NEW YORK AMERICAN RECOVERY & REINVESTMENT



HANDBOOK

Governor David A. Paterson

Updated March 5, 2009



Dear Fellow New Yorker,

On February 17th, 2009 President Obama signed the American Recovery and Reinvestment Act, which provides hundreds of billions of dollars for tax cuts, infrastructure investments and direct budget aid to states and municipalities.

To coordinate New York's efforts to use this money for wise investments in our state and to put people to work quickly, I created the New York State Economic Recovery and Reinvestment Cabinet. The purpose of this body is to facilitate a comprehensive statewide effort to maximize the benefit of the funds that will flow to New York for infrastructure projects.

As part of our efforts, I am pleased to present you with the first draft of the New York State Economic Recovery Handbook. This handbook is meant to be a preliminary guide for municipalities and elected officials throughout the State so that they are equipped to take full advantage of the economic recovery funds coming from Washington, DC.

Within this handbook, we have outlined the key programs that will be used to administer recovery funds and have indicated the best way to apply to these programs. By far, the largest portion of economic recovery funds slated for infrastructure projects is being distributed by formula through existing federal programs. This means that municipalities must follow the usual federal and State processes for the allocation of these funds. This includes sewer and water projects, transportation projects, and community development block grants.

We will continue to update this handbook as new information becomes available, and I invite you to visit the website www.economicrecovery.ny.gov to find updates, get further analysis of the American Recovery and Reinvestment Act and to submit project requests.

If you have previously submitted projects to a State agency or to my office, there is no need to resubmit. Those requests have been forwarded to the appropriate State agency for review.

Let me once again reiterate my commitment to ensure that the funds received from Washington quickly put our citizens back to work and enable New York to make long-term investments in our infrastructure, economy and quality of life.

Sincerely,

David A. Paterson

David a Paterson

Governor

DISCLAIMER

This handbook is not a solicitation or request for funding proposals. It serves only as an information guide. The programs listed are primarily infrastructure related; there are other components of the American Recovery and Reinvestment Act. This handbook does not include complete information about program funding that is supplemental to existing programs. Many of the specific funding guidelines have not been set by federal agencies or state statute and appropriations. Details are forthcoming. This handbook will be updated regularly and will be posted on the State's Recovery website - www.economicrecovery.ny.gov. The federal website, www.recovery.gov, also serves as a useful tool to inform the distribution of federal recovery funds.

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TITLE I: AGRICULTURE, RURAL DEVELOPMENT, FDA, & RELATED AGENCIES

Program Description: Watershed Rehabilitation

Federal Agency: Natural Resources Conservation Service

Agency Web Site: http://www.nrcs.usda.gov/

National Total: \$50 million

Formula or Discretionary: N/A

If Formula NYS share: N/A

Are Municipalities eligible? Yes

Obligation Deadline: September 30, 2011

State Agency: Department of Environmental Conservation & Department

of State

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov www.dos.state.ny.us

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549 George Stafford

george.stafford@dos.state.ny.us

(518) 474-6000

Program Description: Watershed and Flood Prevention

Federal Agency: Natural Resources Conservation Service

Agency Web Site: http://www.nrcs.usda.gov/

National Total: \$290 million; of which \$145 million will be used for the

purchase of floodplain easements

Formula or Discretionary: N/A

If Formula NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: September 30, 2011

State Agency: Department of Environmental Conservation & Department

of State

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov www.dos.state.ny.us

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549 George Stafford

george.stafford@dos.state.ny.us

(518) 474-6000

Program Description: Rural Housing Insurance Fund Program, Section 502 Direct

and Guaranteed Loans for homeownership. Section 502 loans are primarily used to help low-income individuals or households purchase homes in rural areas. Funds can be used to build, repair, renovate or relocate a home, or to purchase and prepare sites, including providing water and

sewage facilities.

Federal Agency: U.S. Department of Agriculture

Agency Web Site: www.usda.gov

National Total: \$67 billion for Direct Loans / \$133 billion for Guaranteed

Loans

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities

eligible?

Obligation Deadline: September 30, 2010

State Agency: TBD

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

Agency Technical Contact: Brian McCarthy, bmccarthy@nysdhcr.gov

Program Description: Rural Community Facilities – Direct Loans

Federal Agency: U.S. Department of Agriculture

Agency Web Site: http://www.rurdev.usda.gov/rhs/cf/cp.htm

Agency Technical Contact 315-474-4700

National Total \$67 million

Formula or Discretionary Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Yes

Obligation Deadline: Continuous

State Agency: Direct to USDA New York Office Syracuse

Program Description: Rural Community Facilities – Guaranteed Loans

Federal Agency: U.S. Department of Agriculture

Agency Web Site: http://www.rurdev.usda.gov/rhs/cf/cp.htm

Agency Technical Contact: (315) 474-4700

National Total: No limit since this program guarantees private lenders.

