The Federal Interagency Sharing Neutrals Program Brown Bag Series Tuesday, July 14th, 2009 12:00pm-1:30pm Securities and Exchange Commission, Room 4000

What is a "Successful" Mediation?

Description: As a mediator, how do you define a successful mediation? Who defines success: You, the parties, or the agency ADR office requesting the mediation? Are there ethical implications, *e.g.*, what if your personal definition of success conflicts with that of the parties or the agency ADR office? Does your definition of success impact the mediation outcome?

Presenter: Fern Kaufman, Attorney, Mediator

Please RSVP by July 10th, 2009

In Person Attendance: If you would like to participate *in person* please email inpersonsnbrownbag@sec.gov

Teleconference: If you would like to participate *via teleconference* please email <u>telephonesnbrownbag@sec.gov</u>. We have a limited number of telephone lines, so we will accept teleconference participation on a first come, first served basis.

Videoconference: If you would like to participate by video and have ISDN VTC capability, please call 202 551-8882 on July 7, and 8 2009, between 10:00 and 11:00 am (ET) to set up a VTC test connect. This mandatory process will ensure system compatibility.

Disability Accommodations: If you need disability accommodations contact Leah at meltzerd@sec.gov. Please allow sufficient time for us to make arrangements.

Directions to the SEC: 100 F Street, NE. From the Union Station metro stop (red line), take the escalator at either exit up to the main floor of Union Station. Walk past the train gates to McDonalds. Just before entering McDonalds, turn left and go through the glass doors. Walk down the ramp, down the escalator and then walk straight ahead to the SEC lobby and security. Please bring a photo ID and <u>allow extra time for security processes</u>.

Notices are posted at www.hhs.gov/dab/sn and http://www.adr.gov/a.htm

Speaker Bio

Fern Feil Kaufman

Fern Feil Kaufman is Director of the Center for Alternative Dispute Resolutions at the Department of Transportation. Prior to accepting this position, Ms. Kaufman was an attorney in the Office of the Chief Counsel for Dispute Resolution at the Federal Aviation Administration. Ms. Kaufman brings to DOT her expertise in the use of ADR tools and techniques, as well as her teaching ability and her experience in public speaking.

Before coming to the Department of Transportation, Ms. Kaufman served as Director of the Collaboration and Alternative Dispute Resolution Program at the Federal Labor Relations Authority. At the FLRA, Ms. Kaufman provided alternative dispute resolution services in pending unfair labor practice, representation, negotiability and bargaining impasse disputes and provided facilitation and training activities to assist labor and management in developing constructive approaches to conducting their relationship. Prior to the FLRA, Ms. Kaufman was Deputy Director of Mediation Services at the Department of Health and Human Services, where she directed the Federal Sharing Neutrals Program. Ms. Kaufman has designed and provided ADR training including overview of ADR, mediation skills, advanced mediation skills, and interest based problem solving, facilitated labor-management interest based negotiations and other group interactions, and mediated hundreds of disputes throughout the government. Additionally, she has participated in and provided training for community mediation programs in the Washington metropolitan area and presented at numerous conferences.

Fern is a member of both the District of Columbia and New York Bars. She earned an undergraduate degree in Economics from Cornell University and her JD from Duke University Law School.