

**APPENDIX B**  
**FEDERAL ELECTION COMMISSION**  
**IT STRATEGIC & PERFORMANCE PLANS**  
**FY 2005-2010**

**PURSUANT TO GPRA AND OMB A-11**

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**Federal Election Commission**  
**IT Strategic & Performance Plans**  
**FY 2005-2010**

In 1995, the Federal Election Commission (FEC) initiated a modernization program to re-engineer its automated systems infrastructure. Three major initiatives were launched: information technology (IT) modernization, point of entry, and electronic filing. These projects were designed to assist the FEC to perform its statutory mission and achieve its objectives and goals as defined in the FEC Strategic Plan. The electronic filing system and the point of entry initiative are completed.

IT modernization through system development is ongoing with the goal of enabling FEC staff to work more efficiently by providing more sophisticated access to information. These goals are being achieved through migration to a client/server system to facilitate information requests, reduce copying and distribution of paper documents, streamline research, and manage workloads. The new system permits FEC staff and external users to use FEC-generated data and information in a more flexible manner and will enable the FEC to realize the benefits achieved by electronic filing.

The Bipartisan Campaign Reform Act of 2002 (BCRA) required significant changes to FEC IT systems, including the disclosure system and the electronic filing system. Implementation of BCRA-related changes to the disclosure and electronic filing systems was completed in FY 2003. In addition, the Enforcement Query System (EQS) was placed on the FEC website in December 2003. EQS allows users to search for completed enforcement cases and their public documents.

The FEC has begun the next phase of enhancements. For example, a web-based electronic review system will allow the Reports Analysis Division to review financial disclosure reports more quickly, and an integrated, web-based budget and management information system will enhance budget preparation and performance measurement and reporting. Both projects began in FY 2004 and will be completed in FY 2006. After these and other systems are migrated to a web-based environment, the portal project will begin with planned initiation in FY 2006 and expected completion in FY 2008. The implementation of the FEC portal will complete the integration of FEC systems.

The IT Strategic Plan also includes the funding for the base IT operations from FY 2005-2010. Although not part of the earmarked IT initiatives, these activities support IT operations and are tracked separately from the earmarked IT initiatives.

## **IT ENHANCEMENTS**

### **FY 2005**

#### **Goals/Milestones**

##### **Systems Development**

Continued development of expanded RAD automated report review (October 2005)

Continued development of expanded budget/MIS/FTE system (June 2005)

Initiated design and development of a new disclosure application (phase 1) to replace all 1032 applications and complete Disclosure off-load Phase 1, Modules include: Security, Track Committee, Debt settlement, Admin fines and Non filers (June 2005)

Completed an Intranet that supports development tools and methodology for developers and contractors (November 2004)

Continue to develop an Enterprise Architecture Strategy (ongoing)

##### **Upgrade and Maintenance Projects**

Completed upgrade to Enforcement Query System version 2.0 (April 2005)

Completed network and server intrusion testing (April 2005)

Completed the FEC website redesign (October 2004)

Complete PeopleSoft 7.5 enhancements (June 2005)

Complete development of budget/MIS/FTE system (June 2005)

Completed the upgrade and replacement of obsolete servers, networks and infrastructure (November 2004)

Implemented E-Travel Application by Zegato (Feb 2005)

Completed Form 13 data entry to address needs for inaugural committee filing (Jan 2005)

Replace and upgrade aging PCs (desktop and laptop computers) (ongoing)

Initiate analysis and complete ADR notebook prototype for Case Management (June 2005)

Upgrade imaging system to provide better quality scanning and web images ( May 2005)

Implement the Map based disclosure interface on the FEC Web Site (July 2005)

Implement a new search Engine for the FEC Web Site (August 2005)

Initiated Entity Wide Security Program (October 2005)

## **Costs**

Non-Personnel\*

\$3,970,622

\*Includes system development, hardware, and software

## **FY 2006**

### **Goals/Milestones**

#### **Systems Development**

Investigate new NARA electronic record retention requirements (December 2005)

Complete the implementation of the web architecture RAD automated review process Module 3 Report Review, Module 4 Letter Circulation and Module 5 Management Reports (December 2005)

Complete the calendar application rewrite for the FEC Web Site (October 2005)

Implement Advisory Opinions on the web in a searchable format (March 2006)

Initiate portal development project (June 2006)

Complete Phase 2 migration of disclosure and non-disclosure systems to web-based environment. Modules are: RAD Administrative Termination, Information Management Applications, Presidential Matching, Audit Dollar Sampling and Compliance Tracking (July 2006)

#### **Upgrade and Maintenance Projects**

Upgrade the Case Management System (March 2006)

Implement automated entry via adobe bar-coding technology starting with form 8 (October 2005)

