U.S. Sentencing Commission Post-*Kimbrough/Gall* Data Report



**Fiscal Year 2008** 

### Introduction

As part of its ongoing mission, the United States Sentencing Commission provides Congress, the judiciary, the executive branch, and the general public with data extracted and analyzed from sentencing documents submitted by courts to the Commission.<sup>1</sup> Data is reported on an annual basis in the Commission's *Annual Report* and *Sourcebook of Federal Sentencing Statistics*.<sup>2</sup>

The Commission also reports preliminary data for an on-going fiscal year in order to provide real-time analysis of sentencing practices in the federal courts. Since 2005, the Commission has published a series of Quarterly Reports that are similar in format and methodology to tables and figures produced in the *Sourcebook of Federal Sentencing Statistics* or in the Commission's *Final Report on the Impact of the United States v. Booker on Federal Sentencing*.<sup>3</sup> The Quarterly Reports contain cumulative data for the on-going fiscal year (i.e., data from the start of the fiscal year through the most current quarter).

This report is another in the Commission's efforts to provide analysis of federal sentencing practices. This report relates to the period beginning on the date of the United States Supreme Court decision in *Kimbrough v. United States*, 552 U.S. \_\_\_\_, 128 S.Ct. 558 (2007) and *Gall v. United States*, 552 U.S. \_\_\_\_, 128 S.Ct. 586 (2007) and afterward. This version of the report contains data from cases in which the offender was sentenced between December 10, 2007 and September 30, 2008, and which were received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. This data is compared to data from cases in which the offender was sentenced on or after the date of the United States Supreme Court decision in *United States v. Booker*, 543 U.S. 220 (2005) through December 9, 2007.

<sup>&</sup>lt;sup>1</sup> In each felony or Class A misdemeanor case sentenced in federal court, sentencing courts are required to submit the following documents to the Commission: the Judgment and Commitment Order, the Statement of Reasons, the plea agreement (if applicable), the indictment or other charging document, and the presentence report. See 28 U.S.C. § 994(w).

<sup>&</sup>lt;sup>2</sup> See the Commission's website, www.ussc.gov, for electronic copies of the 1995-2008 Annual Report and Sourcebook of Federal Sentencing Statistics.

<sup>&</sup>lt;sup>3</sup> See www.ussc.gov/bf.htm for an electronic copy of the Commission's *Final Report on the Impact of United States v. Booker on Federal Sentencing.* 

## COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

| Post-Ba | ooker   | Post-Kimbro   | ugh/Gall   |
|---------|---|---|--|
| N       | %   | Ν   | %  |
| 205,602 | 100.0   | 60,317  | 100.0  |
| 125,965 | 61.3  | 35,732  | 59.2   |
| 3,224   | 1.6   | 981   | 1.6  |
| 1,286   | 0.6   | 379   | 0.6  |
| 897     | 0.4   | 265   | 0.4  |
| 389     | 0.2   | 114   | 0.2  |
| 1,938   | 1.0   | 602   | 1.0  |
| 1,389   | 0.7   | 522   | 0.9  |
| 549     | 0.3   | 80  | 0.1  |
| 51,162  | 24.8  | 15,254  | 25.3   |
| 29,695  | 14.4  | 8,065   | 13.4   |
| 14,808  | 7.2   | 4,693   | 7.8  |
| 6,659   | 3.2   | 2,496   | 4.1  |
| 25,251  | 12.2  | 8,350   | 13.8   |
| 8,276   | 4.0   | 1,972   | 3.2  |
| 5,177   | 2.5   | 1,224   | 2.0  |
| 3,099   | 1.5   | 748   | 1.2  |
| 16,975  | 8.2   | 6,378   | 10.6   |
| 13,395  | 6.5   | 5,682   | 9.4  |
| 3,580   | 1.7   | 696   | 1.2  |
|         | N   205,602   125,965   3,224   1,286   897   389   1,938   1,389   549   51,162   29,695   14,808   6,659   25,251   8,276   5,177   3,099   16,975   13,395 | $\begin{array}{ c c c c c c c } \hline 205,602 & 100.0 \\ \hline 125,965 & 61.3 \\ \hline 3,224 & 1.6 \\ \hline 1,286 & 0.6 \\ \hline 897 & 0.4 \\ \hline 389 & 0.2 \\ \hline 1,938 & 1.0 \\ \hline 1,389 & 0.7 \\ \hline 549 & 0.3 \\ \hline 51,162 & 24.8 \\ \hline 29,695 & 14.4 \\ \hline 14,808 & 7.2 \\ \hline 6,659 & 3.2 \\ \hline 25,251 & 12.2 \\ \hline 8,276 & 4.0 \\ \hline 5,177 & 2.5 \\ \hline 3,099 & 1.5 \\ \hline 16,975 & 8.2 \\ \hline 13,395 & 6.5 \\ \hline \end{array}$ | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 61,898 cases sentenced subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 1,581 cases were excluded because information was missing from the submitted document that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 213,704 cases sentenced after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 8,102 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

### Table 1-DC

## DC CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | Ν       | %     | Ν           | %         |
| TOTAL CASES   | 1,364   | 100.0 | 324         | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 655     | 48.0  | 123         | 38.0      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 30      | 2.2   | 2           | 0.6       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 11      | 0.8   | 1           | 0.3       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 7       | 0.5   | 1           | 0.3       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 4       | 0.3   | 0           | 0.0       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 19      | 1.4   | 1           | 0.3       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 12      | 0.9   | 1           | 0.3       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 7       | 0.5   | 0           | 0.0       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 487     | 35.7  | 140         | 43.2      |
| §5K1.1 Substantial Assistance Departure                                 | 365     | 26.8  | 114         | 35.2      |
| §5K3.1 Early Disposition Program Departure                              | 0       | 0.0   | 0           | 0.0       |
| Other Government Sponsored Below Range                                  | 122     | 8.9   | 26          | 8.0       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 192     | 14.1  | 59          | 18.3      |
| DEPARTURE BELOW GUIDELINE RANGE   | 57      | 4.2   | 27          | 8.4       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 18      | 1.3   | 7           | 2.2       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 39      | 2.9   | 20          | 6.2       |
| OTHERWISE BELOW GUIDELINE RANGE   | 135     | 9.9   | 32          | 9.9       |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 104     | 7.6   | 25          | 7.7       |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 31      | 2.3   | 7           | 2.2       |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 326 cases sentenced in the D.C. Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, two cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 1,379 cases sentenced in the D.C. Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 15 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## FIRST CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-Booker - 2008, Kimbrough/Gall Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | N       | %     | N           | %         |
| TOTAL CASES   | 4,984   | 100.0 | 1,421       | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 3,342   | 67.1  | 876         | 61.6      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 80      | 1.6   | 23          | 1.6       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 27      | 0.6   | 13          | 0.9       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 19      | 0.4   | 7           | 0.5       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 8       | 0.2   | 6           | 0.4       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 53      | 1.0   | 10          | 0.7       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 41      | 0.8   | 7           | 0.5       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 12      | 0.2   | 3           | 0.2       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 716     | 14.3  | 237         | 16.7      |
| §5K1.1 Substantial Assistance Departure                                 | 585     | 11.7  | 155         | 10.9      |
| §5K3.1 Early Disposition Program Departure                              | 0       | 0.0   | 17          | 1.2       |
| Other Government Sponsored Below Range                                  | 131     | 2.6   | 65          | 4.6       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 846     | 17.0  | 285         | 20.0      |
| DEPARTURE BELOW GUIDELINE RANGE   | 263     | 5.3   | 67          | 4.7       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 183     | 3.7   | 38          | 2.7       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 80      | 1.6   | 29          | 2.0       |
| OTHERWISE BELOW GUIDELINE RANGE   | 583     | 11.7  | 218         | 15.3      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 464     | 9.3   | 196         | 13.8      |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 119     | 2.4   | 22          | 1.5       |
|   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 1,434 cases sentenced in the First Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 13 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 5,041 cases sentenced in the First Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 57 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## SECOND CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Ba | ooker | Post-Kimbro | ugh/Gall |
|---|---------|-------|-------------|----------|
|   | Ν       | %     | N           | %        |
| TOTAL CASES   | 13,281  | 100.0 | 3,615       | 100.0    |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 6,690   | 50.4  | 1,634       | 45.2     |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 129     | 0.9   | 24          | 0.7      |
| DEPARTURE ABOVE GUIDELINE RANGE   | 57      | 0.4   | 7           | 0.2      |
| Upward Departure From Guideline Range <sup>2</sup>                      | 39      | 0.3   | 5           | 0.1      |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 18      | 0.1   | 2           | 0.1      |
| OTHERWISE ABOVE GUIDELINE RANGE   | 72      | 0.5   | 17          | 0.5      |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 42      | 0.3   | 13          | 0.4      |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 30      | 0.2   | 4           | 0.1      |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 3,226   | 24.3  | 904         | 25.0     |
| §5K1.1 Substantial Assistance Departure                                 | 2,881   | 21.7  | 760         | 21.0     |
| §5K3.1 Early Disposition Program Departure                              | 3       | 0.0   | 0           | 0.0      |
| Other Government Sponsored Below Range                                  | 342     | 2.6   | 144         | 4.0      |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 3,236   | 24.3  | 1,053       | 29.2     |
| DEPARTURE BELOW GUIDELINE RANGE   | 1,197   | 9.0   | 247         | 6.9      |
| Downward Departure From Guideline Range <sup>2</sup>                    | 680     | 5.1   | 132         | 3.7      |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 517     | 3.9   | 115         | 3.2      |
| <b>OTHERWISE BELOW GUIDELINE RANGE</b>                                  | 2,039   | 15.3  | 806         | 22.3     |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 1,595   | 12.0  | 763         | 21.1     |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 444     | 3.3   | 43          | 1.2      |
| - •   |         |       |             |          |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 3,662 cases sentenced in the Second Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 47 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 13,479 cases sentenced in the Second Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 198 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

