

SELECTED FCM FINANCIAL DATA AS OF
March 31, 2009
FROM REPORTS FILED BY
April 30, 2009

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		B/D?	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3DFOREX LLC	N	NFA	03/31/2009	14,815,167	500,000	14,315,167	0	0
2	ACM USA LLC	N	NFA	03/31/2009	599,981	500,000	99,981	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	03/31/2009	153,734,315	77,876,280	75,858,035	1,962,262,631	94,435,776
4	ADVANCED MARKETS LLC	N	NFA	03/31/2009	19,686,578	15,000,000	4,686,578	0	0
5	ADVANTAGE FUTURES LLC	N	CME	03/31/2009	16,090,756	4,044,612	12,046,144	198,704,672	17,210,766
6	AIG CLEARING CORPORATION	N	NYME	03/31/2009	223,722,629	11,952,117	211,770,512	0	0
7	ALARON TRADING CORPORATION	N	NFA	03/31/2009	1,888,604	500,000	1,388,604	0	0
8	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	Y	NFA	03/31/2009	23,585,672	5,484,657	18,101,015	0	0
9	ALPARI (US) LLC	N	NFA	03/31/2009	16,557,445	15,000,000	1,557,445	0	0
10	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	03/31/2009	83,751,844	4,975,760	78,776,084	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	03/31/2009	2,762,961,625	108,951,391	2,654,010,234	747,726,776	5,390,419
12	BARCLAYS CAPITAL INC	Y	NYME	03/31/2009	5,324,720,085	500,000,000	4,824,720,085	5,628,658,210	2,768,852,079
13	BGC FINANCIAL LP	Y	NFA	03/31/2009	63,078,626	500,000	62,578,626	0	0
14	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	03/31/2009	321,931,950	218,474,963	103,456,987	2,626,080,722	529,856,537
15	BNP PARIBAS SECURITIES CORP	Y	CBOT	03/31/2009	1,488,159,530	56,253,461	1,431,906,069	261,622,700	0
16	CADENT FINANCIAL SERVICES LLC	N	CME	03/31/2009	4,942,965	3,784,108	1,158,857	169,189,948	1,566,811
17	CANTOR FITZGERALD & CO	Y	CBOT	03/31/2009	235,419,556	2,844,363	232,575,193	21,925,372	0
18	CAPITAL MARKET SERVICES LLC	N	NFA	03/31/2009	29,360,737	15,000,000	14,360,737	0	0
19	CIBC WORLD MARKETS CORP	Y	CME	03/31/2009	1,099,070,902	4,911,180	1,094,159,722	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	03/31/2009	8,450,561,090	790,361,786	7,660,199,304	14,009,036,057	1,173,206,816
21	CLIFF LARSON COMPANY THE	N	NFA	03/31/2009	913,372	500,000	413,372	0	0
22	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	03/31/2009	942,550	500,000	442,550	0	0
23	COUNTRY HEDGING INC	N	NFA	03/31/2009	14,710,386	8,055,633	6,654,753	78,249,754	132,962
24	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	03/31/2009	10,765,295,571	574,397,314	10,190,898,257	2,886,809,125	1,295,761,631
25	CROSSLAND LLC	N	CBOT	03/31/2009	3,503,861	2,809,191	694,670	36,424,928	23,114,079
26	CUNNINGHAM COMMODITIES LLC	N	CBOT	03/31/2009	3,428,557	500,000	2,928,557	15,663,173	105,160
27	CX CAPITAL MARKETS LLC	N	NFA	03/31/2009	773,405	500,000	273,405	0	0
28	DAIWA SECURITIES AMERICA INC	Y	CME	03/31/2009	248,248,217	1,039,242	247,208,975	10,116,339	2,995,955
29	DEUTSCHE BANK SECURITIES INC	Y	CBOT	03/31/2009	5,995,163,679	436,175,773	5,558,987,906	7,431,657,243	1,064,806,482
30	DORMAN TRADING LLC	N	CME	03/31/2009	7,964,086	500,000	7,464,086	69,222,371	0
31	EAGLE MARKET MAKERS INC	N	CBOT	03/31/2009	5,394,366	500,000	4,894,366	4,300,944	0
32	EASY FOREX US LTD	N	NFA	03/31/2009	15,552,903	15,000,000	552,903	0	0
33	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	03/31/2009	9,533,290	613,845	8,919,445	0	0