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Yes (but not more than 20,000 population)

Obligation Deadline Continuous

State Agency Direct to USDA New York Office Syracuse

Program Description: Rural Community Facilities – Grants

Federal Agency: U.S. Department of Agriculture

Agency Web Site: http://www.rurdev.usda.gov/rhs/cf/cp.htm

Agency Technical Contact: USDA Rural Development Field Office

315 474-4700

National Total: \$63 million

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Yes (up to 20,000 pop – highest priority to those under

5,000)

Obligation Deadline: Continuous

State Agency: Direct to USDA New York Office Syracuse

Program Description: Rural Business Enterprise Grants

Federal Agency: U.S. Department of Agriculture

Agency Web Site: http://www.rurdev.usda.gov/rbs/busp/rbeg.htm

Agency Technical Contact: USDA Rural Development Field Office 315 474-4700

National Total: \$20 million

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Yes

Obligation Deadline: Continuous

State Agency: Direct to USDA New York Office Syracuse

Program Description: Rural Community Business & Industry Guaranteed Loans

Federal Agency: U.S. Department of Agriculture

Agency Web Site: http://www.rurdev.usda.gov/rbs/busp/b&i_gar.htm

Agency Technical Contact: USDA Rural Development Field Office 315 474-4700

National Total: \$130 million

Formula or Discretionary: Federal Agency

If Formula NYS share: N/A

Are Municipalities eligible? No – Businesses, Coops, Non-profits

Obligation Deadline: Continuous

State Agency: Direct to USDA New York Office Syracuse

Program Description: USDA Rural Development Water & Environment Program

(WEP)

Federal Agency: USDA Rural Development

Agency Web Site: http://www.rurdev.usda.gov/ny/

Agency Technical Contact: Federal agency representative is David Miller, Community

Programs Director, New York Office of USDA Rural

Development. Phone: 315-477-6427. e-mail:

david.miller@ny.usda.gov

National Total: \$1.38 billion in budget authority; Program level nationally

is approximately \$2.8 billion in loan and approximately

\$1.1 billion in grant.

Formula or Discretionary: Formula

If Formula NYS share: Undetermined as of 2/19/09

Are Municipalities eligible? Yes

Obligation Deadline: 50% of the funds must be obligated in 120 days

(approximately 6/17/09). The remainder of the funds must

be obligated by 9/30/10.

State Agency: none

Program Description: Rural Utilities Service Distance Learning, Telemedicine

and Broadband Program

Federal Agency: United States Department of Agriculture

Agency Web Site: http://www.usda.gov/wps/portal/usdahome

National Total: \$2.5 Billion

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Yes

Obligation Deadline: Not specified

State Agency: Chief Information Officer/Office for Technology

(CIO/OFT)

Agency Web Site: www.economicrecovery.ny.gov

http://www.oft.state.ny.us/

Agency Technical Contact: Melodie Mayberry-Stewart

melodie.mayberry.stewart@cio.state.ny.us

518-408-2140

Cathy Durand

Catherine.durand@oft.state.ny.us

518-473-2601

TITLE II: COMMERCE, JUSTICE, SCIENCE, & RELATED AGENCIES

Program Description: Economic Development Assistance Programs

Federal Agency: US Economic Development Administration (Commerce

Department)

Agency Web Site: http://www.eda.gov/

National Total: \$150 million

Formula or Discretionary: Both

If Formula NYS share: unknown

Are Municipalities eligible? Yes

Obligation Deadline: unknown

State Agency: Empire State Development

Agency Web Site: www.economicrecovery.ny.gov

www.empire.state.ny.us

Agency Technical Contact: Kristen Mucitelli-Heath

kheath@empire.state.ny.us

(315) 425-9110

Program Description: Broadband Technology Opportunities Program

Federal Agency: National Telecommunications and Information

Administration within Department of Commerce

http://www.commerce.gov/

Agency Web Site: http://www.ntia.doc.gov/

National Total: \$4.7 Billion

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Yes

Obligation Deadline: End of Federal Fiscal Year 2010

State Agency: Chief Information Officer/Office for Technology

(CIO/OFT)

Agency Web Site: www.economicrecovery.ny.gov

http://www.oft.state.ny.us/

Agency Technical Contact: Melodie Mayberry-Stewart

melodie.mayberry.stewart@cio.state.ny.us

518-408-2140

Cathy Durand

Catherine.durand@oft.state.ny.us

518-473-2601

Program Description: National Scientific & Technical Research ("NIST"); capital

for laboratory research, measurements, and other services supporting economic growth and U.S. innovation through funding of such items as competitive grants; research fellowships; and advanced measurement equipment and

supplies

Federal Agency: National Institute of Standards and Technologies (NIST)

Agency Web Site: http://www.nist.gov

National Total: \$220 million

Formula or Discretionary: Competitive, discretionary

If Formula NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Program Description: Construction of Research Facilities (for research science

buildings)

Federal Agency: National Institute of Standards and Technologies (NIST)

Agency Web Site: http://www.nist.gov

National Total: \$360 million

Formula or Discretionary: Competitive & discretionary

If Formula NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Program Description: NOAA – Operations, Research and Facilities

Federal Agency: National Oceanic and Atmospheric Administration

Agency Web Site: <u>www.noaa.gov</u>

National Total: \$230 million

Formula or Discretionary: Discretionary

If Formula NYS share

Are Municipalities eligible? Yes

Obligation Deadline: August 2010 – February 2011

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549

Note: NOAA intends to distribute habitat restoration funds through a Request for Proposals that will be announced in the Federal Register by the end of February. Other NOAA funds will be spent directly by NOAA.

