

**Department of Health and Human Services**

**OFFICE OF  
INSPECTOR GENERAL**

**STATE INCOME AND ELIGIBILITY  
VERIFICATION SYSTEMS (IEVS)**

**State Profiles**

*PART I (Alabama through Montana)*



**JUNE GIBBS BROWN**  
Inspector General

OCTOBER 1994  
OEI-06-92-00081



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#### **DALLAS REGION**

Leah K. Bostick  
Kevin Golladay  
Sarah Taylor

#### **HEADQUARTERS**

Alan Levine

*For further information contact: Kevin Golladay at 214/767-3310 or 1/800/848-8960.*



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## ***PREFACE***

The purpose of this report is to provide a reference resource regarding States' Income and Eligibility Verification Systems (IEVS).

The Income and Eligibility Verification System was established by Congress under the 1984 Deficit Reduction Act to reduce errors in determining eligibility and benefit levels in the Food Stamp, Aid to Families with Dependent Children, and Medicaid programs. The implementing regulations require State agencies to compare income reported by program applicants and recipients with income from several data sources: 1) Internal Revenue Service data on interest, dividends, and other types of unearned income; 2) Social Security Administration data for Retirement, Survivors, and Disability Insurance benefits, Supplemental Security Insurance benefits, and annual earnings; and 3) State quarterly wage reports and unemployment insurance benefits. States are required to complete follow up within 45 days on at least 80 percent of all IEVS information received on applicants and information targeted (selected) for review on recipients.

In our previous studies of IEVS systems, we found that State IEVS practices and levels of matching success and efficiency varied considerably. Nevertheless, the most promising approach to improving the cost effectiveness of matching systems seems to be through the initiative and experimentation conducted by individual States. For this reason, we decided to compile what information we had gathered from our review of State IEVS practices into an easy to read reference document and share it among the States and Federal agencies. By doing this, we hope to stimulate discussions within and between State and Federal agencies as they exchange views and attempt to improve computerized eligibility verification.

This is one of two reference resources on IEVS. The companion report, Summary of Literature, provides a detailed description of findings from published reports about IEVS.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
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26  
27  
28  
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77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99  
100



# TABLE OF CONTENTS

---

## PREFACE

INTRODUCTION ..... 1

STATE PROFILES ..... 5

Alabama	AL-1
Alaska	AK-1
Arizona	AZ-1
Arkansas	AR-1
California	CA-1
Colorado	CO-1
Connecticut	CT-1
Delaware	DE-1
District of Columbia	DC-1
Florida	FL-1
Georgia	GA-1
Hawaii	HI-1
Idaho	ID-1
Illinois	IL-1
Indiana	IN-1
Iowa	IA-1
Kansas	KS-1
Kentucky	KY-1
Louisiana	LA-1
Maine	ME-1
Maryland	MD-1
Massachusetts	MA-1
Michigan	MI-1
Minnesota	MN-1
Mississippi	MS-1
Missouri	MO-1
Montana	MT-1

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
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77  
78  
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80  
81  
82  
83  
84  
85  
86  
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88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99  
100

# INTRODUCTION

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## PURPOSE

This report provides a reference source of State Income Eligibility Verification Systems (IEVS) procedures and experiences.

## BACKGROUND AND METHODOLOGY

The Income and Eligibility Verification System was established by Congress under the 1984 Deficit Reduction Act to reduce errors in determining eligibility and benefit levels in the Food Stamp, Aid to Families with Dependent Children, and Medicaid programs. The implementing regulations require State agencies to compare income reported by program applicants and recipients with income from several data sources: 1) Internal Revenue Service (IRS) data on interest, dividends, and other types of unearned income; 2) Social Security Administration data for Retirement, Survivors, and Disability Insurance benefits, Supplemental Security Insurance benefits, and annual earnings; and 3) State quarterly wage reports and unemployment insurance benefits. States are required to complete follow up within 45 days on at least 80 percent of all IEVS information received on applicants and information targeted (selected) for review on recipients.

During the course of conducting our most recent IEVS review (Reforms are Needed in State Income and Eligibility Verification Systems (IEVS) (OEI-06-92-00080)), we gathered a significant amount of State specific information we believe could be beneficial as a reference tool for Federal and State agencies responsible for IEVS oversight. While most of the information was gathered through interviews with State officials knowledgeable about the State's IEVS process (a person was often designated by the State as their IEVS coordinator), most match statistics were obtained from the Federal agency conducting the match with the States. Upon completion of each State profile, States were asked to review and make any changes or additions necessary to ensure facts and statements presented were accurate. Review of the profiles by States was completed during August of 1994.

During our discussions with States, we found most State IEVS coordinators (a generic term we use to describe the person designated in the State as overseeing or integrally involved in State IEVS processes) interested in any information we were able to gather about other States' IEVS processes. The primary purpose expressed for knowing this information is to reassess their own IEVS procedures in light of what other States are doing.

We hope this report provides State and Federal agencies with basic information that can be used to stimulate discussions among State and Federal agencies concerning eligibility verification issues. State IEVS coordinator contacts are provided to facilitate these discussions for an exchange of views and experiences.

## **DATA AVAILABLE IN THE PROFILES**

### **DEMOGRAPHICS AND PROGRAM STATISTICS**

*This section provides an overview of State specific information to allow the reader to compare States by such features as population, recent error rates, etc.*

### **WELFARE ADMINISTRATION**

*Provides information describing the organization of the welfare program and the agency responsible for economic services. Also provided is the name of the person given to us as a contact concerning IEVS policy.*

### **CASE MANAGE- MENT AND ELIGIBILITY SYSTEM**

*Lists the name of the State's eligibility system, the degree of integration with the major welfare programs, whether the system is FAMIS certified, and the contact person given us for systems questions related to IEVS.*

### **PLANNED WELFARE SYSTEMS CHANGES**

*Listed are changes planned or presently being conducted as of 1993 through 1999. States provided this information to the Government Accounting Office for use in its survey of Automated Welfare Systems (GAO/AIMD-94-52FS) released February 1994. Information may also have been provided directly to us during our surveys.*

### **IEVS MATCHING (AFDC Program)**

*This table describes States' uses of IEVS databases for applicants and recipients, how often conducted, and how the resulting information from a match is distributed to workers.*

### **ONLINE ACCESS TO IEVS DATA**

*Most States provide IEVS information via terminal access. Online access is defined as either access to the actual external database or access to resulting match information maintained in a database for worker access.*

### **IEVS TARGETING METHODS**

*This table provides a complete list of the methods used by States to select (target) follow up efforts to the most useful IEVS matches. The table is specific to the AFDC program recipients; however, an indication is made whether the targeting differs for FS or Medicaid populations. This table is current as of August of 1994 and reflects what States report they are actually doing with regards to targeting, which may not necessarily coincide with the State's approved targeting plan.*

*Targeting strategies used are generalized in the column called targeting strategies: Possibilities are generally termed exclusion, discrepancy, and tolerance targeting. The column termed specifications describes specifically what the tolerance, discrepancy, or exclusion is. Because some States use more than one targeting strategy (e.g., a tolerance and exclusion), an indicator in the specifications section (T=tolerance, D=discrepancy, and E=exclusion) shows which specification applies.*

#### **DISCREPANCY (D)**

*A discrepancy amount is the variance allowed between information in the client record and that provided by the external match source. If the variance exceeds the specified discrepancy amount, the information is subject to follow up. Clients with a variance less than the discrepancy amount are not subject to follow up.*

## **DATA AVAILABLE IN THE PROFILES** (Continued)

### **IEVS TARGETING METHODS**

*(continued)*

#### **TOLERANCE (T)**

*A tolerance amount is the amount in the external database above which will trigger a follow up of the information. Amounts below the specified tolerance are not submitted for follow up.*

#### **EXCLUSION (E)**

*An exclusion refers to disregarding certain types of information from follow up. Exclusions can be certain types of information from the external data source (e.g., some types of unearned income) or certain clients information based on such characteristics as age, assistance category, etc.*

### **IEVS MONITORING AND TRACKING**

*Describes what routine reporting at the State level exists concerning IEVS.*

### **FLEXIBILITY AND FOLLOW UP**

*States were asked in our survey whether or not they believed present IEVS regulations are reasonable regarding the required 80 percent follow up in 45 days. Also, States were asked to provide statistics or opinions (if no data available) about whether the State was meeting 80 percent follow up.*

### **FOLLOW UP PRIORITIZATION**

*States were asked if IEVS matches are prioritized for follow up. For example, one match (e.g., IRS) might be given a higher priority than another (e.g., BEER).*

### **METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES**

*This section describes how States exchange information with SSA and IRS. Of particular interest is the degree to which States are using SSA's new File Transfer Management System for electronic data exchange versus the older tape exchange.*

### **MATCH STATISTICS**

*Listed are any available statistics States were able to compile pertaining to IEVS matching during 1992. Also, provided are statistics compiled by the Federal agencies responsible for supplying IRS, SSA benefit and wage information, and SSN verification.*

### **MATCH COSTS AND BENEFITS**

*This section includes State perceptions of IEVS cost effectiveness. Responses are based on interviews with State staff responsible for IEVS matching. Also included are cost and benefit estimations States provided SSA and IRS as required by the Computer Matching and Privacy Protection Act of 1988. Estimates pertain to the IRS and the BENDEX matches.*

### **MISCELLANEOUS**

*This section provides a place for further clarification of previously provided information, may include any codes used by States to resolve IEVS alerts, samples of actual IEVS reports or alerts used, etc.*

## ABBREVIATIONS USED IN PROFILES

<b>AFDC</b>	Aid to Families with Dependent Children
<b>BEER</b>	SSA's Beneficiary Earnings Exchange Record
<b>BENDEX</b>	SSA's Beneficiary Data Exchange
<b>CSE</b>	Child Support Enforcement
<b>COLA</b>	Cost of Living Allowance (annual adjustment)
<b>D</b>	Discrepancy (targeting)
<b>DK</b>	Don't Know
<b>FAMIS</b>	Financial Assistance Management Information System
<b>FNS</b>	Food and Nutrition Service (Department of Agriculture)
<b>FS</b>	Food Stamp Program
<b>FTMS</b>	SSA's File Transfer Management System
<b>FY</b>	Fiscal Year
<b>GE</b>	Greater Than or Equal To (targeting)
<b>HHS</b>	U.S. Department of Health and Human Services
<b>IEVS</b>	Income Eligibility Verification System
<b>IRS</b>	Internal Revenue Service
<b>LE</b>	Less Than or Equal To (targeting)
<b>NA</b>	Not Applicable or Not Available
<b>OIG</b>	Office of Inspector General (HHS)
<b>SDX</b>	SSA's State Data Exchange
<b>SSA</b>	Social Security Administration
<b>SVES</b>	SSA's State Verification and Exchange System
<b>SWICA</b>	State Wage Information Collection Agency
<b>T</b>	Tolerance (targeting)
<b>UI</b>	Unemployment Insurance
<b>WTPY</b>	SSA's Wire Third Party Query System

**FOLLOWING ARE THE INDIVIDUAL  
PROFILES FOR EACH STATE  
FROM ALABAMA TO MONTANA**

*(OTHER STATES ARE IN PART II)*

# ALABAMA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 4,040,587 (Rank - 22nd)  
 Number of counties: 67  
 Per Capita Income: \$13,667 (Rank - 43rd)  
 Unemployment (6/93): 8.2%  
 1990 Program/Payment Error Rates:

		Rank
AFDC	6.26	40th
Food Stamps	5.75	5th
Medicaid Payment Error Rate	1.30	15th

Federal Share: AFDC 65% Medicaid 72.9%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	51	14.4
Food Stamp Participants (Average Monthly)	555	27.6
Medicaid Eligibles (Annual)	403	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 184 offices  
 Approximate number of eligibility workers: 885 (335 AFDC, 400 FS, 100 Medicaid)  
 State Capitol: **Montgomery**  
 Welfare Agency: **Department of Human Resources  
 Public Assistance Division**

IEVS Policy Contact: *Alice Wilson*  
*Program Development Specialist*  
*50 Ripley St.*  
*Montgomery, AL 36130-4000 Ph#: 205/242-1950*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: **Public Assistance Reporting System (PARS).**  
*AFDC, food stamps, and Medicaid are integrated.*  
*The PARS system was transferred from Utah.*

IEVS System Contact: *James Young*  
*Program Section Supervisor for IEVS*  
*Ph#: 205/242-3239*

System was FAMIS certified on January 1, 1985.

## PLANNED WELFARE SYSTEMS CHANGES

Alabama plans to replace separate systems, which serve AFDC, food stamps, Medicaid eligibility, and child welfare, with a single integrated client database system that will be the central repository for all welfare client information. Estimated development costs for this system and subsequent systems to service the individual welfare programs are projected at \$21.7 million.

Alabama reports no plans at present to move away from generating hard copy alerts (called prompters) to paperless electronic notices to workers.



# ALABAMA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Monthly	Electronic (S) and print
UI	Yes	Daily	Yes	Weekly	Electronic (S) and print
IRS	Yes	Monthly	Yes	Annually	Electronic (S) and print
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S) and print
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S) and print
BEER	Yes	Monthly	Yes	Annually*	Electronic (S) and print

*S = Sent to worker electronically.*

*\*Received as new information is added during the year.*

The IEVS does not target out duplicate information with successive matches.

Unlike many other States, COLAs for BENDEX and SDX are not automatically updated in the clients' records (using computer), but require follow up by workers to confirm received information is accurate.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

SSI information is available to the worker online at the time of application. State Wage and UI are available to the worker the next day since files are matched overnight with the labor agency.

Workers are required by policy to access available online information at application. For redeterminations/reviews workers receive matched information automatically via hard copy reports called prompters.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

Available  
(Next Day Only)

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	No	Yes	No
All	All			All	

*Do Workers Have Online Access?*

*How Many Offices?*

# ALABAMA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	No targeting		
UI	No targeting		
IRS	Tolerance	No (1)	LE\$25 for unearned income per individual.
SSA Benefits (Bendex) (2)	No targeting		
SSI Benefits (SDX)	No targeting		
BEER	No targeting		

(1) Client names are purged from the system 90 days after closure.

(2) Bendex match does not require third party verification for Bendex or SDX.

Targeting criteria for the Food Stamp and Medicaid programs differ from that of the AFDC program. *Information is not available on the differences in targeting criteria between the programs.*

# ALABAMA

<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="418 369 1321 449">Routine Tracking Reports Include The Following:</th> <th data-bbox="1321 369 1463 449">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="418 449 1321 491"># of records submitted for matching</td> <td data-bbox="1321 449 1463 491">No</td> </tr> <tr> <td data-bbox="418 491 1321 533"># of records which matched with external data</td> <td data-bbox="1321 491 1463 533">Yes</td> </tr> <tr> <td data-bbox="418 533 1321 575"># of records resulting in alerts for workers</td> <td data-bbox="1321 533 1463 575">Yes</td> </tr> <tr> <td data-bbox="418 575 1321 617"># of alerts worked by eligibility workers</td> <td data-bbox="1321 575 1463 617">Yes</td> </tr> <tr> <td data-bbox="418 617 1321 659"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1321 617 1463 659">Yes</td> </tr> <tr> <td data-bbox="418 659 1321 701">Amount of savings determined from IEVS alerts</td> <td data-bbox="1321 659 1463 701">Yes</td> </tr> <tr> <td data-bbox="418 701 1321 743"># or alerts worked in 45 days</td> <td data-bbox="1321 701 1463 743">No</td> </tr> <tr> <td data-bbox="418 743 1321 785"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1321 743 1463 785">Yes</td> </tr> <tr> <td data-bbox="418 785 1321 827">Staff time to complete follow up</td> <td data-bbox="1321 785 1463 827">Yes</td> </tr> <tr> <td data-bbox="418 827 1321 865">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1321 827 1463 865">No</td> </tr> </tbody> </table> <p data-bbox="451 835 915 865"><i>Tracking reports are program specific.</i></p>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	No	# of records which matched with external data	Yes	# of records resulting in alerts for workers	Yes	# of alerts worked by eligibility workers	Yes	# of alerts resulting in a change to eligibility status/benefit	Yes	Amount of savings determined from IEVS alerts	Yes	# or alerts worked in 45 days	No	# or alerts past due (not worked in 45 days)	Yes	Staff time to complete follow up	Yes	Amount of IEVS identified savings actually recouped	No
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Staff time to complete follow up	Yes																						
Amount of IEVS identified savings actually recouped	No																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p data-bbox="418 919 1455 1062">Alabama considers the 80 percent follow up rule to be too restrictive. Staff stated, "Federal regulations are so restrictive as to not provide States the needed flexibility to choose what's in their interest to match. Targeting regulations failed to provided needed relief, mainly due to its position on quality control issues related to targeted cases."</p> <p data-bbox="418 1096 1455 1155">Alabama did not provide estimates of follow up completion even though the State says that it routinely generates reports showing completion rates.</p> <p data-bbox="418 1188 1455 1247">Workers are required to enter in the computer the results of follow up by using codes based on the action taken.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p data-bbox="418 1444 1419 1503">IEVS matches (results) are reportedly prioritized for follow up at the local office level.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p data-bbox="418 1587 1455 1768">BENDEX AND BEER: To exchange data with SSA, Alabama presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, and submission of death records. Alabama has not yet implemented use of the State Verification and Exchange System.</p> <p data-bbox="418 1793 1455 1852">During 1992, 968,755 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p data-bbox="418 1877 1305 1911">IRS: Computer tapes are exchanged with IRS on a routine basis.</p>																						

# ALABAMA

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992

Statistics For Medicaid Program Only						
IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	70,000	65	65	27	0	\$0
UI	70,000	26	26	0	0	\$0
IRS	229,701	4,000	687	687	0	\$0
SSA Benefits	24,600	1,096	1,096	1,096	16	\$2,891
SSI Benefits	2,241,744	2,064,264	161,424	137,208	NA	NA
BEER	NA	NA	NA	NA	NA	NA

Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	21,844	23,633	22,139	21,913	26,028	25,347
MIDMONTH	0	767	NA	731	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	23,795	28,697	21,892	22,471	1,868	20,768
MIDMONTH	NA	NA	0	0	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	40,337	35,536	31,470	32,187	41,723	36,532
MIDMONTH	1,041	NA	NA	2,219	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	48,240	48,491	48,015	44,361	28,749	55,390
MIDMONTH	255,016	NA	15,098	17,626	20,126	23,460

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	25,926	22,653	NA	24,250	28,539	27,155
MIDMONTH	256	4,850	NA	NA	16,765	2,285
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	28,100	35,509	31,318	34,409	11,936	34,450
MIDMONTH	44,646	NA	8,947	10,965	12,070	13,894

# ALABAMA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	579,006	45,732	0	2,613	0	48,852
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	43,703	21,125	21,049	41,096
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	620,071	25,042	23,832	22,444	48,115
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	60,598	0	19,011	44,276

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	68,283	NA	64,883	90,924	106,847
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	83,710	88,053	63,775	62,820	82,422	82,786
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	26,387	NA	23,301	46,152	62,142
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	39,206	43,248	19,834	19,552	39,137	40,093

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# ALABAMA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

Is Match Cost Effective?

State Wage	Yes
Unemployment	Yes
IRS	No
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

Conclusions are based on experience, not formal study.

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH (July 1, 1990 to June 30, 1991)

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** (\$207,161) LOSS

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$265,880
- Computer costs	\$10,871
- Costs associated with verification	\$3,709
- Other	\$1,160

\$379,620

#### BENEFITS

- Recovered overpayments and debts	\$103,707
- Amounts of monthly benefits saved	\$62,723
- Other	\$6,029

\$172,459

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** \$366,739

**BENEFITS** \$106,538

**NET:** (\$260,201)

(January 1991 to December 1991)  
Does not include Computer costs of \$397,871 which are also attributable to the SDX exchange.

# ALABAMA



## MISCELLANEOUS

### RESOLUTION CODES

#### Reason Codes

121 - Computer generated reason code on all investigations that are computer generated. It means outside information has been received on a recipient and an investigation is required.

151 - Worker initiated investigation on a case which does not report monthly.

152 - Worker initiated investigation on a monthly reporting case.

161 - Worker initiated fraud investigation on a closed case which did not report monthly.

162 - Worker initiated fraud investigation on a closed monthly reporting case.

#### Result Codes

501 - Increase due to IEVS information. No claim.

502 - Increase due to IEVS information with a claim.

511 - Increase partly due to IEVS information. No claim.

519 - Increase partly due to IEVS information resulting in a claim.

521 - Increase not due to IEVS information. No claim.

529 - Increase not due to IEVS information but a claim resulted.

531 - Decrease due to IEVS information. No claim.

539 - Decrease due to IEVS information with a claim.

541 - Decrease partly due to IEVS information. No claim.

549 - Decrease partly due to IEVS resulting in a claim.

551 - Decrease not due to IEVS information. No claim.

559 - Decrease not due to IEVS resulting in a claim.

561 - Termination due to IEVS information. No claim.

569 - Termination due to IEVS information resulting in a claim.

571 - Termination partly due to IEVS. No claim.

579 - Termination partly due to IEVS resulting in a claim.

581 - Termination not due to IEVS information. No claim.

589 - Termination not due to IEVS information resulting in a claim.

601 - Case unaffected by IEVS information.

609 - Payment unaffected by IEVS information but claim resulted.

611 - Payment was terminated prior to the completion of the investigation for reasons other than IEVS information. No claim. Savings amount inappropriate.

619 - Payment was terminated prior to the completion of the IEVS investigation resulting in a claim.

# ALASKA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 550,043 (Rank - 49th)  
 Number of counties: 25  
 Per Capita Income: \$21,656 (Rank - 4th)  
 Unemployment (6/93): 7.7%

1990 Program/Payment Error Rates:

<i>AFDC</i>	3.60	<i>Rank</i> 15th
<i>Food Stamps</i>	7.38	17th
<i>Medicaid</i>	<i>Payment Error Rate</i> 1.06	11th

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	11	53.4%
Food Stamp Participants (Average Monthly)	41	63.6%
Medicaid Eligibles (Annual)	51	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 15 offices  
 Approximate number of eligibility workers: 209  
 State Capitol: Juneau  
 Welfare Agency: Department of Health and Social Services  
 Division of Public Assistance

IEVS Policy Contact: *Myrtle Ellerbee*  
*Public Assistance Payment Manager*  
*P.O. Box 110640 (240 Main St.)*  
*Juneau, AK 99811-0649 Ph#: 907/465-3347*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Eligibility Information System (EIS).  
*The AFDC, Medicaid, and Food Stamp (FS) programs are integrated.*

IEVS System Contact: *Valerie Horner*  
*Public Assistance Analyst*  
*Ph#: 907/465-3347*

System was FAMIS certified on July 1, 1984.

## PLANNED WELFARE SYSTEMS CHANGES

Alaska is presently studying the feasibility of replacing its current FAMIS system, which is about 10 years old, with a system that would also service multiple welfare programs. State representatives estimated that a new system could cost between \$28 and \$32 million. Additionally, Alaska received HHS approval to upgrade its current child support enforcement system to comply with requirements imposed by the Family Support Act of 1988. State representatives estimated that planned enhancements could cost \$500,000.



# ALASKA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Monthly	Electronic (S & V)
UI	Yes	Weekly	Yes	Weekly	Electronic (S & V)
IRS	No		Yes	Monthly *	Electronic (S & V)
SSA Benefits	No		Yes	Biweekly	Electronic (S & V)
SSI Benefits	Yes	Weekly	Yes	Weekly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Monthly	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

*\* All recipients are submitted each month.*

For SSI benefit match, hardcopy reports are also generated. Alaska reports it does not maintain an historical database resulting from IEVS matches.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch Online	Batch Online	Batch Online	Batch Online

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All

# ALASKA

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

No reports are generated tracking the above information

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	4,275	4,360	4,722	3,800	4,615	0
MIDMONTH	0	0	NA	0	5,832	10,571
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	0	5,915	8,319	0	0	5,143
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	8,057	7,531	9,395	8,862	9,469	666
MIDMONTH	67	NA	NA	58	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	1,564	11,114	16,892	1,616	1,791	10,108
MIDMONTH	13,802	NA	1,042	1,161	1,295	1,510

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	5,370	4,949	NA	4,351	5,333	52
MIDMONTH	28	682	NA	NA	3,786	11,966
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	426	7,405	8,821	1,098	1,245	7,457
MIDMONTH	16,823	NA	1,013	1,133	1,275	1,494

# ALASKA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	57,254	55,742	0	55,021	55,409	57,201
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	116,731	59,930	0	62,627	63,924
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	64,584	0	0	0	64,489	130,883
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	133,132	69,054	71,577	0	72,369

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# ALASKA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	No
IRS	No
SSA Benefits	Yes
SSI Benefits	Yes
BEER	NA

*Perceptions are based not on study, but on experience.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** (\$391,609) LOSS

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$585,000
- Computer costs	\$4,800
- Costs associated with verification	0
- Other <i>Costs for forms, postage, etc.</i>	\$15,600

\$605,400

#### **BENEFITS**

- Recovered overpayments and debts	\$5,791
- Amounts of monthly benefits saved	0
- Other <i>Savings from preventing issuance of benefits</i>	\$208,000

\$213,791

#### **BENDEX MATCH (SSA Benefits and BEER) and SDX**

<b>COSTS</b>	\$466,306	<i>(January 1991 - December 1991)</i>
<b>BENEFITS</b>	\$1,016,452	
<b>NET:</b>	<u>\$550,146</u>	

# ALASKA



## MISCELLANEOUS

### State's Justification of Certain Targeting Criteria

Pursuing interest income less than \$48 would not be cost effective because a resource that would produce that minimal amount of interest income, such as a small bank account, would not cause a recipient to exceed the maximum resource limit. The administrative cost to follow up on IRS income matches of less than \$48 would far outweigh any savings gained.

Additionally, pursuing IRS matches of less than \$500 for income and out-of-state unemployment benefits would not be cost effective because the information is more than a year old by the time it is received as a hit by the worker, and the income is so minimal for an entire year's income source that the administrative costs of pursuing the match would far outweigh any savings gained.

# ARIZONA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 3,665,228 (Rank - 24th)  
 Number of counties: 15  
 Per Capita Income: \$15,802 (Rank - 29th)  
 Unemployment (6/93): 6.5 %

1990 Program/Payment Error Rates:

		Rank
AFDC	7.81	47th
Food Stamps	10.93	42nd
Medicaid	2.63	45th

*Payment Error Rate*

Federal Share: AFDC 65% Medicaid 72.6%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	67	80%
Food Stamp Participants (Average Monthly)	476	75%
Medicaid Eligibles (Annual)	NA	NA

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 84 offices  
 Approximate number of eligibility workers: 1,349  
 State Capitol: Phoenix  
 Welfare Agency: Department of Economic Security  
 Division of Benefits and Medical Eligibility

IEVS Policy Contact: *Ben Dillion*  
*Policy Specialist*  
 FAA 960A  
 P.O. Box 6123  
 Phoenix, AZ 85005 Ph#: 602/542-0354

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Arizona Technical Eligibility Computer System (AZTECS).  
*The AZTECS system integrates the Food Stamp and AFDC programs. Medicaid is not integrated with AZTECS. This system was transferred in part from Alaska.*

IEVS System Contact: *Cindy Walker*  
*Interfaces Coordinator*  
 Ph#: 602/274-9818 ext. 2524

System was FAMIS certified on July 1, 1988.

## PLANNED WELFARE SYSTEMS CHANGES

Although the FAMIS system (AZTECS) has been operational for a number of years, Arizona expects to spend over \$5 million for additional systems enhancements. The State also plans enhancements and upgrades to improve its current child support enforcement system. By 1995, Arizona plans to develop a new system, expected to cost about \$8 million, to support medical assistance eligibility determinations.

Staff report management does not currently support development of a paperless IEVS alert process.

# ARIZONA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	No		Yes	Monthly	Hardcopy
UI	Yes	Monthly	Yes	Monthly	Electronic (S & V)
IRS	No		Yes	Annually	Hardcopy
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
BEER	No		Yes	Annually	Hardcopy

*S & V = Sent to worker and viewed on worker's screen.*

Arizona recently participated in a targeting study sponsored by the Food and Nutrition Service. Prior to participation in FNS's targeting study, Arizona had discontinued its State wage quarterly tape match and was only conducting online matches with the wage database. Additionally, although the State was conducting matches with the BEER and IRS databases, no match follow up was conducted by workers. Arizona perceived these matches were not cost effective. However, since the FNS study results were released to them, all recipient matches are being conducted and followed up on. Staff report the system targets out duplicate information during the match, only considering information unique from the match source. Also, COLAs for the BENDEX and SDX are resolved automatically without requiring worker follow up.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*  
*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	Yes	Yes	No
All	All		All	All	

# ARIZONA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (a) Discrepancy (b) Tolerance (c) Other	Yes	(a) Client actually participated at least one month of quarter time period to which match pertains, and case issued benefits at least one month of quarter time period to which match pertains, and (1) and (b) earnings > 20% per client, and (c) total earnings per SSN per client ≥ \$3600.
UI	Change of benefit information Exclusion Other	Yes	Client active in parameter month. No dollar comparison.(2)
IRS	Exclusion (a) Tolerance (c) Other	Yes	(a) Case issued benefits at least one month of annual time period to which match pertains, and (1) and (3), and (c) all reported amounts total \$10,000 for winnings or \$50 for all other income types.
SSA Benefits (Bendex)	Exclusion (a) Change of benefit information Discrepancy (b) Other	Yes	(a) See (4) and (b) income compared to FAA computer income is discrepant. New client's demographic data in FAA computer system does not match that of SSA.
SSI Benefits (SDX)	Exclusion (a) Change of benefit information Discrepancy (b) Other	Yes	(a) See (4) and (b) SSI income compared to FAA computer system is discrepant.
BEER	Exclusion Disregard certain information Unique information Other	Yes	Case issued benefits at least one month of annual time period to which match pertains, and (1) and (3), and employer listed is not listed in the State UI system.

*Additional information concerning Arizona's targeting methods is located in the Section labeled "Miscellaneous."*



# ARIZONA



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="418 369 1325 447">Routine Tracking Reports Include The Following:</th> <th data-bbox="1325 369 1463 447">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="418 447 1325 489"># of records submitted for matching</td> <td data-bbox="1325 447 1463 489">No</td> </tr> <tr> <td data-bbox="418 489 1325 531"># of records which matched with external data</td> <td data-bbox="1325 489 1463 531">Yes</td> </tr> <tr> <td data-bbox="418 531 1325 573"># of records resulting in alerts for workers</td> <td data-bbox="1325 531 1463 573">Yes</td> </tr> <tr> <td data-bbox="418 573 1325 615"># of alerts worked by eligibility workers</td> <td data-bbox="1325 573 1463 615">No</td> </tr> <tr> <td data-bbox="418 615 1325 657"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1325 615 1463 657">No</td> </tr> <tr> <td data-bbox="418 657 1325 699">Amount of savings determined from IEVS alerts</td> <td data-bbox="1325 657 1463 699">No</td> </tr> <tr> <td data-bbox="418 699 1325 741"># or alerts worked in 45 days</td> <td data-bbox="1325 699 1463 741">Yes</td> </tr> <tr> <td data-bbox="418 741 1325 783"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1325 741 1463 783">Yes</td> </tr> <tr> <td data-bbox="418 783 1325 825">Staff time to complete follow up</td> <td data-bbox="1325 783 1463 825">No</td> </tr> <tr> <td data-bbox="418 825 1325 863">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1325 825 1463 863">No</td> </tr> </tbody> </table> <p data-bbox="451 825 971 852"><i>Reports are general (not program specific)</i></p>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	No	# of records which matched with external data	Yes	# of records resulting in alerts for workers	Yes	# of alerts worked by eligibility workers	No	# of alerts resulting in a change to eligibility status/benefit	No	Amount of savings determined from IEVS alerts	No	# or alerts worked in 45 days	Yes	# or alerts past due (not worked in 45 days)	Yes	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	No
Routine Tracking Reports Include The Following:	Included in Report																						
# of records submitted for matching	No																						
# of records which matched with external data	Yes																						
# of records resulting in alerts for workers	Yes																						
# of alerts worked by eligibility workers	No																						
# of alerts resulting in a change to eligibility status/benefit	No																						
Amount of savings determined from IEVS alerts	No																						
# or alerts worked in 45 days	Yes																						
# or alerts past due (not worked in 45 days)	Yes																						
Staff time to complete follow up	No																						
Amount of IEVS identified savings actually recouped	No																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p data-bbox="418 915 1450 1031">Staff report IEVS is considered too rigid a process and should be made more dynamic. Much of the rigidity stems from Federal regulations. Staff stated that IEVS should provide for more "State involvement in its design and allow more tailoring to State-specific population demographics."</p> <p data-bbox="418 1062 1382 1146">Staff report communication with Federal agencies should be improved. One suggestion offered is for the Federal agencies to provide direct access between States and IEVS experts.</p> <p data-bbox="418 1178 1360 1234">According to State policy, workers are not required to clear alerts by specifying the results of review.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p data-bbox="418 1444 1271 1472">All IEVS matches (results) are prioritized equally for follow up.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p data-bbox="418 1587 1458 1759"><b>BENDEX AND BEER:</b> To exchange data with SSA, Arizona presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, and SSI data. Use of FTMS began in 1991. Tape exchange continues for matches with the Alphadent and submission of death records. Arizona implemented use of the State Verification and Exchange System (formerly WTPY) in 1991.</p> <p data-bbox="418 1791 1463 1848">During 1992, 353,596 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p data-bbox="418 1879 1308 1906">IRS: Computer tapes are exchanged with IRS on a routine basis.</p>																						

# ARIZONA

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	50,000/Qtr	50,000/Qtr	400/month	400/month	NA	NA
UI	NA	NA	800/month	NA	NA	NA
IRS	22,000/month	15,000/month	>300/month	NA	NA	NA
SSA Benefits	35,000/month	NA	3,000/month	NA	NA	NA
SSI Benefits	NA	NA	1,500/month	NA	NA	NA
BEER	35,000/month	10,000/month	700/month	<100/month	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	58	41	0	353,621	0	0
MIDMONTH	0	0	NA	0	15,194	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	28,656	29,928	43,944	0	0	106,023
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	6,469	4,307	2,337	357,460	10,838	6,323
MIDMONTH	416	NA	NA	918	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	45,068	41,638	61,811	13,141	13,587	89,378
MIDMONTH	96,864	NA	8,149	9,143	9,924	12,485

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	939	581	NA	294,527	1,034	733
MIDMONTH	198	700	NA	NA	1,268	998
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	30,739	33,486	48,376	8,053	9,187	74,155
MIDMONTH	78,211	NA	7,143	8,331	9,506	11,809

# ARIZONA

## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	163,981	0	0	184,720	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	93,609	98,609	26,717	57,134
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	375,527	368,609	35,862	35,862	18,355
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	15,483	0	21,388	34,160	36,519

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	38,817	38,817	NA	34,265	32,064	28,236
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	29,085	0	0	210,595	39,891
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	32,574	32,621	NA	28,365	26,109	22,973
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	23,809	0	0	175,764	32,113

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	7,289	2,585	6,156	6,951	8,679	NA
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	23,538	7,427	11,238	NA	9,889	9,373
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	7,289	6,095	6,308	7,113	8,971	NA
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	24,190	7,603	11,492	NA	10,130	9,559

# ARIZONA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	No
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

*Perceptions are based on program experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/90 to 6/91) **NET:** (\$83,511) LOSS

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$10,110
- Computer costs	\$35,925
- Costs associated with verification	\$44,779
- Other	\$6,556

\$97,370

#### **BENEFITS**

- Recovered overpayments and debts	\$670
- Amounts of monthly benefits saved	\$12,810
- Other	\$379

\$13,859

Arizona did not use IRS information routinely in FY 1992 and FY 1993. During a portion of FY 1993, Arizona engaged in a demonstration project under the direction of Mathematica Policy Research of Washington, D. C. As a condition of the contract, Arizona reports that Mathematica collected all cost data. Arizona only used IRS data for the sample selected by the contractor. Thus, they report not being able to release IRS cost statistics for 1993 until Mathematica's report has been released by FNS.

