

Trademark Examining Attorney Training

Trademark Organization Training and Learning (TOTAL)	Practice and Procedures Lectures and Activities covering the following topics:
Legal Training - mandatory for all first year trademark examining attorneys.	<ul style="list-style-type: none"> * Trademark Law Overview * Refusals under Section 2(d) of Trademark Act (Likelihood of Confusion) * Refusals under Section 2(e)(1) of Trademark Act (Mere Descriptiveness/Deceptively Misdescriptive) * Trademark Manual of Examining Procedure * Refusals under Section 2(e)(2) of Trademark Act (Geographically Descriptive) * Refusals under Section 2(e)(3) of Trademark Act (Geographically Deceptively Misdescriptive) * Refusals under Section 2(e)(4) of Trademark Act (Primarily Merely Surname) * Intent to Use Procedural Requirements * Identification and Classification of Goods and Services Practice * Legal Letter Writing * Drawings, Specimens and Use-Based Refusals * Basis Requirements * Options Practice - Section 2(f) of Trademark Act and Supplemental Register * Disclaimer Requirements * Evidence Practice * Refusals under Sections 2(a), (b) and (c) of Trademark Act
Automation Training	<ul style="list-style-type: none"> * PTOnet System and Applications * X-Search Automated Trademark Search System

Fiscal Year 2000 USPTO Workload Tables

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Table 1.
Summary of Patent Examining Activities: 1996-2000

(As of September 30 of each fiscal year)

Patent examining activity	1996	1997	1998	1999	2000
Applications filed, total	206,276	237,045	256,666	278,268	311,807
Utility ²	189,922	219,486	238,850	259,618	291,653
Reissue ²	637	607	582	664	805
Plant ²	557	680	658	759	786
Design	15,160	16,272	16,576	17,227	18,563
First actions:					
Design	15,465	15,038	16,836	18,050	17,856
Utility, plant, and reissue	179,391	193,635	192,849	226,642	237,421
PCT/Chapter 1	11,224	12,268	13,430	14,316	16,331
Patent application disposals, total	197,244	212,763	220,333	238,292	252,871
Allowed ³ , total	135,321	148,802	158,259	171,685	182,888
Design	13,627	13,562	15,214	16,305	16,688
Utility, plant, and reissue	121,694	135,240	143,045	155,380	166,200
Abandoned , total	61,819	63,878	61,994	66,493	69,895
Design	3,461	2,511	1,892	2,431	1,839
Utility, plant, and reissue	58,358	61,367	60,102	64,062	68,056
Statutory invention registrations disposals, total	104	83	80	114	88
PCT/Chapter II examinations completed	8,403	11,582	12,223	12,886	15,471
Patents issued ⁴	116,875	122,977	154,579	159,166	182,223
Utility	104,900	111,979	139,298	142,856	164,490
Reissue	291	267	284	437	561
Plant	338	400	577	393	453
Design	11,346	10,331	14,420	15,480	16,719
Allowed applications, issue fee not paid ⁵	5,408	5,599	6,853	4,000	7,633
Pendency time of average patent application ⁶	20.8	22.2	23.8	25.0	25.0
Reexamination requests	418	376	350	385	318
Reexamination certificates issued	298	334	317	243	276
PCT search reports prepared	11,078	12,048	12,859	14,116	15,896
PCT international application received by USPTO as receiving office	20,106	22,767	27,138	30,305	36,671
National requirements received by USPTO as receiving office	11,662	13,858	17,305	19,941	23,628
International preliminary examination reports	7,571	11,738	12,003	14,615	15,044
Patents renewed under P.L. 102-204 ⁷	408,944	138,695	135,462	156,414	206,255
Patents expired under P.L. 102-204 ⁷	60,392	54,485	41,063	52,289	47,958

¹ Utility patents include chemical, electrical and mechanical applications.

² Utility plant, and reissue applications revised from 1996 - 2000 to reflect the latest actual counts in PALM.

³ "Allowed Patent Applications" are applications awaiting issuance (i.e., publication) as patents.

⁴ Excludes withdrawn numbers.

⁵ 35 U.S.C. 151 (includes design applications).

⁶ Average time (in months) between filing and issuance or abandonment of utility, plant and reissue applications. This average does not include design patents.

⁷ The provisions of P.L. 102-204 regarding the renewal of patents superceded P.L. 96-517 and P.L. 97-247. FY 1999 column revised from FY 1999 report.

Table 2.
Patent Applications Filed: 1981-2000

(As of September 30 of each fiscal year)

Year	Utility ^{1 2}	Design	Plant ²	Reissue ²	Total
1981	106,828	7,197	147	538	114,710
1982	116,052	8,069	193	486	124,800
1983	96,847	8,256	231	370	105,704
1984	109,010	8,446	248	281	117,985
1985	115,893	9,504	244	290	125,931
1986	120,988	9,792	291	332	131,403
1987	125,677	10,766	364	366	137,173
1988	136,253	11,114	377	439	148,183
1989	150,418	11,975	418	495	163,306
1990	162,708	11,140	395	468	174,711
1991	166,765	10,368	414	536	178,083
1992	171,623	12,907	335	581	185,446
1993	173,619	13,546	362	572	188,099
1994	185,087	15,431	430	606	201,554
1995	220,141	15,375	516	647	236,679
1996	189,922	15,160	557	637	206,276
1997	219,486	16,272	680	607	237,045
1998	238,850	16,576	658	582	256,666
1999	259,618	17,227	759	664	278,268
2000	291,653	18,563	786	805	311,807

¹Chemical, electrical, and mechanical applications.

²Utility plant and reissue applications revised from 1996 - 2000 to reflect the latest actual counts in PALM.

Table 3.
Patents Pending Prior to Allowance: 1981-2000¹

(As of September 30 of each fiscal year)

Year	Awaiting action by examiner	Total applications pending ²	Year	Awaiting action by examiner	Total applications pending ²	Year	Awaiting action by examiner	Total applications pending ²
1981	71,033	181,727	1988	75,678	215,280	1995	124,275	298,522
1982	87,659	216,509	1989	92,377	222,755	1996	139,943	303,720
1983	102,532	223,101	1990	104,179	244,964	1997	112,430	275,295
1984	90,687	219,567	1991	104,086	254,507	1998	224,446	379,484
1985	90,648	215,512	1992	112,201	269,596	1999	243,207	414,837
1986	80,547	207,774	1993	99,904	244,646			
1987	65,010	209,911	1994	107,824	261,249	2000	308,056	485,129

¹Includes patents pending at end of period indicated, and includes utility, reissue, plant, and design applications. Does not include allowed applications.

²Applications under examination, including those in preexamination processing.

Table 4.
Patent Pendency and Cycle Time Statistics: 2000
 (As of September 30)

Utility, plant & reissue (UPR) applications	Number of applications	Average pendency (in months)	
Total Issued	233,560	25.0	
Abandoned	165,504	25.9	
Applications in process	68,056	21.6	
UPR pendency statistics by technology center (in months)			
	To issue	Abandoned	In process
Total UPR pendency	25.9	21.6	17.0
Biotechnology , Organic Chemistry & Designs	30.3	25.1	21.3
Chemical and Material Engineering	27.5	25.3	17.1
Transportation, Construction & Agriculture	25.4	20.8	15.1
Mechanical Engineering, Manufacturing & Products	23.9	18.9	15.2
Communications and Information Processing	34.1	27.9	17.9
Physics, Optics, System Components & Electrical Engineering	25.6	22.2	15.1
Total UPR pendency by technology center (in months)			
	From invention's original filing date	From most recent filing date ¹	
Total UPR pendency	27.0	25.0	
Biotechnology , Organic Chemistry & Designs	31.8	26.2	
Chemical and Material Engineering	27.1	25.8	
Transportation, Construction & Agriculture	24.5	23.8	
Mechanical Engineering, Manufacturing & Products	23.1	21.8	
Communications and Information Processing	33.0	31.3	
Physics, Optics, System Components & Electrical Engineering	25.7	23.9	
Cycle time by technology center (in months)			
	PTO time	Time attributable to applicants	
Total UPR pendency	17.0	10.0	
Biotechnology , Organic Chemistry & Designs	16.9	14.9	
Chemical and Material Engineering	16.8	10.3	
Transportation, Construction & Agriculture	15.5	9.0	
Mechanical Engineering, Manufacturing & Products	14.6	8.5	
Communications and Information Processing	22.1	10.9	
Physics, Optics, System Components & Electrical Engineering	16.8	8.9	

¹“Pendency from original filing date” and “pendency from most recent filing date” differ in that the former is composed of continuing applications descending from the original or parent invention. Pendency is calculated based on the most recent filing date, while cycle time is based on the original filing date.

