

Healthcare Integrity and Protection Data Bank

2002 Annual Report



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Requests for copies of this report and information on the Healthcare Integrity and Protection Data Bank should be directed to the Data Bank Customer Service Center, 1-800-767-6732. This report and other information are also available on the Internet World Wide Web at <http://www.npdb-hipdb.com>.

HEALTHCARE INTEGRITY AND PROTECTION DATA BANK

2002 ANNUAL REPORT

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Snapshot of the HIPDB for 2002

The Healthcare Integrity and Protection Data Bank (HIPDB) has maintained records of health care-related civil judgments, criminal convictions, injunctions, licensing and certification actions, exclusions from Federal and State health care programs, and other adjudicated actions since November 22, 1999. The HIPDB at the end of 2002 contained 134,806 reports involving 80,026 individuals and 2,313 organizations. In 2002, the majority of reports for the HIPDB were for State licensure actions and exclusion or debarment actions. The HIPDB added 26,826 new reports in 2002. During its existence, the HIPDB has responded to about 2.8 million queries, usually for physicians. These facts and others are explained in the following snapshot of the HIPDB for 2002:

- Most practitioners reported to the HIPDB are nurses and physicians who usually have only one report. This report is most often for a State licensure action or exclusion or debarment action.
- Few practitioners have judgment or conviction, government administrative action, Federal licensure and DEA action, and health plan action reports.
- Most organizations reported to the HIPDB have reports for government administrative actions; there are no Federal licensure and DEA action reports for organizations.
- Most States have more than 1,000 reports and at least one report of each type of report for individuals. Twenty-six States have 10 or more reports for organizations, and only four – Alaska, Idaho, Wyoming, and Washington, D.C. – have no reports.
- Very few reports are for organizations, and most of them are for nursing facilities/skilled nursing facilities and durable medical equipment suppliers. The majority of organizations have only one report.
- More than a 1,000 health plans and insurance companies have submitted more than 2.3 million queries, four-fifths of all HIPDB queries. Hospitals are responsible for about one out of ten queries, and they represent about a quarter of all queriers.¹

¹ Hospitals querying the HIPDB included Federal Hospitals and State Hospitals. Non-Government Hospitals are not allowed to query by law unless they meet the definition of a health plan.

- More than 24,000 queries have been matched in the HIPDB. When a query is submitted concerning a “subject” (an individual or organization) and there are one or more reports in the HIPDB, a “match” is made, and the querier is sent copies of the matched reports. As reports naming additional subjects are submitted to the HIPDB and as more queries are made, both the number and rate of matches will increase.
- Practitioners may ask the Secretary of HHS to review disputed reports if they feel they should not be in the Data Bank because they are either inaccurate or should not have been filed under Data Bank(s) regulations. Secretarial Reviews only determine (1) if the report is legally required or permitted to be filed and (2) if the report accurately depicts the action taken and the reporter’s bases for action as reflected in the written record.

The HIPDB Program: Detering Health Care Fraud

The Healthcare Integrity and Protection Data Bank (HIPDB) was established under Section 1128E of the Social Security Act as amended by Section 221(A) of the Health Insurance Portability and Accountability Act of 1996 (HIPAA). Enacted August 21, 1996, the Act authorized the Secretary of Health and Human Services (HHS), acting through the Office of the Inspector General (OIG) of HHS, and the Attorney General of the United States, to create the HIPDB. The HIPDB was intended to combat fraud and abuse in health insurance and health care delivery and to promote quality care. This national health care data collection program contains reports of certain final adverse actions taken against health care providers, suppliers, and practitioners.

Why the HIPDB Is Needed

Health care fraud and abuse in health insurance and health care delivery threatens health care quality and patient safety and burdens the Nation with enormous financial costs. Estimates of annual losses due to health care fraud range from 3 to 10 percent of all health care expenditures, which is between \$30 and \$100 billion. The HIPDB is a tool Federal and State agencies and health plans can use in their efforts to reduce health care fraud and promote quality care. By requesting information from the HIPDB (“querying”), Federal and State government authorities and health plans gain the information needed to identify possibly fraudulent practitioners, providers, and suppliers, as well as those with licensure certification or other issues in their past.

Administration and Operation of the HIPDB Program

The HHS OIG and the Department of Justice (DOJ) are responsible for the program. Under an Interagency Memorandum of Understanding, the Division of Practitioner Data Banks (DPDB) of the Bureau of Health Professions (BHPr), Health Resources and Services Administration (HRSA), HHS, developed and maintains the HIPDB. A contractor, SRA International, Inc. (SRA), operates the HIPDB computer systems and related functions in conjunction with the National Practitioner Data Bank (NPDB). The HIPDB began receiving reports November 22, 1999 and opened for single and multiple name queries March 6, 2000. The Integrated Querying and Reporting Service (IQRS) allows electronic reporting and querying of both Data Banks by authorized users through the Internet.

Requesting Information From the HIPDB

The HIPDB is a flagging system that may serve to alert queriers that a more comprehensive review of the actions of a practitioner, provider, or supplier may be prudent. HIPDB information is intended to be used in combination with information from other sources

(e.g., evidence of current competence through continuous quality improvement studies, peer recommendations, verification of training and experience, and relationships with organizations) in making determinations on employment, affiliation, certification, or licensure decisions. The information in the HIPDB should serve only to alert government agencies and health plans that there may be a problem with a particular practitioner's, provider's, or supplier's performance. HIPDB information should not be used as the sole source of verification of a practitioner's, provider's, or supplier's professional credentials.

Health Plans, Federal and State government agencies, criminal justice authorities, government investigators, and prosecutors may query the HIPDB. In addition, practitioners, providers, and suppliers may request information about themselves ("self query") from the HIPDB at any time, for any purpose.

Health plans may have a variety of reasons for querying the HIPDB, principally in relation to credentialing or contracting with practitioners, providers, and suppliers. They may also query the HIPDB to investigate potential fraudulent and abusive activity related to the payment or delivery of health care services. HIPDB information may also be used by the health plan's parent organization to pursue civil actions against a specific practitioner, provider, or supplier.

Government agencies may query the HIPDB with respect to credentialing, licensing, or certification of health care practitioners, providers, and suppliers. Criminal justice authorities, government investigators, and prosecutors may query the HIPDB to further investigations on health care practitioners, providers, and suppliers. Federal and State investigators and prosecutors, such as the FBI, may also use HIPDB information in making decisions to accept plea agreements or in making sentencing recommendations to the court.

The public does not have access to HIPDB reports. To help the public better understand health care fraud and disciplinary issues, the NPDB-HIPDB responds to individual requests for statistical information, conducts research, publishes articles, and presents educational programs. Information identifying individual practitioners, patients, or reporting entities is considered confidential and is not released to the public in statistical reports.

How the HIPDB Obtains Information

Federal and State agencies and health plans must report final adverse actions taken against health care practitioners, providers, and suppliers to the HIPDB on or after August 21, 1996, the date of the HIPDB legislation's passage. Reporters continue to submit reports retroactively concerning final adverse actions taken before the HIPDB began operations on November 22, 1999. Settlements in which no findings or admissions of liability have been made are statutorily excluded from being reported. HIPDB reportable actions include:

- Health care related criminal convictions;
- Health care related civil judgments;

- Licensing, registration, certification, and other regulatory actions;
- Exclusions from participation in Federal and State health care programs;
- Injunctions;
- And other adjudicated actions or decisions that are taken by a government agency or health plan, which include a due process mechanism and affect or could affect delivery or payment of a health care item or service.

Health plans and Federal and State government agencies must report final adverse actions to the HIPDB within 30 calendar days of the date the action was taken or the date when the reporting entity became aware of the final adverse action, or by the close of the entity's next monthly reporting cycle, whichever is later.

1. Federal or State Health Care Related Criminal Convictions

Federal, State, and local prosecutors must report criminal convictions against health care practitioners, providers, and suppliers related to the delivery of health care items or services. For the purposes of the HIPDB, a criminal conviction includes those cases:

- When a judgment or conviction has been entered against the individual or entity in a Federal, State, or local court, regardless of whether there is an appeal pending or whether the judgment or conviction or other record relating to criminal conduct has been expunged.
- When there has been a finding of guilt against the individual or entity in a Federal, State, or local court.
- When a plea of guilty or nolo contendere by the individual or entity has been accepted by a Federal, State, or local court.
- When the individual or entity has entered into participation in a first offender, deferred adjudication, or other arrangement or program where judgment or conviction has been withheld.

2. Health Care Related Civil Judgments

Federal and State attorneys and health plans must report civil judgments against health care practitioners, providers, or suppliers related to the delivery of a health care item or service, regardless of whether the civil judgment is the subject of a pending appeal. If a government agency is party to a multi-claimant civil judgment, it must assume the responsibility for reporting the entire action, including all amounts awarded to all the claimants, both public and private. When a government agency is not a party, but there are multiple health plans as claimants, the health plan which receives the largest award is responsible for reporting the total actions for all

parties. Judgments with respect to medical malpractice claims are not reportable under the HIPDB's enabling statute, but are reportable to the NPDB.

3. Exclusions

Federal and State agencies must report health care practitioners, providers, or suppliers excluded from participating in Federal or State health care programs. The term "exclusion" means a temporary or permanent debarment of an individual or entity from participation in a Federal or State health-related program. In accordance with an exclusion, items or services furnished by such person or entity will not be reimbursed under any Federal or State health-related program.

4. Federal and State Licensure Certification Actions

Federal and State licensing and certification agencies must report final adverse licensure and certification actions taken against health care practitioners, providers, or suppliers. A reportable final adverse action must be a formal or official action; it need not be specifically related to professional competence or conduct. Such actions include, but are not limited to:

- Formal or official actions, such as the revocation or suspension of a license or certification agreement or contract for participation in Federal or State health care programs (and the length of any such suspension), reprimand, censure, or probation.
- Any other loss of license, certification agreement, or contract for participation in Federal or State health care programs; or the right to apply for or renew a license or certification agreement or contract of the practitioner, provider, or supplier, whether by operation of law, voluntary surrender, non-renewal (excluding non-renewals due to nonpayment of fees, retirement, or change to inactive status), or otherwise.
- Any other negative actions or findings by a Federal or State agency that are publicly available information and are rendered by a licensing or certification authority, including, but not limited to, limitations on the scope of practice, liquidations, injunctions, and forfeitures. These actions or findings also include final adverse actions rendered by a Federal or State licensing or certification authority, such as exclusions, revocations, or suspensions of licenses or certifications that occur in conjunction with settlements in which no finding of liability has been made (although such settlements themselves are not reportable under the statute). This definition excludes administrative fines or citations, corrective action plans, and other personnel actions unless they are connected to the billing, provision, or delivery of health care services and taken in conjunction with other licensure or certification actions such as revocations, suspensions, censures, reprimands, probations, or surrenders.

Federal and State adverse licensure actions are reported under the appropriate licensure category on the Adverse Action Report. Adverse actions taken with regard to a certification agreement or contract for participation in Federal or State health care programs are reported under the government administrative action category on the Adverse Action Report.

5. Injunctions

Federal and State prosecutors and investigative agencies must report injunctions against health care practitioners, providers, and suppliers, which are related to the delivery of a health care item or service.

6. Other Adjudicated Actions or Decisions

Health plans and Federal and State government agencies must report adjudicated actions or decisions against health care practitioners, providers, and suppliers. Other adjudicated actions or decisions are:

- formal or official final actions taken against a health care practitioner, provider, or supplier by a health plan or a Federal or State government agency;
- which include the availability of a due process mechanism; and are
- based on acts or omissions that affect or could affect the payment, provision, or delivery of a health care item or service.

A hallmark of any valid adjudicated action or decision is the availability of a due process mechanism. The fact that the subject elects not to use the due process mechanism provided by the authority bringing the action is immaterial, as long as such a process is available to the subject before the adjudicated action or decision is made final. In general, if an adjudicated action or decision follows an agency's established administrative procedures (which ensure that due process is available to the subject of the final adverse action), it would qualify as a reportable action under this definition. The definition specifically excludes clinical privileging actions taken by Federal or State government agencies and similar paneling (peer reviewed) decisions made by health plans.

The immunity provisions in Section 1128E protect individuals, entities, and their authorized agents from being held liable for reports made to the HIPDB unless they have actual knowledge of the falsity of the information. The statute gives similar immunity to HHS in maintaining the HIPDB. Any health plan that fails to report information on an adverse action required to be reported to the HIPDB shall be subject to a civil money penalty of up to \$25,000 for each such adverse action not reported. The Secretary of HHS shall publish a public report that identifies those government agencies that have failed to report information on adverse actions as required.

Querying Fees

User fees are charged for all queries for HIPDB information submitted by non-Federal agencies and health plans and for self-queries submitted by health care practitioners, providers, or suppliers. Section 1128E exempts Federal entities from paying these fees. All non-Federal government queriers are required to pay a fee for each name about which information is requested. During 2002 the entity query fee was \$5 per name for queries submitted via the IQRS and paid for electronically.² Self-queriers are charged \$10 due to the manual processing required. All query fees must be paid by credit card at the time of the submission or through prior arrangement for automated Electronic Funds Transfer (EFT).

Confidentiality of HIPDB Information

Under the terms of the Act, information contained in the HIPDB that permits identification of any particular practitioner, entity, or patient is confidential. The limited access provision of Section 1128E supersedes the disclosure requirements of the Freedom of Information Act. Persons or entities receiving information from the HIPDB either directly or indirectly are subject to the confidentiality provisions. These provisions of Section 1128E do not prohibit an eligible entity receiving information from the HIPDB from disclosing information to others who are part of the investigation or peer review process, as long as the information is used for the purpose for which it was provided. Individual practitioners, providers, and supplies obtaining information about themselves from the HIPDB are permitted to share that information with whomever they choose. Patient names are not to be submitted in HIPDB reports. Section 1128E does not specify a penalty for violating HIPDB confidentiality. However, other Federal statutes may subject individuals and entities to criminal penalties, including fines and imprisonment, for the inappropriate use or disclosure of HIPDB information.

² The query fee was changed to \$4.25 on July 1, 2003.

The HIPDB Improves Its Operations and Policies in 2002

In recognition of their achievements the HIPDB and NPDB were awarded a 2002 Electronic Government Trailblazer Award. The award was the result of the HIPDB and NPDB's implementation of the Integrated Querying and Reporting Service (IQRS), which successfully converted the NPDB-HIPDB to an electronic government system that uses the Internet. The IQRS allows electronic reporting and querying of both Data Banks by authorized users through the Internet and provides customers rapid system access and response as well as simplified payment through credit cards and electronic funds transfer. In 2002 the Data Banks also continued to update and organize their Web site to make it easier for customers to use information, as well as cutting response time to users.

The following are improvements made to the HIPDB system in 2002.

- Response time to users decreased from 3 to 4 hours to 1 to 2 hours. This resulted from improved hardware architecture.
- The number of allowable adverse action classification codes and basis of action codes increased, resulting in more detailed information for users. These codes describe the action taken against a licensed practitioner, provider, or supplier and the reason that these actions were taken. More allowable codes let reporters show more fully the actions that took place and their reasons. Reporters are now allowed to enter up to five types of actions and five reasons for actions when submitting adverse action reports.
- The entity registration process was improved. Entities can now designate their agents for reporting and querying online and can authorize Electronic Funds Transfer to pay for queries online.
- The capability of providing Data Bank supplemental information on reports was added. For example, it is now possible to note that the subject of the report is deceased. Also added was the capability of entities to include a point-of-contact on reports.
- Reports distributed to queriers will include notification that the practitioner may not have received notice of the report if the practitioner's notice was returned by the postal service.
- A new Data Bank correspondence system was created. Registered entities and agents can now receive newsletters, upcoming events and changes, and other information directly in their electronic mailboxes.
- A new Report Response Service was created, which allows the report subject to update mailing addresses online and submit and update subject statements online.

The following are improvements that were made to the NPDB-HIPDB Web site in 2002.

- Updated versions of the Interface Control Documents (ICDs), Authorized Agent List and Public Data Files were added.

- The Quick Lists icons on the home page were modified and reordered, making the Web site easier to use. The categories of the list include “Perform a Self-Query,” “Go to the IQRS,” “Get NPDB-HIPDB Forms,” and “Interactive Training.”
- A new fact sheet on importing subject data into the IQRS and a sample import file were added to the Web site.

Along with these improvements, a new Customer Resource Management (CRM) system that improved customer service was implemented in 2002. It electronically integrates phone calls, e-mail, voice and letter correspondence, creates a single interface or process, and records all customer interactions.

Beyond operational improvements, the HIPDB initiated several successful policy efforts in 2002. For example, the HIPDB took major efforts to ensure compliance with reporting requirements. The HIPDB staff attended and presented at several credentialing and health care organization meetings, as well as developed articles and brochures publicizing the Data Bank’s mission, requirements, and achievements.

The following are policy efforts that the HIPDB made in 2002:

- State Licensing Boards – State licensing boards for the speech-language pathologists and audiologists, podiatrists, Social Workers, chiropractors, and psychologists were contacted and tracked for compliance with HIPDB reporting requirements. These boards represent practitioners of interest to health plan queriers.
- Brochures – A new brochure was developed and distributed to health care organizations – “The Healthcare Integrity and Protection Data Bank – Information for the Prevention, Detection, and Prosecution of Fraud and Abuse in Healthcare.” This publication highlights the HIPDB’s Investigative Search Capability, which enables investigators to search the Data Bank for information using the latest Internet technology.
- Media Search – A system that investigates reports of medical errors in the media was created. Each news event is examined to see if a report was made concerning the practitioner involved in the event to the HIPDB and/or NPDB. Searches were also conducted of the Millins Publications newsletter for judgments and convictions taken against practitioners, suppliers, and providers. The information was compared to reports in the HIPDB to determine whether or not an entity reported the information.
- Contacts – HIPDB staff presented or exhibited materials at conferences of several organizations, as well as discussed HIPDB issues with representatives of several organizations. These groups included the following: American Association of Health Plans; Administrators in Medicine; Department of Veterans Affairs; National Council of State Boards of Speech Language Pathologists and Audiologists; American Health Lawyers Association; Federation of Association of Regulatory Boards; National Association of Medical Staff Services; and the National Council of State Boards of Nursing. These contacts promoted the missions of the Data Banks and helped increase compliance with reporting and querying requirements.

- PreP 4 Patient Safety – The Practitioner Remediation and Enhancement Partnership Pilot Project is in Phase Two of its program under a new contract with the Citizen Advocacy Center. PreP 4 Patient Safety is a pilot project that provides tools for State medical and nursing boards to work with hospitals and other health care organizations to identify, remediate and monitor health care practitioners with deficiencies that do not rise to the level of disciplinary action. This improves patient safety by allowing organizations and licensing boards to work together in a collegial manner to identify providers with clinical deficiencies in a non-punitive environment. The Citizen Advocacy Center will provide technical assistance to State licensing boards and hospitals currently participating in PreP 4 Patient Safety; encourage additional State boards to join PreP 4 Patient Safety; and encourage better compliance with Federal and State mandatory reporting laws.
- Articles – Data Bank staff wrote an article for the newsletter of the Physician Organizations Practice Group of the American Health Lawyers Association. Readership of the newsletter is primarily attorneys who represent physicians and practitioners. The article discussed what lawyers and practitioners needed to know about being reported to the Data Banks and how to add statements or dispute reports. Articles about speech-language pathologists and audiologists and dentists, introducing these practitioners to information contained in the Data Banks, are being written for publication in newsletters and journals for those professions and their boards. Other articles on professional groups, such as chiropractors and podiatrists, are being planned.
- Marketing Campaign – HIPDB staff are planning and implementing strategies that promote querying and reporting to the NPDB-HIPDB, with the main focus on querying and non-querying entities. The campaign includes activities such as identifying NPDB-HIPDB queriers, non-queriers, reporters, and non-reporters; profiling entity groups through research; categorizing groups into marketing segments; and developing marketing activities for these segments. DPDB staff is currently working to finalize procedures and schedule activities for marketing campaigns. A major part of this campaign is talking with State Attorney Generals about HIPDB reporting requirements and working with them to obtain more judgment or conviction reports.

The following are research efforts of the NPDB-HIPDB in 2002. They include enhancing the accuracy of data in the HIPDB and comparing NPDB-HIPDB reports with those reported to national organizations by State licensing boards.

- Data Reporting Issues – Ongoing efforts to improve the accuracy, timeliness, and completeness of data in the HIPDB include investigating data that have erroneous dates, incomplete information, and late reports. Corrections to the data were made in 2002 with the help of SRA and selected entities were contacted to encourage them to report in a more timely way.
- State Licensing Actions Comparison – In an effort to improve completeness and accuracy of State licensure action reports, reports submitted to the NPDB-HIPDB were compared with those reported to national organizations (e.g., Federation of State Medical Boards

(FSMB)) by State licensing boards. There is evidence that the NPDB-HIPDB and FSMB do not receive all and/or the same licensure reports. To rectify this, DPDB is working with national organizations to resolve reporting inconsistencies. Currently, SRA is comparing all physician licensure actions reported to the FSMB during 2000 to NPDB-HIPDB licensure data for physicians for 2000. Other organizations (e.g., National Association Boards of Pharmacy (NABP)) that receive adverse action reports are requesting assistance in conducting a similar comparison. This project may encourage more boards to check their data with NPDB-HIPDB reports. A report on this project will be forthcoming and State license boards will not be penalized for incomplete reporting discovered in this analysis.

- **Practitioner Type Accuracy** – HIPDB staff are assigning the correct practitioner types to reports that have “Other (Not Classified)” for their licensure fields. Using data from SRA that give specifics about reported practitioners in narrative form, HIPDB staff are recoding the reports, assigning a practitioner type. This is being done on a quarterly basis and makes report information more complete and accurate.
- **Queries Analysis** – Variations in querying by entities over time are being analyzed to improve understanding of entities’ querying behavior, identify ways to encourage more consistent querying, and help entities maximize use of queries. This project includes identifying patterns of queries by types of entities; for example, cyclical patterns, batch queries, turnover in entities querying the Data Banks, frequent and infrequent queriers, etc. Monthly data from SRA about the types of entities that query, the types of practitioners about whom they query, and the number of queries and matches are being maintained to analyze querying patterns over time and to provide insight into queriers’ interest in reports about practitioner types in addition to physicians, as well as the match to query rate for these practitioner types.

For more information on the NPDB-HIPDB and its continuing improvements, visit the Web site at www.npdb-hipdb.com.

Description of 2002 HIPDB Annual Report Tables General Tables – Tables A1 through A13

The following set of tables presents aggregate information for individuals and organizations that have HIPDB reports. Most of the individuals are healthcare practitioners (e.g., physicians, dentists, nurses, and nursing-related practitioners). Some reporters did not specify practitioner type for some individuals; hence, they are listed as “other individuals” or “unknown or not specified.”

Tables A1 and A2 present the number of reports by professional type and by organization, respectively; the number of individuals and organizations with reports by professional or organization type; and the number of reports per professional or organization with reports (first column divided by second column). For the purposes of aggregating the number of professionals with reports in Table A1 and organizations with reports in Table A2, only one license field or organization type was selected for each individual or entity to avoid double-counting professionals and organizations. However, some individuals change professions (for example, from physician to facility administrator or from Licensed Practical Nurse (LPN) to Registered Nurse (RN)). Similarly, organizations may redefine themselves, merge, or reorganize over time; for example, medical groups/group practices may reorganize into Health Maintenance Organizations (HMOs).

Table A3 presents the number of reports of each type for individuals and organizations. Tables A4 and Table A5 detail the types of actions taken concerning individuals and organizations. Table A4 presents information (number of reports and percent of reports for the practitioner type) for selected professional types and for the total number of individuals. These tables allow the reader to evaluate the amount of detailed information available for each professional type. The professional types were selected based on their number of reports and the frequency with which entities queried about them. Additional detail about these eight groups is presented in Tables B1 through K7. Table A5 presents similar information as Table A4 for organizations, but given the small number of reports for organizations, a set of detailed tables was not included in this report.

Note that Tables A4 and A5 include multiple responses. Respondents can select up to five types of actions for each report. The data presented take into account these multiple responses. Therefore, the number of actions listed may be more than the number of reports. Since State licensure action Reports are most frequently submitted to the HIPDB, the most frequent detailed type of action mentioned by respondents is a State licensure action. Examination of Tables A4 and A5 provide greater understanding about the frequency with which practitioners may have more than one report for the same incident. In addition to having more than one type of action for the same report (for example, probation of license and administrative fine or monetary penalty), practitioners may have numerous reports related to the same incident (for example, suspension of license; subsequent appeal for reinstatement which may be allowed with conditions, allowed completely, or denied; extension of the licensure action, further limitations on the license, and/or reductions of the action). Similarly, an individual may have reports for State licensure actions, exclusion or debarment actions, government administrative

actions, health plan actions, and judgments or convictions related to the same as well as additional incidents.

Table A6 simply presents the number of reports in the HIPDB by the year the adverse action was taken. August 21, 1996 was the date the Health Insurance Portability and Accountability Act (HIPAA) established the HIPDB. Actions that meet the HIPAA definitions must be reported to the HIPDB after this date (more information about the definition of reportable actions may be obtained from the HIPDB Guidebook which is on the Web site, www.npdb-hipdb.com). Table A6 includes the first three years of data, 1996 to 1998, in the first column and presents data for the next four years in separate columns. The last two columns refer to the cumulative number and percent of reports through December 31, 2002.

Tables A7 and A8 present cross-tabulations of information for the detailed types of actions and the reasons for action for individuals and organizations, respectively. Respondents were allowed to indicate up to five types of actions and five reasons for actions in each report. Therefore, the total number of reports may not equal the number of actions mentioned or the number of reasons for actions. The first cell of Table A7 indicates that a total of 1,345 of the 10,077 mentions of Revocation of License indicated "Non-Compliance with Federal, State, or Contractual Requirements" as a reason for action. In addition, 3,759 reports selected "Other (Not Classified)" as a reason for Revocation of License; 3,087 reports which mention a License Revocation indicate "Misconduct or Abuse."

Table A9 presents the total number of reports for individuals and organizations by State. Tables A10 and A11 allow closer analysis of the number of reports for each State by general type of report for individuals and organizations respectively. Finally, Table A12 presents the number of individuals who have one or more HIPDB reports and the number who have the specified number of reports of each type. For example, a total of 80,026 individuals have 132,034 HIPDB reports. Of these individuals, 49,109 have only one report, 19,460 have two reports, 6,796 have three reports, etc. One individual has 23 reports. Overall, the number of reports per individual with reports is 1.65 (which is the same ratio of reports to individuals with reports found in Table A1). Similarly, 62,355 individuals have State licensure action reports in the HIPDB, implying that 17,671 individuals with other types of reports have no State licensure action reports in the HIPDB. The majority of individuals with licensure reports, 41,310, have only one State licensure action report in the HIPDB although some of these individuals also have other types of reports. Table A13 presents similar information for organizations.

