2008	\$281,744,000

The Child Welfare Services program received a PART rating of Moderately Effective in CY 2006. The review cited the program as a critical component of the continuum of care provided through the state-administered child welfare system and its effective use of comprehensive state reviews to devise new management strategies as strong attributes. As a result of the PART review, the program is working with grantees and other agency partners to support and improve child welfare program evaluation.

As of the end of FY 2007, 50 states had completed Program Improvement Plans (PIPs). Final decisions had been made for 30 of those states, 28 of which were determined to be “penalty free” because the states had successfully reached their PIP goals on all seven outcomes. State performance to date indicates that excellent progress is being made toward the goal. Since FY 2004, for example, an increasing number of states were found to be penalty free on Safety Outcome 1 (“Children are first and foremost protected from abuse and neglect”) as well as Permanency Outcome 1 (“Children have permanency and stability in their living situation”), up to 30 and 29 states, respectively, in FY 2007.

The annual performance measures associated with the Child and Family Service Review (CFSR) focus on increasing the number of states which are found each year to be penalty free on specific outcomes and systemic factors assessed, with a goal of having at least 90 percent of states (47 out of 52 states and jurisdictions, including the District of Columbia and Puerto Rico) penalty free once all PIPs from the first round CFSRs are closed out. Performance on these measures through the end of FY 2007 has been impressive, with 100 percent of the 30 states that had final evaluations of closed-out PIPs being found penalty free on annual measures, 7G, 7H and 7M; in addition, 97 percent were penalty free on annual measures 7F and 7J. ACF’s failure to meet the target of 38 states on these measures is due to the number states with closed out PIPs being lower than expected. For this reason, future year targets for FY 2008 and 2009 were adjusted to more accurately reflect state progress on PIP goals by taking into account the number of PIP close outs each year.

Budget Request — The FY 2009 request is \$281,774,000, the same as the FY 2008 enacted level. This request will support grants to help improve state child welfare services with a goal of keeping families together when appropriate.

In setting targets for the long-term objectives associated with the annual CFSR measures, ACF has taken into account the number of states that were in substantial conformity during the first round of reviews, progress that has been made through the PIPs, and the increase in the standards that will be in effect during the second round (standards of performance on safety being raised from 90 to 95 percent and new composite measures relating to permanency being used for the first time). Given the high standards of reviews, these targets are ambitious. The Children’s Bureau will continue to provide extensive technical assistance to states through a network of National Child Welfare Resource Centers, national and regional training and meetings, and other methods to assist states in developing and implementing program improvement strategies. Technical assistance will continue to be provided as states prepare for and participate in the second round of the CFSR.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004	FY 2005	FY 2006		FY 2007		FY 2008	FY 2009	Out-Year
		Actual	Actual	Target	Actual	Target	Actual	Target	Target	Target
	Long-Term Objective 7.1: Nine states or jurisdictions will be in substantial conformity with Safety Outcome Measure 1: “Children are first and foremost protected from abuse and neglect” by the end of FY 2010. To be in substantial conformity with this measure, states must achieve desired outcomes in 95 percent of reviewed cases as well as meet national standards for rates of maltreatment recurrence and the absence of abuse and/or neglect in foster care. ¹ (CAPTA, Child Welfare Services, Foster Care)									
	Long-Term Objective 7.2: Five states or jurisdictions will be in substantial conformity with Permanency Outcome Measure 1: “Children have permanency and stability in their living situation” by the end of FY 2010. To be in substantial conformity with this measure, states must achieve desired outcomes in 95 percent of reviewed cases as well as meet national standards for: rates of timeliness and permanency of reunification, timeliness of adoptions, achieving permanency for children in foster care, and the rate of placement stability in foster care. ¹ (Child Welfare Services, Foster Care)									

¹ The language of this measure was revised to be consistent with the structure of the larger grouping of CFSR measures.

#	Key Outcomes	FY 2004	FY 2005	FY 2006		FY 2007		FY 2008	FY 2009	Out-Year
		Actual	Actual	Target	Actual	Target	Actual	Target	Target	Target
Long-Term Objective 7.3: Twenty states or jurisdictions will be in substantial conformity with the systemic factor “Case Review System.” Systemic factors measure a state’s capacity to achieve safety and permanence for children and well-being for children and their families. This measure examines state effectiveness in five separate aspects of the Case Review System. ¹ (<i>Child Welfare Services, Foster Care</i>)										
7 E	Each fiscal year, an increasing number of states with a closed out Program Improvement Plan (PIP) will be penalty free on Safety Outcome Measure 1: “Children are first and foremost protected from abuse and neglect.” In order for a state to be designated penalty free it must address all findings identified in its most recent Child and Family Service Review (CFSR) by completing all agreed to actions and meeting quantifiable outcomes within specified time frames. ¹ (<i>outcome, Child Welfare Services, Foster Care</i>)	4 states	11 states	18 states	20 states	38 states	30 states	90% of states with a closed out PIP penalty free (XX states) ²	90% of states with a closed out PIP penalty free (XX states) ²	n/a
7 F	Each fiscal year, an increasing number of states with a closed out PIP will be penalty free on Permanency Outcome Measure 1: “Children have permanency and stability in their living situation.” In order for a state to be designated penalty free it must address all findings identified in its most recent CFSR by completing all agreed to actions and meeting quantifiable outcomes within specified time frames. ¹ (<i>outcome, Child Welfare Services, Foster Care</i>)	4 states	11 states	18 states	20 states	38 states	29 states	90% of states with a closed out PIP penalty free (XX states) ²	90% of states with a closed out PIP penalty free (XX states) ²	n/a
7 G	Each fiscal year, an increasing number of states with a closed out PIP will be penalty free on the systemic factor “Case Review System.” In order for a state to be designated penalty free it must address all findings identified in its most recent CFSR by completing all agreed to actions and meeting quantifiable outcomes within specified time frames. ¹ (<i>outcome, Child Welfare Services</i>)	4 states	11 states	18 states	20 states	38 states	30 states	90% of states with a closed out PIP penalty free (XX states) ²	90% of states with a closed out PIP penalty free (XX states) ²	n/a
7 N	Reduce the time needed to approve state Child and Family Service Review (CFSR) Program Improvement Plans (PIPs). (<i>OMB approved efficiency, Child Welfare Services, PSSF</i>)	n/a	n/a	n/a	n/a	n/a	n/a	n/a	TBD	TBD
7 P	For those children who had been in care less than 12 months, maintain the percentage that has no more than two placement settings. (<i>outcome, Child Welfare Services</i>)	82.6%	82.8% ³	80.0%	83.4%	80.0%	Oct-08	80.0%	80.0%	n/a

² The FY 2008 and FY 2009 targets associated with the annual CFSR performance measures have been revised to more accurately reflect the Children’s Bureau’s success in penalty free PIP close outs.

³ This figure has been updated as a result of revised data submissions from states.

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
7 Q	Decrease the percent of foster children in care 12 or more months with no case plan goal (including case plan goal "Not Yet Determined"). (OMB approved efficiency, Child Welfare Services, PSSF, Foster Care)	8.8% ³	8.3% ³	6.9%	7.6%	6.4%	Oct-08	5.9%	5.4%	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of children in care less than 12 months who have had no more than two placement settings	282,233	293,451	n/a	293,778	n/a	Oct-08	n/a	n/a	n/a
	Number of children in foster care for 12 months or more with no case plan goal (including case plan goal "Not Yet Determined")	32,404	28,813	n/a	21,782	n/a	Oct-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$289.3	\$289.7	\$286.8		\$286.8		\$281.7	\$281.7	

Resource and Program Data
Child Welfare Services

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$286,754,000	\$281,744,000	\$281,744,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$286,754,000	\$281,744,000	\$281,744,000
<u>Program Data:</u>			
Number of Grants	213	213	213
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	213	213	213
\$	\$286,754,000	\$281,744,000	\$281,744,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Child Welfare Services (CFDA #93.645)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$4,915,432	\$4,829,601	\$4,829,601	\$0
Alaska	309,964	300,765	300,765	0
Arizona	5,801,792	5,701,316	5,701,316	0
Arkansas	3,229,220	3,173,259	3,173,259	0
California	33,565,519	32,972,166	32,972,166	0
Colorado	4,048,743	3,978,281	3,978,281	0
Connecticut	2,024,294	1,989,676	1,989,676	0
Delaware	783,771	771,128	771,128	0
District of Columbia	319,361	314,944	314,944	0
Florida	15,930,592	15,649,644	15,649,644	0
Georgia	9,486,392	9,319,594	9,319,594	0
Hawaii	1,252,909	1,231,955	1,231,955	0
Idaho	1,723,274	1,681,592	1,681,592	0
Illinois	11,343,733	11,144,034	11,144,034	0
Indiana	6,618,311	6,502,316	6,502,316	0
Iowa	2,882,196	2,832,381	2,832,381	0
Kansas	2,799,899	2,748,096	2,748,096	0
Kentucky	4,419,180	4,342,140	4,342,140	0
Louisiana	5,230,820	5,139,406	5,139,406	0
Maine	1,226,747	1,206,277	1,206,277	0
Maryland	4,429,433	4,352,212	4,352,212	0
Massachusetts	4,094,353	4,023,065	4,023,065	0
Michigan	9,746,696	9,575,318	9,575,318	0
Minnesota	4,297,528	4,221,752	4,221,752	0
Mississippi	3,602,139	3,539,522	3,539,522	0
Missouri	5,724,941	5,624,772	5,624,772	0
Montana	707,128	695,600	695,600	0
Nebraska	1,762,798	1,729,895	1,729,895	0
Nevada	2,384,496	2,343,498	2,343,498	0
New Hampshire	1,105,933	1,087,583	1,087,583	0
New Jersey	5,868,997	5,766,276	5,766,276	0
New Mexico	1,690,216	1,661,437	1,661,437	0
New York	14,424,182	14,169,918	14,169,918	0
North Carolina	8,836,412	8,681,081	8,681,081	0
North Dakota	545,542	537,082	537,082	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	11,084,657	10,889,548	10,889,548	0
Oklahoma	1,891,061	1,855,039	1,855,039	0
Oregon	3,419,645	3,351,134	3,351,134	0
Pennsylvania	10,721,204	10,532,534	10,532,534	0
Rhode Island	989,682	973,358	973,358	0
South Carolina	4,620,623	4,540,015	4,540,015	0
South Dakota	600,136	579,126	579,126	0
Tennessee	5,888,066	5,785,007	5,785,007	0
Texas	25,115,256	24,671,616	24,671,616	0
Utah	3,368,524	3,310,096	3,310,096	0
Vermont	604,738	595,266	595,266	0
Virginia	6,541,344	6,426,714	6,426,714	0
Washington	5,313,865	5,188,520	5,188,520	0
West Virginia	1,866,548	1,834,725	1,834,725	0
Wisconsin	5,009,605	4,921,644	4,921,644	0
Wyoming	453,709	446,828	446,828	0
Subtotal	274,621,606	269,738,752	269,738,752	0
Indian Tribes	5,669,495	5,650,631	5,650,631	0
American Samoa	197,520	195,261	195,261	0
Guam	348,982	344,040	344,040	0
Northern Mariana Islands	163,468	161,812	161,812	0
Puerto Rico	5,510,319	5,413,952	5,413,952	0
Virgin Islands	242,610	239,552	239,552	0
Subtotal	12,132,394	12,005,248	12,005,248	0
Total States/Territories	286,754,000	281,744,000	281,744,000	0
TOTAL RESOURCES	\$286,754,000	\$281,744,000	\$281,744,000	\$0

CHILD WELFARE TRAINING

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$7,335,000	\$7,207,000	\$7,207,000	\$0

Authorizing Legislation – Section 426 of the Social Security Act.

2009 Authorization..... Such sums as may be appropriated

Allocation Method Competitive Grant

Program Description and Accomplishments – The Child Welfare Training program provides discretionary grants to public and private non-profit institutions of higher education to develop and improve education and training programs and resources for child welfare service providers. Applications for funding are selected through a competitive review process. These grants upgrade the skills and qualifications of child welfare workers through their participation in programs focused specifically on child welfare service activities. Child Welfare Training grants also provide support to students seeking undergraduate and advanced degrees in social work.

Funding for the program during the last five years has been as follows:

2004	\$7,411,000
2005	\$7,409,000
2006	\$7,335,000
2007	\$7,335,000
2008	\$7,207,000

Performance measurement for the Child Welfare Training program is part of a broader Child Welfare performance program area.

Budget Request – The FY 2009 request is \$7,207,000, which is the same as the FY 2008 enacted level. This funding will continue to support grants for child welfare professionals and students and will support grants to maintain training resources and opportunities in the field of child welfare.

Outputs and Outcomes Table

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out- Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of curricula developed by grantees	n/a	n/a	n/a	76	n/a	67	n/a	n/a	n/a
	Number of field placements completed by child welfare workers	n/a	n/a	n/a	251	n/a	169	n/a	n/a	n/a
	Number of traineeships completed by child welfare workers	n/a	n/a	n/a	163	n/a	129	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$7.4	\$7.4	\$7.3		\$7.3		\$7.2	\$7.2	

Resource and Program Data
Child Welfare Training

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	\$7,082,415	\$6,940,142	\$6,940,142
Program Support ¹	252,585	266,858	266,858
Total, Resources	\$7,335,000	\$7,207,000	\$7,207,000
<u>Program Data:</u>			
Number of Grants	40	12	12
New Starts:			
#	1	7	7
\$	\$1,168,142	\$6,065,142	\$6,065,142
Continuations:			
#	39	5	5
\$	\$5,714,273	\$600,000	\$600,000
Contracts:			
#	2	3	3
\$	\$272,518	\$361,780	\$361,780
Interagency Agreements:			
#	1	1	1
\$	\$180,067	\$180,078	\$180,078

¹ Includes funding for information technology support, contract fees and grant paneling review.

ADOPTION OPPORTUNITIES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$26,848,000	\$26,379,000	\$26,379,000	\$0

Authorizing Legislation – Section 205 of the Child Abuse Prevention and Treatment and Adoption Reform Act.

2009 Authorization.....Such sums as may be appropriated as proposed in straightline reauthorization

Allocation Method Competitive Grant

Program Description and Accomplishments – The Adoption Opportunities program funds grants and contracts to public and private organizations to facilitate the elimination of barriers to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs. Preliminary estimates from FY 2005 indicate that there are approximately 128,000 children in the public foster care system waiting to be adopted. About 68,000 of these children are legally free and immediately available for adoption. Waiting children include those that have a goal of adoption in which the parental rights have not necessarily been terminated whereas children who are free for adoption include those whose parental rights have been terminated. Such children are typically school-aged, in sibling groups, have experienced neglect or abuse, or have a physical, mental, or emotional disability.

Major activities are: (1) developing and implementing a national adoption and foster care data gathering and analysis system; (2) developing and implementing a national adoption information exchange system; (3) developing and implementing an adoption training and technical assistance program; (4) increasing the placements in adoptive families of minority children who are in foster care and have the goal of adoption with a special emphasis on recruitment of minority families; (5) increasing post-adoption legal services for families who have adopted children with special needs; (6) studying the nature, scope, and effects of placement of children in kinship care arrangements, pre-adoptive, or adoptive homes; (7) studying the efficacy of states contracting with public or private non-profit agencies (including community-based and other organizations); (8) promoting programs to increase the number of older children adopted from foster care; (9) maintaining a National Resource Center for Special Needs Adoption; and (10) providing for programs aimed at increasing the number of minority children (who are in foster care and have the goal of adoption) placed in adoptive families, with a special emphasis on recruitment of minority families.

Demonstration grants are awarded through a competitive process to public and private agencies including state and local governments, universities, private non-profit, and for-profit agencies. These demonstration grants test new models of service delivery to address and eliminate barriers to adoption, including inter-jurisdictional adoptions, and help find permanent families for children who would benefit from adoption, particularly children with special needs.

Funding for the program during the last five years has been as follows:

2004	\$27,103,000
2005	\$27,116,000
2006	\$26,848,000

2007	\$26,848,000
2008	\$26,379,000

The Adoption Opportunities program received a PART rating of Adequate in CY 2005. The review cited the program’s testing of innovative approaches to removing barriers to adoption and awarding competitive grants as strong attributes. As a result of the PART review, the program is continuing to identify and address barriers to adoption, for example by launching the Spanish-language component of the national Public Service Announcement campaign and the early 2008 release of a four-year study on barriers to adoption.

Projects funded through the Adoption Opportunities program annually report program-specific data including the number of children served who receive adoptive placements. A performance goal related to efficiency is to reduce the cost per adoptive placement under the Adoption Opportunities program. In FY 2007, the estimated cost per adoptive placement was \$13,698, a slight increase from the FY 2006 baseline of \$12,493. The increase in cost per adoptive placement reflects the fact that fewer children were placed by Adoption Opportunity grantees relative to the total grant amount, thus missing the target. Overall, the Adoption Opportunities grantees placed 1,960 children in permanent homes in FY 2007, 205 fewer than in FY 2006.

Budget Request — The FY 2009 request is \$26,379,000, the same as the FY 2008 enacted level. These funds will support 57 grants to facilitate the adoption process and provide technical assistance to enable states to increase the number of children adopted, especially children with special needs.

The annual number of adoptions continues at a historically high level of approximately 51,000 (as compared to the 26,000 adoptions reported in FY 1995), though since FY 2002, the annual number of adoptions has leveled off. In FY 2006, the adoption rate was 9.91 percent. By FY 2009, this rate is expected to increase to 10.10 percent and then to 10.50 percent by 2013. This target is ambitious, due to the aging of the foster care population, the decline in the number of children in foster care, and the decrease in the proportion of children with a goal of adoption. However, ACF is working with states by increasing adoption incentive bonuses for adoption of children age nine and over.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 7.8: Increase the adoption rate from 9.19 percent in FY 2003 to 10.0 percent in FY 2008 and 10.5 percent in FY 2013. (Foster Care, Adoption Opportunities, Adoption Incentives, Adoption Assistance)										
7 S	Increase the adoption rate. ¹ (outcome, Foster Care, Adoption Opportunities, Adoption Incentives, Adoption Assistance)	10.22% ²	10.26% ²	9.85%	9.91%	9.90%	Oct-08	10.00%	10.10%	10.50% (FY 2013)
Long-Term Objective 7.9: By 2009, 75 percent of Adoption Opportunities grantees will have their findings applied to practice. The baseline is 60 percent in FY 2006. (Adoption Opportunities)										
Long-Term Objective 7.10: By 2009, 75 percent of Adoption Opportunities grantees will have their findings provide the impetus for policies being enacted or amended. The baseline is 67 percent in FY 2006. (Adoption Opportunities)										
7 V	Reduce the cost per adoptive placement for the Adoption Opportunities Program. (OMB approved)	n/a	n/a	n/a	\$12,493	\$11,868	\$13,698 ³	\$13,013	5% under prev FY	n/a

¹ This measure formerly examined number of adoptions.

² This figure has been updated as a result of revised data submissions from states.

³ This figure may be revised in the future as grantees complete or revise data submissions for FY 2007.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of adoptions from foster care	52,000	52,000	n/a	51,000	n/a	Oct-08	n/a	n/a	n/a
	Number of adoptive placements funded by the Adoption Opportunities program	n/a	n/a	n/a	2,167	n/a	1,960	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$27.1	\$27.1	\$26.8		\$26.8		\$26.4	\$26.4	

Resource and Program Data
Adoption Opportunities

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary			
Research/Evaluation	\$908,120	\$938,136	\$938,136
Demonstration/Development	17,682,080	16,980,203	16,980,203
Training/Technical Assistance	7,801,800	7,716,761	7,716,761
Program Support ¹	456,000	743,900	743,900
Total, Resources	\$26,848,000	\$26,379,000	\$26,379,000
<u>Program Data:</u>			
Number of Grants	56	48	48
New Starts:			
#	1	17	17
\$	\$1,800,500	\$8,724,679	\$8,724,679
Continuations:			
#	55	31	31
\$	\$20,482,080	\$12,597,321	\$12,597,321
Contracts:			
#	4	4	4
\$	\$4,188,650	\$4,562,000	\$4,562,000
Interagency Agreements:			
#	2	2	2
\$	\$376,770	\$495,000	\$495,000

¹ Includes funding for information technology support, contract fees, grant paneling review, and printing.

ABANDONED INFANTS ASSISTANCE PROGRAM

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$11,835,000	\$11,628,000	\$11,628,000	\$0

Authorizing Legislation – Section 302 of the Child Abuse Prevention and Treatment and Adoption Reform Act.

2009 Authorization.....Such sums as may be appropriated as proposed in straightline reauthorization

Allocation Method Competitive Grant

Program Description and Accomplishments – The Abandoned Infants Assistance program provides grants to public and private community and faith-based entities for development, implementation and operation of projects that: (1) prevent abandonment of infants and young children exposed to HIV/AIDS and drugs, including the provision of services to family members with any condition that increases the probability of abandonment of an infant or young child; (2) identify and address the needs of abandoned infants, especially those born with AIDS, exposed to drugs, and infants and young children who have a life-threatening illness or other special medical need; (3) assist these children to reside with their natural families, if possible, or in foster care; (4) recruit, train and retain foster families for abandoned infants and young children; (5) carry out residential care programs for abandoned children and children with AIDS who are unable to reside with their families or to be placed in foster care; (6) establish programs of respite care for families and foster families; (7) recruit and train health and social services personnel to work with families, foster families and residential care staff; and (8) prevent the abandonment of infants and young children by providing needed resources through model programs. This program also funds technical assistance, including training, with respect to the planning, development and operation of the projects.

Funding for the program during the last five years has been as follows:

2004	\$12,052,000
2005	\$11,955,000
2006	\$11,835,000
2007	\$11,835,000
2008	\$11,628,000

Performance measurement for the Abandoned Infants Assistance program is part of a broader Child Welfare performance program area.

Budget Request – The FY 2009 request is \$11,628,000, the same as the FY 2008 enacted level. These funds will support service demonstration grants to prevent the abandonment of infants and young children with AIDS, and/or drug-exposed infants and to reunify and strengthen families impacted by substance abuse by providing supportive services to family caregivers and to children and adolescents in a therapeutic recreational or camp setting.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of children served by grantees	n/a	n/a	n/a	2,034	n/a	2,097	n/a	n/a	n/a
	Number of families served by grantees	n/a	n/a	n/a	1,854	n/a	2,034	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$12.0	\$12.0	\$11.8		\$11.8		\$11.6	\$11.6	

Resource and Program Data
Abandoned Infants Assistance

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary			
Research/Evaluation			
Demonstration/Development	\$10,510,000	\$10,169,225	\$10,169,225
Training/Technical Assistance	1,154,000	1,025,000	1,025,000
Program Support ¹	171,000	433,775	433,775
Total, Resources	\$11,835,000	\$11,628,000	\$11,628,000
<u>Program Data:</u>			
Number of Grants	31	23	23
New Starts:			
#	0	11	11
\$	\$0	\$5,225,000	\$5,225,000
Continuations:			
#	31	12	12
\$	\$11,410,000	\$5,840,000	\$5,840,000
Contracts:			
#	2	2	2
\$	\$265,000	\$383,000	\$383,000
Interagency Agreements:			
#	1	1	1
\$	\$160,000	\$180,000	\$180,000

¹ Includes funding for information technology support, contract fees, grant paneling review, and printing.

INDEPENDENT LIVING EDUCATION AND TRAINING VOUCHERS

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$46,157,000	\$45,351,000	\$45,351,000	\$0

Authorizing Legislation — Section 477(h) of the Social Security Act.

2009 Authorization \$60,000,000

Allocation Method Formula Grant

Program Description and Accomplishments — The Independent Living Education and Training Voucher (ETV) program provides vouchers of up to \$5,000 per year for expenses related to post secondary educational assistance such as tuition, books, fees, supplies and vocational training to foster care youth up to 21 years of age. Participants who turn 21 while working toward the completion of a degree or training program may remain eligible for the voucher program until they are 23 years of age. Funding for these vouchers is distributed to the states based on the state’s proportion of children in foster care compared to the national total of all children in foster care. In order not to serve as a disincentive to the adoption of older children, the vouchers also are available to individuals adopted from foster care after reaching age 16.

Funding for the program during the last five years has been as follows:

2004	\$44,734,000
2005	\$46,623,000
2006	\$46,157,000
2007	\$46,157,000
2008	\$45,351,000

Performance management for the Independent Living Education and Training Vouchers program is part of a broader Child Welfare performance program area.

Budget Request — The FY 2009 request for the Independent Living Education and Training Vouchers program is \$45,351,000, the same as the FY 2008 enacted level. These funds will allow for approximately 9,100 vouchers for former foster care youth, increasing the prospect that these youth will be able to secure work and become contributing members of society.