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** \$23,573

**BENEFITS** \$43,559

**NET:** \$19,986

# ARIZONA

## MISCELLANEOUS

Sample of IEVS data collection form used by Arizona workers to record results of IEVS reviews in conjunction with the FNS Targeting study Arizona was participating in.

Document # _____					
Site Code _____					
[ ] IRS    [ ] BEER    [ ] BASE WAGE		DATE OF REPORT _____			
AZTECS # _____ Client ID _____					
		Client #1	Client #2	Client #3	
EI Name _____	OP EI _____	Date Completed _____			
<b>TASK:</b>	<b>CASE HANDLED:</b>	1 <sup>st</sup> time	2 <sup>nd</sup> time	3 <sup>rd</sup> time	4 <sup>th</sup> time
1 Review Case					
2 Client Contact: Correspondence telephone, in person					
3 Correspondence sent to collateral contact or 3 <sup>rd</sup> party query					
4 Recompute eligibility and benefits					
5 Referral to OPU (FA-526)					
6 Completion of FA-529					
7 Other (explain)					
ENTER TOTAL TIME SPENT (Round up to nearest 5 min.)					

**THERE WAS NO CHANGE IN CURRENT BENEFITS AS A RESULT OF THIS REPORT**  
INSERT LETTER OF APPROPRIATE REASON IN SPACES TO THE LEFT

[ ] FS [A] inactive case	[H] employer reported wrong amt.
[ ] AFDC [B] recipient not active	[I] wrong SSN
[ ] MA [C] already reported	[J] excluded income
[D] already budgeted	[K] prior period, no effect on current benefits
[E] case transferred to a non-target office	[L] already denied
[F] case transferred to target off.	[M] other (explain) _____
[G] employer will not verify income	

**AS A RESULT OF THIS REPORT, CURRENT BENEFITS WERE:**

<b>ONGOING:</b>	<b>RECERT/REVIEW:</b>
[ ] reduced to: FS \$ _____ AFDC \$ _____ benefit amt. prior to reduction FS \$ _____ AFDC \$ _____	Indicate benefit prior to month of Recert/Review FS \$ _____ AFDC \$ _____
[ ] closed (enter closure reason code) FS _____ AFDC _____ MA _____ benefit amt. prior to closure FS \$ _____ AFDC \$ _____	[ ] denied/closed (enter denial/closure reason code) FS _____ AFDC _____ MA _____
[ ] other (explain) _____	[ ] continued at lesser amount: FS \$ _____ AFDC \$ _____
	[ ] other (explain) _____

**OVERPAYMENT:**  
OP amount [ ] FS \$ \_\_\_\_\_ [ ] AFDC \$ \_\_\_\_\_ Agency caused \_\_\_\_\_ Client caused \_\_\_\_\_

# ARIZONA

## MISCELLANEOUS (Continued)

Samples of IEVS reports for the State Wage and IRS matches.

REPORT NO: MRJ00  
05/13/82  
Page: 1

ARIZONA DEPARTMENT OF ECONOMIC SECURITY  
AZTECS

BASE WAGE MATCH  
FOR "MONTH" THROUGH "MONTH" - "CCVV"

05/13/82  
Page: 1

STATE WAGE MATCH IEVS ALERT REPORT

REPORT NO: MRJ00  
05/13/82  
Page: 1

ARIZONA DEPARTMENT OF ECONOMIC SECURITY  
AZTECS

IRS UNEARNED INCOME REPORT FOR TAX "CCVV"

05/13/82  
Page: 1

IRS MATCH IEVS ALERT REPORT

REPORT NO	SSN	MM/YY	MM/YY	MM/YY	MM/YY	Base Wage For YV/MM
MRJ00	XXXXXXXXXXXXXX	00/00	00/00	00/00	00/00	000000.00
CASE NAME	XXXXXXXXXXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	000-00-0000
SYSTEMS	AZ/AP					000000.00

REPORT NO: CR530  
05/13/82  
Page: 1

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IRS UNEARNED INCOME REPORT FOR TAX "CCVV"

05/13/82  
Page: 1

STATE WAGE MATCH IEVS ALERT REPORT

REPORT NO: CR530  
05/13/82  
Page: 1

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IRS UNEARNED INCOME REPORT FOR TAX "CCVV"

05/13/82  
Page: 1

IRS MATCH IEVS ALERT REPORT

REPORT NO	SSN	MM/YY	MM/YY	MM/YY	MM/YY	Base Wage For YV/MM
CR530	XXXXXXXXXXXXXX	00/00	00/00	00/00	00/00	000000.00
CASE NAME	XXXXXXXXXXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	000-00-0000
SYSTEMS	AZ/AP					000000.00

# ARIZONA

## MISCELLANEOUS

*(Continued)*

### IEVS Targeting Methods (Continued)

- (1) Client currently active or active within prior two months and client age is greater than or equal to 16 years.
- (2) If State or interstate claim exists for the parameter month, then check FAA computer system for UI income;
  - a) if none, generate alert to worker or
  - b) if income indicated, then check UI system, if none listed, generate alert to worker.
- (3) Client actually participated at least six months of annual time period to which match pertains.
- (4) Client currently active.

Targeting criteria for Medicaid and Food Stamp programs differ from that of the AFDC program.

*Information is not available on the differences in targeting criteria between the programs.*

# ARKANSAS

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 2,350,725 (Rank - 33rd)  
 Number of counties: 75  
 Per Capita Income: \$12,901 (Rank - 48th)  
 Unemployment (6/93): 6.2 %

1990 Program/Payment Error Rates:

		Rank
AFDC	3.83	18th
Food Stamps	5.99	7th
Medicaid	0.45	2nd

Federal Share: AFDC 65% Medicaid 75.7%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	27	10.4%
Food Stamp Participants (Average Monthly)	279	26.5%
Medicaid Eligibles (Annual)	285	NA

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 80 offices  
 Approximate number of eligibility workers: 669  
 State Capitol: Little Rock  
 Welfare Agency: Arkansas Department of Human Services  
 Division of Economic and Medical Services

IEVS Policy Contact: *Steve Sorrows (FS) and Donna Johnson (AFDC/Medicaid)*  
*User Support Analyst*  
*Mail Slot 1240 or 1221*  
*P.O. Box 1437*  
*Little Rock, AR 72203-1437 Ph#: 501/682-8189 or 8183*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Arkansas Client Eligibility System (ACES).

*The systems used integrate the Medicaid program and AFDC. However, food stamps is not integrated with AFDC. Food stamps uses the Food Stamp Automated Client Tracking System (FACTS) for case management and eligibility. The systems used were not transferred from any other State.*

IEVS System Contact: *Steve Sorrows (FS) and Donna Johnson (AFDC/Medicaid)*  
*User Support Analysts*  
*Ph#: 501/682-8189 or 8183*

System was FAMIS certified on October 1, 1984.

## PLANNED WELFARE SYSTEMS CHANGES

Arkansas expects to replace its two primary eligibility systems with a single system costing about \$6 million. Also, it plans several enhancements in other systems supporting JOBS and CSE.

A move to a paperless IEVS alert system is dependent on revenue to support the purchase of terminals/personal computers for eligibility workers.



# ARKANSAS



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Weekly	Yes	Twice Quarterly	Hardcopy
UI	Yes	Weekly	Yes	Monthly	Hardcopy
IRS	Yes	Monthly	Yes	Yearly	Hardcopy
SSA Benefits	Yes	Monthly	Yes	Monthly	Hardcopy
SSI Benefits	Yes	Weekly	Yes	Weekly	Hardcopy
BEER	Yes	Monthly	Yes	Monthly	Hardcopy

*Hardcopy = Printed centrally and sent by mail or delivery to local offices and distributed to workers.*

BENDEX COLAs are automatically updated with client records by computer and do not typically require follow up by workers. The SSI information received from SDX is automatically updated in client records throughout the year in addition to COLA runs.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch Online	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. SSA benefit, IRS, and BEER information are not available to workers online.

Workers are required by policy to access available online information at application but not at redeterminations/reviews.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	No	Yes	No
All	All			All	

# ARKANSAS

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Change in employers	Yes	New employers.
UI	Change in benefit information	Yes	See (1).
IRS	Disregard certain information Tolerance	Yes	Disregards vary by type (2). The resource limit is the tolerance level for winnings and prizes and awards.
SSA Benefits (Bendex)	Discrepancy	Yes	> \$1 when payment amounts compared.
SSI Benefits (SDX)	No targeting		
BEER	Disregard certain information	Yes	Disregard all earnings except self employment, agricultural, and pension.

- (1) All UCB initially reported. Subsequent reports for follow up require change in maximum weekly benefit amount, or the result of dividing the cumulative monthly amount by the weekly amount is less than 4 or is not a whole number; such result indicates the weekly amount fluctuates or the UCB is terminating.
- (2) Disregard the following: in-State UI; U.S. Treasury Department interest; prior year refund, savings bond, ordinary income, debt outstanding/satisfied, fair market value for real estate; interest if paid by same payor as reported previous tax year; winnings unless total amount for case exceeds resource limit; and prizes and awards unless total amount for case exceeds resource limit.

All targeting is done by computer. System targets out duplicate information and prioritizes follow up based on actions needed. The system will bypass any Bendex Error Messages with dates greater than one year from run date.

Targeting criteria for Medicaid and Food Stamp programs differ from that of the AFDC program. The following reflect the differences:

Food Stamp Program State Wage - Tolerance \$100; FS member wages for comparable SWICA wage quarter are compared and SWICA must have 10% or more wages than were counted for FS during quarter; client must have participated for all 3 months of wage quarter

IRS - No reports as not cost effective

SDX - Discrepancy between SDX SSI amount and FS SSI amount must be \$2

Medicaid Program

While basically same as AFDC, exclude several Medicaid client categories for IRS match (e.g., clients who receive benefits as a result of spend-down, pregnant women, and SOBRA newborns).

# ARKANSAS



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# or alerts worked in 45 days	Yes
# or alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	Yes

## FLEXIBILITY AND FOLLOW UP

Arkansas considers the 80 percent follow up rule to be unreasonable. Staff stated, "States should be given flexibility in determining IEVS processing timeframes."

For calendar year 1993, somewhat less than 80 percent of IEVS match results were followed up on in 45 days. However, workers are required to clear alert reports by specifying the results of review by entering action codes in the computer.

The FNS has approved a waiver for the State's Food Stamp program to operate a quarterly reporting system. Under the quarterly review, IEVS reports will be generated for food stamps once a quarter. The latest IEVS data from each IEVS source will then be processed using a form specific to the quarterly review.

## FOLLOW UP PRIORITIZATION

IEVS matches (results) are prioritized for review based on the source of the match. Prioritization is done manually at the local office level.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Arkansas presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Arkansas has not yet implemented use of the State Verification and Exchange System.

During 1992, 278,919 were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.

# ARKANSAS

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992 (Food Stamp program)

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	3,340,526	425,090	68,026	45,793	297	\$72,821
UI	3,340,526	128,639	23,895	26,545	554	\$16,082
IRS	NA	293,108	16,364	17,378	463	\$26,871
SSA Benefits	232,974	163,968	6,307	6,207	2,576	\$10,652
SSI Benefits	1,100,843	110,648	24,388	21,802	8,777	\$2,625
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	50,865	47,278	0	0	129,430	48,418
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	51,038	0	0	0	61,191	106,823
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	73,790	51,148	5,163	5,977	104,964	56,649
MIDMONTH	929	NA	NA	964	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	69,024	15,934	22,245	20,080	83,952	28,044
MIDMONTH	130,725	NA	14,461	16,885	20,181	22,336

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	7,319	6,869	NA	574	14,175	4,744
MIDMONTH	164	1,155	NA	NA	8,337	658
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	8,531	1,960	2,598	3,134	23,639	4,951
MIDMONTH	30,350	NA	2,711	3,258	4,435	5,088

# ARKANSAS



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	51,978	727,973	56,527	51,317	47,170
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	52,370	0	58,251	0	0
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	422,570	61,533	47,778	49,170	50,201
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	76,589	78,460	1,623	1,564	0

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	148,691	35,058
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	29,127	24,127	14,850	59,033	12,903	15,754
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	127,443	30,515
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	24,956	20,824	12,954	51,161	11,183	134,482

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# ARKANSAS

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

Is Match Cost Effective?

State Wage	No
Unemployment	DK
IRS	No
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

Conclusions are based on informal study.

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** (\$332,350) LOSS

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$70,008
- Computer costs	\$1,693
- Costs associated with verification	\$554
- Other	\$264,349

\$336,604

#### BENEFITS

- Recovered overpayments and debts	\$150
- Amounts of monthly benefits saved	\$4,104
- Other	0

\$4,254

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** \$37,026

**BENEFITS** \$52,647

**NET:** \$15,621

# ARKANSAS

## MISCELLANEOUS

### ALERT RESOLUTION CODES

- A - No change in grant/benefit amount
- B - Grant/benefit increase
- C - Grant/benefit decrease
- D - Information inaccurate
- E - Information accurate - Case closed/application denied
- F - Information accurate - Case remains open

### Sample IEVS Reports

CATEGORY	ESD-UI		ESD WAGE		IRS		CLIENT MATCH		ELIGIBILITY		SYSTEM	
	SUBMIT	MATCH	SUBMIT	MATCH	SUBMIT	MATCH	SUBMIT	MATCH	SUBMIT	MATCH	SUBMIT	MATCH
05	835577	5897	835577	7004	0	1723	17748	0	17748	0	0	0
1M	0	0	0	0	0	0	0	0	0	0	0	0
11	43759	1	43759	20	0	200	270	0	270	0	270	25
13	0	0	0	0	0	0	19	0	19	0	19	1
14	0	0	0	0	0	0	2	0	2	0	2	1
16	25	0	25	0	0	0	1	0	1	0	1	0
17	30	0	30	0	0	3	8	0	8	0	8	1
18	21143	3	21143	38	0	63	94	0	94	0	94	39
2M	0	0	0	0	0	0	0	0	0	0	0	0
20	213114	187	213114	2642	0	76	1364	1	1364	1	1364	30
21	496	1	496	10	0	0	0	0	0	0	0	0
22	3578	0	3578	8	0	3	6	0	6	0	6	2
25	18650	84	18650	0	0	0	6	0	6	0	6	2
26	10995	40	10995	174	0	2	19	0	19	0	19	8
27	179	9	179	1	0	7	50	0	50	0	50	4
3M	0	0	0	0	0	0	50	0	50	0	50	0
31	84	0	84	0	0	0	0	0	0	0	0	0
33	0	0	0	0	0	0	0	0	0	0	0	0
34	0	0	0	0	0	0	0	0	0	0	0	0
35	0	0	0	0	0	0	1	0	1	0	1	0
36	0	0	0	0	0	0	0	0	0	0	0	0

### Match Report

# ARKANSAS

## MISCELLANEOUS

(Continued)

### Additional Sample IEVS Reports

FOOD STAMP SYSTEM BREAKDOWN OF IEVS CLEARANCE CODES FOR 06/93						
COUNTY 99-9	STATE/IDE	CODE A NO CHANGES	CODE B INCREASE	CODE C DECREASE	CODE D INACCURATE	
HJ54520 FS-0115						
	MATCH SOURCE					
	APPL UI (AU)	0	0	0	0	
	RECP UI (MU)	1,358	34	118	9	
	APPL HAGE (AH)	0	0	0	0	
	RECP HAGE (RH)	2,004	8	61	45	
	BENDEX CHO (BC)	163	32	127	5	
	BENDEX ERR (BE)	114	18	12	8	
	BENDEX HAGE (BH)	7	0	0	0	
	NEW SSI (SN)	190	4	231	7	
	SSI MISMATCH (SX)	646	255	373	52	
	IRS (IR)	0	0	0	0	

### MATCH FOLLOWUP BY RESOLUTION CODE

FOOD STAMPS SAVINGS FOR RECIPIENTS FOR 06/93						
COUNTY	ESD HAGE NO SAVINGS	ESD UI NO SAVINGS	BENDEX HAGE NO SAVINGS	BENDEX UI NO SAVINGS	BENDEX III NO SAVINGS	
HJ54030 FS-1968						
	01-1 INC	0	0	2	5	0
	01-1 DEC	0	0	0	0	0
	01-2 INC	0	0	0	0	0
	01-2 DEC	1	3	0	0	1
	02-1 INC	0	0	0	0	0
	02-1 DEC	0	0	0	0	0
	03-1 INC	0	0	1	9	0
	03-1 DEC	2	0	0	0	1
	04-1 INC	0	0	0	0	0
	04-1 DEC	0	0	0	0	0

### OVERDUE REPORT



# ARKANSAS



## MISCELLANEOUS

(Continued)

FS-2924  
WR75784

DIVISION OF ECONOMIC AND MEDICAL SERVICES  
IVES RELATED FOOD STAMP CLAIM COLLECTIONS  
FOR PERIOD ENDING 12/92

NR. CLAIMS ESTABLISHED:	1ST QUARTER		CUMULATIVE	
	NR.	\$ AMOUNT	NR.	\$ AMOUNT
DISC CODE				
01 - ESD WAGE	60	18,146.00	60	18,146.00
02 - ESD UI	31	3,179.00	31	3,179.00
03 - BENDEX WAGE	6	662.00	6	662.00
04 - BENDEX CHANGE/ERROR	6	1,773.00	6	1,773.00
05 - SSI NEW CASE/MISMATCH	4	1,481.00	4	1,481.00
06 - STATE RESOURCE	6	6,393.00	6	6,393.00
TOTALS:	113	31,634.00	113	31,634.00

NR. CLAIMS WITH COLLECTIONS:	1ST QUARTER		CUMULATIVE	
	NR.	\$ AMOUNT	NR.	\$ AMOUNT
DISC CODE				
01 - ESD WAGE	282	7,851.50	282	7,851.50
02 - ESD UI	44	960.00	44	960.00
03 - BENDEX WAGE	33	592.00	33	592.00
04 - BENDEX CHANGE/ERROR	26	508.00	26	508.00
05 - SSI NEW CASE/MISMATCH	34	522.00	34	522.00
06 - STATE RESOURCE	34	1,371.00	34	1,371.00
TOTALS:	453	11,804.50	453	11,804.50

Claims Monitoring Report

# CALIFORNIA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 29,760,021 (Rank - 1st)  
 Number of counties: 58  
 Per Capita Income: \$19,929 (Rank - 8th)  
 Unemployment (6/93): 9.4 %

1990 Program/Payment Error Rates:

		Rank
AFDC	6.74	41st
Food Stamps	11.64	47th
Medicaid <small>Payment Error Rate</small>	1.32	19th

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases <small>(Average Monthly)</small>	824	36.3%
Food Stamp Participants <small>(Average Monthly)</small>	2,659	49.3
Medicaid Eligibles <small>(Annual)</small>	4,019	N/A

## WELFARE ADMINISTRATION

### COUNTY ADMINISTERED AND STATE SUPERVISED

Number of local welfare offices: 58 offices  
 Approximate number of eligibility workers: 16,908 (AFDC-9,606, FS-2,569, Medi-Cal-4,733)  
 State Capitol: Sacramento  
 Welfare Agency: California Health and Welfare Agency  
 Department of Social Services

IEVS Policy Contact: *Charr Lee Metsker*  
 Chief, Fraud Bureau  
 Welfare Programs Division  
 744 P Street, Mail Stop 19-26  
 Sacramento, CA 95814 PH#: 916/445-0031

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: No Statewide Automated Eligibility System exists at present.

IEVS System Contact: *Mike Collins or Marty Bornstein*  
 Data Processing  
 Ph#: 916/445-5027 or 0798

## PLANNED WELFARE SYSTEMS CHANGES

California intends to replace the 58 county-based eligibility systems with a FAMIS system (Statewide Automated Welfare System - SAWS). SAWS is expected to be implemented over the next several years. The cost is expected to be at least \$322 million. However, no estimate was provided for future FAMIS development costs related to Los Angeles County.

# CALIFORNIA

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Twice Weekly	Yes	Quarterly	Hardcopy *
UI	Yes	Twice Weekly	Yes	Monthly	Hardcopy *
IRS	No		Yes	Annually	Hardcopy *
SSA Benefits	Yes	Twice Weekly	Yes	Monthly	Hardcopy *
SSI Benefits	Yes	Twice Weekly	Yes	Twice Weekly	Electronic (S&V)
BEER	No		Yes	Monthly	Hardcopy

*S & V = Sent to worker and viewed on worker's screen.*

*\* Applicant match information is transmitted electronically to the county office where it is printed for the worker.*

The IEVS targets out duplicate information during the matches, only considering information unique from the match source. (See Miscellaneous for further discussion of matching).

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

Workers are not required by State policy to access available online information at application or redetermination unless hard copy reports are not received within 3-5 days of application/redetermination.

Workers automatically receive match information printouts. However, all IEVS applicant data is available on-line for 60 days.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	SSA	SSI	Historical BEER
No	No	No	Yes	Yes	No
			All	All	

# CALIFORNIA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (e) Unique information Discrepancy (d) Other	No	(e) Client active for part of time period to which match pertains and client must match on SSN and last name. (d) GE\$301.
UI	No targeting	Yes	All information is forwarded for follow up if the client is active at the time the information is received.
IRS	Exclusion (e) Tolerance (m) Other	Yes	(e) Client active for part of time period to which match pertains and client must match on SSN and last name. (m) LE\$100. Match results are compared to State Franchise Tax Board records; duplicate IRS results are disregarded.
SSA Benefits (Bendex)	Change in benefit information	Yes	Data must be new or changed; if unchanged from previous report, no follow up.
SSI Benefits (SDX)	Exclusion Unique information Other	No	Client must be receiving AFDC or Food Stamps and SSI benefits for 3 consecutive months and client must match on SSN, name, date of birth, and sex.
BEER	Exclusion (e) Disregard certain information Unique information Tolerance (m)	No	(e) Client active for part of time period to which match pertains. (m) LE\$4800. Disregard duplicate information provided by State wage match.

Targeting criteria for Medicaid and Food Stamp programs differ from that of the AFDC program. The following reflect the differences:

Food Stamp Program	State Wage -	(d) GE\$701
	IRS -	(d) GE\$250
	BEER -	(m) LE\$11,200
Medicaid Program	State Wage -	(m) LE\$5000
	IRS -	(d) GE\$100
	BEER -	(m) LE\$12,000

# CALIFORNIA



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="414 367 1323 430">Routine Tracking Reports Include The Following:</th> <th data-bbox="1323 367 1476 430">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="414 430 1323 483"># of records submitted for matching</td> <td data-bbox="1323 430 1476 483">Yes</td> </tr> <tr> <td data-bbox="414 483 1323 514"># of records which matched with external data</td> <td data-bbox="1323 483 1476 514">Yes</td> </tr> <tr> <td data-bbox="414 514 1323 546"># of records resulting in alerts for workers</td> <td data-bbox="1323 514 1476 546">Yes</td> </tr> <tr> <td data-bbox="414 546 1323 577"># of alerts worked by eligibility workers</td> <td data-bbox="1323 546 1476 577">No</td> </tr> <tr> <td data-bbox="414 577 1323 609"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1323 577 1476 609">Yes</td> </tr> <tr> <td data-bbox="414 609 1323 640">Amount of savings determined from IEVS alerts</td> <td data-bbox="1323 609 1476 640">Yes</td> </tr> <tr> <td data-bbox="414 640 1323 672"># or alerts worked in 45 days</td> <td data-bbox="1323 640 1476 672">No</td> </tr> <tr> <td data-bbox="414 672 1323 703"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1323 672 1476 703">No</td> </tr> <tr> <td data-bbox="414 703 1323 735">Staff time to complete follow up</td> <td data-bbox="1323 703 1476 735">No</td> </tr> <tr> <td data-bbox="414 735 1323 766">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1323 735 1476 766">Yes</td> </tr> </tbody> </table>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	Yes	# of records which matched with external data	Yes	# of records resulting in alerts for workers	Yes	# of alerts worked by eligibility workers	No	# of alerts resulting in a change to eligibility status/benefit	Yes	Amount of savings determined from IEVS alerts	Yes	# or alerts worked in 45 days	No	# or alerts past due (not worked in 45 days)	No	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	Yes
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Amount of IEVS identified savings actually recouped	Yes																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p>California considers the 80 percent follow up rule to be unreasonable. Staff stated, "Targeting should be more flexible to coincide with changes in workloads."</p> <p>Workers are required to clear alert reports by specifying the results of their review. The method of communicating results (codes in computer, narrative on alert, etc.) depends on how the county office is set up. The results of follow up on IEVS recipient data is reported to the State Fraud Bureau for statistical reporting purposes.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p>IEVS matches (results) are prioritized for review by workers. Priority is determined at the local office level with alerts prioritized based on the source of the match and amount of discrepancy/tolerance. In some cases the prioritization is done automatically by the computer.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p><b>BENDEX AND BEER:</b> To exchange data with SSA, California presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, death records, and SSI data. Use of FTMS began in 1989. Tape exchange continues for matches with the Alphadent, enumeration verification exchange and BEER. California implemented use of the State Verification and Exchange System (formerly called WTPY) in 1989 and was one of the first two States to trial its use.</p> <p>During 1992, 769,860 were submitted to SSA for verification using the Enumeration Verification System.</p> <p><b>IRS:</b> Computer tapes are exchanged with IRS once per year. This is because CA routinely matches with the CA Franchise Tax Board to obtain unearned income information.</p>																						

# CALIFORNIA

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992 (AFDC and FS programs)

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	12,082,000	1,215,000	599,000	NA	5,882	\$8,098,000
UI	41,454,000	1,510,000	1,159,000	NA	1,149	\$477,000
IRS	3,334,000	130,127	11,644	NA	176	\$467,452
SSA Benefits	38,144,000	364,000	188,000	NA	NA	NA
SSI Benefits	12,082,000	13,359	13,359	NA	NA	*
BEER	NA	NA	NA	NA	NA	NA

\* Overpayments included with State Wage Overpayments

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	260,432	245,650	208,872	249,051	256,180	224,755
MIDMONTH	0	245,650	NA	0	0	224,755
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	243,481	223,916	241,833	228,809	266,655	258,849
MIDMONTH	NA	NA	0	0	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	505,504	457,832	422,510	472,701	512,267	447,815
MIDMONTH	3,496	NA	NA	3,233	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	572,910	468,071	535,613	516,608	601,191	602,005
MIDMONTH	1,093,478	NA	83,323	100,307	118,538	136,365

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	277,006	240,335	NA	237,551	249,503	220,023
MIDMONTH	2,596	23,323	NA	NA	152,235	221,921
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	257,039	245,109	272,864	280,339	319,782	328,299
MIDMONTH	254,540	NA	50,370	60,964	72,965	82,870

# CALIFORNIA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	0	0	2,881,002
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	0	3,334,021	0

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	769,860	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	875,297	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	653,181	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	483,677	67,221	602,318	435,747	451,165	NA
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	483,219	456,589	564,139	NA	486,795	495,488
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	483,677	130,415	607,756	500,271	455,139	NA
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	487,486	460,809	569,538	NA	491,287	500,012

# CALIFORNIA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

Is Match Cost Effective?

State Wage	Yes
Unemployment	*
IRS	Yes
SSA Benefits	*
SSI Benefits	Yes
BEER	No

*Conclusions are based on informal cost effectiveness studies.*

*\*The UIB and Bendex matches are upfront matches which prevent erroneous payments from occurring; thus, no information is available on their cost effectiveness.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** NA

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs NA
- Computer costs NA
- Costs associated with verification NA
- Other NA

#### **BENEFITS**

- Recovered overpayments and debts NA
- Amounts of monthly benefits saved NA
- Other NA

NA

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** NA

**BENEFITS** NA

**NET:** NA



# CALIFORNIA



## MISCELLANEOUS

### IEVS Applicant Process

Each night, the Department of Social Services (DHS) processes applicant data submitted by the counties and cross matches it with the IEVS applicant file. Records will be sent to Employment Development Department (EDD) for wage and unemployment (UI/DI) information; to the Franchise Tax Board (FTB) for unearned income matching; to the SSA for SSN validation, Title II (RSDI) and Title XVI (SSI/SSP) benefit information; and to Immigration and Naturalization Service.

When the information has been returned from all the agencies, an IEVS "abstract" report will be printed in the county showing the income and assets for each case. All abstracts should be received within five to ten calendar days from the county input date. The timeframe varies, depending on the length of time it takes the county data to arrive in Sacramento, when the agency matches are made, and how long it takes the county to print and distribute the abstracts.

Some or all match results should be available online within one to three working days after DHS receives the county input. As each agency returns their information, DHS updates the IEVS files. This information can be viewed using IEVS/MEDS (MEDS=Medicaid Eligibility Data System) online inquiries when the abstracts have not been received and the worker is ready to approve aid or has an applicant interview scheduled. A screen print can be made of any information needed by the worker.

The information on an abstract is confidential and adequate precautions are made to ensure that the information remains confidential.

The county is not to wait to grant eligibility pending the receipt of the IEVS information to establish eligibility. IEVS information is to be used as an additional information and verification source, if available, prior to granting eligibility. However, if the IEVS information is received after eligibility has been established, it must be reviewed and action taken if warranted.

IEVS UI, DI, and SSA information is to be considered by the worker as verified upon receipt.

The outcome of all IEVS matches, except those cases denied prior to receipt of IEVS information, must be noted in the case file. If the IEVS information is correct, or is not significantly different from that reported by the client and no action is required, the worker must sign, date, and check the "No discrepancy" box on the IEVS summary page and file it in the case record.

If the IEVS information was unreported, or was reported but significantly different from what the client reported, and action is required, the worker must fully document on the abstract or in the case narrative the steps taken to resolve the discrepancy. The abstracts must be filed in the case.

Each case record must contain an IEVS summary page and, if applicable, any corresponding match abstracts. See the following pages for examples.

