

7th PCIE Hurricane Katrina Report: To Date As of 01-27-06 (Additional Period of Reporting 12-31-05 to 01-27-06)

	DHS	DOC	DOD	DOE(3)	DOI	DOJ	DOL	DOT	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	USDA	USPS	VA	TOTAL
--	-----	-----	-----	--------	-----	-----	-----	-----	-----	-----	-----	-----	------	-----	-----	-------	------	------	----	-------

Section 01. OIG STAFF ASSIGNED

A. Full-Time Equivalent																					
1. Administrative	3.50	-	5.00	-	6.00	-	-	-	-	-	1.00	1.00	1.00	-	-	-	2.00	-	-	-	19.50
2. Auditors	55.50	3.00	135.00	-	-	3.50	13.00	24.00	19.50	5.50	22.00	6.00	8.00	6.00	2.00	45.00	34.00	28.00	5.00	-	415.00
3. Consultants/Contractors	3.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3.00
4. Inspectors	10.00	-	-	-	-	-	-	-	-	-	2.00	-	-	-	-	-	-	-	-	-	12.00
5. Investigators	25.00	-	12.00	-	1.25	0.50	10.00	3.00	3.00	1.00	3.00	18.00	2.00	1.00	8.00	-	3.00	7.00	1.00	-	98.75
6. Other (e.g. Technical, Specialists)	-	-	1.00	-	-	-	-	1.00	1.50	-	-	6.00	-	-	-	-	-	3.00	-	-	12.50
Total	97.00	3.00	153.00	0.00	7.25	4.00	23.00	28.00	24.00	6.50	28.00	31.00	11.00	7.00	10.00	45.00	39.00	38.00	6.00	-	560.75

Section 02. CONTRACT: AGENCY ACTIONS

A. # Contracts Awarded																					
1. <= \$500K	2,201	58	-	-	448	45	4	54	144	1,091	118	11	26	-	22	20	278	24	-	-	4,544
2. > \$500K (1)																					
a. Full and Open Competition	136	2			3	-	-	7	55	39	21	1	5	-	1	-	46	2	-	-	318
b. "Limited" Competition	1	-			-	-	-	2	-	19	-	2	-	-	-	-	-	-	-	-	24
c. No Bid/Sole Source	405	-			1	2	2	6	12	140	6	4	2	-	-	-	-	-	3	-	583
d. Other	170	-			1	-	-	-	-	9	-	-	-	-	-	-	-	-	-	-	180
Sub-Total of > \$500K (1)	712	2			5	2	2	15	67	207	27	7	7	-	1	-	46	5	-	-	1,105
Total # Contracts Awarded	2,913	60	-	-	453	47	6	69	211	1,298	145	18	33	-	23	20	324	29	-	-	5,649

B. \$ Value Contracts Awarded (in thousands)																					
1. <= \$500K	\$ 197,788	\$ 4,400			\$ 8,978	\$ 1,624	\$ 2,713	\$ 7,304	\$ 9,167	\$ 70,785	\$ 10,636	\$ 600	\$ 3,159	-	\$ 1,067	\$ 861	\$ 28,798	\$ 2,176	-	-	(in thousands) \$ 350,056
2. > \$500K (1)	\$ 4,803,574	\$ 1,200	\$ 2,774,623		\$ 3,472	\$ 5,730	\$ 2,048	\$ 358,061	\$ 174,231	\$ 525,256	\$ 64,464	\$ 16,700	\$ 90,994	-	\$ 600	-	\$ 63,205	\$ 6,173	-	-	\$ 8,890,331
Total \$ Value of Contracts Awarded	\$ 5,001,362	\$ 5,600	\$ 2,774,623	\$ -	\$ 12,450	\$ 7,354	\$ 4,761	\$ 365,365	\$ 183,398	\$ 596,041	\$ 75,100	\$ 17,300	\$ 94,153	\$ -	\$ 1,667	\$ 861	\$ 92,003	\$ 8,349	\$ -	\$ -	\$ 9,240,387

Section 03. CONTRACT: OIG REVIEWS

A. # Of Contract Reviews																					
1. In-Process	28	1	9	-	-	-	6	1	71	144	11	2	11	-	-	-	-	-	3	-	287
2. Completed	100	-	-	-	-	-	-	-	22	-	-	-	22	-	-	-	-	-	-	-	144
Total	128	1	9	-	-	-	6	1	93	144	11	2	33	-	-	-	-	-	3	-	431