Outputs and Outcomes Table

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out- Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Total dollars allocated to ETV services	\$44M	\$46M	n/a	\$45M	n/a	Jan-08	n/a	n/a	n/a
	Number of youth receiving ETV funding	n/a	n/a	n/a	11,365	n/a	12,692	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$44.7	\$46.6	\$46.2		\$46.2		\$45.4	\$45.4	

Resource and Program Data
Independent Living Education and Training Vouchers

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$45,465,000	\$44,659,000	\$44,659,000
Discretionary			
Research/Evaluation	257,000	423,000	\$415,000
Demonstration/Development			
Training/Technical Assistance	114,000		
Program Support ¹	274,000	269,000	277,000
Total, Resources	\$46,110,000	\$45,351,000	\$45,351,000
<u>Program Data:</u>			
Number of Grants	53	53	53
New Starts:			
#	53	53	53
\$	\$45,579,000	\$44,659,000	\$44,659,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	1	1	1
\$	\$257,000	\$423,000	\$415,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes funding for salaries and benefits and associated overhead costs.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Independent Living Education and Training Vouchers (CFDA #93.674)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$611,903	\$601,217	\$601,217	\$0
Alaska	158,530	155,762	155,762	0
Arizona	891,786	876,214	876,214	0
Arkansas	285,903	280,910	280,910	0
California	7,185,099	7,059,632	7,059,632	0
Colorado	726,972	714,277	714,277	0
Connecticut	622,436	611,567	611,567	0
Delaware	85,151	83,664	83,664	0
District of Columbia	221,730	217,858	217,858	0
Florida	2,594,545	2,549,239	2,549,239	0
Georgia	1,236,109	1,214,524	1,214,524	0
Hawaii	244,832	240,557	240,557	0
Idaho	160,920	158,110	158,110	0
Illinois	1,719,931	1,689,897	1,689,897	0
Indiana	996,411	979,011	979,011	0
Iowa	601,369	590,868	590,868	0
Kansas	516,484	507,465	507,465	0
Kentucky	645,007	633,744	633,744	0
Louisiana	427,792	420,322	420,322	0
Maine	204,381	200,812	200,812	0
Maryland	961,890	945,093	945,093	0
Massachusetts	1,083,775	1,064,850	1,064,850	0
Michigan	1,814,376	1,782,693	1,782,693	0
Minnesota	617,656	606,871	606,871	0
Mississippi	289,355	284,302	284,302	0
Missouri	1,004,112	986,578	986,578	0
Montana	196,680	193,245	193,245	0
Nebraska	551,536	541,905	541,905	0
Nevada	413,364	406,146	406,146	0
New Hampshire	104,270	102,450	102,450	0
New Jersey	1,065,895	1,047,282	1,047,282	0
New Mexico	202,787	199,246	199,246	0
New York	2,692,620	2,645,601	2,645,601	0
North Carolina	946,931	930,396	930,396	0
North Dakota	120,734	118,626	118,626	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	1,543,875	1,516,916	1,516,916	0
Oklahoma	1,016,946	999,188	999,188	0
Oregon	975,521	958,487	958,487	0
Pennsylvania	1,919,974	1,886,447	1,886,447	0
Rhode Island	222,084	218,206	218,206	0
South Carolina	421,065	413,712	413,712	0
South Dakota	151,537	148,891	148,891	0
Tennessee	798,138	784,201	784,201	0
Texas	2,556,573	2,511,929	2,511,929	0
Utah	202,256	198,724	198,724	0
Vermont	127,107	124,888	124,888	0
Virginia	621,551	610,697	610,697	0
Washington	891,167	875,605	875,605	0
West Virginia	383,358	376,663	376,663	0
Wisconsin	717,766	705,233	705,233	0
Wyoming	111,794	109,842	109,842	0
Subtotal	44,863,984	44,080,563	44,080,563	0
Puerto Rico	600,661	590,172	590,172	0
Subtotal	600,661	590,172	590,172	0
Total States/Territories	45,464,645	44,670,735	44,670,735	0
Set-Aside	645,261	680,265	680,265	0
Subtotal Adjustments	645,261	680,265	680,265	0
TOTAL RESOURCES	\$46,109,906	\$45,351,000	\$45,351,000	\$0

ADOPTION INCENTIVES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$5,000,000 ¹	\$4,323,000 ²	\$19,674,000	+\$15,351,000

Authorizing Legislation: Section 473A(h) of the Social Security Act.

2009 Authorization \$19,700,000 as proposed in reauthorization

Allocation Method Formula Grant

Program Description and Accomplishments — The Adoption Incentives program was created as part of the Adoption and Safe Families Act of 1997. The original program authorized the payment of adoption incentive funds to states that were successful in increasing the number of children adopted from the public foster care system. The amount of the payments to states was based on increases in the number of children adopted from the foster care system in a year, relative to a baseline number and the number of children adopted with special needs, relative to a baseline number, once a state exceeded its baseline for the total number of adoptions.

The Adoption Incentives program has been successful in contributing to the substantial increase in adoptions since the mid-1990s. However, some groups of children needing a permanent home remain less likely to be adopted. Analysis of data from the Adoption and Foster Care Analysis and Reporting System (AFCARS) shows that once a child waiting for adoption reaches eight or nine years old, the probability that the child will continue to wait in foster care exceeds the probability that the child will be adopted. Furthermore, older children now constitute 44 percent of the pool of children waiting for adoptive families, but constitute only 27 percent of the children adopted.

Therefore, the program was amended during reauthorization in 2003 to target incentives specifically to older children. Under this revised framework, ACF awards incentives using three baselines: one for the total number of children adopted; one for children with special needs under age nine; and one for children age nine and older.

Funding for the program during the last five years has been as follows:

2004	\$7,456,000 ³
2005	\$9,028,000 ⁴
2006	\$17,808,000
2007	\$5,000,000
2008	\$4,323,000

The Adoption Incentives program received a PART rating of Adequate in CY 2005. The review cited the program’s well administered financial incentives to states to increase the number of adoptions as a strong attribute. As a result of the PART review and performance measure data discussed below, the program is proposing changes in reauthorization that will contribute to continued increases in the adoption rate, as

¹ In addition, \$8.2 million was available in carry-over for use in FY 2007.

² In addition, \$5 million in carry-over is available for use in FY 2008.

³ All of these funds were carried forward into FY 2005 and were available to pay incentives in FY 2005.

⁴ The amount reflects a transfer of 1% to the Refugee and Entrant Assistance program, as well as a rescission of \$22.5 million enacted in the FY 2006 appropriation.

well as identify and address the barriers to increasing the proportion of children adopted from the foster care system.

Also as a result of the PART process, a performance measure was established to evaluate the progress of the Adoption Incentives program in reducing the gap between the percentage of children nine and older waiting to be adopted and those actually adopted. Annual AFCARS data on the number of waiting children, adopted children and their ages are being collected and used for this measure. The revised AFCARS data show an increase in the gap between the percentage of children nine and older waiting to be adopted and those actually adopted between FY 2003 (14.6 percent) and FY 2006 (16.4 percent).

Budget Request — The FY 2009 request is \$19,674,000, an increase of \$15,351,000 above the FY 2008 enacted level. The request level will cover the estimated incentives earned by states under the new incentive structure proposed in the reauthorization. Since FY 2000, the total number of adoptions has been virtually flat, and the number of bonus earning adoptions in the states fell from FY 2005 to FY 2006, as states have had difficulty exceeding their baselines.

ACF is proposing in the reauthorization to revise the baseline year used to calculate incentives, as well as the amount which would be awarded to states for each adoption over the baselines. Modifications to the adoption incentive program structure are proposed in concert with reauthorization to strengthen the focus on adoption and address the erosion of the basic value and fiscal incentive of the current structure.

Specifically, the reauthorization proposes retaining the bonus amount for increasing the total number of adoptions over the baseline at \$4,000 with the following changes to current law:

- Once a state has reached the baseline for the number of adoptions for children age nine and older for that year, increase from a \$4,000 to an \$8,000 bonus for each child age nine and older over the baseline.
- Increase the per adoption incentive amount from \$2,000 to \$3,000 when either the total foster care adoptions or older child adoptions is exceeded and the special needs baseline is exceeded for every child with special needs adopted under age nine.
- Fix the baseline year at FY 2007, rather than have baselines adjust annually to reflect each state's highest adoption levels as done under current law.
- Require funds to be spent on the purposes of finalizing adoptions or other permanency options, rather than any purpose under title IVB/IVE of the Act, as under current law.

The reauthorization proposes to increase the per adoption incentive awards in recognition of the fact that states will have to invest additional resources and devote greater efforts in achieving adoption for the more challenging children who are waiting for adoption, for older children and special needs children. Further, inflation has eroded the value of the original award structure, which was established twelve years ago. The changed baselines will set goals for increasing adoptions that better reflect recent changes in the child welfare population and ensure those goals are within a state's reach so there is a true fiscal incentive to increasing adoptions.

The annual number of adoptions continues at a historically high level of 51,000 (as compared to the 26,000 adoptions reported in FY 1995), though, since FY 2000, the annual number of adoptions has leveled off. In addition to the decrease in the foster care population, other trends continue to make it more challenging to increase adoptive placements, including the fact that the age of children waiting to be adopted continues to increase. Approximately 44 percent of the waiting children are over the age of nine.

Simultaneously, the proportion of children in foster care with a case plan goal of adoption has declined. Thus, a gradual increase in the adoption rate to 10.0 percent by FY 2008 and then to 10.5 percent by FY 2013 is ambitious, due to the aging of the foster care population, the decline in the number of children in foster care, and the decrease in the proportion of children with a goal of adoption. However, ACF is working with states by increasing adoption incentive bonuses for adoption of children age nine and over.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 7.8: Increase the adoption rate from 9.19 percent in FY 2003 to 10.0 percent in FY 2008 and 10.5 percent in FY 2013. <i>(Foster Care, Adoption Opportunities, Adoption Incentives, Adoption Assistance)</i>										
7 S	Increase the adoption rate. ¹ <i>(outcome, Foster Care, Adoption Opportunities, Adoption Incentives, Adoption Assistance)</i>	10.22% ²	10.26% ⁵	9.85%	9.91%	9.90%	Oct-08	10.00%	10.10%	10.50% (FY 2013)
Long-Term Objective 7.12: Decrease the gap between the percentage of children nine and older waiting to be adopted and those actually adopted by 15 percentage points between FY 2006 and FY 2015. ³ <i>(Adoption Incentives)</i>										
7 T	Decrease the gap between the percentage of children nine and older waiting to be adopted and those actually adopted. <i>(Adoption Incentives)</i>	14.3% ⁵	15.7% ⁵	16.7%	16.4%	15.2%	Oct-08	13.7%	12.2%	1.9% (FY 2015)

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of adoptions from foster care	52,000	52,000	n/a	51,000	n/a	Oct-08	n/a	n/a	n/a
	Number of children nine and older waiting to be adopted	129,691	129,250	n/a	128,377	n/a	Oct-08	n/a	n/a	n/a
	Number of children nine and older actually adopted	15,955	14,905	n/a	13,795	n/a	Oct-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$7.5	\$9.0	\$17.8		\$5.0		\$4.3	\$19.7	

¹ This measure formerly examined number of adoptions. This measure is a performance indicator for the FY 2007-2012 HHS Strategic Plan.

² This figure has been updated as a result of revised data submissions from states.

³ Based on data available as of September 2005.

Resource and Program Data
Adoption Incentives

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$7,354,000	\$4,323,000	\$19,674,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$7,354,000 ¹	\$4,323,000 ²	\$19,674,000
<u>Program Data:</u>			
Number of Grants	19	n/a	n/a
New Starts:			
#	19	n/a	n/a
\$	\$7,354,000	n/a	n/a
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ \$8.2 million of funds appropriated in FY 2006 were carried forward to pay incentives in FY 2007. Of this amount \$7.35 million was used for FY 2007 grant awards to states.

² In addition, \$5 million (the amount of the FY 2007 appropriation) will be available to pay incentives in FY 2008.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS¹

PROGRAM: Adoption Incentives (CFDA #93.603)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$52,000	n/a	n/a	n/a
Alaska	0	n/a	n/a	n/a
Arizona	2,100,000	n/a	n/a	n/a
Arkansas	156,000	n/a	n/a	n/a
California	0	n/a	n/a	n/a
Colorado	0	n/a	n/a	n/a
Connecticut	0	n/a	n/a	n/a
Delaware	0	n/a	n/a	n/a
District of Columbia	0	n/a	n/a	n/a
Florida	0	n/a	n/a	n/a
Georgia	92,000	n/a	n/a	n/a
Hawaii	0	n/a	n/a	n/a
Idaho	68,000	n/a	n/a	n/a
Illinois	0	n/a	n/a	n/a
Indiana	920,000	n/a	n/a	n/a
Iowa	0	n/a	n/a	n/a
Kansas	0	n/a	n/a	n/a
Kentucky	0	n/a	n/a	n/a
Louisiana	0	n/a	n/a	n/a
Maine	0	n/a	n/a	n/a
Maryland	0	n/a	n/a	n/a
Massachusetts	258,000	n/a	n/a	n/a
Michigan	0	n/a	n/a	n/a
Minnesota	0	n/a	n/a	n/a
Mississippi	0	n/a	n/a	n/a
Missouri	0	n/a	n/a	n/a
Montana	138,000	n/a	n/a	n/a
Nebraska	336,000	n/a	n/a	n/a
Nevada	170,000	n/a	n/a	n/a
New Hampshire	28,000	n/a	n/a	n/a
New Jersey	0	n/a	n/a	n/a
New Mexico	290,000	n/a	n/a	n/a
New York	0	n/a	n/a	n/a
North Carolina	0	n/a	n/a	n/a
North Dakota	40,000	n/a	n/a	n/a

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	0	n/a	n/a	n/a
Oklahoma	0	n/a	n/a	n/a
Oregon	0	n/a	n/a	n/a
Pennsylvania	0	n/a	n/a	n/a
Rhode Island	0	n/a	n/a	n/a
South Carolina	204,000	n/a	n/a	n/a
South Dakota	12,000	n/a	n/a	n/a
Tennessee	0	n/a	n/a	n/a
Texas	1,398,000	n/a	n/a	n/a
Utah	790,000	n/a	n/a	n/a
Vermont	0	n/a	n/a	n/a
Virginia	124,000	n/a	n/a	n/a
Washington	0	n/a	n/a	n/a
West Virginia	178,000	n/a	n/a	n/a
Wisconsin	0	n/a	n/a	n/a
Wyoming	0	n/a	n/a	n/a
Subtotal	7,354,000	0	0	0
Puerto Rico	0	n/a	n/a	n/a
Subtotal	0	0	0	0
Total States/Territories	7,354,000	4,323,000	19,674,000	+\$15,351,000
TOTAL RESOURCES	\$7,354,000	\$4,323,000	\$19,674,000	+\$15,351,000

¹ Does not reflect release of Adoption Incentives funding in FY 2008 and FY 2009 since no decisions have been made at this time.

CHILDREN’S HEALTH ACT PROGRAMS – INFANT ADOPTION AWARENESS (PART A) AND SPECIAL NEEDS ADOPTION AWARENESS PROGRAM (PART B)

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Infant Adoption Awareness	\$9,728,000	\$9,558,000	\$9,558,000	\$0
Special Needs Adoption Awareness	2,946,000	2,895,000	2,895,000	0
Total, B.A.	\$12,674,000	\$12,453,000	\$12,453,000	\$0

Authorizing Legislation — Section 330(F) and (G) of the Public Health Service Act.

2009 Authorization Such sums as may be appropriated.

Allocation Method Competitive Grant

Program Description and Accomplishments

The Infant Adoption Awareness program awards grants to support adoption organizations in the training of designated staff in eligible public and private, non-profit health centers which provide health services to pregnant women to inform them about adoption and make referrals on request to adoption agencies on an equal basis with all other courses of action. The program also supports development of best practice guidelines on adoption counseling to be used by the grantees and an evaluation of the extent to which the training is effective.

The Special Needs Adoption program provides for grants to be made to non-profit, private entities for the planning, development and carrying out of a national campaign informing the public about the adoption of children with special needs. This campaign can include public service announcements on television, radio or billboards.

Funding for the program during the last five years has been as follows:

Fiscal Year	Infant Adoption Awareness	Special Needs Adoption Awareness	Total
2004	\$9,814,000	\$2,971,000	\$12,785,000
2005	\$9,826,000	\$2,976,000	\$12,802,000
2006	\$9,728,000	\$2,946,000	\$12,674,000
2007	\$9,728,000	\$2,946,000	\$12,674,000
2008	\$9,558,000	\$2,895,000	\$12,453,000

Performance measurement for the Children’s Health Act programs is part of a broader Child Welfare performance program area.

Budget Request — The FY 2009 request for the Children’s Health Act program is \$12,453,000, the same amount as the FY 2008 enacted level. The request provides \$9,558,000 to maintain funding for training of staff for advising pregnant women of adoption as an option and the development of best practice

guidelines in adoption counseling, and \$2,895,000 to maintain grants for publicizing the adoption of special needs children. This request will ensure that staff is sufficiently trained to inform pregnant women about adoption as one of their options, as well as refer women upon request to adoption agencies. In addition, it will provide critical publicity about the need for adoption of children with special needs so that permanent, loving home environments can be found for these vulnerable children and support families who are willing to provide homes for children with special needs.

Outputs and Outcomes Table

Infant Adoption Awareness

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of trainees for the Infant Adoption Awareness Training Program	n/a	11,947	n/a	12,000	n/a	9,285 ¹	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$9.8	\$9.8	\$9.7		\$9.7		\$9.6	\$9.6	

Special Needs Adoption

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of children featured on the AdoptUsKids website who were subsequently placed for adoption	n/a	n/a	n/a	1,921	n/a	1,621	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$3.0	\$3.0	\$2.9		\$2.9		\$2.9	\$2.9	

¹ In FY 2007, the grants for the Infant Adoption Training program, previously a two year grant, were re-competed. The new grants are for a five year grant period. This lower number of trainees is a result of the grant being in its start-up phase.

Resource and Program Data
 Children's Health Act Programs
 Infant Adoption Awareness (Part A) and Special Needs Adoption (Part B)

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary			
Research/Evaluation	\$800,000	\$800,000	\$800,000
Demonstration/Development			
Training/Technical Assistance	11,368,000	11,203,000	11,203,000
Program Support ¹	455,000	450,000	450,000
Total, Resources	\$12,623,000	\$12,453,000	\$12,453,000
<u>Program Data:</u>			
Number of Grants	7	7	7
New Starts:			
#	1	0	0
\$	\$1,869,000	\$0	\$0
Continuations:			
#	6	7	7
\$	\$8,820,000	\$10,478,000	\$10,478,000
Contracts:			
#	2	2	2
\$	\$1,479,000	\$1,500,000	\$1,500,000
Interagency Agreements:			
#	2	2	2
\$	\$455,000	\$475,000	\$475,000

¹ Includes funding for information technology support, contract fees and support for Departmental evaluation activities.

STATE COUNCILS ON DEVELOPMENTAL DISABILITIES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$71,771,000	\$72,482,000	\$72,482,000	\$0

Authorizing Legislation – Section 129(a) of the Developmental Disabilities Assistance and Bill of Rights Act.

2009 Authorization.....Such sums as may be appropriated pending Congressional action

Allocation MethodFormula Grant

Program Description and Accomplishments – The State Councils on Developmental Disabilities program assists each state in promoting the development of a comprehensive, statewide, consumer and family-centered system that provides a coordinated array of culturally-competent services and other assistance for individuals with developmental disabilities.

In order to receive funds, each state must have an established State Council on Developmental Disabilities. The Council develops and implements a state plan with goals and objectives designed to move the state towards an effective system of supports and services for all people with developmental disabilities. There are 55 councils, and not less than sixty percent of the Council membership must include persons with developmental disabilities and family members. Up to forty percent of the Council membership includes representatives of major state agencies, non-governmental agencies and other concerned groups. Councils engage in a range of activities including, but not limited to, program and policy analysis, demonstration of new approaches, training, outreach, community support, interagency collaboration and coordination, and public education.

Funding for State Councils on Developmental Disabilities is allotted among the states on the basis of population, and the extent of need for services for persons with developmental disabilities, weighted by the relative per capita income for each state. The grants are made to designated state agencies, or to the Councils themselves, to implement the approved state plan. The aggregate federal share of the costs of projects under such grants may not exceed seventy-five percent except in the case of projects in poverty areas, where the federal share may not exceed ninety percent. In the case of projects conducted by Council members or staff to implement state plan priority activities, the federal share may be up to one hundred percent of the aggregate necessary cost of such activities.

Funding for the State Councils on Developmental Disabilities Program during the last five years has been as follows:

2004.....	\$73,081,000
2005.....	\$72,496,000
2006	\$71,771,000
2007	\$71,771,000
2008	\$72,482,000

The Developmental Disabilities programs received a PART rating of Adequate in CY 2003. The review cited the program’s clear purpose, strong financial and management practices, and complementary work with other public and private efforts to support individuals with developmental disabilities as strong

attributes of the program. As a result of the PART review, the program is working to develop appropriate evaluation standards and evaluate the impact of performance measure guidelines on progress toward program goals. Programs are currently in the process of pilot testing evaluation indicators that will ultimately be used in an independent evaluation to better understand the impact of program activities.

In FY 2006, 549,026 (12 percent) of individuals with disabilities reached by Councils were independent, self-sufficient and integrated into the community. The Administration for Developmental Disabilities (ADD) staff, in years prior to FY 2006, annually identified outlier data on a state-by-state basis and worked with individual states to make corrections. This process resulted in improved data quality but also in a reduction of apparent performance in FY 2004 and FY 2005.

Budget Request — The FY 2009 request is \$72,482,000, the same as the FY 2008 enacted level. This request will continue to support advocacy, systems change and capacity building activities that improve state services and supports for people with developmental disabilities and their families.

In FY 2006, ADD developed and published national guidelines and definitions for its annual measures, and provided training to Councils on application of the definitions. This resulted in greater uniformity of reporting by Councils and more reliable data in determining the percentage of individuals with developmental disabilities reached by the Councils who are independent, self-sufficient, and integrated into the community.

At the end of each fiscal year, the Councils report on achievements during the past 12 months that involved the use of their federal funding. In order to maximize the efficacy and efficiency of these efforts, ADD provides policy support as well as technical assistance. In FY 2006, it was reported that nearly eight individuals (7.58) with developmental disabilities reached by the Councils were independent, self-sufficient, and integrated into the community per \$1,000 of federal funding to the Councils. The ongoing data quality improvements are expected to be fully in effect for the FY 2009 results, by which time the program expects to have improved by at least one percent over the previous year's result. ADD continues to analyze changes in performance in order to better understand the trends and to improve ongoing technical assistance to the Councils. By FY 2012, ADD also will evaluate the impact of revised reporting guidelines, which were implemented nationally in FY 2006 to ensure uniformity in reporting standards.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 8.1: Continue a one tenth percentage point increase per year in individuals with developmental disabilities who are independent, self-sufficient, and integrated into the community beginning in FY 2007 through FY 2010.										
8 A	Increase the percentage of individuals with developmental disabilities reached by the Councils who are independent, self-sufficient and integrated into the community. ¹ (outcome)	12.06%	11.27%	13.64%	12.05%	14.03%	Jun-08	0.10% over prev year	0.10% over prev year	12.12% (FY 2012) ²
8 C	Increase the percentage of trained individuals actively working to improve access of individuals with developmental disabilities to services and supports. (outcome)	58.0%	71.0%	93.5%	90.0%	94.1%	Jun-08	1% over prev year	1% over prev year	n/a
8 E	Increase the number of individuals with developmental disabilities reached by the Councils who are independent, self-sufficient and integrated into the community per \$1,000 of federal funding to the Councils. (OMB approved efficiency)	7.53	7.09	7.16	7.58	7.66	Jun-08	1% over prev year	1% over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of individuals with developmental disabilities reached by the Councils who are independent, self-sufficient and integrated into the community.	n/a	513,488	n/a	549,026	n/a	Jun-08	n/a	n/a	n/a
	Number of individuals trained by the Councils	n/a	n/a	n/a	68,561	n/a	Jun-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$73.0	\$72.5	\$71.8		\$71.8		\$72.5	\$72.5	

¹ This performance measure is also an indicator in the FY 2007 – 2012 HHS Strategic Plan.

² The FY 2012 target has been revised since the FY 2007 – 2012 HHS Strategic Plan based on the most recent results. Outyear targets for this measure are calculated by 0.1 percent increases over the prior year's result.