34	ENSKILDA FUTURES LTD	N	CME	03/31/2009	22,646,686	10,222,864	12,423,822	164,601,186	0
35	FARR FINANCIAL INC	N	NFA	03/31/2009	1,171,014	500,000	671,014	31,152,520	40,127
36	FCSTONE LLC	N	CME	03/31/2009	54,962,785	36,458,834	18,503,951	743,251,432	9,122,047
37	FOREX CAPITAL MARKETS LLC	N	NFA	03/31/2009	113,463,235	15,000,000	98,463,235	0	0
38	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	03/31/2009	21,354,665	15,000,000	6,354,665	0	0
39	FORTIS CLEARING AMERICAS LLC	Y	CBOT	03/31/2009	172,975,607	58,974,253	114,001,354	873,080,651	134,774,710
40	FRIEDBERG MERCANTILE GROUP INC	N	NFA	03/31/2009	3,320,404	500,000	2,820,404	3,713,376	0
41	FRONTIER FUTURES INC	N	NFA	03/31/2009	1,184,755	673,240	511,515	26,512,672	0

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	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
42	FUTURES TECH LLC	N	NFA	03/31/2009	852,608	500,000	352,608	0	0
43	FX SOLUTIONS LLC	N	NFA	03/31/2009	45,032,136	15,000,000	30,032,136	0	0
44	GAIN CAPITAL GROUP LLC	N	NFA	03/31/2009	95,879,571	15,000,000	80,879,571	0	0
45	GELBER GROUP LLC	N	CBOT	03/31/2009	60,530,348	500,000	60,030,348	11,828,621	25,449
46	GFS FOREX & FUTURES INC	N	NFA	03/31/2009	16,302,553	15,000,000	1,302,553	0	0
47	GILDER GAGNON HOWE & CO LLC	Y	NFA	03/31/2009	14,741,578	500,000	14,241,578	0	0
48	GLOBAL FUTURES & FOREX LTD	N	NFA	03/31/2009	87,169,664	15,000,000	72,169,664	746,597	246,189
49	GOLDMAN SACHS & CO	Y	CBOT	03/27/2009	14,457,744,112	1,981,468,396	12,476,275,716	19,727,675,588	8,017,707,304
50	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	03/27/2009	2,014,101,477	78,059,577	1,936,041,900	1,401,278,960	35,327,840
51	HOTSPOT FXR LLC	N	NFA	03/31/2009	15,295,157	500,000	14,795,157	0	0
52	HSBC SECURITIES USA INC	Y	CME	03/31/2009	1,293,607,300	74,085,816	1,219,521,484	1,342,675,313	75,876,463
53	I TRADE FX LLC	N	NFA	03/31/2009	16,480,444	15,000,000	1,480,444	0	0
54	ICAP FUTURES LLC	N	NFA	03/31/2009	6,120,878	500,000	5,620,878	0	0
55	IG MARKETS INC	N	NFA	03/31/2009	23,796,135	15,000,000	8,796,135	0	0
56	IKON GLOBAL MARKETS INC	N	NFA	03/31/2009	16,423,228	15,000,000	1,423,228	4,333,272	251,666
57	INSTINET LLC	Y	NFA	03/31/2009	111,847,514	1,500,000	110,347,514	0	0
58	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	03/31/2009	1,951,729	500,000	1,451,729	152,145	0
59	INTERACTIVE BROKERS LLC	Y	NFA	03/31/2009	645,225,474	45,541,742	599,683,732	307,646,416	102,693,162
60	INTERBANK FX LLC	N	NFA	03/31/2009	38,393,728	15,000,000	23,393,728	0	0
61	ITG DERIVATIVES LLC	Y	NFA	03/31/2009	2,428,309	500,000	1,928,309	0	0
62	JP MORGAN CLEARING CORP	Y	CME	03/31/2009	4,860,608,177	1,375,229,082	3,485,379,095	987,314,024	201,745,954
63	JP MORGAN FUTURES INC	N	NYME	03/31/2009	2,225,499,633	1,284,768,478	940,731,155	17,595,289,333	2,656,433,577
64	JP MORGAN SECURITIES INC	Y	NFA	03/31/2009	8,524,931,270	578,586,960	7,946,344,310	0	0
65	LADENBURG THALMANN & CO INC	Y	NFA	03/31/2009	2,147,722	500,000	1,647,722	0	0
66	LEK SECURITIES CORPORATION	Y	NFA	03/31/2009	13,044,652	1,500,000	11,544,652	617,466	0
67	LIMESTONE TRADING LLC	N	NFA	03/31/2009	11,668,969	500,000	11,168,969	0	0
68	LINN GROUP THE	N	NFA	03/31/2009	2,792,071	500,000	2,292,071	28,057,702	0
