

FY 2008 BUDGET JUSTIFICATION



Office of Inspector General
Railroad Retirement Board

**OFFICE OF INSPECTOR GENERAL
RAILROAD RETIREMENT BOARD**

TABLE OF CONTENTS

Executive Summary 1

Proposed Appropriation Language 2

Authorizing Legislation 3

Budget Authority and Staffing by Activity 4

Budget Authority by Object Class Schedule 5

Consulting Services 6

Personnel Summary 7

Amounts Available for Obligation 8

Appropriations History Table 9

Staffing History 10

Explanation of Fiscal Year 2008 Budget Request 11

Fiscal Year 2008 Annual Performance Plan 18

February 2, 2007

**OFFICE OF INSPECTOR GENERAL
RAILROAD RETIREMENT BOARD**

EXECUTIVE SUMMARY

The mission of the Office of Inspector General (OIG) at the Railroad Retirement Board (RRB) is to : (1) conduct independent audits and investigations relating to agency programs and operations; (2) promote economy, efficiency and effectiveness; (3) detect fraud and abuse; and (4) keep the Board Members and Congress informed about problems and recommended corrective actions concerning RRB operations.

The OIG is requesting \$7,606,000 in fiscal year 2008 to continue audit and investigative coverage of the RRB benefit programs, to identify program weaknesses, and reduce fraud in agency programs. The office will focus resources on operational areas with the greatest impact on RRB activities and related customer service. Investigative resources will be devoted to maintaining the integrity of RRB programs through the investigation of fraud, waste and abuse.

JUSTIFICATION OF ESTIMATES

PROPOSED APPROPRIATION LANGUAGE

Limitation on The Office of Inspector General

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than \$7,606,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account: Provided: That none of the funds made available in any other paragraph of this Act may be transferred to the Office; used to carry out any such transfer; used to provide any office space, equipment, office supplies, communications facilities or services, maintenance services, or administrative services for the Office; used to pay any salary, benefit, or award for any personnel of the Office; used to pay any other operating expense of the Office; or used to reimburse the Office for any service provided, or expense incurred, by the Office.

Note. – A regular 2007 appropriation for this account had not been enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 109-289, Division B, as amended). The amounts included for 2007 in this budget reflect the levels provided by the continuing resolution.

AUTHORIZING LEGISLATION

FY 2008

<u>Legislation</u>	<u>Authorized</u>	<u>Appropriation Requested</u>
Inspector General Act of 1978, as amended	Indefinite	\$ 7,606,000
Authorizing Legislation		<hr/> \$ 7,606,000

BUDGET AUTHORITY AND STAFFING BY ACTIVITY

	<u>FY 2006</u>		<u>FY 2007</u>		<u>FY 2008</u>	
	<u>FTES</u>	<u>BUDGET AMOUNT</u>	<u>FTES</u>	<u>BUDGET AMOUNT</u>	<u>FTES</u>	<u>BUDGET AMOUNT</u>
<u>Program by Activity</u>						
Office of Inspector General						
Authorizing Legislation - President's Budget	53	7,196,000	53	7,124,040	53	7,606,000
Mandated Rescissions		(71,960)		0		0
		<hr/>		<hr/>		<hr/>
Authorizing Legislation		7,124,040		7,124,040 <u>a/</u>		7,606,000
Unappropriated Expenses		0		0		0

a/ Reflects the level of funding provided by the FY 2007 Continuing Resolution (P.L. 109-289, Division B, as amended).