Website enhancements (ongoing)

Continue to monitor security plans for all applications and networks (ongoing)

Completely implement findings from the 2005 risk assessment (March 2006)

Evaluate automation of OGC Docket System (October 2005)

Upgrade or replace the PeopleSoft Accounting System (September 2006)

Complete disaster recovery implementation for system redundancy (November 2005)

Continue to test disaster recovery plans for all major application (ongoing)

Continue Enterprise Architecture Plan (ongoing)

Complete an enterprise backup system for the computer room and key data base servers (December 2005)

Start PC Docs upgrade (December 2005)  
Implement Litigation Notebook (September 2005)  
Continue IT security monitoring and intrusion detection testing (ongoing)  
Continue document management system integration (ongoing)  
Replace and upgrade aging PCs (desktop and laptop computers) (ongoing)

### **Costs**

Non-Personnel\*

\$2,895,000

\*Includes system development, hardware, and software

### **FY 2007 -2010**

#### **Goals/Milestones**

Continue Enterprise Architecture planning and implementation (phase one “Online Government”) (FY2007)  
Initiate development of data mining program (FY 2007)  
Integrate procurement and accounting systems (FY 2007)  
Develop on-line Commission voting and certifications (FY 2007)  
Complete document management system development (FY 2007)  
Complete conversion of disclosure and non-disclosure systems web-based environment (FY 2007) Modules include: (Audit, Advisory Opinions, Financial and OGC applications)  
Continue Enterprise Architecture planning and implementation (phase two “Interactive Government”) (FY 2008)  
Implement the data mining program (FY 2008)  
Complete portal development project (FY 2008)  
Continue Enterprise Architecture planning and implementation (phase three “Integrated Government”) (FY 2008)  
Implement Joint Application Development (JAD) and Rapid Application Development (RAD) (FY 2008)  
Complete Enterprise Architecture planning and implementation (phase four “On Demand Government”) (FY 2009)  
Upgrade IT infrastructure (network hardware and software) (FY 2010)  
Upgrade IT system architecture (FY 2010)

Continue IT security monitoring and intrusion detection testing (ongoing)  
Conduct on going needs assessments updates for FEC offices (ongoing)  
Replace and upgrade aging PCs (desktop and laptop computers) (ongoing)

### **Costs**

	Non-Personnel*
FY 2007	\$3,512,000
FY 2008	\$3,725,000
FY 2009	\$4,000,000
FY 2010	\$4,250,000

\*Includes system development, hardware, and software

### **Base IT Budget**

The base IT budget maintains and supports the IT operations of the FEC, including the electronic filing system, point of entry operations, on-going IT programs covering an extensive inventory of legacy systems for both disclosure and compliance operations, support for informational and educational outreach efforts, and support for the financial and administrative operations of the Commission. The base IT budget supports personnel costs, including travel, training, printing, supplies, maintenance, licensing, and other basic support.

### **FY 2005-2010**

#### **Goals/Milestones**

Maintain, support and enhance electronic filing system and operations (Ongoing)  
Maintain point of entry operations with the Senate and House (Ongoing)  
Maintain computer infrastructure, upgrading computer servers and communications networks where necessary to support IT operations (Ongoing)  
Operate base IT systems, including servers and desktop equipment (Ongoing)  
Perform manual data entry and coding for committees below the electronic filing threshold (Ongoing)  
Update Enterprise Architecture (Ongoing)  
Operate IT help desk and perform IT training for FEC staff (Ongoing)  
Maintain legacy IT programs and systems (Ongoing)  
Provide IT support for the Disclosure and Compliance Programs (Ongoing)  
Provide IT support for the Public Funding Program (Ongoing)

**Costs**

	Non-Personnel*
FY 2005	\$1,789,378
FY 2006	\$3,971,500
FY 2007	\$4,162,500
FY 2008	\$4,372,500
FY 2009	\$4,500,000
FY 2010	\$4,750,000

\*Includes system development, hardware, and software

**TOTAL ITD BUDGET**

	Personnel*	Non-Personnel**	Total
FY 2005	\$5,047,400	\$5,760,000	\$11,167,400
FY 2006	\$4,254,700	\$6,866,500	\$11,121,200
FY 2007	\$4,472,000	\$7,674,500	\$12,146,500
FY 2008	\$4,700,000	\$8,097,500	\$12,797,500
FY 2009	\$4,935,000	\$8,500,000	\$13,435,000
FY 2010	\$5,182,000	\$9,000,000	\$14,182,000

\* Reflects transfer of data coding and entry document processing staff to the Public Disclosure Division by FY 2006.

\*\* Reflects transfer of data entry contract support to the Public Disclosure Division by FY 2006.