

# Stage 5:

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## Gathering Data and Assessing Results

### Topics

- What Does Evaluation Measure?
- Methods of Data Collection
  - Surveys
  - Interviews
  - Observations
  - Records
  - Meetings
- Quality of data collection
  - Reliability
  - Validity
  - Cultural appropriateness
- Data Analysis
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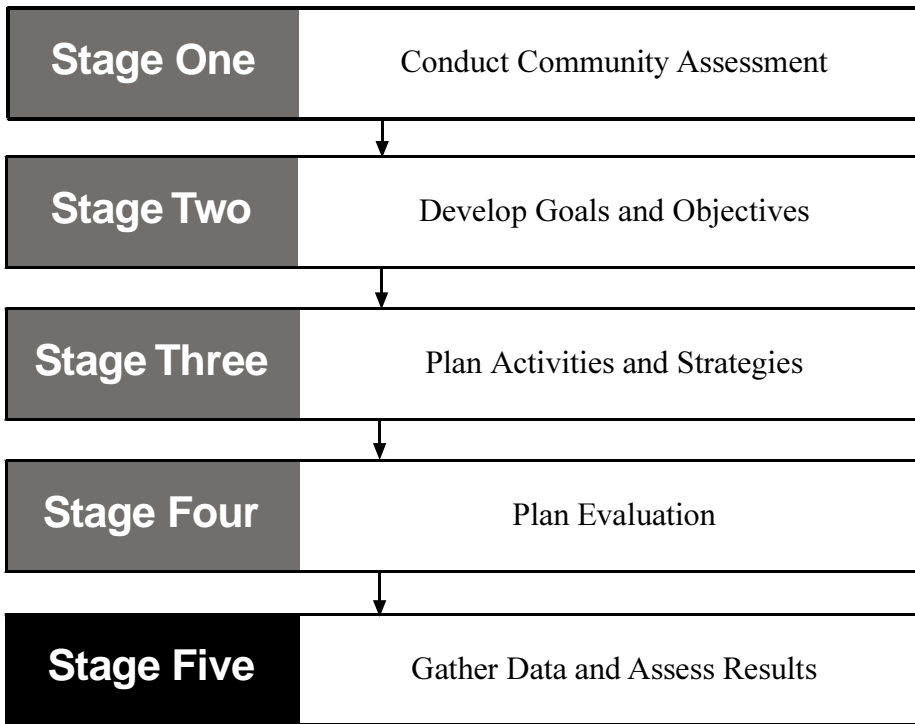
### Figures

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*Figure 15:* Methods for Collecting Data

### Tool Kit

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- Workform for Ways to Measure Process
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**Identify Evaluation Criteria**

What variables or outcomes will each evaluation (either process or summative) measure?



**Consider for Best Results**

Review the evaluation objectives identified in Stage Four.  
What are the variables or outcomes for each objective?

**Establish How to Measure Criteria**

Review methods of data collection.  
Select method appropriate for each variable or outcome that will be measured.  
Develop measurement tools



**Consider for Best Results**

Consider figure 15 for advantages / disadvantages of data collection methods.  
Consider ways to protect bias when developing measurement tools.  
Cultural perspectives will affect success with data collection.

**Gather data and Conduct Analysis**

Compile, code and enter data into statistical analysis program for analysis.  
For qualitative data, analyze the text for themes.

Thus far, Stages 1-4 have described program planning considerations for development and implementation of outreach activities and for evaluating what is accomplished and what can be improved. Assessment of actual implementation and outcomes, called process and summative evaluation, provides accountability and helps inform program decisions or improvements. Stage 4 addressed several considerations for planning how process and summative evaluation will be conducted, including:

- Determining evaluation objectives
- Determining more specific priorities for what should be discovered, tested, or verified
- Determining types of data to collect, when, and from whom

In Stage 5, evaluation planning continues by considering what evidence will be measured or observed and how to best measure or observe it. This chapter will address methods of collecting data and analyzing results.

**What Does Evaluation Measure?**

The basic question answered by measurement and analysis is how data collected from the

program compares with program evaluation criteria. *Program evaluation criteria* are what determine evaluation objectives and answers to questions posed by you and your stakeholders.