BUDGET AUTHORITY BY OBJECT CLASS SCHEDULE

	FISCAL YEAR 2006 <u>ACTUAL AMOUNTS (\$)</u>	FISCAL YEAR 2007 <u>ESTIMATED AMOUNTS (\$)</u>	FISCAL YEAR 2008 <u>ESTIMATED AMOUNTS (\$)</u>	<u>FY 2008 vs FY 2007 INCREASE OR DECREASE (\$)</u>
<u>Obligation by Object Class</u>				
Personnel Compensation:				
Full-time permanent	4,830,647	4,819,040	5,259,000 b/	439,960
Other than full-time permanent	0	0	0	0
Other personnel compensation	111,218	101,000	106,000	5,000
Total Personnel Compensation	4,941,865	4,920,040	5,365,000	444,960
Personnel Benefits: Civilian				
Benefits for former personnel	0	124,000	1,000	(123,000)
Travel and transportation of persons	184,773	212,000	124,000	(88,000)
Transportation of things	2,396	3,000	3,000	0
Rental Payments to GSA	250,994	256,000	264,000	8,000
Communications, utilities, and miscellaneous charges	84,804	90,000	96,000	6,000
Printing and reproduction	6,484	7,000	7,000	0
Consulting Services	177,378	94,000	181,000	87,000
Other Services	82,875	128,000	128,000	0
Supplies and materials	15,960	19,000	19,000	0
Equipment	26,019	30,000	20,000	(10,000)
Total Direct Obligations	7,099,533	7,124,040	7,606,000	481,960
Unobligated Balance	24,507	0	0	0
Total Budget Obligations	7,124,040	7,124,040	7,606,000	481,960
Authorizing Legislation-President's Budget	7,196,000	7,124,040 <u>a/</u>	7,606,000	481,960
Mandated Rescission	(71,960)	0	0	
Authorizing Legislation	7,124,040	7,124,040	7,606,000	
Total Full-Time Equivalent (FTE) Usage	51	53 <u>a/</u>	53	

a/ Reflects the level of funding provided by the FY 2007 Continuing Resolution (P.L. 109-289, Division B, as amended). This level of funding will necessitate a reduction of 7 FTEs or 14 furlough days for the entire staff if enacted for the entire FY 2007.

b/ Salary and benefit estimates for FY 2008 reflect assumptions provided by the Office of Management and Budget for cost of living/locality increases of 3.0% in January 2008.

CONSULTING SERVICES

(in thousands of dollars)

<u>Program by Activity</u> <u>Contractual Services</u>	<u>FY 2006</u> <u>ACTUAL</u>	<u>FY 2007</u> <u>ESTIMATE</u>	<u>FY 2008</u> <u>ESTIMATE</u>
<u>Consultations</u>			
OIG Disaster Recovery Assessment	54	55	60
Federal Information Security Management Act (FISMA)	0	0	75
Audit of Statement of Social Insurance	110	25	30
	<hr/>	<hr/>	<hr/>
Contract Program Total	164	80	165

PERSONNEL SUMMARY

	<u>FY 2006 ACTUAL</u>	<u>FY 2007 ESTIMATE</u>	<u>FY 2008 REQUEST</u>
Executive Level IV	<u>1</u>	<u>1</u>	<u>1</u>
Subtotal	1	1	1
ES-00	<u>2</u>	<u>2</u>	<u>2</u>
Subtotal	2	2	2
GS/GM-15	2	2	2
GS/GM-14	7	7	7
GS/GM-13	13	13	13
GS-12	16	15	17
GS-11	0	2	3
GS-10	0	0	0
GS-09	2	6	3
GS-08	2	2	2
GS-07	5	2	2
GS-06	1	1	1
GS-05	0	0	0
GS-04	0	0	0
GS-03	0	0	0
GS-02	0	0	0
GS-01	<u>0</u>	<u>0</u>	<u>0</u>
Subtotal	48	50	50
End of Year: total full-time permanent employment	51	53	53
Full-time equivalent (FTE) usage	51	53	53
<u>END OF YEAR</u>			
Average ES Salary	\$152,000	\$154,600	\$157,000
Average GS/GM Grade	11.75	11.78	11.94
Average GS/GM Salary without Premium Pay	\$73,300	\$76,700	\$79,100
Average GS/GM Salary with Premium Pay	\$108,400	\$110,600	\$113,900

AMOUNTS AVAILABLE FOR OBLIGATION

Office of Inspector General

<u>Financing</u>	<u>FY 2006 actual</u>	<u>FY 2007 estimate</u>	<u>FY 2008 estimate</u>
Obligations from new authority	\$7,099,533	\$7,124,040	\$7,606,000
Plus: Unobligated balance	<u>24,507</u>	<u>0</u>	<u>0</u>
Limitation:	\$7,124,040 <u>a/</u>	\$7,124,040 <u>b/</u>	\$7,606,000
<u>Relation of obligations to outlays</u>			
Obligations incurred	\$7,099,533	\$7,124,040	\$7,606,000
Obligated balance, start of year	0	463,440	463,440
Obligated balance, end of year	<u>(463,440)</u>	<u>(463,440)</u>	<u>(463,440)</u>
Outlays:	\$6,636,093	\$7,124,040	\$7,606,000

a/ Reflects a rescission of \$71,960, in accordance with Public Law 109-148.

b/ Reflects the level of funding provided by the FY 2007 continuing resolution (P.L. 109-289, Division B, as amended).