Resource and Program Data
State Councils on Developmental Disabilities

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$71,771,000	\$72,482,000	\$72,482,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$71,771,000	\$72,482,000	\$72,482,000
<u>Program Data:</u>			
Number of Grants	55	55	55
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	55	55	55
\$	\$71,771,000	\$72,482,000	\$72,482,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: State Councils on Developmental Disabilities (CFDA #93.630)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$1,287,350	\$1,305,805	\$1,305,805	\$0
Alaska	461,111	462,315	462,315	0
Arizona	1,257,240	1,326,246	1,326,246	0
Arkansas	787,972	790,029	790,029	0
California	6,648,112	6,696,892	6,696,892	0
Colorado	817,950	832,860	832,860	0
Connecticut	675,805	700,078	700,078	0
Delaware	461,111	462,315	462,315	0
District of Columbia	461,111	462,315	462,315	0
Florida	3,562,124	3,637,412	3,637,412	0
Georgia	1,862,979	1,922,186	1,922,186	0
Hawaii	461,111	462,315	462,315	0
Idaho	461,111	462,315	462,315	0
Illinois	2,617,997	2,624,831	2,624,831	0
Indiana	1,484,670	1,488,546	1,488,546	0
Iowa	772,161	774,177	774,177	0
Kansas	612,988	614,589	614,589	0
Kentucky	1,199,080	1,219,494	1,219,494	0
Louisiana	1,372,141	1,395,855	1,395,855	0
Maine	461,111	462,315	462,315	0
Maryland	1,005,535	1,008,160	1,008,160	0
Massachusetts	1,338,027	1,348,747	1,348,747	0
Michigan	2,485,792	2,504,636	2,504,636	0
Minnesota	1,022,625	1,025,295	1,025,295	0
Mississippi	928,320	930,744	930,744	0
Missouri	1,355,103	1,358,641	1,358,641	0
Montana	461,111	462,315	462,315	0
Nebraska	461,111	462,315	462,315	0
Nevada	461,111	468,377	468,377	0
New Hampshire	461,111	462,315	462,315	0
New Jersey	1,554,744	1,558,803	1,558,803	0
New Mexico	510,523	511,856	511,856	0
New York	4,171,039	4,227,430	4,227,430	0
North Carolina	1,946,099	1,951,179	1,951,179	0
North Dakota	461,111	462,315	462,315	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	2,839,309	2,846,721	2,846,721	0
Oklahoma	894,914	897,250	897,250	0
Oregon	768,227	772,214	772,214	0
Pennsylvania	3,046,050	3,073,623	3,073,623	0
Rhode Island	461,111	462,315	462,315	0
South Carolina	1,108,241	1,111,134	1,111,134	0
South Dakota	461,111	462,315	462,315	0
Tennessee	1,484,379	1,488,254	1,488,254	0
Texas	4,672,079	4,786,040	4,786,040	0
Utah	589,738	596,312	596,312	0
Vermont	461,111	462,315	462,315	0
Virginia	1,498,018	1,501,929	1,501,929	0
Washington	1,170,600	1,177,656	1,177,656	0
West Virginia	755,667	772,450	772,450	0
Wisconsin	1,289,797	1,293,164	1,293,164	0
Wyoming	461,111	462,315	462,315	0
Subtotal	68,310,060	69,012,025	69,012,025	0
American Samoa	240,134	240,761	240,761	0
Guam	240,134	240,761	240,761	0
Northern Mariana Islands	240,134	240,761	240,761	0
Puerto Rico	2,500,404	2,506,931	2,506,931	0
Virgin Islands	240,134	240,761	240,761	0
Subtotal	3,460,940	3,469,975	3,469,975	0
Total States/Territories	71,771,000	72,482,000	72,482,000	0
TOTAL RESOURCES	\$71,771,000	\$72,482,000	\$72,482,000	\$0

DEVELOPMENTAL DISABILITIES (PROTECTION AND ADVOCACY)

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$38,718,000	\$39,024,000	\$39,024,000	\$0

Authorizing Legislation – Section 145 of the Developmental Disabilities Assistance and Bill of Rights Act.

2009 Authorization..... Such sums as may be appropriated pending Congressional action

Allocation Method Formula Grant

Program Description and Accomplishments – The Developmental Disabilities Protection and Advocacy program provides grants to establish and maintain a Protection and Advocacy system in each state to protect the legal and human rights of all persons with developmental disabilities. There are 57 state protection and advocacy systems. Protection and Advocacy funding is allotted among the states based on a formula that takes into account the population, the extent of need for services for persons with developmental disabilities, and the financial need of each state. The Protection and Advocacy system must have the authority under this program to pursue legal, administrative, and other appropriate remedies or approaches, including the authority to investigate incidents of abuse and neglect and to access client records. The Protection and Advocacy system must be independent of any agency that provides such services.

Funding for the Protection and Advocacy program during the last five years has been as follows:

2004.....	\$38,416,000
2005.....	\$38,109,000
2006	\$38,691,000
2007	\$38,718,000
2008	\$39,024,000

Information related to the PART assessment and program evaluation is included under State Councils on Developmental Disabilities.

Budget Request – The FY 2009 request is \$39,024,000, the same as the FY 2008 enacted level. This funding will continue to provide training and advocacy services both to groups and to individuals with developmental disabilities and to provide information and referral services. Additionally, this request will provide training and technical assistance to the leadership and staffs of Protection and Advocacy systems in order to improve their performance.

The Administration for Developmental Disabilities (ADD) continues to analyze its tracking of the percentage of individuals who have their complaint of abuse, neglect, discrimination, or other human or civil rights corrected in order to measure the appropriateness of the performance measure, particularly with respect to how the effectiveness of the Protection and Advocacy systems is measured across the spectrum of different populations served. For FY 2009, the program expects to continue increased performance in this area by improving at least one percent over the previous year’s result.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
8 B	Percentage of individuals who have their complaint of abuse, neglect, discrimination, or other human or civil rights corrected compared to the total assisted. <i>(outcome)</i>	88.7%	91.0%	92.0%	91.0%	93.0%	Jun-08	1% over prev year	1% over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target / Est.	FY 2009 Target / Est.	Out-Year Target / Est.
				Target/ Est.	Actual	Target / Est.	Actual			
	Number of clients receiving professional individual legal advocacy for the Protection and Advocacy program	22,924	23,501	n/a	23,298	n/a	Jun-08	n/a	n/a	n/a
	Number of people receiving information and referral from the Protection and Advocacy program	36,789	27,317	n/a	35,254	n/a	Jun-08	n/a	n/a	n/a
	Number of people receiving rights training	189,356	163,988	n/a	180,404	n/a	Jun-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$38.4	\$38.1	\$38.7		\$38.7		\$39.0	\$39.0	

Resource and Program Data
Developmental Disabilities Protection and Advocacy

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$37,944,000	\$38,232,000	\$38,232,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	762,000	780,000	780,000
Program Support ¹	12,000	12,000	12,000
Total, Resources	\$38,718,000	\$39,024,000	\$39,024,000
<u>Program Data:</u>			
Number of Grants	57	57	57
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	57	57	57
\$	\$37,944,000	\$38,232,000	\$38,232,000
Contracts:			
#	1	1	1
\$	\$762,000	\$780,000	\$780,000
Interagency Agreements:			
#	1	1	1
\$	\$12,000	\$12,000	\$12,000

¹ Includes funding for contract fees.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Developmental Disabilities Protection and Advocacy (CFDA #93.630)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$624,790	\$631,160	\$631,160	\$0
Alaska	365,940	365,940	365,940	0
Arizona	626,294	632,690	632,690	0
Arkansas	385,083	389,010	389,010	0
California	3,269,612	3,302,985	3,302,985	0
Colorado	419,637	422,685	422,685	0
Connecticut	378,401	379,761	379,761	0
Delaware	365,940	365,940	365,940	0
District of Columbia	365,940	365,940	365,940	0
Florida	1,818,094	1,836,650	1,836,650	0
Georgia	982,659	992,686	992,686	0
Hawaii	365,940	365,940	365,940	0
Idaho	365,940	365,940	365,940	0
Illinois	1,291,826	1,305,000	1,305,000	0
Indiana	735,665	743,167	743,167	0
Iowa	366,994	368,238	368,238	0
Kansas	365,940	365,940	365,940	0
Kentucky	581,840	587,769	587,769	0
Louisiana	645,244	651,820	651,820	0
Maine	365,940	365,940	365,940	0
Maryland	483,737	488,672	488,672	0
Massachusetts	599,590	605,699	605,699	0
Michigan	1,176,513	1,188,505	1,188,505	0
Minnesota	499,792	504,889	504,889	0
Mississippi	445,745	450,289	450,289	0
Missouri	673,574	680,443	680,443	0
Montana	365,940	365,940	365,940	0
Nebraska	365,940	365,940	365,940	0
Nevada	365,940	365,940	365,940	0
New Hampshire	365,940	365,940	365,940	0
New Jersey	758,626	766,360	766,360	0
New Mexico	365,940	365,940	365,940	0
New York	1,952,446	1,972,345	1,972,345	0
North Carolina	1,026,804	1,037,277	1,037,277	0
North Dakota	365,940	365,940	365,940	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	1,359,530	1,373,390	1,373,390	0
Oklahoma	426,890	431,245	431,245	0
Oregon	396,213	399,022	399,022	0
Pennsylvania	1,426,488	1,441,027	1,441,027	0
Rhode Island	365,940	365,940	365,940	0
South Carolina	557,541	563,226	563,226	0
South Dakota	365,940	365,940	365,940	0
Tennessee	733,221	740,697	740,697	0
Texas	2,313,870	2,337,493	2,337,493	0
Utah	365,940	365,940	365,940	0
Vermont	365,940	365,940	365,940	0
Virginia	737,259	744,779	744,779	0
Washington	589,007	595,017	595,017	0
West Virginia	388,670	390,134	390,134	0
Wisconsin	621,843	628,184	628,184	0
Wyoming	365,940	365,940	365,940	0
Subtotal	35,880,418	36,169,234	36,169,234	0
Indian Tribes	207,286	195,775	195,775	0
American Samoa	195,775	195,775	195,775	0
Guam	195,775	195,775	195,775	0
Northern Mariana Islands	195,775	195,775	195,775	0
Puerto Rico	1,084,348	1,095,411	1,095,411	0
Virgin Islands	195,775	195,775	195,775	0
Subtotal	2,074,734	2,074,286	2,074,286	0
Total States/Territories	37,955,152	38,243,520	38,243,520	0
Technical Assistance	762,848	780,480	780,480	0
Subtotal Adjustments	762,848	780,480	780,480	0
TOTAL RESOURCES	\$38,718,000	\$39,024,000	\$39,024,000	\$0

DEVELOPMENTAL DISABILITIES (PROJECTS OF NATIONAL SIGNIFICANCE)

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$11,414,000	\$14,162,000	\$14,162,000	\$0

Authorizing Legislation — Section 163 of the Developmental Disabilities Assistance and Bill of Rights Act.

2009 Authorization.....Such sums as may be appropriated pending Congressional action.

Allocation MethodCompetitive Grant

Program Description and Accomplishments — Projects of National Significance (PNS) is a discretionary program for grants, contracts, and cooperative agreements to public or private nonprofit entities that support and supplement the work of the Councils on Developmental Disabilities, the Protection and Advocacy systems for persons with Developmental Disabilities, and the University Centers for Excellence in Developmental Disabilities (see these programs). This program complements the other Developmental Disabilities programs in the effort to create opportunities for individuals with developmental disabilities to contribute to, and participate in, all facets of community life, focusing on the most pressing issues affecting people with developmental disabilities and their families. It allows for local implementation of practical solutions and provides results and information for possible national replication. This program also supports the development of national and state policies, including federal interagency initiatives.

Funding has been directed to the Family Support 360 (FS360) Initiative, which provides funds to states for the design and piloting of one-stop family support centers. The FS360 Centers serve unserved and/or underserved families with a member who has a developmental disability, and they provide a range of services from every angle-zero through 360 degrees-that the family needs. In FY 2008, 5 new Family Support grants will be awarded at \$150,000 each and a Family Support National Clearinghouse and Technical Assistance Center will be awarded at \$2,000,000. Youth projects will provide a funding opportunity to design and demonstrate information, resource, and training centers for youth and emerging leaders with developmental disabilities.

Funding for the Projects of National Significance program during the last five years has been as follows:

2004.....	\$11,562,000
2005.....	\$11,542,000
2006	\$11,357,000
2007	\$11,414,000
2008	\$14,162,000

Information related to the PART assessment and other performance information may be found in the State Councils on Developmental Disabilities section.

Budget Request — The FY 2009 request is \$14,162,000, the same as the FY 2008 enacted level. This request will support 56 grants for youth activities, family support activities, data collection, funds to improve and to evaluate the effectiveness of all the Developmental Disabilities programs, as well as funds to support other emerging disability issues such as emergency preparedness.

The PNS budget supported development of evaluation indicators for the Developmental Disabilities programs that will ultimately be used in an independent evaluation to better understand the impact of program activities. This two-year project has been extended for an additional year using PNS funds to pilot test the evaluation indicators. In FY 2008, ADD plans to award a new contract that will support full implementation of the independent evaluation.

Outputs and Outcomes Table

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of families served by the Projects of National Significance	not available ¹	767	n/a	1,243	n/a	Jun-08	n/a	n/a	n/a
	Number of youth served by the Projects of National Significance	not available ¹	1,112	n/a	1,342	n/a	Jun-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$11.6	\$11.5	\$11.4		\$11.4		\$14.2	\$14.2	

¹ These grant data were not collected prior to FY 2005.

Resource and Program Data
Developmental Disabilities Projects of National Significance

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$8,428,000	\$11,176,000	\$11,176,000
Research/Evaluation	92,000	500,000	500,000
Demonstration/Development			
Training/Technical Assistance	2,288,000	1,880,000	1,880,000
Program Support ¹	606,000	606,000	606,000
Total, Resources	\$11,414,000	\$14,162,000	\$14,162,000
<u>Program Data:</u>			
Number of Grants	46	52	56
New Starts:			
#	25	6	0
\$	\$3,598,000	\$2,748,000	\$0
Continuations:			
#	21	46	56
\$	\$4,775,000	\$8,428,000	\$11,176,000
Contracts:			
#	6	6	6
\$	\$3,026,000	\$2,986,000	\$2,986,000
Interagency Agreements:			
#	1	0	0
\$	\$15,000	\$0	\$0

¹ Program Support includes funding for information technology support, contract fees, overhead costs, and interagency agreements.

UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$33,212,000	\$36,943,000	\$36,943,000	\$0

Authorizing Legislation — Section 156 of the Developmental Disabilities Assistance and Bill of Rights Act.

2009 Authorization.....Such sums as may be appropriated pending Congressional action.

Allocation MethodCompetitive Grant

Program Description and Accomplishments — University Centers for Excellence in Developmental Disabilities (UCEDDs) are interdisciplinary education, research and public service units of a university system or are public or not-for-profit entities associated with universities. Grants to establish these UCEDDs were initially made on a competitive basis. In FY 2008, ADD will fund 75 UCEDD grants. Awards are made for a five-year period. These UCEDDs engage in interdisciplinary pre-service training, community services, research, and information dissemination activities.

Funding for the UCEDDs program during the last five years has been as follows:

2004.....	\$26,803,000
2005.....	\$31,549,000
2006	\$33,189,000
2007	\$33,212,000
2008	\$36,943,000

Information related to the PART assessment and program evaluation is included under State Councils on Developmental Disabilities.

In FY 2007, ADD established baseline data of 40 percent for a measure of the percent of individuals with developmental disabilities receiving the benefit of services through activities in which UCEDD-trained professionals were involved. Data for this performance measure is based on survey data collected by the UCEDDs from professionals they had trained one, five, and 10 years ago. Upon leaving the UCEDD training program, these professionals provide a range of services from a variety of disciplines, since the UCEDD is mandated to provide interdisciplinary pre-service training. This measure will continue to be evaluated over time to determine its robustness as well as its accuracy.

Budget Request — The FY 2009 request is \$36,943,000, which is the same as the FY 2008 enacted level. This request will provide operational and administrative support to maintain a national network of UCEDDs and build upon current activities. The requested amount will provide each UCEDD with an award of \$522,500.

This funding also will provide training and technical assistance to the UCEDDs, thus improving the programs’ performance and ability to meet the FY 2009 performance target to improve by at least one percent over the previous year’s result.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
8 D	Increase the percentage of individuals with developmental disabilities receiving the benefit of services through activities in which professionals were involved who completed University Centers of Excellence in Developmental Disabilities (UCEDDs) state-of-the-art training within the past 10 years. ¹ <i>(outcome)</i>	n/a	n/a	n/a	n/a	n/a	40%	40.4%	1% over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of professionals trained by UCEDDs	n/a	n/a	n/a	4,279	n/a	4,555	n/a	n/a	n/a
	Number of people reached through UCEDD community training and technical assistance activities	n/a	n/a	n/a	1.52 million	n/a	1.16 million	n/a	n/a	n/a
	Number of people receiving direct or model demonstration services from UCEDDs	n/a	n/a	n/a	250,000	n/a	246,000	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$26.8	\$31.5	\$33.5		\$33.2		\$36.9	\$36.9	

¹ The language of this developmental measure has been revised to better represent the expected outcomes of UCEDDs. Per the developmental nature of this measure, the language has been revised to more effectively represent the magnitude of the impact directly on persons with developmental disabilities.

Resource and Program Data
University Centers for Excellence in Developmental Disabilities

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$32,830,000	\$36,440,000	\$36,440,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	382,000	503,000	503,000
Program Support			
Total, Resources	\$33,212,000	\$36,943,000	\$36,943,000
<u>Program Data:</u>			
Number of Grants	67	75	75
New Starts:			
#	42	25	2
\$	\$20,580,000	\$10,440,000	\$1,045,000
Continuations:			
#	25	50	73
\$	\$12,250,000	\$26,000,000	\$35,395,000
Contracts:			
#	1	1	1
\$	\$382,000	\$503,000	\$503,000
Interagency Agreements:			
#			
\$			

VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$15,720,000	\$17,410,000	\$17,410,000	\$0

Authorizing Legislation — Sections 264 and 292 of the Help America Vote Act.

2009 Authorization.....Such sums as may be appropriated pending Congressional action.

Allocation Method Formula Grant

Program Description and Accomplishments — The Voting Access for Individuals with Disabilities grant program was authorized by the Help America Vote Act (HAVA), P.L. 107-252. HAVA contains three grant programs that will enable a grantee to establish, expand, and improve access to and participation in the election process by individuals with the full range of disabilities. Two of these are formula grants, one to states and territories to improve accessibility in the voting process and the other to state Protection and Advocacy Systems (P&As) to assist individuals with disabilities in the voting process. Of the funds for P&As, seven percent is set aside for the third grant program, a discretionary program for the provision of training and technical assistance to assist P&As. Any applicant who meets the eligibility requirements for either formula grant program must receive a payment.

Funding for the program during the last five years has been as follows:

2004	\$14,912,000
2005	\$14,879,000
2006	\$15,709,000
2007	\$15,720,000
2008	\$17,410,000

As required by the statute, states and territories receiving HAVA funds under the two programs are required to submit an application and annual narrative report. The narrative report for the Secretaries of State/Chief Election Officials describes the use of funds with regard to the four mandated areas of activities authorized under 42 U.S.C. 15421 of the Help America Vote Act. The narrative report for the P&As is a detailed summary of the activities accomplished with the funds used to ensure full participation in the electoral process for individuals with disabilities as authorized under 42 U.S.C. 15461 of the Help America Vote Act. The annual report was implemented to ensure program accountability.

Performance measurement for Voting Access for Individuals with Disabilities is part of a broader Developmental Disabilities programs performance area.

Budget Request — The FY 2009 request is \$17,410,000, the same as the FY 2008 enacted level. These funds will assist 114 grantees in: (1) making polling places, including the path of travel, entrances, exits, and voting areas of each polling facility accessible to individuals with disabilities, including the blind and visually impaired, in a manner that provides the same opportunity for access and participation (including privacy and independence) as afforded other voters; and (2) providing individuals with disabilities and the other individuals described in (1) with information about the accessibility of polling places, including outreach programs to inform the individuals about the availability of accessible polling places and

training election officials, poll workers, and election volunteers on how best to promote the access and participation of individuals with disabilities in elections for federal office.

Outputs and Outcomes Table

Performance measures for the Voting Access for Individuals with Disabilities grant program have not been established.

Resource and Program Data
Voting Access for Individuals with Disabilities

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$15,381,900	\$17,070,000	\$17,070,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	338,100	340,000	340,000
Program Support			
Total, Resources	\$15,720,000	\$17,410,000	\$17,410,000
<u>Program Data:</u>			
Number of Grants	114	114	114
New Starts:			
#	114	114	114
\$	\$15,720,000	\$17,410,000	\$17,410,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Voting Access for Individuals with Disabilities - States (CFDA #93.617)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$144,115	\$165,638	\$165,638	\$0
Alaska	100,000	100,000	100,000	0
Arizona	187,679	215,708	215,708	0
Arkansas	100,000	100,754	100,754	0
California	1,113,511	1,279,808	1,279,808	0
Colorado	148,224	170,360	170,360	0
Connecticut	111,104	127,697	127,697	0
Delaware	100,000	100,000	100,000	0
District of Columbia	100,000	100,000	100,000	0
Florida	581,812	668,702	668,702	0
Georgia	285,726	328,398	328,398	0
Hawaii	100,000	100,000	100,000	0
Idaho	100,000	100,000	100,000	0
Illinois	397,711	457,107	457,107	0
Indiana	195,858	225,109	225,109	0
Iowa	100,000	107,989	107,989	0
Kansas	100,000	100,000	100,000	0
Kentucky	132,610	152,415	152,415	0
Louisiana	132,247	151,998	151,998	0
Maine	100,000	100,000	100,000	0
Maryland	175,978	202,260	202,260	0
Massachusetts	206,297	237,107	237,107	0
Michigan	315,022	362,069	362,069	0
Minnesota	161,696	185,844	185,844	0
Mississippi	100,000	102,249	102,249	0
Missouri	183,047	210,384	210,384	0
Montana	100,000	100,000	100,000	0
Nebraska	100,000	100,000	100,000	0
Nevada	100,000	100,000	100,000	0
New Hampshire	100,000	100,000	100,000	0
New Jersey	274,407	315,389	315,389	0
New Mexico	100,000	100,000	100,000	0
New York	611,733	703,093	703,093	0
North Carolina	277,132	318,521	318,521	0
North Dakota	100,000	100,000	100,000	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	360,128	413,911	413,911	0
Oklahoma	111,049	127,633	127,633	0
Oregon	117,637	135,206	135,206	0
Pennsylvania	398,497	458,011	458,011	0
Rhode Island	100,000	100,000	100,000	0
South Carolina	135,714	155,982	155,982	0
South Dakota	100,000	100,000	100,000	0
Tennessee	190,081	218,469	218,469	0
Texas	703,626	808,709	808,709	0
Utah	100,000	100,000	100,000	0
Vermont	100,000	100,000	100,000	0
Virginia	241,356	277,401	277,401	0
Washington	201,385	231,461	231,461	0
West Virginia	100,000	100,000	100,000	0
Wisconsin	175,514	201,727	201,727	0
Wyoming	100,000	100,000	100,000	0
Subtotal	10,470,896	11,717,109	11,717,109	0
American Samoa	100,000	100,000	100,000	0
Guam	100,000	100,000	100,000	0
Puerto Rico	119,104	136,891	136,891	0
Virgin Islands	100,000	100,000	100,000	0
Subtotal	419,104	436,891	436,891	0
Total States/Territories	10,890,000	12,154,000	12,154,000	0
TOTAL RESOURCES	\$10,890,000	\$12,154,000	\$12,154,000	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Voting Access for Individuals with Disabilities - P & A (CFDA #93.618)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$70,000	\$70,000	\$70,000	\$0
Alaska	70,000	70,000	70,000	0
Arizona	70,000	70,000	70,000	0
Arkansas	70,000	70,000	70,000	0
California	323,761	404,672	404,672	0
Colorado	70,000	70,000	70,000	0
Connecticut	70,000	70,000	70,000	0
Delaware	70,000	70,000	70,000	0
District of Columbia	70,000	70,000	70,000	0
Florida	159,405	199,243	199,243	0
Georgia	81,294	101,611	101,611	0
Hawaii	70,000	70,000	70,000	0
Idaho	70,000	70,000	70,000	0
Illinois	114,366	142,947	142,947	0
Indiana	70,000	70,245	70,245	0
Iowa	70,000	70,000	70,000	0
Kansas	70,000	70,000	70,000	0
Kentucky	70,000	70,000	70,000	0
Louisiana	70,000	70,000	70,000	0
Maine	70,000	70,000	70,000	0
Maryland	70,000	70,000	70,000	0
Massachusetts	70,000	71,665	71,665	0
Michigan	90,687	113,352	113,352	0
Minnesota	70,000	70,000	70,000	0
Mississippi	70,000	70,000	70,000	0
Missouri	70,000	70,000	70,000	0
Montana	70,000	70,000	70,000	0
Nebraska	70,000	70,000	70,000	0
Nevada	70,000	70,000	70,000	0
New Hampshire	70,000	70,000	70,000	0
New Jersey	78,117	97,639	97,639	0
New Mexico	70,000	70,000	70,000	0
New York	172,530	215,648	215,648	0
North Carolina	77,806	97,251	97,251	0
North Dakota	70,000	70,000	70,000	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	102,723	128,395	128,395	0
Oklahoma	70,000	70,000	70,000	0
Oregon	70,000	70,000	70,000	0
Pennsylvania	111,375	139,209	139,209	0
Rhode Island	70,000	70,000	70,000	0
South Carolina	70,000	70,000	70,000	0
South Dakota	70,000	70,000	70,000	0
Tennessee	70,000	70,000	70,000	0
Texas	204,836	256,027	256,027	0
Utah	70,000	70,000	70,000	0
Vermont	70,000	70,000	70,000	0
Virginia	70,000	84,754	84,754	0
Washington	70,000	70,422	70,422	0
West Virginia	70,000	70,000	70,000	0
Wisconsin	70,000	70,000	70,000	0
Wyoming	70,000	70,000	70,000	0
Subtotal	4,316,900	4,713,080	4,713,080	0
American Samoa	35,000	35,000	35,000	0
Guam	35,000	35,000	35,000	0
Puerto Rico	70,000	70,000	70,000	0
Virgin Islands	35,000	35,000	35,000	0
Subtotal	175,000	175,000	175,000	0
Total States/Territories	4,491,900	4,888,080	4,888,080	0
Technical Assistance	338,100	367,920	367,920	0
Subtotal Adjustments	338,100	367,920	367,920	0
TOTAL RESOURCES	\$4,830,000	\$5,256,000	\$5,256,000	\$0

NATIVE AMERICAN PROGRAMS

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$44,332,000	\$45,523,000	\$45,523,000	\$0

Authorizing Legislation – Section 816 of the Native American Programs Act of 1974.

2009 AuthorizationPending Congressional action.

Allocation Method Competitive Grant

Program Description and Accomplishments – The programs authorized under the Native American Programs Act promote the goal of social and economic self-sufficiency by serving all Native Americans, including over 562 federally recognized tribes, 60 state recognized tribes and all Indian and Alaska Native organizations, Native Hawaiian communities, and Native populations throughout the Pacific basin. The Native American Program assists tribal and village governments, Native American institutions and organizations in their efforts to support and develop stable, diversified local economies. Competitive grant project funding is leveraged by tribes and non-profit organizations to develop and implement sustainable community-based social and economic programs and services that will reduce future generational dependency on public funds.

