

CHANGE OF VESSEL  
REGISTRATION OR  
PERMIT OWNER/HOLDER  
APPLICATION

WEST COAST GROUND FISH  
LIMITED ENTRY PERMIT



UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service, Northwest Region  
Fisheries Permits Office

7600 Sand Point Way NE, Bldg. 1  
Seattle, Washington 98115-0070

Phone: (206) 526-4353 Fax: (206) 526-4461 www.nwr.noaa.gov



## INSTRUCTIONS

**REMINDER:** Please provide your current limited entry permit with the completed transfer request form.

**SECTION A - ACTION(S) REQUESTED:** Please check the box(es) next to the action(s) requested. Fill out the sections listed for each action. If multiple permits are being combined to either increase the size endorsement or to stack (sablefish-endorsed only), a separate form must be completed for each permit. For actions that include a change in vessel registered to a sablefish-endorsed permit, there are three sub-actions that can be taking place (stacking, moving, or removing).

1. If a sablefish-endorsed permit is being **stacked**, going from an "unidentified" vessel status to a named vessel, check the first sub-box under "change in vessel registered to a permit."
2. If a sablefish-endorsed permit is being **moved**, going from one named vessel to another named vessel, check the both sub-boxes under "change in vessel registered to a permit."
3. If a sablefish-endorsed permit is being **removed**, going from a named vessel to an "unidentified" vessel status, check the second sub-box under "change in vessel registered to a permit."

**SECTION B - CURRENT PERMIT OWNER:** List permit number, vessel name currently registered to the permit, and vessel registration number. List each permit owner(s) name as given on the current permit. Enter the Social Security Number(s) (SSN) or Tax Identification number (TIN) of the current permit owner(s). This section must be signed by an authorized individual for business entities or all permit owner(s) required to sign for NMFS to implement the designated actions (**See NOTE 1 below**). A signed and dated corporate resolution is required from business entities authorizing an individual to sign the form on behalf of the corporation/partnership. The current permit owner(s) or authorized permit owner(s) must sign and date the application in the presence of a notary to certify that the individual(s) signing the form have satisfactorily identified themselves. The notary must sign and date the certification statement, and affix their notary stamp or seal. By signing and dating the application, the applicant certifies that all information set forth in the application is true, correct, and complete to the best of the applicant's knowledge and belief.

If the space provided in this section is not adequate to list all owners required to sign the form, attach a separate sheet with the heading: "Section B - Current Permit Owner - Attachment." Please provide permit owner names, SSN/TIN, signatures, date of signature, and notarize the separate sheet. Note the Privacy Act Statement at the end of the application.

**SECTION C - CHANGE OF VESSEL REGISTERED TO PERMIT:** If you are changing the vessel registered to the permit, please indicate the name and registration number of the current vessel listed on the permit on the left side of Section C and list the name and registration number of the new vessel on the right side of Section C. List the length overall of both the current and new vessels. If the permit is not being registered to a specific vessel, indicate "unidentified" for the new vessel name. **A recent marine survey (conducted in last 3 years) must be provided for a vessel not currently registered to a limited entry permit to verify its actual length overall. A copy of the current U.S. Coast Guard Form 1270 Certificate of Documentation must be included with the transfer form to verify vessel ownership by the permit holder.** The survey must contain the following statement: "I certify that I am an accredited marine surveyor and that this measurement of length was conducted in accordance with the U.S. Coast Guard method of determining length overall (46 CFR Ch.1, Subpart B, 69.53) and the length overall was determined to be \_\_\_\_\_ feet."

**SECTION D - NEW PERMIT OWNER:** List each new permit owner's name(s), a business address and phone number. Enter the Social Security Number(s) (SSN) or Tax Identification number (TIN) of the new permit owner. If the space provided in this section is not adequate to list all owners, attach a separate sheet with the heading: "Section D - New Permit Owner - Attachment." Please provide permit owner names and SSN/TIN. The new permit owner is requested (optional) to provide the permit sale price. Note the Privacy Act Statement at the end of the application.

**SECTION E - PERMIT HOLDER:** If the holder of the permit is different than the permit owner (as in the case of permit

leases), list the individual(s) or business name, address and phone number. If the permit holder is different from the listed permit owner, enter the Social Security Number(s) (SSN) or Tax Identification number (TIN). If the space provided in this section is not adequate to list all holders, attach a separate sheet with the heading: "Section E - Permit Holder - Attachment." Please provide permit holder names and SSN/TIN. The new permit holder is requested (optional) to provide the permit lease price and period of the lease. Note the Privacy Act Statement at the end of the application. If removing the permit holder, just check the box "remove holder" in the section but do not fill out the rest of the section. The permit holder must be the same as the vessel owner as stated in regulation at 50 CFR Part 660.333(a).

