



**Financial Crimes Enforcement Network
Department of the Treasury**

January 9, 2005

FinCEN plans to implement a modernized, web-based, USA PATRIOT Act, Section 314(a), delivery and reporting system in February 2005. In the new system, FinCEN will not send attachments through e-mails. Instead FinCEN will e-mail a secure web page location to all 314(a) points of contact (POC). POC's will go to the web page (URL) on the Internet where they will find the 314(a) lists posted in various file formats: tab delimited, MS Word, MS Excel, etc. They can download the files in their preferred format for searching. They will also use the Internet site to return positive and inconclusive hits to FinCEN.

Prior to the launch of the new system, we must test it thoroughly to ensure components are functioning properly.

We initially started with a group of 250 pilot financial institutions. We increased that number to 6,000 last week, and this week we are increasing it to 15,000. Next week we will test with 30,000 participants. This gradual increase allows us to stress test the overall system before implementation. We ask that even those first 250 and the second 6,000 please also test the system again.

We arbitrarily selected you to help test our system. That explains why you received this e-mail, and another POC, perhaps in the same financial institution, did not receive it. You are not required to participate in this system test, but your participation is appreciated. By participating, you will also have a preview of how the final system will function. The system will be fully fielded and mandatory for reporting matches in February. FinCEN recommends 314(a) POC's at a minimum establish their login ID and password, and become familiarized with basic system navigation before it becomes mandatory.

To participate in this system test, 314(a) POC's must complete the following steps by January 14, 2005:

1. Go to FinCEN's 314(a) Pilot System web page at: **<https://www.fincen.gov/314a>** ((Copy this URL and paste it into your Internet browser))

The first time POC's log into the system, they will be required to register. Those POC's who have previously registered do not need to re-register. Only those POC's receiving this notification will be able to use the system. Registration is simple, but the POC's e-mail address must be an exact match to the e-mail address provided to FinCEN by your federal regulator. This e-mail contains a user's guide for establishing your login ID and password.

2. We recommend that POC's print the more-detailed user's guide available on the web site.

PLEASE NOTE: THE SUBJECT INFORMATION ON THE WEB SITE IS TEST DATA. YOU DO NOT NEED TO SEARCH THEM IN YOUR SYSTEMS.

3. Arbitrarily select some of the subjects as positive hits, and select some as inconclusive. For those you indicate are inconclusive, write an explanation in the 'Comment' field. (For example: "Name and Address match, but DOB varies by 2 years or SSN matches but all other identifiers do not") and submit the responses through the web site.

If you have any questions about the system, or problems using the system, we have staff to help from 8:00 a.m. to 6:00 p.m. Eastern Time, Monday through Friday, except federal holidays. Merely call (800) 949-2732, Option 2, and then Option 7. We answer most calls live; however, when all staff are busy helping financial institutions, you will be transferred to a voice messaging system. We attempt to return all messages left on the voice messaging system by 5:00 p.m. Eastern time within the hour. Others we will return the next business day.