The Native American Programs Act provides project funding for training and services that promote healthy family relationships, lifestyles and communities among Native people. Funded projects may address social services to assist Native Americans with disabilities, services to the elderly, programs for at-risk youth and may be used to create employment and educational opportunities in addition to preserving Native languages. These programs also promote infrastructure and business activities, professional capacity-building skills, training, entrepreneurship, financial literacy, language preservation and the implementation of environmental laws, codes and ordinances. The Esther Martinez Native American Languages Preservation Act of 2006 created new categories of activities in the area of native language preservation (language nests, survival schools and language restoration programs) and reauthorized the Native American Languages Grant Program in NAPA.

Funding for the program during the last five years has been as follows:

2004	\$45,157,000
2005	\$44,786,000
2006	\$44,302,000
2007	\$44,332,000
2008	\$45,523,000

The program has developed a number of performance measures, and increased the efficiency and effectiveness of program operations and activities. A data collection tool has been developed to assess ANA’s annual grant portfolio; grantees’ accomplishments are assessed in relation to project objectives funded in such areas as job creation, the establishment of sustainable community partnerships, and opportunities for intergenerational youth and elders activities. Performance measures derived from this data collection tool will track program success in these areas. The program also has assessed its training and technical assistance services to ensure contractor effectiveness and program efficiency. For example, ANA has evaluated its grantee pre-application training, determining the best process and procedures to train Tribal and non-profit organizations, in order to ensure that ANA receives and funds high quality

community-based projects. In FY 2005, 48 percent of grant applicants who received training and technical assistance scored in the grant funding range (meaning the grant was of sufficient quality to be funded); in FY 2006, this rate increased to 51 percent.

Budget Request — The FY 2009 request for Native American Programs is \$45,523,000, the same as the FY 2008 enacted level. These funds will be used to continue to support activities that cover a wide range of community-based social and economic development projects that emphasize self-sufficiency, ensure the preservation and enhancement of Native American languages, and funds will be used to enable tribes to plan, develop and implement environmental programs and laws.

One of the primary indicators used to gauge program success is the number of jobs created through ANA funding. In FY 2006, 660 jobs were created through Native American Programs. In FY 2009, it is expected that the grants funded will result in an increase of jobs by one percent over the prior fiscal year.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 9.1: Increase the number of jobs created through Administration for Native Americans (ANA) funding to five percent over the baseline by the year 2010.										
9 A	Increase the number of jobs created through ANA funding. <i>(outcome)</i>	n/a	n/a	n/a	660 ¹	n/a	Jan-08	1% over avg past 2yrs	1% over avg past 3yrs	693 (FY 2010)
9 B	Increase the number of projects involving youth in Native American communities. <i>(outcome)</i>	n/a	n/a	n/a	160	n/a	Jan-08	1% over avg past 2yrs	1% over avg past 3yrs	n/a
9 C	Increase the number of intergenerational projects in Native American communities. <i>(outcome)</i>	n/a	n/a	n/a	143	n/a	Jan-08	1% over avg past 2yrs	1% over avg past 3yrs	n/a
Long-Term Objective 9.2: Increase the number of community partnerships formed by ANA grantees to five percent over the baseline by the year 2010.										
9 D	Increase the number of community partnerships formed by ANA grantees. <i>(outcome)</i>	n/a	n/a	n/a	1,694 ¹	n/a	Jan-08	1% over avg past 2yrs	1% over avg past 3yrs	1,779 (FY 2010)
9 E	Increase the percentage of applicants who receive ANA Training/Technical Assistance (T/TA) and go on to score in the funding range. <i>(OMB approved efficiency)</i>	n/a	48% ²	n/a	51% ²	n/a	66%	1% over avg past 2yrs	1% over avg past 3yrs	n/a

¹ At the time of ANA's previous submission, the final data for the Impact Evaluations were not available. The numbers now reflect that total results of ANA's Impact Evaluations on the 87 projects that ended in FY 2006.

² This figure has been updated as a result of improved data analysis.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of projects completed on time.	n/a	n/a	n/a	n/a	n/a	78%	n/a	n/a	n/a
	Amount of non-federal resources leveraged.	n/a	n/a	n/a	n/a	n/a	\$8.66 million	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	45.2	44.8	43.4		44.3		45.5	45.5	

Resource and Program Data
Native American Programs

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$37,753,000	\$38,853,000	\$38,900,000
Research/Evaluation	185,000	85,000	88,000
Demonstration/Development			
Training/Technical Assistance	4,064,000	4,105,000	4,035,000
Program Support ¹	2,330,000	2,480,000	2,500,000
Total, Resources	\$44,332,000	\$45,523,000	\$45,523,000
<u>Program Data:</u>			
Number of Grants	202	208	215
New Starts:			
#	94	123	95
\$	\$15,791,000	\$24,253,000	\$16,800,000
Continuations:			
#	108	85	120
\$	\$21,962,000	\$14,600,000	\$22,000,000
Contracts:			
#	6	5	6
\$	\$5,570,000	\$5,625,000	\$6,623,000
Interagency Agreements:			
#	3	3	0
\$	\$1,009,000	\$1,045,000	\$0

¹ Includes funding for information technology support, contract fees and grants/panel review costs.

SOCIAL SERVICES RESEARCH AND DEMONSTRATION

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
BA	\$5,868,000	\$15,193,000	\$0	-\$15,193,000
<i>PHS Evaluation Funds</i>	<i>6,000,000</i>	<i>6,000,000</i>	<i>5,762,000</i>	<i>-238,000</i>
<i>Total, Program Level</i>	<i>\$11,868,000</i>	<i>\$21,193,000</i>	<i>\$5,762,000</i>	<i>-\$15,431,000</i>

Authorizing Legislation – Section 1110 of the Social Security Act and Section 241 of the Public Health Service Act.

FY 2009 Authorization Such sums as may be appropriated.

Allocation Method Competitive Grant

Program Description and Accomplishments – Social Services Research and Demonstration funds support research and evaluation efforts that address the goals of: 1) increased stability and economic independence for American families; 2) improved healthy development of children and youth; and 3) services that are more effective, cost less, and respond better to customer needs.

Projects are conducted through contracts, cooperative agreements and grants. Evaluation results, policy implications, and data from projects are disseminated to other Federal agencies, states, Congress, researchers and others through publications (including final reports and information memoranda), the Internet, conferences, and workshops. For example, over the last several years, evaluations and projects were funded exploring topics such as: employment retention and advancement; welfare-to-work strategies for the hard-to-employ; and programs to support healthy marriage related to the family formation goals of the Personal Responsibility and Work Opportunity Reconciliation Act and the Deficit Reduction Act.

Funding for Social Services Research and Demonstration during the past five years is as follows:

2004	\$19,168,000
2005	\$32,012,000
2006	\$11,864,000
2007	\$11,868,000
2008	\$21,193,000

These funds support evaluations and performance management for ACF programs. Accordingly, separate performance measures have not been developed

Budget Request – The FY 2009 request for Social Services Research and Demonstration is \$5,762,000, a decrease of \$15,431,000 from the FY 2008 enacted level. This amount will be made available through PHS Evaluation Funds as authorized in section 241 of the Public Health Service Act and will provide funding to support cutting-edge research and evaluation projects in areas of critical national interest. The reduction from the FY 2008 enacted level is associated with one-year Congressional projects included in FY 2008 report language.

Outputs and Outcomes

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of grants	17	117	n/a	0	n/a	2	95	0	n/a
	Number of contracts	12	12	n/a	14	n/a	11	9	6	n/a
	Appropriated Amount (\$ Million)	\$19.2	\$32.0	\$11.9		\$11.9		\$21.2	\$5.8	

Resource and Program Data
Social Services Research and Demonstration

	2007 Actual	2008 Enacted	2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary ¹	\$0	\$15,193,000	\$0
Research/Evaluation	11,714,000	5,854,000	5,616,000
Demonstration/Development			
Training/Technical Assistance			
Program Support ²	146,000	146,000	146,000
Total, Resources	\$11,860,000	\$21,193,000	\$5,762,000
<u>Program Data:</u>			
Number of Grants	2	95	0
New Starts:			
#	2	95	0
\$	\$579,000	\$15,193,000	\$0
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	11	9	6
\$	\$10,517,000	\$5,250,000	\$5,012,000
Interagency Agreements:			
#	8	7	7
\$	\$764,000	\$750,000	\$750,000

¹ Congressional earmarks account for \$15,193,000 of the FY 2008 appropriated funds.

² Includes funding for information technology support, contract processing fees, conference fees and printing costs.

COMPASSION CAPITAL FUND

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$64,350,000	\$52,688,000	\$75,000,000	+\$22,312,000

Authorizing Legislation — Section 1110 of the Social Security Act.

2009 Authorization Such sums as may be appropriated.

Allocation Method Competitive Grant

Program Description and Accomplishments – The Compassion Capital Fund (CCF) is a key component of the President’s faith-based and community initiative. The goal of the CCF is to help grassroots faith-based and community organizations maximize their social impact as they provide services to those most in need. CCF administers three discretionary grant programs:

- The Demonstration Program provides funding for intermediary organizations in well-defined geographic locations with experience in providing training and technical assistance to smaller faith-based and community organizations in their communities. These intermediary organizations serve as a bridge between the federal government and the grassroots faith-based and community organizations that the CCF Demonstration Program is designed to assist. Intermediary organizations provide two services within their communities: (1) capacity-building training and technical assistance to faith-based and community organizations; and (2) financial support—through sub-awards—to some subset of the organizations receiving training and technical assistance. These capacity-building activities are designed to increase an organization's sustainability and effectiveness, enhance its ability to provide social services, diversify its funding sources, and create collaborations to better serve those most in need.
- The Targeted Capacity Building Program provides direct funding for grassroots faith-based and community organizations that address the needs of distressed communities. The program funds capacity-building activities that produce measurable impacts resulting in more sustainable organizations. By addressing issues that are critical to the long-term viability of the organization, community-based organizations are better prepared and positioned to understand and meet the needs of the communities they serve.
- The Communities Empowering Youth Program builds the capacity of faith-based and community organizations that combat gang activity and youth violence and that foster supportive relationships with youth. Organizations directing youth to social services and other healthy activities, which provide messages about behavior and risk avoidance, and create an alternative to gang involvement, are given priority for funding, especially when organizations plan to serve areas with significant gang activity.

Funding for the Compassion Capital Fund during the past five years has been as follows:

2004	\$47,702,000
2005	\$54,549,000
2006.....	\$64,306,000

2007	\$64,350,000
2008.....	\$52,688,000

The Compassion Capital Fund received a PART rating of Results Not Demonstrated in CY 2006. However, the review cited the program’s design and national scope as strong program attributes that are efficient mechanisms for reaching target populations (including the homeless, prisoners re-entering the community, children of prisoners, at-risk youth, and addicts). As a result of the PART review, the program is collecting data for newly developed performance measures, continuing to assist programs with new reporting guidelines, and continuing work on a multi-year, multi-phase evaluation involving both an outcome and impact study to be completed in 2008 and 2009, respectively.

CCF’s key outcome measures are defined to reflect the fundamental purpose of CCF. The first outcome measure captures the extent to which the program enables small and less experienced faith-based and community organizations to secure external funding, not only from federal sources but also from state and local government and the private sector. The second outcome measure captures the extent to which the CCF program itself is efficient, by measuring its effectiveness in enabling organizations to increase their capacity relative to CCF expenditures, and in terms of the number of faith-based and community organizations reached by individual intermediary organizations. Baseline data for these performance measures was established in FY 2006, and indicate that 22.34 percent of faith-based and community organizations assisted through intermediaries and 17.28 percent assisted by direct grants reported obtaining funding from other sources during their grant period.

Budget Request – The FY 2009 budget request for the Compassion Capital Fund is \$75,000,000, an increase of \$22,312,000 from the FY 2008 enacted level. The FY 2008 enacted level did not include funding for new grants; therefore these funds will support 303 new grants (of which 173 will be funded with the increase) and 68 existing grants in FY 2009. This funding will help faith-based and community organizations increase their effectiveness and enhance their ability to provide social services to those most in need. Of this amount, \$35,000,000 is dedicated for the Communities Empowering Youth Program to help combat gang activity and youth violence. Using community-based strategies, the gang prevention initiative builds the capacity of faith-based and community groups that are fostering supportive relationships with youth and directing them to social services and healthy activities that provide an alternative to gang involvement. The remaining \$40,000,000 is Demonstration Program funding for intermediary organizations, as well as for Targeted Capacity Building Program funding for smaller grassroots faith-based and community organizations.

CCF performance improvement targets incorporate steady and sustained annual improvements. For example, for the Demonstration Program in FY 2009, CCF will increase the proportion of CCF-assisted faith-based and community organizations awarded funding from other sources to 24.35, which is nine percent over the baseline (FY 2006). ACF is confident that its efforts in developing outcome targets and data collection procedures will allow it to systematically measure performance and identify means for improvement.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 10.1: Increase the proportion of Compassion Capital Fund (CCF) assisted faith-based and community organizations (FBCOs) awarded funding from federal, state, local, or private sources by 15 percent and 10 percent by FY 2011, for the Demonstration Program and Targeted Capacity Building Program respectively.										
10A	Increase the proportion of CCF-assisted FBCOs awarded funding from federal, state, local, or private sources for the Demonstration Program. <i>(outcome)</i>	n/a	n/a	n/a	22.34	23.01	Apr-08	23.68	24.35	25.69 (FY 2011)
10B	Increase the proportion of CCF-assisted FBCOs awarded funding from federal, state, local, or private sources for the Targeted Capacity Building Program. <i>(outcome)</i>	n/a	n/a	n/a	17.28	17.63	Apr-08	17.97	18.32	19.01 (FY 2011)
Long-Term Objective 10.2: Increase the ratio of capacity building activities achieved by CCF-assisted FBCOs to CCF Demonstration Program and Targeted Capacity Building funding by 20 percent by FY 2011.										
10C	Increase the ratio of capacity building activities achieved by CCF-assisted FBCOs to CCF Demonstration Program and Targeted Capacity Building Program funding. ¹ <i>(outcome)</i>	n/a	n/a	n/a	4.82	5.01	Apr-08	5.21	5.40	5.78 (FY 2011)
10D	Increase the ratio of the total number of FBCOs assisted with CCF funds annually to the number of direct CCF grants to intermediaries. <i>(OMB approved efficiency)</i>	n/a	n/a	n/a	32.4	33.7	Apr-08	35.0	36.3	38.8 (FY 2011)

	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of intermediaries funded under the Demonstration Program that completed projects that fiscal year	n/a	n/a	n/a	22	n/a	Apr-08	n/a	n/a	n/a
	Number of FBCOs provided training, technical assistance, and/or financial subawards by intermediaries funded under the Demonstration Program	n/a	n/a	n/a	6,262	n/a	Apr-08	n/a	n/a	n/a
	Number of FBCOs receiving subawards under Demonstration Program	n/a	n/a	n/a	1,459	n/a	Apr-08	n/a	n/a	n/a
	Number of capacity building activities undertaken by FBCOs assisted by intermediaries under the Demonstration Program ²	n/a	n/a	n/a	1,599	n/a	Apr-08	n/a	n/a	n/a
	Number of Targeted Capacity Building grantees that completed projects that fiscal year	52	102	n/a	310	n/a	Apr-08	n/a	n/a	n/a
	Number of capacity building activities undertaken by Targeted Capacity Building grantees that fiscal year	316	408	n/a	2,255	n/a	Apr-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$47.7	\$54.6		\$64.3		\$64.4	\$52.7	\$75.0	

¹ This ratio is calculated per \$100,000 in CCF funding.

² Due to limitations of the data collection instrument, the number of activities undertaken is likely to be significantly greater than 1,599.

Resource and Program Data
Compassion Capital Fund

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary			
Research/Evaluation	\$1,004,000	\$2,410,000	\$2,410,000
Demonstration/Development	57,789,000	47,609,000	66,000,000
Training/Technical Assistance	2,019,000	1,735,000	3,076,000
Program Support ¹	3,538,000	934,000	3,514,000
Total, Resources	\$64,350,000	\$52,688,000	\$75,000,000
<u>Program Data:</u>			
Number of Grants	386	168	371
New Starts:			
#	286	0	303
\$	\$35,316,000	\$0	\$40,850,000
Continuations:			
#	100	168	68
\$	\$22,472,000	\$47,609,000	\$25,109,000
Contracts:			
#	6	4	6
\$	\$6,132,000	\$4,679,000	\$8,600,000
Interagency Agreements:			
#	3	1	3
\$	\$429,000	\$400,000	\$441,000

¹ Includes funding for information technology support, conference and contract fees.

DISASTER HUMAN SERVICES CASE MANAGEMENT

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$0	\$0	\$10,000,000	+\$10,000,000

Authorizing Legislation — The authority for ACF to implement a disaster human services case management system would be established through appropriation language as proposed in this budget.

2009 Authorization\$10,000,000, to be available for obligation through September 30, 2010

Allocation Method Contracts/Discretionary Grants

Program Description and Accomplishments — This program was designed in consultation with the HHS Office of the Assistant Secretary for Preparedness and Response (ASPR) and Federal Emergency Management Agency (FEMA) and will be a collaboration between the three organizations consistent with the command structure and reporting requirements in the National Incident Management Plan (NIMS) and the National Response Plan (NRP). Drawing upon existing human services and disaster management networks and expertise, ACF will assist states establish the capacity to provide case management services in the event of a disaster.

Human services disaster case management involves the following major tasks: (1) identifying persons in need of case management; (2) identifying needed services (medical, financial, transportation, child care, etc.) and conducting “asset mapping” for individuals and families to help them prepare a recovery plan; (3) providing information to disaster victims that will assist them in returning home or relocating to new homes if necessary; (4) referring disaster evacuees to service providers for needed services in the area of their temporary domicile; (5) referring disaster evacuees to state FEMA contacts in order to identify assistance; and (6) providing ongoing support and tracking progress of disaster victims through the recovery process.

Currently, in collaboration with ASPR and FEMA, ACF has a disaster case management pilot project to determine and demonstrate the feasibility of developing, creating, and maintaining an integrated Disaster Case Management program. The project began by reviewing the relevant statutes, planning scenarios, and other documents and holding meetings with case management partners, including volunteer organizations currently involved in case management and other Federal agencies with case management programs. The pilot project will provide the information necessary to make key policy decisions for the FY 2009 effort, including: 1) the definition of disaster case management, 2) the number of case manager needed for 100,000 population affected, and 3) the case management model to be used.

In the event of a disaster and at the request of a state, ACF would activate a contract with voluntary agencies to provide comprehensive federal disaster case management and technical assistance for human services from the time of the disaster throughout the recovery process. To ensure that a disaster case management system is in place and that trained personnel are credentialed and available, a contract must be in place in advance of a disaster and must be funded even in the absence of a disaster. The contract would provide ongoing recruitment, credentialing, and training for volunteers and maintain a ready roster of those individuals that can deploy during a disaster. ACF would let and manage the contract with the requested funds, and funding for actual services in the event of a disaster would be provided by Stafford Act funds where appropriate. The Stafford Act was amended by the Post Katrina Emergency Reform Act of 2006, which authorized case management by stating that “the President may provide case management services, including financial assistance, to state or local government agencies or qualified private organizations to provide such services to victims of major disasters to identify and address unmet needs.” This plan will help ensure that disaster victims are connected with existing services in a

timely manner following a disaster. Through effective case management the disaster victim will return more quickly to his or her pre-crisis state, thus reducing the overall impact on the individual and society.

ACF envisions a three-tiered approach to disaster human services case management, with varying/differing levels of Federal involvement at each tier: 1) local disasters that can be handled within the existing resources and capabilities of a state, 2) state disasters of a magnitude that require activation of the state's case management plan, and 3) federally declared disasters or disasters for which the state requests support of federally managed disaster human services case management. In tier 1, ACF would offer technical assistance to states as needed. Some states already have existing case management plans; however, there has been limited coordination of the plans across states and federal agencies. In tier 2, the states are still able to address their own needs. In advance of a disaster, however, ACF would work with state, federal, and non-governmental partners to plan for a coordinated approach to case management that reduces duplication of effort and provides a smooth transition when federal assistance for disaster case management is requested in tier 3. In Tier 3, at the request of the state and during a federally declared disaster, ACF will activate the pre-existing contract and deploy disaster case managers.

Budget Request – The FY 2009 request for Disaster Human Services Case Management is \$10,000,000, to be available for obligation through September 30, 2010. It became apparent after Hurricanes Katrina and Rita – which affected over 1 million people and caused extensive damage – that, for these kinds of large-scale displacements, a human services case management system is needed. Specifically, a system is needed that assesses individual and family needs and assists with connections to public and private supports to address both immediate circumstances and to help with long-term integration or relocation requirements. Estimated costs include: (1) comprehensive human services case management contract – \$7.5 million (cost to have the contract in place with trained personnel – no disaster related costs); (2) state planning grants – \$1.5 million to provide joint planning between states and their human services partners to develop a state case management plan; and (3) technical assistance to states prior to and during disasters – \$1 million. This funding will support the cost of establishing a contract for case management plus three full-time personnel to manage the contract and provide technical assistance to states prior to and during a disaster. State planning grants will be awarded to approximately 10 states in the first year to develop case management capacity. Targets for subsequent years will be developed based on what we learn in working with the states.

Proposed performance measures would measure disaster human services case management capacity in terms of personnel trained, credentialed, and available to respond within 72 hours of a disaster and are still in developmental stages. Measures would also be developed to track the performance of the case management services. A successful disaster human services case management system would reduce the impact of a disaster by more rapidly returning victims to their pre-disaster state. Trained personnel would arrive within days to assist families and individuals prepare recovery plans. As these disaster human service case managers would have access to detailed data on available services, they could rapidly connect people with the services they need to begin implementing these plans. They also would monitor the recovery efforts of disaster victims on an ongoing basis to ensure they continue to make progress.

Resource and Program Data
Disaster Human Services Case Management

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	n/a	n/a	\$1,500,000
Research/Evaluation			
Demonstration/Development	n/a	n/a	7,500,000
Training/Technical Assistance	n/a	n/a	400,000
Program Support ¹	n/a	n/a	600,000
Other			
Total, Resources	n/a	n/a	\$10,000,000
<u>Program Data:</u>			
Number of Grants	n/a	n/a	10
New Starts:			
#	n/a	n/a	10
\$	n/a	n/a	\$1,500,000
Continuations:			
#			
\$	n/a	n/a	\$0
Contracts:			
#	n/a	n/a	2
\$	n/a	n/a	\$7,900,000
Interagency Agreements:			
#			
\$			

¹ Includes funding for information technology support, salaries and benefits and associated overhead costs, printing and monitoring/on-site review costs.

COMMUNITY SERVICES BLOCK GRANT

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$630,425,000	\$653,800,000	\$0	-\$653,800,000

Authorizing Legislation — Section 674(a) of the Community Services Block Grant Act.

FY 2009 Authorization Authority expired on September 30, 2003.
The Administration is no longer seeking reauthorization.

Allocation Method Formula Grant

Program Description and Accomplishments – The Community Services Block Grant (CSBG) program provides grants to states, territories and Indian tribes to provide services and activities to reduce poverty, including services to address employment, education, housing assistance, nutrition, energy, emergency services, health, and substance abuse. Each state submits an annual application and certifies that the state agrees to provide: (1) a range of services and activities having a measurable and potentially major impact on causes of poverty in communities where poverty is an acute problem; and (2) activities designed to assist low-income participants, including the elderly, to become self-sufficient.

Allocations are based on relative percentages of 1981 funding levels under Section 221 of the Economic Opportunity Act of 1964 as amended. The Community Services Block Grant Act requires states to pass through 90 percent of the Federal funds allocated to eligible entities, which in most cases are Community Action Agencies.

Funding for the Community Services Block Grant during the past ten years has been as follows:

1999	\$499,828,821
2000	\$527,615,000
2001	\$599,991,000
2002	\$649,967,000
2003	\$645,762,000
2004	\$641,935,000
2005	\$636,793,000
2006	\$629,992,000
2007	\$630,425,000
2008	\$653,800,000

CSBG received a PART rating of Results Not Demonstrated in CY 2003. The review cited the program’s clear purpose to address a specific and existing problem as a strong attribute; however, the program lacks measurable outcome information to demonstrate results. As a result of the PART review, the program is working to improve program administration, accountability, and outcomes of state and local agencies that serve the poor.

The program tracks twelve CSBG performance indicators on the lives of low-income individuals, families, and communities, seven of which can be aggregated across states and/or local agencies. Each indicator includes specific quantifiable achievements that can be directly related to reducing conditions of poverty.

