

Objection Letter to Proposed Management Official

Letterhead

Date

Name of Spokesperson or Bank Official
Name of Bank
Street Address
City, State, Zip Code

Re: Application Description, CAIS Control Number

Dear Mr./Ms. *(Name)*:

The OCC conducted a background investigation and examined the biographical and financial information submitted for *(name)*, who was proposed to become *(insert title)*. The OCC objects to *(name)* serving as *(insert title of position)* for the following reasons:

(List reasons for objection.)

Denial is based on a thorough review of all the information available, including representations made by you and your representatives in the application, subsequent correspondence, and telephone conversations.

If you have questions, please contact *(name)* at *(telephone number)*.

Sincerely,

-Signature-

Name and Title

cc: Official File
Proposed Management Official