Program Description: Cross Agency Support; provides for the research;

development; operations; salaries and related expenses; design, repair, rehabilitation, modification of facilities, and

construction of new facilities; and other general and

administrative activities

Federal Agency: National Aeronautics and Space Administration (NASA)

Agency Web Site: http://www.nasa.gov

National Total: \$50 million

Formula or Discretionary: Competitive

If Formula NYS share: TBD

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: www.economicrecovery.ny.gov

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Program Description: Academic Research Facilities Modernization

Federal Agency: National Science Foundation

Agency Web Site: http://www.nsf.gov

National Total: \$200 million

Formula or Discretionary: Competitive

If Formula NYS share: TBD

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Program Description: Major Research Equipment and Facilities Construction

Federal Agency: National Science Foundation

Agency Web Site: http://www.nsf.gov

National Total: \$400 million

Formula or Discretionary: Competitive

If Formula NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Program Description: Education and Human Resources

Federal Agency: National Science Foundation

Agency Web Site: http://www.nsf.gov

National Total: \$100 million

Formula or Discretionary: Competitive

If Formula NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

TITLE IV: ENERGY & WATER DEVELOPMENT

Program Description: Feasibility Studies

Federal Agency: Army Corps of Engineers

Agency Web Site: http://www.usace.army.mil/Pages/Default.aspx

National Total: \$25 million

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Potentially; depends upon the project.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

Program Description: Construction Projects

Federal Agency: Army Corps of Engineers

Agency Web Site: http://www.usace.army.mil/Pages/Default.aspx

National Total: \$2 billion, of which at least \$200 million must be for water-

related environmental infrastructure

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Potentially; depends upon the project.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: www.economicrecovery.ny.gov

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

Program Description: Operations and Maintenance Projects

Federal Agency: Army Corps of Engineers

Agency Web Site: http://www.usace.army.mil/Pages/Default.aspx

National Total: \$2.075 billion

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Potentially; depends upon the project.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

Program Description: Regulatory Projects

Federal Agency: Army Corps of Engineers

Agency Web Site: http://www.usace.army.mil/Pages/Default.aspx

National Total: \$25 million

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Potentially; depends upon the project.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

Program Description: Formerly Utilized Sites Remedial Action Program

(FUSRAP) Projects

Federal Agency: Army Corps of Engineers

Agency Web Site: http://www.usace.army.mil/Pages/Default.aspx

National Total: \$100 million

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? No.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: www.economicrecovery.ny.gov

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

Program Description: Energy Efficiency and Renewable Energy

Federal Agency: Department of Energy

Agency Web Site: http://www.eere.energy.gov/

National Total: \$2.5 billion

Formula or Discretionary: Discretionary (\$800 million earmarked for biomass and

\$400 million earmarked for geothermal projects)

If Formula NYS share: N/A

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: New York State Energy Research and Development

Authority (NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nyserda.org

Agency Technical Contact: EconomicStimulus@nyserda.org

1-866-NYSERDA (1-866-697-3732)

Program Description: Energy Efficiency and Block Grant Program

Federal Agency: Department of Energy

Agency Web Site: www.eere.energy.gov

National Total: \$3.2 billion

Formula or Discretionary: \$2.8 billion by formula; \$400 million discretionary

If Formula NYS share: \$13.6 million through State Energy Program; \$18 million

for small municipalities; share of funds for large

municipalities TBD

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: If a customer of New York Power Authority (NYPA),

contact NYPA. If a customer of Long Island Power Authority (LIPA), contact LIPA. Otherwise, contact New York State Energy Research and Development Authority

(NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nypa.gov; www.lipower.org; www.nyserda.org

Agency Technical Contact: NYPA LIPA NYSERDA

<u>Energy2009@nypa.gov</u> Mike Deering EconomicStimulus@nyserda.org 914-681-6770 mdeering@lipower.org 1-866-NYSERDA

516-719-9811

Program Description: Weatherization Assistance Program

to increase the energy efficiency of homes and rental units

for low-income households

Federal Agency: U.S. Department of Energy (DoE)

Agency Web Site: http://apps1.eere.energy.gov/wip/

National Total: \$5 billion

Formula or Discretionary: Formula

If Formula NYS share: Approximately \$404 million

Are Municipalities

eligible? Yes

Obligation Deadline: September 30, 2010

State Agency: Division of Housing and Community Renewal

Agency Web Site: www.economicrecovery.ny.gov

www.nysdhcr.gov

Agency Technical Contact: Lori Harris, laharris@nysdhcr.gov

(518)473-3890

Program Description: State Energy Program

Federal Agency: Department of Energy

Agency Web Site: www.eere.energy.gov

National Total: \$3.1 billion

Formula or Discretionary: Formula; Statute and regulations restrict program

eligibility to households with incomes below 60% of state

median income.