# CALIFORNIA

## MISCELLANEOUS

(Continued)

### SUMMARY ABSTRACT

\*\*\*\*\* CONFIDENTIAL \*\*\*\*\*

REPORT: RS-IEVS410-RO01  
PROGRAM: IEV410

11/01/84  
PAGE 1

STATE OF CALIFORNIA - DEPARTMENT OF HEALTH SERVICES  
INCOME AND ELIGIBILITY VERIFICATION SYSTEM ABSTRACT

COUNTY: 99 DISTRICT: 999 APP-DATE: 10/25/92 EW: 0909

2 CASE NAME: PETERSON VERA SERIAL NUMBER: 0123456 FBU: 1

NAME LAST FIRST	SEX E X	DATE OF BIRTH	SSN	P R S	S E F S S V D T S N E D B A R					
					X	X	X	J	Y	*
PETERSON VERA	F	07-04-50	2-33-4444	50		X	X	X	J	
PETERSON NORM	M	03-27-47	3-44-5555	60	X				Y	
PETERSON VERA	F	07-04-50	2-34-3444	50			X	*		

\*\*\*\*\* MEDS/CDB FILE \*\*\*\*\*

5 NAME: PETERSON VERA BIRTHDATE: 07-04-950 SEX: F SSAN-VER: Y  
COUNTY-ID DIST EW ESC 010 999 M/C TERM DATE: 01/31/84  
MEDI-CAL: 30-00012254-0-00 100 S F/S TERM DATE: 01/31/84  
FOOD STAMP: 30-00012254-0-00  
M/C PENDING CHANGE: 3 F/S PENDING CHANGE: 2 FUTURE ESC: 7 RECOVERY: 1  
APDP IND: 11

NAME: PETERSON NORM BIRTHDATE: 03/27/947 SEX: M SSAN-VER: W  
COUNTY-ID DIST EW ESC 010 999 M/C TERM DATE: 01/31/84  
MEDI-CAL: 30-00012254-0-00 100 S F/S TERM DATE: 01/31/84  
FOOD STAMP: 30-00012254-0-00  
M/C PENDING CHANGE: F/S PENDING CHANGE: FUTURE ESC: RECOVERY:

6 WORKER NAME/# \_\_\_\_\_ DATE OF REVIEW \_\_\_\_\_

IF NO DISCREPANCIES, CHECK THIS BOX

FILE IN CASE

- 1 Date abstract printed in the county
- 2 Case information supplied by the county
  - o County number
  - o District number
  - o Application date
  - o Eligibility worker number
  - o Case name
  - o Case serial number
- 3 Applicant information supplied by the county
  - o Applicant name(s)
  - o Sex
  - o Date of birth
  - o Social security number
  - o Person number
- 4 Results of EDD, FTB, SSA, SSN verification matches
- 5 Information as known to MEDS/CDB
  - a. SSN verification code
  - b. Eligibility status code
  - c. Medi-Cal/Food Stamp discontinuance dates
  - d. Medi-Cal pending change
  - e. Food Stamp pending
  - f. Future eligibility status code
  - g. Indicates existence of an overpayment/overissuance
  - h. Aid Payment Demonstration Project
- 6 Worker must complete after abstract is reviewed and all discrepancies (if any) are resolved.

# CALIFORNIA

## MISCELLANEOUS

(Continued)

## STATE WAGE ABSTRACT

\*\*\*\*\* CONFIDENTIAL \*\*\*\*\*

REPORT: RS-IEV410-R001  
PROGRAM: IEV410

10/16/92  
PAGE 3

STATE OF CALIFORNIA - DEPARTMENT OF HEALTH SERVICES  
INCOME AND ELIGIBILITY VERIFICATION SYSTEM ABSTRACT

COUNTY: 99 DISTRICT: 999 EW: 0909

SSN: 3-44-5555 SEGMENT 02 COUNTY-ID: 99-0123456-1-60

\*\*\*\*\* APPLICANT FILE \*\*\*\*\*

NORM PETERSON SEX M DOB 03-27-947  
CASE NAME VERA PETERSON APPLICATION DATE 10/07/92

\*\*\*\*\* WAGE AND EMPLOYER INFO REPORTED BY EDD AS OF 10/08/92 \*\*\*\*\*

WAGES BY QUARTER:	TOTAL NUMBER OF EMPLOYERS:
CURRENT (JAN-MAR 92) \$ 4,628	5
FIRST PRIOR (OCT-DEC 91) \$ 7,790	SECOND PRIOR (JUL-SEP 91) \$ 7,625
THIRD PRIOR (APR-JUN 91) \$ 7,245	FOURTH PRIOR (JAN-MAR 91) \$ 6,980

EARNINGS:

\$ 1,098	EMPLOYEE NAME: N PETERSON	
	EMPLOYER NAME: BEN BRIEN	FULLER BRIEN PAINTS
	EMPLOYER BRANCH:	P O BOX 9087
	ACCOUNT NUMBER: 0086092	SACRAMENTO, CA 95814
\$ 985	EMPLOYEE NAME: N PETERSON	SUNSET PAINTING
	EMPLOYER NAME: GARY BLACK	1000 FAIR OAKS BLVD
	ACCOUNT NUMBER: 87087	CARMICHAEL CA 95608
\$ 950	EMPLOYEE NAME: N PETERSON	A 1 PAINTING
	EMPLOYER NAME: SLY FOXX	P O 23456
	ACCOUNT NUMBER: 07688	CITRUS HEIGHTS, CA 95610
\$ 800	EMPLOYEE NAME: N PETERSON	TOUCHE ROSS & COMPANY
	EMPLOYER NAME: T ROSS	2335 AMERICAN RIVER DRIVE
	ACCOUNT NUMBER: 65432	SACRAMENTO CA 95825
\$ 795	EMPLOYEE NAME: N PETERSON	COOPERS AND LYBRAND, CPA
	EMPLOYER NAME: P LYBRAND	P O BOX 55546
	ACCOUNT NUMBER: 56478	CARMICHAEL, CA 95608

- 1 Date abstract printed in the county.
- 2 SSN submitted by the county. EDD matched on this number.
- 3 Applicant information submitted by the county; includes name, sex, date of birth, and application date.
- 4 Number of employers who submitted wage information to EDD in the current quarter for the SSN provided by the county..
- 5 Wages reported for the current (process) quarter (Jan - Mar 1992) for that SSN.
- 6 Wages for four quarters prior to the process quarter.
- 7 Amount of current quarter earnings by SSN for each employer, listed with the employee name. Up to five employers are listed for the current quarter.
- 8 Employer(s) name and address.

# COLORADO

<p><b>DEMOGRAPHICS AND PROGRAM STATISTICS</b></p>	<p>Population: 3,294,394 (Rank - 26th)          Number of counties: 63          Per Capita Income: \$17,553 (Rank - 18th)          Unemployment (6/93): 6.0 %          1990 Program/Payment Error Rates:</p> <table border="0"> <thead> <tr> <th></th> <th></th> <th>Rank</th> </tr> </thead> <tbody> <tr> <td>AFDC</td> <td>2.68</td> <td>7th</td> </tr> <tr> <td>Food Stamps</td> <td>6.28</td> <td>8th</td> </tr> <tr> <td>Medicaid <small>Payment Error Rate</small></td> <td>2.47</td> <td>39th</td> </tr> </tbody> </table> <p>Federal Share: AFDC <u>50%</u> Medicaid <u>54.8%</u></p> <div data-bbox="1047 325 1477 703" style="border: 1px solid black; border-radius: 15px; padding: 5px;"> <p style="text-align: center;"><i>1992 Welfare Population</i></p> <table border="0"> <thead> <tr> <th></th> <th>Count <small>in thousands</small></th> <th>% Change <small>from 789</small></th> </tr> </thead> <tbody> <tr> <td>AFDC Cases <small>(Average Monthly)</small></td> <td style="text-align: center;">42</td> <td style="text-align: center;">25.9%</td> </tr> <tr> <td>Food Stamp Participants <small>(Average Monthly)</small></td> <td style="text-align: center;">264</td> <td style="text-align: center;">27.8%</td> </tr> <tr> <td>Medicaid Eligibles <small>(Annual)</small></td> <td style="text-align: center;">223</td> <td style="text-align: center;">N/A</td> </tr> </tbody> </table> </div>			Rank	AFDC	2.68	7th	Food Stamps	6.28	8th	Medicaid <small>Payment Error Rate</small>	2.47	39th		Count <small>in thousands</small>	% Change <small>from 789</small>	AFDC Cases <small>(Average Monthly)</small>	42	25.9%	Food Stamp Participants <small>(Average Monthly)</small>	264	27.8%	Medicaid Eligibles <small>(Annual)</small>	223	N/A
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<p><b>WELFARE ADMINISTRATION</b></p>	<p><b>COUNTY ADMINISTERED AND STATE SUPERVISED</b></p> <p>Number of local welfare offices: 63 offices          Approximate number of eligibility workers: 688 (FTEs) <small>Some counties have more than one office per county. There are 80 FS offices but only 63 counties.</small>          State Capitol: Denver          Welfare Agency: Colorado Department of Social Services                                    Office of Self Sufficiency</p> <p>IEVS Policy Contact: <i>Don Bishop</i>                                    Assistant Manager                                    Office of Self Sufficiency                                    1575 Sherman St.                                    Denver, Colorado 80203-1714 Ph#: 303/866-3103</p>																								
<p><b>CASE MANAGEMENT AND ELIGIBILITY SYSTEM</b></p>	<p>System Name: Client Oriented Information Network (COIN).</p> <p style="text-align: center;"><i>This system integrates AFDC and Medicaid; however, the Food Stamp program relies on its own system called the Colorado Automated Food Stamp System (CAFSS). CAFSS was transferred from New Mexico.</i></p> <p>IEVS System Contact: <i>Same as Above</i></p> <p>System was FAMIS certified on December 1, 1986.</p>																								
<p><b>PLANNED WELFARE SYSTEMS CHANGES</b></p>	<p>Colorado hopes to replace its FAMIS system with a new system that would support AFDC, Medicaid eligibility, and food stamps. The new system's projected cost is \$22 million. Minor enhancements to the State's current system were projected to cost \$100,000.</p>																								

# COLORADO

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Monthly	Yes	Monthly	Electronic (S & V/Print)
UI	Yes	Monthly	Yes	Monthly	Electronic (S & V/Print)
IRS	Yes	Monthly	Yes	Monthly	Electronic (S & V/Print)
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V/Print)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V/Print)
BEER	No		No		

*S & V/P = Sent to worker and viewed on worker's screen or printed hardcopy.*

COLAs from SDX and BENDEX are automatically updated via the computer without the worker having to view and resolve discrepancies/changes.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, and IRS information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through each agency's sharing of a common computer facility. This allows welfare easy access to the appropriate labor files.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	No
All	All	All	All	All	

# COLORADO

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (E) Discrepancy (D) and Tolerance (T) same	Yes	(E) Client must have participated in any one of the 3 months of the quarter being matched. (D)/(T) \$750.
UI	Exclusion (E) Discrepancy (D) and Tolerance (T) same	Yes	(E) Client must have participated in the month the UCB was received. (D)/(T) \$35.
IRS	Discrepancy and Tolerance same	Yes	\$500 for interest income only; calculation used to determine the amount in the interest bearing account - the resultant calculation is that used against the discrepancy/tolerance level.
SSA Benefits (Bendex)	Discrepancy and Tolerance same	Yes	\$1.
SSI Benefits (SDX)	Discrepancy and Tolerance same	Yes	\$1.
BEER	State does not match for AFDC		

Targeting criteria for Medicaid and Food Stamp programs differ from that of the AFDC program. The following reflect the differences:

Food Stamp Program	IRS -	(D)/(T) \$2000 for interest income only (E) agriculture subsidies, discharge of indebtedness, debt outstanding, and debt satisfied
	Bendex -	(E) Client must have participated in month the income was received (D) difference must be greater than the current SMIB premium
	SDX -	(E) Client must have participated in the month the income was received (D) difference must be greater than the current SMIB premium
	BEER -	(E) Client must be active; employer must not be reporting to State (D) difference must be greater than \$4800 annually
Medicaid Program	BEER -	Same as Food Stamp program and reported to Third Party Resource Unit for action

# COLORADO



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="414 367 1323 441">Routine Tracking Reports Include The Following:</th> <th data-bbox="1323 367 1461 441">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="414 441 1323 483"># of records submitted for matching</td> <td data-bbox="1323 441 1461 483">Yes</td> </tr> <tr> <td data-bbox="414 483 1323 525"># of records which matched with external data</td> <td data-bbox="1323 483 1461 525">Yes</td> </tr> <tr> <td data-bbox="414 525 1323 567"># of records resulting in alerts for workers</td> <td data-bbox="1323 525 1461 567">Yes</td> </tr> <tr> <td data-bbox="414 567 1323 609"># of alerts worked by eligibility workers</td> <td data-bbox="1323 567 1461 609">Yes</td> </tr> <tr> <td data-bbox="414 609 1323 651"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1323 609 1461 651">No</td> </tr> <tr> <td data-bbox="414 651 1323 693">Amount of savings determined from IEVS alerts</td> <td data-bbox="1323 651 1461 693">No</td> </tr> <tr> <td data-bbox="414 693 1323 735"># of alerts worked in 45 days</td> <td data-bbox="1323 693 1461 735">No</td> </tr> <tr> <td data-bbox="414 735 1323 777"># of alerts past due (not worked in 45 days)</td> <td data-bbox="1323 735 1461 777">Yes</td> </tr> <tr> <td data-bbox="414 777 1323 819">Staff time to complete follow up</td> <td data-bbox="1323 777 1461 819">No</td> </tr> <tr> <td data-bbox="414 819 1323 861">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1323 819 1461 861">No</td> </tr> </tbody> </table> <p data-bbox="414 819 1461 861"><i>Some of the reports are only available on an adhoc basis.</i></p>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	Yes	# of records which matched with external data	Yes	# of records resulting in alerts for workers	Yes	# of alerts worked by eligibility workers	Yes	# of alerts resulting in a change to eligibility status/benefit	No	Amount of savings determined from IEVS alerts	No	# of alerts worked in 45 days	No	# of alerts past due (not worked in 45 days)	Yes	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	No
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Staff time to complete follow up	No																						
Amount of IEVS identified savings actually recouped	No																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p data-bbox="414 892 1461 987">Colorado considers the 80 percent follow up rule to be unreasonable. Staff stated, "States should be given more time because some payor institutions do not provide verification timely."</p> <p data-bbox="414 1008 1461 1081">Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.</p> <p data-bbox="414 1102 1461 1249">For calendar year 1993, staff estimate that somewhat less than 80 percent of IEVS match results were followed up on (68 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.</p> <p data-bbox="414 1270 1461 1396">Workers are required to enter in the computer the results of follow up by using codes based on the action taken. It is up to local offices to ensure follow up is done timely. Counties are not required to report results to the State office.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p data-bbox="414 1438 1461 1480">Some prioritization takes place manually at the local office level.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p data-bbox="414 1575 1461 1764"><b>BENDEX AND BEER:</b> To exchange data with SSA, Colorado presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, and SSI data. Use of FTMS began in 1991. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, and submission of death records. Colorado implemented use of the State Verification and Exchange System in 1991.</p> <p data-bbox="414 1785 1461 1848">During 1992, 309,936 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p data-bbox="414 1869 1461 1911"><b>IRS:</b> Computer tapes are exchanged with IRS on a routine basis.</p>																						

# COLORADO

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	2,429,022	2,360,595	}	}	}	}
UI	2,429,022	2,360,595				
IRS	1,113,374	1,005,215				
SSA Benefits	746,759	1,250,962				
SSI Benefits	2,429,022	2,360,595				
BEER	NA	NA	130,996	99,479	254	\$119,717

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	0	0	88,405	0	0	0
MIDMONTH	31,446	28,076	NA	37,898	49,371	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	65,941	43,197	55,110	60,180	0	31,733
MIDMONTH	NA	NA	0	46,561	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	7,074	4,405	111,014	4,697	6,489	4,414
MIDMONTH	60,887	NA	NA	69,689	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	79,681	67,980	99,509	104,881	13,788	78,630
MIDMONTH	84,000	NA	8,288	85,503	11,233	12,809

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	1,218	953	NA	1,024	761	596
MIDMONTH	36,620	845	NA	NA	5,204	798
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	46,061	55,085	65,540	73,381	9,432	42,782
MIDMONTH	73,771	NA	6,828	59,488	9,658	11,089



# COLORADO

## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
1991	38,407	0	106,531	48,636	0	537,611
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
1992	N/A	269,838	53,508	40,442	40,886	46,817
	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
1992	39,608	46,378	37,311	83,434	38,318	351,246
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
1993	N/A	0	249,686	248,250	46,304	40,323

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	20,048	30,243	N/A	29,513	29,223	28,621
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	28,610	28,342	19,690	30,070	36,562	27,624
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,995	19,860	N/A	19,042	18,977	18,255
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	17,924	17,652	9,247	18,573	25,995	17,371

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# COLORADO

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	Yes
SSA Benefits	Yes
SSI Benefits	Yes
BEER	Yes

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** (\$138,647) LOSS

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$775,403
- Computer costs	\$65,992
- Costs associated with verification	\$196
- Other	\$108,469

\$950,060

#### **BENEFITS**

- Recovered overpayments and debts	\$599,601
- Amounts of monthly benefits saved	\$211,812
- Other	\$0

\$811,413

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** \$29,437

**BENEFITS** \$851,892

**NET:** \$822,455

# COLORADO



## MISCELLANEOUS

### IEVS ACTION CODES

The following IEVS Action Codes are used to take action on State Wage, UIB, IRS, SSA Benefit (BENDEX), SSI (SDX), and SSA Earnings (BEER):

- 01 = No Action Required - Information reported by client
- 02 = No Action Required - Eligibility/Benefits not affected
- 03 = No Action Required - Action previously taken
- 04 = No Action Required - Case closed/transferred
- 05 = No Action Required - Invalid match
- 06 = Benefits Reduced
- 07 = Benefits Discontinued/Closure
- 08 = Benefits Increased

The following IEVS Action Codes are used to take action on SSN Verification (Numident):

- 21 = Action Taken - Client contacted
- 22 = Action Taken - Problem resolved
- 23 = Action Taken - Client deleted from grant/disqualified

# COLORADO

## MISCELLANEOUS

(Continued)

### SAMPLE IEVS REPORTS

IEVS WAGE CASE DETAIL REPORT: EKIWE1-R1  
FOOD STAMPS SCREEN: ECIGIE

CASE NAME: [REDACTED], JOHN DATE: 08/10/93  
CASE NUMBER: [REDACTED] TECHNICIAN: 607 COUNTY/OFFICE: 64 TIME: 09:29:31

RECIPIENT: [REDACTED], JOHN SSN: [REDACTED] STATE ID:  
QTR/YEAR: 1/93

\*\*\*\* CLIENT REPORTED \*\*\*\*

JAN	1,352.00	
FEB	999,999.99	
MAR	0.00	QTR TOTAL: 1,352.00

\*\*\*\*\* DOLE REPORTED \*\*\*\*\*

ROCKIES MANAGEMENT CORPORATION DUE DATE: 08/24/93  
ROCKIES DELI & BAKERY ACTION: \_\_\_  
1630 WELTON STREET  
DENVER CO 80202 AMOUNT: 1,030.62  
REMARKS: \_\_\_\_\_

COLORADO NITRO LIMITED LIABILITY CO DUE DATE: 08/24/93  
NITRO CLUB ACTION: \_\_\_  
6300 SOUTH SYRACUSE  
ENGLEWOOD CO 80111 AMOUNT: 1,724.00  
REMARKS: \_\_\_\_\_

DOLE TOTAL: 2,754.62  
DIFFERENCE: 1,402.62

IEVS IRS CASE DETAIL REPORT: EKIR1-R1  
FOOD STAMPS SCREEN: ECIGIC

CASE NAME: [REDACTED] CHERI DATE: 08/10/93  
CASE NUMBER: [REDACTED] TECHNICIAN: 603 COUNTY/OFFICE: 64 TIME: 09:14:56

( 1 ) [REDACTED], CHERI ACCT. NO: [REDACTED]  
FIRST INVESTORS HIGH YIELD FUND DIVIDENDS  
10 WOODBRIDGE CENTER DRIVE 1,408.00  
WOODBRIDGE NJ 07095 YEAR: 91

ACTION: \_\_\_ DUE DATE: 07/28/93

REMARKS: \_\_\_\_\_

( 2 ) [REDACTED], CHERI ACCT. NO: [REDACTED]  
FIRST INVESTORS HIGH YIELD FUND DIVIDENDS  
10 WOODBRIDGE CENTER DRIVE 135.00  
WOODBRIDGE NJ 07095 YEAR: 91

ACTION: \_\_\_ DUE DATE: 07/28/93

REMARKS: \_\_\_\_\_

( 3 ) [REDACTED], CHERI ACCT. NO: [REDACTED]  
FIRST INVESTORS HIGH YIELD FUND STOCK BOND  
10 WOODBRIDGE CENTER DRIVE 4,300.00  
WOODBRIDGE NJ 07095 YEAR: 91

ACTION: \_\_\_ DUE DATE: 07/28/93

REMARKS: \_\_\_\_\_

# COLORADO

## MISCELLANEOUS

(Continued)

### SAMPLE IEVS REPORTS

IEVS	<u>SDX CASE DETAIL</u> FOOD STAMPS	REPORT: EKIXA5-R1 SCREEN: ECIGIM DATE: 08/10/93 TIME: 09:21:07
CASE NAME: ██████████, BARBARA CASE NUMBER: ██████████	TECHNICIAN: 603	COUNTY/OFFICE: 64
(1) ██████████, JOHN ERIC	INCOME MONTH: 06/93 CLIENT REPORTED: 296.00 SDX REPORTED: 391.00 DIFFERENCE: 95.00	FS-38: 4
DUE DATE: 08/24/93 REMARKS: _____		ACTION: _____

IEVS	<u>BEER CASE DETAIL</u> FOOD STAMPS	REPORT: EKIRE1-R1 SCREEN: ECIGIS DATE: 08/10/93 TIME: 09:20:12
CASE NAME: ██████████, VICKI CASE NUMBER: ██████████	TECHNICIAN: 604	COUNTY/OFFICE: 64
1) ██████████, ROBERT EMPLOYER CODE 359990000	ACTIVE ARMY DFAS-I-YAA DFAS I YAA INDIANAPOLIS, IN 46249	MILITARY 11,443.02 YEAR: 91 NEW
DUE DATE: 08/24/93 REMARKS: _____	ACTION: _____	DUE DATE: 08/24/93

IEVS	<u>UIB CASE DETAIL</u> FOOD STAMPS	REPORT: EKIU1-R1 SCREEN: ECIGIA DATE: 08/10/93 TIME: 09:29:02
CASE NAME: ██████████, ROSA CASE NUMBER: ██████████	TECHNICIAN: 604	COUNTY/OFFICE: 64
CDSS	INCOME MONTH: 06/93	DOLE
(1) ██████████, ROSA N99 ██████████	██████████, ROSA A	
CLIENT REPORTED: .00 AFDC CASE ██████████ DUE DATE: 08/24/93	DOLE PAID: 624.00 DIFFERENCE: 624.00	
DUE DATE: 08/24/93 REMARKS: _____		ACTION: _____

IEVS	<u>BENDEX CASE DETAIL</u> FOOD STAMPS	REPORT: EKIBEA-R1 SCREEN: ECIGIP DATE: 08/10/93 TIME: 08:44:41
CASE NAME: ██████████, RUPERTA CASE NUMBER: ██████████-8	TECHNICIAN: 604	COUNTY/OFFICE: 64
(1) ██████████, RUPERTA	INCOME MONTH: 07/93 CLIENT REPORTED: .00 BENDEX REPORTED: 374.00 DIFFERENCE: 374.00	FS-38: 10
DUE DATE: 08/24/93 REMARKS: _____		ACTION: _____

# CONNECTICUT

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 3,287,116 (Rank - 27th)  
 Number of counties: 8  
 Per Capita Income: \$24,683 (Rank - 1st)  
 Unemployment (6/93): 6.8 %  
 1990 Program/Payment Error Rates:

		Rank
AFDC	3.11	10th
Food Stamps	10.07	35th
Medicaid	Payment Error Rate 2.48	42nd

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 7/89
AFDC Cases (Average Monthly)	56	48.5%
Food Stamp Participants (Average Monthly)	207	79.7%
Medicaid Eligibles (Annual)	272	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 14 offices  
 Approximate number of eligibility workers: 695  
 State Capitol: Hartford  
 Welfare Agency: Connecticut Department of Social Services  
 Adult Services

IEVS Policy Contact: *Kevin Loveland*  
 Director, Adult Services  
 25 Sigourney Street  
 Hartford, CT 06106 Ph#: 203/424-5370

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Eligibility Management System (EMS).  
*The AFDC, Medicaid, and the FS programs are integrated.*

IEVS System Contact: *Tom Woods*  
 Data Processing Senior Systems Analyst  
 Ph#: 203/424-5463

System was FAMIS certified on May 1, 1990.

## PLANNED WELFARE SYSTEMS CHANGES

Connecticut plans to implement an online interface between its FAMIS system and its MMIS. An initial planning cost of about \$1 million was anticipated, but no estimates were provided for development costs.

# CONNECTICUT



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Quarterly	Electronic (S & V)
UI	Yes	Daily	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Annually	Electronic (S & V)
SSA Benefits	Yes	Semi-Monthly	Yes	Semi-monthly	Automatic Update
SSI Benefits	Yes	Daily	Yes	Monthly	Automatic Update
BEER	Yes*	Monthly	Yes*	Annually	** No Follow up **

*S & V = Sent to worker and viewed on worker's screen.*

*\*BEER accretions are done, but match results received from SSA do not result in alerts to workers.*

During all the matches, the system targets out duplicate information. For the State Wage and UI matches, clients under 16 years of age are automatically excluded from follow up. Also, BENDEX and SDX (benefit) information received from SSA is automatically updated throughout the year (not just with COLAs), without the worker having to resolve information.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch Online	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are not required by policy to access available online information at application or redeterminations. Access is at the worker's discretion.

Online access to the State Wage and UI database is achieved through receipt of a computer file from the Labor agency which is loaded on Welfare's computer and made accessible to the workers.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All

# CONNECTICUT

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Change in employer I.D. number or in income information Discrepancy	Yes	Wage data GE\$150 for same quarter as that being reported by match.
UI	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received and if the amount of the UI does not match the amount reported in the eligibility file.
IRS	Disregard certain information Change in account number	Yes	Disregard in-State duplicate information previously received through UI match.
SSA Benefits (Bendex)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
SSI Benefits (SDX)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
BEER	State does not follow-up on match data		

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.



# CONNECTICUT



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	No
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# or alerts worked in 45 days	Yes
# or alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	Yes
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Connecticut considers the 80 percent follow up rule to be unreasonable. Staff stated, "Regulations should allow for follow up to be prioritized based on potential impact of the match, rather than the match date. Our staff presently receive an extremely high number of IEVS alerts making it extremely difficult to follow up in 45 days."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For August 1993, staff report significantly less than 80 percent of IEVS match results were followed up on (30 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Connecticut presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1992. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Connecticut has not yet implemented use of the State Verification and Exchange System. During 1992, 386,309 no records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.

# CONNECTICUT

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	251,040	110,081	4,455	NA
UI	NA	NA	108,803	88,720	3,118	NA
IRS	528,476	307,661	142,723	56,900	698	NA
SSA Benefits	607,844	328,982	149,690	NA	NA	NA
SSI Benefits	NA	NA	137,017	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

*In addition to the above statistics, there are a number of alerts that resulted in historical overpayments by either benefit reduction or ineligibility. These alerts number 4,465, 503, and 481 for the State Wage, UI, and IRS matches, respectively.*

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	31,888	0	16,232	17,009	31,194	40,456
MIDMONTH	0	19,134	NA	18,794	21,352	20,681
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	61,794	421,079	33,833	109,139	113,965	101,707
MIDMONTH	NA	NA	0	0	0	22,545

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	25,921	4,405	111,014	25,375	41,514	29,567
MIDMONTH	60,887	NA	NA	NA	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	79,070	355,619	65,698	88,127	94,693	89,758
MIDMONTH	127,637	NA	22,109	23,249	24,521	48,381

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	13,993	2,025	NA	10,526	11,433	12,577
MIDMONTH	258	7,880	NA	NA	7,514	14,837
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	24,889	211,789	35,741	58,979	63,390	59,855
MIDMONTH	33,557	NA	14,376	15,240	16,281	17,265

# CONNECTICUT



## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	51,328	0	29,360	45,035	281,711
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	37,456	0	28,018	0	48,740
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	56,799	0	39,156	39,888	0
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	307,994	0	59,805	0	39,817

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	24,889	26,102	NA	26,862	24,895	52,512
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	23,097	26,614	55,948	0	53,096	26,163
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	5,874	7,148	NA	7,523	4,890	13,111
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	3,838	6,372	15,330	0	12,585	6,265

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# CONNECTICUT

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	Yes
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** \$606,350

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$591,749
- Computer costs	\$21,252
- Costs associated with verification	\$0
- Other	\$11,794

\$624,795

#### **BENEFITS**

- Recovered overpayments and debts	\$8,777
- Amounts of monthly benefits saved	\$1,222,368
- Other	\$0

\$1,231,145

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** NA

**BENEFITS** NA

**NET:** NA

# CONNECTICUT



## MISCELLANEOUS

### IEVS Resolution Codes

BW - BENDEX Wage hit previously handled  
CB - Application denied - Due to non-cooperation  
CD - Case discontinued - Due to non-cooperation  
CH - Contributing Hit - Award adjusted  
CM - Initial award reduced - Due to non-cooperation  
CR - Award reduced - Clients uncooperative  
DM - Application denied between match request date and actual  
EB - Application denied due to excess income/assets  
ED - Case discontinued due to excess income/assets  
EM - Initial award reduced - Due to applied income  
HN - Hit with no discrepancy  
OA - Matched individual not an applicant, recipient, etc.  
OE - Income/Asset is exempt  
OI - Income/Asset not owned by applicant/recipient  
OK - Income/Assets within limits  
OM - Matched individual discontinued for a reason (not IEVS)  
ON - Income Asset no longer exists - No overpayments  
OO - Income/Asset no longer exists - Overpayment resulted  
OS - Income/Asset within limits - System determination  
PI - Process already initiated  
SC - Incorrect SSN on the System - Hit is inaccurate  
SM - Incorrect SSN at match source - Hit is inaccurate  
TB - Application denied due to transfer of assets  
TD - Case discontinued due to transfer of assets  
TM - Initial award reduced due to transfer of assets  
TR - Award reduced due to transfer of assets

# CONNECTICUT

## MISCELLANEOUS

(Continued)

### SAMPLE IEVS TRACKING REPORT (State Wage)

DISCREPANCY REASON CODE		PA		PERCENT OF COMPLETIONS		FOOD STAMPS		MEDIACAID		PERCENT OF COMPLETIONS	
NO.		NO.		NO.		NO.		NO.		NO.	
CB	4	0.64	7	0.48	2	0.27					
CD	0	0.00	8	0.41	1	0.13					
CH	12	1.92	20	1.79	8	1.10					
EB	1	0.16	6	0.41	6	0.82					
ED	1	0.16	4	0.27	4	0.55					
ER	0	0.00	2	0.13	1	0.13					
HN	53	8.52	76	5.25	34	4.68					
DE	21	3.37	28	2.00	14	1.92					
OI	23	3.69	28	1.79	13	1.79					
OK	325	52.25	645	44.60	360	49.58					
OM	52	8.38	245	18.94	110	15.15					
ON	82	13.18	275	19.01	138	18.73					
OO	48	7.71	99	6.84	37	5.09					
TOTAL COMPLETIONS	622	100.00	1,408	100.00	728	100.00					
TOTAL MATCHES	2,281		5,353		2,365						
TOTAL MATCHED INDIVIDUALS	2,001		4,449		1,831						

COMPLETIONS		PERCENT OF MATCHES	
NO.		NO.	
0-30 DAYS	0	0.00	0.00
31-45 DAYS	0	0.00	0.00
46-60 DAYS	0	0.00	0.00
61-90 DAYS	0	0.00	0.00
91 + DAYS	1,659	72.73	69.04
TOTAL COMPLETIONS	1,659		
0-30 DAYS	144	8.31	4.60
31-45 DAYS	73	3.20	2.72
46-60 DAYS	64	2.80	4.77
61-90 DAYS	97	4.25	6.65
91 + DAYS	244	10.69	12.19
TOTAL MATCHES	1,819		

DHR18G0A-DNB4001H  
RUN DATE: 08/18/93

CONNECTICUT DEPARTMENT OF INCOME MAINTENANCE  
ELIGIBILITY MANAGEMENT SYSTEM  
IEVS QUARTERLY REPORT  
048 DAYS AFTER END OF QUARTER  
THROUGH 06/30/1993

MATCH TYPE DOLWG  
MATCH DATE 04/1993  
DISTRICT OFFICE 10

COMPLETIONS BY OUTCOME

# CONNECTICUT



## MISCELLANEOUS

(Continued)

### SAMPLE IEVS TRACKING REPORT (IRS)

DISCREPANCY REASON CODE	PA			FOOD STAMPS			MEDICAID		
	NO.	PERCENT OF COMPLETIONS	NO.	PERCENT OF COMPLETIONS	NO.	PERCENT OF COMPLETIONS	NO.	PERCENT OF COMPLETIONS	
DM	0	0.00	10	14.08	42	17.21	0	0.00	
HN	0	0.00	3	4.22	2	0.81	0	0.00	
OE	0	0.00	1	1.40	3	1.22	0	0.00	
OK	10	58.82	43	60.58	130	53.27	0	0.00	
OM	0	0.00	0	0.00	9	3.68	0	0.00	
ON	7	41.17	14	19.71	58	23.77	0	0.00	
<b>TOTAL COMPLETIONS</b>	<b>17</b>	<b>100.00</b>	<b>71</b>	<b>100.00</b>	<b>244</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	
<b>TOTAL MATCHES</b>	<b>49</b>		<b>225</b>		<b>586</b>		<b>0</b>		
<b>TOTAL MATCHED INDIVIDUALS</b>	<b>38</b>		<b>151</b>		<b>204</b>		<b>0</b>		
<b>INCOMPLETIONS</b>	<b>NO.</b>	<b>PERCENT OF MATCHES</b>	<b>NO.</b>	<b>PERCENT OF MATCHES</b>	<b>NO.</b>	<b>PERCENT OF MATCHES</b>	<b>NO.</b>	<b>PERCENT OF MATCHES</b>	
0-30 DAYS	0	0.00	0	0.00	0	0.00	0	0.00	
31-45 DAYS	0	0.00	0	0.00	0	0.00	0	0.00	
46-60 DAYS	0	0.00	0	0.00	0	0.00	0	0.00	
61-90 DAYS	0	0.00	0	0.00	0	0.00	0	0.00	
91 + DAYS	32	65.30	154	68.44	352	59.08	0	0.00	
<b>COMPLETIONS</b>									
0-30 DAYS	5	10.20	5	2.22	19	3.18	0	0.00	
31-45 DAYS	0	0.00	0	0.00	0	0.00	0	0.00	
46-60 DAYS	0	0.00	1	0.44	0	0.00	0	0.00	
61-90 DAYS	1	2.04	1	0.44	21	3.52	0	0.00	
91 + DAYS	11	22.44	64	28.44	204	34.22	0	0.00	

DMB4660A-DMB4601H  
RUN DATE: 08/18/93

CONNECTICUT DEPARTMENT OF INCOME MAINTENANCE  
ELIGIBILITY MANAGEMENT SYSTEM  
IEVS QUARTERLY REPORT  
048 DAYS AFTER END OF QUARTER  
THROUGH 08/30/1993

MATCH TYPE IRS  
MATCH DATE 05/1993  
DISTRICT OFFICE 51

COMPLETIONS BY OUTCOME

COMPLETIONS BY OUTCOME

# DELAWARE

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 666,168 (Rank - 46th)  
 Number of counties: 3  
 Per Capita Income: \$18,483 (Rank - 12th)  
 Unemployment (6/93): 4.5 %

1990 Program/Payment Error Rates:

		Rank
<i>AFDC</i>	6.22	39th
<i>Food Stamps</i>	8.28	25th
<i>Medicaid</i> <small>Payment Error Rate</small>	0.64	4th

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases <i>(Average Monthly)</i>	11	50.7%
Food Stamp Participants <i>(Average Monthly)</i>	54	84.6%
Medicaid Eligibles <i>(Annual)</i>	51	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 13 (25 Pool Units in 13 locations)  
 Approximate number of eligibility workers: NA  
 State Capitol: Dover  
 Welfare Agency: Department of Health and Social Services  
 Division of Social Services

IEVS Policy Contact: *William Garfinkel*  
 Director  
 Audit and Recovery Management Services  
 P.O. Box 906 (1901 North Dupont Highway)  
 CT Building DHHS Campus  
 New Castle, DE 19720 Ph#: 302/577-4564

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Delaware Client Information Systems (DCIS).  
*The system integrates the AFDC and FS program systems.*

IEVS System Contact: *Marvin Thomas*  
 Management Analyst  
 Ph#: 302/577-4956

System was FAMIS certified on April 17, 1989.