Description of 2002 HIPDB Annual Report Tables – Physicians Tables B1 through B7

The following set of tables presents aggregate information for allopathic and osteopathic physicians, interns, and residents. Tables B1 through B7 present information for physicians through 2002. This information concerning physicians may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables C1 through K7.

Table B1 provides more detail about the number and percent of physician reports by report type and time period, including cumulative totals. Reports for physicians concern over 16,000 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for physicians are for State licensure and exclusion or debarment actions. Federal licensure and DEA actions, health plan actions, government administrative actions, and judgments or convictions collectively make up only about five percent of total actions.

Table B2 is a cross-tabulation of reasons for action and report types for physicians. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Unsafe Practice or Substandard Care” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table B3 provides detail about the specific types of actions taken concerning physicians. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table B4 lists the number of reports for physicians, the number of physicians with reports, and the number of reports per physician who has a report by State. Because both the number of reports and the number of physicians with reports vary across States, the number of reports per physician with reports also varies, from 1.17 in Alaska to 2.30 in Ohio.

Table B5 illustrates that some physicians have reports from more than one State. Physicians may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table B6 presents information by State for the number of each type of action taken concerning physicians. Reports of all possible types have been submitted and reports have been submitted concerning physicians from every State, but reports for physicians from many States

do not include government administrative actions, health plan actions, Federal licensure and DEA actions, and judgments or convictions.

Table B7 indicates the number of physicians with a specific number of each type of report. For example, even though 14,572 physicians have 27,870 HIPDB reports, most of these physicians (7,771) have only one HIPDB report. One physician has 23 reports, all of which are for State licensure actions.

Description of 2002 HIPDB Annual Report Tables – Dentists Tables C1 through C7

The following set of tables presents aggregate information for dentists, dental interns, and dental residents. Tables C1 through C7 present information for dentists through 2002. This information concerning dentists may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through B7 and D1 through K7.

Table C1 provides more detail about the number and percent of dentist reports by report type and time period, including cumulative totals. Reports for dentists concern over 7,300 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for dentists are for State licensure and exclusion or debarment actions. Federal licensure and DEA actions, health plan actions, government administrative actions, and judgments or convictions collectively make up only about seven percent of total actions.

Table C2 is a cross-tabulation of reasons for action and report types for dentists. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Unsafe Practice or Substandard Care” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table C3 provides detail about the specific types of actions taken concerning dentists. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table C4 lists the number of reports for dentists, the number of dentists with reports, and the number of reports per dentist who has a report by State. Because both the number of reports and the number of dentists with reports vary across States, the number of reports per dentist with reports also varies, from 1.00 in Wyoming to 1.94 in Minnesota.

Table C5 illustrates that some dentists have reports from more than one State. Dentists may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table C6 presents information by State for the number of each type of action taken concerning dentists. Reports of all possible types have been submitted and reports have been submitted concerning dentists from every State, but reports for dentists from many States do not include government administrative actions, health plan actions, Federal licensure and DEA actions, and judgments or convictions.

Table C7 indicates the number of dentists with a specific number of each type of report. For example, even though 4,855 dentists have 7,395 HIPDB reports, most of these dentists (3,186) have only one HIPDB report. One dentist has 12 reports, all of which are for State licensure actions.

Description of 2002 HIPDB Annual Report Tables – Nurses General Tables D1 and D2

The following set of tables presents aggregate information for nurses in general, including RNs, LPNs and vocational nurses, nurses aides, home health aides and related personnel. RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses. Tables D1 through D2 present information for nurses through 2002. This information concerning nurses may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through C7 and E1 through K7. Tables E1 through E7 describe reports for RNs and Tables F1 through F7 describe reports for LPNs and Nurses Aides.

Table D1 provides detail about the number and percent of nurse reports by report type and nurse profession type. Reports for nurses concern over 68,000 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for nurses are for State licensure actions and Exclusion Actions. Health plan actions, government administrative actions, and judgments or convictions collectively make up only about four percent of total actions. There are no nurse reports for Federal licensure and DEA actions. RNs and LPNs and vocational nurses have mostly reports for State licensure actions and nurses aides and home health aides have reports mostly for exclusion or debarment actions.

Table D2 lists the number of reports for nurses by nurse profession type and by State. The different profession types vary widely in their numbers of reports for each State.

Description of 2002 HIPDB Annual Report Tables – RNs Tables E1 through E7

The following set of tables presents aggregate information for registered nurses. Tables E1 through E7 present information for RNs through 2002. RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice nurses. This information concerning RNs may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through D2 and F1 through K7. Tables D1 through D2 describe reports for nurses overall and Tables F1 through F7 describe reports for LPNs and Nurses Aides.

Table E1 provides more detail about the number and percent of RN reports by report type and time period, including cumulative totals. Reports for RNs concern over 32,000 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for RNs are for State licensure actions. Exclusion Actions, health plan actions, government administrative actions, and judgments or convictions collectively make up only about three percent of total actions. There are no reports for Federal Licensure and DEA actions.

Table E2 is a cross-tabulation of reasons for action and report types for RNs. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mention “Unsafe Practice or Substandard Care” or “Misconduct of Abuse” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table E3 provides detail about the specific types of actions taken concerning RNs. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table E4 lists the number of reports for RNs, the number of RNs with reports, and the number of reports per RN who has a report by State. Because both the number of reports and the number of RNs with reports vary across States, the number of reports per RN with reports also varies, from 1.00 in Hawaii to 3.10 in Georgia.

Table E5 illustrates that some RNs have reports from more than one State. RNs may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table E6 presents information by State for the number of each type of action taken concerning RNs. Reports of all possible types have been submitted and reports have been submitted concerning RNs from every State, but reports for RNs from many States do not include government administrative actions, health plan actions, Federal licensure and DEA actions, and judgments or convictions.

Table E7 indicates the number of RNs with a specific number of each type of report. For example, even though 17,864 RNs have 32,444 HIPDB reports, most of these RNs (9,656) have only one HIPDB report. Three RNs have 19, 20, and 21 reports, respectively, all of which are for State licensure actions.

Description of 2002 HIPDB Annual Report Tables – LPNs and Nurses Aides Tables F1 through F7

The following set of tables presents aggregate information for licensed professional nurses (LPNs) and nurses aides. Tables F1 through F7 present information for LPNs and Nurses Aides through 2002. This information concerning LPNs and Nurses Aides may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through E7 and G1 through K7. Tables D1 through D2 describe reports for nurses overall and Tables E1 through E7 describe reports for RNs.

Table F1 provides more detail about the number and percent of LPN and Nurses Aide reports by report type and time period, including cumulative totals. Reports for LPNs and Nurses Aides concern over 33,000 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for LPNs and Nurses Aides are for State licensure actions and exclusion or debarment actions. Government administrative actions and judgments or convictions collectively make up only about seven percent of total actions. There are no reports for health plan actions and Federal Licensure and DEA actions.

Table F2 is a cross-tabulation of reasons for action and report types for LPNs and Nurses Aides. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions and government administrative actions mentions “Misconduct or Abuse” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table F3 provides detail about the specific types of actions taken concerning LPNs and Nurses Aides. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table F4 lists the number of reports for LPNs and Nurses Aides, the number of LPNs and Nurses Aides with reports, and the number of reports per LPN and Nurses Aide who has a report by State. Because both the number of reports and the number of LPNs and Nurses Aides with reports vary across States, the number of reports per LPN and Nurses Aide with reports also varies, from 1.00 in the District of Columbia to 2.20 in Kentucky.

Table F5 illustrates that some LPNs and Nurses Aides have reports from more than one State. LPNs and Nurses Aides may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table F6 presents information by State for the number of each type of action taken concerning LPNs and Nurses Aides. Reports have been submitted concerning LPNs and Nurses Aides from every State, but reports for LPNs and Nurses Aides from many States do not include government administrative actions and judgments or convictions. LPNs and Nurses Aides have no reports for health plan actions and Federal licensure and DEA actions.

Table F7 indicates the number of LPNs and Nurses Aides with a specific number of each type of report. For example, even though 23,311 LPNs and Nurses Aides have 33,603 HIPDB reports, most of these LPNs and Nurses Aides (16,169) have only one HIPDB report. Two LPNs and Nurses Aides have 18 and 19 reports, respectively, all of which are for State licensure actions.

Description of 2002 HIPDB Annual Report Tables – Pharmacists and Pharmacy Assistants Tables G1 through G7

The following set of tables presents aggregate information for pharmacists and pharmacy assistants. Tables G1 through G7 present information for pharmacists and pharmacy assistants through 2002. This information concerning pharmacists and pharmacy assistants may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through F7 and H1 through K7.

Table G1 provides more detail about the number and percent of pharmacists and pharmacy assistants reports by report type and time period, including cumulative totals. Reports for pharmacists and pharmacy assistants concern over 6,500 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for pharmacists and pharmacy assistants are for State licensure actions and exclusion or debarment actions. Government administrative actions and judgments or convictions collectively make up only about two percent of total actions. There are no reports for health plan actions and Federal licensure and DEA actions.

Table G2 is a cross-tabulation of reasons for action and report types for pharmacists and pharmacy assistants. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Unsafe Practice or Substandard Care” and “Misconduct or Abuse” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table G3 provides detail about the specific types of actions taken concerning pharmacists and pharmacy assistants. Because reporters are allowed to report up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table G4 lists the number of reports for pharmacists and pharmacy assistants, the number of pharmacists and pharmacy assistants with reports, and the number of reports per pharmacist and pharmacy assistant who has a report by State. Because both the number of reports and the number of pharmacists and pharmacy assistants with reports vary across States, the number of reports per pharmacist and pharmacy assistant with reports also varies, from zero in South Dakota, 1.00 in Alabama, Alaska, Idaho, Florida, Wisconsin, North Dakota, Vermont, Kansas, Minnesota, to 2.82 in Wyoming.

Table G5 illustrates that some pharmacists and pharmacy assistants have reports from more than one State. Pharmacists and pharmacy assistants may have licenses in more than one

State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table G6 presents information by State for the number of each type of action taken concerning pharmacists and pharmacy assistants. Reports have been submitted concerning pharmacists and pharmacy assistants from every State, but reports for pharmacists and pharmacy assistants from many States do not include government administrative actions and judgments or convictions. Pharmacists and pharmacy assistants have no reports for health plan actions and Federal licensure and DEA actions.

Table G7 indicates the number of pharmacists and pharmacy assistants with a specific number of each type of report. For example, even though 4,470 pharmacists and pharmacy assistants have 6,533 HIPDB reports, most of these pharmacists and pharmacy assistants (3,085) have only one HIPDB report. Three pharmacists and pharmacy assistants each have nine reports and three each have eight reports.

Description of 2002 HIPDB Annual Report Tables – Chiropractors Tables H1 through H7

The following set of tables presents aggregate information for chiropractors. Tables H1 through H7 present information for chiropractors through 2002. This information concerning chiropractors may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through G7 and I1 through K7.

Table H1 provides more detail about the number and percent of chiropractors reports by report type and time period, including cumulative totals. Reports for chiropractors concern over 4,400 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for chiropractors are for exclusion or debarment actions and State licensure actions. Government administrative actions, health plan actions, and judgments or convictions collectively make up only about four percent of total actions. There are no reports for Federal licensure and DEA actions.

Table H2 is a cross-tabulation of reasons for action and report types for chiropractors. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Misconduct or Abuse” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table H3 provides detail about the specific types of actions taken concerning chiropractors. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table H4 lists the number of reports for chiropractors, the number of chiropractors with reports, and the number of reports per chiropractor who has a report by State. Because both the number of reports and the number of chiropractors with reports vary across States, the number of reports per chiropractor with reports also varies, from zero in Wyoming, District of Columbia, and Maine to 2.19 in Indiana.

Table H5 illustrates that some chiropractors have reports from more than one State. Chiropractors may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table H6 presents information by State for the number of each type of action taken concerning chiropractors. Reports have been submitted concerning chiropractors from every State except Wyoming, but reports for chiropractors from many States do not include

government administrative actions, health plan actions and judgments or convictions. Chiropractors have no reports for Federal licensure and DEA actions.

Table H7 indicates the number of chiropractors with a specific number of each type of report. For example, even though 3,041 chiropractors have 4,437 HIPDB reports, most of these chiropractors (2,015) have only one HIPDB report. Three chiropractors each have eight reports.

Description of 2002 HIPDB Annual Report Tables – Psychology-related Practitioners Tables I1 through I7

The following set of tables presents aggregate information for psychology-related practitioners. Tables I1 through I7 present information for psychology-related practitioners through 2002. This information concerning psychology-related practitioners may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through H7 and J1 through K7.

Table I1 provides more detail about the number and percent of psychology-related practitioners reports by report type and time period, including cumulative totals. Reports for psychology-related practitioners concern over 1,400 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for psychology-related practitioners are for State licensure actions and exclusion or debarment actions. Government administrative actions, health plan actions, and judgments or convictions collectively make up only about four percent of total actions. There are no reports for Federal Licensure and DEA actions.

Table I2 is a cross-tabulation of reasons for action and report types for psychology-related practitioners. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Misconduct or Abuse” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table I3 provides detail about the specific types of actions taken concerning psychology-related practitioners. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table I4 lists the number of reports for psychology-related practitioners, the number of psychology-related practitioners with reports, and the number of reports per psychology-related practitioner who has a report by State. Because both the number of reports and the number of psychology-related practitioners with reports vary across States, the number of reports per psychology-related practitioner with reports also varies, from zero in Oregon, Oklahoma and Rhode Island to 2.67 in Louisiana.

Table I5 illustrates that some psychology-related practitioners have reports from more than one State. Psychology-related practitioners may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table I6 presents information by State for the number of each type of action taken concerning psychology-related practitioners. Reports have been submitted concerning psychology-related practitioners from every State except Delaware, Idaho, and Oregon, but reports for psychology-related practitioners from many States do not include government administrative actions, health plan actions and judgments or convictions. Psychology-related practitioners have no reports for Federal licensure and DEA actions.

Table I7 indicates the number of psychology-related practitioners with a specific number of each type of report. For example, even though 1,009 psychology-related practitioners have 1,432 HIPDB reports, most of these psychology-related practitioners (682) have only one HIPDB report. Two psychology-related practitioners each have six reports.

Description of 2002 HIPDB Annual Report Tables – Social Workers Tables J1 through J7

The following set of tables presents aggregate information for social workers. Tables J1 through J7 present information for social workers through 2002. This information concerning social workers may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through I7 and K1 through K7.

Table J1 provides more detail about the number and percent of social workers reports by report type and time period, including cumulative totals. Reports for social workers concern over 1,150 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for social workers are for State licensure actions. Exclusion or debarment actions, government administrative actions, health plan actions, and judgments or convictions collectively make up only about seven percent of total actions. There are no reports for Federal licensure and DEA actions.

Table J2 is a cross-tabulation of reasons for action and report types for social workers. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Misconduct or Abuse” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table J3 provides detail about the specific types of actions taken concerning social workers. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. For this reason, column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table J4 lists the number of reports for social workers, the number of social workers with reports, and the number of reports per social worker who has a report by State. Because both the number of reports and the number of social workers with reports vary across States, the number of reports per social worker with reports also varies, from zero in Oklahoma, Rhode Island, District of Columbia, Hawaii, Kentucky, and Montana to 3.0 in West Virginia.

Table J5 illustrates that some social workers have reports from more than one State. Social Workers may have licenses in more than one State. If one State licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table J6 presents information by State for the number of each type of action taken concerning social workers. Reports have been submitted concerning social workers from every State except Alabama, District of Columbia, Hawaii, Kentucky, Montana, Oklahoma, Rhode

Island, and Wyoming, but reports for social workers from many States do not include exclusion or debarment actions, government administrative actions, health plan actions and judgments or convictions. Social workers have no reports for Federal licensure and DEA actions.

Table J7 indicates the number of social workers with a specific number of each type of report. For example, even though 937 social workers have 1,152 HIPDB reports, most of these social workers (774) have only one HIPDB report. Three social workers each have five reports.

Description of 2002 HIPDB Annual Report Tables – Optical-related Practitioners Tables K1 through K7

The following set of tables presents aggregate information for optical-related practitioners. Tables K1 through K7 present information for optical-related practitioners through 2002. This information concerning optical-related practitioners may be analyzed in the context of other practitioner groups by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through J7.

Table K1 provides more detail about the number and percent of optical-related practitioners reports by report type and time period, including cumulative totals. Reports for optical-related practitioners concern over 990 actions taken between 1999 and 2002 and retrospective reports for adverse actions taken prior to January 1, 1999. As can be observed from this table, most HIPDB reports for optical-related practitioners are for State licensure actions and exclusion or debarment actions. Health plan actions and judgments or convictions collectively make up only about three percent of total actions. There are no reports for Government Administrative actions or Federal Licensure and DEA actions and only eight reports for health plan actions.

Table K2 is a cross-tabulation of reasons for action and report types for optical-related practitioners. The reasons for action may exceed the total number of reports because reporters are allowed to mention as many as five reasons for actions. The largest number of State licensure actions mentions “Fraud, Deception, or Misrepresentation” while exclusion or debarment actions most frequently mention “Non-Compliance with Federal, State, or Contractual Requirements.”

Table K3 provides detail about the specific types of actions taken concerning optical-related practitioners. Because reporters are allowed to mention up to five specific types of actions, the data presented take into account multiple responses and the total number of actions may not equal the number of reports. Column two indicates the number of each type of action mentioned in the reports, column three is the percent of each action to the total number of actions, and column four is the percent of each action to the total number of reports.

Table K4 lists the number of reports for optical-related practitioners, the number of optical-related practitioners with reports, and the number of reports per optical-related practitioner who has a report by State. Because both the number of reports and the number of optical-related practitioners with reports vary across States, the number of reports per optical-related practitioner with reports also varies, from zero in Maine, Wyoming, Rhode Island, North Dakota, New Hampshire, Mississippi, Kentucky, Hawaii, and Delaware to 2.0 in Nebraska.

Table K5 illustrates that some optical-related practitioners have reports from more than one State. Optical-related practitioners may have licenses in more than one State. If one State

licensure board takes an action, others may take a follow-up action. The initial State licensure action, revisions to action, and subsequent actions by other States are reportable to the HIPDB.

Table K6 presents information by State for the number of each type of action taken concerning optical-related practitioners. Reports have been submitted concerning optical-related practitioners from every State except Delaware, Hawaii, Iowa, Kansas, Maine, Mississippi, New Hampshire, North Dakota, Rhode Island, South Carolina, South Dakota, Vermont, and Wyoming, but reports for optical-related practitioners from many States do not include exclusion or debarment actions, health plan actions and judgments or convictions. Optical-related practitioners have no reports for government administrative actions and Federal licensure and DEA actions.

Table K7 indicates the number of optical-related practitioners with a specific number of each type of report. For example, even though 713 optical-related practitioners have 996 HIPDB reports, most of these optical-related practitioners (530) have only one HIPDB report. One optical-related practitioner has eleven reports, all of which are for State licensure actions.

**Description of 2002 HIPDB Annual Report Tables –
Reporting Comparison of Practitioner Types
Table L1**

Table L1 presents aggregate information for HIPDB reporting for practitioner types by State through 2002. This information concerning practitioner groups may be analyzed by referring to the aggregate information for individual practitioners in Tables A1 through A13 and to the tables for other selected practitioner types in Tables B1 through K7.

Table L1 provides details about the number of reports for different practitioner types by State and the percentage of reports each practitioner type has for each State. The table allows comparison of the State reporting of different practitioner types. For example, RNs have 35.6 percent and LPNs and Nurses Aides have 43.2 percent of all reports in Alabama. This might show that Alabama is more likely to take and/or report actions against RNs and LPNs and Nurses Aides than other practitioner types. In contrast, HIPDB reports for physicians make up only 11 percent of Alabama's 3,712 reports. California, on the other hand, submitted 32.1 percent of its 10,683 reports for physicians and 22.8 percent for LPNs and Nurses Aides. This table includes only disclosable reports in the HIPDB as of December 31, 2002.

Description of 2002 HIPDB Annual Report Tables – Reporting and Querying Tables M1 through M4

Tables M1 through M4 present aggregate information for HIPDB reporting and querying through 2002. This information concerning queries may be analyzed in the context of reporting of entities and individuals by referring to the aggregate information for Tables A1 through K7.

Table M1 provides detail about the type and number of entities that have reported to the Data Bank and the number of reports they have submitted to the HIPDB. It does so for both currently active entities as of December 31, 2002 and those entities which have ever reported to the HIPDB. Licensing agencies make up both the largest number of entities and have submitted the most reports.

Table M2 illustrates the type of entity, the number of entities and queries any submitted, and their percent of all queries. The numbers are cumulative up to December 31, 2002. Health plans and insurance companies are the largest group of queriers and submit about four-fifths of all queries.

Table M3 presents information on the number of entity queries and self-queries, the number of queries that matched at least one report in the HIPDB, and the percentage of these queries that were matched. The numbers are given for both 2002 and cumulatively. For example, there were almost 900,000 queries by entities in 2002, of which 8,982 matched at least one in the report in the HIPDB, for a match rate of 1.0 percent. Almost three million queries and self-queries have been submitted to the HIPDB since it opened.

Table M4 concerns queries submitted by entities from October to December 2002. The table lists the types of practitioner about which entities have submitted queries, the number of queries the entities submitted for each practitioner type, the number of their queries that matched at least one report, and the match/query rate, which is the percentage of queries that matched at least one report concerning a given practitioner. Entities query mostly on physicians, but have a higher rate of finding a report for a dentist.

Description of 2002 HIPDB Annual Report Tables – Secretarial Reviews Table N1

Practitioners can dispute reports in the Data Bank(s) they feel are either inaccurate or should not have been filed under Data Bank(s) regulations. Practitioners must use an established administrative process when disputing a report. They must first contact the reporting entity to correct the matter. If the reporting entity will not change or void the report, practitioners may dispute a report, add a statement to it, or both. When the NPDB-HIPDB receives a dispute from a practitioner, notification of the dispute is sent to all queriers who received the report and is included with the report when it is released to future queriers.

If the reporting entity does not change the disputed report to the practitioner's satisfaction, the practitioner may ask the Secretary of HHS to review the disputed report. When asking for Secretarial Review, the practitioner must send documentation to the NPDB-HIPDB that briefly discusses the facts in dispute, demonstrates the inaccuracy of the report, and proves that he or she tried to resolve the disagreement with the reporting entity. It is strongly suggested that documentation be held to 10 pages or less. Since, as explained below, the Secretary cannot review the merits of an action itself, the suggestion to limit documentation generally does not limit effective evaluation of a case. If more documentation is needed, it will be requested.

Secretarial Review does not include a review of the appropriateness of an adverse action, judgment, or conviction. Reviews are limited to factual accuracy and whether the report was submitted in accordance with the NPDB-HIPDB reporting requirements. All other reasons (such as a claim that although a licensure action was taken against the named practitioner, the named practitioner did not really commit the infraction that led to the action or that there were extenuating circumstances) are "outside the scope of review." Factual accuracy means that the report accurately describes the practitioner and the action and reasons for the action as reflected in decision documents.

Reviewed reports can be determined to be accurate or inaccurate. If the Secretary concludes the information in the report is accurate, the Secretary sends an explanation of the decision to the practitioner. The practitioner may then submit, within 30 days, a statement (limited to 2,000 characters) that is added to the report. If a report is determined to be inaccurate, the Secretary directs that the report be corrected or voided. The Secretary can only remove a report from the NPDB if it was not legally required or permitted to be submitted. The Secretary can change a report only if it did not accurately reflect the adverse action taken and the stated reasons the entity took the action.

The Secretary also may conclude that the issue in dispute is outside the scope of review, i.e., that the only issues raised concern whether an action should have been taken. The Secretary cannot substitute his judgment on the merits for that of the entity that took the action. In such cases determined to be "outside the scope of review," the Secretary directs the NPDB-HIPDB to add an entry to that effect to the report and to remove the dispute notation from the report. The practitioner may then submit, preferably within 30 days, a statement that is added to the report.

Practitioners may ask for a reconsideration of a Secretarial Review decision. The Secretary may administratively dismiss requests for Secretarial Review if the practitioner does not provide required information or if the matter is resolved with the reporting entity to the satisfaction of the practitioner while the Secretarial Review is in process.

Table N1 shows the number of resolved HIPDB Secretarial Review requests by report type, what their outcome was, and the percent of requests with that outcome for each report type. It also shows the number of unresolved requests for each report type. This table includes only HIPDB Secretarial Review requests resolved or pending as of December 31, 2002. Most Secretarial Review requests are resolved and many of them were determined to be out of the scope of review or the report was maintained as submitted. By far the largest number of requests is for State licensure action Reports.

Conclusion: HIPDB Continues to Grow

The HIPDB has received 134,806 reports involving 80,026 individuals and 2,313 organizations in its first three years of operation. State licensure and exclusion or debarment action reports predominate. Through the HIPDB's education and outreach efforts, such as to law enforcement organizations and State boards, the number of reports and the number of organizations reporting is expected to increase, therefore improving the data. Several compliance projects are seeking to ensure that all reports are submitted to the Data Banks; data improvement efforts are ensuring the accuracy of HIPDB reports; and a project to market the benefits of the HIPDB to reporters and queriers is being implemented. This marketing project involved meeting with several law enforcement and health plan organizations around the country to find out about their concerns and familiarize them with the HIPDB. The HIPDB operations will continue to improve with feedback from reporters and queriers and increased usage.

As data continue to accumulate, the HIPDB's value will increase as a source of aggregate information for research. Over time, the data generated will provide useful information on trends in health care disciplinary actions.

Most importantly, the HIPDB will continue to benefit the public by serving as a health care data collection program which combats health care fraud and abuse, and thereby, improves the quality and lowers the cost of health care in the United States.

The "Third Generation" contract for the Data Banks continues to update and improve the IQRS. System improvements – such as the response time to users being cut from 3 to 4 hours to 1 to 2 hours and allowing entities to now designate their agents for reporting and querying online – continue to be made to better serve the HIPDB's customers. The continuing work to educate users about the HIPDB and improve the data and its reporting ensures the HIPDB will remain a prime source of information about practitioners, providers, and suppliers' health care disciplinary actions.

The HIPDB Plans to Continue Improving Its Operations in 2003

The HIPDB plans several improvements to its operations in 2003. It will also continue updating and organizing its Web site, www.npdb-hipdb.com, to make it easier for customers to find information.

The following are improvements that will be made to the NPDB-HIPDB system in 2003.

- Report(s) will contain notices of when a Subject Notification Document of that report in the Data Banks fails to reach a practitioner by mail. In this manner, querying entities will be notified that a practitioner may not be aware of a report to the Data Banks.
- The look and feel of the IQRS will be updated to enhance consistency between the information Web site and the IQRS interface.
- The IQRS will allow online registration updates and registration renewal for entities. Entities are required to register with the Data Banks before they are allowed to report to or query them.
- The hardware used to run the IQRS will be upgraded. This includes a migration from SGI platforms running the UNIX Operating System to state-of-the-art Sun platforms running UNIX.