Table 5.
Summar of Pending Patent Applications: 2000
 (As of September 30)

Stage of processing	Utility, plant, and reissue applica- tions	Design applica- tions	Total patent applica- tions
Pending patent applications, total	549,012	22,659	571,671
In preexamination processing, total	72,918	4,023	76,941
Under examination, total	398,196	9,992	408,188
Undocketed	29,981	430	30,411
Awaiting first action by examiner	194,109	6,595	200,704
Rejected, awaiting response by applicant	123,854	2,450	126,304
Amended, awaiting action by examiner	32,890	386	33,276
In interference	1,630	20	1,650
On appeal, and other ¹	15,732	111	15,843
In postexamination processing, total	77,898	8,644	86,542
Awaiting issue fee	38,580	4,302	42,882
Awaiting printing ²	36,064	4,342	40,406
D-10s (secret cases in condition for allowance)	3,254	-	3,254

- Represents zero.

¹Includes cases on appeal and undergoing petitions.

²Includes withdrawn cases.

Table 6.
Patents Issued: 1981-2000
 (As of September 30 of each fiscal year)

Year	Utility ¹	Design	Plant	Reissue	Total
1981	66,617	3,882	168	343	71,010
1982	59,449	5,299	120	284	65,152
1983	54,744	4,401	219	351	59,715
1984	66,753	4,935	174	287	72,149
1985	69,667	5,058	277	300	75,302
1986	71,301	5,202	227	263	76,993
1987	82,141	6,158	240	254	88,793
1988	77,317	5,740	283	244	83,584
1989	95,831	5,844	728	309	102,712
1990	88,974	7,176	295	282	96,727
1991	91,822	9,386	318	334	101,860
1992	99,405	9,612	336	375	109,728
1993	96,676	9,946	408	302	107,332
1994	101,270	11,138	513	347	113,268
1995	101,895	11,662	390	294	114,241
1996	104,900	11,346	338	291	116,875
1997	111,979	10,331	400	267	122,977
1998	139,298	14,420	577	284	154,579
1999	142,856	15,480	437	393	159,166
2000	164,490	16,719	453	561	182,223

¹Includes chemical, electrical, and mechanical applications.

Table 7.
Patent Applications Filed by Residents of the United States: 2000¹
(A of September 30)

State/territory	2000	State/territory	2000	State/territory	2000
Total	175,705	Kentucky	903	Oklahoma	925
Alabama	782	Louisiana	895	Oregon	3,176
Alaska	105	Maine	274	Pennsylvania	6,543
Arizona	3,088	Maryland	2,989	Rhode Island	533
Arkansas	324	Massachusetts	7,723	South Carolina	1,108
California	40,377	Michigan	6,358	South Dakota	166
Colorado	3,736	Minnesota	5,152	Tennessee	1,537
Connecticut	3,642	Mississippi	309	Texas	11,960
Delaware	793	Missouri	1,636	Utah	1,490
District of Columbia	194	Montana	273	Vermont	676
Florida	5,500	Nebraska	451	Virginia	2,506
Georgia	3,040	Nevada	844	Washington	4,682
Hawaii	166	New Hampshire	1,177	West Virginia	277
Idaho	2,723	New Jersey	7,729	Wisconsin	3,526
Illinois	7,307	New Mexico	622	Wyoming	117
Indiana	2,663	New York	12,397	Puerto Rico	31
Iowa	1,287	North Carolina	3,860	Virgin Islands	7
Kansas	809	North Dakota	124	U.S. Pacific Islands ²	3
		Ohio	6,186	United States ³	4

¹Data include utility, design, plant, and reissue applications.

²Represents residents of American Samoa, Guam, and miscellaneous U.S. Pacific Islands.

³No state indicated in database.

Table 8.
Patents Issued to Residents of the United States: 2000¹
(A of September 30)

State/territory	2000	State/territory	2000	State/territory	2000
Total	100,548	Kentucky	540	Oklahoma	629
Alabama	432	Louisiana	557	Oregon	1,526
Alaska	72	Maine	161	Pennsylvania	4,227
Arizona	1,707	Maryland	1,628	Rhode Island	390
Arkansas	245	Massachusetts	4,065	South Carolina	681
California	20,401	Michigan	4,261	South Dakota	102
Colorado	2,147	Minnesota	3,129	Tennessee	1,010
Connecticut	2,161	Mississippi	227	Texas	7,129
Delaware	459	Missouri	1,036	Utah	825
District of Columbia	56	Montana	146	Vermont	426
Florida	3,217	Nebraska	309	Virginia	1,290
Georgia	1,567	Nevada	385	Washington	2,143
Hawaii	99	New Hampshire	711	West Virginia	160
Idaho	1,608	New Jersey	4,526	Wisconsin	2,157
Illinois	4,580	New Mexico	377	Wyoming	77
Indiana	1,781	New York	7,385	Puerto Rico	34
Iowa	738	North Carolina	2,218	Virgin Islands	3
Kansas	511	North Dakota	98	U.S. Pacific Islands ²	3
		Ohio	4,193	United States ³	3

¹Data include utility, design, plant, and reissue patents.

²Represents residents of American Samoa, Guam, and miscellaneous U.S. Pacific Islands.

³No state indicated in database.

Table 9.
United States Patent Applications Filed by Residents of Foreign Countries: 1996-2000