APPROPRIATIONS HISTORY TABLE

<u>FISCAL YEAR</u>	<u>PRESIDENT'S BUDGET TO CONGRESS</u>	<u>HOUSE ALLOWANCE</u>	<u>SENATE ALLOWANCE</u>	<u>APPROPRIATION</u>
1994	\$6,742,000	\$6,742,000	\$6,742,000	\$6,742,000
1995	\$6,700,000	\$6,682,000	\$6,860,000	\$6,675,000 a/
1996	\$6,700,000	\$5,100,000	\$6,147,000	\$5,656,400 b/
1997	\$5,750,000	\$5,268,000	\$5,540,000	\$5,394,300 c/
1998	\$5,400,000	\$5,000,000	\$5,394,000	\$5,794,000 d/
1999	\$5,400,000	\$5,400,000	\$5,600,000	\$5,600,000 e/
2000	\$5,400,000	\$5,400,000	\$5,400,000	\$5,380,000 f/
2001	\$5,700,000	\$5,380,000	\$5,700,000	\$5,700,000 g/
2002	\$6,480,000	\$6,042,000	\$6,480,000	\$6,261,000 h/
2003	\$6,300,000	\$6,300,000	\$6,300,000	\$6,321,640 i/
2004	\$6,600,000	\$6,600,000	\$6,300,000	\$6,561,060 j/
2005	\$7,200,000	\$6,561,000	\$7,200,000	\$7,195,968 k/
2006	\$7,195,968	\$7,196,000	\$7,196,000	\$7,124,040 l/
2007	\$7,606,000	\$7,606,000	\$7,606,000	\$7,124,040 m/
2008	\$7,606,000			

- a/ Represents the House and Senate Conference amount of \$6,682,000 less a \$7,000 reduction, mandated by fiscal year 1995 Rescissions Act (Public Law 104-19).
- b/ Represents the House and Senate Conference amount of \$5,673,000 less a \$16,600 reduction of funds cancelled and rescinded, mandated by (Public Law 104-134).
- c/ Represents the House and Senate Conference amount of \$5,404,000 less \$9,700 rescinded, mandated by (Public Law 104-208).
- d/ Represents the House and Senate Conference amount of \$5,794,000, mandated by (Public Law 105-78).
- e/ Represents the Omnibus Consolidated Appropriations amount of \$5,600,000 less \$3,400 rescinded, mandated by (Public Law 105-277).
- f/ Represents the Consolidated Appropriations Act, 2000 amount of \$5,400,000 less \$20,000 rescinded, mandated by (Public Law 106-113).
- g/ Represents the Consolidated Appropriations Act, 2001 amount of \$5,700,000, as enacted by (section 1(a)(1) of Public Law 106-554).
- h/ Represents the Departments of Labor, Health and Human Services, and Education and Related Agencies Appropriations Act, 2002 (Public Law 107-116) less \$4,500 rescinded in accordance with (Public Law 107-206).
- i/ Represents the Consolidated Appropriations Resolution, 2003 amount of \$6,363,000 less \$41,360 rescinded, mandated by (Public Law 108-7).
- j/ Represents the Consolidated Appropriations Act, FY 2004 amount of \$6,600,000 less \$38,940 rescinded, mandated by (Public Law 108-199).
- k/ Represents the Transportation, Treasury, Independent Agencies, and General Government Appropriations Act, FY 2005 amount of \$7,254,000 less \$58,032 rescinded, mandated by (Public Law 108-447).
- l/ Represents the Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2006 amount of \$7,196,000 (Public Law 109-149) less \$71,960 rescinded, mandated by (Public Law 109-148).
- m/ A regular 2007 appropriation for this account had not been enacted at the time the budget was prepared, therefore, this account is operating under a continuing resolution (P.L. 109-289, Division B, as amended). The amounts included for 2007 in this budget reflect the levels provided by the continuing resolution.

STAFFING HISTORY

<u>Fiscal Year</u>	<u>Total FTEs</u>
1986	18
1987	23
1988	55
1989	58
1990	83
1991	93
1992	101
1993	99
1994	93
1995	88
1996	74
1997	62
1998	59
1999	59
2000	54
2001	51
2002	51
2003	53
2004	51
2005	50
2006	51
2007	53 <u>a/</u>
2008	53

a/ The level of funding provided by the FY 2007 Continuing Resolution (P.L. 109-289, Division B, as amended) will necessitate a reduction of 7 FTEs if enacted for the entire FY 2007.