If Formula NYS share: \$126 million

Are Municipalities eligible? Yes, for a portion of programs to be included in the State's

approved SEP Plan

Obligation Deadline: Unspecified

State Agency: If a customer of New York Power Authority (NYPA),

contact NYPA. If a customer of Long Island Power Authority (LIPA), contact LIPA. Otherwise, contact New York State Energy Research and Development Authority

(NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nypa.gov; www.lipower.org; www.nyserda.org

Agency Technical Contact: NYPA LIPA NYSERDA

Energy2009@nypa.gov Mike Deering EconomicStimulus@nyserda.org 914-681-6770 mdeering@lipower.org 1-866-NYSERDA

516-719-9811

Program Description: Advanced Battery Manufacturing Grants

Federal Agency: Department of Energy

Agency Web Site: www.eere.energy.gov

National Total: \$2.0 billion

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? TBD

Obligation Deadline: Unspecified

State Agency: New York State Energy Research and Development

Authority (NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nyserda.org

Agency Technical Contact: EconomicStimulus@nyserda.org

Program Description: Alternative Fueled Vehicle Pilot Program

Federal Agency: Department of Energy

Agency Web Site: www.eere.energy.gov

National Total: \$300 million

Formula or Discretionary: Discretionary

If Formula NYS share:

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: New York State Energy Research and Development

Authority (NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nyserda.org

Agency Technical Contact: EconomicStimulus@nyserda.org

Program Description: Transportation Electrification Program

Federal Agency: Department of Energy

Agency Web Site: www.eere.energy.gov

National Total: \$400 million

Formula or Discretionary: Discretionary

If Formula NYS share:

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: New York State Energy Research and Development

Authority (NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nyserda.org

Agency Technical Contact: EconomicStimulus@nyserda.org

Program Description: Energy Efficiency Appliance Rebate Program

Federal Agency: Department of Energy

Agency Web Site: www.eere.energy.gov

National Total: \$300 million

Formula or Discretionary: Formula

If Formula NYS share: TBD

Are Municipalities eligible? No

Obligation Deadline: Unspecified

State Agency: New York State Energy Research and Development

Authority (NYSERDA)

Agency Web Site: www.economicrecovery.ny.gov

www.nyserda.org

Agency Technical Contact: EconomicStimulus@nyserda.org

Program Description: Electricity Delivery and Energy Reliability

Federal Agency: Department of Energy

Agency Web Site: http://www.oe.energy.gov

National Total: \$4.5 billion

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: Department of Public Service and New York Power

Authority Involved Agencies

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.dps.state.ny.us

Agency Technical Contact: Kimberly Harriman

Kimberly_harriman@dps.state.ny.us

(518) 474-1585

Program Description: Fossil Energy Research and Development

Federal Agency: Department of Energy

Agency Web Site: http://www.fossil.energy.gov

National Total: \$3.4 billion

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: New York State Energy Research and Development

Authority (NYSERDA)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nyserda.org

Agency Technical Contact: EconomicStimulus@nyserda.org

Program Description: Lab Construction & Energy Research Projects

Federal Agency: Department of Energy

Agency Web Site: http://www.energy.gov

National Total: \$1.6 billion

Formula or Discretionary: Competitive

If Formula NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Ehamilton@nystar.state.ny.us

Program Description: Innovative Technology Loan Guarantee Program (amount

for cost of guaranteed Loans authorized by Energy Policy

Act of 2005) (Act – Title 17)

Federal Agency: Department of Energy/Advanced Research Projects

Agency

Agency Web Site: http://www.energy.gov

National Total: \$6 billion

Formula or Discretionary: Federal Loan Guarantee

If Formula NYS share: TBD

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Ehamilton@nystar.state.ny.us

Program Description: Defense Environmental Cleanup Projects

Federal Agency: Department of Energy

Agency Web Site: <u>www.energy.gov</u>

National Total: \$5.127 billion

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? No.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549

Program Description: Federal Share of Cost of Technology Investments

(Secretary to provide financial assistance for use in paying an amount equal to not more than 50 percent of the cost of qualifying advanced grid technology investments made by the utility or other party to carry out demonstration project)

Federal Agency: Department of Energy

Agency Web Site: http://www.energy.gov

National Total: TBD

Formula or Discretionary: Both

If Formula NYS share: TBD

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Ehamilton@nystar.state.ny.us

Program Description: Renewable Energy and Electric Power Transmission

Projects

Federal Agency: Department of Energy

Agency Web Site: http://www.energy.gov

National Total: Loan Guarantee Program

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? Yes

Obligation Deadline: Unspecified

State Agency: Department of Public Service an Involved Agency

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dps.state.ny.us

Agency Technical Contact: Kimberly A. Harriman

Kimberly_harriman@dps.state.ny.us

(518) 474-1585

TITLE VI: HOMELAND SECURITY

Program Description: Firefighter Assistance Grants

Federal Agency: FEMA

Agency Web Site: <u>www.fema.gov</u>

National Total: \$210,000,000

Formula or Discretionary: Discretionary

If Formula NYS share: N/A

Are Municipalities eligible? Fire Department & Ambulance Squads

Obligation Deadline: N/A

State Agency: Department of Homeland Security

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.security.state.ny.us

Agency Technical Contact: Thomas Gallagher

1-518-457-9219

tgallagher@security.state.ny.us



Program Description: Leaking Underground Storage Tank Trust Fund

Federal Agency: U.S. Environmental Protection Agency

Agency Web Site: <u>www.epa.gov</u>

National Total: \$200 million

Formula or Discretionary: Formula/Discretionary

If Formula NYS share: There is an allocation formula, which allows for some

discretion. OMB needs to determine the details. Program

appears to waive state match.

Are Municipalities eligible? No.

