

United States Bankruptcy Court for the \_\_\_\_\_ District of \_\_\_\_\_.

**NOTIFICATION STATEMENT**

TO: \_\_\_\_\_ POSITION: \_\_\_\_\_

FROM: \_\_\_\_\_ POSITION: \_\_\_\_\_

DATE: \_\_\_\_\_ SIGNATURE OF PREPARER \_\_\_\_\_

PREPARER'S POSITION \_\_\_\_\_

1. Basis for Notification and Possible Estate loss:

a. Suspected violation of 18 U.S.C. Section:  
152( ); 153( ); 154( ); 155( ); 156( ); 157( );  
1341( ); 1342( ); 1343( ); 1344( )

b. Other suspected criminal violation \_\_\_\_\_

c. Possible Estate Loss \$ \_\_\_\_\_ Other \_\_\_\_\_

2. Subject of Notification:

- a. ( ) Debtor (principal/responsible person)
- b. ( ) Trustee
- c. ( ) Professional (Specify Title) \_\_\_\_\_
- d. ( ) Claimholder/Equity Security Holder
- e. ( ) Public Official
- f. ( ) Other \_\_\_\_\_

3. Background Information:

a. Name of debtor's case: \_\_\_\_\_

Case number/district: \_\_\_\_\_

Debtor's address: \_\_\_\_\_

Telephone numbers: \_\_\_\_\_

b. Case Chapter: 7 ( ); 11 ( ); 12 ( ); 13 ( );  
Nature of Case: Voluntary ( ); Involuntary ( )

c. Debtor's attorney: \_\_\_\_\_  
Attorney's address: \_\_\_\_\_  
Telephone: \_\_\_\_\_

d. Name of trustee: \_\_\_\_\_  
Appointment date: \_\_\_\_\_  
Trustee's address: \_\_\_\_\_  
Telephone: \_\_\_\_\_

e. Petition and schedules attached for lists of claimholders, debts, assets, exempt property, and other information:  
Yes ( ) No ( )

f. If chapter 7 case, Sec. 705 creditors' committee appointed:  
Yes ( ) No ( )  
If yes, date of appointment: \_\_\_\_\_  
Name, address and telephone nos. of contact persons:

g. If chapter 11 case, Sec. 1102 creditors' committee appointed:  
Yes ( ) No ( )  
If yes, date of appointment: \_\_\_\_\_  
Name, address and telephone nos. of contact persons:

h. Other information regarding the debtor's case:

4. Circumstances Relating to Suspected Violation of Chapter 9 of Title 18, United States Code, or other Laws of the United States Relating to the Debtor's Case

a. Name of subject of notification: \_\_\_\_\_  
Subject's address: \_\_\_\_\_  
Telephone numbers: \_\_\_\_\_

Other identification information:

- b. Report all facts and circumstances of the case, the names of witnesses, and the offense or offenses believed to have been committed (provide as complete a description as possible) by:
- (1) Providing a full and complete account of the suspected violation.
  - (2) Providing the names, addresses, and telephone numbers of persons with knowledge of and information relating to suspected offense.
  - (3) Indicating, (based on available information) whether the suspected offense relates only to a single incident in a debtor's case or whether the suspected offense relates to multiple transactions/bankruptcies.
  - (4) Indicating whether the subject of the notification has been the subject of a prior notification and, if so, relating the relevant circumstances surrounding the earlier notification.
  - (5) Disclosing other pertinent information.