B. \$ Value of Reviews (in thousands)																					
1. In-Process	\$ 1,253,916	\$ 5,600	\$ 2,239,322				\$ 4,761	\$ 241,974	\$ 115,016	\$ 465,608	\$ 56,000	\$ 18,400	\$ 16,300	-	-	-	-	\$ 8,349	-	-	(in thousands) \$ 4,425,246
2. Completed	\$ 1,231,818								\$ 56,769				\$ 77,853	-	-	-	-				\$ 1,366,440
Total	\$ 2,485,734	\$ 5,600	\$ 2,239,322	\$ -	\$ -	\$ -	\$ 4,761	\$ 241,974	\$ 171,785	\$ 465,608	\$ 56,000	\$ 18,400	\$ 94,153	\$ -	\$ -	\$ -	\$ -	\$ 8,349	\$ -	\$ -	\$ 5,791,686

Section 04. PURCHASE CARD: AGENCY TRANSACTIONS

A. Total \$ spent to date (in thousands)																					
	\$ 22,687	\$ 289	\$ -	\$ -	\$ 11,373	\$ 8,605	\$ 540	\$ 365	\$ 1,381	\$ 107	\$ 2,076	\$ -	\$ 133	\$ -	\$ 224	\$ 61	\$ 20,061	\$ 326	\$ -	-	(in thousands) \$ 68,228

Section 05. PURCHASE CARD: OIG REVIEWS

A. % of PCard Transaction \$s reviewed to date																					
	24%	0%	-	-	0%	0%	0%	0%	31%	0%	0%	-	100%	-	0%	0%	0%	100%	-	-	

7th PCIE Hurricane Katrina Report: To Date As of 01-27-06 (Additional Period of Reporting 12-31-05 to 01-27-06)

	DHS	DOC	DOD	DOE(3)	DOI	DOJ	DOL	DOT	EPA	GSA	HHS	HUD	NASA	SBA	SSA	TIGTA	USDA	USPS	VA	TOTAL
Section 06. NON-CONTRACT: OIG REVIEWS																				
A. # Grant Reviews																				
1. In-Process	22	1	-	-	-	1	2	5	-	-	1	-	-	-	-	-	-	-	-	32
2. Completed	3	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	5
B. # Mission Assignment Reviews																				
1. In-Process	2	-	2	-	-	-	-	5	3	1	3	-	2	-	1	-	1	-	-	20
2. Completed	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	1
C. # Other Non- Contract Reviews																				
1. In-Process	14	-	11	-	1	-	5	-	-	-	12	-	-	2	-	9	8	6	1	69
2. Completed	5	-	-	1	-	-	1	1	-	-	-	-	-	-	-	-	-	-	-	8
Section 07. OIG REPORTING																				
A. # Reports Issued	8	-	-	1	-	-	3	2	-	-	-	-	-	-	-	-	-	-	-	14
B. Reported \$ Value (in thousands)																				(in thousands)
1. Questioned Costs	\$ -	-	-	\$ -	-	-	\$ -	\$ -	-	-	-	-	-	-	-	-	-	-	-	\$ -
(a). Unsupported Costs	\$ -	-	-	\$ -	-	-	\$ -	\$ 33,292	-	-	-	-	-	-	-	-	-	-	-	\$ 33,292
2. Funds Put to Better Use	\$ -	-	-	\$ -	-	-	\$ -	\$ -	-	-	-	-	-	-	-	-	-	-	-	\$ -
Section 08. OIG CONGRESSIONAL RESPONSES																				
A. # Congressionals Received	16	-	7	-	-	-	-	-	-	-	-	-	-	1	1	-	-	1	-	26
B. # OIG Congressional Responses																				
1. In-Process	6	-	6	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-	13
2. Completed	10	-	1	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1	-	13
Section 09. OIG INVESTIGATIVE STATISTICS																				
A. # Hotline Complaints (2)	3,478	1	7,478	1	-	-	9	3	1	-	2	60	-	8	20	-	-	41	-	11,102
B. # Cases Opened	340	-	3	-	1	4	10	4	4	2	15	13	11	3	9	2	4	2	-	427
C. # Arrests	68	-	1	-	-	-	8	2	-	-	-	3	5	-	3	1	2	-	-	93
D. # Indictments	98	-	-	-	-	-	7	1	-	-	-	2	1	-	3	1	2	-	-	115
E. # Convictions	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	15
LEGEND:																				
(1) DOD = over \$1 million																				
(2) DOD = # complaints received on Katrina Hotline; Other Agencies = # complaints received on Agency's OIG																				
(3) DOE = To date, DOE has received only a small portion of the funds allocated for hurricane relief-related activities. Future efforts of the OIG will depend on the amount of money the Department receives and how those funds are used.																				