Obligation Deadline: Unspecific.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.nv.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549

Program Description: Clean Water State Revolving Fund (CWSRF)

Federal Agency: US Environmental Protection Agency

Agency Web Site: http://www.epa.gov/owm/cwfinance/cwsrf/

National Total: \$4.0 Billion

Formula or Discretionary: Formula

If Formula, NYS share: \$432.5 Million

Are Municipalities eligible? Yes

Obligation Deadline: 1 Year from enactment

State Agency: NYS Environmental Facilities Corporation

Agency Web Site(s): <u>www.economicrecovery.ny.gov</u>

http://www.nysefc.org/

Agency Technical Contact: Matthew J. Millea

millea@nysefc.org (518) 402-6951

Program Description: Drinking Water State Revolving Fund (DWSRF)

Federal Agency: US Environmental Protection Agency

Agency Web Site: http://www.epa.gov/safewater/dwsrf/index.html

National Total: \$2.0 Billion

Formula or Discretionary: Formula

If Formula, NYS share: \$87.5 Million

Are Municipalities eligible? Yes

Obligation Deadline: 1 Year from enactment (Anticipated February 17, 2010)

State Agency: NYS Department of Health

Agency Web Site(s): <u>www.economicrecovery.ny.gov</u>

http://www.health.state.ny.us/environmental/water/drinking

/water.htm

http://www.nysefc.org/home/index.asp?page=15

Agency Technical Contact: Wendy Saunders

wes02@health.state.ny.us

518-473-0458

Robert Reed

rwr01@health.state.ny.us

518-474-8565

Program Description: Brownfields/ State & Tribal Assistance Grants

Federal Agency: U.S. Environmental Protection Agency

Agency Web Site: <u>www.epa.gov</u>

National Total: \$100 million

Formula or Discretionary: Discretionary

If Formula NYS share:

Are Municipalities eligible? Yes.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549

Program Description: Diesel Emission Reduction Act (DERA) Programs

Federal Agency: U.S. Environmental Protection Agency

Agency Web Site: <u>www.epa.gov</u>

National Total: \$300 million

Formula or Discretionary: 30% of funds (\$90 million) allocated through a formula;

70% of funds allocated through discretionary actions.

If Formula NYS share: Estimated at \$1.7 million if all 50 states apply for a share of

the formula funds.

Are Municipalities eligible? Formula – not directly.

Discretionary - yes.

Obligation Deadline: Not provided.

State Agency: Formula - Department of Environmental Conservation

Discretionary - NYSERDA

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.ny.gov www.nyserda.org

Agency Technical Contact: Formula - Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549

Discretionary – Ruth Horton

rmh@nyserda.org (518) 862-1090

Program Description: Wildland Fire Management - State and Private Forestry

Federal Agency: U.S. Forest Service

Agency Web Site: <u>www.fs.fed.gov</u>

National Total: \$500 million of which \$250 million is available for state

and private lands; \$50 million for competitive biomass

grants

Formula or Discretionary: Discretionary.

If Formula NYS share: N/A.

Are Municipalities eligible? Yes.

Obligation Deadline: Not provided.

State Agency: Department of Environmental Conservation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.dec.nv.gov

Agency Technical Contact: Michael Lenane

mflenane@gw.dec.state.ny.us

(518) 402-8549

Program Description: Cultural Funding

Federal Agency: National Endowment for the Arts

Agency Web Site: www.nea.org

National Total: \$50 million (40% flowing through state agencies)

Formula or Discretionary Program: Formula

If Formula NYS share: TBD

Are Municipalities eligible? TBD

Obligation Deadline: TBD

State Agency: New York State Council of the Arts

Agency Web Site: www.economicrecovery.ny.gov

www.nysca.org

Agency Technical Contact: Matthew Jackson

mjackson@nysca.org

TITLE VIII: DEPARTMENTS OF LABOR, HEALTH AND HUMAN SERVICES, AND EDUCATION, AND RELATED AGENCIES
SERVICES, AND EDUCATION, AND RELATED AGENCIES

Program Description: Health Resources & Services – Community Health Centers

- Infrastructure

Federal Agency: Department of Health and Human Services

Agency Web Site: http://www.hrsa.gov/

National Total: \$1.5 billion

Formula or Discretionary: Discretionary

If Formula, NYS share: N/A

Are Municipalities eligible? No

Obligation Deadline: Unknown

State Agency: None

Agency Web Site(s): www.economicrecovery.ny.gov

Agency Technical Contact: Wendy Saunders

wes02@health.state.ny.us

518-473-0458

Robert Reed

rwr01@health.state.ny.us

518-474-8565

Program Description: Community Services Block Grant

Federal Agency: Department of Health & Human Services

Agency Web Site: http://www.hhs.gov/

National Total: \$1,000,000,000

Formula or Discretionary: Formula

If Formula NYS share: Approximately \$110,000,000 (11%)

Are Municipalities eligible? No

Obligation Deadline: End FFY 2010

State Agency: Department of State

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://dos.state.ny.us

Agency Technical Contact: George Stafford, Deputy Secretary of State

(518) 474-3643

george.stafford@dos.state.ny.us

Program Description: Health Information Technology

Federal Agency: National Institute of Standards & Technologies (NIST)

Agency Web Site: http://www.nist.gov

National Total: \$20 million

Formula or Discretionary: Competitive & discretionary

If Formula NYS share: TBD

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Ehamilton@nystar.state.ny.us