Budget Request — The FY 2009 budget does not request funds for the Community Services Block Grant program because the program does not award grants on a competitive basis and states cannot hold their grantees accountable for program results as reflected in the program’s PART assessment of Results Not Demonstrated. While states and local agencies track indicators for national goals, the program lacks adequate national performance measures and associated targets to demonstrate its role in reducing poverty and increasing self-sufficiency.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 12.1: By 2010, reduce poverty conditions for low-income individuals, families and communities by 20 percent over the baseline.										
1 2 A	Reduce the number of conditions of poverty among low-income individuals, families and communities as a result of community action interventions. (outcome)	19 million	27 million	28 million	27 million	28 million	Oct-08	4% over prev year	4% over prev year	24 million (20% over base) (FY 2010)
1 2 B	Reduce total grantee and sub-grantee CSBG administrative expenditures per individual served. (OMB approved efficiency)	\$9.61	\$8.98	n/a	\$8.36	\$9.51	Oct-08	\$9.41	\$9.31	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of conditions of poverty reduced as a result of community action interventions	19 million	27 million	28 million	27 million	28 million	Oct-08	4% over prev year	4% over prev year	24 million (20% over base) (FY 2010)
	Number of individuals served	15.2 million	15 million	n/a	15.3 million	n/a	Oct -08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$641.9	\$636.8	\$630.0		\$630.4		\$653.8	\$0	n/a

Resource and Program Data
Community Services Block Grant

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$620,453,900	\$643,403,095	\$0
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	8,438,778	8,755,862	0
Program Support ¹	1,521,322	1,641,043	0
Total, Resources	\$630,414,000	\$653,800,000	\$0
<u>Program Data:</u>			
Number of Grants	192	197	0
New Starts:			
#	178	174	0
\$	\$625,597,247	\$648,328,095	\$0
Continuations:			
#	14	23	0
\$	\$2,200,000	\$2,823,212	\$0
Contracts:			
#	6	4	0
\$	\$1,095,431	\$1,007,650	\$0
Interagency Agreements:			
#	2	2	0
\$	\$65,123	\$60,000	\$0

¹ Includes funding for information technology support, grant/panel reviews, salaries and benefits and associated overhead, printing costs and travel.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Community Services Block Grant (CFDA #93.569)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$11,503,874	\$11,929,376	0	-\$11,929,376
Alaska	2,569,848	2,664,901	0	-2,664,901
Arizona	5,130,644	5,320,415	0	-5,320,415
Arkansas	8,530,199	8,845,712	0	-8,845,712
California	55,942,793	58,011,991	0	-58,011,991
Colorado	5,448,843	5,650,383	0	-5,650,383
Connecticut	7,567,112	7,847,002	0	-7,847,002
Delaware	3,323,700	3,446,636	0	-3,446,636
District of Columbia	10,306,826	10,688,052	0	-10,688,052
Florida	18,232,852	18,907,244	0	-18,907,244
Georgia	16,874,960	17,499,127	0	-17,499,127
Hawaii	3,323,700	3,446,636	0	-3,446,636
Idaho	3,287,714	3,409,319	0	-3,409,319
Illinois	29,634,367	30,730,476	0	-30,730,476
Indiana	9,134,372	9,472,232	0	-9,472,232
Iowa	6,789,464	7,040,591	0	-7,040,591
Kansas	5,120,512	5,309,908	0	-5,309,908
Kentucky	10,576,012	10,967,195	0	-10,967,195
Louisiana	14,727,455	15,272,190	0	-15,272,190
Maine	3,317,159	3,439,853	0	-3,439,853
Maryland	8,607,964	8,926,353	0	-8,926,353
Massachusetts	15,636,705	16,215,072	0	-16,215,072
Michigan	23,174,917	24,032,105	0	-24,032,105
Minnesota	7,549,167	7,828,394	0	-7,828,394
Mississippi	9,977,821	10,346,878	0	-10,346,878
Missouri	17,359,494	18,001,583	0	-18,001,583
Montana	2,999,616	3,110,565	0	-3,110,565
Nebraska	4,372,774	4,534,513	0	-4,534,513
Nevada	3,312,230	3,434,742	0	-3,434,742
New Hampshire	3,323,700	3,446,636	0	-3,446,636
New Jersey	17,164,966	17,799,860	0	-17,799,860
New Mexico	3,573,164	3,705,327	0	-3,705,327
New York	54,435,197	56,448,633	0	-56,448,633
North Carolina	16,465,225	17,074,237	0	-17,074,237
North Dakota	3,040,151	3,152,599	0	-3,152,599

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	24,454,036	25,358,536	0	-25,358,536
Oklahoma	7,431,088	7,705,947	0	-7,705,947
Oregon	5,014,315	5,199,783	0	-5,199,783
Pennsylvania	26,559,667	27,542,049	0	-27,542,049
Rhode Island	3,467,883	3,596,152	0	-3,596,152
South Carolina	9,639,167	9,995,698	0	-9,995,698
South Dakota	2,732,686	2,833,762	0	-2,833,762
Tennessee	12,358,620	12,815,738	0	-12,815,738
Texas	30,208,630	31,325,979	0	-31,325,979
Utah	3,248,053	3,368,191	0	-3,368,191
Vermont	3,323,700	3,446,636	0	-3,446,636
Virginia	10,043,622	10,415,113	0	-10,415,113
Washington	7,476,284	7,752,815	0	-7,752,815
West Virginia	7,022,759	7,282,515	0	-7,282,515
Wisconsin	7,632,913	7,915,237	0	-7,915,237
Wyoming	3,323,700	3,446,636	0	-3,446,636
Subtotal	586,272,620	607,957,526	0	-607,957,526
Tribes	4,429,515	4,593,353	0	-4,593,353
American Samoa	864,053	896,012	0	-896,012
Guam	817,760	848,007	0	-848,007
Northern Mariana Islands	512,287	531,235	0	-531,235
Puerto Rico	26,428,065	27,405,580	0	-27,405,580
Virgin Islands	1,129,600	1,171,381	0	-1,171,381
Subtotal	34,181,280	35,445,569	0	-35,445,569
Total States/Territories	620,453,900	643,403,095	0	-643,403,095
Discretionary Funds	1,520,863	1,641,043	0	-1,641,043
Training/Technical Assistance	8,438,778	8,755,862	0	-8,755,862
Subtotal adjustments	9,959,641	10,396,905	0	-10,396,905
TOTAL RESOURCES	\$630,413,541	\$653,800,000	\$0	-\$653,800,000

COMMUNITY SERVICES DISCRETIONARY ACTIVITIES

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Community Economic Development	\$27,022,000	\$31,467,000	\$0	-\$31,467,000
Rural Community Facilities	7,293,000	7,860,000	0	-7,860,000
Total, BA	\$34,315,000	\$39,327,000	0	-\$39,327,000

Authorizing Legislation — Section 674(b)(3) and 680 of the Community Services Block Grant Act.

FY 2009 Authorization Authority expired on September 30, 2003.
The Administration is no longer seeking reauthorization.

Allocation Method Competitive Grant

Program Description and Accomplishments — Community Services Discretionary Activities grants are provided to private, locally-initiated community development corporations which sponsor enterprises providing employment, training, and business development opportunities for low-income residents. Grants also are provided under the community services discretionary activities authority to public and private non-profit agencies for activities benefiting migrant and seasonal farm workers and to public and private organizations to carry out programs in community facilities development.

Funding for Community Services Discretionary Activities during the past five years has been as follows:

2004	\$34,090,000
2005	\$34,536,000
2006	\$34,292,000
2007	\$34,315,000
2008	\$39,327,000

Performance measures for the Community Services Discretionary Activities programs have not been established.

Budget Request — The FY 2009 budget does not request funds for the Community Services Discretionary Activities programs. The services provided under these programs are similar to programs currently operating in other departments and this action reflects the Administration’s efforts to target funds more effectively.

Outputs and Outcomes Table

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of jobs created by Community Economic Development program	3,187	3,314	n/a	3,463	n/a	3,636	n/a	n/a	n/a
	Number of communities served by Rural Community Facilities	2,306	2,306	n/a	2,306	n/a	2,306	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$34.1	\$34.5	\$34.3		\$34.3		\$39.3	\$0	n/a

Resource and Program Data
Community Economic Development

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$25,072,610	\$29,097,965	\$0
Research/Evaluation	200,000	200,000	0
Demonstration/Development			
Training/Technical Assistance	930,425	1,279,570	0
Program Support ¹	818,965	889,465	0
Total, Resources	\$27,022,000	\$31,467,000	\$0
<u>Program Data:</u>			
Number of Grants	45	53	0
New Starts:			
#	45	52	0
\$	\$25,272,610	\$29,097,965	\$0
Continuations:			
#	0	1	0
\$	\$0	\$200,000	\$0
Contracts:			
#	6	5	0
\$	\$1,405,727	\$1,754,872	\$0
Interagency Agreements:			
#	2	2	0
\$	\$343,663	\$414,163	\$0

¹ Includes funding for information technology support, grant/panel reviews and printing costs.

Resource and Program Data
Rural Community Facilities

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$7,139,329	\$7,695,799	\$0
Research/Evaluation	75,000	75,000	0
Demonstration/Development			
Training/Technical Assistance	70,978	80,978	0
Program Support ¹	7,693	8,223	0
Total, Resources	\$7,293,000	\$7,860,000	\$0
<u>Program Data:</u>			
Number of Grants	9	9	0
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	9	9	0
\$	\$7,214,329	\$7,770,799	\$0
Contracts:			
#	3	3	0
\$	\$70,978	\$80,978	\$0
Interagency Agreements:			
#	2	2	0
\$	\$7,693	\$8,223	\$0

¹ Includes funding for information technology support and printing costs.

JOB OPPORTUNITIES FOR LOW-INCOME INDIVIDUALS

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$5,382,000	\$5,288,000	\$0	-\$5,288,000

Authorizing Legislation — Section 505 of the Family Support Act of 1998 and Section 112 of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

FY 2009 Authorization\$25,000,000

Allocation Method Competitive Grant

Program Description and Accomplishments – The Job Opportunities for Low-Income Individuals (JOLI) program provides grants on a competitive basis to non-profit organizations to create new employment and business opportunities for TANF recipients and other low-income individuals through projects that include self-employment and micro-enterprise, expansion of existing businesses, new business ventures and strategies of developing or creating new jobs or employment opportunities.

Funding for the JOLI program during the past five years has been as follows:

2004	\$5,432,000
2005	\$5,436,000
2006	\$5,378,000
2007	\$5,382,000
2008	\$5,288,000

Performance measures for the JOLI program have not been established.

Budget Request – The FY 2009 budget does not request funds for the JOLI program. This program duplicates activities that can be funded by states under the TANF program.

Outputs and Outcomes Table

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out- Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of jobs created by the JOLI program	275	300	n/a	360	n/a	300	n/a	n/a	n/a
	Number of non-profit organizations supported by JOLI program funding	10	10	n/a	12	n/a	10	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$5.4	\$5.4	\$5.4		\$5.4		\$5.3	\$0	n/a

Resource and Program Data
Job Opportunities for Low-Income Individuals

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$4,882,010	\$4,838,518	\$0
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	189,030	140,377	0
Program Support ¹	310,960	309,105	0
Total, Resources	\$5,382,000	\$5,288,000	\$0
<u>Program Data:</u>			
Number of Grants	10	10	0
New Starts:			
#	10	10	0
\$	\$4,882,010	\$4,838,518	\$0
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	4	4	0
\$	\$486,523	\$437,870	\$0
Interagency Agreements:			
#	2	2	0
\$	\$13,467	\$11,612	\$0

¹ Includes funding for information technology support, grant/panel reviews and printing costs.

ASSETS FOR INDEPENDENCE

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$24,452,000	\$24,025,000	\$24,025,000	\$0

Authorizing Legislation — Section 416 of the Assets for Independence Act.

2009 Authorization \$25,000,000 (Pending Congressional action)

Allocation Method Competitive Grant

Program Description and Accomplishments – The Assets for Independence (AFI) program is the basis for a long-term evaluation that is developing knowledge about the effects of individual development accounts (IDAs). This demonstration program is designed to determine: (1) the social, civic, psychological and economic effects of providing individuals and families with limited means an incentive to accumulate assets by saving a portion of their earned income; (2) the extent to which individual development accounts that promote saving for post-secondary education, home ownership, and micro-enterprise development may be used to enable individuals and families with limited means to increase their economic self-sufficiency; and (3) the extent to which individual development accounts stabilize and improve families.

Eligible grantees include non-profit organizations, state, local, or tribal governments that apply jointly with nonprofit and low income credit unions or certified Community Development Financial Institutions that partner with a community anti-poverty organization. Every grantee works closely with a financial institution (bank or credit union) that holds the grant funds and the IDAs on deposit. Many grantees collaborate closely with other organizations that provide financial literacy training and other supportive services for participants. The maximum grant amount is \$1,000,000 for the five-year project period; the average grant amount is approximately \$350,000.

The program requires grantees to use non-federal funds to support at least one-half of their AFI project budgets. Grantees are required to provide one dollar of nonfederal cash for each dollar of their AFI grant received. In addition, the funds grantees use to match their participants’ IDA savings must combine equal amounts of federal grant funds and nonfederal funds.

Funding for the Assets for Independence program during the past five years has been as follows:

2004	\$24,695,000
2005	\$24,704,000
2006	\$24,435,000
2007	\$24,452,000
2008	\$24,025,000

AFI received a PART rating of Adequate in CY 2004. The review cited the program’s clear purpose in addressing a specific problem and inclusion of a national impact evaluation to determine whether the IDA strategy helps families become economically self-sufficient as strong attributes of the program. As a result of the PART review, the program is working with grantees on a menu of performance indicators that grantees will use to improve project management.

The AFI program reported significant accomplishments in the most recent reporting year (FY 2006). A key performance measure for the AFI program is the amount of savings (earned income) participants withdraw from their IDAs for purchase of any of three assets (e.g., a home, small business or post-secondary education). The program produced an annual increase of 52 percent in the amount of earned income used for asset purchase in FY 2006. This result fell short of the outcome target by 7 percentage points (the target is an annual increase of \$4,866,524, while the actual reported figure is \$4,587,278). Another performance measure tracks the number of participants who withdraw IDA funds to purchase these assets. The program reported a 51 percent increase in this measure in FY 2006. This result exceeded the target by 26 percentage points (the target is an annual increase of 2,969 participants who purchase an asset, while the actual reported figure is 3,738). These results – missing the target on amount of savings used and exceeding the target on participants who withdraw funds – are consistent with trend data that shows more people are using smaller amounts for educational goals as opposed to home purchases, particularly in light of the housing market in recent years. A higher percentage of participants are choosing to use IDA funds toward educational goals in part due to the realization that advancing education increases the future likelihood of purchasing a home.

Budget Request – The FY 2009 budget for the AFI program is \$24,025,000, the same as the FY 2008 enacted level. This request will fund an estimated 60 grants and provide ongoing support for the AFI program, thereby enabling additional low-income individuals and families to save earned income and increase economic self-sufficiency.

ACF has taken action to improve program outcomes in FY 2007, and expects to see the results in the coming year. For example, ACF created a new on-line data system that grantees may use to administer their IDA projects and collect information for the on-going AFI evaluation; established a menu of performance indicators with targets that grantees are using to improve project management; and launched increased training and technical assistance initiatives to ensure that grantees are better able to administer strong IDA programs. ACF anticipates that the program will continue to produce strong results and reach the FY 2009 targets including an increase in the amount of IDA savings participants use for asset purchases and an increase in the number of participants who use their IDAs for such purchases.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 13.1: Degree to which participants improve their economic situation, measured by income, net worth, and/or asset retention at two and five years after asset purchase.										
13A	Increase the annual amount of Assets for Independence (AFI) Individual Development Account (IDA) savings (earned income only) participants use for the three asset purchase goals. (outcome)	\$3,384,236	\$3,772,417	\$4,866,524	\$4,587,278	\$5,266,608	Feb-08	Avg of two prev years* gwth factor	Avg of two prev years* gwth factor	n/a

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
13B	Increase the number of participants who withdraw funds for the three asset purchase goals. <i>(outcome)</i>	890	2,925	2,594	3,738	4,198	Feb-08	Avg of two prev years* gwth factor ⁵³	Avg of two prev years* gwth factor	n/a
13C	Maintain the ratio of total earned income saved in IDAs per grant dollar spent on programmatic and administrative activities at the end of year one of the give-year AFI project. <i>(OMB approved efficiency)</i>	0.88	1.57	0.88	2.89	0.88	Feb-08	0.88	0.88	n/a
13D	Maintain the ratio of total earned income saved in IDAs per grant dollar spend on programmatic and administrative activities at the end of the five-year AFI project. <i>(OMB approved efficiency)</i>	7.23 ⁵⁴	5.86	7.23	5.78	7.23	Feb-08	7.23	5.78	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Cumulative number of AFI IDAs opened in regular AFI projects. ⁵⁵	21,040	28,570	n/a	36,077	n/a	Feb-08	n/a	n/a	n/a
	Cumulative amount of participant savings deposited into regular AFI IDAs	\$14,400,000	\$21,500,000	n/a	\$31,500,000	n/a	Feb-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$24.7	\$24.7	\$24.4		\$24.5		\$24.0	\$24.0	

⁵³ The growth factor used to calculate targets for Outcomes 13A and 13B is the percentage growth in the number of IDAs opened in the prior year.

⁵⁴ The FY 2004 data show the results of the first grantee cohort. That cohort is anomalous because a large portion of the grantees in that group received supplemental grants in the second year of their project period. No other cohort has received supplemental grants in this way. Therefore, this cohort's results may differ from the results of later grantee groups. We will watch the data closely to determine whether to adjust the baseline and calculations.

⁵⁵ Two states, Pennsylvania and Indiana, have IDA programs that predate the AFI demonstration and thus are funded under AFI but not subject to all of the legislative and programmatic requirements of the other "regular" AFI programs.

Resource and Program Data
Assets for Independence

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary			
Research/Evaluation	\$0	\$500,000	\$500,000
Demonstration/Development	18,300,399	19,000,000	19,000,000
Training/Technical Assistance	4,940,510	3,314,362	3,314,362
Program Support ¹	1,166,091	1,210,638	1,210,638
Total, Resources	\$24,407,000	\$24,025,000	\$24,025,000
<u>Program Data:</u>			
Number of Grants	59	60	60
New Starts:			
#	59	60	60
\$	\$18,300,399	\$19,000,000	\$19,000,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	10	7	7
\$	\$5,194,007	\$3,946,830	\$3,946,830
Interagency Agreements:			
#	2	3	3
\$	\$487,652	\$520,651	\$520,651

¹ Includes funding for information technology support, grant/panel reviews, salaries and benefits and associated overhead and printing cost.

FAMILY VIOLENCE PREVENTION AND SERVICES/BATTERED WOMEN'S SHELTERS

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$124,731,000	\$122,552,000	\$122,552,000	\$0

Authorizing Legislation — Section 310 of the Family Violence Prevention and Services Act.

2009 AuthorizationSuch sums as may be appropriated as proposed in straightline reauthorization.

Allocation Method Formula Grant

Program Description and Accomplishments — The Family Violence Prevention and Services program provides grants to states and Indian Tribes to support programs and projects that work to prevent incidents of family violence, provide immediate shelter and related assistance for victims of family violence and their dependents, and provide resources to programs that provide prevention and intervention services for families in abusive situations.

By statute, 70 percent of Family Violence funds are awarded in grants to states and territories. State grants are allocated based on the state's population. Grants to territories and insular areas are not less than one-eighth of one percent of the amounts available for grants for that fiscal year. The Family Violence Prevention and Services Act specifies that a state may keep five percent of its allotment for administrative costs and must distribute the remaining funds to local public agencies and non-profit private organizations, including religious and charitable organizations and voluntary associations. Seventy percent of a state's funds must be used to provide immediate shelter and related assistance to victims of family violence and their dependents. Most states exceed the 70 percent requirement. States may use the remaining funds to: establish new shelters in under-served areas; expand counseling, self-help, and referral services; set up demonstrations programs, e.g., elder abuse shelters; or, provide training for staff and volunteers.

By statute, 10 percent of Family Violence funds are allocated for grants to Indian Tribes and tribal organizations. The amount of the Indian grants is based on the population of the tribe. Tribes use these funds primarily for emergency shelter and related assistance. These grants have assisted tribes in focusing on and improving services to victims and their families. Additionally, some tribes have used these funds for public education efforts to break the patterns of family violence.

State Domestic Violence Coalitions receive 10 percent of the appropriation to further the purposes of domestic violence intervention and prevention. State Domestic Violence Coalitions are membership organizations for all shelters in the state. The grants to the coalitions support technical assistance efforts with their membership and other related service providers. The grants also support training and related collaborative efforts with other social services sectors, e.g. law enforcement, health, education and welfare.

The network of Information and Technical Assistance Centers (the National Resource Center and the Special Issue Resource Centers) receives five percent of the appropriation to provide information, technical assistance and training to federal, state, Indian and local domestic violence agencies and other professionals and individuals in the field. The purpose of this network (a network of five domestic violence resource centers: National Resource Center on Domestic Violence, Resource Center on Civil and Criminal Law, also known as Battered Women's Justice Project, Health Resource Center on Domestic

Violence, Resource Center on Child Protection and Custody, and Resource Center for Indian Tribes and Tribal Organizations) is to strengthen the existing support systems serving battered women, their children and other victims of domestic violence. The network also provides comprehensive information and resources, policy development, and technical assistance designed to enhance the prevention of and community response to domestic violence.

The statute also authorizes funds for activities relating to the issue of family violence through grants, contracts or interagency agreements. Under this authority, grants have been awarded to:

- Support collaborative efforts between faith-based/spiritual community organizations and the domestic violence community that created additional points of entry for persons in abusive relationships as they seek services and more informed responses;
- Historical black colleges and universities, Hispanic-serving colleges and universities, and tribal colleges and universities to support social work graduate student practica in domestic violence;
- Projects demonstrating protocols and training approaches that are useful to organizations and agencies providing services to battered immigrant women;
- Projects between child protective service agencies and domestic violence advocacy organizations were supported to develop effective strategies for domestic violence services integration into child protection systems and strategies;
- Projects supporting collaborative efforts between services for runaway and homeless youth and domestic violence to improve responses to youth experiencing teen dating violence; and,
- Domestic violence prevention service providers and advocacy organizations for public information and community awareness activities.

Additionally, the Stamp Out Domestic Violence Act of 2001, Public Law 107-67, created a domestic violence semi-postal stamp. Proceeds from stamp sales directly funded competitive grant awards to domestic violence service providers for enhanced services to children and youth who have been exposed to domestic violence. From FY 2004 through the conclusion of the program in FY 2007, ACF awarded \$3.2 million to enhance children’s services through the semi-postal stamp proceeds. In June, 2007, ACF submitted a Report to Congress on the Family Violence Semipostal Stamp. The grant-funded projects developed and tested new intervention models for children who witness domestic violence and their parents, and increased direct services for these families in domestic violence shelters and other community-based programs.

Funding for the program during the last five years has been as follows:

2004	\$125,648,000
2005	\$125,630,000
2006	\$124,643,000
2007	\$124,731,000
2008	\$122,552,000

The program received a PART rating of Results Not Demonstrated in CY 2004. The review noted that the program addresses a specific problem; however, it lacks partner-supported performance measures with baselines and ambitious targets, and national evaluations are not part of the program design. As a

result of the PART review, the program piloted new outcome performance measures in several states and is implementing a national reporting system in FY 2009.

While outcome measures are being implemented through a training and technical assistance process in FY 2008, the program has demonstrated results via outputs and collaborations. In FY 2007, the program managed 333 grants to states, tribes, and other allowable groups, resulting in the provision of shelter services for over 240,000 victims of domestic violence and their children. The program also increased the number of tribes with family violence prevention programs, from 188 in FY 2006 to 199 in FY 2007. With regard to collaborations, the program is working with the Indian Health Service to support the development of domestic violence prevention and intervention services in Indian Health Clinics. The program also is collaborating with the National Institute of Justice (in the Department of Justice) to conduct an evaluation of domestic violence shelters.

Budget Request — The FY 2009 request for the Family Violence Prevention and Services Program is \$122,552,000, the same as the FY 2008 enacted level. This funding will be used to offer a range of services, residential and non-residential, provided by shelters and maintain the number of shelters funded by the grants for battered women's shelters. These funds will provide on-going support for the critical core services to individuals and families impacted by domestic violence.

As discussed above, the program is in the process of implementing new outcome measures for family violence shelters. The final measures will focus on the increase in victim's knowledge of safety planning, and community resources. Research has shown that these outcomes are related to longer term safety and well-being for domestic violence survivors. Targets are expected to be established after a few years of baseline data are collected. The program also expects to reach the target of 200 tribes with family violence prevention programs by FY 2009.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 14.1: By FY 2010, maintain the number of federally recognized Indian Tribes that have family violence prevention programs at 200.										
14A	Maintain the number of federally recognized Indian Tribes that have family violence prevention programs. <i>(output)</i>	184	188	190	188	205	199	200 ¹	200	200 (FY 2010)
14D	Reduce the Family Violence Prevention Services Act (FVPSA) dollars spent per "bed night." ² <i>(OMB approved efficiency)</i>	n/a	Dec-07	TBD	Dec-08	TBD	Dec-09	TBD	TBD	n/a

¹ Given recent conversations with the tribes, ACF anticipates that the number of tribes with family violence prevention programs will level off at 200. This is because, under the formula for the grant, funds are distributed to the tribes based on the tribal population: smaller tribes may only receive small amounts of funding per year. Some tribes opt not to pursue smaller grants and instead focus on other funding opportunities.