### THIRD CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | Ν       | %     | Ν           | %         |
| TOTAL CASES   | 9,062   | 100.0 | 2,586       | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 4,784   | 52.8  | 1,370       | 53.0      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 112     | 1.3   | 40          | 1.5       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 33      | 0.4   | 19          | 0.7       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 17      | 0.2   | 16          | 0.6       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 16      | 0.2   | 3           | 0.1       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 79      | 0.9   | 21          | 0.8       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 61      | 0.7   | 18          | 0.7       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 18      | 0.2   | 3           | 0.1       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 2,618   | 28.9  | 674         | 26.0      |
| §5K1.1 Substantial Assistance Departure                                 | 2,457   | 27.1  | 633         | 24.5      |
| §5K3.1 Early Disposition Program Departure                              | 0       | 0.0   | 1           | 0.0       |
| Other Government Sponsored Below Range                                  | 161     | 1.8   | 40          | 1.5       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 1,548   | 17.1  | 502         | 19.4      |
| DEPARTURE BELOW GUIDELINE RANGE   | 404     | 4.4   | 85          | 3.3       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 237     | 2.6   | 43          | 1.7       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 167     | 1.8   | 42          | 1.6       |
| OTHERWISE BELOW GUIDELINE RANGE   | 1,144   | 12.7  | 417         | 16.1      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 938     | 10.4  | 364         | 14.1      |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 206     | 2.3   | 53          | 2.0       |
|   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 2,592 cases sentenced in the Third Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, six cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 9,175 cases sentenced in the Third Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 113 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## FOURTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | N       | %     | Ν           | %         |
| TOTAL CASES   | 18,196  | 100.0 | 5,188       | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 12,692  | 69.8  | 3,403       | 65.6      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 276     | 1.6   | 117         | 2.3       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 138     | 0.8   | 68          | 1.3       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 92      | 0.5   | 40          | 0.8       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 46      | 0.3   | 28          | 0.5       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 138     | 0.8   | 49          | 1.0       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 103     | 0.6   | 45          | 0.9       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 35      | 0.2   | 4           | 0.1       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 3,378   | 18.6  | 987         | 19.1      |
| §5K1.1 Substantial Assistance Departure                                 | 3,180   | 17.5  | 906         | 17.5      |
| §5K3.1 Early Disposition Program Departure                              | 2       | 0.0   | 0           | 0.0       |
| Other Government Sponsored Below Range                                  | 196     | 1.1   | 81          | 1.6       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 1,850   | 10.2  | 681         | 13.1      |
| DEPARTURE BELOW GUIDELINE RANGE   | 555     | 3.1   | 132         | 2.5       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 319     | 1.8   | 90          | 1.7       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 236     | 1.3   | 42          | 0.8       |
| OTHERWISE BELOW GUIDELINE RANGE   | 1,295   | 7.1   | 549         | 10.6      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 1,022   | 5.6   | 493         | 9.5       |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 273     | 1.5   | 56          | 1.1       |
|   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 5,655 cases sentenced in the Fourth Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 467 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 19,556 cases sentenced in the Fourth Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 1,360 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## FIFTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

| Post-Bo | oker  | Post-Kimbro  | ugh/Gall   |
|---------|---|--|--|
| Ν       | %   | N  | %  |
| 45,135  | 100.0   | 14,125   | 100.0  |
| 32,664  | 72.4  | 9,936  | 70.3   |
| 863     | 1.9   | 269  | 1.9  |
| 319     | 0.7   | 97   | 0.7  |
| 218     | 0.5   | 72   | 0.5  |
| 101     | 0.2   | 25   | 0.2  |
| 544     | 1.2   | 172  | 1.2  |
| 417     | 0.9   | 148  | 1.0  |
| 127     | 0.3   | 24   | 0.2  |
| 8,312   | 18.4  | 2,875  | 20.3   |
| 3,654   | 8.1   | 1,056  | 7.5  |
| 3,181   | 7.0   | 1,037  | 7.3  |
| 1,477   | 3.3   | 782  | 5.5  |
| 3,296   | 7.4   | 1,045  | 7.4  |
| 1,233   | 2.8   | 371  | 2.6  |
| 1,019   | 2.3   | 341  | 2.4  |
| 214     | 0.5   | 30   | 0.2  |
| 2,063   | 4.6   | 674  | 4.8  |
| 1,627   | 3.6   | 565  | 4.0  |
| 436     | 1.0   | 109  | 0.8  |
|         | N   45,135   32,664   863   319   218   101   544   417   127   8,312   3,654   3,181   1,477   3,296   1,233   1,019   214   2,063   1,627 | $\begin{array}{ c c c c c c c c c c c c c c c c c c c$ | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 14,224 cases sentenced in the Fifth Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 99 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 45,471 cases sentenced in the Fifth Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 336 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## SIXTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-Booker - 2008, Kimbrough/Gall Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | Ν       | %     | Ν           | %         |
| TOTAL CASES   | 15,261  | 100.0 | 4,303       | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 8,664   | 56.8  | 2,304       | 53.5      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 201     | 1.3   | 69          | 1.5       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 70      | 0.5   | 29          | 0.6       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 43      | 0.3   | 19          | 0.4       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 27      | 0.2   | 10          | 0.2       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 131     | 0.8   | 40          | 0.9       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 95      | 0.6   | 31          | 0.7       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 36      | 0.2   | 9           | 0.2       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 4,175   | 27.4  | 1,203       | 27.9      |
| §5K1.1 Substantial Assistance Departure                                 | 3,871   | 25.4  | 1,089       | 25.3      |
| §5K3.1 Early Disposition Program Departure                              | 3       | 0.0   | 1           | 0.0       |
| Other Government Sponsored Below Range                                  | 301     | 2.0   | 113         | 2.6       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 2,221   | 14.5  | 727         | 16.8      |
| DEPARTURE BELOW GUIDELINE RANGE   | 615     | 4.0   | 169         | 3.9       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 327     | 2.1   | 77          | 1.8       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 288     | 1.9   | 92          | 2.1       |
| OTHERWISE BELOW GUIDELINE RANGE   | 1,606   | 10.5  | 558         | 12.9      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 1,302   | 8.5   | 488         | 11.3      |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 304     | 2.0   | 70          | 1.6       |
|   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 4,343 cases sentenced in the Sixth Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 40 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 15,578 cases sentenced in the Sixth Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 317 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## SEVENTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | N       | %     | Ν           | %         |
| TOTAL CASES   | 8,828   | 100.0 | 2,436       | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 5,516   | 62.5  | 1,300       | 53.4      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 119     | 1.3   | 40          | 1.6       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 46      | 0.5   | 10          | 0.4       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 25      | 0.3   | 3           | 0.1       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 21      | 0.2   | 7           | 0.3       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 73      | 0.8   | 30          | 1.2       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 51      | 0.6   | 25          | 1.0       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 22      | 0.2   | 5           | 0.2       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 1,724   | 19.5  | 529         | 21.7      |
| §5K1.1 Substantial Assistance Departure                                 | 1,534   | 17.4  | 465         | 19.1      |
| §5K3.1 Early Disposition Program Departure                              | 1       | 0.0   | 1           | 0.0       |
| Other Government Sponsored Below Range                                  | 189     | 2.1   | 63          | 2.6       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 1,469   | 16.7  | 567         | 23.3      |
| DEPARTURE BELOW GUIDELINE RANGE   | 437     | 5.0   | 112         | 4.6       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 236     | 2.7   | 33          | 1.4       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 201     | 2.3   | 79          | 3.2       |
| <b>OTHERWISE BELOW GUIDELINE RANGE</b>                                  | 1,032   | 11.7  | 455         | 18.7      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 789     | 8.9   | 392         | 16.1      |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 243     | 2.8   | 63          | 2.6       |
|   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 2,461 cases sentenced in the Seventh Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 25 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 8,904 cases sentenced in the Seventh Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 76 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

### EIGHTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Be | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | Ν       | %     | N           | %         |
| TOTAL CASES   | 14,715  | 100.0 | 4,468       | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 9,734   | 66.2  | 2,852       | 63.8      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 239     | 1.6   | 84          | 1.9       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 106     | 0.7   | 37          | 0.8       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 73      | 0.5   | 26          | 0.6       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 33      | 0.2   | 11          | 0.2       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 133     | 0.9   | 47          | 1.1       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 98      | 0.7   | 39          | 0.9       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 35      | 0.2   | 8           | 0.2       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 2,751   | 18.7  | 835         | 18.7      |
| §5K1.1 Substantial Assistance Departure                                 | 2,221   | 15.1  | 667         | 14.9      |
| §5K3.1 Early Disposition Program Departure                              | 121     | 0.8   | 47          | 1.1       |
| Other Government Sponsored Below Range                                  | 409     | 2.8   | 121         | 2.7       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 1,991   | 13.6  | 697         | 15.6      |
| DEPARTURE BELOW GUIDELINE RANGE   | 562     | 3.8   | 120         | 2.7       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 353     | 2.4   | 58          | 1.3       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 209     | 1.4   | 62          | 1.4       |
| OTHERWISE BELOW GUIDELINE RANGE   | 1,429   | 9.8   | 577         | 12.9      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 1,156   | 7.9   | 527         | 11.8      |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 273     | 1.9   | 50          | 1.1       |
|   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 4,482 cases sentenced in the Eighth Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 14 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 14,798 cases sentenced in the Eighth Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 83 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## NINTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Ba | ooker | Post-Kimbro | ough/Gall |
|---|---------|-------|-------------|-----------|
|   | N       | %     | N           | %         |
| TOTAL CASES   | 38,407  | 100.0 | 11,288      | 100.0     |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 17,461  | 45.5  | 5,052       | 44.8      |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 622     | 1.7   | 141         | 1.2       |
| DEPARTURE ABOVE GUIDELINE RANGE   | 318     | 0.9   | 68          | 0.6       |
| Upward Departure From Guideline Range <sup>2</sup>                      | 253     | 0.7   | 55          | 0.5       |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 65      | 0.2   | 13          | 0.1       |
| OTHERWISE ABOVE GUIDELINE RANGE   | 304     | 0.8   | 73          | 0.6       |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 165     | 0.4   | 58          | 0.5       |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 139     | 0.4   | 15          | 0.1       |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 15,743  | 40.9  | 4,748       | 42.1      |
| §5K1.1 Substantial Assistance Departure                                 | 3,974   | 10.3  | 1,005       | 8.9       |
| §5K3.1 Early Disposition Program Departure                              | 9,995   | 26.0  | 3,125       | 27.7      |
| Other Government Sponsored Below Range                                  | 1,774   | 4.6   | 618         | 5.5       |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 4,581   | 12.0  | 1,347       | 12.0      |
| DEPARTURE BELOW GUIDELINE RANGE   | 1,702   | 4.5   | 330         | 3.0       |
| Downward Departure From Guideline Range <sup>2</sup>                    | 987     | 2.6   | 210         | 1.9       |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 715     | 1.9   | 120         | 1.1       |
| OTHERWISE BELOW GUIDELINE RANGE   | 2,879   | 7.5   | 1,017       | 9.0       |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 2,082   | 5.4   | 856         | 7.6       |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 797     | 2.1   | 161         | 1.4       |
| -   |         |       |             |           |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 11,734 cases sentenced in the Ninth Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 446 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 42,098 cases sentenced in the Ninth Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 3,691 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

## TENTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

|   | Post-Bo | oker  | Post-Kimbro | ugh/Gall |
|---|---------|-------|-------------|----------|
|   | Ν       | %     | N           | %        |
| TOTAL CASES   | 16,766  | 100.0 | 4,767       | 100.0    |
| CASES SENTENCED WITHIN GUIDELINE RANGE                                  | 10,398  | 62.0  | 2,945       | 61.8     |
| CASES SENTENCED ABOVE GUIDELINE RANGE                                   | 216     | 1.4   | 79          | 1.8      |
| DEPARTURE ABOVE GUIDELINE RANGE   | 55      | 0.4   | 15          | 0.4      |
| Upward Departure From Guideline Range <sup>2</sup>                      | 46      | 0.3   | 12          | 0.3      |
| Upward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>      | 9       | 0.1   | 3           | 0.1      |
| OTHERWISE ABOVE GUIDELINE RANGE   | 161     | 1.0   | 64          | 1.4      |
| Above Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 119     | 0.7   | 61          | 1.3      |
| All Remaining Cases Above Guideline Range <sup>5</sup>                  | 42      | 0.3   | 3           | 0.1      |
| GOVERNMENT SPONSORED BELOW RANGE <sup>6</sup>                           | 4,297   | 25.6  | 1,149       | 24.1     |
| §5K1.1 Substantial Assistance Departure                                 | 1,494   | 8.9   | 329         | 6.9      |
| §5K3.1 Early Disposition Program Departure                              | 1,462   | 8.7   | 440         | 9.2      |
| Other Government Sponsored Below Range                                  | 1,341   | 8.0   | 380         | 8.0      |
| NON-GOVERNMENT SPONSORED BELOW RANGE                                    | 1,855   | 11.0  | 594         | 12.5     |
| DEPARTURE BELOW GUIDELINE RANGE   | 743     | 4.4   | 176         | 3.7      |
| Downward Departure From Guideline Range <sup>2</sup>                    | 510     | 3.0   | 119         | 2.5      |
| Downward Departure With <i>Booker</i> /18 U.S.C. § 3553 <sup>3</sup>    | 233     | 1.4   | 57          | 1.2      |
| <b>OTHERWISE BELOW GUIDELINE RANGE</b>                                  | 1,112   | 6.6   | 418         | 8.8      |
| Below Guideline Range With <i>Booker</i> /18 U.S.C. § 3553 <sup>4</sup> | 908     | 5.4   | 395         | 8.3      |
| All Remaining Cases Below Guideline Range <sup>5</sup>                  | 204     | 1.2   | 23          | 0.5      |
| _   |         |       |             |          |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 4,982 cases sentenced in the Tenth Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 215 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 17,469 cases sentenced in the Tenth Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 703 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