TITLE XII: TRANSPORTATION, HOUSING AND URBAN DEVELOPMENT, AND RELATED AGENCIES

Program Description: FAA – Grants-in-Aid for Airports

Federal Agency: Federal Aviation Administration, USDOT

Agency Web Site: <u>www.faa.gov</u>

National Total: \$1.100 billion

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? Yes, if they own an airport

Obligation Deadline: 50% of funds awarded within 120 days of enactment

Remainder awarded within 1 year of enactment

State Agency: New York State Department of Transportation

Agency Web Site: www.economicrecovery.ny.gov

www.nysdot.gov

Agency Technical Contact: Seth Edelman

518-457-8442

sedelman@dot.state.ny.us

Vicky Forando 518-485-5402

vforando@dot.state.ny.us

Program Description: FAA – Supplemental Funding for Facilities and Equipment

Federal Agency: Federal Aviation Administration, USDOT

Agency Web Site: <u>www.faa.gov</u>

National Total: \$200 million

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? No

Obligation Deadline: Funds are available until September 30, 2010

State Agency: NA

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

Agency Technical Contact: NA

Program Description: FHWA - Highway Infrastructure Investment

Federal Agency: Federal Highway Administration - USDOT

Agency Web Site: www.fhwa.dot.gov

National Total: \$27.500 billion

Formula or Discretionary: Formula

If Formula NYS share: \$1.120 billion

Are Municipalities eligible? Yes

Obligation Deadline: 50% obligated within 120 days of apportionment, else

redistributed. Remainder within 1 year after

apportionment, else redistributed

State Agency: New York State Department of Transportation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nysdot.gov

Agency Technical Contact: Mark Silo

msilo@dot.state.ny.us

518-457-2470

Vicky Forando 518-485-5402

vforando@dot.state.ny.us

Program Description: Discretionary Grants for a National Surface Transportation

System

Federal Agency: US Department of Transportation

Agency Web Site: www.dot.gov

National Total: \$1.500 billion

Formula or Discretionary: Discretionary to states, local governments, and transit

agencies

If Formula NYS share: NA

Are Municipalities eligible? Yes

Obligation Deadline: Funds are available until September 30, 2010

USDOT must announce grants within 1 year of enactment

State Agency: New York State Department of Transportation

Agency Web Site: www.economicrecovery.ny.gov

www.nysdot.gov

Agency Technical Contact: Vicky Forando

518-485-5402

vforando@dot.state.ny.us

Program Description: FRA – Capital Grants to the National Railroad Passenger

Corporation (Amtrak)

Federal Agency: Federal Railroad Administration, USDOT

Agency Web Site: <u>www.fra.dot.gov</u>

National Total: \$1.300 billion

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? No

Obligation Deadline: Funds are available until September 30, 2010

State Agency: NA

Agency Web Site: www.economicrecovery.ny.gov

Agency Technical Contact: NA

Program Description: FRA – Capital Assistance for High Speed Rail Corridors

and Intercity Passenger Rail Service

Federal Agency: Federal Railroad Administration, USDOT

Agency Web Site: www.fra.dot.gov

National Total: \$8.000 billion

Formula or Discretionary: Discretionary

If Formula NYS share: NA

Are Municipalities eligible? No

Obligation Deadline: Funds are available until September 30, 2010

State Agency: New York State Department of Transportation

Agency Web Site: www.economicrecovery.ny.gov

www.nysdot.gov

Agency Technical Contact: Don Hannon

518-457-4033

dhannon@dot.state.ny.us

Vicky Forando 518-485-5402

vforando@dot.state.ny.us

Program Description: FTA- Fixed Guideway Modernization - Provides funds to

modernize and rehabilitate fixed-guideway systems

Federal Agency: Federal Transit Administration, USDOT

Agency Web Site: <u>www.fta.dot.gov</u>

National Total: \$750 million

Formula or Discretionary: Formula

If Formula NYS share: \$211.9 million (estimated)

Are Municipalities eligible? Funds apportioned directly to the Metropolitan

Transportation Authority (MTA) and the Niagara Frontier

Transportation Authority (NFTA).

Obligation Deadline: 50% obligated within 180 days of apportionment, else

redistributed. Remainder within 1 year after

apportionment, else redistributed

State Agency: Funds apportioned directly to the Metropolitan

Transportation Authority (MTA) and the Niagara Frontier

Transportation Authority (NFTA).

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

http://mta.info/ www.nfta.com

Agency Technical Contact: NYSDOT – Tom Vaughan

518-457-7248

tvaughan@dot.state.ny.us

Vicky Forando 518-485-5402

vforando@dot.state.ny.us

MTA – Cboylan@mtahq.org NFTA - Melissa Golen@nfta.com

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; www.recovery.gov and www.economicrecovery.ny.gov should be regularly monitored.

Program Description: FTA - Transit Capital Assistance: Provides transit capital

assistance to urbanized and non-urbanized (rural) areas

Federal Agency: Federal Transit Administration, USDOT

Agency Web Site: <u>www.fta.dot.gov</u>

National Total: \$6.900 billion

Formula or Discretionary: Formula

If Formula NYS share: \$1.0 billion (estimated)

Are Municipalities eligible? Funds apportioned directly to eligible designated recipients

authorized to receive FTA funding.

Obligation Deadline: 50% obligated within 180 days of apportionment, else

redistributed. Remainder within 1 year after

apportionment, else redistributed

State Agency: Urbanized area public transportation systems

NYSDOT for rural transit projects.