² "Bed night" refers to nights spent in a domestic violence shelter, whether the nights are spent by an adult female, adult male, or child; this term will also be used as a proxy for the core set of services in support of a bed night. This measure is currently under development and may require modification.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of grants	332	327	n/a	328	n/a	333	n/a	n/a	n/a
	Number of interagency agreements	3	3	n/a	2	n/a	3	n/a	n/a	n/a
	Number of clients served in shelters	240,260	296,747	n/a	277,206	n/a	Jun-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$125.6	\$125.6	\$124.6		\$124.7		\$122.6	\$122.6	

Resource and Program Data
Family Violence Prevention and Services/Battered Women's Shelters

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$112,258,000	\$110,188,000	\$110,297,000
Resource Centers	6,237,000	6,128,000	6,128,000
Discretionary	3,466,000	3,476,000	3,476,000
Research/Evaluation			
Demonstration/Development	500,000	500,000	500,000
Training/Technical Assistance	800,000	800,000	800,000
Program Support ¹	1,449,000	1,351,000	1,351,000
Total, Resources ²	\$124,710,000	\$122,552,000	\$122,552,000
<u>Program Data:</u>			
Number of Grants	333	325	325
New Starts:			
#	312	304	304
\$	\$114,324,000	\$112,264,000	\$112,264,000
Continuations:			
#	21	21	21
\$	\$7,637,000	\$7,637,000	\$7,637,000
Contracts:			
#	2	2	2
\$	\$1,302,000	\$1,264,000	\$1,245,000
Interagency Agreements:			
#	3	3	3
\$	\$537,000	\$547,000	\$547,000

¹ Includes funding for information technology support, grant/panel reviews, salaries and benefits costs as well as associated overhead as provided in reauthorization of the Family Violence program.

² Does not include funds from the sale of the Domestic Violence Stamp as stipulated by the Stamp Out Domestic Violence Act of 2001, P.L. 107-67.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Family Violence Prevention and Services/Battered Women's Shelters (CFDA 93.592)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$1,443,200	\$1,420,206	\$1,420,206	\$0
Alaska	722,850	719,499	719,499	0
Arizona	1,730,552	1,699,721	1,699,721	0
Arkansas	1,115,354	1,101,300	1,101,300	0
California	7,284,240	7,101,958	7,101,958	0
Colorado	1,471,499	1,447,733	1,447,733	0
Connecticut	1,242,583	1,225,059	1,225,059	0
Delaware	756,479	752,212	752,212	0
District of Columbia	706,620	703,712	703,712	0
Florida	3,916,656	3,826,209	3,826,209	0
Georgia	2,316,814	2,269,996	2,269,996	0
Hawaii	835,687	829,260	829,260	0
Idaho	868,866	861,534	861,534	0
Illinois	2,952,653	2,888,495	2,888,495	0
Indiana	1,757,540	1,725,974	1,725,974	0
Iowa	1,146,745	1,131,835	1,131,835	0
Kansas	1,106,774	1,092,954	1,092,954	0
Kentucky	1,371,155	1,350,125	1,350,125	0
Louisiana	1,386,133	1,364,694	1,364,694	0
Maine	842,301	835,694	835,694	0
Maryland	1,629,605	1,601,527	1,601,527	0
Massachusetts	1,780,215	1,748,030	1,748,030	0
Michigan	2,450,966	2,400,490	2,400,490	0
Minnesota	1,547,352	1,521,518	1,521,518	0
Mississippi	1,133,627	1,119,075	1,119,075	0
Missouri	1,671,221	1,642,008	1,642,008	0
Montana	773,192	768,469	768,469	0
Nebraska	924,211	915,370	915,370	0
Nevada	1,057,538	1,045,061	1,045,061	0
New Hampshire	841,077	834,503	834,503	0
New Jersey	2,199,588	2,155,966	2,155,966	0
New Mexico	958,362	948,590	948,590	0
New York	4,139,655	4,043,127	4,043,127	0
North Carolina	2,223,779	2,179,498	2,179,498	0
North Dakota	716,582	713,403	713,403	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	2,704,413	2,647,025	2,647,025	0
Oklahoma	1,256,224	1,238,328	1,238,328	0
Oregon	1,278,508	1,260,005	1,260,005	0
Pennsylvania	2,880,902	2,818,701	2,818,701	0
Rhode Island	795,739	790,401	790,401	0
South Carolina	1,392,271	1,370,666	1,370,666	0
South Dakota	743,359	739,450	739,450	0
Tennessee	1,707,173	1,676,980	1,676,980	0
Texas	4,909,990	4,792,454	4,792,454	0
Utah	1,067,536	1,054,787	1,054,787	0
Vermont	714,389	711,270	711,270	0
Virginia	2,001,270	1,963,057	1,963,057	0
Washington	1,772,625	1,740,647	1,740,647	0
West Virginia	933,404	924,312	924,312	0
Wisconsin	1,618,747	1,590,965	1,590,965	0
Wyoming	694,424	691,847	691,847	0
Subtotal	85,492,645	83,995,700	83,995,700	0
Tribes	12,473,100	12,255,200	12,255,200	0
American Samoa	124,731	122,552	122,552	0
Guam	124,731	122,552	122,552	0
Northern Mariana Islands	124,731	122,552	122,552	0
Puerto Rico	1,320,131	1,300,492	1,300,492	0
Virgin Islands	124,731	122,552	122,552	0
Subtotal	14,292,155	14,045,900	14,045,900	0
Total States/Territories	99,784,800	98,041,600	98,041,600	0
Coalitions	12,473,100	12,255,200	12,255,200	0
Resource Centers	6,225,836	6,127,600	6,127,600	0
Discretionary Activities	6,225,836	6,127,600	6,127,600	0
Subtotal adjustments	24,924,772	24,510,400	24,510,400	0
TOTAL RESOURCES	\$124,709,572	\$122,552,000	\$122,552,000	\$0

DOMESTIC VIOLENCE HOTLINE

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$2,970,000	\$2,918,000	\$2,918,000	\$0

Authorizing Legislation — Section 316(g) of the Family Violence Prevention and Services Act.

2009 Authorization Such sums as may be appropriated as proposed in straightline reauthorization.

Allocation Method Competitive Grant

Program Description and Accomplishments — The National Domestic Violence Hotline is a cooperative agreement which funds the operation of a national, toll-free telephone hotline to provide information and assistance to victims of domestic violence in an effort to build healthy, safe and supportive communities and tribes. Counseling and referral services are provided 24 hours a day, 365 days a year. The hotline also must publicize its telephone number and the services it provides to potential users throughout the United States.

The hotline serves as a critical partner in the prevention and resource assistance efforts of the Domestic Violence Resource Network. It provides assistance in the following areas: (1) crisis intervention by helping the caller identify problems and possible solutions, including making plans for safety in an emergency; (2) information about sources of assistance for individuals and their friends, families, and employers wanting to learn more about domestic violence, child abuse, sexual assault, intervention programs for batterers, working through the criminal justice system, and related issues; and (3) nationwide referrals to battered women's shelters and programs, social service agencies, legal programs, and other groups and organizations willing to help.

The hotline maintains a database, which collects information on services for victims of domestic violence, including the availability of shelters to which callers may be referred throughout the United States. Trained hotline counselors are available for non-English speakers, and the hotline is accessible to persons who are hearing-impaired.

Funding for the program during the last five years has been as follows:

2004	\$2,982,000
2005	\$3,224,000
2006	\$2,970,000
2007	\$2,970,000
2008.....	\$2,918,000

The Family Violence Prevention (FVP) program was subject to a PART review in CY 2004. Discussion of this review may be found in the Family Violence Prevention and Services/Battered Women’s Shelters section.

The program has repeatedly succeeded in improving performance related to its hotline. In FY 2006, the Hotline exceeded its goal of responding to 15,000 calls per month by responding to 17,000 calls per month. The “wait time” for callers also has decreased, from 25 seconds in FY 2005 to 18 seconds in FY 2006.

Budget Request — The FY 2009 request for the Domestic Violence Hotline is \$2,918,000, the same as the FY 2008 enacted level. These funds will be used to staff the National Domestic Violence Hotline 24 hours per day, 7 days a week.

The Hotline averages over 500 calls a day. However, a public awareness message or a program that publicizes the Hotline will provide a spike of 600 calls within hours. The requested funding will enable the Hotline to maintain its core number of advocates at 31 full and part-time staff, approximately 15 relief staff, and 10 volunteer hotline advocates. In FY 2009, the hotline expects to increase the number of calls responded to monthly by 500 and “wait time” will be maintained at 17 seconds.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 14.2: By FY 2010, the average number of calls per month to which the National Domestic Violence Hotline responds to reaches 17,000.										
14 B	Increase through training the capacity of the National Domestic Violence Hotline to respond to an increase in the average number of calls per month (as measured by average number of calls per month to which the hotline responds). <i>(outcome)</i>	16,000 calls	16,500 calls	15,000 calls	17,000 calls	15,500 calls	Mar-08	16,000 calls	500 calls over prev year	17,000 (FY 2010)
14 C	Shorten the average “wait time” (on calls to the National Domestic Violence Hotline), until the maintenance rate of 17 second is achieved, in order to increase the number of calls that are responded to and that provide needed information to callers.	0:34 seconds	0:26 seconds	0:25 seconds	0:18 seconds	0:17 seconds	Mar-08	3% under prev year until 0:17	3% under prev year until 0:17	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Total number of calls answered by Domestic Violence Hotline	192,000	197,342	n/a	210,156	n/a	Mar-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$3.0	\$3.2	\$3.0		\$3.0		\$2.9	\$2.9	

Resource and Program Data
Domestic Violence Hotline

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$2,970,000	\$2,918,000	\$2,918,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$2,970,000	\$2,918,000	\$2,918,000
<u>Program Data:</u>			
Number of Grants	1	1	1
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	1	1	1
\$	\$2,970,000	\$2,918,000	\$2,918,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

FEDERAL ADMINISTRATION

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$189,146,000	\$185,858,000	\$196,792,000	+\$10,934,000

2009 Authorization Such sums as may be appropriated.

Allocation Method Direct Federal

Program Description and Accomplishments — The Federal Administration account includes funding for salaries and benefits and associated expenses of the Administration for Children and Families, as well as the Center for Faith-Based and Community Initiatives. These resources provide support for staff and related program management activities necessary to effectively administer federal programs that promote the economic and social well being of families, children, individuals and communities. ACF conducts operations at its headquarters in Washington, D.C., in the ten regional offices of the Department of Health and Human Services, and at the thirteen audit offices of the Office of Child Support Enforcement in various locations throughout the country.

Funding for Federal Administration during the last five years has been as follows:

<u>Year</u>	<u>Funding</u>	<u>FTE</u>
2004	\$179,280,000	1,346
2005	\$186,585,000	1,330
2006	\$184,608,000	1,260
2007	\$189,146,000	1,229
2008	\$185,858,000	1,299

Performance for the Federal Administration account is based on success on the eight initiatives which comprise the President’s Management Agenda (PMA): Strategic Management of Human Capital, Competitive Sourcing, Expanded Electronic Government, Improved Financial Performance, Improved Budget and Performance Integration, Eliminating Improper Payments, Real Property Asset Management and Faith-Based Initiatives. ACF is committed to achieving results through these eight PMA initiatives. In FY 2007, ACF achieved green progress ratings in all eight areas. For example, ACF’s Grants Center of Excellence (HHS/ACF COE) continues to show success in the area of expanding electronic government and support multiple facets of the PMA. ACF was selected by the Office of Management and Budget (OMB) to be one of only three government-wide agencies for providing e-business services to the entire federal government. Due to the Center’s innovation and accomplishments, ACF has been recognized with several awards including a 2007 E-Government Institute Enterprise Architecture Award and the 2006 Presidential Award for Management Excellence. The HHS/ACF COE is an important component for several partner agencies’ financial and programmatic stewardship. Because of the partner success, several new agencies selected the HHS/ACF COE for their grants management services within the past two years, (USDA Food Safety and Inspection Service, HHS Health Resources and Services Administration, Treasury Community Development Financial Institutions and Denali Commission). As an HHS Grants Center of Excellence, ACF continues to support the Administration on Aging (AoA), the Indian Health Service (IHS) and the Centers for Medicare and Medicaid (CMS) in successfully deploying ACF’s grants system. ACF posted all required competitive discretionary grant application packages on Grants.gov.

Budget Request – The FY 2009 budget request is \$196,792,000, an increase of \$10.9 million from the FY 2008 enacted level. This funding level supports the Department’s Center for Faith-Based and Community Initiatives as well as key Departmental management initiatives and 1,299 FTE, the same level as FY 2008. In addition, this funding level supports improper payments (IPIA) efforts for the TANF and Child Care programs and expanded IPIA efforts for child welfare programs. This request also includes \$3.8 million to support the significantly expanded programmatic responsibilities required by the recent reauthorization of the Head Start program. At this funding level, ACF is committed to accomplishing these efforts without increasing overall FTE.

The FY 2009 request reflects the critical need to maintain sufficient funding to support 1,299 FTE in order to allow for the redirection of existing staffing resources to new responsibilities, including implementation of Head Start reauthorization and the first ever recompetition for this program (which currently has over 1,600 grantees). Despite the substantial expansion of this agency’s program responsibilities and limited staffing levels, ACF has continued to achieve success under all PMA initiatives. Additionally, ACF has targeted resources to focus directly on the most critical agency needs, including strengthening accountability and achieving positive programmatic results.

In FY 2009, ACF will strive to maintain the high level of performance associated with meeting the criteria for a green progress rating in all eight initiatives. In particular, the Grants Center of Excellence (HHS/ACF COE) which supports multiple facets of the President’s Management Agenda will continue to serve as leader in E-Government (E-Gov) reform. ACF will proactively and successfully engage security, capital planning investment control (CPIC), Enterprise Architecture (EA) and related E-Gov reporting in all aspects of IT management.

As ACF continually strives to create more innovative and exemplary practices for E-Gov, FY 2009 plans will include: (1) continuing to excel in EA, CPIC and IT security; (2) establishing more partnerships for the HHS/ACF CoE to reduce/eliminate redundancies within the Federal Government; and (3) supporting OPM’s Enterprise Human Resources Integration (EHRI) initiative.

ACF will contribute \$511,000 of its FY 2009 budget to support Department enterprise information technology initiatives as well as the PMA Expanding E-Government initiatives. Operating Division contributions are combined to create an Enterprise Information Technology (EIT) Fund that finances both the specific HHS information technology initiatives identified through the HHS Information Technology Capital Planning and Investment Control process and the PMA initiatives. These HHS enterprise initiatives meet cross-functional criteria and are approved by the HHS IT Investment Review Board based on funding availability and business case benefits. Development is collaborative in nature and achieves HHS enterprise-wide goals that produce common technology, promote common standards, and enable data and system interoperability. The HHS Department initiatives also position the Department to have a consolidated approach, ready to join in PMA initiatives.

Of the amount specified above, \$241,384 is allocated to support the President’s Management Agenda Expanding E-Government initiatives for FY 2009. This amount supports the PMA E-Government initiatives as follows:

PMA e-Gov Initiative	FY 2009 Allocation
Grants.Gov	\$109,116
Human Resources Line of Business (LoB)	2,631
Grants Management LoB	11,429
Financial Management LoB	2,113
Budget Formulation & Execution LoB	1,405

PMA e-Gov Initiative	FY 2009 Allocation
Integrated Acquisition – Loans and Grants	64,690
Disaster Assistance Improvement Plan	50,000
TOTAL	\$241,384

Prospective benefits from these initiatives are:

Grants.gov: Allows HHS to publish grant funding opportunities and application packages online while allowing the grant community (state, local and tribal governments, education and research organizations, non-profit organization, public housing agencies and individuals) to search for opportunities, download application forms, complete applications locally, and electronically submit applications using common forms, processes and systems. In FY 2007, HHS posted over 1,000 packages and received 108,436 application submissions – more than doubling 52,088 received in FY 2007 with NIH substantially increasing its applications submissions from 47,254 to 89,439 submissions.

Lines of Business-Human Resources Management: Provides standardized and interoperable HR solutions utilizing common core functionality to support the strategic management of Human Capital. HHS has been selected as a Center of Excellence and will be leveraging its HR investments to provide services to other Federal agencies.

Lines of Business-Grants Management: Supports end-to-end grants management activities promoting improved customer service; decision making; financial management processes; efficiency of reporting procedure; and, post-award closeout actions. An HHS agency, Administration for Children and Families (ACF), is a GMLOB consortia lead, which has allowed ACF to take on customers external to HHS. These additional agency users have allowed HHS to reduce overhead costs for internal HHS users. Additionally, NIH is an internally HHS-designated Center of Excellence and has applied to be a GMLOB consortia lead. This effort has allowed HHS agencies using the NIH system to reduce grants management costs. Both efforts have allowed HHS to achieve economies of scale and efficiencies, as well as streamlining and standardization of grants processes, thus reducing overall HHS costs for grants management systems and processes.

Lines of Business –Financial Management: Supports efficient and improved business performance while ensuring integrity in accountability, financial controls and mission effectiveness by enhancing process improvements; achieving cost savings; standardizing business processes and data models; promoting seamless data exchanges between Federal agencies; and, strengthening internal controls.

Lines of Business-Budget Formulation and Execution: Allows sharing across the Federal government of common budget formulation and execution practices and processes resulting in improved practices within HHS.

Integrated Acquisition Environment for Loans and Grants: Managed by GSA, all agencies participating in the posting and/or awarding of Loans and Grants are required by the Federal Funding Accountability and Transparency Act (FFATA) to disclose award information on a publicly accessible website. Cross-government cooperation with the Office of Management and Budget's Integrated Acquisition Environment initiative in determining unique identifiers for Loans & Grants transactions furthers the agency in complying with the Transparency Act, which enhances transparency of federal program performance information, funding, and Loans & Grants solicitation.

Disaster Assistance Improvement Plan (DAIP): The DAIP, managed by Department of Homeland Security, assists agencies with active disaster assistance programs such as HHS to reduce the burden on other federal agencies which routinely provide logistical help and other critical management or

organizational support during disasters. The DAIP program office, during its first year of operation, will quantify and report on the benefits and cost savings or cost reductions for each member agency.

Unified Financial Management System Operations and Maintenance (UFMS): UFMS has now been fully deployed. The Program Support Center, through the Service and Supply Fund, manages the ongoing Operations and Maintenance (O&M) activities for UFMS. The scope of O&M services includes post deployment support and ongoing business and technical operations services, as well as an upgrade of Oracle software from version 11.5.9 to version 12.0. ACF will use \$2.9 million for these O&M costs in FY 2009.

HHS Consolidated Acquisition System: The HHS Consolidated Acquisition System (HCAS) initiative is a Department-wide contract management system that will integrate with the Unified Financial Management System (UFMS). The applications within the HCAS are Compusearch PRISM and a portion of the Oracle Compusearch Interface (OCI). PRISM is a federal contract management system that streamlines the procurement process. PRISM automates contract writing, simplified acquisitions, electronic approvals and routing, pre-award tracking, contract monitoring, post award tracking, contract closeout and reporting. ACF will use \$46,000 to support the completion of HCAS implementation in FY 2009.

ACF's FY 2009 *Exhibit 300: Capital Assets Plan and Business Case Summaries* will be posted on the HHS website by February 19, 2008. The URL is www.hhs.gov/exhibit300.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
11A	Obtain ultimate 'Green' progress for each initiative under the President's Management Agenda (PMA). <i>(outcome)</i>	5 green scores	Met all greens	7 green scores ¹	Met all greens	8 green scores ²	Met all greens	8 green scores	8 green scores	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of green progress ratings under the President's Management Agenda	5	6	7	7	8	8	8	8	n/a
	Appropriated Amount (\$ Million)	\$179.3	\$186.6	\$184.6		\$189.1		\$185.9	\$196.8	

¹ The ACF progress rating for the seventh initiative, "Real Property," was introduced in FY 2006.

² The ACF progress rating for the eighth initiative, "Faith-Based Initiatives" was introduced in the third quarter of FY 2006.

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

REFUGEE AND ENTRANT ASSISTANCE

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FY 2009 Proposed Appropriation Language

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

For necessary expenses for refugee and entrant assistance activities ~~and for costs associated with the care and placement of unaccompanied alien children~~ authorized by ~~title IV~~ **section 414** of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, for carrying out section 462 of the Homeland Security Act of 2002, **for costs associated with the care and placement of unaccompanied alien children**, and for carrying out the Torture Victims Relief Act of 1998, ~~\$667,288,000~~ **\$628,044,000** of which up to ~~\$9,988,000~~ **\$9,814,000** shall be available to carry out the Trafficking Victims Protection Act of 2000 **as amended**: *Provided*, That funds appropriated under this heading pursuant to section 414(a) of the Immigration and Nationality Act and section 462 of the Homeland Security Act of 2002 for fiscal year ~~2008~~ **2009** shall be available for the costs of assistance provided and other activities to remain available through September 30, ~~2010~~ **2011** (**6 U.S.C. 279; 8 U.S.C. 1522 note, 1524; 22 U.S.C. 2152 note, 7101 et seq**).

LANGUAGE ANALYSIS

Language Provision	Explanation
<i>“...and for costs associated with the care and placement of unaccompanied alien children.”</i>	This phrase was moved to clarify the appropriate authorizing statute and United States Code for the Unaccompanied Alien Children Program.
<i>“...title IV section 414...”</i>	Replaced by the more specific reference.
<i>“as amended:”</i>	Correct reference.
<i>“...(6 U.S.C. 279;...)”</i>	The appropriate United States Code references have been inserted to avoid ambiguity with the associated references to statute.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Authorizing Legislation

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
Section 414(a) of the Immigration and Nationality Act, and Section 501 of the Refugee Education Assistance Act of 1980. (The authorization for these programs expired on September 30, 2002.)				
1. Transitional and Medical Services	Such sums	296,057,000	Such sums	287,000,000
2. Social Services	Such sums	154,005,000	Such sums	154,005,000
3. Preventive Health	Such sums	4,748,000	Such sums	4,748,000
4. Targeted Assistance	Such sums	48,590,000	Such sums	48,590,000
Section 113(b) of the Trafficking Victims Protection Act of 2000. (The authorization for this program expired on September 30, 2007.)	15,000,000	9,814,000	15,000,000	9,814,000
Section 202 of the Trafficking Victims Reauthorization Act of 2005. (The authorization for this program expired on September 30, 2007.)	10,000,000	0	10,000,000	0

¹ Amounts in this column represent the President's Budget.

	FY 2008 Amount Authorized	FY 2008 Budget Estimate	FY 2009 Amount Authorized	FY 2009 Budget Request ¹
Section 5(b)(1) of the Torture Victims Relief Act of 1998. (The authorization for this program expired on September 30, 2007.)	25,000,000	9,817,000	25,000,000	9,817,000
Section 462(a) of the Homeland Security Act of 2002:				
Unaccompanied Alien Children	Such sums	132,600,000	Such sums	114,070,000
Total request		655,631,000		628,044,000
Total request against definite authorization	\$50,000,000	\$19,631,000	\$50,000,000	\$19,631,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
2000				
Appropriation	\$442,676,000	\$423,500,000	\$430,500,000	\$426,505,000
Rescission				-\$332,000
Total				\$426,173,000
2001				
Appropriation	432,569,000	433,109,000	425,586,000	433,109,000
Rescission				-6,000
Total				433,103,000
2002				
Appropriation	445,224,000	460,224,000	445,224,000	460,203,000
Rescission				-48,000
Total				460,155,000
2003				
Appropriation	452,724,000	446,724,000	442,924,000	480,903,000
Rescission				-2,904,000
Total				477,999,000
2004				
Appropriation	461,626,000	461,853,000	428,056,000	447,598,000
Rescission				-2,678,000
Total				444,920,000
2005				
Appropriation	473,239,000	491,336,000	44,239,000	484,714,000
Rescission				-3,940,000
Total				480,774,000
2006				
Appropriation	571,140,000	560,919,000	571,140,000	575,579,000
Rescission				-5,756,000
Section 202				-391,000
Total				569,432,000
2007				
Appropriation	614,935,000	604,329,000	599,935,000	587,847,000
Total				587,847,000
2008				
Appropriation	655,630,000	650,630,000	654,166,000	667,288,000
Rescission				-11,657,000
Total				655,631,000
2009				
Appropriation	628,044,000			

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Amounts Available for Obligation

	<u>FY 2007</u> <u>ACTUAL</u>	<u>FY 2008</u> <u>ENACTED</u>	<u>FY 2009</u> <u>ESTIMATE</u>
Appropriation:			
Annual	\$587,847,000	\$667,288,000	\$628,044,000
Enacted rescission	0	-11,657,000	0
Subtotal, Adjusted appropriation	\$587,847,000	\$655,631,000	\$628,044,000
Unobligated balance, start of year	17,091,000	40,600,000	38,600,000
Unobligated balance, end of year	40,600,000	38,600,000	0
Total, Obligations	\$564,338,000	\$657,631,000	\$666,644,000

Budget Authority by Activity

	<u>FY 2007</u> <u>Enacted</u>	<u>FY 2008</u> <u>Enacted</u>	<u>FY 2009</u> <u>Estimate</u>
Transitional and Medical Services	\$265,546,000	\$296,057,000	\$287,000,000
Victims of Trafficking	9,823,000	9,814,000	9,814,000
Social Services	154,005,000	154,005,000	154,005,000
Victims of Torture	9,817,000	9,817,000	9,817,000
Preventive Health	4,748,000	4,748,000	4,748,000
Targeted Assistance	48,590,000	48,590,000	48,590,000
Unaccompanied Alien Children	95,318,000	132,600,000	114,070,000
Subtotal, Budget Authority	\$587,847,000	\$655,631,000	\$628,044,000
Unobligated balances, start of year	17,091,000	40,600,000	38,600,000
Unobligated balances, end of year	40,600,000	38,600,000	0
Total, BA	\$564,338,000	\$657,631,000	\$666,644,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

SUMMARY OF CHANGES

FY 2008 Enacted		
Total estimated budget authority		\$655,631,000
FY 2009 Estimate		
Total estimated budget authority		\$628,044,000
Net change.....		-\$27,587,000

	<u>FY 2008 Enacted</u>	<u>Change from Base</u>
<u>Decreases:</u>		
A. <u>Program:</u>		
1) Reduce new funding for Transitional and Medical Services grants to provide assistance to refugee arrivals/eligibles, including victims of trafficking.....	\$296,057,000	-\$9,057,000
2) Reduce new funding for Unaccompanied Alien Children grants and contracts to provide care and placement to unaccompanied minor.....	\$132,600,000	-\$18,530,000
Total Decreases	\$428,657,000	-\$27,587,000
Net Change		-\$27,587,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Justification

	FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Transitional and Medical Services	\$265,546,000	\$296,057,000	\$287,000,000	-\$9,057,000
Victims of Trafficking	9,823,000	9,814,000	9,814,000	0
Social Services	154,005,000	154,005,000	154,005,000	0
Victims of Torture	9,817,000	9,817,000	9,817,000	0
Preventive Health	4,748,000	4,748,000	4,748,000	0
Targeted Assistance	48,590,000	48,590,000	48,590,000	0
Unaccompanied Alien Children	95,318,000	132,600,000	114,070,000	-18,530,000
Total, BA	\$587,847,000	\$655,631,000	\$628,044,000	-\$27,587,000

General Statement

The Refugee and Entrant Assistance program is designed to help refugees, asylees, Cuban and Haitian entrants, and trafficking victims to become employed and self-sufficient as quickly as possible. As a result of the Homeland Security Act of 2002, the program is also responsible for coordinating and implementing the care and placement of unaccompanied alien children who are in federal custody by reason of immigration status. These duties are consistent with the Administration for Children and Families' strategic goals of increasing independence and productivity of families, increasing employment and promoting the social well-being of children. The President's request represents the amount needed to maintain current assistance levels and to provide support for victims of torture and unaccompanied alien children in federal custody.