(As of September 30 of each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Total	89,940	102,248	110,461	125,423	136,102	Ethiopia	-	1	-	-	-
Albania	-	2	1	-	-	Falkland Islands	1	-	-	-	-
Algeria	-	-	-	-	1	Fiji	2	-	1	2	-
Andorra	1	155	2	1	-	Finland	797	946	910	1,309	1,475
Anguilla	-	2	-	1	-	French Polynesia	-	-	-	-	2
Antigua & Barbuda	1	1	1	-	-	France	4,678	5,093	5,414	6,398	6,859
Argentina	75	81	121	102	138	French Guiana	1	-	-	-	-
Armenia	-	1	1	2 ¹	1	Gabon	-	-	-	-	-
Aruba	2	-	-	-	-	Georgia	6	16	6	2	1
Australia	1,090	1,270	1,450	1,507	1,887	Germany	11,515	12,908	13,799	17,446	17,858
Austria	532	590	665	871	887	Ghana	1	4	-	1	-
Azerbaijan	2	1	2	1	1	Greece	22	21	47	47	45
Bahamas	8	12	21	14	17	Guadeloupe	-	1	-	-	-
Bahrain	1	-	1	2	1	Guatemala	2	5	3	2	1
Barbados	1	1	1	3	7	Guinea	-	-	1	-	-
Belarus	6	6	3	6	11	Haiti	-	1	-	-	2
Belgium	900	974	1,034	1,207	1,338	Honduras	3	5	5	6	1
Belize	1	1	1	-	-	Hungary	63	42	69	115	116
Benelux Convention	-	1	-	-	-	Iceland	8	13	28	30	39
Bermuda	4	2	5	7	15	India	105	135	182	263	389
Bolivia	1	1	2	2	-	Indonesia	22	10	7	26	15
Bosnia & Herzegovina	1	-	1	1	1	Iran	14	16	16	2	1
Botswana	-	-	1	-	-	Iraq	-	1	-	-	-
Brazil	136	157	167	206	240	Ireland	119	130	197	264	339
British Virgin Islands	5	3	2	2	3	Israel	1,081	1,220	1,499	1,938	2,477
Bulgaria	15	10	15	2	23	Italy	2,152	2,472	2,449	2,835	3,031
Canada	4,893	4,972	5,975	7,006	7,146	Jamaica	2	1	4	4	2
Cayman Islands	15	4	2	7	4	Japan	39,810	44,318	46,569	47,413	54,365
Chile	24	13	18	14	28	Jordan	6	1	5	5	-
China (Hong Kong)	467	448	457	757	837	Kazakhstan	-	1	1	10	2
China (People's Republic)	256	215	289	271	437	Kenya	2	5	1	8	1
Columbia	11	9	14	21	24	Korea, Dem. Republic of	19	67	63	-	-
Cook Islands	-	-	-	-	-	Korea, Republic of	3,932	4,957	5,625	5,634	5,882
Costa Rica	3	14	20	8	29	Kuwait	3	19	13	12	10
Cote D'Ivoire	-	-	-	1	-	Kyrgyzstan	-	-	-	1	-
Croatia	14	20	18	15	18	Laos	1	-	-	-	-
Cuba	5	2	4	5	14	Latavia	2	1	5	2	2
Cyprus	-	4	-	4	2	Lebanon	3	2	3	5	4
Czech Republic	22	22	39	37	58	Lesotho	-	1	-	-	-
Czechoslovakia	14	14	22	15	-	Liechtenstein	20	21	15	26	26
Denmark	567	724	776	938	941	Lithuania	-	4	2	3	4
Djibouti	-	1	-	-	-	Luxembourg	36	63	49	51	65
Dominica	2	-	-	1	2	Macau	-	1	-	2	-
Dominican Republic	1	4	3	-	4	Madagascar	-	-	-	1	-
Ecuador	1	8	2	5	6	Macedonia	-	-	-	-	3
Egypt	5	8	8	19	21	Malaysia	43	61	58	74	94
El Salvador	1	1	1	1	2	Maldives	-	1	-	-	-
EPO	-	28	-	-	-	Mali	5	1	1	-	-
Estonia	1	1	1	7	7	Malta	3	-	2	-	1
						Marshall Islands	1	-	-	-	-

Table 9. (Cont'd)

United States Patent Applications Filed by Residents of Foreign Countries: 1996-2000

(As of September 30 of each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Mauritius	-	-	1	-	-	Singapore	157	244	315	444	680
Mexico	116	146	152	172	180	Slovakia	2	3	10	5	10
Moldova	1	-	1	-	1	Slovenia	21	24	26	20	27
Monaco	13	11	13	14	26	Solomon Islands	2	-	-	-	-
Mongolia	3	-	-	-	-	South Africa	189	197	215	243	199
Montserrat	-	-	-	-	-	Soviet Union	10	2	1	-	-
Morocco	5	1	3	1	5	Spain	369	458	442	481	595
Myanmar	1	-	1	-	-	Sri Lanka	1	2	3	13	8
Namibia	-	2	-	1	-	Suriname	1	-	2	-	1
Nauru	-	1	1	-	-	Swaziland	24	14	17	-	-
Nepal	-	-	-	-	-	Sweden	1,439	2,062	2,390	2,770	2,840
Netherlands	1,594	1,978	1,914	2,158	2,446	Switzerland	1,639	1,782	1,897	2,245	2,318
Netherlands Antilles	1	-	3	1	-	Syria Arab Rep	-	1	-	5	3
New Caledonia	1	1	2	-	-	Taiwan	5,108	6,349	7,627	11,392	10,380
New Zealand	191	218	204	249	296	Tanzania	-	1	-	-	1
Nicaragua	-	1	-	-	-	Thailand	25	32	34	61	91
Niger	-	1	1	-	-	Trinidad & Tobago	2	1	2	1	8
Nigeria	3	1	3	1	5	Tunisia	-	-	-	1	-
Norway	244	312	341	399	465	Turkey	6	2	26	35	27
Pakistan	1	8	3	2	6	Turks and Caicos Islands	-	-	-	-	2
Palau	-	-	-	1	-	Uganda	-	1	1	4	-
Panama	8	2	4	8	4	Ukraine	16	26	31	20	23
Paraguay	1	1	1	-	2	United Arab Emirates	1	5	6	7	6
Peru	4	5	3	8	6	United Kingdom	4,804	5,589	6,072	7,128	7,613
Philippines	10	42	21	28	32	Uruguay	3	2	5	4	2
Poland	21	29	16	27	35	Uzbekistan	-	-	3	4	3
Portugal	13	12	17	29	22	Vatican City	1	-	-	-	-
Romania	9	13	9	5	10	Venezuela	39	35	43	44	42
Russian Federation	236	242	271	360	384	Vietnam	1	2	1	1	1
Saint Kitts & Nevis	-	-	-	2	2	Yemen	1	-	1	-	-
Saudi Arabia	17	23	24	17	24	Yugoslavia	4	7	6	² 6	7
Senegal	1	1	1	-	-	Zaire	-	-	-	-	-
Seychelles	3	1	2	-	-	Zimbabwe	-	-	3	1	1
Sierra Leone	1	2	-	-	-	Other ³	13	5	75	-	-

- Represents zero.

¹Data include utility, design, plant, and reissue applications. Country listings include possessions and territories of that country unless listed separately in the table.²Revised from FY 1999 Report.³Country of origin information not available.

Table 10.
Patent Issued by the United States to Residents of Foreign Countries: 1996-2000¹