**SECTION F - SABLEFISH-ENDORSED PERMIT TRANSFER:** Fill out this section if you are requesting a transfer of a sablefish-endorsed permit at any time during the primary sablefish season. This section requires the transferor to certify the total amount of sablefish landings for the current primary sablefish season on the permit at the time of transfer and the transferee to acknowledge the amount of sablefish remaining to be landed on the permit during the primary sablefish season. In the case of an enforcement investigation on a sablefish-endorsed permit, the transferor may be held liable for certifying false landings during a mid-season transfer of a permit.

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**Eligibility to own or hold a West Coast Groundfish Limited Entry Permit:** Please note that a West Coast Groundfish Limited Entry Permit may be issued or may be held only by a person eligible to own a documented vessel under the terms of 46 U.S.C. 12102(a).

**NOTE 1:** Persons who are signing on behalf of an organization or another party, need to submit evidence of authority to sign such as: notarized power of attorney, corporate resolutions, certified court documents relative to divorce decrees and judgements or appointments as executor, etc. If the permit is owned by co-owners, both owners must sign.

**NOTE 2:** Persons eligible to own a documented vessel are:

- an individual who is a citizen of the United States
- an association, trust, joint venture or other entity -
  - a) all of whose members are citizens of the United States; and
  - b) that is capable of holding title to a vessel under the laws of the United States or of a State
- a partnership whose general partners are citizens of the United States
- a corporation established under the laws of the United States or of a State, whose president or other chief executive officer and chairman of the board of directors are citizens of the United States and no more of its directors are non-citizens than a minority of the number necessary to constitute a quorum;
- the United States Government;
- the government of a State;
- an alien lawfully admitted to the United States for permanent residence who operates a fishing vessel off the coast of the State of California.

Please mail this application with **your current limited entry permit** and other required supporting documentation to the address listed at the top of the instructions page.

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**SECTION A - ACTION(S) REQUESTED**

Check all that apply.

- Change in vessel registered to permit **(Fill out Sections B, C & F)**
  - Is this a request to stack a sablefish-endorsed permit?
  - Is this a request to remove a sablefish-endorsed permit from an existing stack arrangement?
- Change in permit owner **(Fill out Sections B, D & F)**
- Change in permit holder **(Fill out Sections B, E & F)**

**SECTION B - CURRENT PERMIT OWNER**

Permit Number <b>GF</b>	Vessel Name	USCG Doc or State Registration Number
Current Permit Owner Name(s) <i>(Last, First, Middle Name or Business Name)</i> <i>Business Name</i>		TIN <i>(If multiple owners, attach a list of their names and TIN)</i>
<i>Last</i>	<i>First</i>	<i>Middle Name</i>
Business Mailing Address <input type="checkbox"/> <i>Is this a change of address?</i> <i>Street or PO Box</i>		Business Phone ( )
		Business Fax (optional) ( )
<i>City</i>	<i>State</i>	<i>Zip Code</i>
Business Email (optional)		

The remainder of Section B must be completed by a notary to certify that the individual(s) signing this form have satisfactorily identified themselves.

*Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.*

Signature of Applicant or Authorized Representative <b>(See Note 1)</b>	Date
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Printed Name of Applicant or Authorized Representative *(NOTE: If form completed by a representative, attach authorization.)*

Notary Public Signature <input type="checkbox"/> ATTEST	Affix Notary Stamp or Seal Here
Date Commission Expires	

**SECTION C - CHANGE OF VESSEL REGISTERED TO PERMIT**

CURRENT Vessel Name \_\_\_\_\_

NEW Vessel Name \_\_\_\_\_

USCG Doc or State Registration Number \_\_\_\_\_

USCG Doc or State Registration Number \_\_\_\_\_

Length Overall \_\_\_\_\_ feet

Length Overall \_\_\_\_\_ feet

*For the new vessel, submit a copy of the US Coast Guard "Certificate of Documentation" (CG-1270) or the registration certificate issued by a state. Also submit a marine survey documenting vessel length overall (LOA). The survey must be conducted by a certified marine surveyor according to the USCG method for determining LOA. NOTE: In order to register a permit for use with a given vessel, the vessel owner must be listed as either the permit owner or holder.*

**SECTION D - NEW PERMIT OWNER**

New Permit Owner Name(s) (Last, First, Middle Name **or** Business Name)  
Business Name

TIN (If multiple owners, attach a list of their names and TIN)

Last

First

Middle Name

SSN (If multiple owners, attach a list of their names and SSN)

Business Mailing Address  Is this a change of address?