### ELEVENTH CIRCUIT COMPARISON OF SENTENCE IMPOSED AND POSITION RELATIVE TO THE GUIDELINE RANGE<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, *Kimbrough/Gall* Data

| Post-Ba | ooker   | Post-Kimbro   | ugh/Gall  |
|---------|---|---|---|
| N       | %   | Ν   | %   |
| 19,603  | 100.0   | 5,796   | 100.0   |
| 13,365  | 68.2  | 3,937   | 67.9  |
| 337     | 1.6   | 93  | 1.6   |
| 106     | 0.5   | 15  | 0.3   |
| 65      | 0.3   | 9   | 0.2   |
| 41      | 0.2   | 6   | 0.1   |
| 231     | 1.1   | 78  | 1.3   |
| 185     | 0.9   | 76  | 1.3   |
| 46      | 0.2   | 2   | 0.0   |
| 3,735   | 19.0  | 973   | 16.8  |
| 3,479   | 17.7  | 886   | 15.3  |
| 40      | 0.2   | 24  | 0.4   |
| 216     | 1.1   | 63  | 1.1   |
| 2,166   | 11.1  | 793   | 13.7  |
| 508     | 2.6   | 136   | 2.3   |
| 308     | 1.6   | 76  | 1.3   |
| 200     | 1.0   | 60  | 1.0   |
| 1,658   | 8.5   | 657   | 11.4  |
| 1,408   | 7.2   | 618   | 10.7  |
| 250     | 1.3   | 39  | 0.7   |
|         | N   19,603   13,365   337   106   65   41   231   185   46   3,735   3,479   40   216   508   308   200   1,658   1,408 | $\begin{array}{ c c c c c c c c }\hline 19,603 & 100.0 \\\hline 13,365 & 68.2 \\\hline 337 & 1.6 \\\hline 106 & 0.5 \\\hline 65 & 0.3 \\\hline 41 & 0.2 \\\hline 231 & 1.1 \\\hline 185 & 0.9 \\\hline 46 & 0.2 \\\hline 3,735 & 19.0 \\\hline 3,479 & 17.7 \\\hline 40 & 0.2 \\\hline 216 & 1.1 \\\hline 2,166 & 11.1 \\\hline 508 & 2.6 \\\hline 308 & 1.6 \\\hline 200 & 1.0 \\\hline 1,658 & 8.5 \\\hline 1,408 & 7.2 \\\hline \end{array}$ | $\begin{array}{ c c c c c c c c }\hline N & \frac{\%}{19,603} & 100.0 & 5,796 \\ \hline 19,603 & 100.0 & 5,796 \\ \hline 13,365 & 68.2 & 3,937 \\ \hline 337 & 1.6 & 93 \\ \hline 337 & 1.6 & 93 \\ \hline 337 & 1.6 & 93 \\ \hline 106 & 0.5 & 15 \\ \hline 65 & 0.3 & 9 \\ 41 & 0.2 & 6 \\ \hline 231 & 1.1 & 78 \\ \hline 185 & 0.9 & 76 \\ \hline 46 & 0.2 & 2 \\ \hline 3,735 & 19.0 & 973 \\ \hline 3,479 & 17.7 & 886 \\ \hline 40 & 0.2 & 24 \\ \hline 216 & 1.1 & 63 \\ \hline 2,166 & 11.1 & 793 \\ \hline 508 & 2.6 & 136 \\ \hline 308 & 1.6 & 76 \\ \hline 200 & 1.0 & 60 \\ \hline 1,658 & 8.5 & 657 \\ \hline 1,408 & 7.2 & 618 \\ \hline \end{array}$ |

<sup>1</sup>The cases in this table described as Post-*Kimbrough/Gall* reflect the 6,003 cases sentenced in the Eleventh Circuit subsequent to the date of *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007), through September 30, 2008 with court documentation cumulatively received, coded, and edited at the U.S. Sentencing Commission by February 10, 2009. Of these, 207 cases were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. The cases in this table described as Post-*Booker* reflect the 20,756 cases sentenced in the Eleventh Circuit after the date of *United States v. Booker* (January 12, 2005) through December 9, 2007. Of these, 1,153 cases were excluded for the above reason. Descriptions of variables used in this table are provided in Appendix A.

<sup>2</sup>All cases with departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

<sup>3</sup>All cases sentenced outside of the guideline range in which the court indicated both a departure (see footnote 2) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

<sup>4</sup>All cases sentenced outside of the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing outside of the guideline system.

<sup>5</sup>All cases sentenced outside of the guideline range that could not be classified into any of the three previous outside of the range categories. This category includes cases in which no reason was provided for a sentence outside of the guideline range.

<sup>6</sup>Cases in which a reason for the sentence indicated that the prosecution initiated, proposed, or stipulated to a sentence outside of the guideline range, either pursuant to a plea agreement or as part of a non-plea negotiation with the defendant.

# SENTENCES RELATIVE TO THE GUIDELINE RANGE BY CIRCUIT AND DISTRICT<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| CIRCUIT<br>District<br>TOTAL<br>D.C. CIRCUIT<br>District of Columbia | TOTAL<br>60,317<br>324 | RANO<br>N<br>35,732 | %    |     | URE | W/ BOOI | KER | W/BOOD | KER | RANG | έE  |
|--|------------------------|---------------------|------|-----|-----|---------|-----|--------|-----|------|-----|
| D.C. CIRCUIT   | 324                    | 35,732              |      | N   | %   | N       | %   | N      | %   | N    | %   |
|  |                        |                     | 59.2 | 265 | 0.4 | 114     | 0.2 | 522    | 0.9 | 80   | 0.1 |
| District of Columbia   |                        | 123                 | 38.0 | 1   | 0.3 | 0       | 0.0 | 1      | 0.3 | 0    | 0.0 |
|  | 324                    | 123                 | 38.0 | 1   | 0.3 | 0       | 0.0 | 1      | 0.3 | 0    | 0.0 |
| FIRST CIRCUIT  | 1,421                  | 876                 | 61.6 | 7   | 0.5 | 6       | 0.4 | 7      | 0.5 | 3    | 0.2 |
| Maine  | 169                    | 99                  | 58.6 | 1   | 0.6 | 0       | 0.0 | 1      | 0.6 | 0    | 0.0 |
| Massachusetts  | 369                    | 182                 | 49.3 | 3   | 0.8 | 0       | 0.0 | 4      | 1.1 | 1    | 0.3 |
| New Hampshire  | 187                    | 88                  | 47.1 | 2   | 1.1 | 2       | 1.1 | 1      | 0.5 | 0    | 0.0 |
| Puerto Rico  | 632                    | 466                 | 73.7 | 1   | 0.2 | 3       | 0.5 | 1      | 0.2 | 2    | 0.3 |
| Rhode Island   | 64                     | 41                  | 64.1 | 0   | 0.0 | 1       | 1.6 | 0      | 0.0 | 0    | 0.0 |
| SECOND CIRCUIT   | 3,615                  | 1,634               | 45.2 | 5   | 0.1 | 2       | 0.1 | 13     | 0.4 | 4    | 0.1 |
| Connecticut  | 353                    | 143                 | 40.5 | 1   | 0.3 | 0       | 0.0 | 2      | 0.6 | 0    | 0.0 |
| New York   |                        |                     |      |     |     |         |     |        |     |      |     |
| Eastern  | 987                    | 374                 | 37.9 | 2   | 0.2 | 0       | 0.0 | 7      | 0.7 | 2    | 0.2 |
| Northern   | 386                    | 211                 | 54.7 | 1   | 0.3 | 1       | 0.3 | 1      | 0.3 | 0    | 0.0 |
| Southern   | 1,218                  | 536                 | 44.0 | 0   | 0.0 | 0       | 0.0 | 2      | 0.2 | 1    | 0.1 |
| Western  | 537                    | 318                 | 59.2 | 0   | 0.0 | 1       | 0.2 | - 1    | 0.2 | 1    | 0.2 |
| Vermont  | 134                    | 52                  | 38.8 | 1   | 0.7 | 0       | 0.0 | 0      | 0.0 | 0    | 0.0 |
| THIRD CIRCUIT  | 2,586                  | 1,370               | 53.0 | 16  | 0.6 | 3       | 0.1 | 18     | 0.7 | 3    | 0.1 |
| Delaware   | 127                    | 65                  | 51.2 | 0   | 0.0 | 0       | 0.0 | 2      | 1.6 | 0    | 0.0 |
| New Jersey   | 831                    | 421                 | 50.7 | 3   | 0.4 | 1       | 0.1 | 6      | 0.7 | 2    | 0.2 |
| Pennsylvania   |                        |                     |      |     |     |         |     |        |     |      |     |
| Eastern  | 614                    | 259                 | 42.2 | 2   | 0.3 | 1       | 0.2 | 7      | 1.1 | 0    | 0.0 |
| Middle   | 526                    | 299                 | 56.8 | - 9 | 1.7 | 0       | 0.0 | 0      | 0.0 | 1    | 0.2 |
| Western  | 423                    | 286                 | 67.6 | 2   | 0.5 | 1       | 0.2 | 0      | 0.0 | 0    | 0.0 |
| Virgin Islands   | 65                     | 40                  | 61.5 | 0   | 0.0 | 0       | 0.0 | 3      | 4.6 | 0    | 0.0 |
| FOURTH CIRCUIT   | 5,188                  | 3,403               | 65.6 | 40  | 0.8 | 28      | 0.5 | 45     | 0.9 | 4    | 0.1 |
| Maryland   | 585                    | 289                 | 49.4 | 6   | 1.0 | 1       | 0.2 | 5      | 0.9 | 0    | 0.0 |
| North Carolina   |                        |                     |      |     |     |         |     |        |     |      |     |
| Eastern  | 551                    | 313                 | 56.8 | 19  | 3.4 | 17      | 3.1 | 9      | 1.6 | 1    | 0.2 |
| Middle   | 304                    | 229                 | 75.3 | 1   | 0.3 | 0       | 0.0 | 2      | 0.7 | 0    | 0.0 |
| Western  | 601                    | 391                 | 65.1 | 3   | 0.5 | 3       | 0.5 | 4      | 0.7 | 0    | 0.0 |
| South Carolina   | 1,020                  | 667                 | 65.4 | 2   | 0.2 | 0       | 0.0 | 4      | 0.4 | 0    | 0.0 |
| Virginia   | ,                      |                     |      | _   |     | -       |     | -      |     | -    |     |
| Eastern  | 1,239                  | 923                 | 74.5 | 7   | 0.6 | 4       | 0.3 | 13     | 1.0 | 2    | 0.2 |
| Western  | 315                    | 208                 | 66.0 | 2   | 0.6 | 1       | 0.3 | 2      | 0.6 | 1    | 0.3 |
| West Virginia  |                        |                     | 2010 | -   | 2.0 | -       |     | -      | 2.0 |      | 0.0 |
| Northern   | 349                    | 237                 | 67.9 | 0   | 0.0 | 1       | 0.3 | 4      | 1.1 | 0    | 0.0 |
| Southern   | 224                    | 146                 | 65.2 | 0   | 0.0 | 1       | 0.5 | 2      | 0.9 | 0    | 0.0 |

