



**Financial Crimes Enforcement Network
Department of the Treasury**

May 1, 2009

To update, change, add, or delete your financial institution's point of contact information on FinCEN's distribution list for receiving Section 314(a) Information Requests, you should contact your primary federal regulator or self regulatory organization. Financial Institutions subject to supervision by one of the five Federal "banking" regulators should also provide information for Section 314(a) points-of-contact on the institution's quarterly Call or Thrift Financial Report.

The following items must be provided to update or add your financial institution's POC information: **financial institution name and charter number or other identifier; point of contact name and title, mailing (street number, P.O. Box, city, state and zip code) and e-mail addresses; and telephone and facsimile numbers.**

Please find your institution's primary federal regulator or self regulatory organization in the below list and forward the above information to them.

Board of Governors of the Federal Reserve System

For Point of Contact Changes or Additions:

e-mail: patriotact@frb.gov or

fax: (202) 736-5641

For Questions:

telephone: Cheryl Paret, Financial Analyst at (202) 452-3675 or Dawn Adams, Senior Special Anti-Money Laundering Examiner at (202) 452-3964

Commodity Futures Trading Commission

Contact information:

E-mail: AMLstaff@cftc.gov

Fax: (202) 418-5528

Questions: Helene D. Schroeder, Special Counsel, Division of Clearing and Intermediary Oversight at (202) 418-5424

Federal Deposit Insurance Corporation

Contact information:

All changes must be made by the bank through the quarterly Call Report or by filing an amended Call Report

(800) 688-FDIC; (800)688-3342

E-mail: insurance-research@fdic.gov

Fax: (202) 898-3627

Questions: Anti-Money Laundering Section at (202) 898-6783

E-mail: fdicadvisory@fdic.gov

National Credit Union Administration

Contact information:

All changes must be made through a quarterly Call Report. Update your most recent Call Report and request it be loaded to the NCUA host system through normal channels. For federal credit unions - your NCUA district examiner. For state credit unions - your state regulator

Questions - Federal Credit Unions:

Contact your NCUA district examiner. If you cannot locate your examiner, contact the NCUA regional office that oversees your state. NCUA regional office contact information is posted on the NCUA web site, <http://www.ncua.gov/>. From the NCUA home page, select About NCUA, then select Directory, scroll to the bottom of the page. Email and telephone contact information is shown for each region. A direct link follows: <http://www.ncua.gov/About/Directory.aspx>

If you are unsure which region supervises your state, click on the region's name and a list of supervised states will be shown.

Questions – State Chartered Credit Unions:

Contact your state regulator. Links to the web-sites of many state regulators are included on NCUA's web site, <http://www.ncua.gov/>. From the NCUA home page, select General Information at the top of the page; then select Other Resources; then select Financial Regulators. A direct link follows:

<http://www.ncua.gov/GenInfo/Other/Financial.aspx>

National Futures Association:

Contact Information:

E-mail: AML@nfa.futures.org

Fax: (312) 559-3419

Questions: Valerie Kretschmer, Compliance Department at (312) 781-1290

FINRA

Contact information:

For changing POCs or adding a new contact, a FINRA member must update its Anti-Money Laundering Compliance Contacts through the FINRA Contact System at the link below. Each Anti-Money Laundering Compliance Contact (Primary and Alternate) will be added to the FinCEN distribution list.

https://regfiling.finra.org/contacts/ncs_contacts.aspx

Questions: Gateway Call Center at (301) 869-6699

New York Stock Exchange

Contact Information:

E-mail: SKasprzak@NYSE.com

Fax: (212) 656-2068

Office of the Comptroller of the Currency

All changes must be made through the quarterly Call Report or by filing an amended Call Report.

Fax: (202) 874-5301

Additional Information: OCC Alert 2004-6 (<http://www.occ.treas.gov/Altlst04.htm>)

Questions: James F. Vivenzio, Senior Counsel for BSA/AML at (202) 874-5200.

Office of Thrift Supervision

All FinCEN Point of Contact changes must be made using the EFS (the OTS Electronic Filing Software).

The OTS web site item "314(a) Contact Updates":

<http://www.ots.treas.gov/docs/4/480177.pdf>

The OTS > Supervision > BSA/Patriot Act web page:

<http://www.ots.treas.gov/resultsort.cfm?catNumber=272&dl=11>:

To e-mail questions about point of contact changes or additions:

Mailto:usap.contact@ots.treas.gov

Questions: Philip D. Magathan, Compliance Specialist, BSA at (202) 906-6182

or Louise Batdorf, Policy Analyst at (202) 906-7087

Fax: (202) 906-6326

Financial Crimes Enforcement Network

E-mail: sys314a@fincen.gov

Fax: (703) 905-3660

Questions: 314 Program Office at (800) 949-2732, Option 2