69	LPL FINANCIAL CORPORATION	Y	NFA	03/31/2009	108,479,046	5,546,665	102,932,381	0	0
70	MACQUARIE FUTURES USA INC	N	CBOT	03/31/2009	54,731,576	24,389,522	30,342,054	358,977,179	2,553,804
71	MAREX USA LIMITED	N	NFA	03/31/2009	939,681	500,000	439,681	0	0
72	MB TRADING FUTURES INC	N	NFA	03/31/2009	17,031,724	15,000,000	2,031,724	0	0
73	MBF CLEARING CORP	N	NYME	03/31/2009	27,419,840	2,024,569	25,395,271	82,207,182	9,334,580
74	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	03/31/2009	6,812,025	1,697,535	5,114,490	568,985,915	0
75	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	03/31/2009	4,355,564,263	564,665,415	3,790,898,848	9,021,084,008	1,481,093,968
76	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	03/31/2009	1,605,604,692	58,993,471	1,546,611,221	629,513,290	34,399,003
77	MF GLOBAL INC	Y	CME	03/31/2009	602,127,075	359,922,128	242,204,947	7,507,230,034	517,906,127
78	MG FINANCIAL LLC	N	NFA	03/31/2009	5,309,891	500,000	4,809,891	0	0
79	MID CO COMMODITIES INC	N	NFA	03/31/2009	11,116,720	2,665,849	8,450,871	19,674,395	0
80	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	03/31/2009	7,346,550	1,598,283	5,748,267	0	0
81	MIZUHO SECURITIES USA INC	Y	CME	03/31/2009	356,837,540	56,169,720	300,667,820	713,839,063	274,915,378
82	MORGAN KEEGAN & COMPANY INC	Y	NFA	03/31/2009	324,460,668	9,411,257	315,049,411	0	0
83	MORGAN STANLEY & CO INCORPORATED	Y	CME	03/31/2009	11,505,129,884	830,384,067	10,674,745,817	4,643,751,173	4,016,400,300

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		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
84	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	03/31/2009	197,865,174	500,000	197,365,174	0	0
85	NEUBERGER BERMAN LLC	Y	NFA	03/31/2009	111,231,245	1,500,000	109,731,245	0	0
86	NEWEDGE USA LLC	Y	NYME	03/31/2009	2,415,830,925	1,588,015,498	827,815,427	19,344,497,856	7,812,625,171
87	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	03/31/2009	756,765,883	1,000,000	755,765,883	0	0
88	OANDA CORPORATION	N	NFA	03/31/2009	161,723,117	15,000,000	146,723,117	0	0
89	ODL SECURITIES INC	N	NFA	03/31/2009	19,002,388	15,000,000	4,002,388	0	0
90	OPEN E CRY LLC	N	NFA	03/31/2009	2,679,292	656,204	2,023,088	56,396,442	609,493
91	OPPENHEIMER & CO INC	Y	NFA	03/31/2009	185,001,227	15,546,406	169,454,821	14,188,314	0
92	OPTIONSXPRESS INC	Y	NFA	03/31/2009	70,889,953	4,659,852	66,230,101	48,797,794	332,062
93	PENSON GHCO	N	CBOT	03/31/2009	19,754,621	13,617,797	6,136,824	442,040,922	39,640,234
94	PEREGRINE FINANCIAL GROUP INC	N	NFA	03/31/2009	30,444,366	15,000,000	15,444,366	220,272,899	16,911,653
95	PIONEER FUTURES INC	N	NYME	03/31/2009	1,813,633	500,000	1,313,633	0	0
96	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	03/31/2009	241,848,000	183,373,880	58,474,120	3,017,180,000	303,390,000
97	PUMA FINANCIAL LLC	N	NFA	03/31/2009	1,593,305	500,000	1,093,305	5,015,418	0
98	RAND FINANCIAL SERVICES INC	N	CME	03/31/2009	60,323,166	7,792,085	52,531,081	78,998,600	23,032,700
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	03/31/2009	303,968,849	22,997,509	280,971,340	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	03/31/2009	1,210,628,810	118,434,954	1,092,193,856	1,767,383,423	658,148,069
101	RBS GREENWICH CAPITAL INC	Y	CBOT	03/31/2009	2,432,138,000	185,299,000	2,246,839,000	1,576,414,000	179,712,000