Thus, criteria that evaluation might measure, depending on what you want from the evaluation (as discussed in Stage 4), include:

- Outreach objectives – if carefully constructed, as seen in Stage 2, each objective includes specific indicators and criteria;
- Characteristics of the outreach process considered important for reaching success (addressed in process evaluation);
- Information about implementation that is important for program replication (addressed in process evaluation);
- Assumptions about cause and effect of strategies – relationship between independent and dependent variables ;
- Outcomes not already measured in outreach objectives .

In planning for data collection, think broadly about which evaluation criteria correspond to what you and your stakeholders want to find out.

**Figure 14 Indicators of Selected Outreach Objectives**

Type of Indicator	Example Means of Obtaining Data
<b>Awareness</b>	<ul style="list-style-type: none"> <li>• Written instruments (e.g. true-false items, completion items)</li> <li>• Proxy measure (e.g. number of pamphlets picked up)</li> </ul>
<b>Knowledge</b>	<ul style="list-style-type: none"> <li>• Written/oral test (e.g. completion items, multiple-choice items, true-false items)</li> </ul>
<b>Attitudes</b>	<ul style="list-style-type: none"> <li>• Written instrument (e.g. Likert scale, cumulative scale, value scale, forced choice)</li> </ul>
<b>Behavior</b>	<ul style="list-style-type: none"> <li>• Self-report written instrument (e.g., completion, short-answer essay, multiple-choice, true-false)</li> <li>• Observation (obtrusive and unobtrusive)</li> <li>• Proxy measures (e.g. number of people who accessed a website, number of requests received for materials)</li> </ul>
<b>Skills</b>	<ul style="list-style-type: none"> <li>• Observation (obtrusive and unobtrusive)</li> <li>• Skills test (e.g. able to retrieve specific type of clinical research)</li> </ul>

The next section describes the instruments and tools for various methods of data collection. To help with decision making about what criteria are measured and what methods will be used, complete the Workforms provided in Stage 5 Tool Kit. For completed workform samples, please refer to Appendices L and N.

### Methods of Data Collection

(See McKenzie, 1997 (1) for a thorough description of the data collection methods covered briefly in this section.)

Written questionnaires, telephone interviews, and face-to-face interviews are methods of collecting data from *respondents*. Respondents are the individuals who supply this information, so the measures are called *self-report*. Self-reported results are always influenced by the person's ability to recall accurately ("When were you last on the Internet?" and report honestly ("I use MEDLINE*plus* daily"). Offering anonymity is helpful in gaining honest answers.

*Surveys* are instruments that present information to a respondent in writing or pictures requiring a written response – a check, circle, word, sentence, or several sentences. Surveys can be conducted by mail, in person, by telephone, or electronically.

Survey research is one of the most common methods used in outreach evaluation, e.g.,

- For a community or audience assessment
- For pre- and and posttests in a process evaluation to determine progress or improve quality
- For followup questions asked *after* an outreach activity to determine what has happened as a result of outreach participation

*Interviews* are structured dialogues conducted between two (or more) persons, in which a respondent answers questions posed by an interviewer. The questions may be predeter-

mined, but the interviewer is free to pursue interesting responses. Focus group interviews take advantage of small group dynamics (usually eight to twelve individuals). The open-ended nature of interviews or focus groups allows participants to provide answers in their own words and allows researchers to better understand issues from the perspective of the audience.

*Observations* require that one or more observers devote attention to the behavior of an individual or group in a natural setting. Protocols about who or what to observe, when and how long, and the method of recording the information (e.g., a questionnaire or tally sheet) can guide observers. Or, an observer may simply record an account of events that occurred within the prescribed time period, without following a guide for what to observe, for how long, etc.

*Records* are systematic accounts of regular occurrences consisting of such things as sign-in sheets, interlibrary loan tallies, document service requests, computer log files.

*Meetings* are a good source of information for the formative planning stages of a program. For example, a meeting with contacts of the targeted audience and outreach staff will be helpful for effective planning of the implementation and evaluation. The meeting structure can be flexible to avoid limiting the scope of the information gained. Possible biases may occur if those involved feel they need to give "acceptable" responses rather than discussing actual concerns.

Figure 15 summarizes some advantages and disadvantages of various data collection methods (2).

### Quality of Data Collection

"Quality control" criteria to guide your data collection decisions include *reliability*, *validity*, and *cultural appropriateness*.