OFFICE OF INSPECTOR GENERAL RAILROAD RETIREMENT BOARD

EXPLANATION OF FISCAL YEAR 2008 REQUEST

The Office of Inspector General (OIG) at the Railroad Retirement Board (RRB) is responsible for promoting economy, efficiency and effectiveness, and for identifying and preventing fraud, waste and abuse in agency programs. The RRB administers comprehensive retirement-survivor and unemployment-sickness insurance benefit programs for the nation's railroad workers and their families. In Fiscal Year (FY) 2006, the agency paid \$9.3 billion in retirement and survivor benefits to about 619,000 beneficiaries, and \$73 million in net unemployment and sickness insurance benefits to about 28,000 claimants. The RRB also administers the Medicare Part B Program, the physician services aspect of the Medicare program, for qualified railroad beneficiaries. In FY 2006, the RRB paid annual Medicare benefits of approximately \$900 million to some 539,000 retired and disabled railroad workers.

The OIG conducts audits, compliance reviews and inspections of RRB program operations, and provides recommendations for improvement to agency management. The OIG also identifies and investigates cases of waste, fraud and abuse in RRB programs, and makes referrals for prosecution and monetary recovery actions.

The Annual Performance Plan for FY 2008, that includes the President's proposed administrative budget, actual performance statistics for FYs 2003-2006, and projected numbers for FYs 2007-2008, is also incorporated in this budget request.

The OIG is requesting \$7,606,000 for FY 2008 to conduct its independent oversight of agency operations by reviewing significant policy issues and operational areas, and investigating allegations of fraud, waste and abuse against agency benefit programs. The OIG will continue its efforts to identify operational weaknesses and detect internal control deficiencies in RRB benefit programs, and work with agency managers to ensure corrective actions are implemented.

Requested Changes in Operational Authority

Oversight of Medicare-related activities

The OIG requests the removal of the current prohibition on the use of funds for any audit, investigation or review of the Railroad Medicare program, and the related reimbursement funds from the Centers for Medicare and Medicaid Services.

The agency is responsible for the administration of Medicare program activities such as enrollment, premium collection, answering beneficiary inquiries, and conducting the annual Carrier Performance Evaluation for the Medicare carrier. The current prohibition does not permit this office to fulfill its statutory oversight responsibilities for a major agency program.

Removal of the prohibition would benefit both the Railroad Retirement Board and its constituents, and would be consistent with priorities established by the Administration and the Congress to reduce fraud in one of the largest Federal programs.

Oversight of the National Railroad Retirement Investment Trust (NRRIT)

The NRRIT was established by the Railroad Retirement and Survivors' Act of 2001 to manage and invest Railroad Retirement assets; the trust funds currently total approximately \$30.5 billion. The NRRIT is a tax-exempt entity independent of the Federal government. The legislation failed to provide the OIG with oversight authority to conduct audits and investigations of the NRRIT. Although the legislation requires the Trust to report to the RRB, this office has previously identified RRB oversight in this area as a serious management challenge for the agency and has reported concerns about the RRB's passive relationship with the NRRIT. This office believes that independent oversight of the Trust operations is necessary to permit monitoring activities to ensure sufficient reporting mechanisms are in place and the trustees are fulfilling their fiduciary responsibilities.

Reimbursement of indirect costs to the Railroad Retirement Board

The OIG requests the removal of the language in appropriation law that mandates OIG reimbursement to the agency for office space, equipment, communications, office supplies, maintenance and administrative services. This office is the only Federal OIG that cannot negotiate a service level agreement with its parent agency. The current mandate has resulted in a burdensome accounting and reconciliation process for both the agency and the OIG.

The Office of Inspector General conducts its operations through two major components: the Office of Audit and the Office of Investigations. A discussion of the priority areas in FY 2008 for audit and investigative activities follows.

OFFICE OF AUDIT

The mission of the Office of Audit (OA) is to conduct reviews, identify operational weaknesses and potential cost savings, and recommend corrective actions to strengthen agency programs. OA must ensure that the RRB's benefit programs are operating effectively and efficiently. OA is also responsible for ensuring Congress and the Board Members are informed of all current and potential problems, as well as management's progress in implementing necessary corrective actions to address identified weaknesses. OA conducts performance and financial audits as well as compliance reviews of the agency's actions to meet the requirements of new legislation and regulations.

In FY 2008, OA will continue to focus on operational areas with the greatest impact on RRB activities and the level of customer service provided by the agency. OA will also conduct reviews to assist the agency in meeting the goals set forth in the President's Management Agenda.

