Information Memo

NYSE

ATTENTION: CHIEF OPERATIONS OFFICER, CHIEF COMPLIANCE OFFICER, AND BRANCH OFFICE MANAGERS

TO: ALL MEMBERS AND MEMBER ORGANIZATIONS

SUBJECT: UPDATED LISTING OF THE DEPARTMENT OF TREASURY "SPECIFICALLY DESIGNATED NATIONALS AND BLOCKED PERSONS"

On September 24, 2001, President Bush issued an Executive Order targeting financial assets of terrorists. The Order added new names to the Department of the Treasury's Office of Foreign Assets Control's ("OFAC") list of "Specially Designated Nationals and Blocked Persons" ("SDN"). Please refer to Information Memo Nos. 01-26, dated September 24, 2001 and 01-35, dated October 19, 2001.

On November 7, 2001, OFAC issued a Bulletin designating sixty-two (62) entities and individuals as Specially Designated Global Terrorists ("SDGTs") and added these names to its list of SDNs (see Exhibit A). The above information also can be accessed from the OFAC website (http://www.treas.gov/ofac).

Members and member organizations are reminded that they should routinely monitor the OFAC website for updates and additional information. The OFAC website also can be accessed from the NYSE website (http://www.nyse.com/mfr).

In the near future, the Exchange will be providing updates to OFAC's SDN list electronically through e-mail. In this regard, all member organizations that carry accounts are required to visit their designated Electronic Filing Platform ("EFP") site and to register at least two OFAC contacts to receive such updates by e-mail and furnish the Exchange with the following information for such contact persons:

- Name of OFAC contact
- Phone number
- E-mail address

Members and member organizations that do not carry customer accounts may, at their discretion, register OFAC contact person(s) at their designated EFP site.

Please contact William Jannace at 212-656-2744 if you have any questions concerning this memorandum.

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Salvatore Pallante
Executive Vice President