**Figure 15 Methods for Collecting Data**

	<b>Advantages</b>	<b>Disadvantages</b>
<b>Questionnaire</b>	<ul style="list-style-type: none"> <li>• Provides answers to a variety of questions</li> <li>• Can be answered anonymously</li> <li>• Allows time before responding</li> <li>• Can be administered to many people, at distant sites, simultaneously</li> <li>• Imposes uniformity by asking all respondents the same thing</li> </ul>	<ul style="list-style-type: none"> <li>• Are not as flexible as interviews</li> <li>• People can often express themselves better orally than in writing</li> <li>• Getting people to complete questionnaires can be difficult</li> <li>• Good questions take time to develop and test</li> </ul>
<b>Interview</b>	<ul style="list-style-type: none"> <li>• Can be used for non-native speakers or those who might have difficulty with the wording of written questions</li> <li>• Permits flexibility and allows the interviewer to pursue unanticipated lines of inquiry</li> <li>• Appropriate to get in-depth information for sensitive topics</li> </ul>	<ul style="list-style-type: none"> <li>• Is time consuming</li> <li>• Sometimes the interviewer can unduly influence the responses of the interviewee</li> <li>• Limits sample size</li> </ul>
<b>Observation</b>	<ul style="list-style-type: none"> <li>• Can be valuable if self-report measures may not be accurate</li> <li>• Can be seen as a report of what actually took place presented by a neutral outsider(s)</li> </ul>	<ul style="list-style-type: none"> <li>• Presence of observers may alter what takes place</li> <li>• Time to develop the instrument and train observers</li> <li>• Time to conduct sufficient number of observations</li> <li>• There are usually scheduling problems</li> <li>• Limits sample size</li> </ul>
<b>Records</b>	<ul style="list-style-type: none"> <li>• Often viewed as objective and therefore credible</li> <li>• Set down events at the time of occurrence, rather than in retrospect</li> <li>• Can be unobtrusive</li> <li>• Can have a low impact on staff time and resources if records are already kept for purposes other than the evaluation</li> </ul>	<ul style="list-style-type: none"> <li>• May give incomplete data</li> <li>• Examining them and extracting relevant information can be time-consuming</li> <li>• There may be ethical or legal constraints in examining certain records</li> <li>• If records are kept only for the purpose of evaluation, may be seen by staff as burdensome</li> </ul>
<b>Meetings</b>	<ul style="list-style-type: none"> <li>• Good for formative evaluation</li> <li>• Can be low cost</li> <li>• Permit flexibility</li> </ul>	<ul style="list-style-type: none"> <li>• Possible bias if participants feel unable to be candid</li> </ul>

Adapted from: *How to Assess Program Implementation*, by J.A. King, L. L. Morris, and C.T. Fitz-Gibbon, 1987, Sage Publications.

**Reliability** is a measure of the consistency of the data collection instrument. A reliable instrument gives the same (or nearly the same) result every time. In *test-retest reliability*, the survey should produce the same results if the same person completed it twice. *Interrater reliability* comes into play when information is collected by different observers or raters; there should be consistency or agreement between them about the measurements. For example, two observers should give similar scores when rating the search skill competence of class participants.

**Validity** refers to whether the instrument accurately measures what was intended. A valid instrument increases the chance that you are measuring what you want to measure, thus ruling out other possible explanations for the results.

For example, an issue of validity might be whether you think a follow-up questionnaire can accurately measure use of PubMed for clinical decision making. Respondents may want to answer in a way that will reflect well on themselves, while not being very realistic.

To rigorously establish the validity and reliability of data collection methods gets into a technical area that may require outside assistance. For a thorough description of *instrumentation*, the technical term for selecting or developing measuring devices, readers are referred to Issac (3). For example, Isaac describes tests for item analysis and reliability and various types of validity, including content, construct, and criterion-related validity.

However, if you are not hoping to make generalizations based on statistical validity, it is not necessary to rigorously test your data collection instruments. But, trying to be as consistent and accurate as possible is important. Reisman, et al (1994) describe how to pilot test a research instrument (4). The pilot test will answer

questions such as:

- Are certain words or questions redundant or misleading?
- Are the questions culturally or otherwise appropriate for the intended respondents?
- Will the data be useable for meaningful analysis?
- Are the procedures for collecting the data clear to anyone who will do so?
- How consistent is the information obtained by the survey?
- How accurate is the information obtained by the survey?