(As of September 30 of each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Total	50,159	53,682	68,796	70,047	81,675	Guinea	-	-	-	-	1
Albania	-	-	-	-	1	Haiti	-	1	-	1	-
Algeria	-	-	-	21	-	Honduras	1	1	1	5	1
Andorra	1	-	2	1	-	Hungary	46	32	46	38	41
Anguilla	-	-	1	-	-	Iceland	3	4	6	10	15
Antigua & Barbuda	4	-	-	-	1	India	37	43	80	109	123
Argentina	28	41	41	45	65	Indonesia	2	12	9	4	15
Armenia	-	-	-	-	1	Iran	-	-	1	1	-
Aruba	-	2	-	-	2	Ireland	84	77	74	104	128
Australia	534	592	754	795	885	Israel	475	573	760	748	856
Austria	370	391	413	443	544	Italy	1,338	1,422	1,754	1,595	1,915
Azerbaijan	2	-	-	1	1	Jamaica	-	1	1	1	2
Bahamas	4	5	13	12	13	Japan	22,979	24,314	30,490	30,425	34,563
Bahrain ²	-	1	-	-	3	Jordan	-	5	2	2	-
Barbados	-	-	-	1	-	Kazakhstan	-	-	2	1	4
Belarus	2	4	7	5	4	Kenya	2	1	1	2	1
Belgium	482	559	713	667	807	Korea, Dem. Republic of	-	-	-	-	-
Bermuda	3	1	1	2	-	Korea, Republic of	1,428	1,828	3,052	3,477	3,699
Bolivia	1	-	1	-	3	Kuwait	2	1	4	12	11
Brazil	65	72	79	87	122	Latvia	-	-	1	2	2
British Virgin Islands	-	-	3	2	1	Lebanon	-	1	1	3	3
Bulgaria	1	4	2	5	2	Liechtenstein	15	10	16	15	19
Canada	2,444	2,803	3,302	3,498	4,060	Lithuania	-	2	1	4	2
Cayman Islands	2	5	6	4	6	Luxembourg	35	28	47	46	48
Chile	4	4	16	12	15	Macao	2	-	-	-	-
China (Hong Kong)	237	255	361	2395	540	Madagascar	-	-	-	-	1
China (Mainland)	51	59	87	286	143	Malaysia	21	26	38	27	51
Colombia	7	8	7	4	6	Malta	-	1	2	-	2
Cook Islands	1	-	-	-	-	Marshall Islands	-	-	-	1	-
Costa Rica	10	7	2	10	12	Mauritius	1	-	-	-	1
Croatia	4	8	10	17	11	Mexico	45	48	83	79	107
Cuba	-	4	4	4	1	Moldova, Republic	-	-	-	23	-
Cyprus	1	2	-	-	1	Monaco	5	7	9	12	14
Czech Republic	3	13	15	18	42	Morocco	1	-	2	1	2
Czechoslovakia	13	9	8	7	8	Myanmar	-	-	-	1	-
Denmark	326	362	533	551	536	Namibia	-	-	-	-	1
Dominica	-	-	-	-	1	Netherlands	882	878	1,282	1,322	1,484
Dominican Republic	-	-	-	3	2	Netherlands Antilles	-	-	1	1	2
Ecuador	-	-	7	4	-	New Caledonia	-	-	1	-	-
Egypt	4	2	1	1	6	New Zealand	71	95	135	140	149
El Salvador ²	1	1	1	-	-	Nicaragua	-	-	-	1	-
Estonia	2	-	-	1	2	Nigeria	-	2	1	1	2
Faroe Islands	-	-	-	-	-	Norfolk Island	-	-	-	1	-
Finland	408	482	576	665	679	Norway	142	156	217	224	266
France	2,972	3,121	3,823	3,802	4,392	Pakistan	1	1	1	1	4
French Guiana	1	-	-	-	-	Panama	1	1	-	-	3
Georgia	-	1	-	-	1	Paraguay	-	-	-	1	-
Germany	6,898	7,180	9,304	9,113	10,978	Peru	5	2	1	5	4
Ghana	-	-	-	1	-	Philippines	1	15	25	16	17
Gibraltar	-	-	-	-	1	Poland	12	15	16	21	9
Greece	13	14	17	21	22	Portugal	3	6	9	11	10
Guadeloupe	-	-	-	-	-	Paulau	-	-	-	-	1
Guatemala	2	1	2	2	2	Qatar	-	-	-	-	1

Table 10. (Cont'd)
Patent Issued by the United States to Residents of Foreign Countries: 1996-2000¹

(As of September 30 of each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Romania	6	1	-	5	4	Taiwan	2,300	2,490	3,543	4,105	5,578
Russian Federation	111	113	175	174	192	Thailand	8	16	14	23	36
Saint Kitts & Nevis	1	-	2	-	1	Trinidad & Tobago	2	-	3	1	-
Saint Vincent/ The Grenadines	-	-	-	-	-	Tunisia	-	-	1	-	-
San Marino	-	-	-	1	-	Turkey	2	5	3	2	5
Saudi Arabia	11	16	12	12	21	Turks and Caicos Islands	-	1	1	-	1
Singapore	87	111	122	134	220	Uganda	-	-	-	-	-
Slovakia	1	2	2	6	3	Ukraine	14	11	15	16	13
Slovenia	11	9	15	13	18	United Arab Emirates	1	1	1	-	3
South Africa	112	112	126	115	145	United Kingdom	2,668	2,787	3,548	3,686	4,241
Soviet Union	14	7	6	2	3	Uruguay	2	4	3	4	-
Spain	177	176	285	262	321	Uzbekistan	2	-	1	-	3
Sri Lanka	2	1	2	1	2	Venezuela	31	20	30	40	31
Suriname	-	-	-	1	1	Vietnam	-	-	-	1	1
Sweden	904	996	1,258	1,368	1,805	Yemen	-	-	-	-	-
Switzerland	1,141	1,176	1,339	1,310	1,516	Yugoslavia	9	4	5	3	4
Syrian Arab Rep	-	-	-	1	4	Zaire	-	1	-	-	-
						Zimbabwe	1	-	-	1	1

- Represents zero.

¹Data include utility, design, plant, and reissue patents. Country listings include possessions and territories of that country unless separately listed in the table.

²Revised from FY 1999 Report.

Table 11.
Statutory Invention Registrations (SIRs) Published: 1996-2000
 (As of September 30 of each fiscal year)

Assignee	1996	1997	1998	1999	2000
Total	104	83	68	53	58
Air Force	6	5	4	1	-
Army	16	5	-	4	2
Energy	2	-	1	1	1
Navy	9	11	6	8	5
USA ¹	5	5	1	2	-
Other Than U.S. Government	66	57	56	37	50

- Represents zero.

¹United States of America—no agency indicated in database.

Table 12.
U.S. Government Agency Patents: 1991-2000¹
 (As of September 30 of each fiscal year)

Agency	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	Total
Total	1,137	1,185	1,153	1,225	1,135	921	923	1,013	955	976	10,623
Agriculture	52	48	57	38	44	48	39	68	79	57	530
Air Force	126	138	126	130	104	101	78	81	83	79	1,046
Army	129	172	147	194	163	138	169	160	146	151	1,569
Commerce	15	17	21	28	35	22	21	16	20	19	214
Energy	218	218	193	201	146	60	70	69	48	51	1,274
EPA	5	4	7	5	4	7	9	2	4	6	53
FCC	-	-	-	-	-	-	-	-	1	-	1
HEW/HHS	46	67	88	99	96	110	144	148	153	119	1,070
Interior	14	23	9	10	13	20	6	3	6	5	109
Library of Congress	-	-	-	-	1	1	-	-	-	-	2
NASA	124	166	155	148	157	102	92	104	87	98	1,233
Navy	384	314	333	360	352	299	279	347	306	369	3,343
NSA	3	3	5	6	4	3	1	3	7	16	51
Postal Service	1	-	-	-	1	1	1	-	-	-	4
State Department	-	-	-	-	1	-	-	-	-	-	1
Transportation	2	-	2	1	-	-	-	3	1	3	12
Treasury	-	-	-	-	-	-	-	1	-	-	1
TVA	8	5	1	2	5	4	4	2	6	2	39
USA ²	10	10	9	3	9	5	9	7	8	1	71

- Represents zero.

¹Data in this table represent utility patents assigned to agencies at the time of patent issue.

²United States of America — no agency indicated in database.

Table 13.
Reexamination 1996-2000
 (As of September 30 of each fiscal year)

Activity	1996	1997	1998	1999	2000
Requests filed, total	418	376	350	385	318
By patent owner	194	157	168	173	137
By third party	223	215	178	181	172
Commissioner ordered	1	4	4	31	9
Determinations on requests, total	414	391	348	367	338
Requests granted:					
By examiner	386	357	315	327	320
By petition	8	4	2	1	2
Requests denied	20	30	31	39	16
Requests known to have related litigation	89	65	66	62	80
Filings by discipline, total	418	376	350	385	318
Chemical	127	123	120	138	96
Electrical	127	100	94	107	103
Mechanical	164	153	136	140	119

Table 14.
Summary of Contested Patent Cases: 2000

(Within the Patent and Trademark Office, as of September 30 of each fiscal year)

Item	Total
EX PARTE CASES	
Appeals ¹ :	
Cases pending as of 9/30/99	8,344
Cases filed during FY 00	2,982
Disposals during FY 00	
Decided, total	5,004
Affirmed	1,459
Affirmed-in-part	518
Reversed	1,946
Dismissed/withdrawn	165
Remanded	916
Cases pending as of 9/30/00	6,322
REHEARINGS	
Cases pending as of 9/30/00	23
INTER PARTES CASES	
Inter partes cases, FY 00, total	464
Cases pending as of 9/30/99	328
Cases declared or reinstated during FY 00	136
Cases terminated during FY 00	178
Cases pending as of 9/30/00	286

¹Jurisdiction of an appeal passes to the Board of Patent Appeals and Interferences after the examiner has written the answer and after the time for filing a reply brief to the answer has passed.