OA will continue to perform audits of the agency's financial statements to ensure the issuance of sound financial information. In FY 2008, auditors will complete the audit of the RRB's FY 2007 financial statements, and will also initiate a review of the FY 2008 financial statements. The OIG will obtain contractor actuarial services to audit the statement of social insurance, which is now one of the basic financial statements of the agency.

OA will work with agency management to ensure detailed and verifiable financial information is available from the National Railroad Retirement Investment Trust. As discussed previously, this office seeks oversight authority for the activities of this entity to ensure financial reports are both accurate and provided in a timely manner.

Auditors will continue to monitor the RRB's information systems operations to determine if the agency is meeting the goals established in its Strategic Information

Resources Management Plan and is consistent with the Information Technology Management Reform Act. The OIG will conduct its required annual evaluation of the agency's information systems security to meet the requirements of the Federal Information Security Management Act of 2002. Continued review will detect any weaknesses in system security and ensure the agency provides adequate protection for sensitive financial and personal information. Auditors will continue to closely monitor agency actions to address security deficiencies and implement corrective actions. The OIG will conduct assessments of the agency's e-government initiatives to identify and eliminate system vulnerabilities, and to ensure compliance with the E-Government Act of 2002.

The basic mission of the agency is to pay accurate and timely benefits to railroad workers and their families. OA will audit agency benefit processes and procedures to assess current controls for preventing inaccurate payments. OA will continue to offer recommendations to strengthen the debt collection program in order to reduce the accounts receivable balance.

OFFICE OF INVESTIGATIONS

The Office of Investigations (OI) focuses its efforts on identifying, investigating and presenting cases for prosecution, throughout the United States, concerning fraud in RRB benefit programs. OI conducts investigations relating to the fraudulent receipt of RRB sickness, unemployment, disability or retirement benefits. OI also investigates railroad employers and unions when there is an indication that they have submitted false reports to the RRB. Investigative efforts can result in criminal convictions, administrative sanctions, civil penalties and the recovery of program benefit funds.

OI initiates cases based on information from a variety of sources. The agency conducts automated state matching programs of employee wages and RRB benefits and makes referrals to OI. OI also receives information on the OIG Hotline, contacts with state, local and Federal agencies, and information developed through audits conducted by the OIG's Office of Audit.

OI's investigative results for FY 2006 are:

Civil Judgements	Indictments/Informations	Convictions	Recoveries/Collections
43	35	62	\$3,718,000

OI anticipates an ongoing caseload of approximately 500 investigations in FY 2008. During FY 2006, OI opened 354 new cases and closed 272. At present, OI has cases open in 45 states, the District of Columbia and Canada with estimated fraud losses totaling more than \$13.5 million.

OI will concentrate its resources on cases with the highest fraud losses that usually involve cases related to the RRB's disability and retirement programs.

Disability fraud cases currently constitute approximately 52% of OI's total caseload. These cases involve more complicated schemes, and result in the recovery of substantial funds for the agency's trust funds. OI will continue to dedicate considerable time and resources in the investigation of recently identified nationwide schemes to defraud the RRB disability program. These cases require sizeable resources for travel by special agents to conduct surveillance, or more sophisticated investigative techniques and numerous witness interviews. The schemes are often complex and, in some cases, include conspiratorial involvement by attorneys. The cases also require very sophisticated financial analysis since the schemes are often cloaked in what could appear to be legitimate business practices.

OI will also continue to investigate fraud violations of railroad employees collecting unemployment or sickness insurance benefits while working and receiving wages from an employer. OI will also investigate retirement fraud which typically involves the theft and fraudulent cashing of U.S. Treasury checks, or the withdrawal of electronically deposited RRB benefits. OI will also use the Department of Justice's Affirmative Civil Enforcement Program to recover trust fund monies from cases that do not meet U.S. Attorneys' guidelines for criminal prosecution.

In FY 2008, OI will continue to coordinate its efforts with agency program managers to address vulnerabilities in benefit programs that allow fraudulent activity to occur, and will recommend changes to ensure program integrity. OI plans to continue to

perform proactive projects to identify fraud matters that are not detected through the agency's program policing mechanisms. Findings will be conveyed to agency management through OIG systemic implication reports to alert officials of operational weaknesses that may result in fraud against RRB programs. OI will also continue to work with RRB program managers to ensure the appropriate and timely referral of all fraud matters to the OIG.

OI will also investigate complaints involving administrative irregularities and any alleged misconduct by agency employees.