| CIRCUIT              | §5K<br>SUBSTA<br>A SSIST | NTIAI  | §5K3<br>EARI<br>DISPOSI | ĹY  | OTHI<br>GOV<br>SPONSC | 'T       | DOWNV<br>DEPART |          | DOWNW<br>DEPART<br>W/ BOO | URE      | BELO<br>RANO<br>W/ BOO | ЭE       | REMAII<br>BELC<br>RANO | )W       |
|----------------------|--------------------------|--------|-------------------------|-----|-----------------------|----------|-----------------|----------|---------------------------|----------|------------------------|----------|------------------------|----------|
| District             | N                        | MILL % | N                       | %   | N                     | <u>%</u> | N               | <u>%</u> | N                         | <u>%</u> | N                      | <u>%</u> | N                      | <u>%</u> |
| TOTAL                | 8,065                    | 13.4   | 4,693                   | 7.8 | 2,496                 | 4.1      | 1,224           | 2.0      | 748                       | 1.2      | 5,682                  | 9.4      | 696                    | 1.2      |
| D.C. CIRCUIT         | 114                      | 35.2   | 0                       | 0.0 | 26                    | 8.0      | 7               | 2.2      | 20                        | 6.2      | 25                     | 7.7      | 7                      | 2.2      |
| District of Columbia | 114                      | 35.2   | 0                       | 0.0 | 26                    | 8.0      | 7               | 2.2      | 20                        | 6.2      | 25                     | 7.7      | 7                      | 2.2      |
| FIRST CIRCUIT        | 155                      | 10.9   | 17                      | 1.2 | 65                    | 4.6      | 38              | 2.7      | 29                        | 2.0      | 196                    | 13.8     | 22                     | 1.5      |
| Maine                | 43                       | 25.4   | 0                       | 0.0 | 0                     | 0.0      | 4               | 2.4      | 1                         | 0.6      | 19                     | 11.2     | 1                      | 0.6      |
| Massachusetts        | 34                       | 9.2    | 1                       | 0.3 | 30                    | 8.1      | 17              | 4.6      | 10                        | 2.7      | 83                     | 22.5     | 4                      | 1.1      |
| New Hampshire        | 39                       | 20.9   | 0                       | 0.0 | 6                     | 3.2      | 4               | 2.1      | 6                         | 3.2      | 36                     | 19.3     | 3                      | 1.6      |
| Puerto Rico          | 35                       | 5.5    | 16                      | 2.5 | 28                    | 4.4      | 12              | 1.9      | 11                        | 1.7      | 44                     | 7.0      | 13                     | 2.1      |
| Rhode Island         | 4                        | 6.3    | 0                       | 0.0 | 1                     | 1.6      | 1               | 1.6      | 1                         | 1.6      | 14                     | 21.9     | 1                      | 1.6      |
| SECOND CIRCUIT       | 760                      | 21.0   | 0                       | 0.0 | 144                   | 4.0      | 132             | 3.7      | 115                       | 3.2      | 763                    | 21.1     | 43                     | 1.2      |
| Connecticut          | 67                       | 19.0   | 0                       | 0.0 | 14                    | 4.0      | 31              | 8.8      | 30                        | 8.5      | 64                     | 18.1     | 1                      | 0.3      |
| New York             |                          |        |                         |     |                       |          |                 |          |                           |          |                        |          |                        |          |
| Eastern              | 229                      | 23.2   | 0                       | 0.0 | 74                    | 7.5      | 26              | 2.6      | 39                        | 4.0      | 217                    | 22.0     | 17                     | 1.7      |
| Northern             | 90                       | 23.3   | 0                       | 0.0 | 5                     | 1.3      | 28              | 7.3      | 6                         | 1.6      | 43                     | 11.1     | 0                      | 0.0      |
| Southern             | 198                      | 16.3   | 0                       | 0.0 | 31                    | 2.5      | 23              | 1.9      | 30                        | 2.5      | 374                    | 30.7     | 23                     | 1.9      |
| Western              | 143                      | 26.6   | 0                       | 0.0 | 15                    | 2.8      | 5               | 0.9      | 3                         | 0.6      | 48                     | 8.9      | 2                      | 0.4      |
| Vermont              | 33                       | 24.6   | 0                       | 0.0 | 5                     | 3.7      | 19              | 14.2     | 7                         | 5.2      | 17                     | 12.7     | 0                      | 0.0      |
| THIRD CIRCUIT        | 633                      | 24.5   | 1                       | 0.0 | 40                    | 1.5      | 43              | 1.7      | 42                        | 1.6      | 364                    | 14.1     | 53                     | 2.0      |
| Delaware             | 7                        | 5.5    | 0                       | 0.0 | 2                     | 1.6      | 2               | 1.6      | 0                         | 0.0      | 24                     | 18.9     | 25                     | 19.7     |
| New Jersey           | 238                      | 28.6   | 1                       | 0.1 | 8                     | 1.0      | 10              | 1.2      | 6                         | 0.7      | 129                    | 15.5     | 6                      | 0.7      |
| Pennsylvania         |                          |        |                         |     |                       |          |                 |          |                           |          |                        |          |                        |          |
| Eastern              | 194                      | 31.6   | 0                       | 0.0 | 12                    | 2.0      | 6               | 1.0      | 18                        | 2.9      | 107                    | 17.4     | 8                      | 1.3      |
| Middle               | 142                      | 27.0   | 0                       | 0.0 | 12                    | 2.3      | 9               | 1.7      | 3                         | 0.6      | 46                     | 8.7      | 5                      | 1.0      |
| Western              | 45                       | 10.6   | 0                       | 0.0 | 4                     | 0.9      | 16              | 3.8      | 14                        | 3.3      | 50                     | 11.8     | 5                      | 1.2      |
| Virgin Islands       | 7                        | 10.8   | 0                       | 0.0 | 2                     | 3.1      | 0               | 0.0      | 1                         | 1.5      | 8                      | 12.3     | 4                      | 6.2      |
| FOURTH CIRCUIT       | 906                      | 17.5   | 0                       | 0.0 | 81                    | 1.6      | 90              | 1.7      | 42                        | 0.8      | 493                    | 9.5      | 56                     | 1.1      |
| Maryland             | 130                      | 22.2   | 0                       | 0.0 | 26                    | 4.4      | 9               | 1.5      | 15                        | 2.6      | 91                     | 15.6     | 13                     | 2.2      |
| North Carolina       |                          |        |                         |     |                       |          |                 |          |                           |          |                        |          |                        |          |
| Eastern              | 149                      | 27.0   | 0                       | 0.0 | 3                     | 0.5      | 3               | 0.5      | 5                         | 0.9      | 30                     | 5.4      | 2                      | 0.4      |
| Middle               | 51                       | 16.8   | 0                       | 0.0 | 0                     | 0.0      | 1               | 0.3      | 0                         | 0.0      | 20                     | 6.6      | 0                      | 0.0      |
| Western              | 155                      | 25.8   | 0                       | 0.0 | 7                     | 1.2      | 8               | 1.3      | 4                         | 0.7      | 21                     | 3.5      | 5                      | 0.8      |
| South Carolina       | 218                      | 21.4   | 0                       | 0.0 | 19                    | 1.9      | 17              | 1.7      | 0                         | 0.0      | 76                     | 7.5      | 17                     | 1.7      |
| Virginia             |                          |        |                         |     |                       |          |                 |          |                           |          |                        |          |                        |          |
| Eastern              | 82                       | 6.6    | 0                       | 0.0 | 14                    | 1.1      | 43              | 3.5      | 14                        | 1.1      | 119                    | 9.6      | 18                     | 1.5      |
| Western              | 61                       | 19.4   | 0                       | 0.0 | 3                     | 1.0      | 3               | 1.0      | 0                         | 0.0      | 33                     | 10.5     | 1                      | 0.3      |
| West Virginia        |                          | ->     | Ŭ                       | 5.0 | 2                     |          | 2               |          |                           | 5.0      | 22                     | - 0.0    |                        | 5.0      |
| Northern             | 34                       | 9.7    | 0                       | 0.0 | 9                     | 2.6      | 4               | 1.1      | 2                         | 0.6      | 58                     | 16.6     | 0                      | 0.0      |
| Southern             | 26                       | 11.6   | 0                       | 0.0 | 0                     | 0.0      | 2               | 0.9      | 2                         | 0.9      | 45                     | 20.1     | 0                      | 0.0      |