Table 15.
Summar of Trademark Examining Activities: 1996-2000

(As of September 30 of each fiscal year)

Item	1996	1997	1998	1999	2000
Applications for registration:					
Applications including additional classes	200,640	224,355	232,384	295,165	375,428
Applications filed	170,783	188,080	193,034	240,308	296,490
Disposal of trademark applications:					
Registrations including additional classes	91,339	112,509	106,279	104,324	127,794
Abandonments including additional classes	49,189	64,409	71,838	77,184	101,099
Trademark first actions including additional classes	198,160	226,651	238,191	338,937	352,325
Applications approved for publication including additional classes	127,481	149,721	145,209	181,366	203,251
Certificates of registration issued: ¹					
1946 Act principal register	56,022	60,416	56,730	57,046	73,888
Principal register:					
ITU-Statements of Use register	19,683	33,131	29,287	26,810	27,170
1946 Act supplemental register	2,969	3,747	3,617	3,918	5,325
Total certificates of registration	78,674	97,294	89,634	87,774	106,383
Renewal of registration: ²					
Section 9 applications filed	7,543	6,720	7,413	7,944	24,435
Section 8 applications filed ³	NA	NA	NA	NA	24,099
Registrations renewed	7,346	7,389	6,504	6,280	8,821
Affidavits, Sec. 8/15:					
Affidavits filed	22,169	20,781	33,231	33,104	28,920
Affidavits disposed	33,661	24,533	26,199	29,119	28,894
Amendments to Allege Use filed	6,232	7,292	6,955	3,554	8,971
Statements of Use filed	25,388	31,784	37,060	34,367	36,119
Notices of Allowance issued	71,117	80,693	78,072	82,940	120,177
Total active certificates of registration	784,667	839,071	901,805	931,273	1,020,126
Pendency—average months:					
Between filing and examiner's first action	5.9	6.4	7.2	4.6	5.7
Between filing, registration (Use Applications) abandonments, and NOAs	16.5	16.9	17.8	18.9	17.3
Between filing and issuing a NOA (Intent to Use applications)	15.9	16.3	17.8	18.9	16.0

- Represents zero.

NA Not available.

¹With the exception of certificates of registration, renewal of registration, affidavits filed under Section 8/15 and 12(c), the workload count includes extra classes.

²Renewal of registration is required beginning 10 years following registration concurrent with 20-year renewals coming due.

³Section 8 affidavit is required for filing a renewal beginning October 30, 1999, (FY 2000) with the implementation of the Trademark Law Treaty.

Table 16.
**Trademark Applications Filed for Registration and Renewal
 and Trademark Affidavits Filed: 1981-2000**
 (As of September 30 of each fiscal year)

Year	For registration	For renewal	Section 8 affidavit	Section 12(c) affidavit
1981	55,152	5,693	17,071	40
1982	73,621	5,760	15,068	55
1983	51,014	5,438	12,544	46
1984	61,480	5,926	13,519	5
1985	64,677	5,275	8,823	29
1986	69,253	5,660	8,519	19
1987	70,002	5,871	16,644	34
1988	76,813	6,763	18,316	23
1989	83,169	6,127	17,986	104
1990	127,294	6,602	20,636	5
1991	120,365	5,634	25,763	1
1992	125,237	6,355	20,982	25
1993	139,735	7,173	21,999	5
1994	155,376	7,004	20,850	4
1995	175,307	7,346	23,497	-
1996	200,640	7,543	22,169	6
1997	224,355	6,720	20,781	2
1998	232,384	7,413	33,231	-
1999	295,165	7,944	33,104	-
2000	375,428	¹24,435	28,894	-

- Represents zero.

¹Concurrent 10- and 20-year renewal of registration.

Table 17.
Summary of Pending Trademark Applications: 2000
 (As of September 30)

Stage of processing	Applications	Classes
Pending applications, total	520,053	677,403
In preexamination processing	117,702	146,064
Under examination, total	317,154	422,118
Applications under initial examination	160,415	214,828
Amended, awaiting action by Examiner	148,374	200,278
Awaiting first action by Examiner	12,041	14,550
ITU applications pending Use	115,648	150,358
Applications under second examination	7,216	9,088
Administrative processing of Statements of Use	1,465	1,753
Undergoing second examination	985	1,202
Amended, awaiting action by Examiner	4,766	6,133
Other pending applications ¹	33,875	47,844
In postexamination processing (Includes all applications in all phases of publication and issue and registration)	85,197	109,221

¹Includes applications pending before the Trademark Trial and Appeal Board and suspended cases.

Table 18.
**Trademarks Registered, Renewed, and Published Under
Section 12(c): 1981-2000¹**

(As of September 30 of each fiscal year)

Year	Certificates of registration issued	Renewed	Published under 12(c)	Registrations (including classes)
1981	31,306	5,884	77	-
1982	39,025	6,070	71	-
1983	41,179	5,695	74	-
1984	45,475	5,678	22	-
1985	63,122	5,177	27	-
1986	48,971	5,550	29	-
1987	47,522	4,415	24	-
1988	46,704	5,884	29	-
1989	51,802	9,209	84	-
1990	56,515	7,122	19	-
1991	43,152	6,416	19	-
1992	62,067	5,733	13	-
1993	74,349	6,182	21	86,122
1994	59,797	6,136	11	68,853
1995	65,662	6,785	4	75,372
1996	78,674	7,346	11	91,339
1997	97,294	7,389	11	112,509
1998	89,634	6,504	8	106,279
1999	87,774	6,280	3	104,324
2000	106,383	8,821	15	127,794

- Represents zero.

¹Includes withdrawn numbers.

Table 19.
Trademark Applications Filed by Residents of the United States: 2000
 (As of September 30)

State/territory	2000	State/territory	2000	State/territory	2000
Total	309,393	Kentucky	1,684	Oklahoma	1,375
Alabama	1,526	Louisiana	1,344	Oregon	3,229
Alaska	217	Maine	812	Pennsylvania	9,591
Arizona	5,100	Maryland	5,902	Rhode Island	1,012
Arkansas	719	Massachusetts	11,291	South Carolina	1,673
California	70,434	Michigan	6,240	South Dakota	303
Colorado	6,760	Minnesota	5,978	Tennessee	3,616
Connecticut	5,945	Mississippi	502	Texas	17,192
Delaware	3,622	Missouri	4,323	Utah	3,190
District of Columbia	3,058	Montana	400	Vermont	591
Florida	15,987	Nebraska	1,111	Virginia	8,577
Georgia	7,482	Nevada	2,837	Washington	6,894
Hawaii	727	New Hampshire	1,308	West Virginia	238
Idaho	705	New Jersey	11,453	Wisconsin	3,455
Illinois	14,548	New Mexico	763	Wyoming	316
Indiana	2,865	New York	34,014	Puerto Rico	325
Iowa	1,391	North Carolina	5,014	Virgin Islands	42
Kansas	1,766	North Dakota	219	U.S. Pacific Islands ¹	-
		Ohio	8,726	United States ²	1

- Represents zero.

¹Represents residents of American Samoa, Guam, and miscellaneous U.S. Pacific Islands.

²No state indicated in database, includes APO filings.