Refugee and Entrant Assistance funds support seven programs:

- Transitional and Medical Services
 - < **State-administered/Wilson-Fish programs:** Provides, through state governments and other non-profit agencies, cash and medical assistance to eligible refugees, entrants, asylees, and trafficking victims, as well as foster care services to unaccompanied refugee minors and unaccompanied minor victims of a severe form of trafficking until emancipation. Grantees are reimbursed for costs incurred to administer refugee program activities.
 - < **Voluntary Agency Matching Grant Program:** Funds U.S. voluntary resettlement agencies to take responsibility for resettling refugees during their initial four months in the United States by providing services such as case management, job development, job placement and follow up, and interim housing and cash assistance to help refugees become employed and self-sufficient within their first four months in the U.S. (up to six months as determined on a case-by-case basis). Participating refugees may not access public cash assistance.

- Victims of Trafficking — Funds private, non-profit organizations to assist victims of human trafficking in becoming certified and access benefits to the same extent as refugees; provides financial and supportive services to both pre and post certified victims, and increases public awareness about human trafficking.
- Social Services — Funds state governments and private, non-profit agencies responsible for providing services such as English language training, employability services, case management, social adjustment services and interpretation services, to ensure that refugees become self-sufficient as quickly as possible.
- Victims of Torture — Funds direct services through non-profit organizations to victims of torture, including treatment, rehabilitation, and social and legal services, as well as research and training for health care providers to enable them to treat the physical and psychological effects of torture.
- Preventive Health — Funds states to coordinate and promote refugee access to health screening, assessment, treatment, and follow-up services, recognizing that a refugee's medical condition may affect public health as well as prevent a refugee from achieving economic self-sufficiency.
- Targeted Assistance — Provides grants to states with counties that are impacted by high concentrations of refugees and high welfare dependency rates. States are required by statute to pass on to the designated counties at least 95 percent of the funds awarded. Services provided by this program are generally designed to secure employment for refugees within one year or less.
- Unaccompanied Alien Children — Funds private, non-profit agencies to provide for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Department of Homeland Security (DHS) agents, Border Patrol officers, or other law enforcement agencies, and taken into care pending resolution of their claims for relief under U.S. immigration law or release to an adult family member or responsible adult guardian.

The FY 2009 President's Budget request of \$628,044,000 for this account represents current law requirements. No proposed law amounts are included.

Office of Refugee Resettlement Populations Served

Year	State Dept Refugee Ceiling	Refugee Arrivals	Special Immigrant Visa Arrivals	Cuban/Haitian	Asylees	Trafficking Victims	Unaccompanied Alien Children
2003	70,000	28,234	n/a	8,687	24,264	151	4,792
2004	70,000	52,868	n/a	22,923	22,923	163	6,200
2005	70,000	53,813	n/a	9,275	23,922	231	7,800
2006	70,000	41,270	n/a	19,301	25,256	234	7,746
2007	70,000	48,281	n/a	17,222	25,271	303	8,212
2008	80,000						
2009	80,000						

TRANSITIONAL AND MEDICAL SERVICES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$265,546,000	\$296,057,000	\$287,000,000	-\$9,057,000

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980.

2009 AuthorizationSuch sums as may be appropriated, pending Congressional action.

Allocation MethodFormula Grant

Program Description and Accomplishments — Transitional and Medical Services can be provided in three ways:

1. State refugee program offices are reimbursed for costs incurred to administer the program. Cash and medical assistance is provided to adult refugees, asylees, entrants, and certified adult trafficking victims who are not categorically eligible for TANF, Medicaid, or SSI. State refugee program offices also are reimbursed for providing foster care to an unaccompanied refugee minor until the child reaches the age of eighteen. If a state has established a later age for emancipation from foster care, reimbursements will be provided until that date.
2. Under the Voluntary Agency (Matching Grant) Program, participating national voluntary refugee resettlement agencies, many of which are faith-based organizations, provide a match (in cash and in-kind services) of one dollar for every two dollars of federal contribution. The participating agencies provide services such as case management, job development, job placement and follow up, and interim cash assistance to help refugees become employed and self-sufficient within their first four months in the U.S. (up to six months as determined on a case by case basis). Participating refugees may not access public cash assistance.
3. Alternative projects that encourage refugee self-sufficiency are funded through discretionary grants under the Wilson-Fish program in twelve states. Discretionary grants provide interim financial and medical assistance to newly arrived refugees to increase their prospects for early employment and self-sufficiency and to reduce welfare dependency.

Funding for Transitional and Medical Services during the last five years has been as follows:

2004	\$168,975,000
2005	\$204,993,000
2006	\$265,361,000
2007	\$265,546,000
2008	\$296,057,000

Transitional and Medical Services received a PART rating of Effective in CY 2005. The review cited the program’s focus on achieving meaningful performance outcome goals and coordination with partners (State Refugee Coordinators, voluntary agencies, and ethnic organization partners) as strong attributes. As a result of the PART review, the program is working with grantees to improve data collection and monitoring such as addressing issues related to accuracy and timeliness of data reporting.

Following the PART, the program has made great strides in meeting the goal of assisting refugees in attaining economic self-sufficiency through the provision of cash and medical assistance to newly arriving refugees. In 2006, 12,063 refugees receiving cash assistance, or 62 percent, terminated their cash assistance grants due to earned income from employment. This represents a significant improvement over the previous year's result of 56 percent (10,517). Further, the Matching Grant program also experienced success in increasing the number of refugees who became economically self-sufficient (not dependent on any cash assistance) within the first six months to over 83 percent (9,675 cases and 21,870 individuals) in 2006, exceeding the target of 79 percent. The program has shown continuous improvement in CY 2006 since the CY 2004 baseline of 78 percent following implementation of performance based grant awards were implemented.

Budget Request — The FY 2009 request of \$287,000,000, combined with FY 2007 carryover funding of \$24,600,000, is \$311,600,000, a \$15,543,000 increase from the FY 2008 enacted level. This funding is sufficient to continue to provide eight months of cash and medical assistance to eligible refugees, entrants, asylees, and trafficking victims, as well as foster care services to the same population until emancipation. Because there were fewer refugee arrivals in FY 2007 than expected, due in part to the USA PATRIOT Act that barred individuals from entry into the United States if they had provided “material support” to terrorist groups, \$40,600,000 of FY 2007 carryover funding is available for use in FY 2008 and FY 2009. State Department's increase of the FY 2008 refugee ceiling from 70,000 to 80,000 combined with potential increases in the number of special immigrant visas to 5,000 per year, will result in the use of approximately \$16,000,000 of carryover funding in FY 2008 to provide eight months of cash and medical assistance.

The projected arriving population is expected to remain ethnically diverse and in need of intensive services. For example, the State Department has indicated that Bhutanese, Burundian, and Burmese populations will be heavily represented among arrivals beginning in FY 2008 and will continue into FY 2009. These three groups face specific challenges to self-sufficiency. They have lived in refugee camps for extended periods of time and have mostly rural backgrounds and minimal work experience, as well as limited exposure to modern amenities and English language instruction. Many will be dealing with the effects of past trauma, including sexual and domestic violence, which impede employment success and self-sufficiency. Reports from the Burmese camps in Thailand indicate that significant numbers of women in the camp have been subjected to sexual and gender-based violence, resulting in additional trauma-related barriers to employment. Additionally, 12,000 Iraqi refugees are expected to be resettled in FY 2008, with larger numbers anticipated for FY 2009, and are likely to have suffered trauma due to persecution.

The Office of Refugee Resettlement's success in promoting economic self-sufficiency via the Refugee Cash Assistance/Refugee Medical Assistance program has been indicated by its performance on outcome measures related to the quality of jobs obtained by refugees who have received assistance. Success under this measure indicates that the program is meeting its goal. On a measure of the average wage of refugees entering employment, in FY 2006, there was a \$0.20 increase from the FY 2005 baseline in the aggregate average wage to \$8.24, besting the performance target by \$0.12. ORR has set an FY 2009 target to increase the average hourly wage to \$8.37 through efforts to improve refugees' quality of employment and capacity for job upgrades through English language training, vocational training, specialized skills training, on-the-job training, and other supportive services such as transportation, interpretation, and child care. Success in accomplishing this increase in the average hourly wage is contingent upon a refugee's ability to transition to self-sufficiency.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 15.1: Increase the percent of cash assistance terminations due to earned income from employment for those refugee clients receiving cash assistance at employment entry to 62.29 percent by FY 2010.										
15A	Increase the percent of cash assistance terminations due to earned income from employment for those clients receiving cash assistance at employment entry. <i>(outcome)</i>	60.57%	56.42%	57.55%	62.23%	58.70%	Dec-08	59.87%	61.07%	62.29% (FY 2010)
Long-Term Objective 15.2: Increase the average hourly wage of refugees at placement (employment entry) to \$8.45/hour by FY 2010.										
15B	Increase the average hourly wage of refugees at placement (employment entry). <i>(outcome)</i>	\$7.94	\$8.04	\$8.12	\$8.24	\$8.20	Dec-08	\$8.29	\$8.37	\$8.45 (FY 2010)
15C	For refugees receiving Temporary Assistance for Needy Families (TANF) or other forms of federal cash assistance, shorten the length of time from arrival in the U.S. to achievement of self-sufficiency. <i>(OMB approved efficiency)</i>	n/a	n/a	n/a	n/a	n/a	Dec-08	TBD	TBD	n/a
Long-Term Objective 16.1: Increase the percentage of clients enrolled in the Matching Grants program who achieve economic self-sufficiency by the 180 th day to 80.5 percent by CY 2009.										
16A	Increase the percentage of refugees who enter employment through the Matching Grant (MG) program as a subset of all MG employable adults by a percent of the prior year's actual percentage outcome. <i>(outcome)</i>	72.00%	74.24%	76.47%	72.14%	72.86%	Dec-08	1% over prev year	1% over prev year	n/a
16B	Increase the percentage of refugees who are self-sufficient (not dependent on any cash assistance) within the first four months (120 days) after arrival by a percent of the prior year's actual percentage outcome. <i>(outcome)</i>	73.00% ¹	77.50%	79.82%	75.54%	76.30%	Dec-08	1% over prev year	1% over prev year	n/a
16C	Increase the percentage of refugees who are self-sufficient (not dependent on any cash assistance) within the first six months (180 days) after arrival by a percent of the prior year's actual percentage outcome. <i>(outcome)</i>	78.00%	80.54%	79.00%	83.12%	79.50%	Dec-08	80.00%	81.00%	n/a
16D	Increase the number of Matching Grant program refugees who are self-sufficient (not dependent on any cash assistance) within the first six months (180 days after arrival), per million federal dollars awarded to grantees (adjusted for inflation). <i>(OMB approved efficiency)</i>	385	405	400	415	410	Dec-08	420	390 ²	n/a

¹ This figure has been updated from the previously reported data as a result of updated program information.

² The target for this measure appears to decline in CY 2009; however this decrease is simply the result of an increase in per capita amount.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out-Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of cash assistance terminations due to earned income from employment	10,043	10,517	13,089	12,063	15,272	Dec-08	n/a	n/a	n/a
	Number of Matching Grant program refugees who are self-sufficient within the first six months (180 days) after arrival	19,500	20,135	19,750	21,870	21,681	May-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$169.0	\$205.0	\$265.4		\$265.5		\$296.1	\$287.0	

Resource and Program Data
Transitional and Medical Services

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$151,763,000	\$207,865,000	\$198,808,000
Discretionary	68,703,000	83,000,000	83,000,000
Research/Evaluation	3,998,000	4,100,000	4,100,000
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	783,000	1,092,000	1,092,000
Total, Resources	\$225,247,000 ²	\$296,057,000 ²	\$287,000,000 ²
<u>Program Data:</u>			
Number of Grants	69	67	67
New Starts:			
#	57	55	46
\$	\$201,761,000	\$267,865,000	\$198,808,000
Continuations:			
#	12	12	21
\$	\$18,705,000	\$23,000,000	\$83,000,000
Contracts:			
#	4	3	3
\$	\$3,998,000	\$4,100,000	\$4,850,000
Interagency Agreements:			
#	1	1	1
\$	\$446,000	\$750,000	\$750,000

¹ Includes funding for information technology support, overhead and monitoring/on-site review costs.

² FY 2007 carryover funds of \$41M will be obligated in FY 2008 (\$16M) and FY 2009 (\$25M).

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-TMS (CFDA # 93.566)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$0	\$0	\$0	\$0
Alaska	0	0	0	0
Arizona	2,286,000	6,269,000	6,269,000	0
Arkansas	12,000	56,000	56,000	0
California	20,664,000	23,213,000	23,213,000	0
Colorado	1,511,000	2,485,000	2,485,000	0
Connecticut	416,500	907,000	907,000	0
Delaware	50,000	57,000	57,000	0
District of Columbia	629,500	1,394,000	1,394,000	0
Florida	51,632,000	54,538,000	54,537,600	-400
Georgia	2,554,500	3,493,000	3,493,000	0
Hawaii	29,500	50,000	50,000	0
Idaho	749,500	1,051,000	950,000	-101,000
Illinois	4,026,000	5,055,000	5,055,000	0
Indiana	510,500	1,019,000	719,000	-300,000
Iowa	854,500	861,000	861,000	0
Kansas	594,000	477,000	477,000	0
Kentucky	0	0	0	0
Louisiana	579,000	662,000	662,000	0
Maine	228,000	378,000	378,000	0
Maryland	3,261,000	6,201,000	6,201,000	0
Massachusetts	3,752,000	3,996,000	3,996,000	0
Michigan	4,072,500	7,078,000	5,850,000	-1,228,000
Minnesota	6,432,500	11,495,000	8,500,000	-2,995,000
Mississippi	772,000	1,317,000	870,000	-447,000
Missouri	639,500	927,000	927,000	0
Montana	44,500	50,000	50,000	0
Nebraska	467,500	803,000	687,000	-116,000
Nevada	0	0	0	0
New Hampshire	423,000	538,000	460,000	-78,000
New Jersey	1,969,000	2,659,000	2,375,000	-284,000
New Mexico	1,016,000	1,130,000	1,130,000	0
New York	4,986,000	10,823,000	9,600,000	-1,223,000
North Carolina	2,220,500	2,527,000	2,250,000	-277,000
North Dakota	515,500	866,000	741,000	-125,000

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	4,840,000	6,429,000	6,250,000	-179,000
Oklahoma	567,000	560,000	483,900	-76,100
Oregon	1,346,000	3,412,000	3,120,000	-292,000
Pennsylvania	3,797,000	6,064,000	5,588,000	-476,000
Rhode Island	88,000	291,000	291,000	0
South Carolina	39,000	252,000	252,000	0
South Dakota	154,000	317,000	271,000	-46,000
Tennessee	431,000	1,650,000	1,509,000	-141,000
Texas	11,133,000	16,307,000	16,307,000	0
Utah	1,173,000	2,394,000	2,394,000	0
Vermont	101,000	199,000	170,000	-29,000
Virginia	3,974,000	5,251,000	5,251,000	0
Washington	4,877,000	7,904,000	7,904,000	0
West Virginia	12,000	14,000	11,500	-2,500
Wisconsin	1,333,000	4,446,000	3,805,000	-641,000
Wyoming	0	0	0	0
Subtotal	151,763,000	207,865,000	198,808,000	-9,057,000
Discretionary Fund	68,703,000	83,000,000	83,000,000	0
Other	4,781,000	5,192,000	5,192,000	0
Subtotal adjustments	73,484,000	88,192,000	88,192,000	0
TOTAL RESOURCES	\$225,247,000	\$296,057,000	\$287,000,000	-\$9,057,000

VICTIMS OF TRAFFICKING

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$9,823,000	\$9,814,000	\$9,814,000	\$0

Authorizing Legislation – Section 113(b) and Section 202 of the Trafficking Victims Protection Act and the Trafficking Victims Reauthorization Act of 2005.

2009 Authorization \$15,000,000 for international victims and \$10,000,000 for domestic victims, pending Congressional action.

Allocation Method Discretionary Grant

Program Description and Accomplishments — The Trafficking Victims Protection Act focuses on preventing human trafficking overseas, increasing prosecution of human traffickers here in the U.S., protecting the victims, and providing victims with federal and state assistance where necessary. Victims of a severe form of trafficking are defined as people who are sexually exploited or compelled to provide labor through physical force, fraud, or coercion. Estimates indicate that there may be thousands of victims in the U.S.

This program extends eligibility for benefits and services to trafficking victims to the same extent as refugees. The law requires HHS, in consultation with the Attorney General, to certify adult trafficking victims as a pre-condition for their eligibility. To receive certification, victims of trafficking must be a victim of a severe form of trafficking as defined by the Trafficking Victims Protection Act of 2000, be willing to assist with the investigation and prosecution of trafficking cases, and have completed a bone fide application for a T-visa or have received a continued presence status from U.S. Customs and Immigration Services in order to contribute to the prosecution of human traffickers. Once they have met these certification requirements, victims of trafficking will receive an official letter of certification from ORR. Certified adult trafficking victims are then eligible to apply for benefits and services under any federal or state funded program, to the same extent as refugees. Adult trafficking victims may be eligible for refugee cash and medical assistance and social services. Victims under 18 years of age do not need such certification in order to be eligible for benefits and services under the unaccompanied refugee minors program, but rather receive eligibility letters. In addition, the program provides services to pre-certified victims.

In order to more efficiently fund services to victims of human trafficking and to provide support for services to victims in any location within the United States, a single national contract, the “Per Capita Victim Services,” was competitively awarded in FY 2006. Under this contract, a defined financial stipend is provided for each victim. The contract provides one per capita amount for victims prior to certification and a lower amount for certified victims, since certification provides a victim of trafficking with eligibility for many federally funded programs. Service entities under this contract provide such things as case management, benefit coordination, and counseling. To date, the contractor has entered into subcontracts with over 90 individual service providing entities in 120 locations and provided services to over 220 pre-certified clients and over 600 certified clients and family members.

In addition, four contracts for “Intermediary Coalitions,” awarded in FY 2006, have augmented the work of local anti-trafficking coalitions in 21 cities/states which were established through the Rescue and Restore Public Information Campaign. In several of the communities that have established coalitions, intermediary entities serve as the focal point for local outreach and victim identification. To date,

intermediaries have issued over 40 sub-contracts to local anti-trafficking, grassroots organizations that identify and work with victims of human trafficking. The intermediary contractors manage the local network of sub-contractors and have continued to help lead the Rescue & Restore coalitions in their areas.

Funding for Victims of Trafficking during the last five years has been as follows:

2004	\$ 9,909,000
2005	\$ 9,915,000
2006	\$ 9,809,000
2007	\$ 9,823,000
2008	\$ 9,814,000

The Victims of Trafficking program received a PART rating of Moderately Effective in CY 2005. The review cited strong management, focus on achieving results, and recent steps to make improvements in design, management, and performance as strong attributes of the program. As a result of the PART review, the program is working to enhance its trafficking database to better track the progress of victims served and is continuing to build relationships with other HHS offices to increase awareness and knowledge about trafficking.

In FY 2007, the program certified 303 victims of human trafficking, reflecting consistent improvement over the previous year's results. This indicator serves as a proxy for the program's desired outcome of rescuing victims of trafficking. Since the Rescue and Restore campaign was instituted in April 2004, the program also has seen major efficiency gains with respect to the number of victims certified and served by the network of grantees per million dollars invested, up to 30.6 in FY 2007 from the FY 2004 baseline of 16.0. The number certified represents all victims that the Human Trafficking program was able to certify in accordance with the legislative requirements of the law. The program serves a larger number of victims than those who are certified – services are additionally provided to pre-certification victims and victims who may have already been certified in prior years. Of the pre-certification victims served, some may ultimately pursue an alternative type of certification other than trafficking certification (such as a U-Visa). The program is continuing to examine ways by which additional victims may be identified and certified, including increased cooperative efforts with law enforcement entities responsible for investigating cases. In light of the most recent actual data, future targets have been revised to reflect more realistic yet rigorous performance goals.

Budget Request — The FY 2009 request is \$9,814,000, the same as the FY 2008 enacted level. These funds will support the national network for tracking, certifying and providing services to trafficking victims.

The program is continuing to examine ways by which additional victims may be identified and certified, including increased cooperative efforts with law enforcement entities responsible for investigating cases. In addition, due to changes in the structure of the Victims of Trafficking program, such as awareness campaigns, a set of new grants to expand existing outreach activities to identify trafficking victims, and the establishment of a nationwide contractor to target services to victims as needed, the Office of Refugee Resettlement expects that it will be able to meet future performance targets. By FY 2009, the program expects to succeed in increasing the number of victims certified by five percent over the previous year's total.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 17.1: Increase the number of victims of trafficking certified to 500 per year by FY 2011. ¹										
17A	Increase the number of victims of trafficking certified per year. (outcome)	163	231	300	234	400	303	5% over prev year ¹	5% over prev year	500 (FY 2011)
17B	Increase the number of victims certified and served by whole network of grantees per million dollars invested. (OMB approved efficiency)	16.0	23.1	30.0	23.8	40.0	30.6	5% over prev year ¹	5% over prev year	n/a
17C1	Increase 1) media impressions per thousand dollars invested. (OMB approved efficiency)	23,000 ²	104,600 ³	29,750	4,429 ⁴	50,570	Jun-08	3% over prev year	3% over prev year	n/a
17C2	Increase 2) hotline calls per thousand dollars invested. (OMB approved efficiency)	0.54	1.81	0.890	7.63 ⁵	1.80	Jun-08	3% over prev year	3% over prev year	n/a
17C3	Increase 3) website visits per thousand dollars invested. (OMB approved efficiency)	15	17	33	6,556 ⁶	69	Jun-08	3% over prev year	3% over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of victims certified per year	163	231 ⁷	300	234	400	303	5% over prev year ⁸	5% over prev year	500 (FY 2011)
	Number of launches for "Rescue and Restore" Campaign	6	10	n/a	1	n/a	4	n/a	n/a	n/a
	Number of website visits	36,492 ⁹	127,859	n/a	216,509	n/a	Jun-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$9.9	\$9.9	\$9.8		\$9.8		\$9.8	\$9.8	

¹ This target has been revised based on recent data. The new target maintains rigor while taking into account the most recent data trend.

² The first phase of the "Rescue and Restore" campaign began in April 2004. Therefore these results represent a partial year of data collection on media impressions.

³ In FY 2005, there were ten "Rescue and Restore" campaign launches, which represents a significant increase over the six launches in FY 2004 and one launch in FY 2006. Therefore the media impressions result for this year of data is also significantly higher.

⁴ Due to delays as a result of contractual negotiations beyond the control of the program, the second phase of the "Rescue and Restore" campaign media efforts were not fully launched until late FY 2006, causing a lower than expected result.

⁵ Hotline data result is based on improved data collection from contractor following a performance review of the contract by the program. As a result, improvements in the tracking of calls received combined with improved analysis of contract costs, resulted in a much higher than expected outcome.

⁶ Website data for FY 2004 and FY 2005 were estimated as a proportion of total website hits for the Office of Refugee Resettlement. The program instituted improved website tracking during FY 2006, allowing more precise measurement of website hits specifically related to the Human Trafficking program.

⁷ This figure has been revised based on finalized data submissions from grantees.

⁸ This target has been revised base on recent data. The new target maintains rigor while taking into account the most recent data trend.

⁹ This data represents website hits for a partial year (March-September 2004) since the Trafficking website was not launched until March 2004.