## PLANNED WELFARE SYSTEMS CHANGES

Recently completed a requirements analysis to determine if FAMIS enhancements are needed. In addition, enhancements are being made to the CSE system to meet Federal guidelines. This project was estimated to cost \$1.7 million.







# DELAWARE



<b>IEVS MONITORING AND TRACKING</b>	<table border="1"> <thead> <tr> <th data-bbox="414 367 1323 430">Routine Tracking Reports Include The Following:</th> <th data-bbox="1323 367 1461 430">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="414 451 1323 483"># of records submitted for matching</td> <td data-bbox="1323 451 1461 483">No</td> </tr> <tr> <td data-bbox="414 493 1323 525"># of records which matched with external data</td> <td data-bbox="1323 493 1461 525">No</td> </tr> <tr> <td data-bbox="414 535 1323 567"># of records resulting in alerts for workers</td> <td data-bbox="1323 535 1461 567">No</td> </tr> <tr> <td data-bbox="414 577 1323 609"># of alerts worked by eligibility workers</td> <td data-bbox="1323 577 1461 609">No</td> </tr> <tr> <td data-bbox="414 619 1323 651"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1323 619 1461 651">No</td> </tr> <tr> <td data-bbox="414 661 1323 693">Amount of savings determined from IEVS alerts</td> <td data-bbox="1323 661 1461 693">No</td> </tr> <tr> <td data-bbox="414 703 1323 735"># or alerts worked in 45 days</td> <td data-bbox="1323 703 1461 735">No</td> </tr> <tr> <td data-bbox="414 745 1323 777"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1323 745 1461 777">No</td> </tr> <tr> <td data-bbox="414 787 1323 819">Staff time to complete follow up</td> <td data-bbox="1323 787 1461 819">No</td> </tr> <tr> <td data-bbox="414 829 1323 861">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1323 829 1461 861">No</td> </tr> </tbody> </table>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	No	# of records which matched with external data	No	# of records resulting in alerts for workers	No	# of alerts worked by eligibility workers	No	# of alerts resulting in a change to eligibility status/benefit	No	Amount of savings determined from IEVS alerts	No	# or alerts worked in 45 days	No	# or alerts past due (not worked in 45 days)	No	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	No
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Staff time to complete follow up	No																						
Amount of IEVS identified savings actually recouped	No																						
<b>FLEXIBILITY AND FOLLOW UP</b>	<p>Delaware considers the 80 percent follow up rule to be reasonable given adequate staff for follow up. Staff stated, "IEVS has a lot of overlap and duplication." They suggest a national meeting be held with Federal agencies and State Fraud Units to discuss IEVS issues.</p> <p>Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are not at the expense of more important activities of workers such as overpayment claims development and collection activity.</p> <p>For a recent period (4th quarter of 1993), staff report more than 80 percent of IEVS match results were followed up on in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program.</p> <p>Workers are required to enter in the computer the results of follow up by using codes based on the action taken.</p>																						
<b>FOLLOW UP PRIORITIZATION</b>	<p>All IEVS matches (results) are prioritized equally for follow up.</p>																						
<b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b>	<p><b>BENDEX AND BEER:</b> To exchange data with SSA, Delaware presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, and submission of death records. Delaware has not yet implemented use of the State Verification and Exchange System.</p> <p>During 1992, no records were submitted to SSA for verification using the Enumeration Verification System.</p> <p><b>IRS:</b> Computer tapes are exchanged with IRS on a routine basis.</p>																						

# DELAWARE

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	73,318	0	71,789	73,427	73,940	75,534
MIDMONTH	6,444	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	76,546	76,993	76,750	77,926	79,581	0
MIDMONTH	NA	NA	0	0	0	79,792

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	69,520	880	69,391	70,383	71,159	72,135
MIDMONTH	8,031	NA	NA	108	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	73,829	73,849	74,392	75,071	76,642	7,343
MIDMONTH	27,253	NA	5,396	5,658	6,080	76,757

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	75,097	234	NA	69,549	71,113	72,722
MIDMONTH	8,264	222	NA	NA	38,718	4,745
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	74,300	75,199	75,464	76,756	78,525	7,093
MIDMONTH	14,698	NA	6,088	6,502	6,884	79,451

# DELAWARE

## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	10,388	0	4,214	7,550	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	23,025	6,839	0	7,667	17,763
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	9,001	7,710	7,380	0	12,731
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	10,138	7,759	8,005	0	13,012

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	7,049	7,358	NA	7,876	7,737	7,450
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	7,708	7,958	7,655	8,397	7,606	7,635
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	6,643	6,972	NA	7,474	7,348	7,085
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	7,292	7,523	7,252	7,958	7,196	7,246

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# DELAWARE

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	No
SSA Benefits	No
SSI Benefits	Yes
BEER	No

*Conclusions are based on experience rather than study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) NET: NA

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs NA
- Computer costs NA
- Costs associated with verification NA
- Other NA

NA

#### **BENEFITS**

- Recovered overpayments and debts NA
- Amounts of monthly benefits saved NA
- Other NA

NA

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** \$2,873

**BENEFITS** \$3,617

**NET:** \$744

*(For matches conducted in 1990)*

# DELAWARE



## MISCELLANEOUS

Delaware staff reported that the number of eligibility workers has remained constant over the last four years. The typical caseload of a worker who deals with ongoing cases is 294.

For the 1992 annual assessment period, the State's greatest source of AFDC payment error, based on Quality Control (QC) review, was due to earned income errors (either the client didn't report or the agency did not act on reported changes).

Over the past 12 months, staff report that the State Wage match and the BENDEX (SSA benefit) match both experience data reliability and accuracy problems. For the wage match, the wages per quarter, sometimes, do not match the current quarter report. For the BENDEX match, wrong dollar amounts are sometimes present.

When applicants apply, all records are submitted for matching with the match sources regardless of whether the Social Security Number of the person submitted has been verified. However, for ongoing matching, only recipients with valid SSNs are submitted.

# DISTRICT OF COLUMBIA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 606,900  
 Number of counties: NA  
 Per Capita Income: \$23,491  
 Unemployment (6/93): 9.0 %  
 1990 Program/Program Error Rates:

			<i>Rank</i>
<i>AFDC</i>	4.13		21st
<i>Food Stamps</i>	AFDC 9.16		30th
<i>Medicaid</i>	Payment Error Rate 0.73		8th

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 7/89
AFDC Cases <i>(Average Monthly)</i>	23	26.5%
Food Stamp Participants <i>(Average Monthly)</i>	86	48.6%
Medicaid Eligibles <i>(Annual)</i>	100	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 11 offices  
 Approximate number of eligibility workers: 800-860  
 State Capitol: NA  
 Welfare Agency: D.C. Department of Human Services  
 Commission on Social Services

IEVS Policy Contact: *Eric Simpson*  
*Acting Division Chief*  
*Income Maintenance Administration*  
*Bureau of Management Systems*  
*Verification Systems Division*  
*First and I Street S.W., Randall Bldg. Room 222*  
*Washington, D.C. 20024*  
*Ph#: 202/727-5041*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Automated Client Eligibility Determination System (ACEDS).  
*Transferred from South Carolina, the system integrates AFDC, Medicaid, and food stamps.*

IEVS System Contact: *Same as Above*

Presently completing FAMIS certification process.

## PLANNED WELFARE SYSTEMS CHANGES

Completion of a FAMIS system was estimated at a cost of \$9 million. This system replaced the previous system for AFDC, Medicaid, and food stamps. Additional computer systems development is occurring for the CSE system with estimated costs to complete development at \$5.2 million.



# DISTRICT OF COLUMBIA

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Monthly	Electronic (S & V)
UI	Yes	Daily	Yes	Weekly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	*	Yes	Monthly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Monthly	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

*\*At application, the worker enters the information into the Medicaid system which then does an automatic lookup for SSI.*

Although officials report the IRS match is done, only recently did the District of Columbia enter into the requisite matching agreement with IRS (effective August 7, 1993). Prior to this agreement, IRS data could not be released to the State (during FY 1992 and FY 1993).

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved by welfare receiving a copy of the Labor agency's data and loading it on the welfare agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All

# DISTRICT OF COLUMBIA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Change in income information Discrepancy (d) Tolerance (m)	Yes	New earnings where none listed prior <u>or</u> multiple employers in the same quarter <u>or</u> single employer and wages. (d) 10% between reported and listed dollars and m LE\$500 per quarter.
UI	Change in benefit information Discrepancy	Yes	New claim <u>or</u> received a final payment <u>or</u> difference in the weekly amount received from that recorded as received for the prior week.
IRS	Tolerance	Yes	Interest, dividends, or rent must be LE\$150.
SSA Benefits (Bendex)	Discrepancy	Yes	The Bendex amount must not be equal to the listed amount.
SSI Benefits (SDX)	Exclusion	Yes	The client must be SSI and AFDC active <u>or</u> the client must be SSI terminated.
BEER	Disregard certain information Unique information Tolerance	Yes	Disregard income from VA, MD, and DC and all earnings except for pension, agriculture, and self earnings. Wages must be LE\$5000.

Targeting criteria for Medicaid and Food Stamp programs differ from that of the AFDC program. The following reflect the differences:

Food Stamp Program	State Wage - (d) 20%
	SDX - Client FS active and dollar amounts do not match exactly
Medicaid Program	State Wage - (d) 10%
	SDX - Client SSI active and Medicaid inactive and dollar amounts do not match

# DISTRICT OF COLUMBIA

<b>IEVS MONITORING AND TRACKING</b>	<table border="1"> <thead> <tr> <th data-bbox="414 357 1323 430">Routine Tracking Reports Include The Following:</th> <th data-bbox="1323 357 1461 430">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="414 430 1323 483"># of records submitted for matching</td> <td data-bbox="1323 430 1461 483">NA</td> </tr> <tr> <td data-bbox="414 483 1323 535"># of records which matched with external data</td> <td data-bbox="1323 483 1461 535">NA</td> </tr> <tr> <td data-bbox="414 535 1323 588"># of records resulting in alerts for workers</td> <td data-bbox="1323 535 1461 588">NA</td> </tr> <tr> <td data-bbox="414 588 1323 640"># of alerts worked by eligibility workers</td> <td data-bbox="1323 588 1461 640">NA</td> </tr> <tr> <td data-bbox="414 640 1323 693"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1323 640 1461 693">NA</td> </tr> <tr> <td data-bbox="414 693 1323 745">Amount of savings determined from IEVS alerts</td> <td data-bbox="1323 693 1461 745">NA</td> </tr> <tr> <td data-bbox="414 745 1323 798"># of alerts worked in 45 days</td> <td data-bbox="1323 745 1461 798">NA</td> </tr> <tr> <td data-bbox="414 798 1323 850"># of alerts past due (not worked in 45 days)</td> <td data-bbox="1323 798 1461 850">NA</td> </tr> <tr> <td data-bbox="414 850 1323 903">Staff time to complete follow up</td> <td data-bbox="1323 850 1461 903">NA</td> </tr> <tr> <td data-bbox="414 903 1323 955">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1323 903 1461 955">NA</td> </tr> </tbody> </table>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	NA	# of records which matched with external data	NA	# of records resulting in alerts for workers	NA	# of alerts worked by eligibility workers	NA	# of alerts resulting in a change to eligibility status/benefit	NA	Amount of savings determined from IEVS alerts	NA	# of alerts worked in 45 days	NA	# of alerts past due (not worked in 45 days)	NA	Staff time to complete follow up	NA	Amount of IEVS identified savings actually recouped	NA
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# of alerts worked in 45 days	NA																						
# of alerts past due (not worked in 45 days)	NA																						
Staff time to complete follow up	NA																						
Amount of IEVS identified savings actually recouped	NA																						
<b>FLEXIBILITY AND FOLLOW UP</b>	<p>D.C. considers the 80 percent follow up rule to be unreasonable. Staff stated, "The number of days should take into account the number of days it takes a customer (client) to respond to requests for data."</p> <p>Interviewed staff could not provide statistics reporting whether efforts to meet the 45 day follow up timeframe requirement are being met. D.C. was still implementing its new computer system.</p> <p>Workers are required to enter in the computer the results of follow up by using codes based on the action taken.</p>																						
<b>FOLLOW UP PRIORITIZATION</b>	<p>All IEVS matches (results) are prioritized equally for follow up.</p>																						
<b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b>	<p><b>BENDEX AND BEER:</b> To exchange data with SSA, D.C. does not presently use SSA's File Transfer Management System (FTMS) to receive and/or send SSA data. Tape exchanges continue.</p> <p>During 1992, 23,300 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p><b>IRS:</b> Computer tapes have not been exchanged with IRS on a routine basis over the last several years.</p>																						

# DISTRICT OF COLUMBIA

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

*The District of Columbia recently completed the implementation of ACEDS in September of 1993. The implementation occurred during 1992 and 1993 with much of the data split between two systems (old and new). Thus, D.C. could not produce the information requested above.*

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	109,880	116,339	113,187	225,282	108,903	0
MIDMONTH	0	0	NA	0	0	131,966
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	620,937	623,658	522,939	146,484	0	0
MIDMONTH	NA	NA	0	0	140,741	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	101,030	77,382	102,444	107,449	99,083	4,001
MIDMONTH	429	NA	NA	419	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	550,041	549,220	176,237	129,458	21,051	24,530
MIDMONTH	90,302	NA	17,977	18,539	127,294	19,907

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	12,778	11,618	NA	16,023	11,650	83
MIDMONTH	58	4,767	NA	NA	2,494	23,371
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	98,464	95,154	8,209	18,091	8,420	8,554
MIDMONTH	9,168	NA	8,166	8,345	15,359	8,650

# DISTRICT OF COLUMBIA

## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	0	0	0
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	0	0	0

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# DISTRICT OF COLUMBIA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	Yes
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** NA

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	NA
- Computer costs	NA
- Costs associated with verification	NA
- Other	NA
	<hr/>
	NA

#### **BENEFITS**

- Recovered overpayments and debts	NA
- Amounts of monthly benefits saved	NA
- Other	NA
	<hr/>
	NA

#### **BENDEX MATCH (SSA Benefits and BEER)**

<b>COSTS</b>	NA
<b>BENEFITS</b>	NA
<b>NET:</b>	<hr/> NA





# FLORIDA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 12,937,926 (Rank - 4th)  
 Number of counties: 67  
 Per Capita Income: \$17,647 (Rank - 16th)  
 Unemployment (6/93): 7.6 %

1990 Program/Payment Error Rates:

		Rank
AFDC	9.72	51st
Food Stamps	9.66	32nd
Medicaid <small>Payment Error Rate</small>	2.56	43rd

Federal Share: AFDC 50% Medicaid 54.7%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases <small>(Average Monthly)</small>	234	92.6%
Food Stamp Participants <small>(Average Monthly)</small>	1,431	109.4%
Medicaid Eligibles <small>(Annual)</small>	1,408	102.4%

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 148 offices  
 Approximate number of eligibility workers: 3,934  
 State Capitol: Tallahassee  
 Welfare Agency: **Department of Health and Rehabilitative Services  
 Economic Services Program Office**

IEVS Policy Contact: *Patricia Bailey*  
*Sr. Human Services Program Specialist*  
*1317 Winewood Blvd.*  
*Building 6, Room 441*  
*Tallahassee, FL 32399-0700 Ph#: 904/487-4387*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: **Florida On-line Integrated Data Access System (FLORIDA).**  
*The AFDC, FS, and Medicaid programs are integrated into this system.*

IEVS System Contact: *Marie Harder*  
*Management Review Specialist*  
*1317 Winewood Blvd.*  
*Building B, Room 432*  
*Tallahassee, FL 32399-0700 Ph#: 904/488-2573*

System underwent FAMIS certification in 1994.

## PLANNED WELFARE SYSTEMS CHANGES

FAMIS is operational, but development activities continue through fiscal year 1995, at an estimated remaining cost of \$13.5 million, and the system's mainframe will be upgraded within the next 2-3 years at a projected cost of \$5.6 million. In addition, Florida has been conducting a feasibility study to assess potential alternative architectures to support FAMIS growth. Although no estimates were provided, additional FAMIS development costs could be significant in the 1995 and 1996 period.



# FLORIDA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Monthly	Electronic (S & V)
UI	Yes	Daily	Yes	Weekly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Annually	Electronic (S & V)
SSA Benefits	Yes	Daily	Yes	*	Electronic (S & V)
SSI Benefits	Yes	Daily	Yes	*	Electronic (S & V)
BEER	Yes	Daily	Yes	Daily	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

*\*Once accreted, State automatically notified when change occurs.*

The IEVS targets out duplicate information during the matches, only considering information unique from the match source. COLAs for SSA benefits and SSI benefits are automatically updated without requiring follow up by workers to confirm received information is accurate. Florida is presently making a modification to its system so that any BENDEX and/or SDX benefit information received throughout the year will be automatically disposed of by the computer just as it is done for the COLA.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch Online	Batch Online	Batch Online	Batch Online

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online following the time of application. Match information received can also be viewed for recipients. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available. Workers can view any previously reviewed and disposed match information (all historical information is retrievable from archives through a restoration request process).

Workers are required by policy to access available online information at application and redeterminations.

*Do Workers Have Online Access?*  
*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All

# FLORIDA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Discrepancy	Yes	GE\$75 per quarter.
UI	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
IRS	Tolerance	Yes	Tolerances vary by type of unearned income (1).
SSA Benefits (Bendex)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
SSI Benefits (SDX)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
BEER	Tolerance	Yes	LE\$100.

- (1) a. For amounts GE\$1000, follow up gross winnings, additional winnings, prizes and awards, per unit retain allocations, cash liquidation distribution, non-case liquidation distribution, stocks and bonds, and fair market value.
- b. For amounts GE\$60, follow up interest and savings bonds.
- c. For amounts GE\$50, follow up distribution shares, dividends, capital gains, non-taxable distributions, interest forfeiture, rents, royalties, substitute payments, IRA or SEP distributions, other pensions, and other taxable income.

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.

# FLORIDA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	NA
Amount of savings determined from IEVS alerts	Yes
# of alerts worked in 45 days	Yes
# of alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	Yes

## FLEXIBILITY AND FOLLOW UP

Florida considers the 80 percent follow up rule to be unreasonable. Staff stated, "The standard should reflect the amount of work processed rather than the percentage of work processed. States should not be punished for choosing to be more thorough (targeting less and doing more). Also, States with higher match rates due to demographics should not be punished as a result."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

During 1992, staff report somewhat less than 80 percent of IEVS match results were followed up on (65 percent follow up in 45 days). This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Florida presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, and SSI data. Use of FTMS began in 1991. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, and submission of death records. Florida implemented use of the State Verification and Exchange System in 1991.

During 1992, 690,445 were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.



# FLORIDA

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage		12,192,400	5,134,615	NA	NA	\$59,517
UI	10,418,671	5,546,620	734,188	NA	NA	\$13,371
IRS	5,083,740	2,102,039	177,777	NA	NA	\$6,816
SSA Benefits	9,879,189	2,472,328	2,472,328	NA	NA	\$66,540
SSI Benefits	NA	848,735	686,983	NA	NA	\$99,982
BEER	NA	273,178	71,614	NA	NA	\$71,614

*Estimated overpayments reflect the number of cases for which overpayment was detected. Florida does not have information on which to base the dollars for overpaid cases.*

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

BENDEX INPUT (May 1992-April 1993) (Accretions)						
	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	0	0	0	0	0	0
MIDMONTH	0	0	0	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	0	0	0	0	0	0
MIDMONTH	0	NA	0	0	0	0

BENDEX OUTPUT (May 1992-April 1993)						
	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	43,956	24,943	24,626	23,566	35,605	577,035
MIDMONTH	2,515	NA	NA	2,442	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	60,963	30,092	51,355	49,836	51,118	66,243
MIDMONTH	562,783	NA	19,351	22,430	26,871	31,922

BEER OUTPUT (May 1992-April 1993)						
	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	3,103	2,323	NA	2,504	1,787	1,268
MIDMONTH	783	2,100	NA	NA	0	1,747
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	3,697	5,811	8,209	10,259	12,650	14,905
MIDMONTH	9,168	NA	8,620	10,682	13,237	15,518

# FLORIDA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	282,423	0	206,528	293,611	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	534,315	265,985	24,564	987,858	11,615
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	12,531	0	1,397,836	780,368	0	520,445
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	796,568	0	916,787	518,977	211,335

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	537,218	132,249	612,443	444,611	208,357	NA
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	161,025	149,775	202,747	NA	187,727	197,211
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	537,218	281,060	618,284	448,844	210,385	NA
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	162,784	151,437	204,946	NA	189,794	199,247



# FLORIDA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	No
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

*These conclusions are based not on study, but on experience.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/90 to 6/91) **NET:** (\$165,513) LOSS

##### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$41,288
- Computer costs	\$568,061
- Costs associated with verification	\$0
- Other	\$14,763
	<hr/>
	\$624,112

##### **BENEFITS**

- Recovered overpayments and debts	\$0
- Amounts of monthly benefits saved	\$455,095
- Other	\$3,504
	<hr/>
	\$458,599

#### **BENDEX MATCH (SSA Benefits and BEER)**

<b>COSTS</b>	\$713,490
<b>BENEFITS</b>	\$1,239,149
<b>NET:</b>	<hr/>
	525,659

# FLORIDA



## MISCELLANEOUS

During CY 1992, Florida was completing its conversions to a statewide computer system called the Florida On-line Recipient Integrated Data Access (FLORIDA) System. Numerous system problems (including excessive "down time" and limited access) resulted in worker efforts being concentrated on essential case actions to ensure the issuance of benefits. As a result, IEVS reviews and the completion of savings calculations were often placed at a lower priority. Preliminary figures for 1993 show marked improvement in the completion of IEVS reviews.

Florida is currently redesigning its IEVS process on the FLORIDA System. Efforts to reduce the number of unnecessary matches requested, perform automated reviews, display responses in a more usable manner, and simplify the process of reviewing responses are anticipated to be completed in early 1995.

# GEORGIA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Tolerance	Yes	LE\$750 per quarter.
UI	Tolerance	Yes	LE\$100 per month.
IRS	Tolerance		LE\$50 per year.
SSA Benefits (Bendex)	Tolerance	Yes	LE\$100.
SSI Benefits (SDX)	State not matching		
BEER	Tolerance	Yes	LE\$300 per year.

All targeting for follow up is manual at the local office level. Targeting criteria for Medicaid and Food Stamp programs differ from that of the AFDC program. The following reflect the differences:

Food Stamp Program	State Wage - Tolerance m \$300 per quarter
	UI - No m
	Bendex - No m
	BEER - m \$300 per year
Medicaid Program	For all matches, no m for Aged, Blind, and Disabled



# GEORGIA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	No
# of alerts resulting in a change to eligibility status/benefit	No
Amount of savings determined from IEVS alerts	No
# or alerts worked in 45 days	No
# or alerts past due (not worked in 45 days)	No
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Georgia considers the 80 percent follow up rule to be reasonable; however, state staff stated, "Follow up should be done at the next review instead of 45 days."

Georgia uses an online Clearinghouse system to provide current information to workers for UI, wages, and SSI information. This system is used at review and at interim change to verify benefits and income. This system substitutes for the required quarterly IEVS State Wage match.

Workers are required to enter in the computer the results of follow up by writing a narrative description of the action taken on the alert.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Georgia presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, and SSI data. Use of FTMS began in 1992. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Georgia has not yet implemented use of the State Verification and Exchange System.

During 1992, no records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.

# GEORGIA

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	5,039,617	4,361,959	643,179	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	385,347	320,461	127,734	NA	NA	NA
SSA Benefits	268,752	278,520	25,861	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	40,348	41,688	7,016	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	20,821	46,731	33,567	32,945	32,339	13,263
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	35,721	21,442	62,417	19,100	18,451	38,448
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	39,088	16,643	45,287	44,327	49,812	577,035
MIDMONTH	1,061	NA	NA	1,073	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	64,500	39,336	71,213	39,001	40,503	72,337
MIDMONTH	295,285	NA	11,775	13,776	16,046	18,679

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	25,523	5,881	NA	21,743	21,868	1,052
MIDMONTH	629	19,019	NA	NA	11,972	1,406
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	25,307	28,582	27,448	30,687	33,036	34,865
MIDMONTH	97,913	NA	9,039	11,525	14,012	16,328

# GEORGIA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	17,241	0	20,372	22,482	21,266	43,430
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	18,864	22,158	20,235	20,851	35,716
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	19,145	19,313	19,227	21,188	40,026
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	37,677	18,980	20,739	0	35,909

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# GEORGIA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	No
IRS	No
SSA Benefits	No
SSI Benefits	Yes
BEER	No

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) NET: (\$243,587) LOSS

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$15,658
- Computer costs	\$3,000
- Costs associated with verification	\$121,380
- Other	\$106,452

\$246,490

#### BENEFITS

- Recovered overpayments and debts	\$0
- Amounts of monthly benefits saved	\$2,765
- Other	\$137

\$2,903

#### BENDEX MATCH (SSA Benefits and BEER)

COSTS \$1,509

BENEFITS NA

NET: NA

# GEORGIA



## MISCELLANEOUS

Georgia presently uses an alternate source for the State wage quarterly matches prescribed by IEVS regulations. Specifically, Georgia uses its Clearinghouse. The Clearinghouse is an On-line Inquiry function of the PARIS system (via the Main PARIS menu) for use by eligibility workers and supervisors. The Clearinghouse is designed to facilitate efficiency and accuracy in eligibility determination by increasing the amount of information available at the point of the client interview.

The Clearinghouse may be accessed through the PC or existing PARIS terminals. Available in the Clearinghouse is the following information:

### The Clearinghouse

State Data Exchange (SDX)	<i>Current benefit and demographic information on SSI recipients</i>
Department of Labor Wage files (DOL)	<i>Most recent five quarters employer name, employer number, and amount of wages earned.</i>
Department of Labor Employer Address Files	<i>Address of each employer.</i>
Department of Labor Unemployment Compensation Benefits File	<i>Amount and dates of UCB payments made for the most recent 13 months and for the last 10 weeks.</i>
Department of Labor UCB Claimant Address File	<i>Address of each UCB recipient.</i>
SSA Beneficiary and Earnings Exchange (BENDEX)	<i>Current benefit and demographic information on RSDI recipients who are, or have been recipients of public assistance.</i>
SDX, Bendex, DOL Wage Files, DOL UIB File	<i>Current benefit and demographic information on RSDI and SSI recipients. Most recent 5 quarter wages earned. Amount and dates UIB payments. All displayed automatically during initial application through online PARIS. (An interactive initial interview system which includes all applicants for assistance.)</i>

# HAWAII

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 1,108,229 (Rank - 41st)  
 Number of counties: 4  
 Per Capita Income: \$18,472 (Rank - 13th)  
 Unemployment (6/93): 5.1 %

1990 Program/Payment Error Rates:

		Rank
AFDC	2.72	8th
Food Stamps	4.06	1st
Medicaid <small>Payment Error Rate</small>	0.57	3rd

Federal Share: AFDC 52.6% Medicaid 52.6%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases <small>(Average Monthly)</small>	17.3	24.5%
Food Stamp Participants <small>(Average Monthly)</small>	96	20.1%
Medicaid Eligibles <small>(Annual)</small>	91	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 44 offices  
 Approximate number of eligibility workers: 407  
 State Capitol: Honolulu  
 Welfare Agency: Department of Human Services  
 Family and Adult Services Division

IEVS Policy Contact: *Pat Murakami*  
*Income Maintenance Program Dev. Administrator*  
*810 Richards St., Suite 500*  
*Honolulu, HI 96813 Ph#: 808/586-5733*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Hawaii Automated Welfare Information System (HAWI).  
*The AFDC and FS portions of the system were transferred from Arizona. AFDC, Medicaid, and food stamps are integrated.*

IEVS System Contact: *James Lum*  
*Staff Manager*  
*Systems Development Staff I (SDSI)*  
*Ph# 808/586-5152*

System was FAMIS certified effective September 1, 1988.

## PLANNED WELFARE SYSTEMS CHANGES

Hawaii is in the process of developing four different automated systems. First, a JOBS system is under development with an estimated cost of \$1.5 million to complete. Hawaii plans to have this system interface with both a child care system with projected costs of \$300,000, and a combined food stamps/JOBS demonstration project system expected to cost \$200,000 to develop. The CSE system first phase development will cost approximately \$20 million. Contingent on certification of the first phase, a second phase, costing approximately \$5 million, has been reviewed and approved, but not yet funded.

# HAWAII

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Quarterly	Online/Hardcopy
UI	Yes	Daily	Yes	Monthly	Online/Hardcopy
IRS	Yes	Monthly	Yes	Annually	Hardcopy
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Annually	Hardcopy

*S & V = Sent to worker and viewed on worker's screen.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch Online	Batch Online	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	Yes	Yes	No
All	All		All	All	

# HAWAII

## IEVS TARGETING METHODS

*(AFDC Program)*

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	No targeting		
UI	No targeting		
IRS	Exclusion		Client active for tax period to which match pertains.
SSA Benefits (Bendex)	No targeting		
SSI Benefits (SDX)	No targeting		
BEER	Exclusion Disregard certain information	Yes	Client active for part of time period to which match pertains. Disregard all except agriculture, Federal earnings and pensions, military income, and self employment.

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.



# HAWAII

## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	No
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	No
# of alerts resulting in a change to eligibility status/benefit	No
Amount of savings determined from IEVS alerts	No
# or alerts worked in 45 days	No
# or alerts past due (not worked in 45 days)	No
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Hawaii considers the 80 percent follow up rule to be unreasonable. Staff stated, "Regulations should be more flexible when match data is incomplete or inconsistent."

For 1993, staff report more than 80 percent of IEVS match results were followed up on in 45 days.

Workers may specify the results of IEVS follow up in unit reports.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Hawaii presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, and SSI data. Use of FTMS began in 1992. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Hawaii has not yet implemented use of the State Verification and Exchange System.