Table 20.
Trademarks Registered to Residents of the United States: 2000¹
 (As of September 30)

State/territory	2000	State/territory	2000	State/territory	2000
Total	91,007	Kentucky	433	Oklahoma	496
Alabama	342	Louisiana	340	Oregon	761
Alaska	66	Maine	229	Pennsylvania	2,430
Arizona	884	Maryland	1,102	Rhode Island	266
Arkansas	197	Massachusetts	2,092	South Carolina	392
California	10,103	Michigan	1,779	South Dakota	83
Colorado	1,318	Minnesota	1,862	Tennessee	885
Connecticut	939	Mississippi	125	Texas	3,209
Delaware	18,812	Missouri	1,272	Utah	786
District of Columbia	727	Montana	105	Vermont	238
Florida	3,351	Nebraska	297	Virginia	1,307
Georgia	1,751	Nevada	1,266	Washington	1,524
Hawaii	151	New Hampshire	278	West Virginia	66
Idaho	167	New Jersey	2,168	Wisconsin	1,269
Illinois	3,203	New Mexico	195	Wyoming	104
Indiana	1,027	New York	6,046	Puerto Rico	38
Iowa	569	North Carolina	1,297	Virgin Islands	96
Kansas	383	North Dakota	58	U.S. Pacific Islands ²	-
		Ohio	2,432	United States ³	9,691

- Represents zero.

¹When a trademark is registered, the trademark database is corrected to indicate the home state of the entity registering the trademark.

²Represents residents of American Samoa, Guam, and miscellaneous U.S. Pacific Islands.

³No state indicated in database.

Table 21.
Trademark Applications Filed by Residents of Foreign Countries: 1996-2000

(As of September 30 for each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Total	26,303	33,080	36,249	44,549	67,035	Fiji	1	3	3	3	1
Algeria	-	-	-	-	1	Finland	186	181	219	340	473
Andorra	1	7	5	7	3	France	2,081	2,483	3,095	3,695	4,860
Angola	-	-	-	1	3	French Polynesia	-	2	3	2	8
Anguilla	9	4	5	4	14	French South/Antarctic	-	-	1	-	-
Antigua & Barbuda	-	7	-	6	15	Gabon	-	1	-	-	-
Argentina	106	98	126	142	326	Georgia	-	-	11	7	7
Armenia	-	-	-	3	9	Germany	3,124	4,080	4,519	6,307	10,218
Aruba	12	5	4	7	5	Ghana	-	1	2	5	-
Australia	663	922	1,018	1,423	2,321	Gibraltar	8	-	6	48	31
Austria	192	226	343	500	632	Greece	28	16	22	30	92
Bahamas	86	67	95	101	148	Greenland	2	-	-	-	3
Bahrain	3	2	-	4	-	Grenada	1	-	2	1	3
Barbados	23	35	14	88	89	Guadeloupe	3	-	4	-	-
Belarus	-	1	3	-	5	Guatemala	3	7	7	18	14
Belgium	269	278	321	409	619	Guinea	-	-	-	1	-
Belize	2	1	4	8	9	Guyana	5	3	-	2	2
Benelux Convention	26	13	-	-	8	Hague	-	3	-	-	-
Bermuda	81	186	97	148	321	Haiti	-	4	5	3	-
Bolivia	-	1	1	1	6	Honduras	-	7	15	3	3
Botswana	-	-	-	-	-	Hong Kong	456	437	478	625	1,097
Brazil	157	191	209	211	357	Hungary	27	15	11	21	31
British Virgin Islands	92	159	235	232	696	Iceland	8	8	24	26	50
Brunei	-	1	15	-	-	India	69	93	78	123	252
Bulgaria	10	2	4	13	5	Indonesia	38	37	29	23	31
Burundi	-	-	2	-	2	Iran	65	77	-	-	-
Cambodia	-	1	7	7	6	Ireland	139	252	223	386	560
Cameroon	-	-	3	-	-	Isle of Man	12	108	41	28	38
Canada	5,180	6,063	6,499	7,889	9,844	Israel	257	333	431	621	1,033
Cayman Islands	37	65	64	50	265	Italy	1,251	1,557	1,562	1,868	2,548
Central African Republic	-	-	-	-	-	Jamaica	34	10	40	33	51
Channel Islands	53	75	42	-	110	Japan	2,153	2,845	2,883	3,028	4,273
Chile	43	58	58	101	132	Jordan	3	4	2	28	7
China (mainland)	128	308	331	301	438	Kazakhstan	-	1	-	-	-
Christmas Island	-	2	-	-	4	Kenya	1	3	2	-	5
Colombia	40	72	57	79	183	Korea, Dem. Republic of	8	10	1	5	4
Comoros	-	-	1	-	3	Korea, Republic of	371	419	436	498	943
Cook Islands	4	3	3	-	1	Kuwait	2	4	2	8	7
Costa Rica	18	26	21	11	25	Latvia	-	7	2	3	3
Croatia	1	1	11	2	9	Laos	-	1	-	-	-
Cuba	17	5	2	-	1	Lebanon	1	1	2	14	4
Cyprus	9	9	28	31	71	Liberia	-	3	2	2	3
Czechoslovakia	39	38	26	30	50	Liechtenstein	70	47	100	110	149
Denmark	281	260	348	382	604	Lithuania	1	-	-	-	2
Djibouti	-	-	3	-	-	Luxembourg	93	120	113	137	198
Dominica	9	8	5	-	2	Macao	2	4	7	2	-
Dominican Republic	53	59	59	65	62	Macedonia	4	2	-	1	-
Ecuador	20	25	28	19	22	Malaysia	22	49	46	42	94
Egypt	2	1	5	2	10	Mali	-	-	-	-	-
El Salvador	2	7	12	9	25	Malta	-	3	3	1	26
EPO	1	-	1	1	-	Marshall Islands	-	-	1	-	-
Estonia	-	1	-	7	5	Martinique	-	6	-	-	1
Ethiopia	-	-	-	-	1	Mauritania	-	-	1	-	2
Faroe Islands	-	-	-	-	-	Mauritius	-	-	1	18	61

Table 21. (Cont'd)
Trademark Applications Filed by Residents of Foreign Countries: 1996-2000
 (As of September 30 for each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Mayotte	-	-	-	1	-	Seychelles	5	1	1	11	1
Mexico	566	669	677	852	809	Sierra Leone	4	-	-	-	-
Micronesia	-	-	1	-	1	Singapore	110	203	161	186	419
Moldova	-	-	-	-	1	Slovakia	3	2	8	-	-
Monaco	53	39	87	104	70	Slovenia	7	15	9	10	18
Mongolia	-	3	-	-	3	Solomon Islands	-	-	-	-	-
Morocco	-	1	2	7	2	Somalia	-	-	-	-	-
Myanmar	5	3	-	-	-	South Africa	84	144	142	169	263
N. Mariana Island	2	1	-	2	-	Russian Federation	35	52	46	110	135
Nauru	2	-	-	-	-	Spain	426	658	690	694	1,149
Navassa Island	-	-	-	-	-	Sri Lanka	3	6	8	3	28
Nepal	-	-	1	-	-	Sudan	1	-	-	-	-
Netherlands	904	1,062	1,207	1,472	2,220	Suriname	-	-	-	1	-
Netherlands Antilles	30	77	39	97	92	Swaziland	-	1	33	5	7
New Caledonia	-	-	-	4	-	Sweden	575	798	961	1,213	1,722
New Hebrides	-	-	2	-	-	Switzerland	1,155	1,566	1,674	2,032	3,385
New Zealand	163	162	218	314	324	Taiwan	563	724	774	961	1,283
Newfoundland	-	-	-	2	1	Tajikistan	-	-	1	-	-
Nicaragua	1	5	4	2	3	Tanzania	-	-	2	-	-
Nigeria	1	1	-	-	9	Thailand	43	34	38	88	82
Norway	97	133	240	226	317	Tokelau	1	2	-	-	-
Oman	-	-	-	-	4	Tonga	-	-	1	-	-
Pakistan	1	5	2	10	6	Trinidad & Tobago	4	10	9	8	8
Panama	16	30	28	46	20	Tunisia	1	7	-	1	4
Papua New Guinea	-	-	-	-	-	Turkey	19	43	61	46	61
Paraguay	3	2	1	4	4	Turks and Caicos Islands	9	10	2	6	12
Peru	14	6	7	10	20	Uganda	12	1	-	-	-
Philippines	11	27	17	19	15	Ukraine	2	-	2	1	6
Pitcairn Islands	3	-	-	-	-	United Arab Emirates	14	13	6	19	19
Poland	22	20	24	26	41	United Kingdom	2,926	3,784	4,265	5,056	9,367
Portugal	35	57	57	95	110	Uruguay	11	2	16	22	34
Qatar	1	-	-	1	1	Uzbekistan	1	-	-	-	-
Reunion	-	3	-	-	2	Vanuatu	-	-	-	3	21
Romania	-	7	3	9	2	Venezuela	40	57	53	50	116
St. Kitts & Nevis	-	-	1	-	-	Vietnam	5	1	7	5	14
Saint Lucia	-	-	-	-	-	Yemen	-	2	-	-	-
Saint Pierre/Mique	2	2	-	-	-	Yugoslavia	2	9	1	-	-
Saint Vincent/Grenadines	-	-	-	12	1	Yukon Territory	-	-	-	1	-
Samoa	3	2	-	2	1	Zaire	1	-	-	-	-
San Marino	-	1	4	-	-	Zambia	-	-	-	1	-
Saudi Arabia	15	5	21	10	29	Zimbabwe	1	8	-	3	1
Scotland	92	64	82	105	51	Other ¹	-	-	3	2	66