Street **or** PO Box

Business Phone

( )

Business Fax (optional)

( )

City

State

Zip Code

Business Email (optional)

Are you a person eligible to own a documented vessel under the terms of 46 USC 12102 (a)? (See Note 2)  yes  no

Permit sale price (optional) \$ \_\_\_\_\_ (U.S. dollars)

**SECTION E - PERMIT HOLDER**

Add holder

Remove holder

Permit Holder/Vessel Owner Name(s) (Last, First, Middle Name **or** Business Name)  
Business Name

TIN (If multiple owners, attach a list of their names and TIN)

Last

First

Middle Name

SSN (If multiple owners, attach a list of their names and SSN)

Business Mailing Address  Is this a change of address?

Street **or** PO Box

Business Phone

( )

Business Fax (optional)

( )

City

State

Zip Code

Business Email (optional)

Are you a person eligible to own a documented vessel under the terms of 46 USC 12102 (a)? (See Note 2)  yes  no

Permit lease price (optional) \$ \_\_\_\_\_ (U.S. dollars)

Period of lease (optional) \_\_\_\_\_

**SECTION F - SABLEFISH-ENDORSED PERMIT TRANSFER**

Only fill out this section if you are transferring a sablefish-endorsed permit.

Is the permit transfer occurring during the primary sablefish season (usually April-October)?

**yes** If yes, how much cumulative sablefish in pounds (round weight) has been landed to date against the permit's primary season limit?  
\_\_\_\_\_ pounds

**no** If no, no further information is required in this section.

**Current Permit Owner (Transferor):** I certify that the cumulative amount of landed sablefish given above has been credited against the permit listed on this form and is accurate as of the date of my signature below. Further, I certify that the cumulative amount of landed sablefish for this permit (given above) occurred during the current primary sablefish season and has been reported on fish landing tickets.

Printed Name of Current Permit Owner	Signature of Current Permit Owner	Date
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**New Permit Owner or Holder (Transferee):** I acknowledge that the cumulative amount of landed sablefish given above has been credited against the permit listed on this form and reflects cumulative landings during the current primary sablefish season. Further, I acknowledge that the difference between the total tier poundage available on this permit minus the amount given above is the amount of sablefish remaining to be harvested during the current primary sablefish season.

Printed Name of New Permit Owner or Holder	Signature of New Permit Owner or Holder	Date
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**WARNING STATEMENT:** A false statement on this form is punishable by permit sanctions (revocation, suspension, or modification) under 15 CFR 904, a civil penalty of up to \$100,000 under 16 USC 1858, and as a federal crime under 18 USC 1001.

**PRIVACY ACT STATEMENT:** Your Social Security Number (SSN) is confidential and is protected under the Privacy Act. Provision of your SSN is mandatory. The primary purpose for requiring the SSN and TIN is to verify the identity of individuals/entities doing business with the government as required by the Debt Collection Improvement Act of 1996 (Public Law 104-134).

**PRA STATEMENT:** Public reporting burden for this collection of information is estimated to average 0.5 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA/National Marine Fisheries Service, Northwest Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, 7600 Sand Point Way NE, Seattle, WA 98115.

**ADDITIONAL INFORMATION:** Before completing this form, please note the following: 1) This information is collected to manage and conserve the Pacific Coast Groundfish fishery and more specifically, to maintain accurate and current records on all permit owners, permit holders and vessels registered to participate in the Pacific Coast Groundfish Limited Entry Program. This information will be used to formally document a request from the permit owner to make a change to a permit and allow the Agency to review and verify that such a request is in compliance with existing regulations, to monitor and analyze changes in participation in Pacific Groundfish fishery, accurately record changes to the listed permit owner, permit holder or vessel registered to the permit, and maintain accurate and current address and contact information of permit owners and holders to allow the Agency to notify them of changes in the fishery regulations. 2) This collection of information is mandatory and a change in registration of limited entry permits is required at 50 CFR Section 660.335 (b-i). 3) Responses to this information request are confidential under Section 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, et. seq.). It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. These procedures have been implemented under the NMFS Operations Manual entitled, "Data Security Handbook of the Northwest and Alaska Region, National Marine Fisheries Service". Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.