

SELECTED FCM FINANCIAL DATA AS OF  
January 31, 2009  
FROM REPORTS FILED BY  
February 28, 2009

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3D FOREX LLC	N	NFA	01/31/2009	20,033,851	500,000	19,533,851	0	0
2	ACM USA LLC	N	NFA	01/31/2009	1,695,592	500,000	1,195,592	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	01/31/2009	160,438,312	74,296,117	86,142,195	1,980,581,996	84,538,261
4	ADVANCED MARKETS LLC	N	NFA	01/31/2009	19,873,581	15,000,000	4,873,581	0	0
5	ADVANTAGE FUTURES LLC	N	CME	01/30/2009	19,751,808	5,596,760	14,155,048	205,301,225	28,344,076
6	AIG CLEARING CORPORATION	N	NYME	01/31/2009	128,352,260	12,683,357	115,668,903	0	0
7	ALARON TRADING CORPORATION	N	NFA	01/31/2009	2,831,646	500,000	2,331,646	0	0
8	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	Y	NFA	12/31/2008	27,457,078	6,847,647	20,609,431	0	0
9	ALPARI (US) LLC	N	NFA	01/31/2009	19,690,941	15,000,000	4,690,941	0	0
10	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	01/31/2009	85,426,561	5,499,948	79,926,613	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	01/30/2009	3,671,056,594	130,363,071	3,540,693,523	735,871,140	9,073,093
12	BARCLAYS CAPITAL INC	Y	NYME	01/30/2009	7,503,086,708	500,000,000	7,003,086,708	5,630,058,150	2,814,816,749
13	BGC FINANCIAL LP	Y	NFA	01/31/2009	57,145,672	250,000	56,895,672	0	0
14	BGC SECURITIES	Y	NFA	01/31/2009	9,301,951	500,000	8,801,951	0	0
15	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	01/30/2009	311,928,016	245,439,573	66,488,443	3,116,482,616	515,268,331
16	BNP PARIBAS SECURITIES CORP	Y	CBOT	01/31/2009	1,364,762,343	97,113,272	1,267,649,071	287,226,471	0
17	CADENT FINANCIAL SERVICES LLC	N	CME	01/31/2009	9,209,883	4,628,272	4,581,611	188,266,673	1,923,635
18	CANTOR FITZGERALD & CO	Y	CBOT	01/31/2009	325,344,530	17,197,900	308,146,630	19,020,502	0
19	CAPITAL MARKET SERVICES LLC	N	NFA	01/31/2009	29,255,080	15,000,000	14,255,080	0	0
20	CIBC WORLD MARKETS CORP	Y	CME	01/30/2009	1,118,248,168	6,733,737	1,111,514,431	0	0
21	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	01/31/2009	7,149,522,573	847,234,997	6,302,287,576	14,296,484,127	1,315,381,351
22	CLIFF LARSON COMPANY THE	N	NFA	01/31/2009	2,444,903	837,063	1,607,840	15,607,412	0
23	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	01/31/2009	1,049,136	500,000	549,136	0	0
24	COUNTRY HEDGING INC	N	NFA	01/31/2009	15,093,393	8,097,690	6,995,703	97,722,304	135,504
25	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	01/31/2009	10,921,087,654	549,864,349	10,371,223,305	3,288,676,928	1,714,600,915
26	CROSSLAND LLC	N	CBOT	01/31/2009	3,146,328	587,406	2,558,922	24,608,480	407,348
27	CUNNINGHAM COMMODITIES LLC	N	CBOT	01/31/2009	3,063,469	500,000	2,563,469	14,814,255	163,538
28	CX CAPITAL MARKETS LLC	N	NFA	01/31/2009	803,967	500,000	303,967	0	0
29	DAIWA SECURITIES AMERICA INC	Y	CME	01/31/2009	226,815,407	3,144,487	223,670,920	12,362,179	1,344,096