The removal of the prohibition of Medicare-related activities, as discussed above, will permit OI to conduct investigations related to this important program. The high level of fraud identified in the Medicare program, and the significant amount of money involved in the Railroad Medicare program, support the need for the restoration of OIG oversight activities of this major program.

Conclusion

In FY 2008, the OIG will continue to focus its resources on the review and improvement of RRB operations, and will conduct activities to ensure the integrity of the agency trust funds. This office will continue to work with agency officials to ensure the agency is providing quality service to railroad workers and their families. The OIG will also aggressively pursue all individuals who engage in activities to fraudulently receive RRB funds.

Railroad Retirement Board – Office of Inspector General FY 2008 Annual Performance Plan	2003 Actual (\$6.32m)	2004 Actual (\$6.56m)	2005 Actual (\$7.196m)	2006 Actual (\$7.124m)	2007 Continuing Resolution Level (\$7.124m)	2008 Request Level (\$7.606m)
Performance Goal I: Add value to the agency's programs and operations through audits and evaluations.						
The OIG will solicit suggestions for audits and reviews for the annual audit work plan from 100% of the agency's organizational components.	-	100%	100%	100%	100%	100%
Seventy-five percent of audit reports will be issued within 240 days.	-	67%	91%	75%	75%	75%
Eighty percent of audits, evaluations, and inspections are subjected to an internal quality assurance review.	-	60%	70%	75%	80%	80%
Agency management agrees with 80% of recommendations made in audit, evaluation, and inspection reports.	-	97%	89%	94%	80%	80%
Performance Goal II: Provide accurate, objective and timely information to the RRB, Congress and other interested parties.						
The OIG will file 100% of reports on time, and acknowledge 100% of requests for information within three working days.	95%	100%	100%	100%	100%	100%
	100%	100%	100%	100%	100%	100%
Performance Goal III: The OIG's work will deter fraud and abuse in agency programs and foster integrity in its operation.						
The OIG will increase the number of convictions, civil judgements and administrative actions resulting from its investigative case work by 10% over the FY 2000 base of 112.	-30%	-29%	10%	-	-	-
The OIG will increase the amount of monetary accomplishments by 10% over the FY 2000 base of \$3.9 million.	-28%	476%	10%	-	-	-
The OIG will complete 4 projects to identify fraud cases that are not detected through agency policing procedures.	4	3	3	3	4	4
Percentage of allegations that are evaluated and submitted for disposition within 30 days of receipt.	-	-	90%	90%	70%	90%

Railroad Retirement Board – Office of Inspector General FY 2008 Annual Performance Plan	2003 Actual (\$6.32m)	2004 Actual (\$6.56m)	2005 Actual (\$7.196m)	2006 Actual (\$7.124m)	2007 Continuing Resolution Level (\$7.124m)	2008 Request Level (\$7.606m)
Percentage of investigations that are accepted by a prosecutor or an agency for judicial or administrative action that result in a successful action, i.e., criminal conviction, civil judgment or administrative action.	-	-	95%	90%	75%	90%
Percentage of systemic issue recommendations that are agreed to by the agency in the current year.	-	-	50%	80%	70%	95%
Performance Goal IV: Promote cooperative relationships with the RRB and other federal, state and local agencies and departments.						
The OIG will work 10% on average, of its cases as joint investigations with other OIGs, and 8% as joint investigations with other Federal investigative agencies.	OIG 5% OLE 7%	OIG 4% OLE 8%	OIG 4% OLE 8%	-	-	-
The OIG will conduct 4 training sessions during the year for RRB staff.	-	1	2	2	1	4
Performance Goal V: Conduct timely follow-up on prosecutions and corrective actions.						
Eighty percent of all OIG investigations will be referred for prosecution or administrative action no later than nine months after initiation.	70%	82%	75%	-	-	-
Reports on the progress of corrective actions for audit recommendations will be issued to the RRB Chairman within 35 days of the six month reporting period.	31 days	27 days	26 days	22 days	35 days	30 days
Performance Goal VI: The OIG will ensure an economical and efficient operation.						
All auditors hold the appropriate credentials to satisfy government, PCIE and applicable standards.	-	100%	100%	100%	100%	100%
All auditors will receive 80 hours of continuing professional education over 2 years.	100%	100%	100%	100%	100%	100%
Percentage of responses to completed case surveys indicating an evaluation of full satisfaction or higher regarding OIG investigative products.	-	-	100%	92%	75%	90%