Reisman suggests putting the instrument through a trial run with six to ten people who are similar to those likely to respond or be interviewed. Analyze the feedback from your test group to determine if questions are clear and understandable. Do people interpret the questions as intended? Are the response choices in your questions adequate and sufficient?

For example, if you know certain attitudes or behaviors of the test group subjects, are their responses consistent with their attitudes and behaviors? Select some pilot test respondents who you perceive to be uncertain about using computers to find answers to health information questions. Select a few others who you perceive to be enthusiastic about the effectiveness of using computers for health information needs. Then determine whether the questionnaire or interview responses distinguish between the two.

### **Cultural Appropriateness**

The cultural perspectives of your targeted audience, as well as data collection strategies, should be considered in the selection process. An excellent source on this topic is Orlandi's *Cultural Competence for Evaluators: A Guide for Alcohol and Other Drug Abuse Prevention Practitioners Working with Ethnic/Racial Communities* (5).

Members of “over-researched” ethnic minority groups, such as African Americans and American Indians/Alaska Natives, tend to be skeptical or mistrustful of the evaluation process. Their experience has been that social scientists enter their communities and collect data, but frequently fail to share their findings or take visible and beneficial action. In Hispanic communities, evaluators are viewed with suspicion as outsiders who conduct sterile research only to justify the shutdown of needed projects or services (5).

The challenge for the researcher is to build confidence in the purpose and benefits of the research results for the community. Try to involve respected community members and leaders in evaluation planning (e.g. to review a questionnaire and data collection strategy). Ask their cooperation in helping you to recruit participation. You can also directly involve members of the community in data collection efforts, such as interviews. Be sure to share your findings, if possible as early as the draft stage, for their review and comment.

**Data Analysis**

Once you have gathered your data from surveys, interviews, or other methods, the next steps are to conduct the analysis, draw conclusions, and prepare a report or presentation. It is important to consider how to do the analysis in the evaluation planning stage.

The total time for conducting an evaluation includes the planning process, data collection, data analysis, and presentation of the results. Data analysis and presentation are the components that make the whole process worthwhile, and sufficient time should be allotted even if this means limiting the evaluation goals and reducing the number of data collection methods.

**Coding**

Data collected from your evaluation must be compiled, coded, and entered into a spreadsheet

or other data analysis program for analysis. *Coding* means that numbers are assigned to responses. The following example shows numbers assigned (coded) for responses to a closed-ended question:

*Example:*

I am able to use PubMed to avoid falling behind current medical knowledge.

Strongly 1 2 3 4 5 6 7 Strongly

Disagree Agree

Coding is typically used to analyze close-ended questions that have predetermined response categories. You can code open-ended questions, but it can be difficult and time consuming because the answers will vary with each individual response. You must read answers item by item for “naturally” occurring categories found in commonly mentioned themes. The responses are then coded according to these categories.

**Quality control**

Data entry must be checked for errors before proceeding. Obvious errors will be detected by scanning the entire data file (e.g. you might see a “9” when the highest possible code is a “7”). Also, ask someone who did not enter the data to compare 10% of the raw data (e.g. the surveys) with the computer data file. If there are a number of errors, all the data should be re-examined.

For the most rigorous quality control, the same data should be entered twice by different people and compared. If the compared files appear to be identical, there is greater assurance that the data were entered consistently.

**Types of Analysis**

The type of data analysis will vary depending

on the type of data collected. Qualitative methods of data collection may include observations, interviews, focus groups, and analytic insights or interpretations that occurred during the data collection. This descriptive text is recorded and analyzed for themes. Careful reading and summarization of the data can be sufficient for general evaluation purposes (6).

There is software available for in-depth analysis of qualitative data, such as ATLAS/ti and NUD\*IST. These software packages work with textual documents, such as transcripts of interviews or focus groups, and facilitate coding, search and retrieval, and theory building. NUD\*IST is best known in its Macintosh version, while ATLAS/ti is most user-friendly on a DOS-based computer.

Quantitative methods of data collection use hard data (e.g. numbers of outreach participants, total Website hits) or pre-coordinated responses on questionnaires that can be coded and entered into a statistical analysis program such as SAS or SPSS.