30	DEUTSCHE BANK SECURITIES INC	Y	CBOT	01/30/2009	5,901,107,907	397,557,425	5,503,550,482	6,430,227,590	1,021,151,475
31	DORMAN TRADING LLC	N	CME	01/31/2009	7,227,165	500,000	6,727,165	67,042,593	0
32	EAGLE MARKET MAKERS INC	N	CBOT	01/31/2009	4,923,362	500,000	4,423,362	6,229,479	0
33	EASY FOREX US LTD	N	NFA	01/31/2009	15,440,303	15,000,000	440,303	0	0
34	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	01/31/2009	9,769,833	196,773	9,573,060	0	0
35	ENSKILDA FUTURES LTD	N	CME	01/31/2009	22,595,009	15,068,638	7,526,371	196,863,372	0
36	FARR FINANCIAL INC	N	NFA	01/31/2009	969,662	500,000	469,662	32,204,381	0
37	FC STONE LLC	N	CME	01/31/2009	52,182,923	41,973,735	10,209,188	956,872,659	7,233,469
38	FOREX CAPITAL MARKETS LLC	N	NFA	01/31/2009	98,456,604	15,000,000	83,456,604	0	0
39	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	01/31/2009	22,409,695	15,000,000	7,409,695	0	0
40	FORTIS CLEARING AMERICAS LLC	Y	CBOT	01/31/2009	194,407,282	53,122,076	141,285,206	897,650,898	171,084,966
41	FRIEDBERG MERCANTILE GROUP INC	N	NFA	01/31/2009	3,370,857	500,000	2,870,857	4,742,384	35,851
42	FRONTIER FUTURES INC	N	NFA	01/31/2009	1,255,321	733,807	521,514	33,773,932	0
43	FUTURES TECH LLC	N	NFA	01/31/2009	828,979	500,000	328,979	0	0
44	FX SOLUTIONS LLC	N	NFA	01/31/2009	43,785,065	15,000,000	28,785,065	0	0
45	GAIN CAPITAL GROUP LLC	N	NFA	01/31/2009	107,390,780	15,000,000	92,390,780	0	0
46	GELBER GROUP LLC	N	CBOT	01/31/2009	124,036,290	500,000	123,536,290	12,943,451	0

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47	GFS FOREX & FUTURES INC	N	NFA	01/31/2009	15,957,382	15,000,000	957,382	0	0
48	GILDER GAGNON HOWE & CO LLC	Y	NFA	01/31/2009	12,654,362	500,000	12,154,362	0	0
49	GLOBAL FUTURES & FOREX LTD	N	NFA	01/31/2009	76,055,556	15,000,000	61,055,556	702,426	0
50	GOLDMAN SACHS & CO	Y	CBOT	01/30/2009	14,020,829,023	1,931,569,806	12,089,259,217	20,769,110,700	8,323,635,543
51	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	01/30/2009	1,748,729,336	62,677,421	1,686,051,915	1,341,655,936	18,543,324
52	HOTSPOT FXR LLC	N	NFA	01/31/2009	15,404,375	15,000,000	404,375	0	0
53	HSBC SECURITIES USA INC	Y	CME	01/30/2009	1,214,488,130	79,878,300	1,134,609,830	1,549,053,424	79,881,074
54	I TRADE FX LLC	N	NFA	01/31/2009	16,081,083	15,000,000	1,081,083	0	0
55	ICAP FUTURES LLC	N	NFA	01/31/2009	6,636,329	500,000	6,136,329	0	0
56	IG MARKETS INC	N	NFA	01/31/2009	24,132,296	15,000,000	9,132,296	0	0
57	IKON GLOBAL MARKETS INC	N	NFA	01/31/2009	16,548,536	15,000,000	1,548,536	1,857,708	359,660
58	INSTINET LLC	Y	NFA	01/31/2009	100,070,532	1,872,461	98,198,071	0	0
59	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	01/31/2009	1,849,966	500,000	1,349,966	242,205	0
60	INTERACTIVE BROKERS LLC	Y	NFA	01/31/2009	618,460,008	38,523,301	579,936,707	221,036,474	97,119,440
61	INTERBANK FX LLC	N	NFA	01/31/2009	39,945,958	15,000,000	24,945,958	0	0
62	ITG DERIVATIVES LLC	Y	NFA	01/31/2009	1,151,338	500,000	651,338	0	0