7th PCIE Hurricane Katrina Report: To Date As of 01-27-06 (Additional Period of Reporting 12-31-05 to 01-27-06)

Section 01. OIG STAFF ASSIGNED - Managers are reported within the appropriate staff category

Agency:	Comment/Footnote:
DoD	DoD is still working with the Service Audit Agencies and OIG components to determine a consistent method for reporting FTEs. Therefore, staff is based on the personnel data that was reported for DoD in the last reporting cycle.
EPA	Auditors and Program Evaluators are combined under the "Auditor" category. Also included is the Auditor working on the DoD Hotline.
GSA	The 5.5 FTE for auditors include a GS-14, 3 GS-13, and 1 GS-12 assigned to the audit full-time as well as a GS-15 and a GS-13 part-time. The 1 FTE for investigators includes 2 part-time investigators.
HUD	HUD's 6 specialists listed are forensic auditors that are assigned to the Office of Investigations.
USPS	Auditors include 6 managers; Investigators include 2 managers.

Section 02. CONTRACT: AGENCY ACTIONS

Agency:	Comment/Footnote:
DOC	2B. Decrease in previously reported Total \$ Value of Contracts Awarded is attributed to contracts closing out less than initial award.
DoD	2A. and B. The Total \$ Value of Contracts Awarded is \$2,774,623,433, or \$2,774,623 (in thousands). This amount is through FY 2005, per OSD Acquisition, Technology, and Logistics. We cannot determine how many contracts are included in this overall number, nor can we determine individual contract values. We will work with OSD to obtain better numbers for the next reporting period.
DOI	2B. DOI's total \$ value of contract awarded excludes those transactions completed by purchase card.
DOL	2A. Although DOL previously reported that the two contracts over \$500,000 were competitive, we subsequently determined they were sole source (modifications to existing contracts). 2B. In previous reports, DOL inadvertently misreported the value of total contracts awarded due to confusion over the old template. We apologize for any inconvenience.
DOT	These numbers were reported by DOT Management and have not been verified or audited by DOT OIG. 2A. Contract for RTA deleted as purchase order never received by Contracting Officer. 2B. Dollar amounts reduced due to OA reporting actual invoiced amounts that are below initial contract estimate on Landstar Contract.
EPA	2A. Number of Contracts awarded include new awards and other actions on existing contracts such as delivery and purchase orders.
GSA	Under Emergency Support Function 7 (ESF-7), GSA awards contracts on behalf of FEMA and FEMA is responsible for the receiving the orders and paying the contractor directly. As these contracts do not affect GSA financially, they are not input into any of GSA's procurement or financial systems. As GSA has no central data repository for these awards, we are using data from the Federal Procurement Data System as the basis for reporting as it is the only data that includes the data elements being reported. However, this data may include GSA procurements in addition to the procurements made in support of FEMA under ESF-7. Some adjustments to the data have been made to the FPDS data to provide a better assessment. For example, FPDS line items with \$0 and negative amounts were removed from counts of contract actions (these were retained award totals however) as these are primarily modifications to contract actions already being reported.
USDA	2A. USDA does not have the breakdown on degree of competition.
USPS	2A. And B. USPS found 4 facilities contracts that were not originally listed on the supply management list.