102	RJ OBRIEN & ASSOCIATES LLC	N	CME	03/31/2009	105,035,623	57,482,900	47,552,723	1,864,752,232	28,532,616
103	ROBBINS FUTURES INC	N	NFA	03/31/2009	590,598	500,000	90,598	4,135,797	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	03/31/2009	73,154,591	30,596,852	42,557,739	1,052,772,340	21,507,367
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	03/31/2009	37,945,249	1,297,812	36,647,437	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	03/31/2009	153,543,823	7,727,530	145,816,293	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	03/31/2009	67,669,008	500,000	67,169,008	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	03/31/2009	2,567,677	715,988	1,851,689	8,243,493	0
109	SMW TRADING COMPANY INC	N	CME	03/31/2009	31,305,393	500,000	30,805,393	5,185,190	0
110	STEPHENS INC	Y	NFA	03/27/2009	69,285,534	8,892,683	60,392,851	0	0
111	STERLING COMMODITIES CORP	N	NYME	03/31/2009	8,669,207	640,514	8,028,693	38,445,339	620,976
112	TCA FUTURES LLC	N	NFA	03/31/2009	850,907	500,000	350,907	0	0
113	TD AMERITRADE INC	Y	NFA	03/31/2009	113,741,776	500,000	113,241,776	0	0
114	TENCO INC	N	CBOT	03/31/2009	44,183,195	6,751,191	37,432,004	123,120,035	0
115	TERRA NOVA FINANCIAL LLC	Y	NFA	03/31/2009	12,190,454	1,500,000	10,690,454	0	0
116	TIMBER HILL LLC	Y	CME	03/31/2009	1,319,097,402	16,067,867	1,303,029,535	209,766,046	0
117	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	03/31/2009	3,191,852	500,000	2,691,852	0	0
118	TRADELINK LLC	Y	CBOT	03/31/2009	30,978,748	500,000	30,478,748	0	0
119	TRADESTATION SECURITIES INC	Y	NFA	03/31/2009	94,354,382	970,192	93,384,190	0	0
120	TRADITION SECURITIES AND FUTURES INC	N	NFA	03/31/2009	822,528	500,000	322,528	0	0
121	TRANSMARKET GROUP LLC	Y	CBOT	03/31/2009	12,384,214	500,000	11,884,214	0	0
122	TRILAND USA INC	N	NYME	03/31/2009	46,099,414	11,387,729	34,711,685	163,137,079	17,918,580
123	UBS FINANCIAL SERVICES INC	Y	NFA	03/31/2009	1,477,831,583	85,704,022	1,392,127,561	189,914,740	1,284,597
124	UBS SECURITIES LLC	Y	CBOT	03/31/2009	15,135,202,407	978,849,675	14,156,352,732	12,822,336,838	5,350,581,518
125	VELOCITY FUTURES LLC	N	NFA	03/31/2009	1,133,707	500,000	633,707	38,930,696	1,010,016

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Futures Commission Merchant								
	(a)	(b)			(c)	(d)	(e)	
February Web Page Update	132							
Additions	0							
None								
Deletions	0							
None								
Name Change								
From Marex Carlton Limited to Marex USA Limited								
March Web Page Update	132							
*Allianz Global Investors Distributors LLC files financial statements quarterly.								
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.								
(b): DSRO: Designated Self-Regulatory Organization.								
(c): A firm's net capital requirement is the greater of								
(1) \$250,000; or								
(2) risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 4% of total noncustomer risk maintenance margin; or								
(3) The amount of capital required by a registered futures association (currently NFA is the only such association);								
(4) for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission.								
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.								

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