63	JP MORGAN CLEARING CORP	Y	CME	01/31/2009	4,684,486,705	1,273,132,742	3,411,353,963	1,071,459,329	252,117,790
64	JP MORGAN FUTURES INC	N	NYME	01/31/2009	2,209,232,722	1,443,967,640	765,265,082	20,026,777,126	2,362,801,841
65	JP MORGAN SECURITIES INC	Y	NFA	01/31/2009	7,613,920,913	592,304,144	7,021,616,769	0	0
66	LADENBURG THALMANN & CO INC	Y	NFA	01/31/2009	4,155,321	500,000	3,655,321	0	0
67	LBS LIMITED PARTNERSHIP	N	NFA	01/31/2009	785,983	500,000	285,983	0	0
68	LEK SECURITIES CORPORATION	Y	NFA	01/31/2009	12,445,645	1,500,000	10,945,645	165,061	0
69	LIMESTONE TRADING LLC	N	NFA	01/31/2009	7,695,324	500,000	7,195,324	0	0
70	LINN GROUP THE	N	NFA	01/31/2009	2,986,846	500,000	2,486,846	13,849,719	1,207
71	LPL FINANCIAL CORPORATION	Y	NFA	01/31/2009	101,506,436	11,726,117	89,780,319	0	0
72	MACQUARIE FUTURES USA INC	N	CBOT	01/31/2009	52,711,788	26,090,350	26,621,438	458,706,870	2,179,854
73	MAREX CARLTON LIMITED	N	NFA	01/31/2009	771,088	500,000	271,088	0	0
74	MB TRADING FUTURES INC	N	NFA	01/31/2009	15,227,957	15,000,000	227,957	0	0
75	MBF CLEARING CORP	N	NYME	01/31/2009	26,856,991	2,027,363	24,829,628	88,652,979	5,754,517
76	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	01/31/2009	11,744,460	1,171,098	10,573,362	654,625,087	0
77	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	01/31/2009	5,321,864,072	626,198,416	4,695,665,656	9,161,310,654	1,299,342,431
78	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	01/31/2009	2,168,499,702	66,864,883	2,101,634,819	1,113,561,380	33,324,278
79	MF GLOBAL INC	Y	CME	01/31/2009	657,659,591	360,555,795	297,103,796	7,577,653,900	580,401,952
80	MG FINANCIAL LLC	N	NFA	01/31/2009	5,406,476	500,000	4,906,476	0	0
81	MID CO COMMODITIES INC	N	NFA	01/31/2009	13,977,381	2,677,482	11,299,899	27,788,532	0
82	mitsui BUSSAN COMMODITIES USA INC	N	NYME	01/31/2009	7,472,547	1,813,339	5,659,208	0	0
83	MIZUHO SECURITIES USA INC	Y	CME	01/30/2009	335,400,295	72,519,262	262,881,033	625,847,763	287,125,761
84	MORGAN KEEGAN & COMPANY INC	Y	NFA	01/31/2009	332,454,059	8,531,806	323,922,253	0	0
85	MORGAN STANLEY & CO INCORPORATED	Y	CME	01/31/2009	10,019,707,601	839,020,771	9,180,686,830	4,546,198,194	3,670,141,598
86	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	01/30/2009	209,598,134	500,000	209,098,134	0	0
87	NEUBERGER BERMAN LLC	Y	NFA	01/31/2009	113,476,881	1,500,000	111,976,881	0	0
88	NEWEDGE USA LLC	Y	NYME	01/31/2009	2,387,235,113	1,665,488,155	721,746,958	21,049,675,137	8,074,522,213
89	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	01/30/2009	727,071,685	1,662,350	725,409,335	0	0
90	OANDA CORPORATION	N	NFA	01/31/2009	169,501,514	15,000,000	154,501,514	0	0
91	ODL SECURITIES INC	N	NFA	01/31/2009	19,572,976	15,000,000	4,572,976	0	0
92	OPEN E CRY LLC	N	NFA	01/31/2009	2,566,388	725,363	1,841,025	55,273,010	637,465
93	OPPENHEIMER & CO INC	Y	NFA	01/30/2009	176,462,080	16,284,405	160,177,675	14,548,613	0