The Data Banks are also continuing to compare State License Action Reports submitted to the NPDB-HIPDB to information in the Federation of State Medical Boards' Physician Data Center, a central repository for formal actions taken against physicians by State licensing and disciplinary boards. This comparison will help the Data Banks discover actions State boards have not reported to the Data Banks but which are in the FSMB's Physician Data Center. Many of these actions, including revisions to action, are reportable to the HIPDB. The Data Banks will continue to work with State Boards to improve reporting.

Glossary of Acronyms

BHPr - Bureau of Health Professions

CMS - Centers for Medicare & Medicaid Services

DEA - Drug Enforcement Administration

HHS - Department of Health and Human Services

D.O. - Doctor of Osteopathy

DoD - Department of Defense

DPDB - Division of Practitioner Data Banks

DVA - Department of Veterans Affairs

HCQIA - Health Care Quality Improvement Act of 1986

HIPAA - Health Insurance Portability and Accountability Act of 1996

HIPDB - Healthcare Integrity and Protection Data Bank

HMO - Health Maintenance Organization

HRSA - Health Resources and Services Administration

ICD - Interface Control Document

IQRS - Integrated Querying and Reporting Service

MCO - Managed Care Organization

M.D. - Doctor of Medicine (Allopathic Physician)

MOU - Memorandum of Understanding

NPDB - National Practitioner Data Bank

NPRM - Notification of Proposed Rule Making

OIG - Office of Inspector General

PPO - Preferred Provider Organization

PREP - Practitioner Remediation and Enhancement Partnership

SRA - SRA International, Inc.

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(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Practitioner Type	Number of Reports for Individuals	Number of Individuals with Reports**	Reports per Individual with Reports
Nurses and Nursing-related Practitioners	68,287	40,201	1.70
Physicians	27,870	14,572	1.91
Dentists	7,395	4,826	1.53
Pharmacists and Pharmacy Assistants	6,533	4,457	1.47
Chiropractors	4,437	3,025	1.47
Non-Healthcare Practitioners	3,262	2,121	1.54
Psychology-related Practitioners	1,432	996	1.44
Podiatrists and Podiatric-related Practitioners	1,223	732	1.67
Social Workers	1,152	936	1.23
Respiratory Therapists and Related Practitioners	1,134	893	1.27
Counselors	1,115	840	1.33
Facility Administrators	1,069	855	1.25
Optical-related Practitioners	996	708	1.41
Physical Therapists and Related Practitioners	974	783	1.24
Physician Assistants	688	453	1.52
Medical Assistants	12	7	1.71
Occupational Therapists and Related Practitioners	512	424	1.21
Dental Assistants, Technicians, Hygienists	403	342	1.18
Emergency Medical Practitioners	376	336	1.12
Technologists	294	237	1.24
Speech and Language-related Practitioners	98	82	1.20
Audiologists	83	65	1.28
Acupuncturists	80	65	1.23
Homeopaths and Naturopaths	27	15	1.80
Dieticians	19	19	1.00
Psychiatric Technicians and Aides	8	7	1.14
Denturists	7	6	1.17
Prosthetists	7	7	1.00
Nutritionists	6	4	1.50
Unspecified or Unknown	2,523	2,022	1.25
Total*	132,022	80,026	1.65

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Twelve reports for twelve practitioners are missing practitioner license field. To avoid double-counting of practitioners who change their career field, only one license field was selected for each practitioner.

Table A2: Number of Reports Concerning Organizations, Organizations with Reports, and Reports per Organization with Reports by Type of Organization* (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Type of Organization	Number of Reports	Number of Organizations with Reports	Number of Reports per Organization with Reports
Durable Medical Equipment Supplier	745	619	1.20
Nursing Facility/Skilled Nursing Facility	722	481	1.50
Pharmacy	493	465	1.06
Medical Group/Practice	108	102	1.06
Ambulance Service/Transportation Company	98	63	1.56
Home Health Agency/Organization	85	82	1.04
Laboratory/CLIA Laboratory	49	48	1.02
Dental Group/Practice	34	31	1.10
Chiropractic Group/Practice	22	17	1.29
General/Acute Care Hospital	21	20	1.05
Mental Health/Substance Abuse Group/Facility	21	20	1.05
Ambulatory Clinic/Center	18	18	1.00
Pharmaceutical Manufacturer	16	16	1.00
Health Insurance Company/Provider	16	14	1.14
End Stage Renal Disease Facility	14	10	1.40
Mental Health Center/Community Mental Health Center	11	9	1.22
Psychiatric Hospital	9	8	1.13
Optician/Optometric Group/Practice	7	7	1.00
Outpatient Rehab. Facility/Comprehensive Outpatient Rehab. Facility	6	6	1.00
Health Maintenance Organization	6	5	1.20
Rehabilitation Hospital	5	4	1.25
Eyewear Equipment Supplier	4	4	1.00
Ambulatory Surgical Center	4	4	1.00
Podiatric Group/Practice	4	2	2.00
Health Center/Federally Qualified Health Center./Community Health Center	3	3	1.00
Mammography Service Provider	3	3	1.00
Radiology/Imaging Center	3	3	1.00
Fiscal/Billing/Management Agent	2	2	1.00
Nursing/Health Care Staffing Service	2	2	1.00
Organ Procurement Organization	1	1	1.00
Research Center/Facility	1	1	1.00
Hospice/Hospice Care Provider	1	1	1.00
Intermed. Care Facility For Mentally Retarded/Substance Abuse	1	1	1.00
Other -- Not Classified	237	141	1.68
Total	2,772	2,213	1.25

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table A3: Reports Concerning Individuals and Organizations by Report Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Report Type	Individuals		Organizations		Total	
	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	97,548	73.9%	1,002	36.1%	98,550	73.1%
Federal Licensure & DEA Action	304	0.2%	0	0.0%	304	0.2%
Government Administrative Action	1,579	1.2%	1,174	42.4%	2,753	2.0%
Exclusion or Debarment Action	26,608	20.2%	373	13.5%	26,981	20.0%
Health Plan Action	1,305	1.0%	116	4.2%	1,421	1.1%
Judgment or Conviction	4,690	3.6%	107	3.9%	4,797	3.6%
Total	132,034	100.0%	2,772	100.0%	134,806	100.0%
Percentage of Total Reports		97.9%		2.1%		100.0%

*This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table A4: Type of Action Taken* Concerning Individuals and Organizations
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Type of Action*	Total Individuals			Organizations		
	Number	Percent of Type of Action Mentioned	Percent of Total Reports	Number	Percent of Type of Action Mentioned	Percent of Total Reports
Adverse Actions						
State Licensure Action						
Revocation of License or Certificate	10,077	8.7%	7.6%	129	4.8%	4.7%
Probation of License or Certificate	21,511	18.5%	16.3%	0	0.0%	0.0%
Suspension of License or Certificate	14,426	12.4%	10.9%	52	1.9%	1.9%
Reprimand or Censure	12,462	10.7%	9.4%	0	0.0%	0.0%
Voluntary Surrender of License or Certificate	4,612	4.0%	3.5%	48	1.8%	1.7%
Conditional or Probationary License or Certificate	0	0.0%	0.0%	186	6.9%	6.7%
Reprimand, Censure, Voluntary Surrender of License or Certificate	9,850	8.5%	7.5%	0	0.0%	0.0%
Limitation or Restriction of License, Practice, Admissions, or Services	2,649	2.3%	2.0%	64	2.4%	2.3%
Denial of License or Certificate (Renewal Only)	1,244	1.1%	0.9%	1	0.0%	0.0%
Denial of Initial License or Certificate	286	0.2%	0.2%	0	0.0%	0.0%
Directed Plan or Correction	0	0.0%	0.0%	1	0.0%	0.0%
On-Site Monitoring	0	0.0%	0.0%	4	0.1%	0.1%
Directed In-Service Training	0	0.0%	0.0%	15	0.6%	0.5%
Appointment of Temporary Management	0	0.0%	0.0%	2	0.1%	0.1%
Closure of Facility	0	0.0%	0.0%	1	0.0%	0.0%
Civil Money Penalty	0	0.0%	0.0%	87	3.2%	3.1%
Administrative Fine/Money Penalty	4,167	3.6%	3.2%	459	17.0%	16.6%
Administrative Fine/Money Penalty and Other Action	188	0.2%	0.1%	0	0.0%	0.0%
Publicly Available Fine/Monetary Penalty	100	0.1%	0.1%	40	1.5%	1.4%
License Reinstatement Denied	698	0.6%	0.5%	0	0.0%	0.0%
Extension of Previous Action	1,063	0.9%	0.8%	14	0.5%	0.5%
Other Licensure Action	5,712	4.9%	4.3%	248	9.2%	8.9%
Exclusion or Debarment Action						
Exclusion from a Federal Health Care Program	3,914	3.4%	3.0%	2	0.1%	0.1%
Exclusion from a State Health Care Program	2,767	2.4%	2.1%	236	8.8%	8.5%
Exclusion from Medicare, Medicaid, and Other Federal Programs	15,598	13.4%	11.8%	131	4.9%	4.7%
Exclusion from Medicare and State Health Care Programs	2,072	1.8%	1.6%	0	0.0%	0.0%
Reinstatement Denied	2	0.0%	0.0%	0	0.0%	0.0%
Government Administrative Action						
Voluntary Surrender from Medicare/State Program While Under Investigation	2	0.0%	0.0%	0	0.0%	0.0%
Administrative Fine/Monetary Penalt	3	0.0%	0.0%	0	0.0%	0.0%
Termination of Medicare/Other Federal Program Participation	0	0.0%	0.0%	530	19.7%	19.1%
Voluntary Termination of Medicare/Program Participation while Under Investigation	0	0.0%	0.0%	8	0.3%	0.3%
Voluntary Termination of Medicaid/State Program Prarticipation Under Investigation	0	0.0%	0.0%	1	0.0%	0.0%
Termination from Medicaid/State Program Participation	14	0.0%	0.0%	0	0.0%	0.0%
Termination of Medicaid/State Program Participation for Cause	0	0.0%	0.0%	45	1.7%	1.6%
Disqualification Based on State Nurses Aide Registry	871	0.7%	0.7%	0	0.0%	0.0%
Disqualification of Clinical Investigator	2	0.0%	0.0%	0	0.0%	0.0%
Personnel Action--Employee Terminato	448	0.4%	0.3%	0	0.0%	0.0%
Other Personnel Action (Not Classified)	17	0.0%	0.0%	0	0.0%	0.0%
Other Restriction of Clinical Privileges	1	0.0%	0.0%	0	0.0%	0.0%
Reinstatement Denied	0	0.0%	0.0%	68	2.5%	2.5%
Other Action, Not Classified	217	0.2%	0.2%	50	1.9%	1.8%
Health Plan Action						
Contract Termination	1,142	1.0%	0.9%	106	3.9%	3.8%
Suspension of Contract	42	0.0%	0.0%	0	0.0%	0.0%
Employment Termination	4	0.0%	0.0%	0	0.0%	0.0%
Denial of Contact Application or Renewal	38	0.0%	0.0%	0	0.0%	0.0%
Reinstatement Denied	2	0.0%	0.0%	0	0.0%	0.0%
Extension of Previous Action	4	0.0%	0.0%	0	0.0%	0.0%
Other Health Plan Action, Specify	63	0.1%	0.0%	9	0.3%	0.3%
Total Adverse Actions	116,304	91.1%	88.1%	2,537	94.2%	91.5%
Non-Adverse Action						
State Licensure Action						
License or Certification Completely or Partially Restored or Reinstated	8,426	74.3%	6.4%	113	4.2%	4.1%
Reduction of Previous Licensure Action	653	5.8%	0.5%	12	0.4%	0.4%
Government Administrative Action						
Reinstatement	3	0.0%	0.0%	25	0.9%	0.9%
Reduction of Previous Government Administrative Action	2	0.0%	0.0%	1	0.0%	0.0%
Health Plan Action						
Reinstatement	11	0.1%	0.0%	1	0.0%	0.0%
Reduction of Previous Action	2	0.0%	0.0%	0	0.0%	0.0%
Exclusion or Debarment Action						
Reinstatement	2,236	19.7%	1.7%	4	0.1%	0.1%
Total Non-Adverse Actions	11,333	8.9%	8.6%	156	5.8%	5.6%
Total Adverse and Non-Adverse Actions Mentioned***	127,637	100.0%		2,693	100.0%	97.2%
Total Reports	132,022		100.0%	2,772		100.0%

* Respondents were allowed to indicate as many as five types of action for each report. The data presented take into account these multiple responses. The number of reasons listed may be more than the number of reports.

**This table includes only disosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

*** An additional 107 reports lack information on the initial action's classification

Table A5: Type of Action Taken* Concerning Individuals by Practitioner Type
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Physicians		Dentists		Nurses and Nursing-related Practitioners		Pharmacists and Pharmacy Assistants		Chiropractors		Social Workers		Optical-related Practitioners		Psychology-related Practitioners	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Adverse Actions																
State Licensure Action																
Revocation of License	2,152	9.0%	247	3.8%	5,900	9.4%	329	5.5%	255	6.8%	108	10.0%	37	3.8%	112	7.9%
Probation of License or Certificate	3,806	0.2	1,741	26.4	12,415	19.8	1,138	19.0	501	13.3	230	21.4	100	10.3	198	14.0
Suspension of License	3,608	15.0	756	11.5	7,627	12.1	766	12.8	330	8.7	146	13.6	71	7.3	155	11.0
Reprimand or Censure	2,515	10.5	984	14.9	6,091	9.7	1,133	19.0	210	5.6	180	16.7	215	22.1	112	7.9
Voluntary Surrender of License or Certificate	1,045	4.3	148	2.2	2,427	3.9	196	3.3	73	1.9	84	7.8	18	1.8	94	6.6
Reprimand, Censure, Voluntary Surrender of License or Certificate	2,972	12.4	381	5.8	6,069	9.7	133	2.2	25	0.7	42	3.9	63	6.5	25	1.8
Limitation or Restriction on License, Practice, Admissions, or Services	607	2.5	47	0.7	1,671	2.7	125	2.1	15	0.4	32	3.0	8	0.8	40	2.8
Denial of License or Certificate (Renewal only)	77	0.3	28	0.4	677	1.1	289	4.8	31	0.8	16	1.5	6	0.6	8	0.6
Denial of Initial License	25	0.1	11	0.2	101	1.5	3	0.0	0	0.0	39	0.6	1	0.1	14	1.0
Administrative Fine/Monetary Penalty	159	0.7	193	2.9	2,686	4.3	475	7.9	52	1.4	29	2.7	164	16.8	27	1.9
Administrative Fine/Monetary Penalty and Other Action	36	0.1	42	0.6	28	0.0	7	0.1	13	0.3	9	0.8	6	0.6	8	0.6
Publicly Available Negative Action or Finding (HIPDB Only)	7	0.0	2	0.0	75	0.1	0	0.0	0	0.0	0	0.0	1	0.1	0	0.0
License Reinstatement Denied	130	0.5	6	0.1	503	0.8	19	0.3	9	0.2	3	0.3	3	0.3	8	0.6
Extension of Previous Licensure Action	458	1.9	26	0.4	413	0.7	61	1.0	20	0.5	17	1.6	8	0.8	17	1.2
Other Licensure Action	1,164	4.8	321	4.9	2,575	4.1	596	10.0	151	4.0	88	8.2	77	7.9	66	4.7
Exclusions or Debarment Action																
Exclusion or Debarment from Federal Health Care Program	1,147	4.8	259	3.9	1,833	2.9	2	0.0	382	10.1	18	1.7	10	1.0	77	5.4
Exclusion from a State Health Care Program	523	2.2	130	2.0	1,439	2.3	131	2.2	152	4.0	6	0.6	26	2.7	24	1.7
Exclusion from Medicare, Medicaid & Other Federal Programs	2,369	9.9	703	10.7	7,460	11.9	521	8.7	1,073	28.4	4	0.4	75	7.7	223	15.8
Exclusion from Medicare & State Health Care Programs	413	1.7	185	2.8	516	0.8	52	0.9	350	9.3	2	0.2	19	1.9	43	3.0
Reinstatement Denied	1	0.0	0	0.0	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Government Administrative Action																
Voluntary Surrender from Medicaid/State Program While Under Investigation	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.1
Administrative Fine/Monetary Penalty	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.1
Termination from Medicaid/Other State Program Participation	10	0.0	3	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Disqualification Based on State Nurses Aide Registry	0	0.0	0	0.0	871	1.4	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Disqualification of Clinical Investigator	0	0.0	0	0.0	2	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Personnel Action--Employee Termination	5	0.0	0	0.0	442	0.7	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other Personnel Action (Not Classified)	6	0.0	0	0.0	7	0.0	1	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other Restriction of Clinical Privileges	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other Action (Not Classified)	118	0.5	11	0.2	64	0.1	0	0.0	9	0.2	4	0.4	0	0.0	0	0.0
Health Plan Action																
Contract Termination	569	2.4	358	5.4	2	0.0	0	0.0	121	3.2	19	1.8	8	0.8	28	2.0
Suspension of Contract	29	0.1	5	0.1	0	0.0	0	0.0	4	0.1	0	0.0	0	0.0	3	0.2
Denial of Contract Application or Renewal	32	0.1	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.1
Reinstatement Denied	2	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Extension of Previous Action	3	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.1
Other Health Plan Action	53	0.2	7	0.1	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total Adverse Actions	24,043	86.9	6,583	89.9	62,795	93.7	5,978	93.6	3,776	86.0	1,077	95.5	916	93.9	1,286	90.9
Non-Adverse Actions																
State Licensure Action																
License or Certification Completely or Partially Restored or Reinstated	2,414	66.9	354	48.0	4,882	94.3	273	66.3	111	0	47	92.2	24	2.5	69	4.9
Reduction of Previous Licensure Action	425	11.8	49	6.6	75	1.4	26	6.3	11	0	4	7.8	2	0.2	17	1.2
Exclusions or Debarment Action																
Reinstatement	761	21.1	319	43.2	217	4.2	113	27.4	492	0.0	0	0.0	33	3.4	43	3.0
Government Administrative Action																
Reinstatement	1	0.0	0	0.0	2	0.0	0	0.0	1	0.0	0	0.0	0	0.0	0	0.0
Reduction of Previous Action	2	0.1	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Health Plan Action																
Reinstatement	5	0.1	5	0.7	0	0.0	0	0.0	1	0.0	0	0.0	0	0.0	0	0.0
Reduction of Previous Action	2	0.1	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total Non-Adverse Actions	3,610	13.1	738	10.1	5,176	7.7	412	6.5	616	14.0	51	4.5	59	6.1	129	9.1
Total Actions Mentioned***	27,653	1.0	7,321	100.0	67,071	100.0	6,390	100.2	4,392	100.0	1,128	100.0	975	100.0	1,415	100.0
Total Reports	27,870	99.2	7,395	99.0	68,267	98.2	6,533	97.8	4,437	99.0	1,152	97.9	996	97.9	1,432	101.2

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports.

**This table includes only disclosable reports in the HIPDB as of December 31, 2001. All reports to the HIPDB were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

*** The total actions taken excludes reports (333 for physicians, 122 for dentists, 1,274 for nurses, 153 for pharmacists and pharmacy assistants, 63 for chiropractors, 1,113 for unknown/unspecified, and for other professionals) that lack information on the classification of the initial action and/or the reason for action.

**Table A6: Number of Reports Concerning Individuals and Organizations by Type of Action and Year Adverse Action Taken*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Individuals												
State Licensure Action	41,984	75.8%	17,602	80.1%	15,228	71.7%	13,309	70.2%	9,425	65.2%	97,548	65.2%
Federal Licensure & DEA Action	273	0.5%	22	0.1%	8	0.0%	1	0.0%	0	0.0%	304	0.0%
Government Administrative Action	438	0.8%	244	1.1%	510	2.4%	189	1.0%	198	1.4%	1,579	1.4%
Exclusion or Debarment Action	10,807	19.5%	3,068	14.0%	4,362	20.5%	4,295	22.7%	4,076	28.2%	26,608	28.2%
Health Plan Action	151	0.3%	132	0.6%	226	1.1%	400	2.1%	396	2.7%	1,305	2.7%
Judgment or Conviction	1,748	3.2%	916	4.2%	907	4.3%	766	4.0%	353	2.4%	4,690	2.4%
Total Reports for Individuals	55,401	100.0%	21,984	98.6%	21,241	97.1%	18,960	96.5%	14,448	95.8%	132,034	95.8%
Organizations												
State Licensure Action*	105	20.8%	88	27.4%	277	44.2%	260	37.6%	272	43.2%	1,003	36.2%
Government Administrative Action	159	31.5%	169	52.6%	273	43.6%	325	47.0%	248	39.4%	1,176	42.4%
Exclusion or Debarment Action	127	25.2%	38	11.8%	49	7.8%	75	10.9%	84	13.3%	374	13.5%
Health Plan Action	71	14.1%	11	3.4%	8	1.3%	10	1.4%	16	2.5%	116	4.2%
Judgment or Conviction	42	8.3%	15	4.7%	19	3.0%	21	3.0%	10	1.6%	107	3.9%
Total Reports for Organizations	504	100.0%	321	1.4%	626	2.9%	691	3.5%	630	4.2%	2,773	2.1%
Total Reports	55,905	100.0%	22,305	100.0%	21,867	100.0%	19,651	100.0%	15,078	100.0%	134,807	100.0%
Percentage of Cumulative Reports		41.5%		16.5%		16.2%		14.6%		11.2%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

*** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. All reportable actions taken after this date must be reported to the HIPDB.

Table A7: Type of Action Taken* Concerning Individuals by Reason for Action
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Reasons for Action**									Total Responses That Mention Action
	Non-Compliance with Federal, State, or Contractual Requirements	Criminal Conviction or Adjudication	Confidentiality, Consent or Disclosure Violations	Misconduct or Abuse	Fraud, Deception, or Misrepresentation	Unsafe Practice or Substandard Care	Improper Supervision or Allowing Unlicensed Practice	Improper Prescribing, Dispensing, Administering Medication	Other (Not Classified)	
State Licensure Action										
Revocation of License	1,345	1,237	0	3,087	570	2,134	0	674	3,759	10,077
Probation of License	1,648	1,567	7	5,169	603	6,275	24	845	9,722	21,511
Suspension of License	1,549	1,304	4	2,580	581	4,867	9	758	5,262	14,426
Reprimand or Censure	1,744	323	8	3,753	334	1,276	13	79	2,876	12,462
Voluntary Surrender of License	656	411	1	1,668	220	2,102	3	346	1,416	4,612
Reprimand, Censure, Voluntary Surrender	0	0	0	0	0	0	0	0	0	9,850
Limitation or Restriction on License/Practice	263	100	0	1,123	58	1,425	1	119	855	2,649
Denial of License (Renewal Only)	976	34	0	35	14	32	0	15	117	1,244
Denial of Initial License (HIPDB Only)	48	83	0	15	31	16	0	4	124	286
Administrative Fine/Monetary Penalty	1,220	251	0	838	353	701	0	14	2,570	4,167
Administrative Fine/Monetary Penalty and Other Action	81	5	2	41	15	16	2	6	50	188
Publicly Available Negative Action or Finding	8	0	0	67	0	11	0	0	17	100
License Reinstatement Denied	15	40	0	45	10	29	0	1	93	698
Extension of Previous Licensure Action	0	1	0	0	1	3	0	0	14	1,683
Other Licensure Action	470	459	5	1,872	291	1,054	7	98	2,940	5,712
Exclusion or Debarment Action										
Debarment or Exclusion from Federal Health Care Program	2,373	0	0	0	1	0	0	0	0	2,914
Exclusion from a State Health Care Program	2,473	0	0	0	71	3	0	0	206	2,787
Exclusion from Medicare, Medicaid, Other Federal Programs	10,147	0	0	0	2,645	1	0	0	14	15,598
Exclusion from Medicare & State Health Care Programs	1,195	0	0	0	585	0	0	0	1	2,072
Reinstatement Denied	0	0	0	0	0	0	0	0	0	2
Government Administrative Action										
Voluntary Surrender of Medicaid/State Program Participation	0	0	0	0	2	0	0	0	0	2
Administrative Fine/Monetary Penalty	1	0	0	0	2	0	0	0	0	3
Disqualification of Clinical Investigator	0	0	0	0	0	0	0	0	0	2
Termination from Medicaid/Other State Program Participation	2	0	0	0	11	0	0	0	1	14
Disqualification Based on State Nurses Aide Registry	0	13	0	796	1	101	0	0	0	871
Personnel Action--Employee Termination	1	373	0	68	0	8	0	3	4	448
Other Personnel Action (Not Classified)	0	0	0	5	1	8	0	2	2	17
Other Action (Not Classified)	104	16	0	57	0	25	0	2	32	217
Health Plan Action										
Contract Termination	782	110	0	52	115	149	0	5	178	1,138
Suspension of Contract	34	0	0	2	1	3	0	0	2	42
Employment Termination	1	0	0	0	1	2	0	0	0	4
Denial of Contract Application or Renewal	15	1	0	8	3	6	0	0	13	38
Reinstatement Denied	0	0	0	0	0	0	0	0	0	0
Extension of Previous Action	0	0	0	0	0	0	0	0	0	4
Other Health Plan Action	28	0	0	2	12	8	0	0	25	63
Total Adverse Actions	27,179	6,328	27	21,283	6,532	20,255	59	2,971	30,293	115,301
Non-Adverse Actions										
State Licensure Action										
License Restored or Reinstated Completely or Partially	612	70	0	405	64	140	0	3	1,297	8,426
Reduction of Previous Licensure Action	0	0	0	3	1	2	0	3	25	653
Exclusion or Debarment Action										
Reinstatement	801	0	0	0	127	0	0	0	5	2,236
Government Administrative Action										
Reinstatement	0	0	0	0	0	0	0	0	0	3
Reduction of Previous Action	0	0	0	0	0	0	0	0	0	2
Health Plan Action										
Reinstatement	0	0	0	0	0	0	0	0	0	11
Reduction of Previous Action	0	0	0	0	0	0	0	0	0	2
Total Non-Adverse Actions	1,413	70	0	408	192	142	0	6	1,327	11,333
Total Actions Mentioned	28,592	6,398	27	21,691	6,724	20,397	59	2,977	31,620	126,634
Total Reports	28,475	6,372	26	21,579	6,710	20,351	57	2,948	31,549	127,344

* Respondents were allowed to indicate as many as five types of actions and five reasons for action for each report. The data presented take into account these multiple responses. The total excludes an additional 18,046 reports that were not required to include the reason for action in the submitted report; these reports include reports that lack information about the detailed type of action and/or the reason for action. The number of actions and/or reasons for action listed may not equal the number of reports.