- Represents zero.

¹Country of Origin information not available or not indicated in database, includes ARIPO filings.

Table 22.
Trademarks Registered to Residents of Foreign Countries: 1996-2000

(As of September 30 of each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Total	9,536	11,460	11,655	11,419	15,376	Greenland	1	-	-	-	-
Algeria	-	-	-	1	1	Grenada	-	1	-	-	-
Andorra	-	-	-	1	1	Guatemala	2	3	7	7	5
Anguilla	1	-	4	2	2	Guyana	4	-	-	1	2
Antigua & Barbuda	3	6	6	2	5	Hague	1	-	-	-	-
Argentina	29	46	57	36	43	Haiti	1	1	2	1	1
Australia	199	289	343	312	368	Honduras	4	-	2	1	2
Austria	72	93	75	101	170	Hong Kong	168	163	169	146	194
Azerbaijan	-	-	1	-	-	Hungary	9	5	8	5	6
Bahamas	11	14	32	21	36	Iceland	3	3	4	6	7
Bahrain	-	-	-	1	1	India	32	30	49	54	48
Bangladesh	-	-	-	2	-	Indonesia	13	7	15	16	12
Barbados	8	4	6	11	9	Iran	5	5	2	8	8
Belarus	-	-	1	-	1	Ireland	43	51	83	69	76
Belgium	96	80	97	120	135	Isle of Man	1	4	6	13	7
Belize	1	1	-	1	-	Israel	132	151	170	129	167
Benelux Convention	-	-	-	2	2	Italy	517	771	638	644	900
Bermuda	27	40	38	43	35	Jamaica	7	16	14	16	23
Bolivia	-	-	-	2	3	Japan	841	1,017	937	1,034	1,173
Bosnia & Herzegovina	-	-	1	-	-	Jordan	1	1	2	2	-
Brazil	53	61	59	66	59	Kenya	2	1	2	2	2
British Virgin Islands	33	35	42	64	-	Kiribati	-	-	-	-	-
Bulgaria	-	2	-	2	2	Korea, Dem. Republic of	2	2	1	-	1
Cambodia	1	-	-	-	2	Korea, Republic of	183	172	132	159	222
Canada	1,722	2,059	2,161	2,052	2,460	Kuwait	1	-	3	3	1
Cayman Islands	18	27	30	37	29	Latvia	-	-	6	4	-
Central African Rep.	-	-	-	1	-	Lebanon	4	3	5	2	4
Channel Islands	4	7	8	14	10	Liberia	4	2	5	3	17
Chile	18	14	33	39	24	Libya	-	-	-	-	-
China (mainland)	60	81	101	132	182	Liechtenstein	20	35	23	21	-
Colombia	28	37	27	32	21	Lithuania	-	-	-	2	-
Comoros	-	-	-	1	-	Luxembourg	9	26	34	20	86
Cook Islands	1	5	2	1	-	Macau	1	-	1	1	-
Costa Rica	12	10	7	11	16	Malaysia	5	6	19	10	18
Croatia	-	-	1	1	-	Malta	-	-	-	1	1
Cuba	7	6	10	7	3	Marshall Islands	-	-	1	-	-
Cyprus	4	2	4	4	7	Mauritius	2	-	-	3	-
Czechoslovakia	6	2	12	5	13	Mexico	183	220	276	257	316
Denmark	78	105	138	105	178	Moldova	-	-	1	-	-
Dominica	-	1	-	-	-	Monaco	9	13	19	9	18
Dominican Republic	11	16	14	18	19	Morocco	-	5	-	1	4
Ecuador	7	8	4	12	16	Myanmar	-	-	-	1	-
Egypt	1	2	2	2	1	N. Mariana Island	-	-	-	1	-
El Salvador	5	6	4	3	5	Netherlands	303	362	300	342	489
Faroe Islands	-	-	1	-	2	Netherlands Antilles	19	15	15	6	25
Fiji	1	1	1	1	1	New Zealand	43	61	44	68	88
Finland	82	106	71	62	111	Nicaragua	2	2	1	2	1
France	907	966	942	943	1,402	Nigeria	7	-	2	2	11
French Polynesia	2	-	-	2	-	Norway	48	44	41	53	112
Georgia	-	-	1	1	7	Oman	-	1	-	-	-
Germany	1,004	1,268	1,325	1,393	2,255	Pakistan	6	6	1	1	2
Ghana	2	2	-	-	-	Panama	23	26	24	24	34
Gibraltar	2	5	2	1	7	Papua New Guinea	3	-	-	-	-
Greece	18	9	15	6	13	Paraguay	-	2	-	2	2

Table 22. (Cont'd)
Trademarks Registered to Residents of Foreign Countries: 1996-2000

(As of September 30 of each fiscal year)

Residence	1996	1997	1998	1999	2000	Residence	1996	1997	1998	1999	2000
Peru	6	8	12	7	-	Sweden	228	239	238	208	263
Philippines	4	13	10	13	10	Switzerland	460	495	492	445	838
Poland	20	7	9	7	14	Syria	1	-	-	-	-
Portugal	18	27	25	27	37	Taiwan	285	342	367	299	450
Romania	1	3	1	1	-	Thailand	9	12	20	15	24
St. Kitts & Nevis	2	1	-	-	3	Trinidad & Tobago	7	12	7	6	7
Saint Lucia	1	-	-	-	-	Tunisia	-	-	1	1	-
San Marino	1	-	-	-	3	Turkey	4	9	12	13	7
Saudi Arabia	6	4	2	8	-	Turks and Caicos Islands	3	2	2	11	6
Scotland	3	3	1	1	5	United Arab Emirates	2	2	6	4	5
Senegal	-	1	1	-	-	United Kingdom	957	1,248	1,264	1,108	1,531
Sierra Leone	-	1	-	-	-	Uruguay	4	12	2	1	1
Singapore	45	60	49	34	44	Vanuatu	-	-	1	-	-
Slovakia	-	-	1	3	2	Vatican City	-	1	-	1	-
Slovenia	-	-	8	3	4	Venezuela	20	29	19	24	16
South Africa	35	36	54	41	43	Vietnam	2	1	2	4	6
Russian Federation	1	2	8	14	37	Western Samoa	-	-	-	-	-
Spain	200	222	279	280	263	Yemen	-	-	2	-	-
Spratly Islands	3	5	-	-	-	Yugoslavia	3	1	1	3	-
Sri Lanka	3	6	3	4	5	Zimbabwe	1	-	-	-	-
Swaziland	-	-	-	1	-	Other ¹	3	7	14	7	10

- Represents zero.