**IRS:** Computer tapes are not exchanged routinely each month with IRS.

# HAWAII

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	82,524	71,243	52,762	52,762	3	\$78,732
UI	8,777	34,752	33,526	33,526	11	\$8,255
IRS	177,084	21,250	21,250	21,250	50	\$9,459
SSA Benefits	313,121	70,557	17,373	NA	NA	NA
SSI Benefits	164,892	17,567	5,425	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	19,488	17,050	20,324	18,006	22,792	20,170
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	24,253	22,518	25,999	22,792	0	0
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	25,002	20,067	25,026	22,475	28,910	25,274
MIDMONTH	218	NA	NA	197	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	32,533	29,308	34,611	30,418	5,132	25,495
MIDMONTH	36,599	NA	3,202	3,766	4,031	4,386

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	23,438	18,833	NA	19,677	25,198	22,795
MIDMONTH	125	2,112	NA	NA	14,975	587
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	27,603	26,533	30,527	27,854	2,930	3,238
MIDMONTH	36,464	NA	2,156	2,757	3,026	3,336

# HAWAII

## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	31,278	0	0	91,531	0	25,950
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	24,493	0	25,199
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	101,442	0	30,310
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	28,883	0	29,888

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,397	4,992	NA	5,698	8,782	6,090
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	7,552	5,173	6,575	12,370	6,838	5,784
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	6,740	4,094	NA	4,588	6,461	4,849
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	5,423	3,940	4,688	9,124	4,923	4,297

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# HAWAII

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	DK
SSA Benefits	DK
SSI Benefits	DK
BEER	DK

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) NET: \$74,096

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$50,597
- Computer costs	\$1,030
- Costs associated with verification	\$6,616
- Other	\$957

\$74,096

#### BENEFITS

- Recovered overpayments and debts	\$63,473
- Amounts of monthly benefits saved	\$73,131
- Other	\$311

\$136,915

#### BENDEX MATCH (SSA Benefits and BEER)

COSTS \$27,649

BENEFITS NA

NET: NA

*(For matches done during calendar year 1990)*

# HAWAII



## MISCELLANEOUS

Over the past five years, Hawaii staff report the number of workers has increased only slightly from 400 positions to approximately 407 (excluding supervisory personnel). The typical caseload is approximately 200 and the average number of alerts a typical worker receives is over one thousand.

During 1992 annual assessment period, the State's greatest source of AFDC payment error, based on quality control review, was for real property.

Staff report data reliability problems with labor (wage and UI) data because the Labor agency is not required by IEVS to maintain a SSN verification process or make corrections to labor files once an error has been detected. As a consequence, staff report many IEVS hits are for the wrong person's record because the Labor's file has the wrong SSN recorded. IEVS matches with these files are based primarily on the SSN and secondarily on name.



# IDAHO

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 1,006,749 (Rank - 42nd)  
 Number of counties: 44  
 Per Capita Income: \$13,707 (Rank - 40th)  
 Unemployment (6/93): 6.0 %

### 1990 Program/Payment Error Rates:

		Rank
AFDC	3.13	11th
Food Stamps	8.44	28th
Medicaid <small>Payment Error Rate</small>	0.39	1st

Federal Share: AFDC 65% Medicaid 73.2%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases <small>(Average Monthly)</small>	7	24.1%
Food Stamp Participants <small>(Average Monthly)</small>	71	29.13%
Medicaid Eligibles <small>(Annual)</small>	70	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 29 offices  
 Approximate number of eligibility workers: 266  
 State Capitol: Boise  
 Welfare Agency: Idaho Department of Health and Welfare  
 Department of Welfare Programs

IEVS Policy Contact: *Linda White*  
*Welfare Program Specialist*  
*Department of Welfare Programs*  
*450 W. State, 6th floor*  
*Boise, ID 83720-5450 Ph#: 208/334-5818*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Eligibility Programs Integrated Computer System (EPICS).  
*EPICS integrates AFDC, food stamps, and Medicaid.*

IEVS System Contact: *Willie Uhrig*  
*Supervisor, EPICS*  
*Ph#: 208/334-5818*

System was FAMIS certified on July 1, 1988.

## PLANNED WELFARE SYSTEMS CHANGES

Idaho is planning to improve its FAMIS online capabilities at an estimated cost of \$4.1 million.

# IDAHO



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Weekly	Yes	Varies	Electronic (S) and print
UI	Yes	Weekly	Yes	Varies	Electronic (S) and print
IRS	Yes	Monthly	Yes	Monthly	Hardcopy
SSA Benefits	Yes	Monthly	Yes	Varies	Electronic (S) and print
SSI Benefits	Yes	Monthly	Yes	Varies	Electronic (S) and print
BEER	Yes	Monthly	Yes	Monthly	Hardcopy

*S & Print = Sent to workers/local office electronically and then printed to give to worker.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

Only UI information is available to the worker online at the time of application.

Workers are required by policy to access available online information at application and redeterminations.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
No	Yes	No	No	No	No
	Most				



# IDAHO

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	No targeting		
UI	No targeting		
IRS	Exclusion (e) Disregard certain information Tolerance (m)	No	See (1).
SSA Benefits (Bendex)	No targeting		
SSI Benefits (SDX)	No targeting		
BEER	Exclusion (e) Disregard certain information Tolerance (m)	No	(e) For all earnings except pensions and self-employment, client must have been involved in a benefit program the entire tax year. Disregard all earnings except pension, self employment, agriculture, and wages attributed to an out of State employer. (m) Out of State income LE\$1000.

- (1)
- a. Interest/dividend income GE\$75 and does not require program participation in the reported tax year
  - b. Out of State unemployment GE\$1000 and requires 6 months of program participation during the tax year
  - c. 1099 reserved income GE\$1000 and requires 6 months of program participation during the tax year

Alerts are prioritized manually centrally using various schemes - the source of the match; the amount of discrepancy or tolerance; the type of data or dollar amount indicated (IRS and BEER). Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.



# IDAHO



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="410 369 1317 449">                     Routine Tracking Reports Include The Following:  <i>(Done for IRS and BEER matches only)</i> </th> <th data-bbox="1317 369 1474 449">                     Included in Report                 </th> </tr> </thead> <tbody> <tr> <td data-bbox="410 449 1317 491"># of records submitted for matching</td> <td data-bbox="1317 449 1474 491">Yes</td> </tr> <tr> <td data-bbox="410 491 1317 533"># of records which matched with external data</td> <td data-bbox="1317 491 1474 533">Yes</td> </tr> <tr> <td data-bbox="410 533 1317 575"># of records resulting in alerts for workers</td> <td data-bbox="1317 533 1474 575">Yes</td> </tr> <tr> <td data-bbox="410 575 1317 617"># of alerts worked by eligibility workers</td> <td data-bbox="1317 575 1474 617">Yes</td> </tr> <tr> <td data-bbox="410 617 1317 659"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1317 617 1474 659">Yes</td> </tr> <tr> <td data-bbox="410 659 1317 701">Amount of savings determined from IEVS alerts</td> <td data-bbox="1317 659 1474 701">Yes</td> </tr> <tr> <td data-bbox="410 701 1317 743"># or alerts worked in 45 days</td> <td data-bbox="1317 701 1474 743">No</td> </tr> <tr> <td data-bbox="410 743 1317 785"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1317 743 1474 785">No</td> </tr> <tr> <td data-bbox="410 785 1317 827">Staff time to complete follow up</td> <td data-bbox="1317 785 1474 827">No</td> </tr> <tr> <td data-bbox="410 827 1317 867">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1317 827 1474 867">Yes</td> </tr> </tbody> </table>	Routine Tracking Reports Include The Following: <i>(Done for IRS and BEER matches only)</i>	Included in Report	# of records submitted for matching	Yes	# of records which matched with external data	Yes	# of records resulting in alerts for workers	Yes	# of alerts worked by eligibility workers	Yes	# of alerts resulting in a change to eligibility status/benefit	Yes	Amount of savings determined from IEVS alerts	Yes	# or alerts worked in 45 days	No	# or alerts past due (not worked in 45 days)	No	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	Yes
Routine Tracking Reports Include The Following: <i>(Done for IRS and BEER matches only)</i>	Included in Report																						
# of records submitted for matching	Yes																						
# of records which matched with external data	Yes																						
# of records resulting in alerts for workers	Yes																						
# of alerts worked by eligibility workers	Yes																						
# of alerts resulting in a change to eligibility status/benefit	Yes																						
Amount of savings determined from IEVS alerts	Yes																						
# or alerts worked in 45 days	No																						
# or alerts past due (not worked in 45 days)	No																						
Staff time to complete follow up	No																						
Amount of IEVS identified savings actually recouped	Yes																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p>Idaho considers the 80 percent follow up rule to be unreasonable. Staff stated, "States should be given 60 days routinely, plus 15 days more for BEER and IRS alerts."</p> <p>For calendar year 1993, staff report less than 80 percent of IEVS match results were followed up on (60 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.</p> <p>Workers record the results of their review differently depending of the local office's practice.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p>IRS and BEER alerts are prioritized for review based upon the type and/or amount of the information.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p><b>BENDEX AND BEER:</b> To exchange data with SSA, Idaho presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1991. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Idaho has not yet implemented use of the State Verification and Exchange System.</p> <p>During 1992, 129,221 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p><b>IRS:</b> Computer tapes are exchanged with IRS on a routine basis.</p>																						



# IDAHO

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	1,683,724	247,768	247,768	NA	NA	NA
UI	8,821,740	837,240	837,240	NA	NA	NA
IRS	148,103	42,132	2,364	NA	NA	NA
SSA Benefits	192,083	21,465	21,465	NA	NA	NA
SSI Benefits		1,692,900	1,692,900	NA	NA	NA
BEER		184,204	1,122	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	14,421	13,812	13,498	15,559	15,218	16,595
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	17,010	17,306	18,646	16,431	16,495	17,282
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	19,039	16,916	15,849	19,8889	18,408	21,186
MIDMONTH	398	NA	NA	205	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	23,332	21,683	25,727	22,409	22,768	25,495
MIDMONTH	41,009	NA	3,624	4,233	4,808	5,496

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	12,298	11,577	NA	11,211	11,624	12,630
MIDMONTH	85	808	NA	NA	7,170	670
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	13,007	15,175	16,762	15,482	16,174	16,731
MIDMONTH	46,155	NA	2,447	2,848	3,365	3,881

# IDAHO



## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,901	13,571	13,394	14,405	15,219	23,597
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	22,849	273,486	14,425	13,599	19,501
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,818	15,921	154,725	0	12,704	0
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	24,167	15,510	16,119	14,183	25,070

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	15,466	12,549	NA	15,924	0	13,168
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	16,041	10,733	12,876	14,652	14,433	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	14,184	11,511	NA	14,651	0	12,060
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	14,705	9,651	11,694	13,311	13,353	0

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# IDAHO

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	Yes
SSA Benefits	Yes
SSI Benefits	Yes
BEER	No

*Conclusions are based on experience rather than study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) . NET: \$13,138

##### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$111,696
- Computer costs	\$12,909
- Costs associated with verification	\$1,203
- Other	\$1,297

\$127,105

##### **BENEFITS**

- Recovered overpayments and debts	\$78,551
- Amounts of monthly benefits saved	\$61,692
- Other	\$0

\$140,243

#### BENDEX MATCH (SSA Benefits and BEER)

<b>COSTS</b>	\$83,166
<b>BENEFITS</b>	\$557,789
<b>NET:</b>	<u>\$474,623</u>

*The information for the BENDEX statistics were not readily available. The data shown are based upon estimates gathered through a sampling of cases conducted via contact with the field office staff, as well as review of caseload, payment, and administrative cost statistics. Not included is the monetary value for the nonquantifiable benefits such as deterrent effects, increased staff morale, and greater public confidence. Such effects are impossible to value.*

# IDAHO



## MISCELLANEOUS

The following are the results of a BEER cost-benefit analysis conducted by Idaho and submitted with its Food Stamps program targeting plan.

### BEER Target Study Cost-Benefit Analysis

This Analysis is based on the August 1990 annual BEER report. There were 7717 cases (8829 clients) in the sample universe. The selection criteria was as follows:

- o All cases identified as "no match" were excluded (22.5% clients).
- o Of the remaining cases, every 18th case was selected. The selection of every 18th case produced a sample of 424 cases representing all seven regions within the State.
- o Of the 424 cases, 257 were eliminated due to no program involvement within the 1989 tax year resulting in 167 cases.
- o Of the 167 cases all but two had Food Stamp involvement resulting in 165 target cases. (132 cases had only Food Stamp involvement and 33 cases had involvement in Food Stamps and at least one other benefit program.)

The selection criteria was applied after the match but before any follow-up action comparing the results of the match to casefile information.

Of the 165 reviewed cases, two cases (1%) had Social Security numbers misreported by employers, two cases (1%) had income which was not verified as both cases had been closed 12 months or more before view of the data, three cases (2%) had unreported income resulting in overpayments/ineligibility of \$221, \$224 and \$1349 respectively. (All overpayments were discovered on cases which were open the entire tax year.) Eighty-six cases (52%) had reported all wages, and 72 cases (44%) had no program involvement when the income was earned.

None of the 165 cases involved pension or self-employment income. We have found follow-up on those kinds of cases outside the report are generally productive.

# ILLINOIS

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 11,430,602 (Rank - 6th)  
 Number of counties: 102  
 Per Capita Income: \$18,824 (Rank - 11th)  
 Unemployment (6/93): 8.4 %

1990 Program/Payment Error Rates:

		Rank
AFDC	5.4	33rd
Food Stamps	10.9	41st
Medicaid	2.40	38th

*Payment Error Rate*

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	228	14.9%
Food Stamp Participants (Average Monthly)	1,158	18.9%
Medicaid Eligibles (Annual)	1,144	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 136 offices  
 Approximate number of eligibility workers: NA  
 State Capitol: Springfield  
 Welfare Agency: Illinois Department of Public Aid

IEVS Policy Contact: **Ken Durst**  
 Chief  
 Bureau of Research and Analysis  
 100 S. Grand Ave., East  
 Springfield, IL 62762 Ph#: 217/782-1128

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Automated Intake System (AIS);  
 Automated Case Management (ACM).  
*None of the three major welfare programs are integrated.*

IEVS System Contact: **Odell Roberts**  
 Chief  
 Bureau of Information Systems  
 Ph#: 217/782-1351

System was FAMIS certified on July 1, 1987.

## PLANNED WELFARE SYSTEMS CHANGES

Illinois plans significant enhancements to its FAMIS, MMIS, and JOBS systems. Estimated costs are \$1 million, \$5.9 million, and \$800,000, respectively.

# ILLINOIS

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers	
	Done?	When	Done?	When		
State Wage	Yes	Daily	Yes	Quarterly	Hardcopy	*
UI	Yes	Daily	Yes	Monthly	Hardcopy	*
IRS	Yes	Monthly	Yes	Annually	Hardcopy	
SSA Benefits	Yes	Monthly	Yes	Monthly	Hardcopy	*
SSI Benefits	Yes	Monthly	Yes	Monthly	Hardcopy	*
BEER	Yes	Monthly	Yes	Monthly	Hardcopy	

\* Applicant match results are transmitted electronically to the field office and then printed for the workers.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch Online	Batch Online	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online. Additionally, if the applicant was a prior client, historical SSA benefit information may be available.

Workers are required by policy to access available online information at application, but not at redetermination/review.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	Yes	Yes	No
All	All		All	All	

# ILLINOIS

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (a) Discrepancy (b)	Yes	(a) pregnant women and children under the MANG-P program* and children with exempt income and client must be active for all of the time period to which match pertains. (b) GE\$150.
UI	Exclusion (a) Tolerance (c)	Yes	(a) pregnant women and children under the MANG-P program only and client must be active for all of the time period to which match pertains. (c) LE15%.
IRS	Exclusion (a) Discrepancy (b)	Yes	(a) pregnant women (MANG) and disabled clients presumed to be SSI eligible and on interim assistance. (b) GE\$1 above the asset limit or GE\$50 annually above that budgeted for retirement income.
SSA Benefits (Bendex)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
SSI Benefits (SDX)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
BEER	Exclusion (a) Disregard certain information Discrepancy (b) Other	Yes	(a) pregnant women (MANG) and both disabled or blind clients presumed to be SSI eligible and on interim assistance. Disregard duplicate information provided by State wage match. (b) GE\$50 between that budgeted and reported income for December of income year.

\* MANG-P: Medical Assistance No Grant for Pregnant Women

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.



# ILLINOIS

## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	No *
# or alerts worked in 45 days	Yes
# or alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

\* Available annually.

## FLEXIBILITY AND FOLLOW UP

Illinois considers the 80 percent follow up rule to be unreasonable. Staff stated, "States should be given the flexibility to review the information at the next redetermination or at the next unscheduled case review."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For calendar year 1993, staff report more than 80 percent of IEVS match results were followed up on in 45 days.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken. Also, a narrative description of the action taken is to be specified on the hardcopy alert.

## FOLLOW UP PRIORITIZATION

IEVS match results are prioritized for follow up based on the type of match. This prioritization is done manually by the workers.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Illinois presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, and submission of death records. Illinois has not yet implemented use of the State Verification and Exchange System. BEER information is exchanged electronically.

During 1992, 681,314 were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.

# ILLINOIS

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	6,366,581	663,280	209,865	119,435	14,435	\$20,291,095
UI	18,971,400	278,097	131,150	52,164	9,000	\$1,808,956
IRS	708,549	708,148	6,965	6,600	512	\$34,965
SSA Benefits	17,123,234	3,310,184	135,139	133,419	126,154	\$374,249
SSI Benefits						
BEER	726,744	31,955	978	913	106	\$30,679

\* SSI (SDX) and SSA (BENDEX) data are processed jointly; all figures reflected include both.

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) - (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	76,355	69,606	60,856	438,891	417,794	0
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	826,937	381,889	410,755	412,360	772,535	775,124
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	118,845	42,150	93,096	488,065	478,235	24,511
MIDMONTH	3,498	NA	NA	3,323	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	533,685	443,628	481,834	471,535	534,226	597,102
MIDMONTH	458,687	NA	45,255	52,951	62,155	72,458

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	43,270	8,680	NA	376,057	339,409	2,695
MIDMONTH	987	31,583	NA	NA	244,770	3,724
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	367,703	331,045	358,635	363,936	366,149	357,278
MIDMONTH	117,374	NA	221,822	27,221	32,261	37,302

# ILLINOIS

## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	1,219,131	49,663	57,568	58,007	55,917	53,715
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	111,138	53,006	53,583	0	107,115
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	54,278	58,104	65,565	0	130,382	61,176
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	123,122	66,934	58,778	63,571	61,735

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	117,431	NA	57,042	0	67,835
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	96,490	86,662	59,569	0	73,597	168,643
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	89,389	NA	35,914	0	45,342
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	76,419	63,298	38,650	0	65,151	126,809

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# ILLINOIS

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

Is Match Cost Effective?

State Wage	YES
Unemployment	YES
IRS	YES
SSA Benefits	YES
SSI Benefits	YES
BEER	YES

Conclusions are based on experience (except for the State Wage match which is based on results of a State study).

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 1/90 to 12/90) **NET:** \$1,809,910

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$19,286
- Computer costs	\$7,208
- Costs associated with verification	\$884,720
- Other	\$3,788

\$915,002

#### BENEFITS

- Recovered overpayments and debts	NA
- Amounts of monthly benefits saved	\$2,724,912
- Other	\$0

\$2,724,912

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** \$180,443

**BENEFITS** \$1,128,896

**NET:** \$948,453

# ILLINOIS

## MISCELLANEOUS

The following is an example of a report for workers resulting from the wage match. Included on the report are wages for the past six quarters, the employer name and address, case earnings reported, and the difference between the case earnings budgeted and the earnings reported to the wage agency.

```

JOB # R1810050-02          REGION 01          ILLINOIS DEPARTMENT OF PUBLIC AID          PAGE          91
LOCAL OFFICE: 053          AUGUST, 1993          INTEGRATED CRITERIA LIST          RPT PAGE          698
CASELOAD: 812          CRITERIA CODE INFORMATION ONLY          REMOVE CODE(S) BY 09/93 SCH. OP CUT-OFF          07/12/93
-----
19. CASE NAME AND ADDRESS          CASE ID          CERTIF          18 YR OLD          CHILD          BIRTHDATE          POTENTIAL PROJ.          PROBABLE ERROR          CRITERIA CODE          SELECTION DATE
                                60175          EXP          09-93          C IDES EARNINGS:          C--
                                EMPLOYER ID:          CODE TITLE          08/93
                                NAME AND ADDRESS          WAL-HART STORES INC XPAYROLL DEPT          $0.00          $0.00          $0.00          $0.00          $0.00
                                0600485A000          702 S.W. 8TH STREET          BENTONVILLE          AR          72716          $2153.82          $0.00          $0.00          $0.00          $0.00
                                GROSS EARNINGS RECEIVED IN BUDGET MONTHS
                                JAN-FE-HR 93          0C-NO-DE 92          JL-AU-SE 92          AP-MI-JN 92          JA-FE-HR 92          0C-NO-DE 91
                                $2,153.82          $0.00          $0.00          $0.00          $0.00          $0.00
-----
TOTAL CASE EARNINGS          $0.00
GROSS EARNINGS RECEIVED          $2,153.82
GROSS EARNINGS BUDGETED          $0.00
DIFFERENCE          $2,153.82
GROSS EARNINGS RECEIVED          $0.00
GROSS EARNINGS BUDGETED          $0.00
DIFFERENCE          $0.00
JAN 93, FEB 93, MAR 93          MAR 93, APR 93, MAY 93
$2,153.82          $0.00
    
```

Sample Wage Report

# INDIANA

<p><b>DEMOGRAPHICS AND PROGRAM STATISTICS</b></p>	<p>Population: 5,544,159 (Rank - 14th)          Number of counties: 92          Per Capita Income: \$15,779 (Rank - 30th)          Unemployment (6/93): 5.7 %          1990 Program/Payment Error Rates:</p> <table border="0"> <tr> <td></td> <td></td> <td><i>Rank</i></td> </tr> <tr> <td><i>AFDC</i></td> <td>4.82</td> <td>28th</td> </tr> <tr> <td><i>Food Stamps</i></td> <td>11.38</td> <td>46th</td> </tr> <tr> <td><i>Medicaid</i></td> <td><i>Payment Error Rate</i> 2.11</td> <td>36th</td> </tr> </table> <p>Federal Share: AFDC <u>59.8%</u> Medicaid <u>63.9%</u></p> <div style="border: 1px solid black; border-radius: 15px; padding: 10px; margin-top: 10px;"> <p style="text-align: center;"><i>1992 Welfare Population</i></p> <table border="0" style="width: 100%;"> <thead> <tr> <th></th> <th style="text-align: center;"><i>Count in thousands</i></th> <th style="text-align: center;"><i>% Change from 789</i></th> </tr> </thead> <tbody> <tr> <td><i>AFDC Cases (Average Monthly)</i></td> <td style="text-align: center;">71</td> <td style="text-align: center;">38.2%</td> </tr> <tr> <td><i>Food Stamp Participants (Average Monthly)</i></td> <td style="text-align: center;">465</td> <td style="text-align: center;">68.2%</td> </tr> <tr> <td><i>Medicaid Eligibles (Annual)</i></td> <td style="text-align: center;">415</td> <td style="text-align: center;">N/A</td> </tr> </tbody> </table> </div>			<i>Rank</i>	<i>AFDC</i>	4.82	28th	<i>Food Stamps</i>	11.38	46th	<i>Medicaid</i>	<i>Payment Error Rate</i> 2.11	36th		<i>Count in thousands</i>	<i>% Change from 789</i>	<i>AFDC Cases (Average Monthly)</i>	71	38.2%	<i>Food Stamp Participants (Average Monthly)</i>	465	68.2%	<i>Medicaid Eligibles (Annual)</i>	415	N/A
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<i>Medicaid Eligibles (Annual)</i>	415	N/A																							
<p><b>WELFARE ADMINISTRATION</b></p>	<p><b>STATE ADMINISTERED</b></p> <p>Number of local welfare offices: 110 offices          Approximate number of eligibility workers: NA          State Capitol: Indianapolis          Welfare Agency: <b>Indiana Family and Social Services Administration</b>          Division of Family and Children</p> <p>IEVS Policy Contact: <i>Bill Hastings</i>  <i>Food Stamp Policy Supervisor</i>  <i>Family Independence Bureau</i>  <i>W363 Government Center South</i>  <i>402 W. Washington St.</i>  <i>Indianapolis, Indiana 46204 Ph#: 317/232-4946</i></p>																								
<p><b>CASE MANAGEMENT AND ELIGIBILITY SYSTEM</b></p>	<p>System Name: <b>Indiana Client Eligibility System (ICES).</b>  <i>AFDC, Medicaid, and food stamps are integrated.</i></p> <p>IEVS System Contact: <i>Pat Roberson</i>  <i>ICES Track Manager</i>  <i>Ph#: 317/464-2356</i></p> <p>Presently seeking or recently completed FAMIS certification.</p>																								
<p><b>PLANNED WELFARE SYSTEMS CHANGES</b></p>	<p>Indiana is completing development a FAMIS system to support AFDC, food stamps, and Medicaid eligibility.</p>																								

# INDIANA

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers *
	Done?	When	Done?	When	
State Wage	Yes	Weekly	Yes	Quarterly	Electronic (S & V)
UI	Yes	Weekly	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Annually	Electronic (S & V)
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Annually	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

*\* Non-ICES counties receive hardcopy reports.*

According to Indiana officials, IEVS reports were not worked between April 1991 and February 1993. The decision to not follow up on IEVS reports was because the State was in the midst of converting to a new computer system named ICES. The IEVS subsystem to monitor IEVS matches was not fully operational until 1994.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All

# INDIANA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (E) Change in income information Tolerance (T) Other	Yes	(E) See (1). (T) Wages LE\$150 quarterly. Any discrepancy between case file and match data requires follow up.
UI	Exclusion Other	Yes	See (1). Any unemployment insurance information needs verified. Any discrepancy between case file and match information requires follow up.
IRS	Exclusion (E) Tolerance (T) Other	Yes	(E) See (1). (T) LE\$100 for each income type. Any discrepancy between case file and match data requires follow up.
SSA Benefits (Bendex)	Exclusion Change in benefit information Other	Yes	See (1). Any discrepancy in automatic update between case file and match unearned income information requires follow up. Other specific targeting strategies (2).
SSI Benefits (SDX)	Exclusion Change in benefit information Other	Yes	See (1). Any discrepancy in automatic update between case file and match unearned income information requires follow up. Other specific targeting strategies (3).
BEER	Exclusion (E) Disregard certain information Tolerance (T) Other	Yes	(E) See (1). Disregard duplicate information provided by State wage match. (T) Out of State Wage/Self employment LE\$500 and Pensions LE\$150. Any discrepancy between case file and match unearned income or wage information requires follow up.

*Additional information concerning Indiana's targeting methods is located in the section labeled "Miscellaneous."*



# INDIANA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	No
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	No
# or alerts worked in 45 days	Yes
# or alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	Yes
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Indiana considers the 80 percent follow up rule to be unreasonable. Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For calendar year 1993, staff report less than 80 percent of IEVS match results were followed up on in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken.

## FOLLOW UP PRIORITIZATION

IEVS BEER and IRS match results are prioritized for follow up in ICES counties. A second alert is sent to the supervisor if the compliance screen is not completed by the worker within 30 days of the initial alert.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Indiana presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1992. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Indiana has not yet implemented use of the State Verification and Exchange System.

During 1992, 663,833 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.

# INDIANA

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	38,365	17,159	58,400	34,046	39,504	33,692
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	34,055	37,848	421,146	34,053	34,481	40,855
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	71,090	8,209	101,184	61,308	86,007	70,523
MIDMONTH	792	NA	NA	667	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	81,697	84,500	456,892	100,172	106,519	117,078
MIDMONTH	59,607	NA	44,233	46,702	49,599	72,458

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	47,088	3,329	NA	38,223	51,917	46,505
MIDMONTH	537	18,288	NA	NA	25,557	10,486
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	52,138	59,753	331,281	72,947	75,037	75,449
MIDMONTH	85,741	NA	34,582	37,791	40,812	43,767

# INDIANA

## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	504,577	0	53,624	0	48,869	34,958
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	36,646	0	42,183	0	58,857
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	NA	NA	NA	NA	NA	NA
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	NA	NA	NA	NA	NA

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	156,448	0	NA	165,413	7,107	6,379
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	252,581	0	13,658	0	76,523	131,051
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	99,967	0	NA	107,799	6,613	5,970
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	195,341	0	12,892	0	71,889	123,055

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# INDIANA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	NO
SSA Benefits	YES
SSI Benefits	YES
BEER	NO

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) NET: (\$325,818) LOSS

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$7,624
- Computer costs	\$4,571
- Costs associated with verification	\$748,237
- Other	\$535,654

\$1,296,086

#### BENEFITS

- Recovered overpayments and debts	\$66,268
- Amounts of monthly benefits saved	\$904,000
- Other	\$0

\$970,268

#### BENDEX MATCH (SSA Benefits and BEER)

COSTS	\$914,742
BENEFITS	\$4,065,180
NET:	<u>\$3,150,438</u>

# INDIANA

## MISCELLANEOUS

Over the last four years, Indiana staff report that the number of eligibility workers has increased slightly to the current 2,500. Each eligibility worker deals with approximately 350 ongoing cases. As the State was not working IEVS through February 1993, and the new computer system was not expected to be fully functional until 1994, there are no IEVS statistics available. Further, examples of the new system's screens and the codes used to resolve alerts are not available.

During the 1992 annual assessment period, Indiana's greatest source of AFDC payment error based on quality control review was wages and salaries.

### IEVS Targeting Methods (Continued)

- (1) Client active for part of time period to which match pertains.
- (2)
  - a. Multiple Social Security benefits received by a household member.
  - b. Duplicate assistance in another State.
  - c. An overpayment of Social Security benefits which results in current benefits being reduced.
- (3)
  - a. Discrepancy in date of death or earned income.
  - b. Any additional source/amount of unearned income resulting in a discrepancy of \$5.
  - c. An underpayment of SSI benefits which results in current benefits being increased.
  - d. An overpayment of SSI benefits which results in current benefits being reduced.
  - e. Termination of SSI benefits while client still disabled.
  - f. Client eligible for SSI, was once eligible for AFDC.

The system will not generate alerts for discrepant information if the matched data is less than or equal to the tolerance threshold. Workers can dispose of previous alerts not worked for the same match when new, contradicting information is received. (New alerts from the same match source will only be generated if the previous alerts have been worked/cleared. If a different discrepancy has been identified for the same match source, a new alert will be generated; otherwise, duplicate alerts are not sent or cleared.)

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.



# IOWA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 2,776,755 (Rank - 30th)  
 Number of counties: 99  
 Per Capita Income: \$13,685 (Rank - 41st)  
 Unemployment (6/93): 15,487 (Rank - 32nd)

1990 Program/Payment Error Rates:

		<i>Rank</i>
<i>AFDC</i>	5.87	37th
<i>Food Stamps</i>	11.82	48th
<i>Medicaid Payment Error Rate</i>	1.69	28th

Federal Share: AFDC 61.1% Medicaid 65.0%

### 1992 Welfare Population

	<i>Count in thousands</i>	<i>% Change from 789</i>
<i>AFDC Cases (Average Monthly)</i>	37	8.6%
<i>Food Stamp Participants (Average Monthly)</i>	192	16.6%
<i>Medicaid Eligibles (Annual)</i>	261	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 104 offices  
 Approximate number of eligibility workers: 630  
 State Capitol: Des Moines  
 Welfare Agency: Iowa Department of Human Services

IEVS Policy Contact: *John Fairweather*  
 Chief  
 Office of Public Policy  
 Hoover State Office Bldg.  
 Des Moines, IA 50319-0114 Ph#: 515/281-4848

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Iowa Automated Benefit Calculations (IABC).  
*The IABC system was transferred from Massachusetts.  
 It integrates AFDC with food stamps and Medicaid.*

IEVS System Contact: *Jean Gruver*  
 Senior Systems Analyst  
 Ph#: 515/281-8290

System was FAMIS certified on August 14, 1989.

## PLANNED WELFARE SYSTEMS CHANGES

IOWA is upgrading its FAMIS system at an estimated cost of \$3.4 million. In addition, the CSE system will receive approximately \$7.2 million in upgrades to satisfy CSE Federal requirements. After a new child welfare system is developed, it will eliminate the need for the FAMIS system to support child welfare, as is now the case.

# IOWA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Monthly	Yes	Monthly	Hardcopy
UI	Yes	Twice Monthly	Yes	Twice Monthly	Hardcopy
IRS	Yes	Monthly	Yes	Monthly	Hardcopy
SSA Benefits	Yes	Twice Monthly	Yes	Twice Monthly	Hardcopy
SSI Benefits	Yes	Weekly	Yes	Weekly	Hardcopy
BEER	Yes	Twice Monthly	Yes	Twice Monthly	Hardcopy

*Hardcopy = Printed centrally and sent by mail or delivery to local offices and distributed to workers.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch Online	Batch Online	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
No	No	No	Yes	Yes	No
			All	All	



# IOWA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion Unique information	No	SSI Medicaid eligibles, foster care, and subsidized adoption clients and anyone 13 years of age or younger.
UI	Exclusion Unique information	No	SSI Medicaid, ongoing nursing home (except at application), foster care, and subsidized adoption clients and anyone 16 years of age or younger.
IRS	Exclusion Unique information Tolerance	No	SSI Medicaid, foster care, and subsidized adoption clients. Tolerance varies by type (1).
SSA Benefits (Bendex)	Exclusion Unique information	Yes	Client has history of AFDC or Food Stamp issuance or has an active Medicaid code in the quarter or year.
SSI Benefits (SDX)	Exclusion Unique information	Yes	Client has history of AFDC or Food Stamp issuance or has an active Medicaid code in the quarter or year.
BEER	Exclusion Disregard certain information Unique information	Yes	SSI Medicaid, foster care, and subsidized adoption clients and anyone 13 years of age or younger. Client has history of AFDC or Food Stamp issuance or has an active Medicaid code in the quarter or year. Disregard duplicate information provided by State wage match.