| <b>CIRCUIT</b><br>District |                     | WITH<br>GUIDEI<br>RANO | LINE | UPWA<br>DEPART |     | UPWA<br>DEPART<br>W/ BOOD | URE | ABOV<br>RANG<br>W/ BOOD | E          | REMAIN<br>ABOV<br>RANG | <b>E</b> |
|----------------------------|---------------------|------------------------|------|----------------|-----|---------------------------|-----|-------------------------|------------|------------------------|----------|
|                            | TOTAL               | Ν                      | %    | N              | %   | N                         | %   | N                       | %          | N                      | %        |
| FIFTH CIRCUIT              | 14,125              | 9,936                  | 70.3 | 72             | 0.5 | 25                        | 0.2 | 148                     | 1.0        | 24                     | 0.2      |
| Louisiana                  | ,                   | ,                      |      |                |     |                           |     |                         |            |                        |          |
| Eastern                    | 393                 | 304                    | 77.4 | 4              | 1.0 | 4                         | 1.0 | 7                       | 1.8        | 1                      | 0.3      |
| Middle                     | 155                 | 108                    | 69.7 | 2              | 1.3 | 0                         | 0.0 | 1                       | 0.6        | 0                      | 0.0      |
| Western                    | 248                 | 179                    | 72.2 | 1              | 0.4 | 0                         | 0.0 | 8                       | 3.2        | 0                      | 0.0      |
| Mississippi                | 240                 | 175                    | 12.2 | 1              | 0.4 | 0                         | 0.0 | 0                       | 5.2        | 0                      | 0.0      |
| Northern                   | 150                 | 90                     | 60.0 | 0              | 0.0 | 0                         | 0.0 | 4                       | 2.7        | 0                      | 0.0      |
| Southern                   | 331                 | 272                    | 82.2 | 1              | 0.0 | 1                         | 0.0 | 2                       | 0.6        | 3                      | 0.0      |
| Texas                      | 551                 | 212                    | 02.2 | 1              | 0.5 | 1                         | 0.5 | 2                       | 0.0        | 5                      | 0.7      |
| Eastern                    | 817                 | 585                    | 71.6 | 6              | 0.7 | 0                         | 0.0 | 3                       | 0.4        | 2                      | 0.2      |
| Northern                   | 841                 | 565                    | 67.2 | 17             | 2.0 | 0<br>7                    | 0.0 | 28                      | 3.3        | 2                      | 0.2      |
| Southern                   |                     |                        | 57.9 | 24             |     | 3                         | 0.8 | 28<br>20                | 5.5<br>0.4 | 2<br>10                | 0.2      |
|                            | 5,278               | 3,056                  |      |                | 0.5 |                           |     |                         |            |                        |          |
| Western                    | 5,912               | 4,777                  | 80.8 | 17             | 0.3 | 10                        | 0.2 | 75                      | 1.3        | 6                      | 0.1      |
| SIXTH CIRCUIT              | 4,303               | 2,304                  | 53.5 | 19             | 0.4 | 10                        | 0.2 | 31                      | 0.7        | 9                      | 0.2      |
| Kentucky                   | 550                 | 254                    | 45 4 | 0              | 0.0 | 1                         | 0.2 | 5                       | 0.0        | 0                      | 0.0      |
| Eastern                    | 559<br>2 <b>5</b> 9 | 254                    | 45.4 | 0              | 0.0 | 1                         | 0.2 | 5                       | 0.9        | 0                      | 0.0      |
| Western                    | 370                 | 257                    | 69.5 | 3              | 0.8 | 0                         | 0.0 | 1                       | 0.3        | 0                      | 0.0      |
| Michigan                   | -                   |                        |      |                |     | 0                         |     |                         |            |                        |          |
| Eastern                    | 596                 | 329                    | 55.2 | 3              | 0.5 | 0                         | 0.0 | 4                       | 0.7        | 2                      | 0.3      |
| Western                    | 350                 | 207                    | 59.1 | 5              | 1.4 | 3                         | 0.9 | 8                       | 2.3        | 3                      | 0.9      |
| Ohio                       |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Northern                   | 730                 | 396                    | 54.2 | 1              | 0.1 | 1                         | 0.1 | 6                       | 0.8        | 1                      | 0.1      |
| Southern                   | 501                 | 197                    | 39.3 | 1              | 0.2 | 2                         | 0.4 | 0                       | 0.0        | 1                      | 0.2      |
| Tennessee                  |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Eastern                    | 474                 | 297                    | 62.7 | 1              | 0.2 | 1                         | 0.2 | 3                       | 0.6        | 2                      | 0.4      |
| Middle                     | 235                 | 124                    | 52.8 | 1              | 0.4 | 1                         | 0.4 | 0                       | 0.0        | 0                      | 0.0      |
| Western                    | 488                 | 243                    | 49.8 | 4              | 0.8 | 1                         | 0.2 | 4                       | 0.8        | 0                      | 0.0      |
| SEVENTH CIRCUIT            | 2,436               | 1,300                  | 53.4 | 3              | 0.1 | 7                         | 0.3 | 25                      | 1.0        | 5                      | 0.2      |
| Illinois                   |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Central                    | 309                 | 169                    | 54.7 | 0              | 0.0 | 0                         | 0.0 | 0                       | 0.0        | 1                      | 0.3      |
| Northern                   | 743                 | 327                    | 44.0 | 1              | 0.1 | 3                         | 0.4 | 3                       | 0.4        | 2                      | 0.3      |
| Southern                   | 245                 | 186                    | 75.9 | 1              | 0.4 | 3                         | 1.2 | 4                       | 1.6        | 1                      | 0.4      |
| Indiana                    |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Northern                   | 359                 | 235                    | 65.5 | 0              | 0.0 | 1                         | 0.3 | 1                       | 0.3        | 1                      | 0.3      |
| Southern                   | 226                 | 123                    | 54.4 | 1              | 0.4 | 0                         | 0.0 | 5                       | 2.2        | 0                      | 0.0      |
| Wisconsin                  |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Eastern                    | 418                 | 160                    | 38.3 | 0              | 0.0 | 0                         | 0.0 | 11                      | 2.6        | 0                      | 0.0      |
| Western                    | 136                 | 100                    | 73.5 | 0              | 0.0 | 0                         | 0.0 | 1                       | 0.7        | 0                      | 0.0      |
| EIGHTH CIRCUIT             | 4,468               | 2,852                  | 63.8 | 26             | 0.6 | 11                        | 0.2 | 39                      | 0.9        | 8                      | 0.2      |
| Arkansas                   |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Eastern                    | 348                 | 246                    | 70.7 | 2              | 0.6 | 2                         | 0.6 | 0                       | 0.0        | 2                      | 0.6      |
| Western                    | 176                 | 122                    | 69.3 | 1              | 0.6 | 0                         | 0.0 | 2                       | 1.1        | 0                      | 0.0      |
| Iowa                       |                     |                        |      |                |     |                           |     |                         |            |                        |          |
| Northern                   | 546                 | 402                    | 73.6 | 9              | 1.6 | 3                         | 0.5 | 5                       | 0.9        | 2                      | 0.4      |
| Southern                   | 398                 | 211                    | 53.0 | 2              | 0.5 | 1                         | 0.3 | 5                       | 1.3        | 1                      | 0.3      |
| Minnesota                  | 488                 | 228                    | 46.7 | 1              | 0.2 | 0                         | 0.0 | 1                       | 0.2        | 0                      | 0.0      |
| Missouri                   |                     |                        |      | -              | –   | -                         |     | -                       |            | -                      |          |
| Eastern                    | 816                 | 551                    | 67.5 | 0              | 0.0 | 0                         | 0.0 | 6                       | 0.7        | 0                      | 0.0      |
| Western                    | 639                 | 400                    | 62.6 | 2              | 0.3 | 3                         | 0.5 | 8                       | 1.3        | 1                      | 0.2      |
| Nebraska                   | 516                 | 350                    | 67.8 | 2<br>7         | 1.4 | 0                         | 0.0 | 8                       | 1.5        | 2                      | 0.2      |
| North Dakota               | 193                 | 91                     | 47.2 | 0              | 0.0 | 0                         | 0.0 | 8<br>0                  | 0.0        | 2                      | 0.4      |
| South Dakota               | 193<br>348          | 251                    | 72.1 | 0              | 0.0 | 1                         | 0.3 | 0<br>4                  | 0.0<br>1.1 | 0                      | 0.0      |
| South Dakola               | 340                 | 231                    | 12.1 | 2              | 0.0 | 1                         | 0.5 | 4                       | 1.1        | 0                      | 0.0      |