**This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table A8: Type of Action Taken* Concerning Organizations by Reason for Action**
 (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Type of Action*	Reasons for Action**								Total Reports that Mention Type Action
	Non-Compliance with Federal, State, or Contractual Requirements	Criminal Convictions or Adjudications	Confidentiality, Consent or Disclosure Violations	Misconduct or Abuse	Fraud, Deception, or Misrepresentation	Unsafe Practice or Substandard Care	Improper Prescribing, Dispensing, Administering Medication	Other (Not Classified)	
Adverse Actions									
State Licensure Action									
Revocation of License or Certificate	58	11	0	0	20	5	0	83	129
Suspension of License or Certificate	41	1	0	0	1	10	0	41	52
Voluntary Surrender of License or Certificate	25	2	0	0	6	11	0	29	48
Conditional or Probationary License or Certificate	160	2	1	3	8	39	3	57	186
Denial of License (Renewal Only)	0	1	0	0	0	0	0	0	1
Directed Plan or Correction (License/Government Administration)	0	0	0	0	1	0	0	0	1
On-Site Monitoring (License/Government Administration)	7	0	0	0	0	6	1	0	4
Directed In-Service Training (License/Government Administration)	15	0	0	0	0	0	0	0	15
Appointment of Temporary Management	2	0	0	0	0	0	0	0	2
Restrictions on Admissions or Services (License/Government Administration)	69	0	0	2	0	11	3	1	64
Closure of Facility	1	0	0	0	0	0	0	0	1
Civil Money Penalty (License/Government Administration)	76	0	0	1	1	3	1	16	87
Administrative Fine/Monetary Penalty	334	0	0	0	1	0	0	158	459
Publicly Available Fine/Monetary Penalty	14	0	0	0	0	3	3	30	40
Extension of Licensure Action	0	0	0	0	0	0	0	0	14
Other Licensure Action	172	1	0	4	4	32	3	90	248
Exclusion or Debarment Action									
Exclusion from a Federal Health Care Program	1	0	0	0	0	0	0	0	2
Exclusion from a State Health Care Program	106	0	0	0	69	0	0	17	236
Exclusion from Medicare, Medicaid, and Other Federal Programs	5	0	0	0	31	0	0	32	131
Government Administrative Action									
Voluntary Termination of Medicare/Other Federal Program Participation	17	0	0	0	0	0	0	1	530
Voluntary Termination of Medicare/Program Participation Under Investigation	1	0	0	0	1	0	0	7	9
Termination Medicaid/State Program Participation for Cause	38	1	0	0	5	0	0	1	45
Reinstatement Denied	0	0	0	0	0	0	0	0	68
Other Action (Not Classified)	41	0	0	0	0	0	0	11	50
Health Plan Action									
Contract Termination	10	70	0	0	15	2	0	8	106
Other Action (Not Classified)	0	0	0	0	9	0	0	0	9
Total Adverse Actions Mentioned	1,193	89	1	10	172	122	14	582	2,537
Non-Adverse Actions									
State Licensure Action*									
Licensure Restored or Reinstated Completely or Partially	0	0	0	0	0	0	0	0	113
Reduction of Previous Licensure Action	0	0	0	0	0	0	0	0	12
Exclusion or Debarment Action									
Reinstatement	0	0	0	0	0	0	0	0	4
Government Administrative Action									
Reinstatement	0	0	0	0	0	0	0	0	25
Reduction of Previous Action	0	0	0	0	0	0	0	0	1
Health Plan Action									
Reinstatement	0	0	0	0	0	0	0	0	1
Total Non-Adverse Actions	0	0	0	0	0	0	0	0	156
Total Actions Mentioned	1,193	89	1	10	172	122	14	582	2,693
Total Reports	1,159	89	1	8	172	111	7	582	2,665

* Respondents were allowed to indicate as many as five types of actions and five reasons for action for each report. The data presented take into account these multiple responses. The number of actions and/or reasons for action listed may not equal the number of reports.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table A9: Number of Reports Concerning Individuals and Organizations and Total Number of Reports by State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Number of Reports for Individuals	Number of Reports for Organizations	Total Number of Reports
Alabama	3,699	13	3,712
Alaska	473	0	473
Arizona	4,402	13	4,415
Arkansas	2,650	120	2,770
California	10,516	175	10,691
Colorado	2,886	13	2,899
Connecticut	1,993	91	2,084
Delaware	281	24	305
District of Columbia	172	0	172
Florida	5,706	277	5,983
Georgia	3,012	32	3,044
Hawaii	198	10	208
Idaho	313	0	313
Illinois	6,570	14	6,584
Indiana	866	4	870
Iowa	1,453	3	1,456
Kansas	804	6	810
Kentucky	2,984	13	2,997
Louisiana	3,884	37	3,921
Maine	618	3	621
Maryland	1,750	56	1,806
Massachusetts	2,251	14	2,265
Michigan	5,602	192	5,794
Minnesota	2,249	13	2,262
Mississippi	3,721	23	3,744
Missouri	2,162	20	2,182
Montana	302	1	303
Nebraska	630	329	959
Nevada	1,275	6	1,281
New Hampshire	789	1	790
New Jersey	2,752	79	2,831
New Mexico	708	5	713
New York	7,259	277	7,536
North Carolina	2,794	16	2,810
North Dakota	530	2	532
Ohio	3,861	29	3,890
Oklahoma	2,410	13	2,423
Oregon	1,597	1	1,598
Pennsylvania	5,132	171	5,303
Rhode Island	530	6	536
South Carolina	2,121	10	2,131
South Dakota	366	2	368
Tennessee	2,315	13	2,328
Texas	12,100	579	12,679
Utah	1,329	6	1,335
Vermont	435	1	436
Virginia	5,416	9	5,425
Washington	3,713	10	3,723
West Virginia	1,128	3	1,131
Wisconsin	904	8	912
Wyoming	224	0	224
Total**	132,034	2,772	134,806

*This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Twenty-nine reports lack information about the State.

Table A10: Number of Reports Concerning Individuals by Report Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	2,914	0	1	697	1	84	3,697
Alaska	458	1	0	38	0	2	499
Arizona	3,824	6	57	457	11	36	4,391
Arkansas	1,578	3	5	376	492	213	2,667
California	6,125	59	227	2,892	798	441	10,542
Colorado	2,338	9	7	425	6	116	2,901
Connecticut	1,592	3	7	340	2	56	2,000
Delaware	147	0	4	77	38	18	284
District of Columbia	103	7	4	40	0	18	172
Florida	3,587	10	33	1,527	4	1,179	6,340
Georgia	2,392	9	5	515	2	131	3,054
Hawaii	135	2	0	40	2	14	193
Idaho	200	1	6	94	1	10	312
Illinois	5,466	9	16	1,206	2	154	6,853
Indiana	593	4	14	207	0	33	851
Iowa	1,082	3	21	283	1	14	1,404
Kansas	564	1	11	157	1	94	828
Kentucky	2,588	11	14	298	0	90	3,001
Louisiana	3,122	7	13	456	3	244	3,845
Maine	418	0	3	155	0	55	631
Maryland	1,214	7	59	301	5	170	1,756
Massachusetts	1,557	4	85	527	1	60	2,234
Michigan	4,711	11	132	568	13	120	5,555
Minnesota	1,627		81	450	0	74	2,232
Mississippi	2,980	2	1	622	2	227	3,834
Missouri	1,765	5	22	226	5	138	2,161
Montana	244	1	0	35	0	21	301
Nebraska	567	3	3	82	0	4	659
Nevada	1,055	7	9	156	2	63	1,292
New Hampshire	577	1	6	180	1	15	780
New Jersey	1,445	5	23	1,162	1	167	2,803
New Mexico	570	3	1	95	2	5	676
New York	4,556	16	66	1,621	1	974	7,234
North Carolina	2,436	5	30	266	2	33	2,772
North Dakota	470	0	4	39	0	4	517
Ohio	2,944	8	39	626	5	248	3,870
Oklahoma	1,867	10	7	401	11	36	2,332
Oregon	1,411	4	8	163	0	5	1,591
Pennsylvania	3,150	19	47	1,603	2	395	5,216
Rhode Island	242	1	7	224	0	33	507
South Carolina	1,696	2	2	261	0	272	2,233
South Dakota	311	0	2	41	0	10	364
Tennessee	1,745	5	78	431	1	161	2,421
Texas	8,820	20	33	2,647	108	432	12,060
Utah	1,135	1	3	175	4	9	1,327
Vermont	244	0	1	173	1	17	436
Virginia	4,569	10	33	695	0	122	5,429
Washington	3,381	2	41	275	20	75	3,794
West Virginia	955	3	15	86	30	42	1,131
Wisconsin	609	3	8	189	0	89	898
Wyoming	203	0	0	11	0	5	219
Total**	97,548	304	1,579	26,608	1,305	4,690	132,034
Percentage of All Individual Reports	73.9%	0.2%	1.2%	20.2%	1.0%	3.6%	100.0%

*This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Twenty-nine reports lack information about the State.

Table A11: Number of Reports Concerning Organizations by Report Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	0	5	0	0	0	0
Alaska	0	0	5	0	0	13
Arizona	0	9	9	0	1	13
Arkansas	2	113	113	0	3	120
California	105	58	58	0	2	175
Colorado	0	3	3	8	1	13
Connecticut	82	9	9	0	0	91
Delaware	12	8	8	0	4	24
District of Columbia	0	0	0	0	0	0
Florida	6	201	201	10	6	277
Georgia	0	30	30	0	1	32
Hawaii	0	9	9	0	0	10
Idaho	0	0	0	0	0	0
Illinois	1	9	9	0	0	14
Indiana	1	3	3	0	0	4
Iowa	0	3	3	0	0	3
Kansas	0	3	3	0	3	6
Kentucky	1	4	4	0	8	13
Louisiana	0	14	14	0	1	37
Maine	0	2	2	0	0	3
Maryland	40	8	8	0	5	56
Massachusetts	0	11	11	0	0	14
Michigan	77	17	17	89	4	192
Minnesota	1	7	7	4	1	13
Mississippi	0	19	19	0	0	23
Missouri	0	14	14	0	2	20
Montana	0	1	1	0	0	1
Nebraska	329	0	0	0	0	329
Nevada	0	6	6	0	0	6
New Hampshire	0	1	1	0	0	1
New Jersey	0	7	7	0	1	79
New Mexico	2	3	3	0	0	5
New York	80	58	58	0	39	277
North Carolina	0	16	16	0	0	16
North Dakota	2	0	0	0	0	2
Ohio	2	21	21	1	4	29
Oklahoma	0	11	11	0	0	13
Oregon	0	1	1	0	0	1
Pennsylvania	77	34	34	0	10	171
Rhode Island	0	1	1	0	2	6
South Carolina	0	9	9	0	0	10
South Dakota	0	0	0	0	0	2
Tennessee	1	9	9	1	0	13
Texas	180	386	0	0	1	579
Utah	1	5	5	0	0	6
Vermont	0	1	1	0	0	1
Virginia	0	3	3	0	5	9
Washington	0	6	6	0	2	10
West Virginia	0	2	2	0	1	3
Wisconsin	0	8	8	0	0	8
Wyoming	0	0	0	0	0	0
Total**	1,002	1,174	373	116	107	2,772
Percentage of Total Reports	36.1%	42.4%	13.5%	4.2%	3.9%	100.0%

*This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

**Table A12: Number of Individuals with Reports and Reports for Each Individual by Report Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	Individuals with Specified Number of HIPDB Reports	Individuals with Specified Number of State Licensure Action Reports	Individuals with Specified Number of Federal Licensure & DEA Action Reports	Individuals with Specified Number of Government Administrative Action Reports	Individuals with Specified Number of Exclusion or Debarment Action Reports	Individuals with Specified Number of Health Plan Action Reports	Individuals with Specified Number of Judgment or Conviction Reports
1	49,109	41,310	284	1,538	19,046	1,080	3,904
2	19,460	13,588	8	19	3,198	90	350
3	6,796	4,360	0	1	281	12	21
4	2,469	1,601	1	0	62	0	3
5	1,035	664	0	0	9	0	1
6	527	367	0	0	5	0	0
7	263	175	0	0	0	0	0
8	144	120	0	0	0	0	0
9	101	71	0	0	0	0	0
10	44	34	0	0	0	0	0
11	21	18	0	0	0	0	0
12	17	15	0	0	0	0	0
13	13	7	0	0	0	0	0
14	3	2	0	0	0	0	0
15	6	6	0	0	0	0	0
16	4	5	0	0	0	0	0
17	4	3	0	0	0	0	0
18	5	4	0	0	0	0	0
19	1	2	0	0	0	0	0
20	1	1	0	0	0	0	0
21	2	1	0	0	0	0	0
22	0	0	0	0	0	0	0
23	1	1	0	0	0	0	0
Number of Individuals with Reports	80,026	62,355	293	1,558	22,601	1,184	4,280
Number of Reports	132,034	97,548	304	1,579	26,608	1,305	4,692
Reports Per Individual with Reports	1.65	1.56	1.04	1.01	1.18	1.10	1.10

*This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table A13: Number of Organizations with Reports and Reports for Each Organization by Report Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	Organizations with Specified Number of HIPDB Reports	Organizations with Specified Number of State Licensure Action Reports	Organizations with Specified Number of Government Administrative Action Reports	Organizations with Specified Number of Exclusion or Debarment Reports	Organizations with Specified Number of Health Plan Action Reports	Organizations with Specified Number of Judgment or Conviction Reports
1	1,861	607	786	318	81	99
2	249	80	128	22	8	4
3	46	21	16	0	5	0
4	26	17	6	1	1	0
5	11	5	6	0	0	0
6	8	6	2	0	0	0
7	2	1	0	1	0	0
8	1	0	1	0	0	0
9	0	0	0	0	0	0
10	2	1	1	0	0	0
11	0	0	0	0	0	0
12	1	1	0	0	0	0
13	0	0	0	0	0	0
14	1	1	0	0	0	0
Number of Organizations with Reports	2,208	740	946	342	95	103
Number of Reports	2,772	1,002	1,174	373	116	107
Reports Per Organization with Reports	1.26	1.35	1.24	1.09	1.22	1.04

*This table includes only disclosable reports in the HIPDB as of December 31, 2002. All reports to the HIPDB were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table B1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken*-- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	8,457	72.3%	3,518	83.5%	3,274	78.7%	3,150	75.0%	2,793	77.7%	21,192	76.0%
Federal Licensure & DEA Action	267	2.3%	16	0.4%	8	0.2%	1	0.0%	0	0.0%	292	1.0%
Government Administrative Action	11	0.1%	10	0.2%	8	0.2%	55	1.3%	60	1.7%	144	0.5%
Exclusion or Debarment Action	2,791	23.8%	550	13.1%	696	16.7%	692	16.5%	485	13.5%	5,214	18.7%
Health Plan Action	66	0.6%	52	1.2%	122	2.9%	219	5.2%	236	6.6%	695	2.5%
Judgments or Conviction	112	1.0%	67	1.6%	50	1.2%	83	2.0%	21	0.6%	333	1.2%
Total	11,704	100.0%	4,213	100.0%	4,158	100.0%	4,200	100.0%	3,595	100.0%	27,870	100.0%
Percentage of Cumulative Reports		42.0%		15.1%		14.9%		15.1%		12.9%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table B2: Reason for Action Taken* Concerning Individuals by Report Type -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	3,114	19.2%	0	0.0%	96	66.2%	3,524	86.9%	392	49.9%	7,126	33.2%
Criminal Conviction or Adjudication	916	5.7%	0	0.0%	7	4.8%	0	0.0%	54	6.9%	977	4.5%
Confidentiality, Consent or Disclosure Violations	5	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	5	0.0%
Misconduct or Abuse	2,753	17.0%	0	0.0%	7	4.8%	0	0.0%	41	5.2%	2,801	13.0%
Fraud, Deception, or Misrepresentation	543	3.4%	0	0.0%	8	5.5%	484	11.9%	51	6.5%	1,086	5.1%
Unsafe Practice or Substandard Care	4,123	25.4%	0	0.0%	9	6.2%	3	0.1%	102	13.0%	4,237	19.7%
Improper Supervision or Allowing Unlicensed Practice	5	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	5	0.0%
Improper Prescribing, Dispensing, Administering Medication	645	4.0%	289	99.3%	1	0.7%	0	0.0%	3	0.4%	938	4.4%
Other (Not Classified)	4,104	25.3%	2	0.7%	17	11.7%	44	1.1%	142	18.1%	4,309	20.1%
Total Reasons for Action Mentioned	16,208	100.0%	291	100.0%	145	100.0%	4,055	100.0%	785	100.0%	21,484	100.0%
Total Reports	21,192		292		144		5,214		695		27,537	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 4,309 reports for which a reason for action was not required to be included in the submitted report. The number of reasons for action listed may not equal the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table B3: Type of Action Taken* Concerning Individuals* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number Of Actions	Percent of Total Actions
Adverse Actions		
State Licensure Action		
Revocation of License	1,864	7.8%
Probation of License	3,806	15.8%
Suspension of License	3,608	15.0%
Reprimand or Censure	2,515	10.5%
Voluntary Surrender of License	1,045	4.3%
Reprimand, Censure, Voluntary Surrender	2,972	12.4%
Limitation or Restriction on License/ Practice	607	2.5%
Denial of License (Renewal Only)	73	0.3%
Denial of Initial License	25	0.1%
Administrative Fine/Monetary Penalty	159	0.7%
Administrative Fine/Monetary Penalty and Other Action	36	0.1%
Publicly Available Negative Action or Finding	7	0.0%
License Reinstatement Denied	130	0.5%
Extension of Previous Licensure Action	458	1.9%
Other Licensure Action	1,164	4.8%
Federal Licensure and DEA Action		
Revocation of License	288	1.2%
Denial of License (Renewal Only)	4	0.0%
Exclusion or Debarment Action		
Exclusion from Federal Health Care Program	1,147	4.8%
Exclusion from a State Health Care Program	523	2.2%
Exclusion from Medicare, Medicaid, and Other Federal Programs	2,369	9.9%
Exclusion from Medicare & State Health Care Programs	413	1.7%
Reinstatement Denied	1	0.0%
Government Administrative Action		
Voluntary Surrender from Medicaid/State Program while Under Investigation	1	0.0%
Administrative Fine/Monetary Penalty	1	0.0%
Termination from Medicaid/Other State Program	10	0.0%
Personnel Action--Employee Termination	5	0.0%
Personnel Action (Not Classified)	6	0.0%
Other Action (Not Classified)	118	0.5%
Health Plan Action		
Contract Termination	566	2.4%
Suspension of Contract	29	0.1%
Employment Termination	3	0.0%
Denial of Contract Application or Renewal	32	0.1%
Reinstatement Denied	53	0.2%
Extension of Previous Action	2	0.0%
Other Health Plan Action	3	0.0%
Total Adverse Actions	24,043	86.9%
Non-Adverse Actions		
State Licensure Action		
Licensure Completely or Partially Restored or Reinstated	2,414	10.0%
Reduction of Previous Action	425	1.8%
Exclusion or Debarment Action		
Reinstatement	761	3.2%
Government Administrative Action		
Reinstatement	1	0.0%
Reduction of Previous Action	2	0.0%
Health Plan Action		
Reinstatement	5	0.0%
Reduction of Previous Action	2	0.0%
Total Non-Adverse Actions Mentioned	3,610	13.1%
Total Types of Actions Mentioned**	27,653	100.0%
Total Reports	27,537	

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999. The total excludes 333 reports that lack information about the type of action.

**Table B4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	Number of Reports for Physicians	Number of Physicians with Reports	Number of Reports per Physician with Reports
Alaska	408	242	1.69
Arizona	102	87	1.17
Arkansas	822	543	1.51
California	189	98	1.93
Colorado	3,425	2,430	1.41
Connecticut	572	446	1.28
Delaware	337	212	1.59
District of Columbia	31	24	1.29
Florida	117	75	1.56
Georgia	1,366	904	1.51
Hawaii	795	524	1.52
Idaho	82	63	1.30
Illinois	89	54	1.65
Indiana	1,049	691	1.52
Iowa	145	115	1.26
Kansas	384	299	1.28
Kentucky	217	134	1.62
Louisiana	526	301	1.75
Maine	343	238	1.44
Maryland	151	106	1.42
Massachusetts	668	422	1.58
Michigan	603	381	1.58
Minnesota	1,262	741	1.70
Mississippi	353	204	1.73
Missouri	332	163	2.04
Montana	489	367	1.33
Nebraska	71	48	1.48
Nevada	69	51	1.35
New Hampshire	142	104	1.37
New Jersey	121	96	1.26
New Mexico	1,057	616	1.72
New York	74	64	1.16
North Carolina	2,882	2,292	1.26
North Dakota	365	259	1.41
Ohio	147	94	1.56
Oklahoma	1,488	647	2.30
Oregon	392	246	1.59
Pennsylvania	330	220	1.50
Rhode Island	1,354	968	1.40
South Carolina	87	65	1.34
South Dakota	332	179	1.85
Tennessee	29	24	1.21
Texas	352	217	1.62
Utah	1,477	848	1.74
Vermont	202	137	1.47
Virginia	82	58	1.41
Washington	869	381	2.28
West Virginia	490	337	1.45
Wisconsin	307	191	1.61
Wyoming	166	136	1.22
Total**	27,870	18,240	1.53

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Thirteen reports for 13 physicians have State indicated. A total of 2,845 practitioners have been reported from more than one State or jurisdiction.

Table B5: Number of Individuals with One or More Reports from the Specified Number of States* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Number of States	Number of Practitioners	Percent
1	11,727	80.5%
2	2,260	15.5%
3	429	2.9%
4	110	0.8%
5	32	0.2%
6	7	0.0%
7	3	0.0%
8	2	0.0%
9	0	0.0%
10	0	0.0%
11	0	0.0%
12	1	0.0%
13	0	0.0%
14	0	0.0%
15	1	0.0%
Total**	14,572	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Thirteen reports for 13 physicians lack information for State. A total of 2,845 dentists have reports from more than one State or jurisdiction. For example, 11,727 practitioners have one or more reports from one State; 2,260 practitioners have one or more reports from two different States, etc.

**Table B6: Number of Reports Concerning Individuals by Report Type and State* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	332	0	1	73	0	2	408
Alaska	98	1	0	2	0	1	102
Arizona	707	5	1	72	35	2	822
Arkansas	164	3	0	16	4	2	189
California	2,543	54	5	753	53	17	3,425
Colorado	503	9	1	55	1	3	572
Connecticut	261	3	0	64	3	6	337
Delaware	20	0	0	8	3	0	31
District of Columbia	96	6	0	12	3	0	117
Florida	952	10	2	322	15	65	1,366
Georgia	629	9	1	148	2	6	795
Hawaii	59	2	2	17	0	2	82
Idaho	77	1	1	5	5	0	89
Illinois	814	9	1	205	13	7	1,049
Indiana	72	4	0	57	12	0	145
Iowa	320	3	0	42	19	0	384
Kansas	171	1	0	35	3	7	217
Kentucky	408	11	0	89	14	4	526
Louisiana	241	7	0	73	10	12	343
Maine	130	0	0	19	2	0	151
Maryland	483	7	0	137	35	6	668
Massachusetts	417	3	0	133	48	2	603
Michigan	1,020	11	7	156	63	5	1,262
Minnesota	249	0	0	73	30	1	353
Mississippi	287	2	2	39	0	2	332
Missouri	409	5	0	57	12	6	489
Montana	63	1	0	7	0	0	71
Nebraska	48	3	0	16	1	1	69
Nevada	87	7	0	38	7	3	142
New Hampshire	99	1	1	14	5	1	121
New Jersey	677	5	1	350	12	12	1,057
New Mexico	47	3	2	21	1	0	74
New York	2,107	16	1	671	20	67	2,882
North Carolina	261	5	1	67	30	1	365
North Dakota	126	0	0	17	4	0	147
Ohio	1,250	8	2	183	28	17	1,488
Oklahoma	315	10	4	59	4	0	392
Oregon	286	3	0	35	6	0	330
Pennsylvania	982	18	1	301	33	19	1,354
Rhode Island	64	1	0	17	5	0	87
South Carolina	272	2	0	52	2	4	332
South Dakota	21	0	0	7	1	0	29
Tennessee	196	4	0	82	61	9	352
Texas	1,034	20	86	299	25	13	1,477
Utah	173	1	1	24	2	1	202
Vermont	70	0	0	11	1	0	82
Virginia	736	10	0	107	13	3	869
Washington	375	1	18	65	25	6	490
West Virginia	249	3	2	37	12	4	307
Wisconsin	128	3	0	30	5	0	166
Wyoming	53	0	0	3	0	1	57
Total**	21,192	292	144	5,214	695	333	27,870
Percentage of All Reports for Physicians	76.0%	1.0%	0.5%	18.7%	2.5%	1.2%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Thirteen reports lack information about State.