Agency Web Site: www.economicrecovery.ny.gov

www.nysdot.gov

Agency Technical Contact: Tom Vaughan

518-457-7248

tvaughan@dot.state.ny.us

Vicky Forando 518-485-5402

vforando@dot.state.ny.us

Program Description: FTA – New Starts/Small Starts - Provides the federal share

of new fixed-guideway projects, either new systems or

extensions to existing systems

Federal Agency: Federal Transit Administration, USDOT

Agency Web Site: <u>www.fta.dot.gov</u>

National Total: \$750 million

Formula or Discretionary: Discretionary. Priority given to projects that are currently

in construction or are able to obligate funds within 150

days of enactment.

If Formula NYS share: NA

Are Municipalities eligible? Must be an existing designated recipient for purposes of

receiving FTA funding.

Obligation Deadline: Funds are available until September 30, 2010

Agency Web Site: www.economicrecovery.ny.gov

www.nysdot.gov

Agency Technical Contact: Tom Vaughan

518-457-7248

tvaughan@dot.state.ny.us

Vicky Forando 518-485-5402

vforando@dot.state.ny.us

Program Description: Public Housing Capital Fund

Supplemental Grants to Public Housing Authorities (PHAs)

for Capital Needs

Federal Agency: U.S. Department of Housing and Urban Development

Agency Web Site: www.hud.gov

National Total: \$4 billion

Formula or Discretionary: Both - \$3B Formula / \$1B Discretionary

If Formula NYS share: N/A

Are Municipalities

eligible? No

Obligation Deadline: HUD

Formula funds – March 18, 2008;

Competitive grants – September 30, 2009;

PHAs

"within one year of the date on which the funds become

available to the agency for obligation"

[PHAs must expend 60% of funds within 2 years of

obligation and 100% within 3 years.]

State Agency: Division of Housing and Community Renewal

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nysdhcr.gov

Agency Technical Contact: Brian McCarthy,

518-473-2518

bmccarthy@nysdhcr.gov

Program Description: Native American Housing Block Grants

Federal Agency: U.S. Department of Housing and Urban Development

Agency Web Site: www.hud.gov

National Total: \$510 million

Formula or Discretionary: Both

\$255M shall be distributed by Formula\$255M may be distributed by Competition

If Formula NYS share: N/A

Are Municipalities

eligible?

Obligation Deadline: Formula – by March 16, 2009

Discretionary – by September 20, 2009;

[Recipients must obligate 100% within 1 year of

the date funds become available; expend 50% of the funds within 2 years and 100% of the funds within 3 years.]

State Agency: Division of Housing and Community Renewal

Agency Web Site: www.economicrecovery.ny.gov

www.nysdhcr.gov

Agency Technical Contact: Brian McCarthy,

518-473-2518

bmccarthy@nysdhcr.gov

Program Description: Community Development Block Grant Program

for housing and community revitalization and economic

development projects

Federal Agency: U.S. Department of Housing and Urban Development

Agency Web Site: www.hud.gov

National Total: \$1 billion

Formula or Discretionary: Formula

If Formula NYS share: New York State-administered – \$13,204,470

Direct to New York municipalities – \$94,716,378

Are Municipalities

eligible? Yes – non-entitlement communities

Obligation Deadline: September 30, 2010

State Agency: NYS Housing Trust Fund Corporation

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nysocr.org

Agency Technical Contact: Joseph Rabito

518 474-2057

irabito@nysdhcr.gov

Program Description: Homeless Prevention Fund

Federal Agency: Department of Housing and Urban Development

Agency Web Site: www.hud.gov

National Total: \$1.5 billion

Formula or Discretionary: Formula

If Formula NYS share: \$142 million

Are Municipalities eligible? Yes

Obligation Deadline: Secretary of HUD to publish notice establishing

requirements to carry out this provision within 30 days of enactment. Grantees shall expend at least 60 percent of funds within 2 years of the date that funds become

available and 100 percent of funds within 3 years of such date, and the secretary may recapture unexpended funds in

violation of the 2 year expenditure requirement and

reallocate such funds.

State Agency: Office of Temporary and Disability Assistance

Agency Web Site: www.economicrecovery.ny.gov

http://www.otda.state.ny.us/main/

Agency Technical Contact: Beth Berlin

Elizabeth.Berlin@otda.state.ny.us

518-486-5064

Program Description: Assisted Housing Stability and

Energy and Green Retrofit Investments

to provide assistance for energy retrofits for existing Section 202, Section 811, and multi-family projects with

Section 8 subsidies

Federal Agency: U.S. Department of Housing and Urban Development

Agency Web Site: www.hud.gov/offices/hsg/omhar/

National Total: \$2.25 billion

- \$2 billion to fund 12-month contracts to owners for

project-based rental assistance

\$250 for grants or loans for "energy retrofit and green

investments in such assisted housing"

Formula or Discretionary: Discretionary

- "such grants or loans shall be provided through the

policies, procedures, contracts and transactional

infrastructure of the authorized programs administered

by [HUD's] Office of Affordable Housing

Preservation on such terms and conditions as the

[HUD] Secretary deems appropriate'

If Formula NYS share: N/A

Are Municipalities

eligible?

Obligation Deadline: Funding available until September 30, 2012;

[Recipients of energy and green retrofit grants or loans must expend these funds within 2 years of receipt.]