Spreadsheet programs (e.g. Excel) can also be used to display quantitative data. Although statistical analysis is limited, it is possible to manipulate the data and produce various tables, such as frequencies, or cross tabulate the data so that relationships can be examined (e.g. attitude changes in physicians vs. nurses).

Statistical techniques that summarize and describe characteristics of a group or make comparisons of characteristics between groups are *descriptive* statistics (7). If generalizations are inferred about a population based on a sample, you use *inferential* statistics.

To analyze your results, you assess the effects of your “independent variable” (the intervention) on your “dependent variables” (outcome measures). Typically, the dependent variables will be measured on your posttest survey and will include things like attitudes, intentions to act a certain way, or reports of certain behaviors.

If you were using an experimental or quasi-experimental design, the effects of an independent variable on a dependent variable would be compared between two or more groups. The independent variable (e.g. endorsement, support, and participation by opinion leaders) would only be used in the experimental group, but the dependent variable (e.g. perception of efficacy) would be assessed in both. If there are significant differences in the dependent variables between groups, you can be more confident that the independent variable made a difference.

Other dependent variables can be assessed without input from the subject. For example, you could tally how many log-ins or how much time individuals or groups spent on the computer. Then, you would determine the mean of the number of log-ins or the number of minutes spent on the computer by group. Finally, you would compare these means for significant differences, using the t-test or F-test.

#### *T-tests*

The **t-test** is a test to see if there is a statistically significant difference between the mean scores of two groups (8). For example, between an intervention group and a control group, the comparison could be the difference in mean scores on the variable “self-efficacy.” To apply a t-test to the difference between the mean scores of each group, use a statistical software program such as SPSS that will use a formula to compute a t-value, or the difference between the mean scores. The program will show **t-test** results, which designate whether the t-value is larger than would be expected if the differences were due to chance. In other words, the t-test indicates whether the scores in the intervention group were significantly different from the control group.

The t-test is particularly useful for analysis when sample sizes are small, though it is best to have at least twenty cases to compare. An **F-test** does the



same thing for three or more groups.

T-tests can be used on paired samples or independent samples. In paired samples, the changes are being compared in the same individual from one point to the next (e.g. changes in attitude due to outreach participation). In independent samples, two or more separate groups are measured for comparison (e.g., outreach participants with a control group).

#### *Univariate analysis*

For some types of evaluation, descriptive data, such as background characteristics, attitudes, knowledge, and behavior, are all that is needed to describe participants. Commonly, descriptive data analyze one variable – hence the term *univariate analysis*. Descriptions are provided in terms of percentages and measures of central tendency, i.e., mean, median, and mode.

**Mean** – arithmetic average of all scores

**Median** – midpoint of all scores

**Mode** – the most frequently occurring score

Other examples of descriptive data are frequency or summary counts, such as the number of participants in a class.

Evaluation questions that focus on testing a hypothesis about relationships between variables require more elaborate techniques, known as *bivariate* and *multivariate* analysis (1).

#### *Bivariate analysis*

McKenzie presents the following definitions of statistical techniques used in bivariate analysis (1).

**Correlation** establishes a relationship between two variables. Correlation is expressed as a value between +1 (positive correlation) and –1 (negative correlation), with 0 indicating no relationship between the variables. Correlation

only indicates a relationship; this technique does not establish cause and effect.

**Inferential data analysis** uses statistical tests to draw tentative conclusions about the relationship between variables. Conclusions are drawn in the form of probability statements, not absolute proof. The evaluation question is stated in the form of a hypothesis. A **null hypothesis** holds that there is no observed difference between the variables (e.g., experimental and control groups' knowledge of computers). The **alternative hypothesis** says that there is a difference between the variables.

**Analysis of variance (ANOVA)** compares the difference in means of two or more groups. ANOVA does not prove that there is a difference between groups; it only allows you to reject or retain the null hypothesis, then make inferences about the population.

**Chi square** tests hypotheses about frequencies in various categories. This technique uses categories that can be distinguished from one another but are not hierarchical. Chi square could be used to analyze attitudes toward computers between physicians in three different specialties.

