

## COMMENT PAGE FOR THE REQUEST FOR INFORMATION (RFI) ON THE PLAN TO RECOGNIZE MULTIPLE PRINCIPAL INVESTIGATORS ON NIH GRANTS

The RFI appears in the NIH Guide for Grants and Contracts at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-055.html>

All comments due by **September 16, 2005**.

**Introduction:** The NIH is seeking the opinion of Principal Investigators (PIs), faculty, staff, administrators, professional societies, postdoctoral fellows, students, and others on specific issues associated with permitting more than one PI to be named on an NIH funded grant, contract, or cooperative agreement.

This webpage for the collection of opinions and comments associated with the NIH Request for Information (RFI) that appears in the NIH Guide at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-055.html>

This NIH RFI is being issued at the same time as a companion RFI from the Office of Science and Technology Policy (OSTP). The OSTP RFI is available at <http://a257.g.akamaitech.net/7/257/2422/01jan20051800/edocket.access.gpo.gov/2005/pdf/05-14015.pdf>. The RFI from the OSTP seeks more general information about the recognition of more than one PI on a federal research award.

The RFI from the NIH seeks input on specific issues of particular interest to the health-related research community. Individuals interested in NIH sponsored research may respond to both RFIs.

Response to this RFI is optional -

In addition, response to individual questions within the RFI is also optional. The information collected will be analyzed and used to inform the development of NIH policies associated with the recognition of multiple PIs on various types of NIH awards. The questions related to information on the characteristics of the responder are optional but are included here as permitted under 5 CFR 1320.3 (h)(4), which allows for the collection of information to permit full consideration of the comments provided. The collected information will be analyzed and may appear in reports. Although the NIH will try to protect against the release of identifying information there is no guarantee of confidentiality

1. Full Name:	<input type="text"/>
2. Degree(s):	<input type="text"/>
3. E-Mail Address:	<input type="text"/>
4. Affiliation:	<input type="text"/>
5a. State:	Select a state <input type="text"/>
5b. Country (If other than US):	US <input type="text"/>
6. I hold the following academic or other rank:	<input type="text"/>

- President/Provost/Dean/Chancellor/CEO
- Research Administrator/Staff in an Office of Sponsored Research
- Department Chair
- Faculty Member
- Postdoctoral Researcher
- Graduate Student
- Other (Please specify below)

**7. I am affiliated with the following type of organization:**

- Public University
- Private University
- Medical School
- Non-University Research Institution
- Government
- Advocacy Group/Professional Society
- Other (Please specify below)

**8. My responses represent:**

- my own opinion
- the collective position of

**9. Feedback on the Allocation of Funds: [\(See NIH Guide Notice\)](#)**

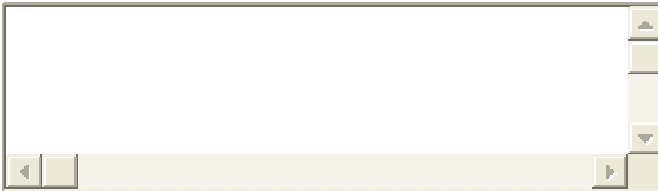
- a. Should the NIH permit the PIs/grantee institution to ask for apportionment of the budget to each PI?
  - Yes
  - No
  - Don't Know
- b. Should the NIH report budget apportionment on the Notice of Award (NoA) and track changes in apportionment throughout the project period?

- Yes
- No
- Don't Know

c. Do you think that apportionment will interfere with or facilitate the efficient operation of the research team?

- Interfere
- Facilitate
- Don't Know

**Comments:**



**10. Comments on Departmental Ranking Tables:** [\(See NIH Guide Notice\)](#)

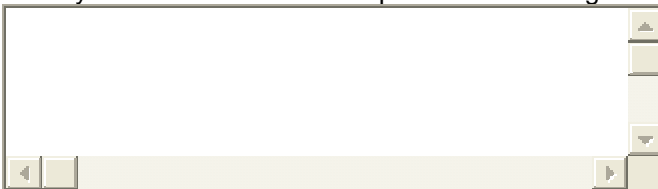
a. Does your institution use the departmental ranking tables?

- Yes
- No
- Don't Know

b. Would your institution be affected if the NIH eliminated the departmental ranking tables?

- Yes
- No
- Don't Know

c. If you answered "yes" to the previous question, please indicate in the box below how your institution would be affected by the elimination of the departmental ranking tables?



d. Would lists of awards with their associated PIs and their institutional and departmental affiliations satisfy your institution's need for information about NIH awards attributable to specific departments?

- Yes
- No
- Don't Know

**11. Comments on Awards to More than One Institution:** [\(See NIH Guide Notice\)](#)

a. Do you think a consortial or a sub-contract arrangement between the primary and secondary institution permits the leadership team to effectively manage a project spanning the involved institutions?

Yes

No

Don't Know

b. Does the sub-contract arrangement create inequities between PI(s) at the awardee institution and PI(s) at the sub-contract institution(s)?

Yes

No

Don't Know

c. Do you see value in offering Linked Awards in the case of multiple PIs located across multiple institutions?

Yes

No

Don't Know

d. Do you think a Linked Award arrangement is likely to interfere with effective project management?

Yes

No

Don't Know

**Comments on linked awards:**

**12. Comments on Other Issues Associated with the Plan to Recognize Multiple PIs:**