

Department of Defense Office of the Inspector General

Inspections and Evaluations



**CIGIE I&E Training Conference
June 17, 2009**

**Overview
of
Inspections and Evaluations**

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Assistant Inspector General**

"One Professional Team"
Accountability - Integrity - Efficiency



Overview



- **Mission**
- **I&E Organization and Functions**
- **Staffing**
- **Spectrum of Activities**
- **Management Documents**
- **Completed Projects**
- **Current Portfolio**
- **Summary—Oversight through Inspections and Evaluations**



Mission

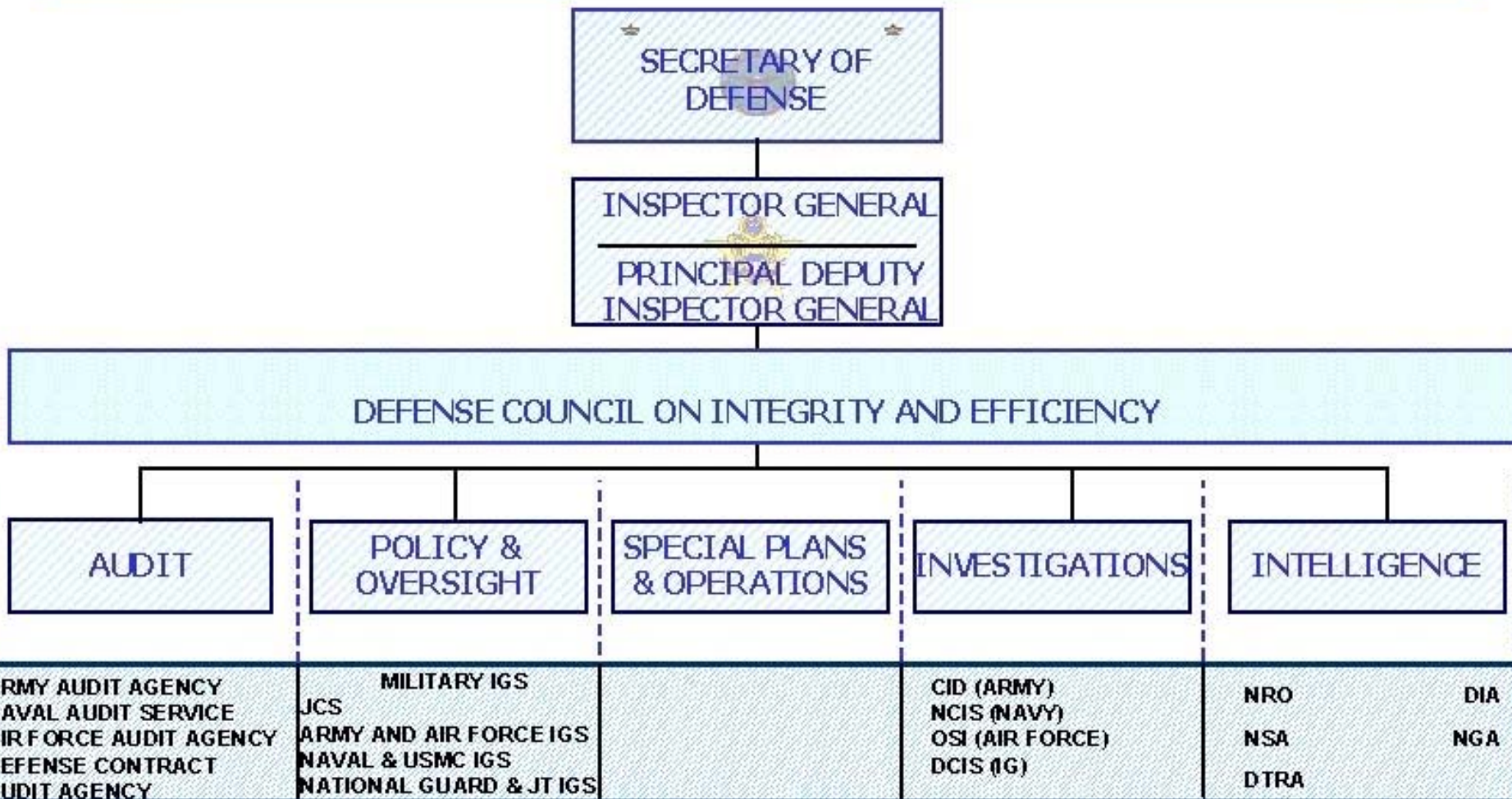


Mission

To provide policy guidance and oversight to the DoD inspections and evaluations community, and to conduct objective and independent customer-focused management and program assessments that address areas of interest to Congress and the Department of Defense.



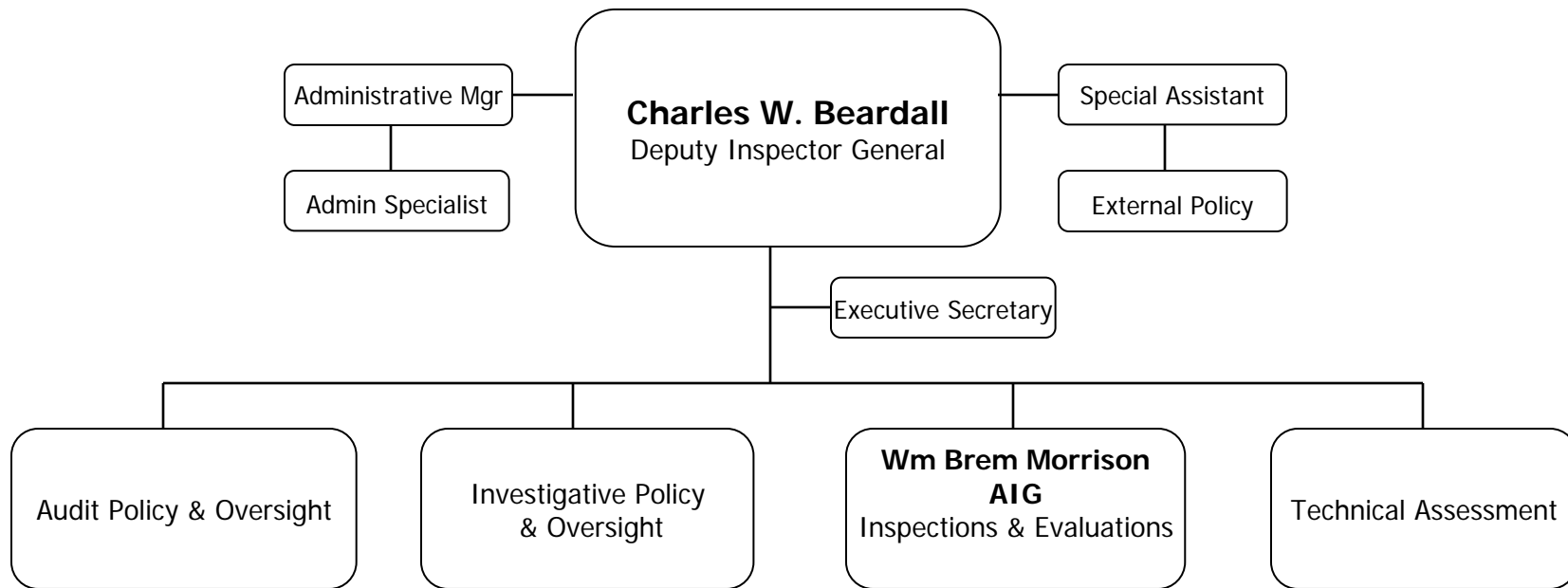
Oversight



"One Professional Team"
Integrity - Efficiency - Effectiveness



Policy & Oversight Organization





I&E Organization & Functions



Inspections & Evaluations Directorate

Oversight Division

- Inspections & Assistance
 - DoD IG I&E Community
 - Armed Forces Retire Home
 - Federal Voting Program
 - Section 847--Ethics
 - HOTLINE Quality Revs
- Research for Inspections Best Practices

Programs & Policy Division

- Policy Formulation
 - DoD Directive 5106.04
 - DoD Instr 5106.05
- Combatant Command IG Course
- Outreach Programs
- Internal DoD OIG Inspections Support (OPR)

Assessments Division

- "Over-the-Horizon" Issues
- DoD Transformations
 - GWOT/Readiness
 - Stability, Security, Transition, Reconstruction
 - Energy/Environment, Safety and Health
 - Trafficking In-IP
 - Business Transformation



Staffing



■ Supervisors	4
■ Admin	2
■ Civ Action Officers	12
■ Mil AOs	4
■ <u>Total</u>	<u>20</u>
■ IMAs	2
■ Summer Interns	3



Spectrum of I&E Activities



Criteria	Oversight Inspection	Directed Inquiry	Program Assessment	Systemic Evaluation
Focus	Meeting Established Standards (Yes/No)	Describing what happened	Improving Existing Programs / Processes	Developing Programs, Processes, Criteria, or Definitions
Examples	Inspection of the Armed Forces Retirement Home	Safety Accountability in Individual Performance Reports	Review of the DoD Chaplains Program	Interagency Assessment of Iraqi Police Training
Clear Standards?	Yes	Yes	Yes	Not Always
Answer “Why is there a Deviation from Standard?”	No	Yes	Yes	Yes, if standard exists
Does it Challenge the Standard?	No	No	Maybe	Maybe
Product	Verify Compliance	Describe Situation/Actions	Process Improvement	Systemic Program Change
Objective	Specific	Event Specific	Program Specific	Broad
Time to Completion?	1-3 Months	1-6 Months	6-12 Months	6-12 Months...but could lead to additional projects



Management Documents



- **Strategic Plan**
- **Communications Plan**
- **Quality Control/Quality Assurance Plan**
- **Work Plan**
- **Operations Handbook (Draft)**



Completed Projects Examples (Requestor)



- Organizing the Afghan Army (Commander/CFC-A)
- Evaluation of DoD Safety Program (Pentagon)
- Critical Infrastructure Protection (Pentagon)
- Trafficking in Persons (Pentagon)
- Annual Voting Assistance Program (Congress)
- **Interagency Projects**
 - DoD/VA--Care Transition for Wounded Warriors (Pentagon)
 - DoD/DOS—Iraqi Police Training (Self)
 - DoD/DOS—Afghan Police Training (Self)
 - DoD/DOS—Counternarcotics in Afghanistan (Self)



Assessment Division Portfolio



■ **Electrocutions – Iraq**

- Review all relevant management, contracting and maintenance actions prior and subsequent to the electrocution of Staff Sergeant Maseth on 2 Jan 08
- Requestor: DUSD for Acquisition and Technology

■ **Accident Reporting**

- Evaluate DoD reporting process involving civilian and military injuries caused by reportable accidents
- Requestor: ADUSD(ESOH)

■ **Service Treatment Record**

- Identify issues and opportunities to improve the Service Treatment Record (STR) consolidation and transfer process
- Requestor: PDUSD(P&R)

■ **Combating Trafficking in Persons**

- Evaluate the DoD CTIP program
- Requestor: DoDI 2200.01, "Combating Trafficking in Persons (CTIP)"



Oversight Division Portfolio



■ **Armed Forces Retirement Home—Washington D.C.**

- Inspect all aspects of the Retirement Home to include financial and contracting records, independent living, assisted living, medical and dental care, pharmacy, and other administrative and health care functions.
- Requestor: Congress--National Defense Authorization Act for Fiscal Year 2008, Sec 1422

■ **Voting Assistance Program CY 2009**

- Assess annually the Military Services' compliance with voting assistance guidelines and the effectiveness of the voting assistance programs.
- Requestor: Congress—Section 1566, Title 10, USC

■ **Section 847—Ethics Counseling**

- "INSPECTOR GENERAL REVIEW- The Inspector General of the Department of Defense shall conduct periodic reviews to ensure that written opinions are being provided and retained in accordance with the requirements of this section. The first such review shall be conducted no later than two years after the date of the enactment of this Act."
- Requestor: National Defense Authorization Act for Fiscal Year 2008, Pub. L. No. 110-181 ("Sec. 847")

■ **Defense Hotline Quality Assurance Reviews**

- The DoD IG will "conduct periodic QARs of DoD Component implementation of the Defense Hotline and of Component hotlines. . ."
- Requestor: DOD Directive 7050.1, Defense Hotline Program



Policy and Programs Portfolio



- **Policy Formulation**
 - DoDD 5106.04 and DoDI 5106.05
- **Joint/Combatant Command IG Course**
- **Internal DoD OIG Inspections Support**
- **Outreach Activities**



Other Projects



■ Section 1206—Train and Equip Program

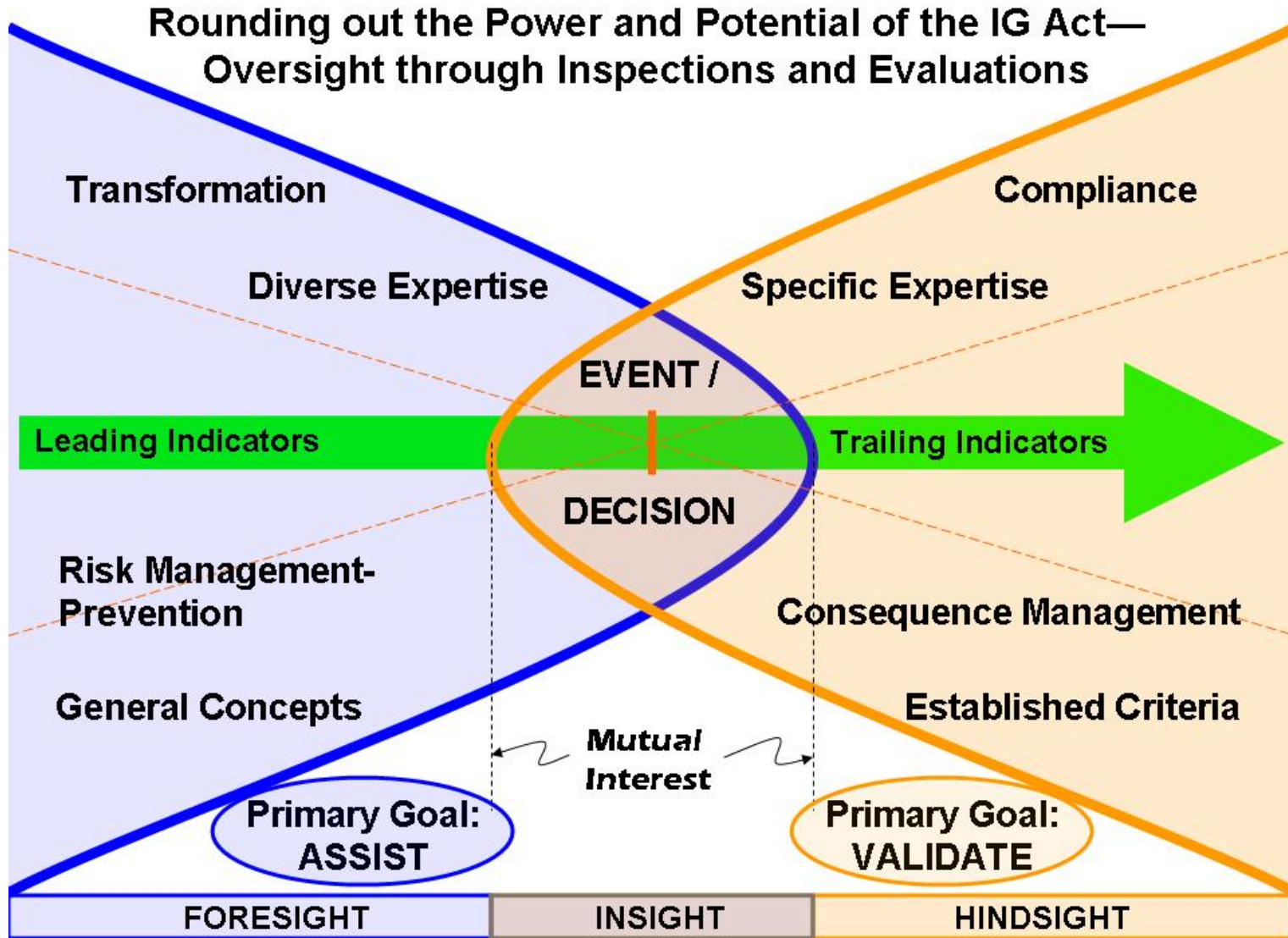
- Evaluate the overall effectiveness and efficiency of the Section 1206 program in building the capacity of foreign militaries to combat terrorism or to participate with the US in joint military or stability operations
- Requestor: DUSD Policy & Director, Joint Staff

■ Electrical and Fire Safety—Afghanistan

- Review the effectiveness of command efforts to ensure the electrical safety of DoD occupied and constructed facilities in Afghanistan
- Requestor: DoD IG



Summary—Oversight through Inspections and Evaluations





Our Web-site



www.dodig.mil