- (1) a. For amounts GE\$50 follow up winnings, interest, patronage dividends, savings bonds, stocks and bonds, prizes and awards, total pension distribution, other pension distribution, and other income.
- b. For amounts GE\$500 follow up prior year refunds, agricultural subsidies, and aggregate profit/loss.
- c. No m levels, but requiring follow up, are dividends, unemployment, cash liquidation distributions, rents, royalties, original issue discount, other taxable income, and real estate sales.

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.



# IOWA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report:
# of records submitted for matching	No
# of records which matched with external data	No
# of records resulting in alerts for workers	No
# of alerts worked by eligibility workers	No
# of alerts resulting in a change to eligibility status/benefit	No
Amount of savings determined from IEVS alerts	No
# of alerts worked in 45 days	No
# of alerts past due (not worked in 45 days)	No
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Iowa considers the 80 percent follow up rule to be unreasonable. Staff stated, "If review/recertification is near to the 45 days, let workers hold alert till then. However, applicants should be done in 45 days."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For calendar year 1993, State staff are not sure if 80 percent of IEVS match results were followed up on in 45 days).

This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are required to specify the results of their review by adding a narrative description of the action taken on the hardcopy alert.

## FOLLOW UP PRIORITIZATION

IEVS matches (results) are prioritized at the local office for review.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Iowa presently uses SSA's File Transfer Management System (FTMS) to receive and/or send BEER and SSI data. Use of FTMS began in 1994. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, SSA benefit, and submission of death records. Iowa has not yet implemented use of the State Verification and Exchange System.

During 1992, 1,461,722 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a fairly routine basis.

# IOWA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	Yes
Unemployment	Yes
IRS	Yes
SSA Benefits	Yes
SSI Benefits	Yes
BEER	Yes

*Conclusions are based on experience rather than study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) NET: \$1,641,945

##### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$47,693
- Computer costs	\$12,000
- Costs associated with verification	\$0
- Other	\$4,147

\$63,840

##### **BENEFITS**

- Recovered overpayments and debts	\$220,414
- Amounts of monthly benefits saved	\$1,485,371
- Other	\$0

\$1,705,785

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** NA

**BENEFITS** NA

**NET:** NA

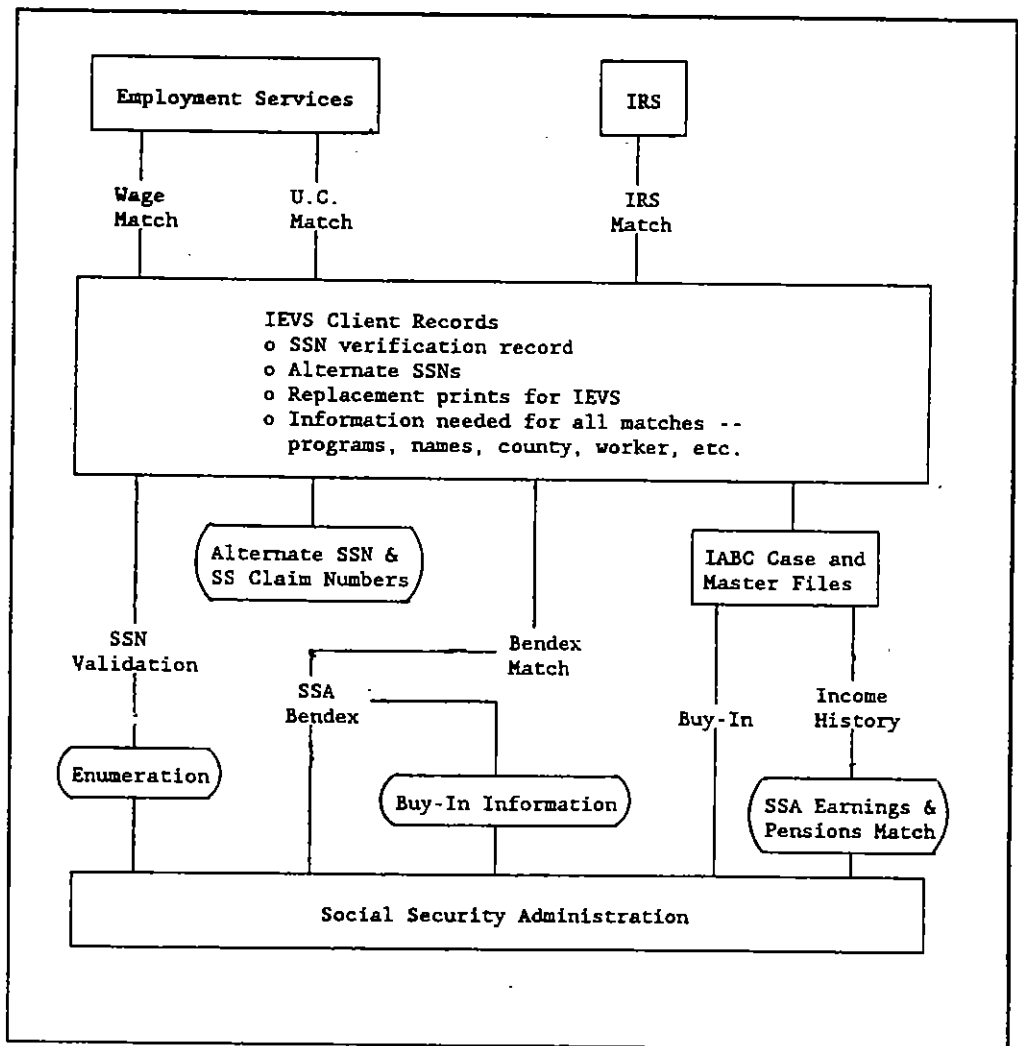
# IOWA

## MISCELLANEOUS

To accomplish exchange of data for IEVS, data from the Iowa Automated Benefit Calculation (IABC) system are used to create and update records in an IEVS Client Record System. This client record system contains the following IABC individual data necessary for the matches: client name; SSN; date of birth; Social Security claim number; program status; and case numbers associated with the State ID number.

Each successful exchange of information with IEVS data sources becomes part of a system file for the particular match. The system uses these files to create reports or update screens to communicate data to Department staff. IEVS client record data are used to determine whether a particular match should be attempted for a particular person's record, and for which cases the results should be printed or displayed.

The following is a diagram prepared by IOWA describing its IEVS matching process:



# KANSAS

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 2,477,574 (Rank - 32nd)  
 Number of counties: 105  
 Per Capita Income: \$16,498 (Rank - 21st)  
 Unemployment (6/93): 5.6 %  
 1990 Program/Payment Error Rates:

		Rank
AFDC	5.87	38th
Food Stamps	7.91	20th
Medicaid <small>Payment Error Rate</small>	0.70	7th

Federal Share: AFDC 54.7% Medicaid 59.2%

### 1992 Welfare Population

	Count in thousands	% Change from 7/89
AFDC Cases <small>(Average Monthly)</small>	30	18.8%
Food Stamp Participants <small>(Average Monthly)</small>	179	37.0%
Medicaid Eligibles <small>(Annual)</small>	209	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 106 offices  
 Approximate number of eligibility workers: 509  
 State Capitol: Topeka  
 Welfare Agency: Department of Social and Rehabilitation Services  
 Division of Income Maintenance

IEVS Policy Contact: Cheryl Woods  
 Automation Specialist  
 Division of Income Maintenance  
 915 S.W. Harrison  
 Room 624 South Docking State Office Bldg.  
 Topeka, KS 66612-1588 Ph#: 913/296-6706

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Kansas Automated Eligibility Child Support and Enforcement System (KAECSES).

*Transferred from Arizona, this system integrates AFDC, food stamps, and Medicaid. The integrated system aids workers in determining eligibility for AFDC, Medicaid and food stamps. It was piloted in July 1988 with statewide implementation completed in July 1989.*

IEVS System Contact: Larry Hager  
 Programmer Analyst  
 Ph#: 913/296-4732

System was FAMIS certified in December 1989.

## PLANNED WELFARE SYSTEMS CHANGES

Systems improvements include implementation of various FAMIS enhancements such as those to the Child Support Enforcement component to comply with the 1988 requirements.

# KANSAS

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Monthly	Yes	Monthly	Electronic (S & V)
UI	Yes	Monthly	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSA Benefits	Yes	Monthly	Yes	Monthly	Hardcopy
SSI Benefits	Yes	Weekly	Yes	Weekly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Monthly	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online or on hardcopy at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are not required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	Historical SSI	Historical BEER
Yes	Yes	Yes	No	Yes	Yes
All	All	All	All	All	All

# KANSAS

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	2,085,066	8,832	8,832	8,832	NA	NA
UI	2,085,066	54,211	54,211	54,211	NA	NA
IRS	140,836	23,040	23,040	23,040	NA	NA
SSA Benefits	140,836	36,471	36,471	36,471	NA	NA
SSI Benefits	NA	99,492	99,492	99,492	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) - (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	26,359	0	49,539	50,630	20,665	17,872
MIDMONTH	11,900	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	18,462	21,403	27,412	17,531	20,053	19,019
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	33,932	3,075	67,372	56,865	35,212	30,649
MIDMONTH	23,020	NA	NA	761	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	33,935	33,436	48,897	31,188	35,964	35,133
MIDMONTH	54,602	NA	6,037	7,581	7,998	9,157

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	3,188	409	NA	47,221	19,143	16,376
MIDMONTH	2,238	396	NA	NA	10,485	1,237
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	18,234	23,292	27,797	19,823	23,158	23,191
MIDMONTH	26,092	NA	4,110	4,946	5,798	6,704

# KANSAS



## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	20,364	12,806	9,541	9,063	240,056	24,714
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	20,230	11,741	0	17,435	21,565
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	12,333	9,416	9,574	270,420	29,798
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	25,025	11,478	0	13,570	21,829

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	27,138	26,797	NA	27,313	31,953	27,564
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	11,220	11,945	15,588	13,837	16,269
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	13,676	12,638	NA	12,655	15,447	10,621
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	9,852	9,577	12,063	9,605	11,179

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# KANSAS

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	YES
SSA Benefits	YES
SSI Benefits	YES
BEER	YES

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) . NET: \$21,475

##### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$18,703
- Computer costs	NA
- Costs associated with verification	NA
- Other	\$1,455

\$20,158

##### **BENEFITS**

- Recovered overpayments and debts	\$0
- Amounts of monthly benefits saved	\$0
- Other	\$41,633

\$41,633

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** \$1,575

**BENEFITS** \$30,261

**NET:** \$28,686

(covers period from 1/93 to 12/93)



# KANSAS

## MISCELLANEOUS

Kansas produces hardcopy reports indicating match results for all IEVS matches, except the IRS and SDX matches; these appear online for local office staff to view through the eligibility information system. Hardcopy reports are distributed to all local offices. (An example of a hardcopy response for the IRS online interface inquiry is shown below.)

Over the last four years, Kansas staff report the number of workers has not changed from 509. The typical caseload is approximately 344, and the average number of alerts a typical worker receives is 510.

During the 1992 annual assessment period, the State's greatest source of AFDC payment error, based on quality control review, was for earned income.

### IRS INTERFACE INQUIRY RESPONSE

ININ	INTERFACE INQUIRY	080693	11:14
	SOURCE: IRS	JODY K	
CLIENT: 0000011111	NAME: DOE, JOHN A		
SSN: 345678901	ADDRESS: 100 E. WALNUT ST.		
DOB: 00000000 SEX: M	KANSAS CITY		
DATE ISSUED: 030993			
PAYER NAME & ADDRESS	: THE BANK 100 E. STREET SMALL TOWN, KANSAS		
ACCOUNT NUMBER: 010101010001	TAX YEAR : 91		
	TYPE OF INCOME	INCOME AMOUNT	
	TOTAL PENSION DISTRIB	197.00	
IEOLT-2	Aa	NEXT-->	24/075



# KENTUCKY

<p><b>DEMOGRAPHICS AND PROGRAM STATISTICS</b></p>	<p>Population: 3,685,296 (Rank - 23rd)          Number of counties: 120          Per Capita Income: \$13,743 (Rank - 39th)          Unemployment (6/93): 6.8 %          1990 Program/Payment Error Rates:</p> <table border="0"> <tr> <td></td> <td></td> <td><i>Rank</i></td> </tr> <tr> <td><i>AFDC</i></td> <td>2.23</td> <td>3rd</td> </tr> <tr> <td><i>Food Stamps</i></td> <td>4.36</td> <td>2nd</td> </tr> <tr> <td><i>Medicaid Payment Error Rate</i></td> <td>1.39</td> <td>20th</td> </tr> </table> <p>Federal Share: AFDC <u>65%</u> Medicaid <u>72.8%</u></p>			<i>Rank</i>	<i>AFDC</i>	2.23	3rd	<i>Food Stamps</i>	4.36	2nd	<i>Medicaid Payment Error Rate</i>	1.39	20th	<p><b>1992 Welfare Population</b></p> <table border="1"> <thead> <tr> <th></th> <th>Count in thousands</th> <th>% Change from 789</th> </tr> </thead> <tbody> <tr> <td>AFDC Cases (Average Monthly)</td> <td>83</td> <td>41.7%</td> </tr> <tr> <td>Food Stamp Participants (Average Monthly)</td> <td>528</td> <td>17.8%</td> </tr> <tr> <td>Medicaid Eligibles (Annual)</td> <td>525</td> <td>N/A</td> </tr> </tbody> </table>		Count in thousands	% Change from 789	AFDC Cases (Average Monthly)	83	41.7%	Food Stamp Participants (Average Monthly)	528	17.8%	Medicaid Eligibles (Annual)	525	N/A
		<i>Rank</i>																								
<i>AFDC</i>	2.23	3rd																								
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Food Stamp Participants (Average Monthly)	528	17.8%																								
Medicaid Eligibles (Annual)	525	N/A																								
<p><b>WELFARE ADMINISTRATION</b></p>	<p><b>STATE ADMINISTERED</b></p> <p>Number of local welfare offices: 124 offices          Approximate number of eligibility workers: NA          State Capitol: Frankfort          Welfare Agency: Kentucky Cabinet of Human Resources          Department of Social Services</p> <p>IEVS Policy Contact: <i>Janice Kline</i>  <i>Supervisor</i>  <i>Division of Management and Development</i>  <i>275 E. Main St.</i>  <i>Frankfort, KY 40621-0001 Ph#: 502/564-7536</i></p>																									
<p><b>CASE MANAGEMENT AND ELIGIBILITY SYSTEM</b></p>	<p>System Name: Public Assistance - 62 (PA-62).  <i>The AFDC and Medicaid programs are integrated.</i></p> <p>IEVS System Contact: <i>David Oliver</i>  <i>Section Supervisor</i>  <i>Ph#: 502/564-7536</i></p> <p>System is not yet FAMIS certified.</p>																									
<p><b>PLANNED WELFARE SYSTEMS CHANGES</b></p>	<p>Kentucky is in the process of replacing its existing AFDC/Medicaid eligibility and food stamp systems with a FAMIS system. Estimated costs for this project are \$17.7 million. The State also has interfaced its existing JOBS system with the FAMIS. A statewide, online system is being developed for the State's family-focused social services programs.</p>																									

# KENTUCKY

## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Daily *	Electronic (S & V)
UI	Yes	Monthly	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Monthly	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

*\*Applications and recertifications are matched against the file on a daily basis.  
The data is loaded monthly and matched against all active recipients.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through matching files between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All



# KENTUCKY

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	No targeting	No	
UI	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
IRS	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
SSA Benefits (Bendex)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
SSI Benefits (SDX)	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
BEER	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.

When workers receive match information, they are only required to follow up on information that doesn't duplicate (is unique) information previously received. Targeting criteria for the Food Stamp programs differ from that of the AFDC program. For the UI, IRS, Bendex, SDX, and BEER matches, the client need not be active. The targeting criteria for the Medicaid program does not differ from that of the AFDC program.

# KENTUCKY



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# or alerts worked in 45 days	Yes
# or alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Kentucky considers the 80 percent follow up rule to be unreasonable. Staff stated, "States should be permitted to resolve matches at the time of recertification."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For calendar year 1993, staff estimate more than 80 percent of IEVS match results were followed up on in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program.

Workers are required to specify the actions taken, based on the alert, by writing a narrative description on the alert or in the case file.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Kentucky presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, and submission of death records. Kentucky has not yet implemented use of the State Verification and Exchange System.

During 1992, 203,846 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are not exchanged with IRS on a routine monthly basis.



# KENTUCKY

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992 (Food Stamp program)

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	27,157,939	351,331	351,331	351,331	NA	NA
UI	690,667	48,914	48,914	48,914	NA	NA
IRS*	31,815	72,123	72,123	72,123	NA	NA
SSA Benefits	177,489	28,477	28,477	28,477	NA	NA
SSI Benefits	1,517,589	18,280	18,280	18,280	NA	NA
BEER	NA	NA	NA	NA	NA	NA

\* September 1992 was not available; other reports may indicate zero in error.

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	93,065	82,962	54,823	138,548	74,820	99,194
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	73,902	0	77,801	55,639	137,836	66,289
MIDMONTH	NA	NA	0	0	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	141,044	111,263	80,550	214,695	113,843	141,010
MIDMONTH	1,029	NA	NA	698	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	127,607	6,963	133,181	54,903	226,054	133,929
MIDMONTH	198,164	NA	23,183	12,145	34,838	38,921

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	67,590	42,742	NA	72,641	52,140	54,393
MIDMONTH	460	7,490	NA	NA	31,476	3,229
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	52,760	6,963	57,334	54,903	83,000	60,655
MIDMONTH	30,186	NA	9,801	12,145	15,235	17,240

# KENTUCKY



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	240,853	0	0	324,800	373,423
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	NA	NA	NA	NA	NA	NA
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	NA	NA	NA	NA	NA

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	246,956	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	224,111	0	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# KENTUCKY

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	NO
Unemployment	NO
IRS	NO
SSA Benefits	NO
SSI Benefits	NO
BEER	NO

*Conclusions are based on review of cases discontinued, or where benefits decrease less those increased multiplied by the time it takes to process the case.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** (\$299,907) LOSS

##### **COSTS**

- Salaries, fringe benefits, and other personnel costs \$579,575
- Computer costs \$7,953
- Costs associated with verification \$2,750
- Other \$5,958

\$596,236

##### **BENEFITS**

- Recovered overpayments and debts \$7,817
- Amounts of monthly benefits saved \$288,512
- Other \$0

\$296,329

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** \$133,869

**BENEFITS** \$397,512

**NET:** \$263,643



# KENTUCKY



## MISCELLANEOUS

The following are samples of reports routinely generated based on IEVS matches. These reports are for food stamps only as Kentucky does not generate reports for AFDC and Medical Assistance since this data is currently not automated.

### Summary Reports

	KAMES-ES STATEWIDE INCOME AND ELIGIBILITY VERIFICATION SYSTEM (IEVS) SUMMARY FOR MONTH END 01/92										01/31/92
	NUMBER OF FILES SUBMITTED	DATE INITIATED	MATCH RETURNED	MATCH RETURNED	DATE INITIATED	MATCH RETURNED	MATCH RETURNED	DATE INITIATED	MATCH RETURNED	DATE INITIATED	MATCH RETURNED
BENDEX - EARNED	5300	01/06/92	01/07/92	01/07/92	01/06/92	01/07/92	01/07/92	01/06/92	01/07/92	01/07/92	NUMBER OF MATCHES RETURNED 2210
BENDEX - UNEARNED	0										0
COMPUTER MATCH											3131
PA	116184	12/20/91	12/20/91	12/20/91	12/20/91	12/20/91	12/20/91	12/20/91	12/20/91	12/20/91	1561
SDX	120844	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	1543
SWICA	2178450	01/09/92	01/09/92	01/09/92	01/09/92	01/09/92	01/09/92	01/09/92	01/09/92	01/09/92	27111
UI	53287	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	01/06/92	4892
TOTALS:	2478351	NA	NA	NA	NA	NA	NA	NA	NA	NA	40284

	KAMES FS STATEWIDE INCOME AND ELIGIBILITY VERIFICATION SYSTEM (IEVS) SUMMARY FOR MONTH END 01/92										01/31/92					
	UNEARNEED	BENDEX EARNED	SDX	CM	SWICA	UI	PA	TOTAL	UNEARNEED	BENDEX EARNED	SDX	CM	SWICA	UI	PA	TOTAL
NUMBER OF APPLICANTS DENIED	4	1	0	10	28	2	0	31								
NUMBER OF HOUSEHOLDS TERMINATED	27	18	18	23	163	17	7	271								
DOLLAR VALUE ON TERMINATED CASES	3476.00	2884.00	1328.00	5640.00	26129.00	2788.00	1380.00	43388.00								
NUMBER OF HOUSEHOLDS FOR WHOM BENEFITS DECREASED	448	110	135	157	888	130	184	2070								
DOLLAR VALUE ON DECREASED BENEFITS	14878.00	7387.00	4508.00	7892.00	38333.00	13878.00	11022.00	118377.00								
NUMBER OF HOUSEHOLDS FOR WHOM BENEFITS INCREASED	287	125	91	195	1117	104	184	2103								
DOLLAR VALUE ON INCREASED BENEFITS	11733.00	8078.00	2284.00	18779.00	88737.00	13001.00	8428.00	148017.00								
NUMBER OF HOUSEHOLDS FOR WHOM BENEFITS DID NOT CHANGE	392	82	122	199	800	91	319	2005								



# LOUISIANA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 4,219,973 (Rank - 21st)  
 Number of counties: 64  
 Per Capita Income: \$12,921 (Rank - 47th)  
 Unemployment (6/93): 7.8 %

1990 Program/Payment Error Rates:

		Rank
AFDC	5.74	35th
Food Stamps	11.18	44th
Medicaid Payment Error Rate	3.21	49th

Federal Share: AFDC 65% Medicaid 75.4%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	92	-0.8%
Food Stamp Participants (Average Monthly)	774	7.09%
Medicaid Eligibles (Annual)	641	N/A

## WELFARE ADMINISTRATION

### COUNTY ADMINISTERED AND STATE SUPERVISED

Number of local welfare offices: 69 offices  
 Approximate number of eligibility workers: 1,299  
 State Capitol: Baton Rouge  
 Welfare Agency: Louisiana Department of Social Services  
 Office of Family Support

IEVS Policy Contact: **Sammy Guillory**  
*Family Security Program Coord. Supervisor*  
 1885 Woodale Blvd., Room 816  
 Baton Rouge, LA 70806 Ph#: 504/925-4547

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: **Welfare Information System (WIS);**  
**Food Stamp Management Information System (FSMIS).**  
*The two systems are not integrated. The WIS integrates Medicaid and AFDC eligibility; the FSMIS is for food stamp eligibility.*

IEVS System Contact: **Randall Dupuy**  
*Director*  
*Automated Systems Section*  
 Ph#: 504/922-1633

System was not a FAMIS certified system as of early 1994.

## PLANNED WELFARE SYSTEMS CHANGES

Louisiana is planning to implement a FAMIS system to integrate its existing systems 11/94. The Louisiana Automated Management Information System (LAMI) will integrate AFDC and food stamp eligibility while the WIS continues to handle Medicaid. This FAMIS system was estimated to cost \$10.2 million.

# LOUISIANA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Quarterly	Yes	Quarterly	Electronic (S & V)
UI	Yes	Monthly	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Annually	Electronic (S & V)
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Monthly	** No Follow up **

*S & V = Sent to worker and viewed on worker's screen.*

Louisiana implemented an online IEVS system effective April 1992. Prior to this, only discrepancy reports for IRS data were sent to workers for clearance. Other source data was available for use at redetermination or at intake.

It was in 1991 that Louisiana stopped requiring parishes to clear monthly discrepancy reports/alerts. This was reportedly done because of results from an internal 1991 cost-benefit analysis of the BEER match which showed (according to the IEVS coordinator) Louisiana was "spending a lot of money trying to clear up discrepancies from BEER, but weren't getting much in return." The parishes resumed clearing discrepancy reports (excepting BEER) in 4/92 with the implementation of the State's online system.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All



# LOUISIANA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (E) Discrepancy (D) Other	Yes	(E) Client active Food Stamp recipient for all reported quarter. (D) GE\$500 per quarter more than that budgeted on the FS case; discrepancy may be postponed until month prior to FS redetermination if that month is within 3 months; if not within 3 months, the discrepancy is not postponed.
UI	Discrepancy	Yes	Reported UI (after converting to a monthly amount) must be at least \$1 greater than that budgeted for the FS case.
IRS	Disregard certain information . Tolerance	Yes	Disregard UI and Federal tax refunds. LE\$500 per year.
SSA Benefits (Bendex)	Change in benefit information Discrepancy	Yes	Reported must be at least \$1 greater than that budgeted on the FS case.
SSI Benefits (SDX)	Change in benefit information Discrepancy	Yes	Reported must be at least \$1 greater than that budgeted on the FS case.
BEER	State does not follow-up on match data		

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.

# LOUISIANA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# of alerts worked in 45 days	Yes
# of alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	Yes
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Louisiana considers the 80 percent follow up rule to be reasonable. Even so, staff report efforts to complete IEVS follow up was somewhat less than 80 percent for 1993 (approximately 69 percent). The State has, however, met the follow up requirement for every month in 1994. Louisiana was granted a waiver from the FNS to extend the 45 day follow up timeframe for households with fluctuating earnings; however, this waiver is only applicable to State Wage discrepancies on food stamp cases having a redetermination date within 3 months of the receipt of the information. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Louisiana presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, BEER, SSI data, and death data. Use of FTMS began in 1990. Tape exchange continues for matches with the Alphadent and enumeration verification exchange. Louisiana has not yet implemented use of the State Verification and Exchange System.

During 1992, 1,258,501 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.



# LOUISIANA

## MATCH STATISTICS

State Supplied Statistics for July 1992 to June 1993 (AFDC and FS programs)

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	420,949	105,787	100,617	67,153	1,181	\$567,138
UI	NA	177,576	45,918	43,583	386	\$359,933
IRS	1,188,434	* 31,748	* 28,194	* 25,089	* 522	*\$132,780
SSA Benefits	NA	9,110	3,179	2,785	56	\$36,960
SSI Benefits	NA	152,990	27,319	24,694	207	\$338,473
BEER	NA	NA	NA	NA	NA	NA

\* IRS numbers reflect only 30 percent of the records submitted to IRS. The remaining 70 percent of results are not yet available.

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) - (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	53,262	50,028	50,176	54,832	54,226	47,855
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	56,007	55,589	48,889	46,581	58,437	47,562
MIDMONTH	NA	NA	0	0	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	86,537	83,199	77,183	86,398	92,614	84,004
MIDMONTH	1,419	NA	NA	1,407	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	109,489	109,850	111,438	113,634	132,846	136,329
MIDMONTH	282,657	NA	37,009	44,383	52,650	60,039

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	51,592	45,570	NA	49,805	49,291	45,381
MIDMONTH	1,060	4,786	NA	NA	26,796	7,472
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	59,767	68,217	69,633	74,428	91,141	88,763
MIDMONTH	83,563	NA	31,255	37,738	44,999	51,568

# LOUISIANA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	1,089,367	12,867	26,941	20,652	19,441	103,988
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	45,968	29,940	24,715	24,572	48,074
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	867,358	33,937	0	27,621	26,871	48,055
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	24,586	21,399	20,842	22,556	882,450

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	461,136	NA	75,462	0	256,536
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	69,304	0	34,592	38,826	0	59,472
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	169,688	NA	32,977	0	128,897
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	31,197	0	30,225	31,670	0	50,388

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

# LOUISIANA



## MISCELLANEOUS

The following justification was provided by Louisiana for its most recent targeting strategy provided to a Federal agency.

### Targeting Justification

1. Information received from all SIEVS interfaces will be available for use at redetermination, application, or when there is an interim change.
2. If information is received on a client that has been denied or terminated, the information will not be pursued. If, however, the client reapplies, the information will be pursued at that time.
3. Information from the Internal Revenue Service has not been found to be cost effective. Based on statistics relating to the nine month period July, 1992 through March, 1993, the clearance of IRS data has resulted in negative cost effectiveness. During this period, 19,932 IRS discrepancies were cleared. The total cost of follow up was \$157,831.89. Only 717 (3.60%) of these IRS discrepancies resulted in a reduction or termination of benefits. The benefit derived was \$109,643.00. On an average, the costs exceeded the benefit by \$2.42 per individual IRS clearance. Refer to the attached IRS Interface Cost/Benefit Analysis.
4. Information from the Bendex Wage (BEER) interface has not been found to be cost effective. Based on the attached Bendex Wage Cost/Benefit Analysis, the cost of following up on Bendex Wage data exceeded the benefit by \$10.42 per individual Bendex Wage clearance. Follow up has been discontinued.
5. Wage data from Louisiana Department of Labor has been found to have a greater impact on past benefits than on current benefits. During the period August, 1992 through May, 1993, follow up was completed on 62,055 LDOL wage discrepancies. Only 2.81% of these resulted in a reduction or termination of current benefits. The LDOL wage targeting strategy will not have a detrimental effect. This information will be available for use at the next redetermination. A separate waiver request is being submitted to allow clearance of LDOL wage information at the next redetermination if the redetermination is scheduled within the next three months.
6. The targeting strategy for SSI and SSA benefits is to identify when an individual begins receiving these benefits and when there is a subsequent change in the benefit amount which exceeds the amount of benefits budgeted in the Louisiana Food Stamp Management Information System.



# LOUISIANA



## MISCELLANEOUS

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6. The targeting strategy for SSI and SSA benefits is to identify when an individual begins receiving these benefits and when there is a subsequent change in the benefit amount which exceeds the amount of benefits budgeted in the Louisiana Food Stamp Management Information System.



# MAINE

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 1,227,928 (Rank - 38th)  
 Number of counties: 16  
 Per Capita Income: \$16,248 (Rank - 26th)  
 Unemployment (6/93): 8.1 %  
 1990 Program/Payment Error Rates:

		Rank
<i>AFDC</i>	3.83	17th
<i>Food Stamps</i>	8.35	27th
<i>Medicaid Payment Error Rate</i>	1.93	33rd

Federal Share: AFDC 58.2% Medicaid 62.4%

### 1992 Welfare Population

	Count in thousands	% Change from 789
<b>AFDC Cases</b> <i>(Average Monthly)</i>	24	31.7%
<b>Food Stamp Participants</b> <i>(Average Monthly)</i>	134	59.0%
<b>Medicaid Eligibles</b> <i>(Annual)</i>	151	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 15 offices  
 Approximate number of eligibility workers: NA  
 State Capitol: **Augusta**  
 Welfare Agency: **Maine Department of Human Services**  
**Bureau of Income Maintenance**

IEVS Policy Contact: *Peter McCarron*  
*Food Stamp Program Manager*  
*Station 11, Whitten Road*  
*Augusta, Maine 04333 Ph#: 207/287-3097*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: **Human Services Online System (WELFRE).**  
*This system integrates the AFDC, Medicaid, and FS programs.*

IEVS System Contact: *Jim Beach*  
*Systems Team Leader*  
*Ph#: 207/287-3864*

System is not yet FAMIS certified.

## PLANNED WELFARE SYSTEMS CHANGES

Maine is developing a FAMIS system to replace the existing, which is over 20 years old. The new system will support AFDC, food stamps, Medicaid eligibility, JOBS, and some child care functions, and was expected to cost \$22 million.