#### *Multivariate analysis*

Multivariate analysis determines the relationships between more than two variables. One type of multivariate statistic is **multiple regression**, used to make a prediction from several variables. For example, Gorman (1995) used multiple regression to analyze 12 factors expected to motivate information seeking by physicians, and determined that two were significant predictors (9).

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<b>Activities, Best Practices, Theory-based Strategies</b>	<b>What will be measured?</b>	<b>How will we measure it?</b>

68 Tool Kit - Workform for Measuring Outcomes

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<b>Objectives</b>	<b>What outcome will we measure?</b>	<b>How will we measure it?</b>

With the evaluation questions identified in Stage 4 about outreach to Geneva Health clinics, you move into the final part of planning an evaluation in Stage 5. During this stage, you think through the details of how the measures you have assigned to the evaluation questions of the Gowan Library outreach program will be collected and analyzed.

Your first step is to compile a list of what your evaluation criteria will be. These criteria are linked to the evaluation questions you determined in Stage 4. For example, one important evaluation question is whether or not the outreach objectives are reached. The outcomes listed in each objective become the criteria your evaluation will measure. Another evaluation question you have identified is to assess the problems and successes with your outreach strategy to train and develop onsite expertise at each clinic site. Your objective is that the outreach program will nurture personnel who can support questions from clinic health providers and who will continue to advocate and support information access after outreach is complete. However, you know that's a tall order and have decided to assess your progress toward this objective to find out what seems to help or hinder.

With these evaluation questions in mind, you begin to determine the specific variables that will be helpful to measure. Again using outreach objectives as an example, the outcomes and indicators already listed in each objective are the variable you will measure. You then think about what contributes to problems or success in reaching the objective to develop onsite expertise. Perhaps you need to track how onsite personnel are identified and what their attitudes are toward their new role during the project, and again in a follow up measure. Are they satisfied with their training—do they feel adequately prepared? Are they being asked to provide onsite information access support? Do they feel overwhelmed and need more help? This type of information may help to assess what is working and what may need improvement for this specific outreach objective.

Once the decision is made about what will be measured, you then think about how to conduct the measurements. There are several factors that contribute to these decisions, such as whether you want to collect quantitative or qualitative measures or both. Other issues regarding design (when you measure and from whom) address the reliability of your results. You review these discussions in Stage 4, remembering that though validity and reliability are at issue for any research, the level of rigor you apply will depend on your resources and the projected use of your results.

To help think through the evaluation efforts you want to conduct, you fill in a Gowan Library Evaluation Planning Tool listing what, how, and when your measurements will be collected. See an example on the next page. Note that some of your measures will be made in “pre-test” during the audience assessment.

### Gowan Library Evaluation Planning Tool

Overall Evaluation Objectives

- 1) To assess the success of the project according to the objectives established.
- 2) To assess whether and how our approaches to developing onsite information services support is successful and where we might improve next time.

Outcomes/Variables	Data Collection Methods	When & whom or what to measure
Number of educational activities per site	Records of activity logs	Throughout
Number of outreach participants	Records of participant tallies	Throughout
Awareness	Questionnaire completion item to identify online health resource	Post test of class participants
Knowledge	True-false item	Post test of class participants
Attitudes	Likert scale item about how much value online resources	Post test of class participants
Self-efficacy	Likert scale item rating self competency	Pre and post test of class participants
Skill	Observation Questionnaire completion item to find an answer based on a search	During class  Post test of class participants
Satisfaction with training	Questionnaire feedback items	Post test of class participants
Intentions to use	Likert scale item	Pre and post test of class participants
Behavior (use)	Self report multiple choice item about frequency of use Self report completion item about number of Loansome Doc requests	Pre test with 90 day followup of class participants
Satisfaction with use	Likert scale item rating satisfaction	90 day followup of class participants
Reasons for use	Multiple choice item about reasons for use and how it affected patient care	Pre-test with 90 day follow-up of class participants
Number of site liaisons identified and trained	Observation/journal	Mid and end of project notes by project manager
Attitudes of site liaison re: new role as onsite trainers	Interviews	Beginning and end of project with liaison
Satisfaction of site liaison with train the trainer classes	Satisfaction items on questionnaire	End of training survey of liaisons
Feelings of adequacy by site trainers in their roles	Interviews	End of project with liaisons
Need for additional onsite support	Observation/journal Interviews with liaisons	Mid and end of project by liaison and project manager