**Table B7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Physicians
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	Physicians with Specified Number of HIPDB Reports	Physicians with Specified Number of State Licensure Action Reports	Physicians with Specified Number of Federal Licensure & DEA Reports	Physicians with Specified Number of Government Administrative Action Reports	Physicians with Specified Number of Exclusion or Debarment Reports	Physicians with Specified Number of Health Plan Action Reports	Physicians with Specified Number of Judgment or Conviction Reports
1	7,771	6,821	272	136	3,257	565	266
2	3,641	2,949	8	3	784	50	39
3	1,582	1,161	0	1	83	7	2
4	765	518	1	0	24	1	0
5	394	241	0	0	4	1	0
6	192	120	0	0	4	0	0
7	109	47	0	0	0	0	0
8	47	29	0	0	0	0	0
9	35	24	0	0	0	0	0
10	17	4	0	0	0	0	0
11	5	2	0	0	0	0	0
12	3	1	0	0	0	0	0
13	2	2	0	0	0	0	0
14	1	0	0	0	0	0	0
15	4	4	0	0	0	0	0
16	1	1	0	0	0	0	0
17	1	1	0	0	0	0	0
18	1	0	0	0	0	0	0
19	0	0	0	0	0	0	0
20	0	0	0	0	0	0	0
21	0	0	0	0	0	0	0
22	0	0	0	0	0	0	0
23	1	1	0	0	0	0	0
Number of Physicians With Reports	14,572	11,926	281	139	4,156	624	292
Number of Reports	27,870	21,192	292	144	5,214	695	333
Physician with Reports	1.91	1.78	1.04	1.04	1.25	1.11	1.14

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table C1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	2,186	69.2%	857	75.6%	832	74.2%	745	70.2%	658	71.8%	5,278	71.4%
Federal Licensure & DEA Action	6	0.2%	4	0.4%	0	0.0%	0	0.0%	0	0.0%	10	0.1%
Government Administrative Action	0	0.0%	3	0.3%	3	0.3%	3	0.3%	5	0.5%	14	0.2%
Health Plan Action	60	1.9%	58	5.1%	64	5.7%	102	9.6%	91	9.9%	375	5.1%
Exclusion or Debarment Action	871	27.6%	186	16.4%	195	17.4%	196	18.5%	148	16.2%	1,596	21.6%
Judgment or Conviction	38	1.2%	26	2.3%	28	2.5%	16	1.5%	14	1.5%	122	1.6%
Total	3,161	100.0%	1,134	100.0%	1,122	100.0%	1,062	100.0%	916	100.0%	7,395	100.0%
Percentage of Cumulative Reports		42.7%		15.3%		15.2%		14.4%		12.4%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

**Table C2: Reason for Action Taken Concerning Individuals by Report Type* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	522	11.6%	0	0.0%	5	33.3%	1,149	88.2%	311	60.6%	1,987	31.3%
Criminal Conviction or Adjudication	172	3.8%	0	0.0%	2	13.3%	0	0.0%	24	4.7%	198	3.1%
Confidentiality, Consent or Disclosure Violations	7	0.2%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	7	0.1%
Misconduct or Abuse	783	17.4%	0	0.0%	2	13.3%	0	0.0%	10	1.9%	795	12.5%
Fraud, Deception, or Misrepresentation	229	5.1%	0	0.0%	3	20.0%	147	11.3%	65	12.7%	444	7.0%
Unsafe Practice or Substandard Care	1,186	26.3%	0	0.0%	0	0.0%	0	0.0%	63	12.3%	1,249	19.7%
Improper Supervision or Allowing Unlicensed Practice	19	0.4%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	19	0.3%
Improper Prescribing, Dispensing, Administering Medication	250	5.6%	10	100.0%	1	6.7%	0	0.0%	2	0.4%	263	4.1%
Other (Not Classified)	1,335	29.6%	0	0.0%	2	13.3%	7	0.5%	38	7.4%	1,382	21.8%
Total Reasons for Action Mentioned	4,503	100.0%	10	100.0%	15	100.0%	1,303	100.0%	513	100.0%	6,344	100.0%
Total Reports**	5,278		10		14		1,596		375		7,395	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 1,382 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table C3: Type of Action Taken* Concerning Individuals -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
Adverse Actions			
State Licensure Action			
Revocation of License	247	3.4%	3.3%
Probation of License	1,741	23.8%	23.5%
Suspension of License	756	10.3%	10.2%
Reprimand or Censure	984	13.4%	13.3%
Voluntary Surrender of License	148	2.0%	2.0%
Reprimand, Censure, Voluntary Surrender	381	5.2%	5.2%
Limitation or Restriction on License or Practice	47	0.6%	0.6%
Denial of License (Renewal Only)	28	0.4%	0.4%
Denial of Initial License (HIPDB only)	11	0.2%	0.1%
Administrative Fine/Monetary Penalty	193	2.6%	2.6%
Administrative Fine/Monetary Penalty and Other Action	42	0.6%	0.6%
Publicly Available Negative Action or Finding	2	0.0%	0.0%
Reinstatement Denied	6	0.1%	0.1%
Extension of Previous Action	26	0.4%	0.4%
Other Licensure Action	321	4.4%	4.3%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	259	3.5%	3.5%
Exclusion from a State Health Care Program	130	1.8%	1.8%
Exclusion from Medicare, Medicaid, and Other Federal Programs.	703	9.6%	9.5%
Exclusion from Medicare & State Health Care Programs	185	2.5%	2.5%
Government Administrative Action			
Termination from Medicaid/Other State Program	3	0.0%	0.0%
Other Action (Not Classified)	11	0.2%	0.1%
Health Plan Action			
Contract Termination	358	4.9%	4.8%
Suspension of Contract	5	0.1%	0.1%
Other Action (Not Classified)	7	0.1%	0.1%
Total Adverse Actions	6,594	90.1%	89.2%
Non-Adverse Actions			
State Licensure Action			
Licensure Completely or Partially Restored or Reinstated	354	4.8%	4.8%
Reduction of Previous Action	49	0.7%	0.7%
Exclusion or Debarment Action			
Reinstatement	319	4.4%	4.3%
Health Plan Action			
Reinstatement	5	0.1%	0.1%
Total Non-Adverse Actions	727	9.9%	9.8%
Total Types of Actions Mentioned**	7,321	100.0%	
Total Reports	7,395	99.0%	100.0%

* Respondents were allowed to indicate as many as five types of action for each report. The data presented take into account these multiple responses. The total excludes 122 reports that lack information on the type of action taken. The number of actions listed may be more than

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table C4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Number of Reports for Dentists	Number of Dentists with Reports	Number of Reports per Dentist with Reports
Alabama	79	67	1.18
Alaska	28	18	1.56
Arizona	373	236	1.58
Arkansas	25	19	1.32
California	593	439	1.35
Colorado	291	234	1.24
Connecticut	150	87	1.72
Delaware	3	3	1.00
District of Columbia	19	14	1.36
Florida	430	313	1.37
Georgia	146	129	1.13
Hawaii	15	10	1.50
Idaho	15	11	1.36
Illinois	419	266	1.58
Indiana	42	29	1.45
Iowa	95	79	1.20
Kansas	39	31	1.26
Kentucky	84	61	1.38
Louisiana	108	90	1.20
Maine	32	28	1.14
Maryland	217	121	1.79
Massachusetts	213	117	1.82
Michigan	431	243	1.77
Minnesota	151	78	1.94
Mississippi	81	58	1.40
Missouri	108	80	1.35
Montana	5	5	1.00
Nebraska	35	24	1.46
Nevada	29	26	1.12
New Hampshire	15	12	1.25
New Jersey	230	145	1.59
New Mexico	26	18	1.44
New York	446	328	1.36
North Carolina	127	105	1.21
North Dakota	1	1	1.00
Ohio	420	258	1.63
Oklahoma	70	54	1.30
Oregon	216	174	1.24
Pennsylvania	424	285	1.49
Rhode Island	7	7	1.00
South Carolina	80	53	1.51
South Dakota	1	1	1.00
Tennessee	121	78	1.55
Texas	356	268	1.33
Utah	71	47	1.51
Vermont	4	3	1.33
Virginia	271	154	1.76
Washington	128	96	1.33
West Virginia	16	15	1.07
Wisconsin	83	58	1.43
Wyoming	5	5	1.00
Total**	7,395	5,097	1.45

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. An additional 4 reports for 2 dentists lack information for State. A total of 242 practitioners have been reported from more than State or jurisdiction.

Table C5: Number of Individuals with One or More Reports from the Specified Number of States* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Number of States	Number of Practitioners	Percent
1	4,628	95.3%
2	214	4.4%
3	11	0.2%
4	2	0.0%
Total**	4,855	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 242 dentists are licensed or reported in more than one State or jurisdiction. For example, 4,628 dentists have reports from one State; 214 have reports from 2 States or jurisdictions; 11 have reports from 3 States or jurisdictions; and 2 dentists have reports from 4 States or jurisdictions.

**TABLE C6: Number of Reports Concerning Individuals by Report Type and State* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Federal Licensure & DEA Action	Government Administrative Action	Health Plan Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	68	0	0	0	11	0	79
Alaska	19	0	0	0	9	0	28
Arizona	331	1	0	18	20	3	373
Arkansas	10	0	0	1	12	2	25
California	245	4	0	134	208	2	593
Colorado	278	0	0	1	11	1	291
Connecticut	128	0	1	4	17	0	150
Delaware	2	0	0	0	1	0	3
District of Columbia	0	1	0	0	18	0	19
Florida	327	0	0	11	79	13	430
Georgia	116	0	0	3	27	0	146
Hawaii	7	0	0	0	8	0	15
Idaho	13	0	0	0	2	0	15
Illinois	360	0	0	3	55	1	419
Indiana	25	0	0	2	14	1	42
Iowa	85	0	0	2	8	0	95
Kansas	15	0	0	8	10	6	39
Kentucky	57	0	0	0	20	7	84
Louisiana	92	0	0	0	15	1	108
Maine	29	0	0	0	3	0	32
Maryland	168	0	0	14	34	1	217
Massachusetts	137	1	0	17	57	1	213
Michigan	340	0	5	31	55	0	431
Minnesota	108	0	0	29	14	0	151
Mississippi	71	0	0	1	8	1	81
Missouri	81	0	0	3	20	4	108
Montana	5	0	0	0	0	0	5
Nebraska	28	0	0	0	6	0	35
Nevada	22	0	0	1	6	0	29
New Hampshire	14	0	0	0	1	0	15
New Jersey	130	0	0	10	90	0	230
New Mexico	18	0	0	0	8	0	26
New York	211	0	0	40	163	32	446
North Carolina	112	0	0	0	15	0	127
North Dakota	1	0	0	0	0	0	1
Ohio	363	0	0	5	48	4	420
Oklahoma	50	0	0	2	18	0	70
Oregon	200	1	0	1	14	0	216
Pennsylvania	208	1	0	8	182	25	424
Rhode Island	3	0	0	2	2	0	7
South Carolina	54	0	0	0	20	6	80
South Dakota		0	0	0	1	0	1
Tennessee	54	1	0	4	60	2	121
Texas	222	0	8	4	117	5	356
Utah	55	0	0	0	16	0	71
Vermont	4	0	0	0	0	0	4
Virginia	235	0	0	4	32	0	271
Washington	105	0	0	4	17	2	128
West Virginia	14	0	0	0	2	0	16
Wisconsin	55	0	0	1	25	2	83
Wyoming	3	0	0	0	2	0	5
Total**	5,278	10	14	375	1,596	122	7,395
Percentage of All Reports for Dentists	71.4%	0.1%	0.2%	5.1%	21.6%	1.6%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Four Exclusion Action reports for 2 dentists lack information for State.

**Table C7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Dentists
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	Dentists with Specified Number of HIPDB Reports	Dentists with Specified Number of State Licensure Action Reports	Dentists with Specified Number of Federal Licensure & DEA Reports	Dentists with Specified Number of Government Administrative Action Reports	Dentists with Specified Number of Exclusion or Debarment Reports	Dentists with Specified Number of Health Plan Action Reports	Dentists with Specified Number of Judgment or Conviction Reports
1	3,186	2,749	10	14	885	314	87
2	1,122	763	0	0	280	26	14
3	353	204	0	0	39	3	1
4	118	54	0	0	6	0	1
5	45	14	0	0	2	0	0
6	18	8	0	0	0	0	0
7	8	3	0	0	0	0	0
8	4	3	0	0	0	0	0
9	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0
12	0	1	0	0	0	0	0
13	1	0	0	0	0	0	0
Number of Dentists with Reports	4,855	3,799	10	14	1,212	343	103
Number of Reports	7,395	5,278	10	14	1,596	375	122
Reports per Dentist with Reports	1.52	1.39	1.00	1.00	1.32	1.09	1.18

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table D1: Number of Reports Concerning Individuals by Report Type* -- Nurses and Related Personnel
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	RNs**		LPN/Vocational Nurses		Nurses Aides		Home Health Aides		Total Nurses and Related Personnel**	
	Number of Reports	Percent of RN Reports	Number of Reports	Percent of LPN Reports	Number of Reports	Percent of Nurses Aide Reports	Number of Reports	Percent of Home Health Aide Reports	Number of Reports	Percent of Total Reports
State Licensure Action	31,505	97.1	17,953	95.8	4,627	31.1	43	6.6	54,157	79.3
Federal Licensure & DEA Action	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Government Administrative Action	36	0.1	1	0.0	1,341	9.0	10	1.5	1,388	2.0
Exclusion or Debarment Action	784	2.4	616	3.3	8,068	54.3	441	67.4	11,466	16.8
Health Plan Action	2	0.0	0	0.0	0	0.0	0	0.0	2	0.0
Judgment or Conviction	117	0.4	164	0.9	833	5.6	160	24.5	1,274	1.9
Total	32,444	100.0	18,734	100.0	14,869	100.0	654	100.0	68,287	100.0%
Percentage of Total Reports		47.5%		27.4%		21.8%		1.0%		

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice nurses.

**Table D2: Number of Reports Concerning Individuals by Profession Type and State* --
Nurses and Related Personnel
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	RN** Reports	LPN/Vocational Nurse Reports	Nurses Aide Reports	Home Health Aide (Homemaker) Reports	Total Nurses and Related Personnel Reports
Alabama	1,323	1,065	540	2	2,930
Alaska	85	19	112	1	217
Arizona	1,749	641	175	13	2,578
Arkansas	724	660	765	17	2,166
California	848	1,126	1,311	43	3,328
Colorado	642	312	275	7	1,236
Connecticut	509	247	369	1	1,126
Delaware	83	34	76	2	195
District of Columbia	5	0	3	1	9
Florida	724	448	278	17	1,467
Georgia	1,291	224	67	2	1,584
Hawaii	27	5	4	2	38
Idaho	45	47	50	12	154
Illinois	1,367	1,034	382	3	2,786
Indiana	116	104	149	13	382
Iowa	373	201	193	6	773
Kansas	201	122	55	15	393
Kentucky	1,211	772	122		2,105
Louisiana	2,005	706	328	33	3,072
Maine	104	31	69	1	205
Maryland	170	81	89	29	369
Massachusetts	460	286	212	1	959
Michigan	1,457	517	135		2,109
Minnesota	573	461	280	22	1,336
Mississippi	1,115	959	846	41	2,961
Missouri	514	401	76	7	998
Montana	87	47	20	3	157
Nebraska	140	105	32		277
Nevada	306	165	392	9	872
New Hampshire	269	61	265	1	596
New Jersey	289	118	135	43	585
New Mexico	261	157	24	11	453
New York	723	889	471	29	2,112
North Carolina	1,248	730	100	3	2,081
North Dakota	158	166	21	0	345
Ohio	604	382	266	13	1,265
Oklahoma	687	744	230	30	1,691
Oregon	153	53	461	17	684
Pennsylvania	817	492	332	3	1,644
Rhode Island	131	39	170	10	350
South Carolina	958	304	126	74	1,462
South Dakota	176	92	16	3	287
Tennessee	527	508	144	18	1,197
Texas	4,976	1,636	1,624	21	8,257
Utah	199	91	273	25	588
Vermont	67	29	194	7	297
Virginia	600	456	1,580	1	2,637
Washington	773	442	929	33	2,177
West Virginia	294	414	18	4	730
Wisconsin	191	90	74	4	359
Wyoming	89	21	8	1	119
Total***	32,444	18,734	14,869	654	66,701

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses.

*** The total includes reports for U.S. territories and Armed Forces overseas. One report for one Nurses Aide that lacks information for State .

Table E1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- RNs
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	16,015	98.7%	6,729	99.1%	4,225	94.0%	2,979	93.0%	1,557	89.8%	31,505	97.1%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	7	0.0%	9	0.1%	17	0.4%	3	0.1%	0	0.0%	36	0.1%
Exclusion or Debarment Action	165	1.0%	26	0.4%	221	4.9%	205	6.4%	167	9.6%	784	2.4%
Health Plan Action	0	0.0%	0	0.0%	1	0.0%	1	0.0%	0	0.0%	2	0.0%
Judgment or Conviction	40	0.2%	23	0.3%	31	0.7%	14	0.4%	9	0.5%	117	0.4%
Total	16,227	100.0%	6,787	100.0%	4,495	100.0%	3,202	100.0%	1,733	100.0%	32,444	100.0%
Percentage of Cumulative RN Reports		50.0%		20.9%		13.9%		9.9%		5.3%		100.0%

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses (RNs), Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses.

*** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table E2: Reason for Action Taken* Concerning Individuals by Report Type -- RNs***
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal State, or Contractual Requirements	3,118	9.6%	1	2.8%	722	87.6%	0	0.0%	3,841	11.5%
Criminal Conviction or Adjudication	1,270	3.9%	0	0.0%	0	0.0%	1	50.0%	1,271	3.8%
Confidentiality, Consent or Disclosure Violations	1	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%
Misconduct or Abuse	7,397	22.7%	12	33.3%	0	0.0%	0	0.0%	7,409	22.1%
Fraud, Deception, or Misrepresentation	510	1.6%	0	0.0%	18	2.2%	0	0.0%	528	1.6%
Unsafe Practice or Substandard Care	7,781	23.9%	10	27.8%	0	0.0%	0	0.0%	7,791	23.3%
Improper Supervision or Allowing Unlicensed Practice	2	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.0%
Improper Prescribing, Dispensing, Administering Medication	675	2.1%	1	2.8%	0	0.0%	0	0.0%	676	2.0%
Other (Not Classified)	11,844	36.3%	12	33.3%	84	10.2%	1	50.0%	11,941	35.7%
Total Reasons for Action Mentioned	32,598	100.0%	36	100.0%	824	100.0%	2	100.0%	33,460	100.0%
Total Reports	31,505		36		784		2		32,327	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total excludes 6,438 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

*** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses.

Table E3: Type of Action Taken* Concerning Individuals -- RNs*****
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Type of Action*	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
State Licensure Action			
Revocation of License	1,987	6.1%	6.1%
Probation of License	7,656	23.7%	23.7%
Suspension of License	4,390	13.6%	13.6%
Reprimand or Censure	3,462	10.7%	10.7%
Voluntary Surrender of License	1,446	4.5%	4.5%
Reprimand, Censure, Voluntary Surrender	3,481	10.8%	10.8%
Limitation or Restriction on License/ Practice	1,309	4.0%	4.0%
Denial of License (Renewal Only)	297	0.9%	0.9%
Denial of Initial License (HIPDB only)	2	0.0%	0.0%
Administrative Fine/Monetary Penalty	1,994	6.2%	6.2%
Administrative Fine/Monetary Penalty and Other Action	14	0.0%	0.0%
Publicly Available Negative Action or Finding (HIPDB Only)	1	0.0%	0.0%
License Reinstatement Denied	253	0.8%	0.8%
Extension of Previous Licensure Action	286	0.9%	0.9%
Other Licensure Action	1,469	4.5%	4.5%
Government Administrative Action			
Personnel Action (Not Classified)	5	0.0%	0.0%
Other Action (Not Classified)	31	0.1%	0.1%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	9	0.0%	0.0%
Exclusion from a State Health Care Program	750	2.3%	2.3%
Exclusion from Medicare, Medicaid, and Other Federal Programs	22	0.1%	0.1%
Exclusion from Medicare & State Health Care Programs	2	0.0%	0.0%
Health Plan Action			
Contract Termination	2	0.0%	0.0%
Total Adverse Actions	28,868	89.2%	89.3%
Non-Adverse Actions			
State Licensure Action			
Licensure Completely or Partially Restored or Reinstated	3,438	10.6%	10.6%
Reduction of Previous Licensure Action	46	0.1%	0.1%
Exclusion or Debarment Action			
Reinstatement	1	0.0%	0.0%
Total Non-Adverse Actions	3,485	10.8%	10.8%
Total Actions Mentioned	32,353	100.0%	
Total Reports	32,327		100.0%

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The total exclude 65 reports that lack information about the detailed type of action taken. The number of actions listed may not equal the number of reports filed.

*** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses.

Table E4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- RNs**
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Number of Reports for RNs	Number of RNs with Reports***	Number of Reports Per RN with Reports
Alabama	1,323	868	1.52
Alaska	85	63	1.35
Arizona	1,749	951	1.84
Arkansas	724	351	2.06
California	848	565	1.50
Colorado	642	519	1.24
Connecticut	509	268	1.90
Delaware	83	49	1.69
District of Columbia	5	4	1.25
Florida	724	591	1.23
Georgia	1,291	416	3.10
Hawaii	27	27	1.00
Idaho	45	35	1.29
Illinois	1,367	907	1.51
Indiana	116	76	1.53
Iowa	373	294	1.27
Kansas	201	155	1.30
Kentucky	1,211	460	2.63
Louisiana	2,005	744	2.69
Maine	104	73	1.42
Maryland	170	122	1.39
Massachusetts	460	397	1.16
Michigan	1,457	866	1.68
Minnesota	573	316	1.81
Mississippi	1,115	618	1.80
Missouri	514	382	1.35
Montana	87	60	1.45
Nebraska	140	90	1.56
Nevada	306	196	1.56
New Hampshire	269	187	1.44
New Jersey	289	216	1.34
New Mexico	261	171	1.53
New York	723	691	1.05
North Carolina	1,248	874	1.43
North Dakota	158	97	1.63
Ohio	604	372	1.62
Oklahoma	687	374	1.84
Oregon	153	118	1.30
Pennsylvania	817	568	1.44
Rhode Island	131	78	1.68
South Carolina	958	329	2.91
South Dakota	176	141	1.25
Tennessee	527	368	1.43
Texas	4,976	2,421	2.06
Utah	199	123	1.62
Vermont	67	56	1.20
Virginia	600	381	1.57
Washington	773	454	1.70
West Virginia	294	142	2.07
Wisconsin	191	186	1.03
Wyoming	89	39	2.28
Total****	32,444	18,849	1.72

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses.

*** The National Council of State Boards of Nursing (NCSBN) serves as reporting agent for the 41 following Boards: Alabama, Arkansas, Arizona, California, Colorado, District of Columbia, Delaware, Florida, Georgia, Iowa, Idaho, Kentucky, Louisiana, Maryland, Maine, Minnesota, Missouri, Mississippi, North Carolina, North Dakota, Nebraska, New Jersey, New Mexico, Nevada, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Texas, Utah, Virginia, Washington State, West Virginia, and Wyoming. The NCSBN is unable to report multiple actions on the same report and, therefore, files multiple reports when multiple actions are taken in the same Board order. The number of actions and rates of reports for RNs for these States may not be comparable to the number from other States.

**** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table E5: Number of Individuals with One or More Reports from the Specified Number of States* -- RNs
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of States	Number of Practitioners	Percent
1	16,982	95.1%
2	806	4.5%
3	63	0.4%
4	8	0.0%
6	3	0.0%
7	1	0.0%
8	0	0.0%
9	1	0.0%
Total	17,864	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 882 practitioners have reports from more than one State or jurisdiction. For example, 16,982 RNs have one or more reports from one State; 808 RNs have one or more reports from two different States; 63 RNs have reports from three States, etc.

Table E6: Number of Reports Concerning Individuals by Report Type and State* -- RNs
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	1,323	0	0	0	0	1,323
Alaska	85	0	0	0	0	85
Arizona	1,747	0	2	0	0	1,749
Arkansas	709	0	8	0	7	724
California	832	1	15	0	0	848
Colorado	626	0	9	0	7	642
Connecticut	503	0	5	0	1	509
Delaware	79	0	4	0	0	83
Deistrict of Columbia	5	0	0	0	0	5
Florida	709	0	5	0	10	724
Georgia	1,286	0	2	0	3	1,291
Hawaii	26	0	1	0	0	27
Idaho	43	0	2	0	0	45
Illinois	1,361	1	3	0	2	1,367
Indiana	114	0	2	0	0	116
Iowa	372	0	1	0	0	373
Kansas	200	0	0	0	1	201
Kentucky	1,209	0	2	0	0	1,211
Louisiana	1,989	0	10	0	6	2,005
Maine	101	0	1	0	2	104
Maryland	165	3	1	0	1	170
Massachusetts	457	0	2	0	1	460
Michigan	1,453	0	2	0	2	1,457
Minnesota	571	0	0	0	2	573
Mississippi	1,104	0	5	0	6	1,115
Missouri	507	0	3	0	4	514
Montana	86	0	1	0	0	87
Nebraska	138	0	2	0	0	140
Nevada	300	1	3	0	2	306
New Hampshire	268	0	1	0	0	269
New Jersey	215	0	73	0	1	289
New Mexico	256	0	4	0	1	261
New York	695	0	5	0	23	723
North Carolina	1,240	0	8	0	0	1,248
North Dakota	158	0	0	0	0	158
Ohio	593	2	1	0	8	604
Oklahoma	674	0	12	0	1	687
Oregon	150	0	2	1	0	153
Pennsylvania	654	0	156	0	7	817
Rhode Island	129	0	0	0	2	131
South Carolina	956	0	2	0	0	958
South Dakota	176	0	0	0	0	176
Tennessee	524	0	2	1	0	527
Texas	4,540	0	422	0	14	4,976
Utah	199	0	0	0	0	199
Vermont	66	0	0	0	1	67
Virginia	597	0	3	0	0	600
Washington	771	0	1	0	1	773
West Virginia	264	28	1	0	1	294
Wisconsin	191	0	0	0	0	191
Wyoming	89	0	0	0	0	89
Total***	31,505	36	784	2	117	32,444
Percentage of All Reports for RNs	97.1%	0.1%	2.4%	0.0%	0.4%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and other Advanced Practice Nurses..

*** The National Council of State Boards of Nursing (NCSBN) serves as reporting agent for the 41 following Boards: Alabama, Arkansas, Arizona, California, Colorado, District of Columbia, Delaware, Florida, Georgia, Iowa, Idaho, Kentucky, Louisiana, Maryland, Maine, Minnesota, Missouri, Mississippi, North Carolina, North Dakota, Nebraska, New Jersey, New Mexico, Nevada, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Texas, Utah, Virginia, Washington State, West Virginia, and Wyoming. The NCSBN is unable to report multiple actions on the same report and, therefore, files multiple reports when multiple actions are taken in the same Board order. The number of actions and rates of reports for RNs for these States may not be comparable to the number from other States.

Table E7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- RNs
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	RNs with Specified Number of HIPDB Reports	RNs with Specified Number of State Licensure Reports	RNs with Specified Number of Federal Licensure & DEA Reports	RNs with Specified Number of Government Administrative Action Reports	RNs with Specified Number of Exclusion or Debarment Reports	RNs with Specified Number of Health Plan Action Reports	RNs with Specified Number of Judgment or Conviction Reports
1	9,656	9,826	0	30	746	2	89
2	4,952	4,683	0	3	16	0	12
3	1,934	1,853	0	0	2	0	0
4	635	576	0	0	0	0	1
5	269	262	0	0	0	0	0
6	174	170	0	0	0	0	0
7	90	89	0	0	0	0	0
8	60	59	0	0	0	0	0
9	32	30	0	0	0	0	0
10	25	25	0	0	0	0	0
11	11	11	0	0	0	0	0
12	10	10	0	0	0	0	0
13	2	2	0	0	0	0	0
14	2	2	0	0	0	0	0
15	1	1	0	0	0	0	0
16	4	4	0	0	0	0	0
17	1	1	0	0	0	0	0
18	3	3	0	0	0	0	0
19	1	1	0	0	0	0	0
20	1	1	0	0	0	0	0
21	1	1	0	0	0	0	0
Number of RNs with Reports	17,864	17,610	0	33	764	2	102
Number of RN Reports	32,444	31,505	0	36	784	2	117
Reports per RN with Reports	1.82	1.79	0.00	1.09	1.03	1.00	1.15

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** RNs include non-specialist Registered Nurses, Nurse Anesthetists, Nurse Midwives, Nurse Practitioners, and Advanced Practice Nurses.