State Agency: Division of Housing and Community Renewal

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.nysdhcr.gov

Agency Technical Contact: Brian McCarthy, bmccarthy@nysdhcr.gov

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; www.recovery.gov and www.economicrecovery.ny.gov should be regularly monitored.

Program Description: Neighborhood Stabilization Program

for redevelopment of abandoned and foreclosed homes

Federal Agency: U.S. Department Housing and Urban Development

Agency Web Site: www.hud.gov

National Total: \$2 billion

Formula or Discretionary: Discretionary -- by competition

If Formula NYS share: N/A

Are Municipalities

eligible? Yes

Obligation Deadline: HUD must obligate funds to grantees by February 16, 2010

[Grantees must expend 50% of funds within 2 years of the date funds become available to them and 100% within 3

years.]

State Agency: NYHOMES

Agency Web Site: www.economicrecovery.ny.gov

www.nyhomes.org

Agency Technical Contact: Brian Segel

212-688-4000

bsegel@nyhomes.org

Program Description: HOME Investment Partnerships Program

for Affordable Housing Capital Funding for

Projects funded with Low Income Housing Credit

Federal Agency: U.S. Department of Housing and Urban Development

Agency Web Site: www.hud.gov

National Total: \$2.25 billion

Formula or Discretionary: Formula

If Formula NYS share: Approximately \$245 million

Are Municipalities

eligible?

Obligation Deadline: State Housing Credit Agencies

75% committed to projects by February 16, 2010
100% committed to projects by September 30, 2011
[Project owners must expend 75% of funds by February 16,

2011 and 100% by February 16, 2012]

State Agency: NYS Division of Housing and Community Renewal

Agency Web Site: www.economicrecovery.ny.gov

www.nysdhcr.gov

Agency Technical Contact: Gary Hallock

518-474-3022

ghallock@nysdhcr.gov

TITLE XIII: HEALTH INFORMATION TECHNOLOGY

Program Description: Health Care Information Enterprise Integration Research

Centers (program of assistance to institutions of higher education to establish multidisciplinary centers that

includes cutting-edge, multidisciplinary research on health

delivery)

Federal Agency: National Institute of Standards & Technology (NIST)

Agency Web Site: http://www.nist.gov

National Total: \$300 million

Formula or Discretionary: Competitive grants

If Formula NYS share

monitored.

Are Municipalities eligible? No

Obligation Deadline: TBD

State Agency: Empire State Development (ESDC) & NYS Foundation for

Science, Technology and Innovation (NYSTAR)

Agency Web Site: www.economicrecovery.ny.gov

http://www.nystar.state.ny.us/

Agency Technical Contact: Ed J. Hamilton,

Executive Deputy Director

ESDC/NYSTAR 518-292-5709

Ehamilton@nystar.state.ny.us

DIVISION B: TAX, UNEMPLOYMENT, HEALTH, STATE FISCAL RELIEF, AND OTHER PROVISIONS

TITLE I: TAX PROVISIONS

Program Description: \$1.5 Billion Increase in New Markets Tax Credit for 2008

and 2009

Federal Agency: Treasury/Community Development Financial Institutions

Fund (CDFI)

Agency Web Site: www.ustreas.gov; http://www.cdfifund.gov/

National Total: \$5 billion for 2008 and \$5 billion for 2009

Formula or Discretionary: Discretionary/applications for allocation to CDFI

If Formula NYS share: NA

Are Municipalities eligible? Yes (must be represented on Certified Development

Entities (CDEs))

Obligation Deadline: Program scheduled to expire in 2009.

State Agency: Empire State Development

Agency Web Site: www.economicrecovery.ny.gov

www.empire.state.ny.us

Agency Technical Contact: Kristen Mucitelli-Heath

Kmucitelli-heath@empire.state.ny.us

(315) 425-9110

Program Description: Recovery Zone Bonds

Federal Agency: unclear – Treasury/IRS

Agency Web Site: www.irs.gov

National Total: \$10 billion for recovery zone economic development bonds

(taxable government bonds or "tax credit bonds") and \$15 billion for recovery zone facility bonds (tax exempt bonds, not subject to aggregate annual State private activity bond

volume cap limit).

Formula or Discretionary: Formula

If Formula NYS share: based on NYS employment decline relative to that of the

nation

Are Municipalities eligible? Yes, through authorized issuers (e.g., IDAs)

Obligation Deadline: January 1, 2011

State Agency: Empire State Development

Agency Web Site: www.economicrecovery.ny.gov

www.empire.state.ny.us

Agency Technical Contact: Kristen Mucitelli-Heath

Kmucitelli-heath@empire.state.ny.us

(315) 425-9110

Program Description: Industrial Development Bonds – Temporary expansion of

availability of IDBs to facilities creating intangible

property.

Federal Agency: Treasury Dept./IRS

Agency Web Site: www.irs.gov

National Total: Subject to existing State volume cap allocation

Formula or Discretionary: IRS formula unless State-enacted alternative formula.

If Formula NYS share: Based on population.

Are Municipalities eligible? IDAs and other authorized issuers.

Obligation Deadline: For bonds issued in 2009 and 2010.

State Agency: Empire State Development/NYS Division of the Budget

Agency Web Site: <u>www.economicrecovery.ny.gov</u>

www.empire.state.ny.us

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