|                 | §5K<br>SUBSTA |      | §5K3<br>L EARI |      | OTH<br>GOV |      | DOWNW  | VARD | DOWNW<br>DEPART |     | BEL(<br>RAN( |      | REMAII<br>BELC |     |
|-----------------|---------------|------|----------------|------|------------|------|--------|------|-----------------|-----|--------------|------|----------------|-----|
| CIRCUIT         |               |      | DISPOSI        |      |            |      | DEPART |      | W/ BOO.         |     | W/BOC        |      | RANO           |     |
| District        | N             | %    | Ν              | %    | N          | %    | N      | %    | N               | %   | N            | %    | N              | %   |
| FIFTH CIRCUIT   | 1,056         | 7.5  | 1,037          | 7.3  | 782        | 5.5  | 341    | 2.4  | 30              | 0.2 | 565          | 4.0  | 109            | 0.8 |
| Louisiana       |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Eastern         | 39            | 9.9  | 0              | 0.0  | 7          | 1.8  | 5      | 1.3  | 2               | 0.5 | 20           | 5.1  | 0              | 0.0 |
| Middle          | 35            | 22.6 | 0              | 0.0  | 0          | 0.0  | 2      | 1.3  | 1               | 0.6 | 6            | 3.9  | 0              | 0.0 |
| Western         | 23            | 9.3  | 0              | 0.0  | 0          | 0.0  | 0      | 0.0  | 4               | 1.6 | 22           | 8.9  | 11             | 4.4 |
| Mississippi     |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Northern        | 33            | 22.0 | 0              | 0.0  | 13         | 8.7  | 2      | 1.3  | 0               | 0.0 | 5            | 3.3  | 3              | 2.0 |
| Southern        | 23            | 6.9  | 0              | 0.0  | 1          | 0.3  | 2      | 0.6  | 2               | 0.6 | 16           | 4.8  | 8              | 2.4 |
| Texas           |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Eastern         | 88            | 10.8 | 0              | 0.0  | 80         | 9.8  | 24     | 2.9  | 4               | 0.5 | 21           | 2.6  | 4              | 0.5 |
| Northern        | 137           | 16.3 | 0              | 0.0  | 16         | 1.9  | 5      | 0.6  | 1               | 0.1 | 58           | 6.9  | 5              | 0.6 |
| Southern        | 304           | 5.8  | 912            | 17.3 | 600        | 11.4 | 183    | 3.5  | 1               | 0.0 | 154          | 2.9  | 11             | 0.2 |
| Western         | 374           | 6.3  | 125            | 2.1  | 65         | 1.1  | 118    | 2.0  | 15              | 0.3 | 263          | 4.4  | 67             | 1.1 |
| SIXTH CIRCUIT   | 1,089         | 25.3 | 1              | 0.0  | 113        | 2.6  | 77     | 1.8  | 92              | 2.1 | 488          | 11.3 | 70             | 1.6 |
| Kentucky        | 227           | 12.4 | 0              | 0.0  | -          | 0.0  | -      | 0.0  | 1               | 0.2 |              |      | ~              | 1.2 |
| Eastern         | 237           | 42.4 | 0              | 0.0  | 5          | 0.9  | 5      | 0.9  | 1               | 0.2 | 44           | 7.9  | 7              | 1.3 |
| Western         | 50            | 13.5 | 0              | 0.0  | 18         | 4.9  | 6      | 1.6  | 0               | 0.0 | 32           | 8.6  | 3              | 0.8 |
| Michigan        | 110           | 10.0 | 0              | 0.0  | 10         | 2.0  | 10     | 17   | 10              | 17  | 102          | 17.1 |                | 1.0 |
| Eastern         | 118           | 19.8 | 0              | 0.0  | 12         | 2.0  | 10     | 1.7  | 10              | 1.7 | 102          | 17.1 | 6              | 1.0 |
| Western         | 63            | 18.0 | 0              | 0.0  | 0          | 0.0  | 7      | 2.0  | 5               | 1.4 | 46           | 13.1 | 3              | 0.9 |
| Ohio            | 4.40          |      |                |      |            |      | 10     |      | 4.0             |     |              |      | •              |     |
| Northern        | 168           | 23.0 | 0              | 0.0  | 23         | 3.2  | 10     | 1.4  | 19              | 2.6 | 85           | 11.6 | 20             | 2.7 |
| Southern        | 150           | 29.9 | 0              | 0.0  | 26         | 5.2  | 19     | 3.8  | 37              | 7.4 | 54           | 10.8 | 14             | 2.8 |
| Tennessee       |               |      | _              |      | _          |      | _      |      |                 |     |              |      | _              |     |
| Eastern         | 112           | 23.6 | 0              | 0.0  | 2          | 0.4  | 7      | 1.5  | 3               | 0.6 | 37           | 7.8  | 9              | 1.9 |
| Middle          | 53            | 22.6 | 0              | 0.0  | 11         | 4.7  | 5      | 2.1  | 10              | 4.3 | 28           | 11.9 | 2              | 0.9 |
| Western         | 138           | 28.3 | 1              | 0.2  | 16         | 3.3  | 8      | 1.6  | 7               | 1.4 | 60           | 12.3 | 6              | 1.2 |
| SEVENTH CIRCUIT | 465           | 19.1 | 1              | 0.0  | 63         | 2.6  | 33     | 1.4  | 79              | 3.2 | 392          | 16.1 | 63             | 2.6 |
| Illinois        |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Central         | 74            | 23.9 | 1              | 0.3  | 5          | 1.6  | 5      | 1.6  | 2               | 0.6 | 48           | 15.5 | 4              | 1.3 |
| Northern        | 148           | 19.9 | 0              | 0.0  | 15         | 2.0  | 17     | 2.3  | 66              | 8.9 | 135          | 18.2 | 26             | 3.5 |
| Southern        | 24            | 9.8  | 0              | 0.0  | 2          | 0.8  | 0      | 0.0  | 5               | 2.0 | 15           | 6.1  | 4              | 1.6 |
| Indiana         |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Northern        | 75            | 20.9 | 0              | 0.0  | 3          | 0.8  | 0      | 0.0  | 2               | 0.6 | 24           | 6.7  | 17             | 4.7 |
| Southern        | 43            | 19.0 | 0              | 0.0  | 13         | 5.8  | 4      | 1.8  | 1               | 0.4 | 36           | 15.9 | 0              | 0.0 |
| Wisconsin       |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Eastern         | 90            | 21.5 | 0              | 0.0  | 25         | 6.0  | 4      | 1.0  | 0               | 0.0 | 116          | 27.8 | 12             | 2.9 |
| Western         | 11            | 8.1  | 0              | 0.0  | 0          | 0.0  | 3      | 2.2  | 3               | 2.2 | 18           | 13.2 | 0              | 0.0 |
| EIGHTH CIRCUIT  | 667           | 14.9 | 47             | 1.1  | 121        | 2.7  | 58     | 1.3  | 62              | 1.4 | 527          | 11.8 | 50             | 1.1 |
| Arkansas        |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Eastern         | 46            | 13.2 | 0              | 0.0  | 5          | 1.4  | 7      | 2.0  | 8               | 2.3 | 20           | 5.7  | 10             | 2.9 |
| Western         | 32            | 18.2 | 0              | 0.0  | 0          | 0.0  | 2      | 1.1  | 0               | 0.0 | 17           | 9.7  | 0              | 0.0 |
| Iowa            |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Northern        | 47            | 8.6  | 31             | 5.7  | 1          | 0.2  | 0      | 0.0  | 3               | 0.5 | 41           | 7.5  | 2              | 0.4 |
| Southern        | 83            | 20.9 | 0              | 0.0  | 22         | 5.5  | 5      | 1.3  | 3               | 0.8 | 56           | 14.1 | 9              | 2.3 |
| Minnesota       | 137           | 28.1 | 0              | 0.0  | 8          | 1.6  | 9      | 1.8  | 18              | 3.7 | 83           | 17.0 | 3              | 0.6 |
| Missouri        |               |      |                |      |            |      |        |      |                 |     |              |      |                |     |
| Eastern         | 104           | 12.7 | 0              | 0.0  | 18         | 2.2  | 14     | 1.7  | 10              | 1.2 | 103          | 12.6 | 10             | 1.2 |
| Western         | 114           | 17.8 | 0              | 0.0  | 18         | 2.8  | 8      | 1.3  | 10              | 1.6 | 73           | 11.4 | 2              | 0.3 |
| Nebraska        | 20            | 3.9  | 14             | 2.7  | 39         | 7.6  | 5      | 1.0  | 5               | 1.0 | 61           | 11.8 | 5              | 1.0 |
| North Dakota    | 76            | 39.4 | 2              | 1.0  | 3          | 1.6  | 2      | 1.0  | 0               | 0.0 | 17           | 8.8  | 1              | 0.5 |
| South Dakota    | 8             | 2.3  | 0              | 0.0  | 7          | 2.0  | 6      | 1.7  | 5               | 1.4 | 56           | 16.1 | 8              | 2.3 |