**Table F1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	10,157	78.4%	3,829	69.2%	3,508	59.0%	3,175	61.7%	1,911	47.5%	22,580	67.2%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	407	3.1%	215	3.9%	475	8.0%	117	2.3%	128	3.2%	1,342	4.0%
Exclusion or Debarment Action	1,943	15.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Health Plan Action	0	0.0%	1,266	22.9%	1,807	30.4%	1,717	33.4%	1,951	48.5%	8,684	25.8%
Judgment or Conviction	443	3.4%	227	4.1%	156	2.6%	138	2.7%	33	0.8%	997	3.0%
Total Reports	12,950	100.0%	5,537	100.0%	5,946	100.0%	5,147	100.0%	4,023	100.0%	33,603	100.0%
Percentage of Cumulative LPN and Nurses Aides Reports	38.5%		16.5%		17.7%		15.3%		12.0%		100.0%	

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table F2: Reason for Action Taken* Concerning Individuals by Report Type -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal State, or Contractual Requirements	1,711	7.5%	1	0.1%	6,447	93.9%	8,159	26.4%
Criminal Conviction or Adjudication	1,995	8.8%	381	27.3%	0	0.0%	2,376	7.7%
Confidentiality, Consent or Disclosure Violations	1	0.0%	0	0.0%	0	0.0%	1	0.0%
Misconduct or Abuse	6,008	26.5%	889	63.8%	0	0.0%	6,897	22.3%
Fraud, Deception, or Misrepresentation	736	3.2%	1	0.1%	373	5.4%	1,110	3.6%
Unsafe Practice or Substandard Care	4,270	18.8%	118	8.5%	0	0.0%	4,388	14.2%
Improper Supervision or Allowing Unlicensed Practice	4	0.0%	0	0.0%	0	0.0%	4	0.0%
Improper Prescribing, Dispensing, Administering Medication	571	2.5%	3	0.2%	0	0.0%	574	1.9%
Other (Not Classified)	7,389	32.6%	1	0.1%	45	0.7%	7,435	24.0%
Total Reasons for Action Mentioned**	22,685	100.0%	1,394	100.0%	6,865	100.0%	30,944	100.0%
Total Reports	22,580		1,342		8,684		33,603	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 4,313 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 throughd 2002, including all retroactive reports for actions taken prior to 1999.

Table F3: Type of Action Mentioned* Concerning Individuals -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
State Licensure Action			
Revocation of License	3,902	12.0%	11.6%
Probation of License	4,745	14.5%	14.1%
Suspension of License	3,230	9.9%	9.6%
Reprimand or Censure	2,625	8.0%	7.8%
Voluntary Surrender of License	975	3.0%	2.9%
Reprimand, Censure, Voluntary Surrender	2,588	7.9%	7.7%
Limitation or Restriction on License/ Practice	362	1.1%	1.1%
Denial of License (Renewal Only)	380	1.2%	1.1%
Denial of Initial License (HIPDB only)	99	0.3%	0.3%
Administrative Fine/Monetary Penalty	690	2.1%	2.1%
Administrative Fine/Monetary Penalty adn Other Action	14	0.0%	0.0%
Publicly Available Negative Action or Finding (HIPDB Only)	61	0.2%	0.2%
License Reinstatement Denied	250	0.8%	0.7%
Extension of Previous Licensure Action	127	0.4%	0.4%
Other Licensure Action	1,091	3.3%	3.2%
Government Administrative Action			
Disqualification of Clinical Investigator	2	0.0%	0.0%
Disqualification Based on State Nurses Aide Registry	867	2.7%	2.6%
Personnel Action--Employee Termination	436	1.3%	1.3%
Personnel Action--Not Classified	2	0.0%	0.0%
Other Action -- Not Classified	33	0.1%	0.1%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	269	0.8%	0.8%
Exclusion from a State Health Care Program	658	2.0%	2.0%
Exclusion from Medicare, Medicaid, and Other Federal. Programs	7,040	21.6%	21.0%
Exclusion from Medicare & State Health Care Programs	506	1.6%	1.5%
Reinstatement Denied	1	0.0%	0.0%
Total Adverse Actions	30,953	94.8%	92.1%
Non-Adverse Actions			
State Licensure Action			
Licensure Completely or Partially Restored or Reinstated	1,443	4.4%	4.3%
Reduction of Previous Licensure Action	29	0.1%	0.1%
Exclusion or Debarment Action			
Reinstatement	210	0.6%	0.6%
Total Non-Adverse Actions	1,682	5.2%	5.0%
Total Actions Mentioned**	32,635	100.0%	
Total Reports	33,603	97.1%	100.0%

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table F4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports Per Individual with Reports by State* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	Number of Reports for LPNs/Nurses Aides	Number of LPNs/Nurses Aides with Reports	Number of Reports Per LPN/Nurses Aide with Reports
Alabama	1,605	1,116	1.44
Alaska	131	102	1.28
Arizona	816	548	1.49
Arkansas	1,425	906	1.57
California	2,437	1,865	1.31
Colorado	587	508	1.16
Connecticut	616	446	1.38
Delaware	110	99	1.11
District of Columbia	3	3	1.00
Florida	726	591	1.23
Georgia	291	217	1.34
Hawaii	9	8	1.13
Idaho	97	70	1.39
Illinois	1,416	910	1.56
Indiana	253	196	1.29
Iowa	394	319	1.24
Kansas	177	140	1.26
Kentucky	894	406	2.20
Louisiana	1,034	694	1.49
Maine	100	87	1.15
Maryland	170	134	1.27
Massachusetts	498	419	1.19
Michigan	652	427	1.53
Minnesota	741	442	1.68
Mississippi	1,805	996	1.81
Missouri	477	343	1.39
Montana	67	44	1.52
Nebraska	137	95	1.44
Nevada	557	451	1.24
New Hampshire	326	238	1.37
New Jersey	253	192	1.32
New Mexico	181	122	1.48
New York	1,360	1,062	1.28
North Carolina	830	632	1.31
North Dakota	187	107	1.75
Ohio	648	453	1.43
Oklahoma	974	583	1.67
Oregon	514	465	1.11
Pennsylvania	824	539	1.53
Rhode Island	209	177	1.18
South Carolina	430	201	2.14
South Dakota	108	81	1.33
Tennessee	652	468	1.39
Texas	3,260	2,409	1.35
Utah	364	273	1.33
Vermont	223	172	1.30
Virginia	2,036	1,195	1.70
Washington	1,371	1,136	1.21
West Virginia	432	343	1.26
Wisconsin	164	134	1.22
Wyoming	29	19	1.53
Total**	33,603	23,586	1.42

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. One report for one practitioner lacks information about State. A total of 451 LPNs and Nurses Aides have reports from more than one State or jurisdiction.

**Table F5: Number of Individuals with One or More Reports from the Specified Number of States* -- LPNs and Nurses Aides
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of States	Number of Practitioners	Percent
1	22,662	98.0%
2	429	1.9%
3	22	0.1%
Total**	23,113	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 451 LPNs and Nurses Aides have reports from more than one State or jurisdiction. For example, 22,662 practitioners have one or more reports from one State or jurisdiction; 429 practitioners have reports from 2 States or jurisdictions; and 22 practitioners have reports from 3 States or jurisdictions.

Table F6: Number of Reports Concerning Individuals by Report Type and State* -- LPNs and Nurses Aides (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	1,062	0	542	1	1,605
Alaska	112	0	19	0	131
Arizona	641	0	175	0	816
Arkansas	646	491	191	97	1,425
California	1,125	781	531	0	2,437
Colorado	301	5	253	28	587
Connecticut	439	1	176	0	616
Delaware	34	38	38	0	110
District of Columbia	0	0	3	0	3
Florida	510	1	193	22	726
Georgia	224	1	66	0	291
Hawaii	5	0	3	1	9
Idaho	47	0	50	0	97
Illinois	1,033	0	382	1	1,416
Indiana	164	0	89	0	253
Iowa	201	0	193	0	394
Kansas	118	0	46	13	177
Kentucky	772	0	115	7	894
Louisiana	696	3	214	121	1,034
Maine	31	0	68	1	100
Maryland	77	2	63	28	170
Massachusetts	286	1	211	0	498
Michigan	517	0	134	1	652
Minnesota	460	0	279	2	741
Mississippi	1,205	0	455	145	1,805
Missouri	399	4	54	20	477
Montana	47	0	12	8	67
Nebraska	104	0	33	0	137
Nevada	491	1	62	3	557
New Hampshire	176	0	144	6	326
New Jersey	88	0	165	0	253
New Mexico	153	0	27	1	181
New York	839	0	364	157	1,360
North Carolina	729	0	101	0	830
North Dakota	166	0	21	0	187
Ohio	362	0	198	88	648
Oklahoma	738	6	218	12	974
Oregon	502	0	12	0	514
Pennsylvania	393	0	428	3	824
Rhode Island	38	0	167	4	209
South Carolina	301	0	77	52	430
South Dakota	92	0	16	0	108
Tennessee	505	1	125	21	652
Texas	1,966	4	1,183	107	3,260
Utah	295	2	67	0	364
Vermont	85	0	135	3	223
Virginia	1,573	0	463	0	2,036
Washington	1,313	0	49	9	1,371
West Virginia	414	0	18	0	432
Wisconsin	85	0	47	32	164
Wyoming	20	0	6	3	29
Total**	22,580	1,342	8,684	997	33,603
Percentage of All Reports for LPNs and Nurses Aides	67.2%	4.0%	25.8%	3.0%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. One report for one practitioner lacks information for the State. A total of 451 LPNs and Nurses Aides have reports from more than one State or jurisdiction. For example, 22,662 practitioners have one or more reports from one State or jurisdiction; 429 practitioners have reports from 2 States or jurisdictions; and 22 practitioners have reports from 3 States or jurisdictions.

Table F7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- LPNs and Nurses Aides (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Number of Reports	LPNs and Nurses Aides with Specified Number of HIPDB Reports	LPNs and Nurses Aides with Specified Number of State Licensure Action Reports	LPNs and Nurses Aides with Specified Number of Federal Licensure & DEA Action Reports	LPNs and Nurses Aides with Specified Number of Government Administrative Action Reports	LPNs and Nurses Aides with Specified Number of Exclusion or Debarment Reports	LPNs and Nurses Aides with Specified Number of Health Plan Action Reports	LPNs and Nurses Aides with Specified Number of Judgment or Conviction Reports
1	16,169	11,636	0	1,316	7,433	0	822
2	4,756	3,112	0	13	609	0	73
3	1,466	727	0	0	8	0	6
4	440	295	0	0	1	0	1
5	140	96	0	0	1	0	1
6	67	45	0	0	0	0	0
7	23	21	0	0	0	0	0
8	23	23	0	0	0	0	0
9	16	12	0	0	0	0	0
10	2	3	0	0	0	0	0
11	3	3	0	0	0	0	0
12	1	0	0	0	0	0	0
13	3	3	0	0	0	0	0
14	0	0	0	0	0	0	0
15	1	1	0	0	0	0	0
16	0	1	0	0	0	0	0
17	1	0	0	0	0	0	0
18	1	1	0	0	0	0	0
19	1	1	0	0	0	0	0
Number of LPNs/Nurses Aides with Reports	23,113	15,980	0	1,329	8,052	0	903
Number of Reports	33,603	22,580	0	1,342	8,684	0	997
Reports per LPN/Nurses Aide with Reports	1.45	1.41	0.00	1.01	1.08	0.00	1.10

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table G1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Pharmacists and Pharmacy Assistants
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	1,623	85.0%	856	85.8%	1,358	86.9%	1,198	86.4%	525	77.5%	5,560	85.1%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	0	0.0%	0	0.0%	0	0.0%	2	0.1%	0	0.0%	2	0.0%
Exclusion or Debarment Action	214	11.2%	111	11.1%	181	11.6%	167	12.0%	145	21.4%	818	12.5%
Health Plan Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Judgment or Conviction	72	3.8%	31	3.1%	23	1.5%	20	1.4%	7	1.0%	153	2.3%
Total	1,909	100.0%	998	100.0%	1,562	100.0%	1,387	100.0%	677	100.0%	6,533	100.0%
Percentage of Cumulative Reports		29.2%		15.3%		23.9%		21.2%		10.4%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 5,725 (87.6 percent) reports for 3,956 practitioners (88.5 percent); Nuclear Pharmacists, 7 (0.1 percent) reports for seven practitioners (0.2 percent); Pharmacy Technicians (23 reports for 20 practitioners); and Pharmacy Assistants, 771 (10.7 percent) reports for 480 practitioners (10.7 percent).

*** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table G2: Reason for Action Taken* Concerning Individuals by Report Type -- Pharmacists and Pharmacy Assistants***
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	616	11.0%	0	0.0%	509	77.0%	1,125	18.0%
Criminal Conviction or Adjudication	373	6.7%	0	0.0%	0	0.0%	373	6.0%
Misconduct or Abuse	859	15.4%	0	0.0%	0	0.0%	859	13.7%
Fraud, Deception, or Misrepresentation	178	3.2%	0	0.0%	146	22.1%	324	5.2%
Unsafe Practice or Substandard Care	1,081	19.3%	0	0.0%	0	0.0%	1,081	17.3%
Improper Supervision or Allowing Unlicensed Practice	9	0.2%	0	0.0%	0	0.0%	9	0.1%
Improper Prescribing, Dispensing, Administering Medication	279	5.0%	1	50.0%	0	0.0%	280	4.5%
Other (Not Classified)	2,193	39.2%	1	50.0%	6	0.9%	2,200	35.2%
Total Reasons for Action Mentioned	5,588	100.0%	2	100.0%	661	100.0%	6,251	100.0%
Total Number of Reports	5,560		2		818		6,480	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented takes into account multiple responses. The total number of reasons for action excludes 336 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 5,725 (87.6 percent) reports for 3,956 practitioners (88.5 percent); Nuclear Pharmacists, 7 (0.1 percent) reports for seven practitioners (0.2 percent); Pharmacy Technicians (23 reports for 20 practitioners); and Pharmacy Assistants, 771 (10.7 percent) reports for 480 practitioners (10.7 percent).

Table G3: Type of Action Taken* Concerning Individuals -- Pharmacist and Pharmacy Assistants***
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
Adverse Actions			
State Licensure Action			
Revocation of License	329	5.1%	5.0%
Probation of License	1,138	17.8%	17.4%
Suspension of License	766	12.0%	11.7%
Reprimand or Censure	1,133	17.7%	17.3%
Voluntary Surrender of License	196	3.1%	3.0%
Reprimand, Censure, Voluntary Surrender	133	2.1%	2.0%
Limitation or Restriction on License/Practice	125	2.0%	1.9%
Denial of License (Renewal Only)	289	4.5%	4.4%
Denial of Initial License (HIPDB Only)	3	0.0%	0.0%
Administrative Fine/Monetary Penalty	475	7.4%	7.3%
Administrative Fine/Monetary Penalty and Other Action	7	0.1%	0.1%
License Reinstatement Denied	19	0.3%	0.3%
Extension of Previous Licensure Action	61	1.0%	0.9%
Other Licensure Action	596	9.3%	9.1%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	2	0.0%	0.0%
Exclusion from a State Health Care Program	131	2.1%	2.0%
Exclusion from Medicare, Medicaid, and Other Federal Programs	521	8.2%	8.0%
Exclusion from Medicare and Other State Health Care Programs	52	0.8%	0.8%
Government Administrative Action			
Personnel Action--Employee Termination	1	0.0%	0.0%
Personnel Action--Not Classified	1	0.0%	0.0%
Total Adverse Actions	5,978	93.6%	91.5%
Non-Adverse Actions			
State Licensure Action			
Licensure Completely or Partially Restored or Reinstated	273	4.3%	4.2%
Reduction of Previous Licensure Action	26	0.4%	0.4%
Exclusion or Debarment Action			
Reinstatement	113	1.8%	1.7%
Total Non-Adverse Actions	412	6.4%	6.3%
Total Types of Actions Mentioned	6,390	100.0%	97.8%
Total Reports	6,533		100.0%

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

*** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 5,725 (87.6 percent) reports for 3,956 practitioners (88.5 percent); Nuclear Pharmacists, 7 (0.1 percent) reports for seven practitioners (0.2 percent); Pharmacy Technicians (23 reports for 20 practitioners); and Pharmacy Assistants, 771 (10.7 percent) reports for 480 practitioners (10.7 percent).

Table G4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Pharmacists and Pharmacy Assistants
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	Number of Reports for Pharmacists and Pharmacy Assistants	Number of Pharmacists and Pharmacy Assistants with Reports	Number of Reports Per Pharmacist and Pharmacy Assistant with Reports
Alabama	9	9	1.00
Alaska	21	18	1.17
Arizona	11	9	1.22
Arkansas	7	7	1.00
California	322	301	1.07
Colorado	113	99	1.14
Connecticut	56	52	1.08
Delaware	4	3	1.33
District of Columbia	1	1	1.00
Florida	271	224	1.21
Georgia	26	23	1.13
Hawaii	13	12	1.08
Idaho	1	1	1.00
Illinois	1,059	693	1.53
Indiana	46	30	1.53
Iowa	5	3	1.67
Kansas	1	1	1.00
Kentucky	75	47	1.60
Louisiana	6	4	1.50
Maine	25	22	1.14
Maryland	78	62	1.26
Massachusetts	98	87	1.13
Michigan	728	531	1.37
Minnesota	3	3	1.00
Mississippi	93	67	1.39
Missouri	172	97	1.77
Montana	2	1	2.00
Nebraska	102	59	1.73
Nevada	23	19	1.21
New Hampshire	1	1	1.00
New Jersey	73	39	1.87
New Mexico	56	27	2.07
New York	434	327	1.33
North Carolina	11	9	1.22
North Dakota	2	2	1.00
Ohio	50	48	1.04
Oklahoma	2	2	1.00
Oregon	117	93	1.26
Pennsylvania	582	422	1.38
Rhode Island	7	5	1.40
South Carolina	17	12	1.42
South Dakota	0	0	0.00
Tennessee	16	15	1.07
Texas	324	267	1.21
Utah	99	67	1.48
Vermont	7	7	1.00
Virginia	1,077	588	1.83
Washington	188	137	1.37
West Virginia	4	2	2.00
Wisconsin	63	60	1.05
Wyoming	31	11	2.82
Total ***	6,533	4,627	1.41

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 5,725 (87.6 percent) reports for 3,956 practitioners (88.5 percent); Nuclear Pharmacists, 7 (0.1 percent) reports for seven practitioners (0.2 percent); Pharmacy Technicians (23 reports for 20 practitioners); and Pharmacy Assistants, 771 (10.7 percent) reports for 480 practitioners (10.7 percent).

*** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 152 pharmacists and pharmacy assistants have been reported from more than one State or jurisdiction.

**Table G5: Number of Individuals with One or More Reports from the Specified Number of States* -- Pharmacists and Pharmacy Assistants
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of States	Number of Practitioners	Percent
1	4,318	96.6%
2	147	3.3%
3	5	0.1%
Total**	4,470	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 152 pharmacists and pharmacy assistants have been reported from more than one State or jurisdiction. That is, 4,318 Pharmacists and Pharmacy Assistants have one or more reports from one State or jurisdiction; 147 have one or more reports from two States or jurisdictions; and 5 Pharmacists and Pharmacy Assistants have one or more reports from three States or jurisdictions.

Table G6: Number of Reports Concerning Individuals with Reports by Report Type and State* -- Pharmacists and Pharmacy Assistants (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Judgment or Conviction	Total
Alabama	0	0	9	0	9
Alaska	20	0	1	0	21
Arizona	4	0	7	0	11
Arkansas	6	0	1	0	7
California	289	0	32	1	322
Colorado	109	0	4	0	113
Connecticut	49	0	3	4	56
Delaware	0	0	3	1	4
District of Columbia	0	0	1	0	1
Florida	248	0	19	4	271
Georgia	5	0	18	3	26
Hawaii	9	0	0	4	13
Idaho	0	0	1	0	1
Illinois	878	0	175	6	1059
Indiana	38	0	8	0	46
Iowa	0	0	2	3	5
Kansas	0	0	1	0	1
Kentucky	67	0	4	4	75
Louisiana	0	0	3	3	6
Maine	22	0	3	0	25
Maryland	58	0	13	7	78
Massachusetts					
Michigan					
Minnesota	0	0	3	0	3
Mississippi	89	0	4	0	93
Missouri	158	0	10	4	172
Montana	0	0	1	1	2
Nebraska	96	0	5	1	102
Nevada	15	0	5	3	23
New Hampshire	0	0	1	0	1
New Jersey	0	0	61	12	73
New Mexico	55	0	1	0	56
New York	303	0	73	58	434
North Carolina	2	1	7	1	11
North Dakota	2	0	0	0	2
Ohio	0	0	49	1	50
Oklahoma	0	1	1	0	2
Oregon	117	0	0	0	117
Pennsylvania	455	0	113	14	582
Rhode Island	0	0	7	0	7
South Carolina	6	0	6	5	17
South Dakota	0	0	0	0	0
Tennessee	0	0	14	2	16
Texas	264	0	56	4	324
Utah	91	0	8	0	99
Vermont	6	0	1	0	7
Virginia	1,064	0	13	0	1,077
Washington	187	0	1	0	188
West Virginia	0	0	3	1	4
Wisconsin	50	0	11	2	63
Wyoming	30	0	1	0	31
Total***	5,560	2	818	153	6,533
Percentage of All Reports for Pharmacists and Pharmacy Assistants	85.1%	0.0%	12.5%	2.3%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 5,725 (87.6 percent) reports for 3,956 practitioners (88.5 percent); Nuclear Pharmacists, 7 (0.1 percent) reports for seven practitioners (0.2 percent); Pharmacy Technicians (23 reports for 20 practitioners); and Pharmacy Assistants, 771 (10.7 percent) reports for 480 practitioners (10.7 percent).

*** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table G7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Pharmacists and Pharmacy Assistants
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	Pharmacists and Pharmacy Assistants with Specified Number of HIPDB Reports	Pharmacists and Pharmacy Assistants with Specified Number of State Licensure Action Reports	Pharmacists and Pharmacy Assistants with Specified Number of Federal Licensure & DEA Action Reports	Pharmacists and Pharmacy Assistants with Specified Number of Government Administrative Action Reports	Pharmacists and Pharmacy Assistants with Specified Number of Exclusion or Debarment Action Reports	Pharmacists and Pharmacy Assistants with Specified Number of Health Plan Action Reports	Pharmacists and Pharmacy Assistants with Specified Number of Judgment or Conviction Reports
1	3,085	3,137	0	2	590	0	0
2	954	726	0	0	78	0	0
3	284	182	0	0	17	0	0
4	90	57	0	0	4	0	0
5	33	18	0	0	1	0	0
6	14	6	0	0	0	0	0
7	4	3	0	0	0	0	0
8	3	4	0	0	0	0	0
9	3	2	0	0	0	0	0
Number of Pharmacists and Pharmacy Assistants with Reports	4,470	4,135	0	2	690	0	137
Number of Reports	6,533	5,560	0	2	818	0	153
Reports per Pharmacist and Pharmacy Assistant with Reports	1.46	1.34	N/A	1.00	1.19	N/A	1.12

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Reports for Pharmacists and Pharmacy Assistants include: Pharmacists, 5,725 (87.6 percent) reports for 3,956 practitioners (88.5 percent); Nuclear Pharmacists, 7 (0.1 percent) reports for seven practitioners (0.2 percent); Pharmacy Technicians (23 reports for 20 practitioners); and Pharmacy Assistants, 771 (10.7 percent) reports for 480 practitioners (10.7 percent).

**Table H1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	577	30.0%	352	57.6%	294	44.7%	330	42.2%	237	50.9%	1,790	40.3%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	0	0.0%	0	0.0%	0	0.0%	6	0.8%	3	0.6%	9	0.2%
Exclusion or Debarment Action	1,318	68.6%	233	38.1%	322	49.0%	374	47.8%	202	43.3%	2,449	55.2%
Health Plan Action	16	0.8%	15	2.5%	24	3.7%	49	6.3%	22	4.7%	126	2.8%
Judgment or Conviction	10	0.5%	11	1.8%	17	2.6%	23	2.9%	2	0.4%	63	1.4%
Total	1,921	100.0%	611	100.0%	657	100.0%	782	100.0%	466	100.0%	4,437	100.0%
Percentage of Cumulative Reports		43.3%		13.8%		14.8%		17.6%		10.5%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table H2: Reason for Action Taken* Concerning Individuals by Report Type -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	155	8.8%	2	20.0%	2,081	96.9%	92	62.2%	2,330	57.4%
Criminal Conviction or Adjudication	197	11.2%	7	70.0%	0	0.0%	20	13.5%	224	5.5%
Confidentiality, Consent or Disclosure Violations	1	0.1%	0	0.0%	0	0.0%	0	0.0%	1	0.0%
Misconduct or Abuse	469	26.8%	0	0.0%	0	0.0%	3	2.0%	472	11.6%
Fraud, Deception, or Misrepresentation	218	12.4%	0	0.0%	61	2.8%	8	5.4%	287	7.1%
Unsafe Practice or Substandard Care	114	6.5%	0	0.0%	0	0.0%	1	0.7%	115	2.8%
Improper Supervision or Allowing Unlicensed Practice	3	0.2%	0	0.0%	0	0.0%	0	0.0%	3	0.1%
Improper Prescribing, Dispensing, Administering Medication	5	0.3%	0	0.0%	0	0.0%	0	0.0%	5	0.1%
Other (Not Classified)	591	33.7%	1	10.0%	6	0.3%	24	16.2%	622	15.3%
Total Reason for Action Mentioned	1,753	100.0%	10	100.0%	2,148	100.0%	148	100.0%	4,059	100.0%
Total Number of Reports	1,790		9		2,449		126		4,374	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 41 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999

Table H3: Type of Action Mentioned* Concerning Individuals -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
Adverse Actions			
State Licensure Action			
Revocation of License	255	5.8%	6.3%
Probation of License	501	11.4%	12.3%
Suspension of License	330	7.5%	8.1%
Reprimand or Censure	210	4.8%	5.2%
Voluntary Surrender of License	73	1.7%	1.8%
Reprimand, Censure, Vountary Surrender	25	0.6%	0.6%
Limitation or Restriction on License/Practice	15	0.3%	0.4%
Denial of License (Renewal Only)	31	0.7%	0.8%
Administrative Fine/Monetary Penalty	52	1.2%	1.3%
Administrative Fine/Monetary Penalty and Other Action	13	0.3%	0.3%
License Reinstatement Denied	9	0.2%	0.2%
Extension of Previous Licensure Action	20	0.5%	0.5%
Other Licensure Action	151	3.4%	3.7%
Government Administrative Action			
Other Action (Not Classified)	9	0.2%	0.2%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	382	8.7%	9.4%
Exclusion from a State Health Care Program	152	3.5%	3.7%
Exclusion from Medicare, Medicaid, and Other Federal Programs	1,073	24.4%	26.4%
Exclusion from Medicare & State Health Care Programs	350	8.0%	8.6%
Health Plan Action			
Contract Termination	121	2.8%	3.0%
Suspension of Contract	4	0.1%	0.1%
Total Adverse Actions	3,776	86.0%	93.0%
Non-Adverse Actions			
State Licensure Action			
Licensure Completely or Partially Restored or Reinstated	111	2.5%	2.7%
Reduction of Previous Licensure Action	11	0.3%	0.3%
Exclusion or Debarment Action			
Reinstatement	492	11.2%	12.1%
Health Plan Action			
Reinstatement	1	0.0%	0.0%
Total Non-Adverse Actions	615	14.0%	15.2%
Total Actions Mentioned	4,391	100.0%	
Total Reports	4,059	108.2%	100.0%

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The number of reasons listed may not equal the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table H4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	Number of Reports for Chiropractors	Number of Chiropractors with Reports	Number of Reports Per Chiropractor with Reports
Alabama	48	36	1.33
Alaska	9	7	1.29
Arizona	159	129	1.23
Arkansas	19	15	1.27
California	808	634	1.27
Colorado	208	149	1.40
Connecticut	29	20	1.45
Delaware	1	1	1.00
District of Columbia	1	1	1.00
Florida	234	195	1.20
Georgia	139	124	1.12
Hawaii	10	8	1.25
Idaho	30	19	1.58
Illinois	249	169	1.47
Indiana	59	27	2.19
Iowa	42	33	1.27
Kansas	67	46	1.46
Kentucky	28	19	1.47
Louisiana	24	16	1.50
Maine	12	12	1.00
Maryland	23	20	1.15
Massachusetts	98	67	1.46
Michigan	294	174	1.69
Minnesota	113	62	1.82
Mississippi	34	22	1.55
Missouri	115	88	1.31
Montana	17	14	1.21
Nebraska	19	12	1.58
Nevada	32	21	1.52
New Hampshire	8	6	1.33
New Jersey	133	84	1.58
New Mexico	9	7	1.29
New York	165	137	1.20
North Carolina	28	23	1.22
North Dakota	9	5	1.80
Ohio	69	47	1.47
Oklahoma	35	28	1.25
Oregon	113	72	1.57
Pennsylvania	230	138	1.67
Rhode Island	7	5	1.40
South Carolina	31	19	1.63
South Dakota	5	4	1.25
Tennessee	59	35	1.69
Texas	309	184	1.68
Utah	32	24	1.33
Vermont	5	3	1.67
Virginia	87	51	1.71
Washington	114	81	1.41
West Virginia	7	5	1.40
Wisconsin	53	41	1.29
Wyoming	0	0	0.00
Total**	4,437	3,146	1.41

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Seven reports for 6 chiropractors lack information about State. A total of 98 practitioners have been reported from more than one State or jurisdiction.

**Table H5: Number of Individuals with One or More Reports from the Specified Number of States* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of States	Number of Practitioners	Percent
1	2,943	96.8%
2	92	3.0%
3	5	0.2%
4	1	0.0%
Total**	3,041	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 98 chiropractors have reports from more than one State or jurisdiction. That is, 2,943 have one or more reports from one State or jurisdiction; 92 practitioners have reports from 2 States or jurisdictions; 5 practitioners have reports from 3 States or jurisdictions; and 1 practitioner has one or more reports from four States or jurisdictions.

**Table H6: Number of Reports Concerning Individuals by Report Type and State* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	31	0	17	0	0	48
Alaska	5	0	4	0	0	9
Arizona	91	0	65	2	1	159
Arkansas	6	0	13	0	0	19
California	245	0	524	31	8	808
Colorado	150	0	53	5	0	208
Connecticut	9	0	20	0	0	29
Delaware	0	0	0	1	0	1
District of Columbia	1	0	0	0	0	1
Florida	81	0	145	6	2	234
Georgia	27	0	111	0	1	139
Hawaii	4	0	6	0	0	10
Idaho	12	0	18	0	0	30
Illinois	178	0	69	0	2	249
Indiana	44	0	13	0	2	59
Iowa	21	0	20	0	1	42
Kansas	20	0	47	0	0	67
Kentucky	17	0	11	0	0	28
Louisiana	10	0	12	0	2	24
Maine	4	0	8	0	0	12
Maryland	9	0	12	1	1	23
Massachusetts	40	0	47	11	0	98
Michigan	185	0	72	25	12	294
Minnesota	57	0	49	7	0	113
Mississippi	21	0	13	0	0	34
Missouri	48	0	61	3	3	115
Montana	11	0	6	0	0	17
Nebraska	10	0	9	0	0	19
Nevada	15	0	16	1	0	32
New Hampshire	2	0	6	0	0	8
New Jersey	36	0	96	0	1	133
New Mexico	0	0	9	0	0	9
New York	65	0	97	2	1	165
North Carolina	2	0	26	0	0	28
North Dakota	0	0	9	0	0	9
Ohio	0	0	56	5	8	69
Oklahoma	5	0	29	1	0	35
Oregon	56	0	57	0	0	113
Pennsylvania	93	0	127	3	7	230
Rhode Island	2	0	5	0	0	7
South Carolina	15	0	15	0	1	31
South Dakota	3	0	2	0	0	5
Tennessee	20	0	30	9	0	59
Texas	17	9	275	4	4	309
Utah	8	0	23	1	0	32
Vermont	0	0	5	0	0	5
Virginia	59	0	21	3	4	87
Washington	31	0	76	5	2	114
West Virginia	1	0	6	0	0	7
Wisconsin	23	0	30	0	0	53
Wyoming	0	0	0	0	0	0
Total**	1,790	9	2,449	126	63	4,437
Percentage of All Reports for Chiropractors	40.3%	0.2%	55.2%	2.8%	1.4%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Seven reports for 6 chiropractors lack information for State.

**Table H7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Chiropractors
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports*	Chiropractors with Specified Number of HIPDB Reports	Chiropractors with Specified Number of State Licensure Action Reports	Chiropractors with Specified Number of Federal Licensure & DEA Action Reports	Chiropractors with Specified Number of Government Administrative Action Reports	Chiropractors with Specified Number of Exclusion or Debarment Action Reports	Chiropractors with Specified Number of Health Plan Action Reports	Chiropractors with Specified Number of Judgments or Conviction Reports
1	2,015	1,057	0	9	1,319	102	61
2	789	234	0	0	489	9	1
3	156	46	0	0	34	2	0
4	49	17	0	0	11	0	0
5	19	7	0	0	0	0	0
6	9	4	0	0	1	0	0
7	1	0	0	0	0	0	0
8	3	0	0	0	0	0	0
Number of Chiropractors with Reports	3,041	1,365	0	9	1,854	113	62
Number of Reports	4,437	1,790	0	9	2,449	126	63
Reports per Chiropractor with Reports	1.46	1.31	0.00	1.00	1.32	1.12	1.02

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table I1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* --
Psychology-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	368	59.0%	152	70.4%	150	73.2%	159	75.0%	130	74.3%	959	67.0%
Federal Licensure & DEA	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	1	0.2%	1	0.5%	0	0.0%	0	0.0%	0	0.0%	2	0.1%
Exclusion or DebarmentAction	238	38.1%	55	25.5%	49	23.9%	41	19.3%	27	15.4%	410	28.6%
Health Plan Action	0	0.0%	2	0.9%	5	2.4%	9	4.2%	17	9.7%	33	2.3%
Judgment or Conviction	17	2.7%	6	2.8%	1	0.5%	3	1.4%	1	0.6%	28	2.0%
Total	624	100.0%	216	100.0%	205	32.9%	212	100.0%	175	100.0%	1,432	100.0%
Percentage of Cumulative Reports		43.6%		15.1%		14.3%		14.8%		12.2%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table 12: Reason for Action Taken* Concerning Individuals by Report Type -- Psychology-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	98	8.9%	0	0.0%	0	0.0%	278	81.0%	22	68.8%	398	27.1%
Criminal Conviction or Adjudication	69	6.3%	0	0.0%	0	0.0%	0	0.0%	1	3.1%	70	4.8%
Confidentiality, Consent or Disclosure Violations	3	0.3%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.2%
Misconduct or Abuse	324	29.6%	0	0.0%	0	0.0%	0	0.0%	2	6.3%	326	22.2%
Fraud, Deception, or Misrepresentation	50	4.6%	0	0.0%	2	10.0%	62	18.1%	2	6.3%	116	7.9%
Unsafe Practice or Substandard Care	186	17.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	186	12.7%
Improper Supervision or Allowing Unlicensed Practice	3	0.3%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.2%
Improper Prescribing, Dispensing, Administering Medication	5	0.5%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	5	0.3%
Other (Not Classified)	357	32.6%	0	0.0%	0	0.0%	3	0.9%	5	15.6%	365	24.8%
Total Reasons for Action Mentioned	1,095	100.0%	0	0.0%	2	100.0%	343	100.0%	32	100.0%	1,470	100.0%
Total Reports	959		0		2		410		33		1,432	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 34 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table I3: Type of Action Taken* Concerning Individuals -- Psychology-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
Adverse Actions			
State Licensure Action			
Revocation of License	112	7.9%	7.8%
Probation of License	198	14.0%	13.8%
Suspension of License	155	11.0%	10.8%
Reprimand or Censure	112	7.9%	7.8%
Voluntary Surrender of License	94	6.6%	6.6%
Reprimand, Censure, Voluntary Surrender	25	1.8%	1.7%
Limitation or Restriction on License/Practice	40	2.8%	2.8%
Denial of License	8	0.6%	0.6%
Denial of Initial License (HIPDB only)	14	1.0%	1.0%
Administrative Fine/Monetary Penalty	27	1.9%	1.9%
Administrative Fine/Monetary Penalty and Oother Action	8	0.6%	0.6%
License Reinstatement Denied	8	0.6%	0.6%
Extension of Previous Licensure Action	17	1.2%	1.2%
Other Licensure Action	66	4.7%	4.6%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	77	5.4%	5.4%
Exclusion from a State Health Care Program	24	1.7%	1.7%
Exclusion from Medicare, Medicaid, and Other Federal Programs	223	15.8%	15.6%
Exclusion from Medicare & State Health Care Programs	43	3.0%	3.0%
Government Administrative Action			
Voluntary Surrender while Under Investigation	1	0.1%	0.1%
Administrative Fine/Monetary Penalty	1	0.1%	0.1%
Health Plan Actions			
Contract Termination	28	2.0%	2.0%
Suspension of Contract	3	0.2%	0.2%
Denial of Contract Application or Renewal	1	0.1%	0.1%
Extension of Previous Action	1	0.1%	0.1%
Total Adverse Actions	1,286	90.9%	89.8%
Non-Adverse Actions			
State Licensure Action*			
License Completely or Partially Restored or Reinstated	69	4.9%	4.8%
Reduction of Previous Action	17	1.2%	1.2%
Exclusion or Debarment Action			
Reinstatement	43	3.0%	3.0%
Total Non-Adverse Actions	129	9.1%	9.0%
Total Actions Mentioned	1,415	100.0%	
Total Reports	1,432		100.0%

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table 14: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Psychology-related Practitioners.
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Number of Reports for Psychology-related Practitioners	Number of Psychology-related Practitioners with Reports	Number of Reports per Psychology-Related Practitioner with Reports
Alabama	12	6	2.00
Alaska	5	5	1.00
Arizona	60	45	1.33
Arkansas	5	4	1.25
California	325	232	1.40
Colorado	33	31	1.06
Connecticut	39	22	1.77
Delaware	0	0	0.00
District of Columbia	1	1	1.00
Florida	28	25	1.12
Georgia	11	10	1.10
Hawaii	3	3	1.00
Idaho	0	0	0.00
Illinois	86	56	1.54
Indiana	17	13	1.31
Iowa	18	14	1.29
Kansas	6	4	1.50
Kentucky	2	2	1.00
Louisiana	8	3	2.67
Maine	11	11	1.00
Maryland	7	7	1.00
Massachusetts	42	26	1.62
Michigan	127	81	1.57
Minnesota	69	45	1.53
Mississippi	2	1	2.00
Missouri	5	3	1.67
Montana	4	4	1.00
Nebraska	10	5	2.00
Nevada	4	3	1.33
New Hampshire	3	3	1.00
New Jersey	34	29	1.17
New Mexico	11	11	1.00
New York	78	64	1.22
North Carolina	3	2	1.50
North Dakota	2	2	1.00
Ohio	61	47	1.30
Oklahoma	0	2	0.00
Oregon	0	0	0.00
Pennsylvania	100	78	1.28
Rhode Island	0	0	0.00
South Carolina	3	2	1.50
South Dakota	6	6	1.00
Tennessee	38	26	1.46
Texas	18	15	1.20
Utah	28	18	1.56
Vermont	7	7	1.00
Virginia	33	23	1.43
Washington	31	27	1.15
West Virginia	1	1	1.00
Wisconsin	29	24	1.21
Wyoming	3	2	1.50
Total**	1,432	1,051	1.36

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 39 practitioners have been reported from more than one State or jurisdiction.

**Table I5: Number of Individuals with One or More Reports from the Specified Number of States* -- Psychology-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of States	Number of Practitioners	Percent
1	970	96.1%
2	36	3.6%
3	3	0.3%
Total**	1,009	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 39 psychology-related practitioners have been reported from more than one State or jurisdiction. That is, 970 practitioners have one or more reports from one State or jurisdiction; 36 practitioners have reports from two States or jurisdictions; and three practitioners have reports from three States or jurisdictions.

Table 16: Number of Reports Concerning Individuals by Report Type and State* -- Psychology-related Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	10	0	1	1	0	12
Alaska	4	0	1	0	0	5
Arizona	50	0	9	1	0	60
Arkansas	3	0	1	0	1	5
California	185	0	133	4	3	325
Colorado	29	0	4	0	0	33
Connecticut	29	0	9	0	1	39
Delaware	0	0	0	0	0	0
District of Columbia	1	0	0	0	0	1
Florida	20	0	8	0	0	28
Georgia	3	0	7	0	1	11
Hawaii	2	0	1	0	0	3
Idaho	0	0	0	0	0	0
Illinois	67	0	17	0	2	86
Indiana	14	0	3	0	0	17
Iowa	14	0	4	0	0	18
Kansas	2	0	3	0	1	6
Kentucky	0	0	2	0	0	2
Louisiana	8	0	0	0	0	8
Maine	4	0	7	0	0	11
Maryland	3	0	3	1	0	7
Massachusetts	29	0	8	5	0	42
Michigan	106	0	18	3	0	127
Minnesota	37	0	19	8	5	69
Mississippi	0	0	2	0	0	2
Missouri	0	0	2	0	3	5
Montana	4	0	0	0	0	4
Nebraska	9	0	0	1	0	10
Nevada	1	0	3	0	0	4
New Hampshire	0	0	2	1	0	3
New Jersey	27	0	6	1	0	34
New Mexico	9	0	2	0	0	11
New York	49	0	22	0	7	78
North Carolina	0	0	3	0	0	3
North Dakota	2	0	0	0	0	2
Ohio	42	0	18	1	0	61
Oklahoma	0	0	3	0	0	3
Oregon	0	0	0	0	0	0
Pennsylvania	73	0	26	0	1	100
Rhode Island	0	0	0	0	0	0
South Carolina	0	0	3	0	0	3
South Dakota	5	0	1	0	0	6
Tennessee	31	0	7	0	0	38
Texas	0	0	17	0	1	18
Utah	24	0	4	0	0	28
Vermont	0	0	7	0	0	7
Virginia	27	0	4	2	0	33
Washington	15	2	11	3	0	31
West Virginia	0	0	1	0	0	1
Wisconsin	18	0	8	1	2	29
Wyoming	3	0	0	0	0	3
Total**	959	2	410	33	28	1,432
Percentage of All Reports for Psychology-related Practitioners	67.0%	0.1%	28.6%	2.3%	2.0%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table 17: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Psychology-related Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Number of Reports	Psychology-related Practitioners with Specified Number of HIPDB Reports	Psychology-related Practitioners with Specified Number of State Licensure Action Reports	Psychology-related Practitioners: with Specified Number of Federal Licensure & DEA Action Reports	Psychology-related Practitioners with Specified Number of Government Administrative Action Reports	Psychology-related Practitioners with Specified Number of Exclusion or Debarment Action Reports	Psychology-related Practitioners with Specified Number of Health Plan Action Reports	Psychology-related Practitioners with Specified Number of Judgment or Conviction Reports
1	682	609	0	2	311	27	26
2	254	128	0	0	42	3	1
3	55	22	0	0	5	0	0
4	15	3	0	0	0	0	0
5	1	2	0	0	0	0	0
6	2	1	0	0	0	0	0
Number of Psychology-related Practitioners with Reports	1,009	765	0	2	358	30	27
Number of Reports	1,432	959	0	2	410	33	28
Reports per Psychology-related Individual with Reports	1.42	1.25	0.00	1.00	1.15	1.10	1.04

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table J1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Report Type	Prior to December 31, 1999**		1999		2000		2001		2002		Cumulative	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	328	89.6%	165	97.6%	193	93.7%	201	95.3%	187	93.5%	1,074	93.2%
Federal Licensure & DEA Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	4	1.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	0.3%
Exclusion or Debarment Action	20	5.5%	0	0.0%	4	1.9%	4	1.9%	2	1.0%	30	2.6%
Health Plan Action	2	0.5%	2	1.2%	1	0.5%	6	2.8%	9	4.5%	20	1.7%
Judgment or Conviction	12	3.3%	2	1.2%	8	3.9%	0	0.0%	2	1.0%	24	2.1%
Total	366	100.0%	169	100.0%	206	100.0%	211	100.0%	200	100.0%	1,152	100.0%
Percentage of Cumulative Reports		31.8%		14.7%		17.9%		18.3%		17.4%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2001, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table J2: Reason for Action Taken* Concerning Individuals by Report Type -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	63	5.7%	0	0.0%	13	76.5%	15	71.4%	91	7.9%
Criminal Conviction or Adjudication	76	6.8%	0	0.0%	0	0.0%	2	9.5%	78	6.7%
Misconduct or Abuse	406	36.5%	5	62.5%	0	0.0%	3	14.3%	414	35.8%
Fraud, Deception, or Misrepresentation	56	5.0%	0	0.0%	4	23.5%	0	0.0%	60	5.2%
Unsafe Practice or Substandard Care	135	12.2%	1	12.5%	0	0.0%	0	0.0%	136	11.8%
Improper Supervision or Allowing Unlicensed Practice	1	0.1%	0	0.0%	0	0.0%	0	0.0%	1	0.1%
Other (Not Classified)	374	33.7%	2	25.0%	0	0.0%	1	4.8%	377	32.6%
Total Reasons for Action Mentioned	1,111	100.0%	8	100.0%	17	100.0%	21	100.0%	1,157	100.0%
Total Reports	1,072		4		30		20		1,152	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 56 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table J3: Type of Action Taken* Concerning Individuals -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
Adverse Actions			
State Licensure Action			
Revocation of License	108	9.6%	9.4%
Probation of License	230	20.4%	20.0%
Suspension of License	146	12.9%	12.7%
Reprimand or Censure	180	16.0%	15.6%
Voluntary Surrender of License	84	7.4%	7.3%
Reprimand, Censure, or Voluntary Surrender	42	3.7%	3.6%
Limitation or Restriction on License/ Practice	32	2.8%	2.8%
Denial of License (Renewal Only)	16	1.4%	1.4%
Denial of Initial License (HIPDB Only)	39	3.5%	3.4%
Administrative Fine/Monetary Penalty	29	2.6%	2.5%
Administrative Fine/Monetary Penalty and Other Action	9	0.8%	0.8%
License Reinstatement Denied	3	0.3%	0.3%
Extension of Previous Licensure Action	17	1.5%	1.5%
Other Licensure Action	88	7.8%	7.6%
Government Administrative Action			
Other Action (Not Classified)	4	0.4%	0.3%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	18	1.6%	1.6%
Exclusion from a State Health Care Program	6	0.5%	0.5%
Exclusion from Medicare, Medicaid, and Other Federal Programs	4	0.4%	0.3%
Exclusion from Medicare & State Health Care Programs	2	0.2%	0.2%
Health Plan Action			
Contract Termination	19	1.7%	1.6%
Denial of Contract Application or Renewal	1	0.1%	0.1%
Total Adverse Actions	1,077	95.5%	93.5%
Non-Adverse Actions			
State Licensure Action			
Licensure Completely or Partially Restored or Reinstated	47	4.2%	4.1%
Reduction of Previous Licensure Action	4	0.4%	0.3%
Total Non-Adverse Actions	51	4.5%	4.4%
Total Actions Mentioned	1,128	100.0%	
Total Reports	1,152		100.0%

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports filed.

** This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table J4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Number of Reports for Social Workers	Number of Social Workers with Reports	Number of Reports Per Social Worker with Reports
Alabama	4	4	1.00
Alaska	7	6	1.17
Arizona	72	70	1.03
Arkansas	9	7	1.29
California	29	27	1.07
Colorado	36	34	1.06
Connecticut	18	12	1.50
Delaware	7	7	1.00
District of Columbia	0	0	0.00
Florida	25	22	1.14
Georgia	3	3	1.00
Hawaii	0	0	0.00
Idaho	4	4	1.00
Illinois	136	110	1.24
Indiana	5	3	1.67
Iowa	13	13	1.00
Kansas	10	10	1.00
Kentucky	0	0	0.00
Louisiana	17	10	1.70
Maine	28	28	1.00
Maryland	30	17	1.76
Massachusetts	37	33	1.12
Michigan	59	46	1.28
Minnesota	90	52	1.73
Mississippi	3	3	1.00
Missouri	5	4	1.25
Montana	0	0	0.00
Nebraska	8	4	2.00
Nevada	15	15	1.00
New Hampshire	7	7	1.00
New Jersey	22	18	1.22
New Mexico	8	8	1.00
New York	91	84	1.08
North Carolina	12	10	1.20
North Dakota	4	4	1.00
Ohio	34	33	1.03
Oklahoma	0	0	0.00
Oregon	23	18	1.28
Pennsylvania	69	62	1.11
Rhode Island	0	0	0.00
South Carolina	14	9	1.56
South Dakota	2	2	1.00
Tennessee	14	14	1.00
Texas	17	17	1.00
Utah	81	58	1.40
Vermont	2	2	1.00
Virginia	39	27	1.44
Washington	5	4	1.25
West Virginia	3	1	3.00
Wisconsin	35	35	1.00
Wyoming	0	0	0.00
Total**	1,152	957	1.20

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 19 Social Workers have reports from more than one State or jurisdiction.

Table J5: Number of Individuals with One or More Reports from the Specified Number of States* -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Number of States	Number of Practitioners	Percent
1	918	98.0%
2	18	1.9%
3	1	0.1%
Total**	937	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 throughd 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 19 social workers have reports from more than one State or jurisdiction. That is, 918 Social Workers have one or more reports from one State or jurisdiction; 18 practitioners have reports from two States or jurisdictions; and one practitioner has reports from three States or jurisdictions.

**Table J6: Number of Reports Concerning Individuals by Report Type and State* -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Government Administrative Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	4	0	0	0	0	0
Alaska	7	0	0	0	0	7
Arizona	69	3	0	0	0	72
Arkansas	9	0	0	0	0	9
California	28	0	0	1	0	29
Colorado	34	0	1	0	1	36
Connecticut	18	0	0	0	0	18
Delaware	6	0	1	0	0	7
District of Columbia	0	0	0	0	0	0
Florida	22	0	1	1	1	25
Georgia	3	0	0	0	0	3
Hawaii	0	0	0	0	0	0
Idaho	4	0	0	0	0	4
Illinois	136	0	0	0	0	136
Indiana	5	0	0	0	0	5
Iowa	12	0	1	0	0	13
Kansas	10	0	0	0	0	10
Kentucky	0	0	0	0	0	0
Louisiana	16	0	0	1	0	17
Maine	28	0	0	0	0	28
Maryland	23	0	2	4	1	30
Massachusetts	32	0	4	1	0	37
Michigan	53	0	2	3	1	59
Minnesota	86	0	0	1	3	90
Mississippi	1	0	2	0	0	3
Missouri	0	0	1	1	3	5
Montana	0	0	0	0	0	0
Nebraska	7	0	1	0	0	8
Nevada	14	0	0	0	1	15
New Hampshire	6	0	1	0	0	7
New Jersey	20	0	2	0	0	22
New Mexico	8	0	0	0	0	8
New York	82	0	1	1	7	91
North Carolina	11	0	1	0	0	12
North Dakota	4	0	0	0	0	4
Ohio	33	0	0	0	1	34
Oklahoma	0	0	0	0	0	0
Oregon	23	0	0	0	0	23
Pennsylvania	60	1	5	1	2	69
Rhode Island	0	0	0	0	0	0
South Carolina	14	0	0	0	0	14
South Dakota	2	0	0	0	0	2
Tennessee	14	0	0	0	0	14
Texas	17	0	0	0	0	17
Utah	80	0	1	0	0	81
Vermont	2	0	0	0	0	2
Virginia	32	0	1	5	1	39
Washington	5	0	0	0	0	5
West Virginia	0	0	1	0	2	3
Wisconsin	34	0	1	0	0	35
Wyoming	0	0	0	0	0	0
Total**	1,074	4	30	20	24	1,152
Percentage of All Reports for Social Workers	93.2%	0.3%	2.6%	1.7%	2.1%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

**Table J7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Social Workers
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of Reports	Social Workers with Specified Number of HIPDB Reports	Social Workers with Specified Number of State Licensure Action Reports	Social Workers with Specified Number of Federal Licensure & DEA Action Reports	Social Workers with Specified Number of Government Administrative Action Reports	Social Workers with Specified Number of Exclusion or Debarment Action Reports	Social Workers with Specified Number of Health Plan Action Reports	Social Workers with Specified Number of Judgments or Conviction Reports
1	774	753	0	4	30	18	16
2	125	110	0	0	0	1	4
3	27	25	0	0	0	0	20
4	8	4	0	0	0	0	0
5	3	2	0	0	0	0	0
Number of Social Workers with Reports	937	894	0	4	30	19	20
Number of Reports	1,152	1,074	0	4	30	20	24
Reports per Social Worker with Reports	1.23	1.20	0.00	1.00	1.00	1.00	1.20

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table K1: Number of Reports Concerning Individuals by Report Type and Year Adverse Action Taken* -- Optical-related Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Report Type	Prior to January 1, 1999**		1999		2000		2001		2002		Cumulative through 2002	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
State Licensure Action	405	83.3%	121	82.9%	116	77.3%	84	71.2%	76	79.2%	802	80.5%
Federal Licensure & DEA	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Government Administrative Action	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Exclusion or Debarment Action	74	15.2%	19	13.0%	23	15.3%	29	24.6%	18	18.8%	163	16.4%
Health Plan Action	1	0.2%	0	0.0%	2	1.3%	3	2.5%	2	2.1%	8	0.8%
Judgment or Conviction	6	1.2%	6	4.1%	9	6.0%	2	1.7%	0	0.0%	23	2.3%
Total	486	100.0%	146	100.0%	150	100.0%	118	100.0%	96	100.0%	996	100.0%
Percentage of Cumulative Reports		48.8%		14.7%		15.1%		11.8%		9.6%		100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** Year adverse action taken refers to the year the entity took the action, not the year the action was reported to the HIPDB. The law establishing the HIPDB was enacted on August 21, 1996. Actions taken after this date must be reported to the HIPDB.