¹Country of origin information not available.

²Revised from FY 1999 report.

Table 23.
Summary of Contested Trademark Cases: 2000
 (Within the Patent and Trademark Office, as of September 30)

Activity	Ex parte	Cancellations	Use	Interference	Opposition	Total
Cases pending as of 9/30/99, total	4,459	2,213	77	-	6,145	12,894
Cases filed during FY 2000	2,662	1,560	26	-	5,013	9,261
Disposals during FY 2000, total	5,156	1,312	14	-	3,898	10,380
Before hearing	4,662	1,291	14	-	3,767	9,734
After hearing	494	21	-	-	131	646
Cases pending as of 9/30/00, total	1,965	2,461	89	-	7,260	11,775
Awaiting decision	210	11	-	-	26	247
In process before hearing ¹	1,755	2,450	89	-	7,234	11,528
Requests for extension of time to oppose						32,210

- Represents zero.

¹ Includes suspended cases.

Table 24.
Action on Petitions to the Commissioner of Patents and
Trademarks: 1996-2000
 (As of September 30 for each fiscal year)

Nature of petition	1996	1997	1998	1999	2000
PATENT MATTERS					
Actions on patent petitions, total	¹ 33,489	34,556	33,353	¹ 30,586	32,498
Acceptance of:					
Amendments filed after payment of issue fee	52	71	35	19	15
Late assignments	59	245	691	69	106
Late issue fees	878	685	-	-	-
Late priority papers	66	65	62	46	77
Access	9	17	16	9	24
Certificates of correction	15,564	16,691	16,044	17,583	14,111
Deferment of issue	26	24	22	52	68
Filing date	2,302	1,442	926	529	744
Interference	1	-	-	3	-
Make special:					
Infringement/manufacture	28	1	4	-	-
Other	1,234	1,179	1,328	1,502	1,574
Miscellaneous	2,639	4,554	2,690	920	2,323
Maintenance fees	822	970	976	1,474	1,698
Public use	5	9	-	-	5
Reexamination proceedings	62	42	39	17	-
Restriction	88	54	73	75	73
Revivals	3,315	2,826	3,466	4,158	5,084
Rule 47 (37 CFR 1.47)	530	407	419	407	849
Supervisory authority	967	551	2,491	66	75
Suspend rules	727	838	724	861	942
Withdrawal of attorney	786	669	870	-	1,401
Withdrawal from issue	680	761	385	862	1,212
Change of inventorship	778	323	127	80	147
Withdrawals of holding of aband./pat. lapse	1,871	2,132	1,965	1,854	1,970
TRADEMARK MATTERS					
Actions on trademark petitions, total	3,019	4,657	4,479	5,863	6,858
Affidavits of use and extensions	80	128	192	168	31
Decision by examiner	12	12	7	3	6
Filing date restoration ²	397	1,408	634	1,402	1,311
Grant application filing date	51	61	55	656	66
Inadvertently issued registrations	214	237	197	253	233
Interferences	1	1	1	-	2
Make special	116	104	124	160	157
Miscellaneous	10	28	170	76	40
Oppositions and extensions	20	15	9	30	-
Record documents affecting title	1	4	2	2	-
Reinstatements ³	1,175	1,501	1,307	1,501	2,130
Restore jurisdiction to examiner	46	1	3	10	3
Review board decisions	15	21	3	40	6
Revive	762	977	1,552	1,262	2,673
Section 7 correction/amendment	7	10	5	14	-
Section 9 renewal	1	7	4	6	3
Section 8 or 15	36	70	32	17	61
Section 44(e) amendment*	-	-	-	131	102
Review letter of protest decision*	-	-	-	5	3
Waive fees/refunds	75	72	182	127	31
PETITIONS AWAITING ACTION AS OF 9/30					
Patent matters	872	3,779	2,589	2,389	1,458
Trademark petitions awaiting response	25	56	74	22	158
Trademark petitions awaiting action	180	560	69	651	3,199
Trademark pending filing date issues*	-	-	-	-	189

- Represents zero. *Not reported in previous year.

¹Correction to FY 1999 report. ²Trademark applications entitled to a particular filing date; based on clear evidence of office error. ³Trademark applications restored to pendency; inadvertently abandoned by the office.

Table 25.
Case in Litigation: 2000
Selected Courts of the United States, as of September 30)

Item	Patents	Trademarks	Total
UNITED STATES DISTRICT COURTS			
Civil actions pending as of 9/30/99, total	14	3	17
Filed during FY 2000	8	4	12
Disposals , total	5	2	7
Affirmed	1	-	1
Dismissed	4	2	6
Civil actions pending as of 9/30/00, total	17	5	22
UNITED STATES COURTS OF APPEALS¹			
Ex parte cases:			
Cases pending as of 9/30/99	19	3	22
Cases filed during FY 2000	48	17	65
Disposals , total	35	9	44
Affirmed	15	4	19
Reversed	3	-	3
Remanded	3	-	3
Dismissed	13	3	16
Transfer	-	1	1
Writs of mandamus:			
Denied	1	1	2
Ex parte cases pending as of 9/30/00	32	11	43
Inter partes cases:			
Cases pending as of 9/30/99	52	113	165
Cases filed during FY 2000	12	25	37
Disposals , total	2	11	13
Affirmed	1	3	4
Remanded	1	4	5
Dismissed	-	4	4
Inter partes cases pending as of 9/30/00	62	127	189
Cases pending as of 9/30/00, total	94	138	232
SUPREME COURT			
Ex parte cases:			
Cases pending as of 9/30/99	-	-	-
Cases filed during FY 2000	3	1	4
Disposals , total	2	1	3
Cases pending as of 9/30/00, total	1	-	1
Other jurisdictions, FY 2000, total	2,036	2,528	4,564

- Represents zero.

¹Includes Federal Circuit and others.

Table 26.
Patent Classification Activity: 1996-2000

(As of September 30 for each fiscal year)

Activity	1996	1997	1998	1999	2000
Original patents professionally reclassified—completed projects	125,296	114,895	100,474	82,944	53,437
Subclasses established	5,091	6,789	3,569	2,433	1,869
Reclassified patents clerically processed, total	499,101	417,866	393,985	193,309	128,362
Original U.S. patents	122,706	141,518	119,425	62,584	49,231
Cross-reference U.S. patents	245,412	234,370	189,957	97,615	70,302
Foreign patents	130,983	41,978	84,603	33,110	8,829

Table 27.
Scientific and Technical Information Center Activity: 2000
 (As of September 30)

Activity	2000
Prior art search services provided:	
Automated prior art searches completed	13,586
Online and manual foreign patent searches completed	3,325
Genetic sequence searches completed	8,464
Number of genetic sequences searched	63,136
CRF submissions processed	12,709
PLUS searches completed	4,298
Document delivery services provided:	
Document delivery/interlibrary loan requests processed	46,902
Documents provided using electronic tools	3,339
Copies of foreign patents provided	9,021
Copies purchased by the public	2,100
Copies provided to PTO staff	6,921
Foreign patents provided using electronic tools	4,043
Information assistance and automation services:	
One-on-one examiner assistance	10,070
Foreign patents assistance for examiners and public	6,585
Examiner briefings	3,394
Web pages created	175
Translation services provided for examiners:	
Written translations of documents	4,063
Number of words translated (written)	16,069,244
Documents orally translated	7,533
Collection usage and growth:	
Print/electronic NPL collection usage	110,216
Print books/subscriptions purchased	4,576
Print/microform foreign patents added to collections	186,652
Full text electronic journal titles available	6,384
Full text electronic book titles available	4,914
NPL databases available for searching (est.)	1,000
Foreign patent databases/web sites accessed	65