# MAINE



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="451 363 1352 443">Routine Tracking Reports Include The Following:</th> <th data-bbox="1352 363 1490 443">Included In Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 443 1352 489"># of records submitted for matching</td> <td data-bbox="1352 443 1490 489">No</td> </tr> <tr> <td data-bbox="451 489 1352 535"># of records which matched with external data</td> <td data-bbox="1352 489 1490 535">No</td> </tr> <tr> <td data-bbox="451 535 1352 581"># of records resulting in alerts for workers</td> <td data-bbox="1352 535 1490 581">No</td> </tr> <tr> <td data-bbox="451 581 1352 627"># of alerts worked by eligibility workers</td> <td data-bbox="1352 581 1490 627">No</td> </tr> <tr> <td data-bbox="451 627 1352 674"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1352 627 1490 674">No</td> </tr> <tr> <td data-bbox="451 674 1352 720">Amount of savings determined from IEVS alerts</td> <td data-bbox="1352 674 1490 720">No</td> </tr> <tr> <td data-bbox="451 720 1352 766"># or alerts worked in 45 days</td> <td data-bbox="1352 720 1490 766">No</td> </tr> <tr> <td data-bbox="451 766 1352 812"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1352 766 1490 812">No</td> </tr> <tr> <td data-bbox="451 812 1352 858">Staff time to complete follow up</td> <td data-bbox="1352 812 1490 858">No</td> </tr> <tr> <td data-bbox="451 858 1352 905">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1352 858 1490 905">No</td> </tr> </tbody> </table>	Routine Tracking Reports Include The Following:	Included In Report	# of records submitted for matching	No	# of records which matched with external data	No	# of records resulting in alerts for workers	No	# of alerts worked by eligibility workers	No	# of alerts resulting in a change to eligibility status/benefit	No	Amount of savings determined from IEVS alerts	No	# or alerts worked in 45 days	No	# or alerts past due (not worked in 45 days)	No	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	No
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<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p>State staff reported that regulations should allow States more flexibility concerning IVES follow up timeframes.</p> <p>Workers are not required to report the results of their review of IEVS information.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p>As they are the most productive, State Wage and UIB match discrepancies are given priority for follow up by workers.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p><b>BENDEX AND BEER:</b> To exchange data with SSA, Maine presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1992. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Maine has not yet implemented use of the State Verification and Exchange System.</p> <p>During 1992, 18,643 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p><b>IRS:</b> Computer tapes are exchanged with IRS on a routine basis.</p>																						



# MAINE

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	74,857	64,752	0	67,307	0	0
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	0	72,204	145,628	67,553	0	72,951
MIDMONTH	NA	NA	0	0	0	68,816

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	82,681	4,783	1,121	74,254	3,823	2,494
MIDMONTH	228	NA	NA	188	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	5,070	80,727	116,726	78,277	16,788	85,403
MIDMONTH	58,292	NA	7,425	8,911	9,327	79,712

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	79,626	3,173	NA	63,089	354	192
MIDMONTH	183	66,309	NA	NA	0	267
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	667	70,266	100,929	70,061	8,989	76,639
MIDMONTH	17,543	NA	7,201	8,635	9,094	73,881

# MAINE

## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	13,763	0	14,407	24,267
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	13,526	13,451	0	135,782	13,294	26,970
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	28,381	13,384	16,190	13,399	12,089

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MAINE

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	YES
SSA Benefits	YES
SSI Benefits	YES
BEER	DK

*Conclusions are based on experience and informal studies by the State.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** \$412,000

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$584,500
- Computer costs	\$9,000
- Costs associated with verification	NA
- Other	\$94,500

\$688,000

#### **BENEFITS**

- Recovered overpayments and debts	\$957,000
- Amounts of monthly benefits saved	\$143,000
- Other	\$0

\$1,100,000

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** \$15,000

**BENEFITS** \$140,000

**NET:** \$125,000



# MAINE

## MISCELLANEOUS

Maine staff indicate that over the last four years the number of eligibility workers has decreased slightly to the current 314. Typically, each worker has a caseload of 325. It is not known how many alerts each worker averaged in 1992. However, as indicated previously, alerts are sent to workers in hardcopy format. Upon receipt, workers review the received information determining the necessary action and recording it directly on the alert which is then filed in the client's casefolder. Below is an example of an alert based on a State Wage match with the resultant actions of the worker recorded directly on the alert.

### FOLLOW UP RESPONSE TO STATE WAGE ALERT

\*\*\* CASE ACTION ALERT \*\*\*    \*\*\* CASE ACTION ALERT \*\*\*  
LAST UPDATED BY TERMINAL/ ACTION/ CUR    0N21APR93

CASE    HAVE ASSETS BEEN TOTALED     YES    NO

          YOUNGEST CHILD IS OVER 6 YEARS OF AGE

CLIENT    [REDACTED]

          EMPLOYED BY 008028400 SUPREME SLIPPER MFG CO INC    LEWISTON    ME 04240

          OCT92-DEC92 \$2997.66 JUL92-SEP92 \$1272.95 APR92-JUN92 \$0.00 JAN92-MAR92 \$0.00

CLIENT    [REDACTED]

          EMPLOYED BY 008028400 SUPREME SLIPPER MFG CO INC    LEWISTON    ME 04240

          OCT92-DEC92 \$2210.87 JUL92-SEP92 \$0.00 APR92-JUN92 \$0.00 JAN92-MAR92 \$0.00

CLIENT    [REDACTED]

          CHECK THIS CLIENT FOR SCHOOL ATTENDANCE

*Vanibel not in school - went first semester then dropped out*

*Said she will start again in fall.*

*Be 18 on 10/93*

During the 1992 annual assessment period, the State's greatest source of AFDC payment error, based on quality control review, was earned income.



# MARYLAND

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 4,781,468 (Rank - 19th)  
 Number of counties: 24  
 Per Capita Income: \$21,013 (Rank - 6th)  
 Unemployment (6/93): 6.8 %  
 1990 Program/Payment Error Rates:

		Rank
AFDC	7.34	45th
Food Stamps	10.64	38th
Medicaid Payment Error Rate	1.53	23rd

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	81	27.8%
Food Stamp Participants (Average Monthly)	356	44.1%
Medicaid Eligibles (Annual)	363	N/A

## WELFARE ADMINISTRATION

### COUNTY ADMINISTERED AND STATE SUPERVISED

Number of local welfare offices: 51  
 Approximate number of eligibility workers: NA  
 State Capitol: Annapolis  
 Welfare Agency: Maryland Department of Human Services  
 Income Maintenance Administration

IEVS Policy Contact: *Beth Boyd*  
 Director  
 Office of Policy Administration  
 311 W. Saratoga St.  
 Baltimore, MD 21201 PH#: 410/333-0809

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Automated Income Maintenance System and Master File (AMS and AMF).  
*System integrates AFDC and food stamps, but not Medicaid.*

IEVS System Contact: *Joe Ulrich*  
 Director  
 Data Processing  
 Office Information Management  
 Ph#: 410/333-0508

System is not FAMIS certified.

## PLANNED WELFARE SYSTEMS CHANGES

A FAMIS, currently in the implementation phase, will support AFDC, food stamps, and Medicaid eligibility as well as JOBS. This project was estimated to cost \$39.5 million to complete and will replace an existing automated income maintenance and eligibility verification system.

# MARYLAND



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Quarterly	Hardcopy
UI	Yes	Daily	Yes	Monthly	Hardcopy
IRS	Yes	Monthly	Yes	Annually	Online
SSA Benefits	Yes	Monthly	Yes	Monthly	Hardcopy
SSI Benefits	Yes	Weekly	Yes	Weekly	Hardcopy
BEER	Yes	Monthly	Yes	Monthly	Online

*Hardcopy = Printed centrally and sent by mail or delivery to local offices and distributed to workers.  
Some electronic transmission of results are sent to counties.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch Online	Batch	Batch	Batch Online

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All



# MARYLAND

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Unique information Other	Yes	See (1) for other.
UI	Unique information Other	Yes	(1)
IRS	Unique information Tolerance Other	Yes	Interest income LE\$500 and Earnings income LE\$10,000. (1)
SSA Benefits (Bendex)	Change in benefit information Unique information Other	Yes	(1)
SSI Benefits (SDX)	Change in benefit information Unique information Other	Yes	(1)
BEER	Unique information Tolerance Other	Yes	LE\$10,000. (1)

(1) Other targeting strategies utilized by the system if:

a. No tolerance level exists

If the match source indicates income or benefits which are absent from the case file (unique to the match source), an alert will be issued. If the match source indicates income or benefits known to the case file yet reflecting changes, the discrepancy will be reviewed at the next redetermination.

b. Tolerance level exists

If the match source indicates a change in income information or benefits  $\geq$  the indicated tolerance levels, an alert will be issued. If the match source indicates a change in income information or benefits  $<$  the tolerance level, the discrepancy will be reviewed at the next redetermination.

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.

# MARYLAND



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included In Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# of alerts worked in 45 days	Yes
# of alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Maryland considers the 80 percent follow up rule to be unreasonable. Staff stated, "Regulations should allow us to select matches which are successful."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are not at the expense of more important activities of workers.

Over the last two years, staff estimate that less than 80 percent of IEVS match results were followed up on (only 20 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken.

## FOLLOW UP PRIORITIZATION

IEVS matches are prioritized for follow up based on the source and program area.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Maryland presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER and submission of death records. Maryland has not yet implemented use of the State Verification and Exchange System.

During 1992, 231,040 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.



# MARYLAND

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	16,694	16,694	NA	NA
UI	NA	NA	14,676	14,676	NA	NA
IRS	NA	NA	1,286	1,286	NA	NA
SSA Benefits	NA	NA	14,927	14,927	NA	NA
SSI Benefits	NA	NA	94,732	94,732	NA	NA
BEER	NA	NA	16,886	16,886	NA	NA

## Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	17,336	17,259	23,234	10,990	16,469	20,446
MIDMONTH	0	0	NA	0	0	
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	15,511	0	15,740	13,391	0	15,241
MIDMONTH	NA	NA	0	0	0	0

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	32,416	6,671	36,264	22,669	30,306	34,437
MIDMONTH	827	NA	NA	853	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	43,952	15,943	34,319	33,212	13,940	39,013
MIDMONTH	163,960	NA	7,415	9,028	10,586	12,628

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	13,331	1,962	NA	7,470	10,987	13,505
MIDMONTH	247	12,190	NA	NA	6,387	1,037
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	11,216	2,756	13,583	13,510	5,849	16,799
MIDMONTH	33,596	NA	4,130	5,116	5,988	7,106

# MARYLAND



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,366	10,080	15,014	13,659	11,703	11,580
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	21,514	10,835	13,071	11,265	11,374
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	14,122	6,877	10,563	12,559	9,989	9,801
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	8,620	13,152	9,921	10,819	22,042

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	31,220	17,634	NA	12,749	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	90,074	0	30,889	0	0	56,034
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	26,161	0	NA	10,796	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	53,094	0	24,448	0	0	37,772

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MARYLAND

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	DK
SSA Benefits	NO
SSI Benefits	YES
BEER	NO

*Conclusions are based on experience, not study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** (\$145,866) LOSS

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs \$167,492
- Computer costs \$3,499
- Costs associated with verification NA
- Other \$40,859

\$211,850

#### **BENEFITS**

- Recovered overpayments and debts \$0
- Amounts of monthly benefits saved \$65,984
- Other \$0

\$65,984

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** NA

**BENEFITS** NA

**NET:** NA



# MARYLAND



## MISCELLANEOUS

Maryland distributes IEVS alerts to local staff using a priority system. Alerts are prioritized for action based on whether the information received has a high probability of impacting an individual's eligibility. If so, it is a priority alert requiring immediate action by the worker; otherwise, it is held for when the worker is performing the reconsideration review. Both reviews require the worker to return the alert upon completion of the review to the data-entry clerk. The clerk will enter into the online IEVS response system the defined action codes for the resultant action of the review.

### ACTION CODES

CODE	USE
00 - AWAITING ACTION	System generated, all alerts begin in this status.
01 - DELAYED THIRD PARTY	Used when awaiting third party verification. It will extend due date additional 30 days from date 01 is data-entered.
02 - INVALID	Used when alert is generated by incorrect SSN data on AMF or on file of third party providing the data.
03 - CLOSED	Used only when data supplied by alert results in closing of the case.
04 - REDUCED	Used only when data supplied by alert results in reduction of benefits.
05 - NO ACTION	Used when data on alert was produced due to incorrect information on.
06 - REFERRED TO DSI/OP	Used when data on alert verifies a prior overpayment and/or overissuance.

Maryland staff indicate that over the last four years, the number of workers has increased slightly. For the 1480 eligibility workers, the typical caseload is 210 ongoing cases. Workers routinely averaged 108 alerts each received during 1992. This figure does not include IEVS data reviewed and acted on at redetermination for which no alert is produced. Examples include changes in benefit amounts for SSI, SSA, and UI.

During the 1992 annual assessment period, Maryland's greatest source of AFDC payment error based on quality control review was for unreported income.



# MASSACHUSETTS

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 6,016,425 (Rank - 13th)  
 Number of counties: 14  
 Per Capita Income: \$22,174 (Rank - 3rd)  
 Unemployment (6/93): 6.4 %  
 1990 Program/Payment Error Rates:

		<i>Rank</i>
<i>AFDC</i>	3.37	13th
<i>Food Stamps</i>	13.06	49th
<i>Medicaid</i> <small>Payment Error Rate</small>	1.68	27th

Federal Share: AFDC 50% Medicaid 50%

### 1992 Welfare Population

	<small>Count in thousands</small>	<small>% Change from 789</small>
<i>AFDC Cases</i> <small>(Average Monthly)</small>	111	26.8%
<i>Food Stamp Participants</i> <small>(Average Monthly)</small>	430	35.7%
<i>Medicaid Eligibles</i> <small>(Annual)</small>	651	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 48 offices  
 Approximate number of eligibility workers: NA  
 State Capitol: Boston  
 Welfare Agency: Department of Public Welfare

IEVS Policy Contact: *James Hall*  
*Director of Eligibility Services*  
*600 Washington St.*  
*Boston, MA 02111 Ph#: 617/348-5395*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Financial Management Control System (FMCS).  
 This system does not integrate with food stamps or Medicaid.

IEVS System Contact: *Ronald Sabulis*  
*Program Analyst*  
*Ph#: 617/348-5270*

System is not FAMIS certified.

## PLANNED WELFARE SYSTEMS CHANGES

Massachusetts is replacing its present system with a FAMIS system.  
 Expected costs near \$35 million.

# MASSACHUSETTS



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Weekly	Yes	Quarterly	Hardcopy
UI	Yes	Weekly	Yes	Monthly	Hardcopy
IRS	No		Yes	Annually	Hardcopy
SSA Benefits	No		Yes	Biweekly	Hardcopy
SSI Benefits	No		Yes	Biweekly	Hardcopy
BEER	No		Yes	Annually	Hardcopy

*Hardcopy = Printed centrally and sent by mail or delivery to local offices and distributed to workers.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

UI, SSA benefit, and SSI information are available to the worker online at the time of application or review. Workers are required by policy to access available online information when doing case reviews.

Online access to the UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	SSA	SSI	Historical BEER
No	Yes	No	Yes	Yes	No
	All		All	All	



# MASSACHUSETTS

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (E) Change in income information Tolerance (T)	Yes	(E) certain clients - <i>Information not available.</i> (T) LE\$300 per quarter.
UI	Exclusion (E) Change in income information	Yes	(E) ongoing clients who have had no change in their UI amount or who have picked up their UI check within 60 days of issuance.
IRS	Disregard certain information Change in income information Tolerance	Yes	Disregard - <i>Information not available</i> LE\$199 per quarter.
SSA Benefits (Bendex)	Change in benefit information Tolerance	Yes	LE\$24 per month.
SSI Benefits (SDX)	Change in benefit information	Yes	
BEER	Disregard certain information	Yes	Disregard duplicate information provided by State Wage match. All other information is forwarded; worker will follow up if the client is active at the time the information is received.

Targeting criteria for the Medicaid and Food Stamp programs: *Information not available.*

# MASSACHUSETTS



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# of alerts worked in 45 days	Yes
# of alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Massachusetts staff reported the 80 percent follow up rule is unreasonable. Staff stated, "Timeframes should be expanded."

For calendar year 1992, staff report more than 80 percent of IEVS match results were followed up (85 percent follow up in 45 days). However, IRS and BEER alerts were followed up much less often within the 45 day period (BEER - 25% follow up in 45 days; IRS - 50% follow up in 45 days).

Workers are required to specify the results of review by placing action codes on the hardcopy alert.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Massachusetts presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, and SSI data. Use of FTMS began in 1990. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Massachusetts implemented use of the State Verification and Exchange System in 1994.

During 1992, 743,233 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a less than routine basis.



# MASSACHUSETTS

## MATCH STATISTICS

State Supplied Statistics for July 1993 Only.

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	150,000	10,000	2,000	2,000	220	NA
UI	450,000	30,000	2,200	2,200	100	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	25,000	NA	NA	NA	NA	NA
SSI Benefits	125,000	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	51,802	27,882	20,006	23,757	36,805	4
MIDMONTH	0	0	NA	0	19,205	24,835
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	1	70,454	108,678	206,529	24,117	52,871
MIDMONTH	NA	NA	0	0	0	22,166

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	82,794	13,074	36,841	35,063	64,685	7,582
MIDMONTH	678	NA	NA	668	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	18,672	100,546	150,760	241,150	72,579	120,567
MIDMONTH	212,433	NA	16,766	27,897	30,269	59,984

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	37,755	3,428	NA	17,933	28,733	780
MIDMONTH	519	19,607	NA	NA	16,605	18,701
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	3,348	41,776	77,544	127,933	39,489	62,443
MIDMONTH	27,836	NA	12,454	20,686	22,537	40,817

# MASSACHUSETTS



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	591,046	0	0	0	0
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	629,821	0	0
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	0	0	0

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	59,526	64,811	NA	57,331	67,340	58,285
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	56,905	64,795	60,024	59,313	67,764	53,210
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	28,071	33,325	NA	26,294	35,995	27,282
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	25,650	34,371	29,693	29,096	38,186	24,643

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MASSACHUSETTS

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	YES
SSA Benefits	YES
SSI Benefits	YES
BEER	YES

*Conclusions are based on study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** \$763,500

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$350,000
- Computer costs	\$60,000
- Costs associated with verification	\$90,000
- Other	\$80,000

\$580,000

#### **BENEFITS**

- Recovered overpayments and debts	NA
- Amounts of monthly benefits saved	\$1,303,500
- Other	\$40,000

\$1,343,500

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** \$45,000

**BENEFITS** \$900,000

**NET:** \$855,000



# MASSACHUSETTS



## MISCELLANEOUS

Massachusetts staff report that over the last four years the number of eligibility workers has decreased slightly to 2,000. The typical caseload of a worker who deals with ongoing cases is approximately 150, and the typical worker received an average of 300 IEVS alerts during 1992. When alerts are received, through hardcopy reports, workers are required to communicate the results of their review by using established codes. These codes correspond to action taken or resulting from the worker's review and are written on the hardcopy alert. (An example is provided below.)

The State's greatest source of AFDC payment error, based on quality control review, during the 1992 annual assessment period was unreported earnings.

### UI MATCH RESPONSE ACTION CODES

```
U. I. MATCH UPDATE SYSTEM
-----
1      WSO: 410      CAN: 010      CAT: 0      RUN DATE: 04/07/87
ACTION DATE (MMDDYY): 041787      ACTION-CODE: N      BSI CODE:
SSN:      NAME:
-----
CODE      ACTION
C      CLOSED DUE TO CIP INFORMATION
D      DECREASED DUE TO CIP INFORMATION
N      NEW INFORMATION - REVIEWED - NO CHANGES
O      OLD INFORMATION - REVIEWED - NO CHANGES
P      PENDING THIRD PARTY VERIFICATION
R      REDUCED DUE TO OTHER INFORMATION
S      SPENDDOWN INCURRED/REINCURRED DUE TO
      CIP INFORMATION (CAT 5-8 ONLY)
T      TERMINATED DUE TO OTHER INFORMATION
R      BUREAU OF SPECIAL INVESTIGATIONS REFERRAL
-----
ENTER 'X' TO RETURN TO U. I. CIP MENU:
ENTER 'T' FOR END:
```



# MICHIGAN

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 9,295,297 (Rank - 8th)  
 Number of counties: 83  
 Per Capita Income: \$17,444 (Rank - 19th)  
 Unemployment (6/93): 7.4 %

### 1990 Program/Payment Error Rates:

		Rank
AFDC	5.55	34th
Food Stamps	8.89	29th
Medicaid <small>Payment Error Rate</small>	3.00	47th

Federal Share: AFDC 50.5% Medicaid 55.4%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases <small>(Average Monthly)</small>	222	5.6%
Food Stamp Participants <small>(Average Monthly)</small>	1,002	14.4%
Medicaid Eligibles <small>(Annual)</small>	1,113	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 124 offices  
 Approximate number of eligibility workers: 3,500  
 State Capitol: **Lansing**  
 Welfare Agency: **Michigan Department of Social Services  
 Family Services Administration**

IEVS Policy Contact: *Phil Michel*  
*Systems and Technical Services*  
*Grand Tower Building, Suite 1313*  
*P.O. Box 30037 (235 S. Grand Ave.)*  
*Lansing, MI 48909*

Ph#: 517/373-0909

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: **Local Office Automation (LOA).**

*This system integrates AFDC, Medicaid, and food stamps.*

IEVS System Contact: *Same as Above*

System is not FAMIS certified.

## PLANNED WELFARE SYSTEMS CHANGES

Michigan estimated \$84 million to complete development of a FAMIS to support AFDC, food stamps, Medicaid eligibility, JOBS, and child care.

# MICHIGAN



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Twice Weekly	Yes	Quarterly	Hardcopy
UI	Yes	Twice Weekly	Yes	Monthly	Hardcopy
IRS	Yes	Monthly	Yes	Annually	Hardcopy
SSA Benefits	Yes	Monthly	Yes	Monthly	Hardcopy
SSI Benefits	No		Yes	Weekly	Hardcopy
BEER	No		Yes	Monthly	Hardcopy

*Hardcopy = Printed centrally and sent by mail or delivery to local offices and distributed to workers.*

As a participant in a demonstration of IEVS targeting strategies sponsored by the FNS and conducted by Mathematica, Michigan is presently not required to conduct the applicant State wage match.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

No IEVS information is available online to workers.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
No	No	No	No	No	No



# MICHIGAN

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (E) Discrepancy (D)	Yes	(E) Client active for part of time period to which match pertains. (D) <i>Information not available.</i>
UI	Exclusion	Yes	Client active for part of time period to which match pertains.
IRS	Exclusion (E) Disregard certain information Tolerance (T)	Yes	(E) Client active for part of time period to which match pertains. Disregard - <i>Information not available.</i> (T) <i>Information not available.</i>
SSA Benefits (Bendex)	No targeting	Yes	All information is forwarded for follow up if the client is active at the time the information is received.
SSI Benefits (SDX)	No targeting (1)	Yes	
BEER	Exclusion (E) Disregard certain information Unique information Tolerance (T)	Yes	(E) Client active for part of time period to which match pertains. (T) <i>Information not available.</i>

(1) State automatically updates SDX file information received on active clients.

Targeting criteria for Medicaid and Food Stamp programs : *Information not available.*

# MICHIGAN



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="505 365 1409 443">Routine Tracking Reports Include The Following:</th> <th data-bbox="1409 365 1555 443">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="505 443 1409 485"># of records submitted for matching</td> <td data-bbox="1409 443 1555 485">Yes</td> </tr> <tr> <td data-bbox="505 485 1409 527"># of records which matched with external data</td> <td data-bbox="1409 485 1555 527">Yes</td> </tr> <tr> <td data-bbox="505 527 1409 569"># of records resulting in alerts for workers</td> <td data-bbox="1409 527 1555 569">Yes</td> </tr> <tr> <td data-bbox="505 569 1409 611"># of alerts worked by eligibility workers</td> <td data-bbox="1409 569 1555 611">Yes</td> </tr> <tr> <td data-bbox="505 611 1409 653"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1409 611 1555 653">Yes</td> </tr> <tr> <td data-bbox="505 653 1409 695">Amount of savings determined from IEVS alerts</td> <td data-bbox="1409 653 1555 695">No</td> </tr> <tr> <td data-bbox="505 695 1409 737"># or alerts worked in 45 days</td> <td data-bbox="1409 695 1555 737">Yes</td> </tr> <tr> <td data-bbox="505 737 1409 779"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1409 737 1555 779">Yes</td> </tr> <tr> <td data-bbox="505 779 1409 821">Staff time to complete follow up</td> <td data-bbox="1409 779 1555 821">No</td> </tr> <tr> <td data-bbox="505 821 1409 863">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1409 821 1555 863">No</td> </tr> </tbody> </table> <p data-bbox="532 821 1365 856">▶ <i>These reports are only available for the State wage match.</i></p>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	Yes	# of records which matched with external data	Yes	# of records resulting in alerts for workers	Yes	# of alerts worked by eligibility workers	Yes	# of alerts resulting in a change to eligibility status/benefit	Yes	Amount of savings determined from IEVS alerts	No	# or alerts worked in 45 days	Yes	# or alerts past due (not worked in 45 days)	Yes	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	No
Routine Tracking Reports Include The Following:	Included in Report																						
# of records submitted for matching	Yes																						
# of records which matched with external data	Yes																						
# of records resulting in alerts for workers	Yes																						
# of alerts worked by eligibility workers	Yes																						
# of alerts resulting in a change to eligibility status/benefit	Yes																						
Amount of savings determined from IEVS alerts	No																						
# or alerts worked in 45 days	Yes																						
# or alerts past due (not worked in 45 days)	Yes																						
Staff time to complete follow up	No																						
Amount of IEVS identified savings actually recouped	No																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p data-bbox="505 913 1511 1003">Michigan staff consider the 80 percent follow up rule to be unreasonable. Staff stated, "States should be free to set their own schedules according to the particular match."</p> <p data-bbox="505 1031 1544 1094">Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.</p> <p data-bbox="505 1121 1495 1268">For calendar year 1993, staff estimate less than 80 percent of the State Wage match results were followed up on (50 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.</p> <p data-bbox="505 1295 1495 1358">Workers are required to enter in the computer the results of follow up by using codes based on the action taken.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p data-bbox="505 1444 1349 1480">All IEVS matches (results) are prioritized equally for follow up.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p data-bbox="505 1581 1544 1707"><b>BENDEX AND BEER:</b> To exchange data with SSA, Michigan uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Data. However, Michigan has not yet implemented use of the State Verification and Exchange System.</p> <p data-bbox="505 1734 1544 1797">During 1992, 366,543 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p data-bbox="505 1824 1382 1860"><b>IRS:</b> Computer tapes are exchanged with IRS on a routine basis.</p>																						



# MICHIGAN

## MATCH STATISTICS

### State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

### Federal Database Matches

### Data Provided by Federal Source Agencies (SSA and IRS)

#### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	134,426	0	224,776	148,027	0	80,790
MIDMONTH	0	0	NA	0	0	124,588
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	0	101,485	157,147	311,524	0	0
MIDMONTH	NA	NA	0	0	95,566	130,386

#### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	170,332	15,385	192,018	172,225	19,632	104,594
MIDMONTH	2,389	NA	NA	1,997	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	46,539	146,426	210,673	311,006	70,596	84,531
MIDMONTH	495,304	NA	43,872	55,160	187,395	202,969

#### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	139,044	4,669	NA	105,603	4,396	79,030
MIDMONTH	1,663	4,338	NA	NA	0	119,929
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	17,312	117,152	174,106	238,966	54,000	62,443
MIDMONTH	299,252	NA	38,266	48,787	141,303	166,092

# MICHIGAN



## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	108,462	107,513	0	104,506	205,868	209,667
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	100,388	106,101	103,413	107,515	99,316
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	100,122	102,081	92,804	91,809	96,480	99,255
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	190,123	95,802	94,619	100,397	203,428

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	103,601	0	NA	102,493	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	90,880	0	0	0	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	75,717	0	NA	76,307	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	66,024	0	0	0	0	0

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MICHIGAN

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Cost Effective for Recipient Matches Only*

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	YES
SSA Benefits	YES
SSI Benefits	YES
BEER	DK

*Conclusions are based on study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) .NET: \$4,539,000

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$1,350,000
- Computer costs	\$3,000
- Costs associated with verification	\$18,000
- Other	\$20,000

\$1,391,000

#### **BENEFITS**

- Recovered overpayments and debts	NA
- Amounts of monthly benefits saved	\$5,930,000
- Other	NA

\$5,930,000

#### **BENDEX MATCH (SSA Benefits and BEER)**

**COSTS** NA

**BENEFITS** NA

**NET:** NA



# MICHIGAN



## MISCELLANEOUS

### Cost Effectiveness Studies

1) During summer and early autumn of 1992, Michigan participated in a cost effectiveness study conducted by Mathematica Policy Research, Inc., (under contract to the Food and Nutrition Service of the Department of Agriculture). The study was initiated to address concerns expressed by State agencies that IEVS regulations were inflexible and burdensome. Prior to the demonstration, Michigan followed up on all match information received. To address the State's concerns that the State Wage and IRS matches were not cost effective, the demonstration introduced new targeting strategies for the IRS match and continued to conduct the State Wage applicant match with no targeting. Cost effectiveness was measured as the ratio of program savings from IEVS to the cost of matching, targeting, and following up under IEVS. Also measured was the cost effectiveness of IEVS from the perspective of the State and Federal agencies administering the FS and AFDC programs. Although not yet released, preliminary findings shared with the HHS/OIG suggest that all studied IEVS matches were cost effective. However, no inference can be made that IEVS matches are always cost effective or that cost effectiveness would necessarily exist in all States.

2) Michigan conducted its own cost effectiveness study of the State Wage match, implemented in January 1987. The report, issued March 1990 (following an interim report in March 1989), indicated that wage reporting creates substantial savings through actions such as case closures and grant reduction and that savings are further enhanced when screen levels are targeted to specific programs. Also, the study indicated that cost savings reported are directly related to the length of time a case has been open or closed. It was also found that the increase in estimated costs, associated with targeting screen levels to specific programs, were not significant when compared to the substantial savings gained through targeting.

One major implication for these studies for other State IEVS activities being cost effective may be the targeting strategies utilized for each match.



# MINNESOTA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 4,375,099 (Rank - 20th)  
 Number of counties: 87  
 Per Capita Income: \$17,657 (Rank - 15th)  
 Unemployment (6/93): 5.5 %

1990 Program/Payment Error Rates:

		Rank
<i>AFDC</i>	2.67	6th
<i>Food Stamps</i>	9.67	33rd
<i>Medicaid</i>	<i>Payment Error Rate</i> 1.15	14th

Federal Share: AFDC 50% Medicaid 54.4%

### 1992 Welfare Population

	Count in thousands	% Change from 789
<b>AFDC Cases</b> <i>(Average Monthly)</i>	65	20.3%
<b>Food Stamp Participants</b> <i>(Average Monthly)</i>	317	28.8%
<b>Medicaid Eligibles</b> <i>(Annual)</i>	422	N/A

## WELFARE ADMINISTRATION

### COUNTY ADMINISTERED AND STATE SUPERVISED

Number of local welfare offices: 87 offices  
 Approximate number of eligibility workers: 1,955  
 State Capitol: St. Paul  
 Welfare Agency: Minnesota Department of Human Services

IEVS Policy Contact: *Mike Hofmeister*  
*IEVS Coordinator*  
*Quality Initiative Division*  
*444 Lafayette Rd.*  
*St. Paul, MN 55155-3845 Ph#: 612/282-5439*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: **MAXIS.**  
*This system was transferred from South Dakota and integrates AFDC, Medicaid, and food stamps.*

IEVS System Contact: *Jack Thueson*  
*Systems Analyst*  
*612/297-5413*

System was FAMIS certified on November 16, 1992.

## PLANNED WELFARE SYSTEMS CHANGES

Minnesota has been replacing its batch processing MMIS with a system that has improved online capabilities.

# MINNESOTA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Semi-Monthly	Yes	Quarterly	Electronic (S & V)
UI	Yes	Semi-Monthly	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Annually	Electronic (S & V)
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S & V)
BEER	Yes	Monthly	Yes	Monthly	Electronic (S & V)

*S & V = Sent to worker and viewed on worker's screen.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

If the applicant was a prior client or present client, all IEVS historical information is available to the worker at application or review.

Workers are not required by policy to access available online information at application or redeterminations.

*Do Workers Have Online Access?*

*How Many Offices?*

<i>Historical State Wage</i>	<i>Historical UI</i>	<i>Historical IRS</i>	<i>Historical SSA</i>	<i>Historical SSI</i>	<i>Historical BEER</i>
Yes	Yes	Yes	Yes	Yes	Yes
All	All	All	All	All	All



# MINNESOTA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (E) Discrepancy (D)	Yes	(E) Client must be recipient or applicant for part of time period to which match pertains. (D) GE\$1000 per quarter or \$333 per month.
UI	Exclusion (E) Discrepancy (D)	Yes	(E) Client must be recipient or applicant for part of time period to which match pertains. (D) GE\$200 per month.
IRS	Exclusion (E) Tolerance (T)	Yes	(E) Client must be recipient or applicant for part of time period to which match pertains. (T) Unearned income - LE\$900 per year or \$25 per month.
SSA Benefits (Bendex)	Exclusion (E) Discrepancy (D)	Yes	(E) Client must be recipient or applicant for part of time period to which match pertains. (D) GE\$10 per month.
SSI Benefits (SDX)	Exclusion (E) Discrepancy (D)	Yes	(E) Client must be recipient or applicant for part of time period to which match pertains. (D) GE\$10 per month.
BEER	Exclusion (E) Disregard certain information Tolerance (T)	Yes	(E) Client must be recipient or applicant for part of time period to which match pertains. Disregard duplicate information provided by State wage match. (T) LE\$1500 per year.

Targeting criteria for the Food Stamp program is the same as that of the AFDC program. However, the Medicaid program targets out clients with SSI income (other criteria are the same).

# MINNESOTA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	Yes
# of alerts resulting in a change to eligibility status/benefit	Yes
Amount of savings determined from IEVS alerts	Yes
# or alerts worked in 45 days	Yes
# or alerts past due (not worked in 45 days)	Yes
Staff time to complete follow up	Yes
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Minnesota considers the 80 percent follow up rule to be unreasonable. Staff stated, "Regulations should allow individual States to indicate resolution timeframes to be met and why they are adequate."