Section 03. CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DOC	DOC is conducting one overall review of the reported Total # of Contracts Awarded. Draft audit report in process on DOC Katrina contract and grant awards.
DoD	DoD previously reported the number of contracts, as opposed to the number of reviews, and the dollar value changed as we obtained contract information for obligated dollars.
DOT	DOT OIG is monitoring the review which is being performed by DCAA at the request of DOT Management. 3B. Dollar amounts reduced due to reduction in actual invoiced amounts that are below initial contract estimate on Landstar Contract.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety, including GSA's procurements for FEMA under ESF-7. This review will include examining the procurements for price reasonableness, the level of competition used for the award, and management controls related to the procurement award. In accordance with guidelines from the PCIE Katrina Audit Task Force, all contract actions over \$500,000 are being reviewed. These contracts were awarded by GSA under ESF-7 at the joint field offices (JFO) in Jackson, MS and Baton Rouge, LA as well as in Atlanta, GA; Chicago, IL, and Ft. Worth, TX. These contracts were identified primarily from procurement "logs" kept at the contracting locations.
HUD	HUD OIG is performing 2 audits one external audit of a M&M contractor (\$1.1 mil) to evaluate the reasonableness of subcontractor costs & one internal audit of all disaster contract dollars (\$17.3 mil) to ensure that effective contracting practices are being used. The M&M contract amt is included in the total contract amt, but the reviews are separate and have different objectives. The total of these reviews is \$18.4 mil which exceeds the total contract dollars of \$17.3 mil because of the \$ value of the individual reviews.
SBA	SBA item previously classified as a Contract Review has been reclassified as a Congressional Response.
USPS	USPS is conducting 3 reviews that cover 100% of the Total \$ Value of Contracts Awarded - one added review based on finding 4 facilities contracts not listed on the supply management list.

7th PCIE Hurricane Katrina Report: To Date As of 01-27-06 (Additional Period of Reporting 12-31-05 to 01-27-06)

Section 04. PURCHASE CARD: AGENCY TRANSACTIONS

Agency:	Comment/Footnote:
DoD	A total universe cannot be derived for purchase card transactions related to Hurricane Katrina.
DOT	These numbers were reported by DOT Management and have not been verified or audited by DOT OIG. FAA deleted a duplicate Purchase Card transaction in the amount of \$10,000 - (VPN Service) on December 16, 2005 report. FAA deleted a "consolidated sum" Purchase Card transaction in the amount of \$35,160 for Dell Computers on the January 6, 2006 report.
GSA	Under the procurement flexibilities allowed for contracting in response to the Hurricane Katrina, GSA raised the procurement ceiling for charge cards to \$15,000 and only for a limited number of cardholders. Generally, GSA does not utilize purchase cards to make procurements in support of FEMA. The data reported is based on the FPDS database.

Section 05. PURCHASE CARD: OIG REVIEWS

Agency:	Comment/Footnote:
DOC	DOC follow-on audit of purchase card transactions planned after audit of DOC mission assignments.
DoD	DoD cannot determine the percentage of purchase card transactions reviewed without having a total universe.
DOT	DOT plans to initiate an audit of DOT's hurricane related purchase card transactions within the next 30 days.
GSA	There have been no reviews to date.

Section 06. NON-CONTRACT: OIG REVIEWS

Agency:	Comment/Footnote:
DOC	DOC follow-on audit of DOC Mission Assignments from FEMA planned for second quarter 2006 start.
DoD	DoD, while 2 Mission Assignment Reviews are in Process, 1 review may encompass multiple mission assignments.
GSA	The GSA OIG is performing a programmatic review that looks at GSA's support for FEMA in its entirety. This review will include a look at GSA's procurements for FEMA under ESF-7, charges against mission assignments, as well as management controls and processes internal to GSA.
USPS	Although these reviews are not mission assignments as defined by the template, these reviews are assessments of mission essential functions for the USPS.

Section 07. OIG REPORTING

Agency:	Comment/Footnote:
NO COMMENTS RECEIVED	

Section 08. OIG CONGRESSIONAL RESPONSES

Agency:	Comment/Footnote:
DoD	7 Congressionls received includes 6 audit requests and 1 testimony. 1 Completed Congressional is a request that was transferred to DHS. Considered completed from DoD OIG standpoint because responsibility for the congressional was transferred to DHS.

Section 09. OIG INVESTIGATIVE STATISTICS

Agency:	Comment/Footnote:
DoD	Cases Opened refers to DCIS Investigations.
HHS	The matters under investigation involve Health Care Fraud, poor quality of care, patient abandonment, patient deaths and possible euthanasia of nursing home residents and hospital patients. These long term high profile cases are sensitive and are being worked jointly with the State of Louisiana Medical Fraud Control Unit.