Table K2: Reason for Action Taken* Concerning Individuals by Report Type -- Optical-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Reason for Action*	State Licensure Action		Federal Licensure & DEA Action		Government Administrative Action		Exclusion or Debarment Action		Health Plan Action		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Non-Compliance with Federal, State, or Contractual Requirements	61	7.9%	0	N/A	0	N/A	85	73.3%	7	63.6%	153	17.1%
Criminal Conviction or Adjudication	24	3.1%	0	N/A	0	N/A	0	0.0%	0	0.0%	24	2.7%
Misconduct or Abuse	95	12.4%	0	N/A	0	N/A	0	0.0%	1	9.1%	96	10.7%
Fraud, Deception, or Misrepresentation	154	20.0%	0	N/A	0	N/A	31	26.7%	0	0.0%	185	20.6%
Unsafe Practice or Substandard Care	59	7.7%	0	N/A	0	N/A	0	0.0%	1	9.1%	60	6.7%
Improper Supervision or Allowing Unlicensed Practice	1	0.1%	0	N/A	0	N/A	0	0.0%	0	0.0%	1	0.1%
Improper Prescribing, Dispensing, Administering Medication	3	0.4%	0	N/A	0	N/A	0	0.0%	0	0.0%	3	0.3%
Other (Not Classified)	372	48.4%	0	N/A	0	N/A	0	0.0%	2	18.2%	374	41.7%
Total Reasons for Action Mentioned	769	100.0%	0	N/A	0	N/A	116	100.0%	11	100.0%	896	100.0%
Total Reports	802		0		0		163		8		973	

* Respondents were allowed to indicate as many as five reasons for action for each report. The data presented take into account multiple responses. The total number of reasons for action excludes 75 reports for which a reason for action was not required to be included in the submitted report. The number of reasons listed may not equal the number of reports filed.

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table K3: Type of Action Taken* Concerning Individuals -- Optical-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Type of Action*	Number Of Actions Mentioned	Percent of Total Actions Mentioned	Percent of Total Reports
Adverse Actions			
State Licensure Action			
Revocation of License	37	3.8%	3.8%
Probation of License	100	10.3%	10.3%
Suspension of License	71	7.3%	7.3%
Reprimand or Censure	215	22.1%	22.1%
Voluntary Surrender of License	18	1.8%	1.8%
Limitation or Restriction on License/Practice	63	6.5%	6.5%
Reprimand, Censure, Voluntary Surrender	8	0.8%	0.8%
Denial of License	6	0.6%	0.6%
Denial of Initial License	1	0.1%	0.1%
Administrative Fine/Monetary Penalty	164	16.8%	16.9%
Administrative Fine/Monetary Penalty and Other Action	6	0.6%	0.6%
Publicly Available Negative Action or Finding	1	0.1%	0.1%
License Reinstatement Denied	3	0.3%	0.3%
Extension of Previous Licensure Action	8	0.8%	0.8%
Other Licensure Action	77	7.9%	7.9%
Exclusion or Debarment Action			
Exclusion from Federal Health Care Program	10	1.0%	1.0%
Exclusion from a State Health Care Program	26	2.7%	2.7%
Exclusion from Medicare, Medicaid, and Other Federal Programs	75	7.7%	7.7%
Exclusion from Medicare & State Health Care Programs	19	1.9%	2.0%
Health Plan Actions			
Contract Termination	8	0.8%	0.8%
Total Adverse Actions	916	93.9%	94.1%
Non-Adverse Actions			
State Licensure Action*			
License Completely or Partially Restored or Reinstated	24	2.5%	2.5%
Reduction of Previous Action	2	0.2%	0.2%
Exclusion or Debarment Action			
Reinstatement	33	3.4%	3.4%
Total Non-Adverse Actions	59	6.1%	6.1%
Total Types of Actions Mentioned**	975	100.0%	
Total Reports	973		100.0%

* Respondents were allowed to indicate as many as five types of actions for each report. The data presented take into account these multiple responses. The number of actions listed may be more than the number of reports filed.

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table K4: Number of Reports Concerning Individuals, Individuals with Reports, and Reports per Individual with Reports by State* -- Optical-related Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Number of Reports for Optical-related Practitioners	Number of Optical-related Practitioners with Reports	Number of Reports per Optical-related Practitioner with Reports
Alabama	5	5	1.00
Alaska	5	4	1.25
Arizona	12	12	1.00
Arkansas	2	2	1.00
California	29	26	1.12
Colorado	25	21	1.19
Connecticut	10	6	1.67
Delaware	0	0	0.00
District of Columbia	4	3	1.33
Florida	66	53	1.25
Georgia	6	6	1.00
Hawaii	0	0	0.00
Idaho	1	1	1.00
Illinois	194	169	1.15
Indiana	5	5	1.00
Iowa	0	0	0.00
Kansas	0	0	0.00
Kentucky	6	6	1.00
Louisiana	5	3	1.67
Maine	0	0	0.00
Maryland	4	3	1.33
Massachusetts	13	9	1.44
Michigan	47	31	1.52
Minnesota	1	1	1.00
Mississippi	0	0	0.00
Missouri	7	7	1.00
Montana	5	3	1.67
Nebraska	2	1	2.00
Nevada	19	13	1.46
New Hampshire	0	0	0.00
New Jersey	161	99	1.63
New Mexico	2	1	2.00
New York	30	21	1.43
North Carolina	1	1	1.00
North Dakota	0	0	0.00
Ohio	7	7	1.00
Oklahoma	1	1	1.00
Oregon	14	10	1.40
Pennsylvania	72	44	1.64
Rhode Island	0	0	0.00
South Carolina	0	0	0.00
South Dakota	0	0	0.00
Tennessee	45	35	1.29
Texas	33	23	1.43
Utah	9	6	1.50
Vermont	0	0	0.00
Virginia	120	65	1.85
Washington	14	10	1.40
West Virginia	3	3	1.00
Wisconsin	2	2	1.00
Wyoming	0	0	0.00
Total**	996	726	1.37

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. Twelve optical-related practitioners have been reported from more than one State or jurisdiction.

**Table K5: Number of Individuals with One or More Reports from the Specified Number of States* -- Optical-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Number of States	Number of Practitioners	Percent
1	701	98.3%
2	11	1.5%
3	1	0.1%
Total**	713	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas. A total of 12 optical-related practitioners have been reported from more than one State or jurisdiction. That is, 701 optical-related practitioners have one or more reports from one State or jurisdiction; eleven practitioners have reports from two States or jurisdictions; and one practitioner has reports from three States or jurisdictions.

**Table K6: Number of Reports Concerning Individuals by Report Type and State* -- Optical-related Practitioners
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	State Licensure Action	Exclusion or Debarment Action	Health Plan Action	Judgment or Conviction	Total
Alabama	4	1	0	0	5
Alaska	4	1	0	0	5
Arizona	12	0	0	0	12
Arkansas	0	1	0	1	2
California	18	11	0	0	29
Colorado	24	1	0	0	25
Connecticut	9	0	0	1	10
Delaware	0	0	0	0	0
District of Columbia	0	4	0	0	4
Florida	57	8	0	1	66
Georgia	3	3	0	0	6
Hawaii	0	0	0	0	0
Idaho	0	1	0	0	1
Illinois	186	8	0	0	194
Indiana	2	3	0	0	5
Iowa	0	0	0	0	0
Kansas	0	0	0	0	0
Kentucky	2	2	0	2	6
Louisiana	1	2	1	1	5
Maine	0	0	0	0	0
Maryland	0	4	0	0	4
Massachusetts	5	6	2	0	13
Michigan	43	4	0	0	47
Minnesota	0	0	1	0	1
Mississippi	0	0	0	0	0
Missouri	6	1	0	0	7
Montana	4	0	0	1	5
Nebraska	2	0	0	0	2
Nevada	18	1	0	0	19
New Hampshire	0	0	0	0	0
New Jersey	150	11	0	0	161
New Mexico	1	1	0	0	2
New York	13	14	0	3	30
North Carolina	1	0	0	0	1
North Dakota	0	0	0	0	0
Ohio	3	4	0	0	7
Oklahoma	0	1	0	0	1
Oregon	11	3	0	0	14
Pennsylvania	35	25	1	11	72
Rhode Island	0	0	0	0	0
South Carolina	0	0	0	0	0
South Dakota	0	0	0	0	0
Tennessee	40	4	1	0	45
Texas	15	17	0	1	33
Utah	5	4	0	0	9
Vermont	0	0	0	0	0
Virginia	115	4	0	1	120
Washington	11	3	0	0	14
West Virginia	0	1	2	0	3
Wisconsin	2	0	0	0	2
Wyoming	0	0	0	0	0
Total**	802	163	8	23	996
Percentage of All Reports for Optical-related Practitioners	80.5%	16.4%	0.8%	2.3%	100.0%

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas.

Table K7: Number of Individuals with Reports and Reports for Each Individual by Report Type* -- Optical-related Practitioners (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Number of Reports	Optical-related Practitioners with Specified Number of HIPDB Reports	Optical-related Practitioners with Specified Number of State Licensure Action Reports	Optical-related Practitioners with Specified Number of Federal Licensure & DEA Action Reports	Optical-related Practitioners with Specified Number of Government Administrative Action Reports	Optical-related Practitioners with Specified Number of Exclusion or Debarment Action Reports	Optical-related Practitioners with Specified Number of Health Plan Action Reports	Optical-related Practitioners with Specified Number of Judgment or Conviction Reports
1	530	481	0	0	96	8	13
2	130	97	0	0	25	0	5
3	28	16	0	0	3	0	0
4	15	9	0	0	2	0	0
5	4	4	0	0	0	0	0
6	4	2	0	0	0	0	0
7	1	0	0	0	0	0	0
8	0	0	0	0	0	0	0
9	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0
11	1	1	0	0	0	0	0
Number of Optical-related Practitioners with Reports	713	610	0	0	126	8	18
Number of Reports	996	802	0	0	163	8	23
Reports per Optical-related Practitioner with Reports	1.40	1.31	N/A	N/A	1.29	1.00	1.28

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

**Table L1: Comparison of the Number and Percent of Reports by Practitioner Type and State*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

State	Physicians		Dentists		RNs		LPNs/Nurses Aides		Table Continued on Following Page
	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	
Alabama	408	11.0	79	2.1	1,323	35.6	1,605	43.2	
Alaska	102	21.6	28	5.9	85	18.0	131	27.7	
Arizona	822	18.6	373	8.5	1,749	39.6	816	18.5	
Arkansas	189	6.8	25	0.9	724	26.1	1,425	51.4	
California	3,425	32.1	593	5.6	848	7.9	2,437	22.8	
Colorado	572	19.7	291	10.0	642	22.4	587	20.2	
Connecticut	337	16.2	150	7.2	509	24.4	616	29.6	
Delaware	31	10.2	3	1.0	83	27.2	110	36.1	
District of Columbia	117	68.0	19	11.0	89	2.9	29	1.7	
Florida	1,366	22.8	430	7.2	5	12.1	3	12.1	
Georgia	795	26.1	146	4.8	724	42.4	726	9.6	
Hawaii	82	39.4	15	7.2	1,291	13.0	291	4.3	
Idaho	89	28.4	15	4.8	27	14.4	9	31.0	
Illinois	1,049	15.9	419	6.4	45	20.8	97	21.5	
Indiana	145	16.7	42	4.8	1,367	13.3	1,416	29.1	
Iowa	384	26.4	95	6.5	116	25.6	253	27.1	
Kansas	217	26.8	39	4.8	373	24.8	394	21.9	
Kentucky	526	17.6	84	2.8	201	40.4	177	29.0	
Louisiana	343	8.7	108	2.8	1,211	51.1	894	26.4	
Maine	151	24.3	32	5.2	2,005	16.7	1,034	16.1	
Maryland	668	37.0	217	12.0	104	9.4	100	9.4	
Massachusetts	603	26.6	213	9.4	170	20.3	170	22.0	
Michigan	1,262	21.8	431	7.4	460	25.1	498	11.3	
Minnesota	353	15.6	151	6.7	1,457	25.3	652	32.8	
Mississippi	332	8.9	81	2.2	573	29.6	741	48.2	
Missouri	489	22.4	108	4.9	1,115	23.6	1,805	21.9	
Montana	71	23.4	5	1.7	514	28.7	477	22.1	
Nebraska	69	7.2	35	3.6	87	14.6	67	14.3	
Nevada	142	11.1	29	2.3	140	23.9	137	43.5	
New Hampshire	121	15.3	15	1.9	306	34.1	557	41.3	
New Jersey	1,057	37.3	230	8.1	269	10.2	326	8.9	
New Mexico	74	10.4	26	3.6	289	36.6	253	25.4	
New York	2,882	38.2	446	5.9	261	9.6	181	18.0	
North Carolina	365	13.0	127	4.5	723	44.4	1,360	29.5	
North Dakota	147	27.6	1	0.2	1,248	29.7	830	35.2	
Ohio	1,488	38.3	420	10.8	158	15.5	187	16.7	
Oklahoma	392	16.2	70	2.9	604	28.4	648	40.2	
Oregon	330	20.7	216	13.5	687	9.6	974	32.2	
Pennsylvania	1,354	25.5	424	8.0	153	15.4	514	15.5	
Rhode Island	87	16.2	7	1.3	817	24.4	824	39.0	
South Carolina	332	15.6	80	3.8	131	45.0	209	20.2	
South Dakota	29	7.9	1	0.3	958	47.8	430	29.3	
Tennessee	352	15.1	121	5.2	176	22.6	108	28.0	
Texas	1,477	11.6	356	2.8	527	39.2	652	25.7	
Utah	202	15.1	71	5.3	4,976	14.9	3,260	27.3	
Vermont	82	18.8	4	0.9	199	15.4	364	51.1	
Virginia	869	16.0	271	5.0	67	11.1	223	37.5	
Washington	490	13.2	128	3.4	600	20.8	2,036	36.8	
West Virginia	307	27.1	16	1.4	773	26.0	1,371	38.2	
Wisconsin	166	18.2	83	9.1	294	20.9	432	18.0	
Wyoming	57	25.4	5	2.2	191	39.7	164	12.8	
Total**	27,870	20.7	7,395	5.5	32,444	24.1	33,603	24.8	

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas plus 654 reports for home health aides and 29 reports for non-nurse midwives. Reports for 29 practitioners (13 Physicians, 4 Dentists, 3 Nurses and Nursing-related Practitioners, 7 Chiropractors, and 2 other individual) lack information on the State.

Table L1 (continued): Comparison of the Number and Percent of Reports by Practitioner Type and State*
 (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

State	Pharmacists and Assistants		Chiropractors		Psychology-related Practitioners		Social Workers		Optical-related Practitioners		Total Practitioner Reports**
	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	Number of Reports	Percent of State's Reports	
Alabama	9	0.2	48	1.3	12	0.3	4	0.1	5	0.1	3,712
Alaska	21	4.4	9	1.9	5	1.1	7	1.5	5	1.1	473
Arizona	11	0.2	159	3.6	60	1.4	72	1.6	12	0.3	4,413
Arkansas	7	0.3	19	0.7	5	0.2	9	0.3	2	0.1	2,770
California	322	3.0	808	7.6	325	3.0	29	0.3	29	0.3	10,683
Colorado	113	3.9	208	7.2	33	1.1	36	1.2	25	0.9	2,899
Connecticut	56	2.7	29	1.4	39	1.9	18	0.9	10	0.5	2,084
Delaware	4	1.3	1	0.3	0	0.0	7	2.3	0	0.0	305
District of Columbia	1	0.6	1	0.6	1	0.6	0	0.0	4	2.3	172
Florida	271	4.5	234	3.9	28	0.5	25	0.4	66	1.1	5,982
Georgia	26	0.9	139	4.6	11	0.4	3	0.1	6	0.2	3,044
Hawaii	13	6.3	10	4.8	3	1.4	0	0.0	0	0.0	208
Idaho	1	0.3	30	9.6	0	0.0	4	1.3	1	0.3	313
Illinois	1,059	16.1	249	3.8	86	1.3	136	2.1	194	2.9	6,584
Indiana	46	5.3	59	6.8	17	2.0	5	0.6	5	0.6	870
Iowa	5	0.3	42	2.9	18	1.2	13	0.9	0	0.0	1,456
Kansas	1	0.1	67	8.3	6	0.7	10	1.2	0	0.0	810
Kentucky	75	2.5	28	0.9	2	0.1	0	0.0	6	0.2	2,997
Louisiana	6	0.2	24	0.6	8	0.2	17	0.4	5	0.1	3,921
Maine	25	4.0	12	1.9	11	1.8	28	4.5	0	0.0	621
Maryland	78	4.3	23	1.3	7	0.4	30	1.7	4	0.2	1,806
Massachusetts	98	4.3	98	4.3	42	1.9	37	1.6	13	0.6	2,265
Michigan	728	12.6	294	5.1	127	2.2	59	1.0	47	0.8	5,794
Minnesota	3	0.1	113	5.0	69	3.1	90	4.0	1	0.0	2,262
Mississippi	93	2.5	34	0.9	2	0.1	3	0.1	0	0.0	3,744
Missouri	172	7.9	115	5.3	5	0.2	5	0.2	7	0.3	2,182
Montana	2	0.7	17	5.6	4	1.3	0	0.0	5	1.7	303
Nebraska	102	10.6	19	2.0	10	1.0	8	0.8	2	0.2	959
Nevada	23	1.8	32	2.5	4	0.3	15	1.2	19	1.5	1,281
New Hampshire	1	0.1	8	1.0	3	0.4	7	0.9	0	0.0	790
New Jersey	73	2.6	133	4.7	34	1.2	22	0.8	161	5.7	2,831
New Mexico	56	7.9	9	1.3	11	1.5	8	1.1	2	0.3	713
New York	434	5.8	165	2.2	78	1.0	91	1.2	30	0.4	7,536
North Carolina	11	0.4	28	1.0	3	0.1	12	0.4	1	0.0	2,810
North Dakota	2	0.4	9	1.7	2	0.4	4	0.8	0	0.0	532
Ohio	50	1.3	69	1.8	61	1.6	34	0.9	7	0.2	3,890
Oklahoma	2	0.1	35	1.4	3	0.1	0	0.0	1	0.0	2,423
Oregon	117	7.3	113	7.1	0	0.0	23	1.4	14	0.9	1,598
Pennsylvania	582	11.0	230	4.3	100	1.9	69	1.3	72	1.4	5,303
Rhode Island	7	1.3	7	1.3	0	0.0	0	0.0	0	0.0	536
South Carolina	17	0.8	31	1.5	3	0.1	14	0.7	0	0.0	2,131
South Dakota	0	0.0	5	1.4	6	1.6	2	0.5	0	0.0	368
Tennessee	16	0.7	59	2.5	38	1.6	14	0.6	45	1.9	2,328
Texas	324	2.6	309	2.4	18	0.1	17	0.1	33	0.3	12,679
Utah	99	7.4	32	2.4	28	2.1	81	6.1	9	0.7	1,334
Vermont	7	1.6	5	1.1	7	1.6	2	0.5	0	0.0	436
Virginia	1,077	19.9	87	1.6	33	0.6	39	0.7	120	2.2	5,425
Washington	188	5.0	114	3.1	31	0.8	5	0.1	14	0.4	3,723
West Virginia	4	0.4	7	0.6	1	0.1	3	0.3	3	0.3	1,131
Wisconsin	63	6.9	53	5.8	29	3.2	35	3.8	2	0.2	912
Wyoming	31	13.8	0	0.0	3	1.3	0	0.0	0	0.0	224
Total**	6,533	4.8	4,437	3.3	1,432	1.1	1,152	0.9	996	0.7	134,794

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities from 1999 through 2002 2001, including all retroactive reports for actions taken prior to 1999.

** The total includes reports for U.S. territories and Armed Forces locations overseas plus 654 reports for home health aides and 29 reports for non-nurse midwives. Reports for 19 practitioners (13 Physicians, 4 Dentists, 3 Nurses and Nursing-related Practitioners, 7 Chiropractors, 2 other individuals) lack information on the State.

**Table M1: Active Entities and All Entities Which Have Reported at Least Once to the HIPDB*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

	Currently Active HIPDB Reporters as of December 31, 2002		HIPDB Reporters Which Have Ever Reported	
	Number of Active Reporting Entities	Number of HIPDB Reports Submitted	Number of Reporting Entities	Number of HIPDB Reports Submitted
Entity Type				
Licensing Agencies	383	102,703	384	103,283
Hospitals	13	18	13	18
Other Health Care Entities and Services	9	41	9	41
Health Plans and Insurance Companies	168	1,581	169	1,583
Government Health Care Program Administration	51	7,031	51	7,031
Peer Review, Accreditation, Survey & Certification Agencies	4	1,498	4	1,498
Law Enforcement Agencies	3	2,197	3	2,197
DEA	1	154	1	154
Total	632	115,223	634	115,805

* This table includes only disclosable reports in the HIPDB as of December 31, 2002. All HIPDB reports were submitted by entities in 1999 through 2002, including all retroactive reports for actions taken prior to 1999.

Table M2: Number of Querying Entities, Queries, and Percent of Queries by Type of Querying Entity (Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)

Type of Querying Entity	Cumulative through 2002		
	Number of Querying Entities	Number of Queries	Percent of Queries
Licensing Agencies	95	28,146	1.0%
Hospitals*	719	297,913	10.4%
Other Health Care Entities and Services	703	210,268	7.3%
Health Plans and Insurance Companies	1,131	2,316,730	80.5%
Government Health Care Program Administration	70	13,680	0.5%
Peer Review, Accreditation, Survey & Certification Agencies	9	6,684	0.2%
Law Enforcement Agencies	47	3,936	0.1%
Total*	2,774	2,877,357	100.0%

* Hospitals querying the HIPDB included Federal Hospitals and State Government Hospitals. By law, non-government Hospitals are not allowed to query unless they meet the definition of a health plan.

**Table M3: Number and Percent of HIPDB Entity and Self-Queries Matched with Reports in the HIPDB*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Query Type	2002	Cumulative Number of Queries and Matches*
ENTITY QUERIES		
Total Entity Queries	897,245	2,877,357
Matched Queries**	8,982	24,417
Percent Matched	1.0%	0.8%
SELF QUERIES		
Total Self Queries	37,925	110,518
Matched Self Queries	551	1,316
Self-Queries Percent Matched	1.5%	1.2%
TOTAL QUERIES (ENTITY AND SELF)	935,170	2,987,875
TOTAL MATCHED (ENTITY AND SELF)	9,533	25,733
TOTAL PERCENT MATCHED (ENTITY AND SELF)	1.0%	0.9%

* Cumulatively, all entity queries were made in 2000, 2001, and 2002. Self queries were allowed beginning in 1999.

** The number of queries to the HIPDB (entity and self-queries) that matched at least one report in the HIPDB does not agree with previous Annual Report data because an error was discovered in the calculation of matches.

Table M4: Number and Percent of HIPDB Entity Queries by Practitioner Type Matched with HIPDB Reports* (Healthcare Integrity and Protection Data Bank, October Through December 31, 2002)

Practitioner Type	Number of Queries Processed Against HIPDB Reports	Number of Queries that Matched At Least One HIPDB Report	Match/Query Rate
Physicians	106,748	1,250	1.17
Dentists	8,405	208	2.47
Social Workers	8,238	5	0.06
Nurses and Nursing-related Practitioners	6,819	39	0.57
Optical-related Practitioners	6,670	55	0.82
Chiropractors	5,798	70	1.21
Psychology-related Practitioners	3,735	14	0.37
Counselors	3,624	8	0.22
Physical Therapists and Related Practitioners	2,764	8	0.29
Podiatrists and Podiatric-related Practitioners	2,428	27	1.11
Physician Assistants	2,343	19	0.81
Occupational Therapists and Related Practitioners	867	2	0.23
Pharmacists and Pharmacy Assistants	484	5	1.03
Speech and Language-related Practitioners	378	0	0.00
Acupuncturists	274	0	0.00
Audiologists	261	1	0.38
Dieticians	138	0	0.00
Dental Assistants, Technicians, Hygienists	78	1	1.28
Medical Assistants	65	0	0.00
Technologists	58	0	0.00
Homeopaths and Naturopaths	43	0	0.00
Psychiatric Technicians and Aides	22	0	0.00
Nutritionists	19	0	0.00
Emergency Medical Practitioners	18	0	0.00
Facility Administrators	13	0	0.00
Prosthetists	10	0	0.00
Respiratory Therapists and Related Practitioners	8	1	12.50
Non-Healthcare Individuals	5	0	0.00
Denturists	4	0	0.00
Total	160,280	1,722	1.07

**TABLE N1: Number of Requests for Secretarial Review and Percent of Resolved Requests by Report and Outcome Type*
(Healthcare Integrity and Protection Data Bank, Cumulative Through December 31, 2002)**

Outcome Type	State Licensure Action		Government Administrative Action		Exclusion of Debarment Action		Health Plan Actions		Judgment or Conviction		Total Reports	
	Number of Requests	Percent of Resolved Requests	Number of Requests	Percent of Resolved Requests	Number of Reports	Percent of Resolved Reports	Number of Reports	Percent of Resolved Reports	Number of Requests	Percent of Resolved Requests	Number of Requests	Percent of Resolved Requests
Resolved Requests												
Request Closed: Practitioner did not Pursue Review	4	3.6%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	2.6%
Request Outside Scope of Review (No change in report)	51	46.4%	2	1.8%	9	64.3%	2	16.7%	1	12.5%	65	41.9%
Secretary Maintains Report as Submitted	27	24.5%	4	3.6%	5	35.7%	5	41.7%	4	50.0%	45	29.0%
Secretary Voids Report	5	4.5%	1	0.9%	0	0.0%	0	0.0%	1	12.5%	7	4.5%
Request Closed by Intervening Action	20	18.2%	4	3.6%	0	0.0%	4	33.3%	2	25.0%	30	19.4%
Secretary Changes Report	3	2.7%	0	0.0%	0	0.0%	1	8.3%	0	0.0%	4	2.6%
Total Resolved Requests	110	100.0%	11	100.0%	14	100.0%	12	100.0%	8	100.0%	155	100.0%
Total Unresolved Requests	46		4		8		2		3		63	
Total	156		15		22		14		11		218	

* This table includes only HIPDB Secretarial Review requests resolved or pending as of December 31, 2002.