Interviewed staff suspect efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For 1993, staff estimate that more than 80 percent of IEVS match results were followed up on in 45 days. However, staff lack data to accurately support this conclusion. Counties are monitored through food stamp and compliance reports which focus on many issues other than IEVS compliance.

Workers are required to enter in the computer the results of follow up by using codes based on the action taken.

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Minnesota does not presently use SSA's File Transfer Management System (FTMS) to receive and/or send SSA information, nor has it implemented use of the State Verification and Exchange System.

During 1992, 1,345,909 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.



# MINNESOTA

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records <sup>1</sup> Submitted for Matching	Records <sup>1</sup> Matched	Records <sup>1</sup> Referred for Review (Alerts)	Records Reviewed <sup>2</sup>	Records Found To Affect Benefit <sup>2</sup>	Estimated Overpayments Detected <sup>2</sup>
State Wage	1,902,091	206,307	31,986	54,940	3,164	\$4,562,040
UI	4,420,651	51,951	7,721	13,112	812	\$544,628
IRS	773,499	426,068	19,552	2,368	4	\$352
SSA Benefits	720,326	703,533	7,301	12,096	660	\$100,484
SSI Benefits	389,327	214,736	5,672	5,840	972	\$100,344
BEER	720,326	48,587	2,097	6,772	184	\$249,408

<sup>1</sup> Data extrapolated using monthly data provided

<sup>2</sup> Data estimated from 3rd quarter statistics

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	16,206	0	25,849	13,856	368,771	24,507
MIDMONTH	0	12,249	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	12,603	19,894	18,082	12,128	0	0
MIDMONTH	NA	NA	0	0	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	31,603	4,584	33,254	22,243	440,504	35,767
MIDMONTH	921	NA	NA	707	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	29,873	32,131	32,957	26,860	14,247	18,475
MIDMONTH	126,206	NA	8,289	9,701	10,812	12,348

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	886	338	NA	1,410	16,212	1,296
MIDMONTH	120	372	NA	NA	10,773	249
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	1,155	1,512	1,739	1,864	1749	2,035
MIDMONTH	46,638	NA	1,110	1,419	1,782	2,101

# MINNESOTA



## MATCH STATISTICS

IRS INPUT (July 1991-June 1993)						
1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	2,182	1,888	2,581	2,406	0	2,698
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	387,870	0	18,037	6,705	6,739
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,372	9,355	9,110	0	419,356	10,393
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	10,226	10,482	10,702	9,771	9,848

ENUMERATION VERIFICATION (July 1992-June 1993)						
INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	358,369	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	85,462	33,539	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	NA	0	334,828	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	69,414	19,480	0	0

WIRE THIRD PARTY QUERY (July 1993-June 1994)						
REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MINNESOTA

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

Is Match Cost Effective?

State Wage	YES
Unemployment	YES
IRS	NO
SSA Benefits	YES
SSI Benefits	YES
BEER	NO

Conclusions are based on experience, not study.

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) . NET: (\$57,575) LOSS

#### COSTS

- Salaries, fringe benefits, and other personnel costs \$607,000
- Computer costs \$46,500
- Costs associated with verification \$1,000
- Other \$33,000

\$687,500

#### BENEFITS

- Recovered overpayments and debts \$628,080
- Amounts of monthly benefits saved \$1,845
- Other NA

\$629,925

#### BENDEX MATCH (SSA Benefits and BEER)

COSTS \$141,007

BENEFITS NA

NET: NA







# MISSISSIPPI

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 2,573,216 (Rank - 31st)  
 Number of counties: 82  
 Per Capita Income: \$11,724 (Rank - 50th)  
 Unemployment (6/93): 8.0 %

1990 Program/Payment Error Rates:

		Rank
AFDC	7.84	48th
Food Stamps	10.07	34th
Medicaid	1.32	17th

*Payment Error Rate*

Federal Share: AFDC 65% Medicaid 80%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	61	2.2%
Food Stamp Participants (Average Monthly)	540	9.9%
Medicaid Eligibles (Annual)	470	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 83 offices  
 Approximate number of eligibility workers: 835  
 State Capitol: Jackson  
 Welfare Agency: Mississippi Department of Human Services  
 Economic Assistance

IEVS Policy Contact: *Janis Stinson McDowell*  
 Director, Policy Unit  
 Economic Assistance  
 P.O. Box 352 (750 North State Street)  
 Jackson, MS 39205-0352 Ph#: 601/359-4500

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: MAVERICS.  
*This system integrates eligibility for the FS, AFDC, and Medicaid programs.*

IEVS System Contact: *Jerry Weir*  
 Acting Director, Division of MIS  
 Ph#: 601/359-4500

System was FAMIS certified on July 1, 1986.

## PLANNED WELFARE SYSTEMS CHANGES

Mississippi planned to upgrade its FAMIS system at an approximate cost of \$10 million.

# MISSISSIPPI



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Bimonthly	Yes	Quarterly	Electronic (S & V)
UI	Yes	Bimonthly	Yes	Monthly	Electronic (S & V)
IRS	Yes	Monthly	Yes	Annually	Hardcopy
SSA Benefits	Yes	Bimonthly	Yes	Monthly *	Electronic (S & V)
SSI Benefits	Yes	Weekly	Yes	Monthly	Electronic (S & V)
BEER	Yes	Bimonthly	Yes	Annually	Hardcopy

*S & V = Sent to worker and viewed on worker's screen.*

*\*For recipients who quit receiving all benefits*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch	Batch	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage, UI, and SSI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit information may be available.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	Yes	Yes	No
All	All		All	All	



# MISSISSIPPI

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Change in income information Other	Yes	An additional alert is generated when 3 or more employers are indicated for the same match period.
UI	Change in benefit information	Yes	
IRS	Exclusion Disregard Change in income information Other	Yes	Client active for part of time period to which match pertains. Disregard \$0 income.
SSA Benefits (Bendex)	Exclusion Change in benefit information	Yes	Client active the month following the time period to which the match pertains.
SSI Benefits (SDX)	Exclusion Change in benefit information	Yes	Client active the month following the time period to which the match pertains.
BEER	Exclusion Change in income information	No	Client active for part of time period to which match pertains.

Targeting criteria for Food Stamp program differs from that of the AFDC and Medicaid programs primarily for when the State Wage match occurs. Food Stamp recipients are matched just prior to recertification; all AFDC and Medicaid recipients are matched during the months of March, June, September, and December.

While the State does not target out duplicate information automatically during any of the matches, following the matches, duplicate wage match alerts are removed to ensure that the duplicate information is eliminated before an alert is issued to the workers. Further, when workers receive match information, they are only required to follow up on information that doesn't duplicate (is unique) information previously received.

# MISSISSIPPI



<p><b>IEVS MONITORING AND TRACKING</b></p>	<table border="1"> <thead> <tr> <th data-bbox="479 367 1380 441">Routine Tracking Reports Include The Following:</th> <th data-bbox="1380 367 1518 441">Included in Report</th> </tr> </thead> <tbody> <tr> <td data-bbox="479 441 1380 483"># of records submitted for matching</td> <td data-bbox="1380 441 1518 483">No</td> </tr> <tr> <td data-bbox="479 483 1380 525"># of records which matched with external data</td> <td data-bbox="1380 483 1518 525">No</td> </tr> <tr> <td data-bbox="479 525 1380 567"># of records resulting in alerts for workers</td> <td data-bbox="1380 525 1518 567">No</td> </tr> <tr> <td data-bbox="479 567 1380 609"># of alerts worked by eligibility workers</td> <td data-bbox="1380 567 1518 609">Yes</td> </tr> <tr> <td data-bbox="479 609 1380 651"># of alerts resulting in a change to eligibility status/benefit</td> <td data-bbox="1380 609 1518 651">No</td> </tr> <tr> <td data-bbox="479 651 1380 693">Amount of savings determined from IEVS alerts</td> <td data-bbox="1380 651 1518 693">No</td> </tr> <tr> <td data-bbox="479 693 1380 735"># or alerts worked in 45 days</td> <td data-bbox="1380 693 1518 735">Yes</td> </tr> <tr> <td data-bbox="479 735 1380 777"># or alerts past due (not worked in 45 days)</td> <td data-bbox="1380 735 1518 777">Yes</td> </tr> <tr> <td data-bbox="479 777 1380 819">Staff time to complete follow up</td> <td data-bbox="1380 777 1518 819">No</td> </tr> <tr> <td data-bbox="479 819 1380 865">Amount of IEVS identified savings actually recouped</td> <td data-bbox="1380 819 1518 865">No</td> </tr> </tbody> </table>	Routine Tracking Reports Include The Following:	Included in Report	# of records submitted for matching	No	# of records which matched with external data	No	# of records resulting in alerts for workers	No	# of alerts worked by eligibility workers	Yes	# of alerts resulting in a change to eligibility status/benefit	No	Amount of savings determined from IEVS alerts	No	# or alerts worked in 45 days	Yes	# or alerts past due (not worked in 45 days)	Yes	Staff time to complete follow up	No	Amount of IEVS identified savings actually recouped	No
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# of records submitted for matching	No																						
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# of alerts worked by eligibility workers	Yes																						
# of alerts resulting in a change to eligibility status/benefit	No																						
Amount of savings determined from IEVS alerts	No																						
# or alerts worked in 45 days	Yes																						
# or alerts past due (not worked in 45 days)	Yes																						
Staff time to complete follow up	No																						
Amount of IEVS identified savings actually recouped	No																						
<p><b>FLEXIBILITY AND FOLLOW UP</b></p>	<p>Mississippi provided no statistics supporting the State's ability to meet the 45 day follow up timeframe for IEVS matches.</p> <p>Workers are required to enter in the computer the results of follow up by using codes based on the action taken.</p> <p>To reduce the number of alerts sent to workers, a system change was made in 1993 to automatically clear duplicate wage match alerts monthly through a batch process. Since alerts are generated monthly and employers report quarterly, a worker used to get as many as 3 alerts based on the same identical wage information. The system change keeps this to only one.</p>																						
<p><b>FOLLOW UP PRIORITIZATION</b></p>	<p>IEVS match results are prioritized at the local office level (manual process) based on the program and the circumstances.</p>																						
<p><b>METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES</b></p>	<p><b>BENDEX AND BEER:</b> To exchange data with SSA, as of 1/94 Mississippi was not using SSA's File Transfer Management System (FTMS) to receive and/or send SSA data. Mississippi has not yet implemented use of the State Verification and Exchange System.</p> <p>During 1992, 167,521 records were submitted to SSA for verification using the Enumeration Verification System.</p> <p><b>IRS:</b> Computer tapes are exchanged with IRS (tapes have not been exchanged routinely in the past).</p>																						



# MISSISSIPPI

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	2,795,880	647,030	342,912	339,972	43,980	NA
UI	8,092,181	155,660	101,307	100,307	15,841	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	122,476	120,998	23,889	NA
SSI Benefits	NA	NA	81,863	81,193	15,272	NA
BEER	NA	NA	NA	NA	NA	NA

Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	9,098	3,808	10,702	95,146	36,669	23,539
MIDMONTH	0	0	NA	19,022	19,534	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	78,275	88,840	11,214	26,405	0	47,877
MIDMONTH	NA	NA	90,302	21,268	0	21,269

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	20,684	14,606	16,303	111,178	51,693	34,040
MIDMONTH	846	NA	NA	66,759	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	111,236	117,548	132,368	53,924	31,931	116,958
MIDMONTH	202,860	NA	150,335	81,046	26,481	86,947

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	11,735	4,974	NA	97,337	39,267	25,891
MIDMONTH	610	1,914	NA	NA	24,198	3,559
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	49,993	39,896	35,876	49,705	27,860	80,014
MIDMONTH	56,591	NA	112,857	49,231	28,364	55,721

# MISSISSIPPI



## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	0	0	0	0	0
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	664,990	0	100,178	24,205	0	38,670
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	20,310	13,240	12,308	10,640	16,880

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	10,944	24,287	NA	25,873	15,133	12,384
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	13,117	14,425	14,143	13,567	13,467	13,763
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	9,258	20,656	NA	22,239	12,906	10,628
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	71,362	12,468	12,266	11,737	11,619	12,010

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MISSISSIPPI

## State Respondent Perceptions of IEVS Cost Effectiveness

### MATCH COSTS AND BENEFITS

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	NO
SSA Benefits	YES
SSI Benefits	YES
BEER	NO

*Conclusions are based only on perceptions.*

## State Estimates As Required For Matches With Federal Data

### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) **NET:** \$251,272

#### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$45,281
- Computer costs	\$78,639
- Costs associated with verification	\$0
- Other	\$18,954

\$142,874

#### **BENEFITS**

- Recovered overpayments and debts	\$205,020
- Amounts of monthly benefits saved	\$189,126
- Other	\$0

\$394,146

### BENDEX MATCH (SSA Benefits and BEER)

**COSTS** \$316,222

**BENEFITS** \$203,994,316

**NET:** \$203,678,094



# MISSISSIPPI



## MISCELLANEOUS

The following is an example of an IEVS alert screen. The purpose of this screen on the workers terminal is to display all of the system generated IEVS alerts which have been created for a case whether they are overdue, due today, or due in the future. The screen can display up to a maximum of 160 IEVS alerts. IEVS alerts are deleted from the screen as each alert listed is completed.

### IEVS ALERT SCREEN

SELECT	DUE DATE	CLIENT NAME	IEVS ALERT
	072987	FLEURY DOUGLAS J	IEVS SDX MATCH DATA
	082987	FLEURY DOUGLAS J	IEVS SSN ENUM/VOL MATCH DATA
	082987	FLEURY NORA	IEVS STATE RESOURCE DATA
	082987	FLEURY DOUGLAS J	IEVS UNEMPLOYMENT BENEFITS DATA
	082987	FLEURY NORA	IEVS WAGE MATCH DATA
	113007	NORA DOUGLAS	IEVS EARNINGS REFERENCE FILE MATCH
	123187	FLEURY DOUG J	IEVS INDEX MATCH DATA

MORE      NEXT-->



# MISSOURI

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 5,117,073 (Rank - 15th)  
 Number of counties: 115  
 Per Capita Income: \$16,292 (Rank - 25th)  
 Unemployment (6/93): 7.0 %

1990 Program/Payment Error Rates:

		<i>Rank</i>
<i>AFDC</i>	4.43	23rd
<i>Food Stamps</i>	8.32	26th
<i>Medicaid</i>	<i>Payment Error Rate</i> 1.47	22nd

Federal Share: AFDC 56.5% Medicaid 60.8%

### 1992 Welfare Population

	<i>Count in thousands</i>	<i>% Change from 789</i>
<b>AFDC Cases</b> <i>(Average Monthly)</i>	<b>86</b>	<b>27.6%</b>
<b>Food Stamp Participants</b> <i>(Average Monthly)</i>	<b>559</b>	<b>39.6%</b>
<b>Medicaid Eligibles</b> <i>(Annual)</i>	<b>503</b>	<b>N/A</b>

## WELFARE ADMINISTRATION

### STATE ADMINISTERED

Number of local welfare offices: 115 offices  
 Approximate number of eligibility workers: NA  
 State Capitol: Jefferson City  
 Welfare Agency: Missouri Department of Social Services  
 Division of Family Services

IEVS Policy Contact: *Jane Cox*  
*Program Development Specialist*  
*Division of Family Services*  
*P. O. Box 88*  
*1014 Madison*  
*Jefferson City, MO 65102 Ph#: 314/681-0133*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: Income Maintenance Update (IMU5).

*This system is not integrated with Medicaid and food stamps.*

IEVS System Contact: *Gary Desterly*  
*Project Leader*  
*314/751-2109*

System is not FAMIS certified.

## PLANNED WELFARE SYSTEMS CHANGES

Missouri is working on a new FAMIS system that will support AFDC, food stamps, Medicaid eligibility, JOBS, and child care. The system under development has a cost of \$78 million.

# MISSOURI



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	Yes	Daily	Yes	Quarterly	Electronic (S) and Print
UI	Yes	Daily	Yes	Daily	Electronic (S) and Print
IRS	Yes	Monthly	Yes	Monthly	Electronic (S & V)
SSA Benefits	Yes	Biweekly	Yes	Biweekly	Hardcopy
SSI Benefits	Yes	Monthly	Yes	Monthly	Hardcopy
BEER	No		No		

*S & V = Sent to worker and viewed on worker's screen.  
Hardcopy = Printed centrally and sent by mail or delivery to local offices and distributed to workers.*

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Batch Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage and UI information are available to the worker online at the time of application. Additionally, if the applicant was a prior client, historical SSA benefit, IRS, and BEER information may be available. While not available online, SSI data is available through SSA's file transfer management system.

Workers are required by policy to access available online information at application and redeterminations.

Online access to the State Wage and UI database is achieved through an electronic link between welfare's computer and the Labor agency's computer.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	Yes	No	No	No
All	All	All			



# MISSOURI

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	Exclusion (1) Tolerance (1) Other	Yes	(1) Client active for part of time period to which match pertains and client must match on name. (1) LE\$250.
UI	No targeting		
IRS	Exclusion (1) Disregard certain information Tolerance (1)	Yes	(1) Client active for all of the time period to which match pertains. Disregards vary by type (1). (1) varies by type (2).
SSA Benefits (Bendex)	Change in benefit information	Yes	
SSI Benefits (SDX)	Change in benefit information	Yes	
BEER	State not matching		

- (1) Disregard all the following - patronage dividends, non-patronage dividends, per unit retain allocation, in-State UI, prior year refund, non-cash liquidation distributions, dividends qualifying for exclusions, bartering, gross income, debt satisfied, and fair market value. Also disregard all income information types which have no reports.
- (2)
- For amounts GE\$5000, follow up prizes and awards.
  - For amounts GE\$1000, follow up rollover SEP contributions, IRA or SEP contributions between years, debt outstanding, gross winnings, and capital gains.
  - For amounts GE\$500, follow up most recent year SEP contributions.
  - For amounts GE\$250, follow up royalties, original discount issue, ordinary income, unrealized appreciation, and other income.
  - For amounts GE\$200, follow up interest forfeiture.
  - For amounts GE\$50, follow up interest.
  - No m levels, but requiring follow up, are distributive shares, dividends, agricultural subsidies, non-taxable distributions, cash liquidation distributions, dividends not qualifying for exclusions, savings bonds, substitute payments, stocks and bonds, aggregate profit and loss, profit and loss last half year, rents, and IRA distributions.

Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.

# MISSOURI



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report
# of records submitted for matching	Yes
# of records which matched with external data	Yes
# of records resulting in alerts for workers	Yes
# of alerts worked by eligibility workers	No
# of alerts resulting in a change to eligibility status/benefit	No
Amount of savings determined from IEVS alerts	No
# of alerts worked in 45 days	No
# of alerts past due (not worked in 45 days)	No
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Missouri considers the 80 percent follow up rule to be unreasonable. Staff stated, "Regulations should provide more flexibility."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement are only at the expense of more important activities of workers.

For calendar year 1993, staff suspect somewhat less than 80 percent of IEVS match results were followed up on (75 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are not required to document the results of their review of IEVS reports.

## FOLLOW UP PRIORITIZATION

IEVS match results may be prioritized at the local office level.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Missouri presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, and SSI data. Use of FTMS began in 1993. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Missouri has not yet implemented use of the State Verification and Exchange System.

During 1992, 289,414 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.



# MISSOURI

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	NA	NA	NA	NA	NA	NA
UI	NA	NA	NA	NA	NA	NA
IRS	NA	NA	NA	NA	NA	NA
SSA Benefits	NA	NA	NA	NA	NA	NA
SSI Benefits	NA	NA	NA	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	44,446	0	46,756	0	0	0
MIDMONTH	0	37,984	NA	45,761	43,446	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	46,658	45,887	40,529	49,355	0	44,367
MIDMONTH	NA	NA	0	45,800	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	67,660	11,236	61,359	9,020	13,780	10,986
MIDMONTH	1,676	NA	NA	221	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	77,134	75,343	78,127	85,436	30,860	88,509
MIDMONTH	250,747	NA	16,757	77,270	23,990	27,991

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	27	13	NA	10	7	10
MIDMONTH	0	9	NA	NA	0	11
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	22	32	43	50	68	93
MIDMONTH	726	NA	44	50	75	99

# MISSOURI



## MATCH STATISTICS

### IRS INPUT (July 1991-June 1993)

1991	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	603,989	0	1,822	33,550	0	58,079
1992	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	68,646	0	0	58,768	55,509
1992	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	715,767	57,503	0	44,105	86,030
1993	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	NA	78,934	38,819	37,567	31,085	26,104

### ENUMERATION VERIFICATION (July 1992-June 1993)

INPUT FOR VERIFICATION	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	107,985	0	NA	62,398	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	180,710	0	0	66,601	0	0
VERIFIED	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	102,016	0	NA	56,453	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	56,423	0	0	60,096	0	0

### WIRE THIRD PARTY QUERY (July 1993-June 1994)

REQUESTS	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0
RESPONSES	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
	0	0	0	0	0	0
	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE
	0	0	0	0	0	0



# MISSOURI

## MATCH COSTS AND BENEFITS

### State Respondent Perceptions of IEVS Cost Effectiveness

*Is Match Cost Effective?*

State Wage	YES
Unemployment	YES
IRS	YES
SSA Benefits	YES
SSI Benefits	YES
BEER	NA

*Conclusions are based on study.*

### State Estimates As Required For Matches With Federal Data

#### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) .NET: \$86,027

##### **COSTS**

- Salaries, fringe benefits, and other personnel costs	\$14,094
- Computer costs	\$15,343
- Costs associated with verification	\$0
- Other	\$1,783
	<u>\$31,220</u>

##### **BENEFITS**

- Recovered overpayments and debts	\$93,199
- Amounts of monthly benefits saved	\$24,048
- Other	\$0
	<u>\$117,247</u>

**\$117,247**

#### BENDEX MATCH (SSA Benefits and BEER)

**COSTS**            \$759,423

**BENEFITS**       \$5,316,422

**NET:**             \$4,556,999



# MISSOURI



## MISCELLANEOUS

Missouri staff indicate that over the last four years the number of eligibility workers has increased slightly to 1900. Typically, each worker has a caseload of approximately 400 ongoing cases. It is not known how many IEVS alerts workers averaged receiving in 1992.

During the 1992 annual assessment period, the State's greatest source of AFDC payment error, based on quality control review, was wages and salaries.

### Statistics

Missouri staff report that IEVS statistics are present with implementation of the newly transferred FAMIS system. In the past, the automated system could not generate statistics as it was not designed to do so.



# MONTANA

## DEMOGRAPHICS AND PROGRAM STATISTICS

Population: 799,065 (Rank - 44th)  
 Number of counties: 56  
 Per Capita Income: \$14,078 (Rank - 38th)  
 Unemployment (6/93): 5.9 %

1990 Program/Payment Error Rates:

		Rank
AFDC	3.92	19th
Food Stamps	6.30	9th
Medicaid	1.96	34th

*Payment Error Rate*

Federal Share: AFDC 65% Medicaid 71.7%

### 1992 Welfare Population

	Count in thousands	% Change from 789
AFDC Cases (Average Monthly)	11	22.2%
Food Stamp Participants (Average Monthly)	67	24.2
Medicaid Eligibles (Annual)	64	N/A

## WELFARE ADMINISTRATION

### STATE ADMINISTERED SOME AREAS; COUNTY ADMINISTERED FOR OTHERS

Number of local welfare offices: 56 offices *12 offices are State administered*  
 Approximate number of eligibility workers: NA *44 offices are County administered*  
 State Capitol: Helena  
 Welfare Agency: Montana Department of Social and Rehabilitation Services  
 Family Assistance Division

IEVS Policy Contact: *William B. Anderson*  
*FAD/Management Analyst*  
*P.O. Box 4210*  
*111 Sanders*  
*Helena, MT 59601 Ph#: 406/444-4097*

## CASE MANAGEMENT AND ELIGIBILITY SYSTEM

System Name: The Economic Assistance Management System (TEAMS).  
*This system, transferred from Hawaii, integrates the AFDC, Medicaid and FS programs.*

IEVS System Contact: *Marilyn Carlin*  
*TEAMS Project Director*  
*Ph#: 406/444-0012*

System was FAMIS certified on November 1, 1991.

## PLANNED WELFARE SYSTEMS CHANGES

Information is not available.

# MONTANA



## IEVS MATCHING

IEVS Matches Conducted	With Applicants		With Recipients		Method of Sending Results to Workers
	Done?	When	Done?	When	
State Wage	No		No		
UI	Yes	Weekly	Yes	Monthly	Hardcopy
IRS	No		No		
SSA Benefits	Yes	Monthly	Yes	Monthly	Electronic (S&V)
SSI Benefits	Yes	Monthly	Yes	Monthly	Electronic (S&V)
BEER	No		No		

*S & V = Sent to worker and viewed on worker's screen.*

Follow up for several matches was discontinued. For example, the State Wage match was discontinued in 1992 because of a "reported information overload to the county offices." BEER was discontinued in 1990 because the information was considered to be "too old and unreliable." Additionally, IRS information is not utilized. This resulted because Family Assistance Management Information System (FAMIS) uses a contractor. Since IRS does not allow contractors access to IRS tapes, Montana stopped processing IRS matches.

Montana's approved targeting plan specifically precludes follow up of BEER results.

### Method(s) of Matching

State Wage	UI	IRS	SSA	SSI	BEER
Online	Batch Online	Batch	Batch	Batch	Batch

## ONLINE ACCESS TO IEVS DATA

State Wage and UI information are available to the worker online. Additionally, if the applicant was a prior client, historical SSA benefit information may be available.

Workers are not required by policy to access available online information at application or redeterminations.

Online access to the State Wage and UI database is possible because both agencies use a common computer system.

*Do Workers Have Online Access?*

*How Many Offices?*

State Wage	UI	Historical IRS	Historical SSA	SSI	Historical BEER
Yes	Yes	No	Yes	Yes	No
All	All		All	All	



# MONTANA

## IEVS TARGETING METHODS

(AFDC Program)

IEVS MATCH	TARGETING STRATEGY	CLIENT ACTIVE	SPECIFICATIONS
State Wage	State not matching		
UI	No targeting	Yes	All information is forwarded; worker will follow up if the client is active at the time the information is received.
IRS (1)	State not matching		
SSA Benefits (Bendex) (2)	Change in benefit information	Yes	
SSI Benefits (SDX) (2)	Change in benefit information	Yes	
BEER (1)	State not matching		

(1) The State does not perform these matches due to "contractor confidentiality" issue.

(2) The system automatically updates Bendex and SDX match information on budget screens. Workers get electronic alert when changes occur.

While the State does not target out duplicate information automatically during any of the other matches (beyond SDX and Bendex), when workers receive match information, they are only required to follow up on information that doesn't duplicate (is unique) information previously received. Targeting criteria for Medicaid and Food Stamp programs are the same as that of the AFDC program.

# MONTANA



## IEVS MONITORING AND TRACKING

Routine Tracking Reports Include The Following:	Included in Report:
# of records submitted for matching	No
# of records which matched with external data	No
# of records resulting in alerts for workers	No
# of alerts worked by eligibility workers	No
# of alerts resulting in a change to eligibility status/benefit	No
Amount of savings determined from IEVS alerts	No
# or alerts worked in 45 days	No
# or alerts past due (not worked in 45 days)	No
Staff time to complete follow up	No
Amount of IEVS identified savings actually recouped	No

## FLEXIBILITY AND FOLLOW UP

Montana staff consider the 80 percent follow up rule to be unreasonable. Staff stated, "States should have the option of targeting and following up based on a number of factors such as error rates, staffing levels, etc."

Interviewed staff report efforts to meet the 45 day follow up timeframe requirement would be at the expense of more important activities of workers, if the State complied with regulations and completed 80 percent of follow up in 45 days.

For calendar year 1993, staff report less than 80 percent of IEVS match results were followed up on (55 percent follow up) in 45 days. This State presently has no waiver from FNS extending the 45 day follow up timeframe for the Food Stamp Program. The AFDC cannot waive this requirement except for demonstration purposes.

Workers are not required to specify how they resolve information received from an IEVS match (e.g., have to enter in the computer the results of follow up by using codes based on the action taken).

## FOLLOW UP PRIORITIZATION

All IEVS matches (results) are prioritized equally for follow up.

## METHOD OF EXCHANGING DATA WITH FEDERAL AGENCIES

**BENDEX AND BEER:** To exchange data with SSA, Montana presently uses SSA's File Transfer Management System (FTMS) to receive and/or send SSA Benefit, and SSI data. Use of FTMS began in 1994. Tape exchange continues for matches with the Alphadent, enumeration verification exchange, BEER, and submission of death records. Montana has not yet implemented use of the State Verification and Exchange System.

During 1992, 44,975 records were submitted to SSA for verification using the Enumeration Verification System.

**IRS:** Computer tapes are exchanged with IRS on a routine basis.



# MONTANA

## MATCH STATISTICS

State Supplied Statistics for Calendar Year 1992 (8 months of data annualized)

IEVS Matches Conducted	Records Submitted for Matching	Records Matched	Records Referred for Review (Alerts)	Records Reviewed	Records Found To Affect Benefit	Estimated Overpayments Detected
State Wage	112,204	44,718	44,718	NA	NA	NA
UI	8,522	4,944	4,944	NA	NA	NA
IRS	74,595	NA	NA	NA	NA	NA
SSA Benefits	187,103	41,679	41,679	NA	NA	NA
SSI Benefits	187,103	102,167	102,167	NA	NA	NA
BEER	NA	NA	NA	NA	NA	NA

Programming errors make this data suspect.

## Federal Database Matches

Data Provided by Federal Source Agencies (SSA and IRS)

### BENDEX INPUT (May 1992-April 1993) (Accretions)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	99,448	92,075	198,763	60,244	102,573	109,163
MIDMONTH	0	0	NA	0	0	0
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	71,663	71,159	73,303	73,800	0	77,544
MIDMONTH	NA	NA	0	0	0	0

### BENDEX OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	104,726	89,028	111,099	66,192	108,151	115,012
MIDMONTH	326	NA	NA	221	NA	NA
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	79,578	77,472	80,744	80,031	10,347	85,051
MIDMONTH	36,099	NA	8,200	8,671	8,843	9,401

### BEER OUTPUT (May 1992-April 1993)

	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER
REGULAR	65,628	53,445	NA	19,332	60,474	67,774
MIDMONTH	64	4,010	NA	NA	38,200	5,641
	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL
REGULAR	37,284	36,977	38,701	39,703	7,233	42,922
MIDMONTH	23,751	NA	6,771	7,132	7,380	7,810





# MONTANA

## State Respondent Perceptions of IEVS Cost Effectiveness

### MATCH COSTS AND BENEFITS

*Is Match Cost Effective?*

State Wage	NO
Unemployment	YES
IRS	NO
SSA Benefits	YES
SSI Benefits	YES
BEER	NO

*Conclusions are based on experience, not study.*

## State Estimates As Required For Matches With Federal Data

### IRS MATCH

Cost Benefit Estimation (covers period from 7/91 to 6/93) .NET: (\$1,360,413) LOSS

#### COSTS

- Salaries, fringe benefits, and other personnel costs	\$39,000
- Computer costs	\$32,000
- Costs associated with verification	\$2,600,000
- Other	\$82,590

\$2,753,590

#### BENEFITS

- Recovered overpayments and debts	\$896,281
- Amounts of monthly benefits saved	\$496,896
- Other	\$0

\$1,393,177

### BENDEX MATCH (SSA Benefits and BEER)

COSTS \$364,850

BENEFITS NA

NET: NA



# MONTANA



## MISCELLANEOUS

The following is an example of the State Wage online screen used by workers to access wage data. Actions taken as a result of viewing information through this and other interfaces is not tracked.

### State Wage Online Interface

09/02/93

#### BENEFIT PAYMENT HISTORY

SSN: ██████████ 0

NAME: ██████████

BYE: 01/09/93

WBA: 66.00

REMAINING BALANCE: 525.00

NO. OF PAYMENTS: 19

EXTENSION BALANCE: .00

PGM	PO	PO	BWE	PO	BATCH	CHECK	CHECK	PAY	AMOUNT	UNR	DISQ	TOTAL
CDE	NO	DOI		DOR	NUMBER	DOI	NUMBER	TYPE	PAID	FLG	REAS	CHECKS
01		061892	062792	070192	02588392		0000000	14	.00	0	00	.00
01		061892	062092	070192	02588392		0000000	14	.00	0	00	.00
01		060892	061392	061892	01488222	061892	0963895	02	18.00	0	00	18.00
01		060892	060692	061892	01488222	061892	0963895	02	41.00	0	00	41.00
01		052792	053092	060892	02688392	060892	0955851	02	9.00	0	00	9.00
01		052792	052392	060892	02688392		0000000	14	.00	0	00	.00
01		050692	051692	052792	02088600	052792	0948277	02	26.00	0	00	26.00
01		050692	050992	052792	02088600	052792	0948277	02	30.00	0	00	30.00
01		042192	050292	050692	01488665	050692	0935407	02	18.00	0	00	18.00
01		042192	042592	050692	01488665		0000000	14	.00	0	00	.00
01		040792	041892	042192	05888677	042192	0921179	02	24.00	0	00	24.00
01		040792	041192	042192	05888677	042192	0921179	02	22.00	0	00	22.00

FOR MORE THAN 12 PAYMENTS DEPRESS PF2

TRANSACTION OPTION:

