

SELECTED FCM FINANCIAL DATA AS OF
April 30, 2009
FROM REPORTS FILED BY
May 31, 2009

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	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required	Customer Amount
		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
1	3DFOREX LLC	N	NFA	04/30/2009	14,747,537	500,000	14,247,537	0	0
2	ACM USA LLC	N	NFA	04/30/2009	793,387	500,000	293,387	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	04/30/2009	157,845,234	89,295,187	68,550,047	1,961,413,686	70,330,091
4	ADVANCED MARKETS LLC	N	NFA	04/30/2009	19,796,273	15,000,000	4,796,273	0	0
5	ADVANTAGE FUTURES LLC	N	CME	04/30/2009	15,469,093	4,428,917	11,040,176	189,723,503	16,255,453
6	AIG CLEARING CORPORATION	N	NYME	04/30/2009	221,528,123	12,621,022	208,907,101	0	0
7	ALARON TRADING CORPORATION	N	NFA	04/30/2009	1,470,667	500,000	970,667	0	0
8	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	Y	NFA	03/31/2009	23,585,672	5,484,657	18,101,015	0	0
9	ALPARI (US) LLC	N	NFA	04/30/2009	20,975,556	15,000,000	5,975,556	0	0
10	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	04/30/2009	88,694,344	5,355,887	83,338,457	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	04/30/2009	2,427,974,632	65,449,283	2,362,525,349	0	0
12	BARCLAYS CAPITAL INC	Y	NYME	04/30/2009	4,809,392,094	501,143,181	4,308,248,913	4,747,424,073	2,147,567,728
13	BGC FINANCIAL LP	Y	NFA	04/30/2009	52,895,290	500,000	52,395,290	0	0
14	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	04/30/2009	293,389,615	230,729,682	62,659,933	2,791,518,653	523,267,468
15	BNP PARIBAS SECURITIES CORP	Y	CBOT	04/30/2009	1,470,054,362	58,060,082	1,411,994,280	257,312,033	0
16	CADENT FINANCIAL SERVICES LLC	N	CME	04/30/2009	5,095,629	3,827,509	1,268,120	166,220,044	1,386,231
17	CANTOR FITZGERALD & CO	Y	CBOT	04/30/2009	206,638,254	13,191,985	193,446,269	15,296,387	0
18	CAPITAL MARKET SERVICES LLC	N	NFA	04/30/2009	29,649,678	15,000,000	14,649,678	0	0
19	CIBC WORLD MARKETS CORP	Y	CME	04/30/2009	1,122,645,619	8,829,891	1,113,815,728	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	04/30/2009	8,426,657,205	789,683,919	7,636,973,286	12,444,292,539	1,137,820,006
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	04/30/2009	895,333	500,000	395,333	0	0
22	COUNTRY HEDGING INC	N	NFA	04/30/2009	14,147,787	7,855,714	6,292,073	94,655,090	138,849
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	04/30/2009	12,557,549,226	615,578,623	11,941,970,603	3,049,413,667	1,522,987,734
24	CROSSLAND LLC	N	CBOT	04/30/2009	3,833,232	2,950,702	882,530	41,806,258	22,722,269
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	04/30/2009	3,202,158	648,084	2,554,074	31,758,878	76,788
26	CX CAPITAL MARKETS LLC	N	NFA	04/30/2009	761,161	500,000	261,161	148,029	0
27	DAIWA SECURITIES AMERICA INC	Y	CME	04/30/2009	247,457,380	1,000,000	246,457,380	2,711,690	77,110
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	04/30/2009	5,801,417,421	442,937,977	5,358,479,444	8,116,439,738	884,086,099
29	DORMAN TRADING LLC	N	CME	04/30/2009	7,972,043	591,866	7,380,177	71,894,294	0
30	EAGLE MARKET MAKERS INC	N	CBOT	04/30/2009	5,645,628	500,000	5,145,628	5,120,469	0
31	EASY FOREX US LTD	N	NFA	04/30/2009	15,549,565	15,000,000	549,565	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	04/30/2009	4,984,552	912,889	4,071,663	0	0
33	ENSKILDA FUTURES LTD	N	CME	04/30/2009	22,213,489	10,706,862	11,506,627	177,824,595	0
34	FARR FINANCIAL INC	N	NFA	04/30/2009	1,188,795	500,000	688,795	33,592,984	39,578
35	FCSTONE LLC	N	CME	04/30/2009	58,884,607	37,550,083	21,334,524	793,927,190	7,810,663
36	FOREX CAPITAL MARKETS LLC	N	NFA	04/30/2009	60,472,142	15,000,000	45,472,142	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	04/30/2009	21,795,577	15,000,000	6,795,577	0	0
38	FORTIS CLEARING AMERICAS LLC	Y	CBOT	04/30/2009	182,269,818	61,165,584	121,104,234	927,077,582	107,615,441
39	FRIEDBERG MERCANTILE GROUP INC	N	NFA	04/30/2009	3,314,565	500,000	2,814,565	3,281,897	0
40	FRONTIER FUTURES INC	N	NFA	04/30/2009	1,158,579	735,412	423,167	26,411,944	0
41	FUTURES TECH LLC	N	NFA	04/30/2009	839,803	500,000	339,803	0	0

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	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
42	FX SOLUTIONS LLC	N	NFA	04/30/2009	41,546,274	15,000,000	26,546,274	0	0
43	GAIN CAPITAL GROUP LLC	N	NFA	04/30/2009	90,801,214	15,000,000	75,801,214	0	0
44	GELBER GROUP LLC	N	CBOT	04/30/2009	103,846,568	500,000	103,346,568	10,589,488	0
45	GFS FOREX & FUTURES INC	N	NFA	04/30/2009	16,455,861	15,000,000	1,455,861	0	0
46	GILDER GAGNON HOWE & CO LLC	Y	NFA	04/30/2009	14,826,782	500,000	14,326,782	0	0
47	GLOBAL FUTURES & FOREX LTD	N	NFA	04/30/2009	80,693,021	15,000,000	65,693,021	818,885	200,990
48	GOLDMAN SACHS & CO	Y	CBOT	04/24/2009	15,685,091,913	1,941,899,122	13,743,192,791	19,750,894,978	8,487,406,634
49	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	04/24/2009	1,943,326,108	90,308,093	1,853,018,015	1,212,096,989	14,222,854
50	HOTSPOT FXR LLC	N	NFA	04/30/2009	15,253,350	500,000	14,753,350	0	0
51	HSBC SECURITIES USA INC	Y	CME	04/30/2009	1,366,625,330	74,758,955	1,291,866,375	1,343,520,714	69,457,614
52	I TRADE FX LLC	N	NFA	04/30/2009	16,341,344	15,000,000	1,341,344	0	0
53	ICAP FUTURES LLC	N	NFA	04/30/2009	5,631,568	500,000	5,131,568	0	0
54	IG MARKETS INC	N	NFA	04/30/2009	22,805,657	15,000,000	7,805,657	0	0
55	IKON GLOBAL MARKETS INC	N	NFA	04/30/2009	16,355,818	15,000,000	1,355,818	2,601,173	589,351
56	INSTINET LLC	Y	NFA	04/30/2009	110,704,825	1,500,000	109,204,825	0	0
57	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	04/30/2009	1,869,564	500,000	1,369,564	0	0
58	INTERACTIVE BROKERS LLC	Y	NFA	04/30/2009	659,484,132	55,028,664	604,455,468	307,332,018	91,415,755
59	INTERBANK FX LLC	N	NFA	04/30/2009	36,507,727	15,000,000	21,507,727	0	0
60	ITG DERIVATIVES LLC	Y	NFA	04/30/2009	2,772,310	500,000	2,272,310	0	0
61	JP MORGAN CLEARING CORP	Y	CME	04/30/2009	4,875,179,343	1,427,484,638	3,447,694,705	830,332,888	244,502,117
62	JP MORGAN FUTURES INC	N	NYME	04/30/2009	2,163,964,787	1,221,960,764	942,004,023	16,940,961,685	2,517,585,942
63	JP MORGAN SECURITIES INC	Y	NFA	04/30/2009	9,111,164,028	558,331,925	8,552,832,103	0	0
64	LADENBURG THALMANN & CO INC	Y	NFA	04/30/2009	2,578,778	500,000	2,078,778	0	0
65	LEK SECURITIES CORPORATION	Y	NFA	04/30/2009	12,592,049	1,500,000	11,092,049	667,495	0
66	LIMESTONE TRADING LLC	N	NFA	04/30/2009	14,111,378	500,000	13,611,378	0	0
67	LINN GROUP THE	N	NFA	04/30/2009	2,317,432	500,000	1,817,432	28,992,337	3,240
68	LPL FINANCIAL CORPORATION	Y	NFA	04/30/2009	116,869,645	6,598,108	110,271,537	0	0
69	MACQUARIE FUTURES USA INC	N	CBOT	04/30/2009	46,898,666	29,955,029	16,943,637	490,929,729	3,639,175
70	MAREX USA LIMITED	N	NFA	04/30/2009	968,162	500,000	468,162	0	0
71	MB TRADING FUTURES INC	N	NFA	04/30/2009	17,100,859	15,000,000	2,100,859	0	0
72	MBF CLEARING CORP	N	NYME	04/30/2009	27,515,863	2,537,373	24,978,490	78,334,484	6,888,671
73	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	04/30/2009	7,224,100	1,848,745	5,375,355	557,192,139	0
74	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	04/30/2009	4,428,595,726	637,236,448	3,791,359,278	8,919,801,979	1,569,936,412
75	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	04/30/2009	1,594,761,965	89,022,086	1,505,739,879	1,060,383,815	47,007,334
76	MF GLOBAL INC	Y	CME	04/30/2009	557,017,944	326,917,539	230,100,405	7,029,790,771	481,816,032
77	MG FINANCIAL LLC	N	NFA	04/30/2009	5,222,583	500,000	4,722,583	0	0
78	MID CO COMMODITIES INC	N	NFA	04/30/2009	11,253,292	2,425,706	8,827,586	22,361,816	0
79	mitsui BUSSAN COMMODITIES USA INC	Y	NYME	04/30/2009	7,247,531	1,791,086	5,456,445	0	0
80	MIZUHO SECURITIES USA INC	Y	CME	04/30/2009	298,272,695	43,923,461	254,349,234	881,739,680	301,893,301
81	MORGAN KEEGAN & COMPANY INC	Y	NFA	04/30/2009	330,873,358	9,459,329	321,414,029	0	0
82	MORGAN STANLEY & CO INCORPORATED	Y	CME	04/30/2009	11,629,796,070	1,178,693,294	10,451,102,776	4,692,460,703	5,100,438,910
83	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	04/30/2009	214,232,326	500,000	213,732,326	0	0

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		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
84	NEUBERGER BERMAN LLC	Y	NFA	04/30/2009	34,961,831	1,500,000	33,461,831	0	0
85	NEWEDGE USA LLC	Y	NYME	04/30/2009	2,440,549,445	1,512,305,795	928,243,650	19,067,704,250	7,045,013,507
86	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	04/30/2009	1,153,124,204	2,284,265	1,150,839,939	0	0
87	OANDA CORPORATION	N	NFA	04/30/2009	159,739,419	15,000,000	144,739,419	0	0
88	ODL SECURITIES INC	N	NFA	04/30/2009	3,312,921	500,000	2,812,921	0	0
89	OPEN E CRY LLC	N	NFA	04/30/2009	2,677,317	806,732	1,870,585	57,561,067	635,187
90	OPPENHEIMER & CO INC	Y	NFA	04/30/2009	187,999,043	16,474,317	171,524,726	14,456,567	0
91	OPTIONSPRESS INC	Y	NFA	04/30/2009	75,720,400	5,786,631	69,933,769	56,410,509	450,792
92	PENSON GHCO	N	CBOT	04/30/2009	19,015,168	14,432,987	4,582,181	447,904,542	34,965,018
93	PEREGRINE FINANCIAL GROUP INC	N	NFA	04/30/2009	36,843,820	15,000,000	21,843,820	219,789,058	16,109,620
94	PIONEER FUTURES INC	N	NYME	04/30/2009	1,587,911	500,000	1,087,911	0	0
95	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	04/30/2009	235,081,000	169,265,120	65,815,880	2,784,049,000	272,649,000
96	PUMA FINANCIAL LLC	N	NFA	04/30/2009	1,109,309	500,000	609,309	5,093,099	0
97	RAND FINANCIAL SERVICES INC	N	CME	04/30/2009	60,738,677	6,062,048	54,676,629	73,360,200	23,636,400
98	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	04/30/2009	326,284,837	23,568,254	302,716,583	0	0
99	RBC CAPITAL MARKETS CORPORATION	Y	CME	04/30/2009	1,178,625,360	99,558,979	1,079,066,381	1,296,139,328	802,676,548
100	RBS SECURITIES INC.	Y	CBOT	04/30/2009	2,252,318,000	199,650,000	2,052,668,000	1,736,508,000	265,619,000
101	RJ OBRIEN & ASSOCIATES LLC	N	CME	04/30/2009	107,125,033	63,912,623	43,212,410	1,910,585,022	22,595,433
102	ROBBINS FUTURES INC	N	NFA	04/30/2009	577,484	500,000	77,484	3,408,815	0
103	ROSENTHAL COLLINS GROUP LLC	N	CME	04/30/2009	64,787,023	33,163,639	31,623,384	1,026,637,502	24,807,584
104	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	04/30/2009	33,399,078	1,052,994	32,346,084	0	0
105	SANFORD C BERNSTEIN & CO LLC	Y	NFA	04/30/2009	154,538,820	8,850,977	145,687,843	0	0
106	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	04/30/2009	64,581,714	500,000	64,081,714	0	0
107	SHAY GRAIN CLEARING COMPANY	N	KCBT	04/30/2009	2,573,197	500,000	2,073,197	8,364,429	0
108	SMW TRADING COMPANY INC	N	CME	04/30/2009	27,917,072	500,000	27,417,072	5,060,504	0
109	STEPHENS INC	Y	NFA	04/24/2009	69,682,724	9,376,316	60,306,408	0	0
110	STERLING COMMODITIES CORP	N	NYME	04/30/2009	8,765,125	793,168	7,971,957	34,451,051	774,966
111	TCA FUTURES LLC	N	NFA	04/30/2009	831,412	500,000	331,412	0	0
112	TD AMERITRADE INC	Y	NFA	04/30/2009	139,290,105	500,000	138,790,105	0	0
113	TENCO INC	N	CBOT	04/30/2009	44,437,588	7,714,645	36,722,943	143,410,177	0
114	TERRA NOVA FINANCIAL LLC	Y	NFA	04/30/2009	12,259,315	1,500,000	10,759,315	0	0
115	TIMBER HILL LLC	Y	CME	04/30/2009	1,096,508,373	15,376,787	1,081,131,586	238,249,972	0
116	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	04/30/2009	3,438,954	500,000	2,938,954	0	0
117	TRADELINK LLC	Y	CBOT	04/30/2009	31,828,425	500,000	31,328,425	0	0
118	TRADESTATION SECURITIES INC	Y	NFA	04/30/2009	94,846,378	1,189,141	93,657,237	0	0
119	TRADITION SECURITIES AND FUTURES INC	N	NFA	04/30/2009	819,019	500,000	319,019	0	0
120	TRANSMARKET GROUP LLC	Y	CBOT	04/30/2009	10,115,447	500,000	9,615,447	0	0
121	TRILAND USA INC	N	NYME	04/30/2009	43,437,939	11,090,006	32,347,933	165,036,161	17,006,294
122	UBS FINANCIAL SERVICES INC	Y	NFA	04/30/2009	1,297,944,055	86,445,978	1,211,498,077	198,286,885	1,436,442
123	UBS SECURITIES LLC	Y	CBOT	04/30/2009	15,492,661,831	975,039,314	14,517,622,517	12,208,795,809	5,784,600,368
124	VELOCITY FUTURES LLC	N	NFA	04/30/2009	1,063,333	500,000	563,333	34,809,481	888,363
125	VISION FINANCIAL MARKETS LLC	Y	CME	04/30/2009	20,737,754	5,372,361	15,365,393	236,802,949	951,676

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126	WACHOVIA CAPITAL MARKETS LLC	Y	CME	04/30/2009	2,004,172,108	1,000,000	2,003,172,108	0	0
127	WACHOVIA SECURITIES FINANCIAL NETWORK LLC	Y	NFA	04/30/2009	24,866,033	2,321,148	22,544,885	0	0
128	WACHOVIA SECURITIES LLC	Y	NFA	04/30/2009	589,210,460	4,681,318	584,529,142	0	0
129	WHITE COMMERCIAL CORPORATION	N	NFA	04/30/2009	2,202,202	500,000	1,702,202	0	0
130	WSD FINANCIAL USA INC	N	NFA	04/30/2009	1,265,288	500,000	765,288	0	0
131	YORK BUSINESS ASSOCIATES LLC	N	NFA	04/30/2009	1,267,009	500,000	767,009	44,297,581	1,290,241
	Total							142,128,240,947	39,695,292,279

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Futures Commission Merchant								
	(a)	(b)			(c)	(d)		
March Web Page Update	132							
Additions	0							
None								
Deletions	1							
Cliff Larson Company, The								
Name Change								
From RBS Greenwich Capital Markets Inc. to RBS Securities Inc.								
April Web Page Update	131							
*Allianz Global Investors Distributors LLC files financial statements quarterly.								
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.								
(b): DSRO: Designated Self-Regulatory Organization.								
(c): A firm's net capital requirement is the greater of								
(1) \$250,000; or								
(2) risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 4% of total noncustomer risk maintenance margin; or								
(3) The amount of capital required by a registered futures association (currently NFA is the only such association);								
(4) for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission.								
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.								

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