Resource and Program Data
Victims of Trafficking

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$1,817,000	\$1,316,000	\$1,298,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	7,858,000	8,498,000	8,516,000
Total, Resources	\$9,675,000	\$9,814,000	\$9,814,000
<u>Program Data:</u>			
Number of Grants	19	19	19
New Starts:			
#	1	0	0
\$	\$395,000	\$0	\$0
Continuations:			
#	18	19	19
\$	\$1,422,000	\$1,316,000	\$1,298,000
Contracts:			
#	4	5	5
\$	\$6,963,000	\$7,150,000	\$7,150,000
Interagency Agreements:			
#	1	2	2
\$	\$65,000	\$211,000	\$211,000

¹ Includes funding for information technology support, contractor support, salaries and benefits and associated overhead costs, printing, monitoring/on-site review costs, public outreach efforts, Per Capita Victims Services Contracts, Intermediary Coalition Contracts, Trafficking Victims Hotline Contract and an inter-agency agreement for decertification costs for international victims of human trafficking certified in the United States.

SOCIAL SERVICES

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$154,005,000	\$154,005,000	\$154,005,000	\$0

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980.

2009 AuthorizationSuch sums as may be appropriated, pending Congressional action.

Allocation MethodFormula/Discretionary Grant

Program Description and Accomplishments — A portion of the Social Services funding is distributed by formula to states and a portion is distributed through discretionary grants. Priority is given to English language training, case management, employment preparation, and job placement and retention services.

Social Services formula grant allocations to states and Wilson-Fish grantees are based on the number of refugee, entrant, and asylee arrivals in each state. Secondary migration of refugees from the state of initial resettlement also is considered in reaching the final refugee social services formula allocations. By statute, allocations are retrospective rather than prospective in nature, and are based on arrivals during the most recent 36-month period rather than on anticipated arrivals. Discretionary Social Services grant awards are made competitively and focus on the anticipated needs of expected refugee populations.

Funding for Social Services during the last five years has been as follows:

2004	\$152,218,000
2005	\$152,243,000
2006	\$153,899,000
2007	\$154,005,000
2008	\$154,005,000

Social Services/Targeted Assistance received a PART rating of Adequate in CY 2002. The review cited the program’s social services focus for a specific population and need as a strong attribute. As a result of the PART review, the program is in the final stages of completing an evaluation analyzing employment and self-sufficiency outcomes in three program sites, and has improved strategic planning by revising formula funding allocation. The results of this evaluation will be used for further analysis to determine which variables impact performance and improve the program’s ability to measure effectiveness in this area.

The program has shown consistent gains in the percentage of refugees entering employment through ACF-funded refugee employment services to a high of 54 percent in FY 2006, representing 36,670 refugees with employment placement. Nearly 62 percent, or 18,999, of refugees who entered employment through ACF-funded employment services entered jobs with health benefits available, and almost 72 percent, or 27,514, maintained this job for at least 90 days. ORR is striving to improve the level of full-time jobs attained by refugees by providing English Language Training (ELT), specialized job training, on-the-job training, and short-term skills training targeted to local job markets, as well as supportive services such as transportation, interpretation, and child care services. Attainment of these services will more strongly position the refugees for improved job placements and upgrades, thereby increasing the percentage of those jobs which offer health benefits.

Budget Request — The FY 2009 budget request is \$154,005,000, the same as the FY 2008 enacted level. This budget will continue to support state-administered social services through formula-funded programs and discretionary grants emphasizing employment-related services, such as job preparation, placement, retention, and upgrading services, provided concurrently with ELT.

The changing demographics of the U.S. resettlement program present new challenges, as many populations require extended employment services in order to enter the U.S. labor market and integrate into U.S. society. Many recent arrivals have spent protracted amounts of time in refugee camps in countries of first asylum, have experienced intense trauma, and have limited work skills. Many arriving refugees cannot read and write in their own language and require intensive English as a Second Language (ESL) courses prior to employment. Nonetheless, the program expects to improve performance in FY 2009 to at least one percent over the previous year's results on all performance measures.

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 18.1: By FY 2012, grantees will achieve a 60 percent entered employment rate. ¹										
18A	Increase the percentage of refugees entering employment through ACF-funded refugee employment services. ² (outcome)	50.00%	53.49%	56.49%	54.01%	54.55%	Dec-08	1% over prev year	1% over prev year	60.00% (FY 2012)
18B	Increase the percentage of entered employment with health benefits available as a subset of full-time job placements. (outcome)	56.00%	64.24%	67.24%	61.58%	62.20%	Dec-08	1% over prev year	1% over prev year	n/a
18C	Increase the percentage of 90-day job retention as a subset of all entered employment. (outcome)	74.00%	74.29%	77.29%	71.58%	72.32%	Dec-08	1% over prev year	1% over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of refugees entering employment through ACF-funded employment services	37,462	35,776	n/a	36,670	n/a	Dec-08	n/a	n/a	n/a
	Number of refugees entering employment with health benefits available	18,104	19,162	n/a	18,999	n/a	Dec-08	n/a	n/a	n/a
	Number of refugees with 90-day job retention	27,773	28,230	n/a	27,514	n/a	Dec-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$152.2	\$152.2	\$153.9		\$154.0		\$154.0	\$154.0	

¹ This is a technical correction from the former FY 2012 target of 85 percent.

² This measure is calculated by dividing the total number of entered employments in a year by the total national refugee and entrant caseload for employment services. This measure is also a performance indicator for the FY 2007- 2012 HHS Strategic Plan.

Resource and Program Data
Social Services

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$87,803,000	\$83,403,000	\$83,403,000
Discretionary	62,892,000	67,277,000	67,475,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	2,500,000	2,500,000	2,310,000
Program Support ¹	810,000	825,000	817,000
Total, Resources	\$154,005,000	\$154,005,000	\$154,005,000
<u>Program Data:</u>			
Number of Grants	265	267	270
New Starts:			
#	134	107	133
\$	\$104,585,000	\$89,012,000	\$101,370,000
Continuations:			
#	131	160	137
\$	\$48,610,000	\$64,168,000	\$51,818,000
Contracts:			
#	3	2	2
\$	\$480,000	\$495,000	\$413,000
Interagency Agreements:			
#	2	2	2
\$	\$330,000	\$330,000	\$404,000

¹ Includes funding for a conference contract, inter-agency agreements with the Office of International and Refugee Health and SAMHSA and a Rural Initiatives contract.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-Social Services (CFDA # 93.566)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$113,000	\$107,000	\$107,000	\$0
Alaska	118,000	112,000	112,000	0
Arizona	2,235,000	2,123,000	2,123,000	0
Arkansas	75,000	\$75,000	75,000	0
California	8,555,000	8,127,000	8,127,000	0
Colorado	1,022,000	971,000	971,000	0
Connecticut	441,000	419,000	419,000	0
Delaware	75,000	75,000	75,000	0
District of Columbia	237,000	225,000	225,000	0
Florida	26,558,000	25,214,000	25,214,000	0
Georgia	1,922,000	1,826,000	1,826,000	0
Hawaii	75,000	75,000	75,000	0
Idaho	558,000	530,000	530,000	0
Illinois	1,469,000	1,396,000	1,396,000	0
Indiana	505,000	480,000	480,000	0
Iowa	502,000	477,000	477,000	0
Kansas	257,000	244,000	244,000	0
Kentucky	1,429,000	1,358,000	1,358,000	0
Louisiana	227,000	216,000	216,000	0
Maine	433,000	411,000	411,000	0
Maryland	1,381,000	1,312,000	1,312,000	0
Massachusetts	1,439,000	1,347,000	1,347,000	0
Michigan	1,141,000	1,084,000	1,084,000	0
Minnesota	7,075,000	6,721,000	6,721,000	0
Mississippi	75,000	75,000	75,000	0
Missouri	938,000	891,000	891,000	0
Montana	75,000	75,000	75,000	0
Nebraska	411,000	390,000	390,000	0
Nevada	906,000	861,000	861,000	0
New Hampshire	393,000	373,000	373,000	0
New Jersey	1,042,000	990,000	990,000	0
New Mexico	184,000	175,000	175,000	0
New York	4,530,000	4,304,000	4,304,000	0
North Carolina	1,486,000	1,412,000	1,412,000	0
North Dakota	264,000	251,000	251,000	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	2,365,000	2,247,000	2,247,000	0
Oklahoma	119,000	113,000	113,000	0
Oregon	1,444,000	1,372,000	1,372,000	0
Pennsylvania	1,915,000	1,819,000	1,819,000	0
Rhode Island	254,000	241,000	241,000	0
South Carolina	121,000	115,000	115,000	0
South Dakota	327,000	314,000	314,000	0
Tennessee	938,000	891,000	891,000	0
Texas	4,093,000	3,888,000	3,888,000	0
Utah	827,000	786,000	786,000	0
Vermont	253,000	240,000	240,000	0
Virginia	1,800,000	1,710,000	1,710,000	0
Washington	3,558,000	3,380,000	3,380,000	0
West Virginia	75,000	75,000	75,000	0
Wisconsin	1,568,000	1,490,000	1,490,000	0
Wyoming	0	0	0	0
Subtotal	87,803,000	83,403,000	83,403,000	0
Discretionary Fund	62,892,000	67,277,000	67,475,000	+198,000
Other	3,310,000	3,325,000	3,127,000	-198,000
Subtotal adjustments	66,202,000	70,602,000	70,602,000	0
TOTAL RESOURCES	\$154,005,000	\$154,005,000	\$154,005,000	\$0

VICTIMS OF TORTURE

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$9,817,000	\$9,817,000	\$9,817,000	\$0

Authorizing Legislation – Section 5(b)(1) of the Torture Victims Relief Act.

2009 AuthorizationSuch sums as may be appropriated, pending Congressional action.

Allocation Method Discretionary Grant

Program Description and Accomplishments – This program provides services and rehabilitation for victims of torture. Grantees are primarily non-profit organizations that provide treatment, social and legal services, and training to health care providers on treating the physical and psychological effects of torture.

Funding for Victims of Torture during the last five years has been as follows:

2004	\$9,909,000
2005	\$9,915,000
2006	\$9,809,000
2007	\$9,817,000
2008	\$9,817,000

Budget Request – The FY 2009 request is \$9,817,000, the same as the FY 2008 enacted level. These funds will maintain medical and psychological treatment, social and legal services and rehabilitation for victims of torture.

Outputs and Outcomes Table

Project-level performance is assessed through review of the semi-annual performance reports submitted by grantees.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out- Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of torture survivors served ^[1]	n/a	n/a	n/a	5,467	n/a	6,440	n/a	n/a	n/a
	Number of mainstream/immigrant service providers trained through the technical assistance project ^[2]	n/a	n/a	n/a	n/a	n/a	434	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$9.9	\$9.9	\$9.8		\$9.8		\$9.8	\$9.8	

¹ Data on the number of torture survivors served is not available prior to FY 2006.

² The technical assistance grant was not funded previous to the FY 2007 reporting period. Therefore data prior to FY 2007 is not available.

Resource and Program Data
Victims of Torture

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$9,541,000	\$9,551,000	\$9,508,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	269,000	266,000	309,000
Total, Resources	\$9,810,000	\$9,817,000	\$9,817,000
<u>Program Data:</u>			
Number of Grants	22	22	22
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	22	22	22
\$	\$9,541,000	\$9,551,000	\$9,508,000
Contracts:			
#			1
\$	\$0	\$0	\$40,000
Interagency Agreements:			
#	3	2	2
\$	\$91,000	\$95,000	\$95,000

¹ Includes funding for information technology support, grant reviewer contract, salaries and benefits and associated overhead costs and an inter-agency agreement with the Office of Global Health Affairs.

PREVENTIVE HEALTH

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$4,748,000	\$4,748,000	\$4,748,000	\$0

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980.

2009 AuthorizationSuch sums as may be appropriated, pending Congressional action.

Allocation Method Discretionary Grant

Program Description and Accomplishments – Funding for preventive health services is awarded to states through this discretionary grant program to provide health screening/assessment services to refugees. The Office of Refugee Resettlement recognizes that a refugee's medical condition may affect public health as well as prevent a refugee from achieving economic self-sufficiency.

Funding for Preventive Health during the last five years has been as follows:

2004	\$4,792,000
2005	\$4,796,000
2006	\$4,748,000
2007	\$4,748,000
2008	\$4,748,000

Budget Request – The FY 2009 request is \$4,748,000, the same as the FY 2008 enacted level. These funds will support continued medical screening, outreach, orientation and access to health care for refugees to preserve the public health and ensure health problems are not a barrier to achieving self-sufficiency.

Outputs and Outcomes Table

The program tracks the number of persons served from all eligible populations where medical screenings are reported by the initial resettlement state. The program seeks to increase the number of medical screenings reported by states as a percentage of the eligible population of refugees, asylees, Cuban and Haitian entrants, Amerasians and Trafficking victims through the use of Preventive Health funds for outreach and referral.

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/ Est.	FY 2009 Target/ Est.	Out- Year Target/ Est.
				Target/ Est.	Actual	Target/ Est.	Actual			
	Number of health screenings completed	45,495	65,410	n/a	41,678	n/a	Dec-08	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$4.8	\$4.8	\$4.7		\$4.7		\$4.7	\$4.7	

Resource and Program Data
Preventive Health

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$4,695,000	\$4,748,000	\$4,748,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$4,695,000	\$4,748,000	\$4,748,000
<u>Program Data:</u>			
Number of Grants	37	37	37
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	37	37	37
\$	\$4,695,000	\$4,748,000	\$4,748,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

TARGETED ASSISTANCE

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$48,590,000	\$48,590,000	\$48,590,000	\$0

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980.

2009 AuthorizationSuch sums as may be appropriated, pending Congressional action.

Allocation Method Discretionary Grant

Program Description and Accomplishments — This program provides grants to states with counties that are impacted by high concentrations of refugees and high dependency rates. States are required by statute to pass on to the designated counties at least 95 percent of the funds awarded. Services provided by this program are generally designed to secure employment for refugees within one year.

Funding for Targeted Assistance during the last five years has been as follows:

2004	\$49,025,000
2005	\$49,081,000
2006	\$48,557,000
2007	\$48,590,000
2008	\$48,590,000

Social Services/Targeted Assistance received a PART rating of Adequate in CY 2002. The review cited the program’s social services focus for a specific population and need as a strong attribute. As a result of the PART review, the program is in the final stages of completing an evaluation analyzing employment and self-sufficiency outcomes in three program sites, and has improved strategic planning by revising formula funding allocation.

For performance information on the Targeted Assistance program, see the Social Services section.

Budget Request — The FY 2009 request is \$48,590,000, the same as the FY 2008 enacted level. These funds will be awarded to states to continue to provide services to counties and other localities with high refugee concentrations and high use of public assistance.

Resource and Program Data
Targeted Assistance

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$43,731,000	\$43,731,000	\$43,731,000
Discretionary	4,826,000	4,859,000	4,859,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$48,557,000	\$48,590,000	\$48,590,000
<u>Program Data:</u>			
Number of Grants	43	42	42
New Starts:			
#	0	25	0
\$	\$0	\$43,731,000	\$0
Continuations:			
#	43	17	42
\$	\$48,557,000	\$4,859,000	\$48,590,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2009 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-Targeted Assistance (CFDA # 93.566)

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Alabama	\$0	\$0	\$0	\$0
Alaska	0	0	0	0
Arizona	1,139,000	1,139,000	1,139,000	0
Arkansas	0	0	0	0
California	4,081,000	4,081,000	4,081,000	0
Colorado	441,000	441,000	441,000	0
Connecticut	0	0	0	0
Delaware	0	0	0	0
District of Columbia	0	0	0	0
Florida	17,188,000	17,188,000	17,188,000	0
Georgia	1,141,000	1,141,000	1,141,000	0
Hawaii	0	0	0	0
Idaho	277,000	277,000	277,000	0
Illinois	795,000	795,000	795,000	0
Indiana	0	0	0	0
Iowa	271,000	271,000	271,000	0
Kansas	0	0	0	0
Kentucky	698,000	698,000	698,000	0
Louisiana	0	0	0	0
Maine	0	0	0	0
Maryland	981,000	981,000	981,000	0
Massachusetts	742,000	742,000	742,000	0
Michigan	522,000	522,000	522,000	0
Minnesota	2,752,000	2,752,000	2,752,000	0
Mississippi	0	0	0	0
Missouri	405,000	405,000	405,000	0
Montana	0	0	0	0
Nebraska	0	0	0	0
Nevada	508,000	508,000	508,000	0
New Hampshire	0	0	0	0
New Jersey	0	0	0	0
New Mexico	0	0	0	0
New York	3,480,000	3,480,000	3,480,000	0
North Carolina	713,000	713,000	713,000	0
North Dakota	128,000	128,000	128,000	0

STATE/TERRITORY	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
Ohio	786,000	786,000	786,000	0
Oklahoma	0	0	0	0
Oregon	1,233,000	1,233,000	1,233,000	0
Pennsylvania	404,000	404,000	404,000	0
Rhode Island	0	0	0	0
South Carolina	0	0	0	0
South Dakota	176,000	176,000	176,000	0
Tennessee	0	0	0	0
Texas	2,089,000	2,089,000	2,089,000	0
Utah	518,000	518,000	518,000	0
Vermont	0	0	0	0
Virginia	509,000	509,000	509,000	0
Washington	1,754,000	1,754,000	1,754,000	0
West Virginia	0	0	0	0
Wisconsin	0	0	0	0
Wyoming	0	0	0	0
Subtotal	43,731,000	43,731,000	43,731,000	0
Discretionary Fund	4,826,000	4,859,000	4,859,000	0
Subtotal adjustments	4,826,000	4,859,000	4,859,000	0
TOTAL RESOURCES	\$48,557,000	\$48,590,000	\$48,590,000	\$0

UNACCOMPANIED ALIEN CHILDREN

FY 2007 Enacted	FY 2008 Enacted	FY 2009 Estimate	Increase or Decrease
\$95,318,000	\$132,600,000	\$114,070,000	-\$18,530,000

Authorizing Legislation – Section 462 of the Homeland Security Act.

2009 Authorization Such sums as may be appropriated.

Allocation Method Discretionary Grant

Program Description and Accomplishments — The Unaccompanied Alien Children program provides for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Department of Homeland Security (DHS) agents, Border Patrol officers, or other law enforcement agencies, and taken into care pending resolution of their claims for relief under U.S. immigration law or release to an adult family member or responsible adult guardian. Resolution of UAC immigration claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal from the U.S.

The principal objective of the program is to provide appropriate temporary shelter for these children and the costs associated with these facilities dominate the UAC budget—over 80 percent of the entire budget. State licensed facilities receive grants to provide shelter care, foster care, staff secure, and secure detention care and related services. The program also provides medical care, pro-bono coordination, background checks of sponsors, family reunification, and secure transportation services through grants, inter-agency agreements, and contracts.

Funding for the Unaccompanied Alien Children Program since its transfer to ACF has been as follows:

2004	\$ 52,770,000
2005	\$ 53,771,000
2006	\$ 77,249,000
2007	\$ 95,318,000
2008	\$ 132,600,000

The UAC program received a PART rating of Adequate in CY 2006. The review cited the program’s clear purpose, addressing the specific and existing needs of the growing number of children who are apprehended and put into immigration proceedings, as a strong attribute. As a result of the PART review, the program continues to collect and analyze data on four performance measures and in the spring of 2008 will issue independent evaluation findings through a report by the Women’s Commission on Refugee and Immigrant Women and Children.

In addition, ORR has developed outcome measures that serve as a proxy for aspects of the program’s performance, including an indicator that measures the percentage of UAC that receive medical screening or examination within 48 hours of placement. A baseline for this performance measure will be established for FY 2007 data. Preliminary data for FY 2006 indicate that 60 percent of UAC receive screening or examination within 48 hours.

Budget Request — The FY 2009 request of \$114,070,000, combined with projected FY 2008 carryover of approximately \$14 million, is \$128 million, approximately \$5 million less than the FY 2008 enacted

level. This funding will provide for the care, placement and medical costs of unaccompanied alien children, provide for background checks for UAC sponsors, and improve ORR's ability to provide services to UAC who have been exposed to traumatic events, including trafficking, abuse and/or violence. Because we are projecting fewer UAC placements in FY 2008 than we projected in the FY 2008 President's budget, we anticipate that approximately \$14,000,000 of FY 2008 carryover funding will be available for use in FY 2009.

The number of UAC placements increased slightly in FY 2007 and we anticipate a gradual leveling off again in FY 2008 and FY 2009. There has been a moderate rise in length of stay over the same time period. ORR has implemented policy and procedural changes to better manage the length of stay while maintaining the welfare of each child as our top priority (additional details on this topic can be found in the Significant Item section of this document). In order to more effectively access and align shelter capacity in this dynamic environment, we will begin to examine new approaches to identifying and maintaining shelter management.

In addition, we will continue our efforts to focus attention on the availability and impact of pro-bono attorneys. We are aware that, in certain areas of high apprehension, very few attorneys exist to provide services; therefore, ORR modified the Vera Institute pro bono legal outreach contract to develop innovative strategies to improve pro bono access in areas where there are shortages of attorneys.

Further, this budget request includes \$5 million to enable the UAC program to adequately respond to the special needs of children with psychiatric and behavioral disorders resulting from exposure to traumatic events. Funds would be used to train shelter staff to identify child traumatic stress, develop a network of qualified language-appropriate mental health providers, and provide mental health care for the affected children. This funding will guarantee that all UAC, including those who have experienced a high degree of trauma, are well-cared for and gain critical skills for success as they await reunification with family, return to their countries of origin, or access immigration relief.

ORR measures program performance by tracking the average length of time between DHS/Immigration and Customs Enforcement (ICE) notification to the ORR of UAC apprehension and ORR placement in a care facility. This measure is representative of the placement aspect of the program; timeliness of this designation is crucial to allow DHS to arrange transportation to the designated placement facilities and to ensure DHS has personnel ready to escort the UAC during transport. In FY 2007, this time was 3.1 hours, a significant improvement over the previous year's average time of 6.0 hours. Reducing the time between DHS referral and ORR's placement designation, especially during periods of high UAC influx, reflects ORR's improved strategic planning, capacity development, and ability to respond during emergency situations. The program expects to identify rigorous targets for future years, once a baseline is established with data from FY 2007 (expected by March 2008)

Outputs and Outcomes Table

#	Key Outcomes	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target	FY 2009 Target	Out-Year Target
				Target	Actual	Target	Actual			
Long-Term Objective 19.1: Reduce time between Department of Homeland Security/Immigration and Customs Enforcement (DHS/ICE) notification to Office of Refugee Resettlement (ORR) of Unaccompanied Alien Child (UAC) apprehension and ORR placement designation in a care provider facility by [X] hours over the baseline by FY 2011.										
19A	Reduce time between DHS/ICE notification to ORR of UAC apprehension and ORR placement designation in a care provider facility by [X] hours. <i>(outcome)</i>	n/a	6.0 hours ¹	n/a	3.1 hours	2.9 hours	Mar-08	5% under prev year	5% under prev year	TBD
Long-Term Objective 19.2: Increase the percentage of UAC that receive medical care screening or examination within 48 hours by [X] percent over the baseline by FY 2011.										
19B	Increase the percentage of UAC that receive medical screening or examination within 48 hours by [X] percent. <i>(outcome)</i>	n/a	n/a	n/a	60.4%	n/a	Mar-08	TBD	TBD	TBD
19C	Maintain the percentage of runaways from UAC shelters at 1.5 percent. <i>(outcome)</i>	n/a	n/a	n/a	1.7%	1.5%	Mar-08	1.5%	1.5%	n/a
19D	Increase the percentage of "closed" corrective actions. <i>(OMB approved efficiency)</i>	n/a	n/a	n/a	53.0%	55.7%	Mar-08	2% over prev year	2% over prev year	n/a

#	Key Outputs	FY 2004 Actual	FY 2005 Actual	FY 2006		FY 2007		FY 2008 Target/Est.	FY 2009 Target/Est.	Out-Year Target/Est.
				Target/Est.	Actual	Target/Est.	Actual			
	Number of medical screenings completed within 48 hours of apprehension	Not available	Not available	n/a	4,914	n/a	Mar-08	n/a	n/a	n/a
	Number of runaways from UAC shelters	62	110	n/a	140	n/a	123	n/a	n/a	n/a
	Average number of UAC in care in June (high point)	826	1,121	n/a	1,382	n/a	1,468	n/a	n/a	n/a
	Appropriated Amount (\$ Million)	\$52.8	\$53.8		\$77.3		\$95.3	\$132.6	\$114.1	

¹ This figure has been revised due to updated reporting capabilities, which allow for more accurate data collection.

Resource and Program Data
Unaccompanied Alien Children

	FY 2007 Actual	FY 2008 Enacted	FY 2009 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$77,231,000	\$95,088,000	\$86,395,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	18,020,000	23,512,000	27,675,000 ²
Total, Resources	\$95,251,000	\$132,600,000 ³	\$114,070,000 ³
<u>Program Data:</u>			
Number of Grants	28	26	29
New Starts:			
#	1	2	2
\$	\$5,029,000	\$2,138,000	\$5,240,000
Continuations:			
#	27	24	27
\$	\$72,202,000	\$92,950,000	\$81,155,000
Contracts:			
#	4	7	9
\$	\$8,488,000	\$11,602,000	\$11,796,000
Interagency Agreements:			
#	4	4	4
\$	\$6,465,000	\$8,307,000	\$11,813,000

¹ Includes funding for information technology support, salaries and benefits and associated overhead costs, contractor support costs and monitoring/on-site review costs, medical costs, facility costs, legal system support costs, family reunification costs and background checks.

² Includes a portion of the funding for a proposed Trauma Initiative.

³ Of this amount, approximately \$14M will be obligated in FY 2009.