|                          |        | WITH<br>GUIDEI<br>RAN( | LINE | UPWA<br>DEPART |     | UPWA<br>DEPART<br>W/ BOOD | URE | ABOV<br>RANG<br>W/ BOOD | E   | REMAIN<br>ABOV<br>RANG | Έ   |
|--------------------------|--------|------------------------|------|----------------|-----|---------------------------|-----|-------------------------|-----|------------------------|-----|
| District                 | TOTAL  | Ν                      | %    | Ν              | %   | Ν                         | %   | Ν                       | %   | Ν                      | %   |
| NINTH CIRCUIT            | 11,288 | 5,052                  | 44.8 | 55             | 0.5 | 13                        | 0.1 | 58                      | 0.5 | 15                     | 0.1 |
| Alaska                   | 129    | 66                     | 51.2 | 0              | 0.0 | 0                         | 0.0 | 2                       | 1.6 | 1                      | 0.8 |
| Arizona                  | 2,865  | 920                    | 32.1 | 32             | 1.1 | 2                         | 0.1 | 8                       | 0.3 | 2                      | 0.1 |
| California               |        |                        |      |                |     |                           |     |                         |     |                        |     |
| Central                  | 1,344  | 505                    | 37.6 | 3              | 0.2 | 4                         | 0.3 | 11                      | 0.8 | 4                      | 0.3 |
| Eastern                  | 713    | 329                    | 46.1 | 0              | 0.0 | 3                         | 0.4 | 3                       | 0.4 | 2                      | 0.3 |
| Northern                 | 541    | 290                    | 53.6 | 0              | 0.0 | 2                         | 0.4 | 5                       | 0.9 | 0                      | 0.0 |
| Southern                 | 3,143  | 1,615                  | 51.4 | 10             | 0.3 | 1                         | 0.0 | 6                       | 0.2 | 3                      | 0.1 |
| Guam                     | 55     | 39                     | 70.9 | 1              | 1.8 | 0                         | 0.0 | 0                       | 0.0 | 1                      | 1.8 |
| Hawaii                   | 204    | 85                     | 41.7 | 0              | 0.0 | 0                         | 0.0 | 0                       | 0.0 | 0                      | 0.0 |
| Idaho                    | 222    | 100                    | 45.0 | 0              | 0.0 | 0                         | 0.0 | 3                       | 1.4 | 0                      | 0.0 |
| Montana                  | 311    | 224                    | 72.0 | 3              | 1.0 | 0                         | 0.0 | 6                       | 1.9 | 0                      | 0.0 |
| Nevada                   | 328    | 221                    | 67.4 | 1              | 0.3 | 0                         | 0.0 | 2                       | 0.6 | 0                      | 0.0 |
| Northern Mariana Islands | 9      | 6                      | 66.7 | 0              | 0.0 | 0                         | 0.0 | 0                       | 0.0 | 0                      | 0.0 |
| Oregon                   | 503    | 230                    | 45.7 | 2              | 0.4 | 0                         | 0.0 | 2                       | 0.4 | 2                      | 0.4 |
| Washington               |        |                        |      |                |     |                           |     |                         |     |                        |     |
| Eastern                  | 280    | 107                    | 38.2 | 0              | 0.0 | 1                         | 0.4 | 7                       | 2.5 | 0                      | 0.0 |
| Western                  | 641    | 315                    | 49.1 | 3              | 0.5 | 0                         | 0.0 | 3                       | 0.5 | 0                      | 0.0 |
| TENTH CIRCUIT            | 4,767  | 2,945                  | 61.8 | 12             | 0.3 | 3                         | 0.1 | 61                      | 1.3 | 3                      | 0.1 |
| Colorado                 | 358    | 211                    | 58.9 | 1              | 0.3 | 0                         | 0.0 | 2                       | 0.6 | 1                      | 0.3 |
| Kansas                   | 544    | 325                    | 59.7 | 2              | 0.4 | 2                         | 0.4 | 38                      | 7.0 | 1                      | 0.2 |
| New Mexico               | 2,351  | 1,474                  | 62.7 | 4              | 0.2 | 0                         | 0.0 | 4                       | 0.2 | 1                      | 0.0 |
| Oklahoma                 |        |                        |      |                |     |                           |     |                         |     |                        |     |
| Eastern                  | 72     | 68                     | 94.4 | 0              | 0.0 | 0                         | 0.0 | 0                       | 0.0 | 0                      | 0.0 |
| Northern                 | 179    | 136                    | 76.0 | 0              | 0.0 | 0                         | 0.0 | 0                       | 0.0 | 0                      | 0.0 |
| Western                  | 289    | 206                    | 71.3 | 1              | 0.3 | 0                         | 0.0 | 9                       | 3.1 | 0                      | 0.0 |
| Utah                     | 692    | 342                    | 49.4 | 4              | 0.6 | 0                         | 0.0 | 6                       | 0.9 | 0                      | 0.0 |
| Wyoming                  | 282    | 183                    | 64.9 | 0              | 0.0 | 1                         | 0.4 | 2                       | 0.7 | 0                      | 0.0 |
| ELEVENTH CIRCUIT         | 5,796  | 3,937                  | 67.9 | 9              | 0.2 | 6                         | 0.1 | 76                      | 1.3 | 2                      | 0.0 |
| Alabama                  |        |                        |      |                |     |                           |     |                         |     |                        |     |
| Middle                   | 212    | 143                    | 67.5 | 0              | 0.0 | 0                         | 0.0 | 3                       | 1.4 | 0                      | 0.0 |
| Northern                 | 361    | 223                    | 61.8 | 0              | 0.0 | 3                         | 0.8 | 11                      | 3.0 | 0                      | 0.0 |
| Southern                 | 467    | 306                    | 65.5 | 2              | 0.4 | 0                         | 0.0 | 6                       | 1.3 | 0                      | 0.0 |
| Florida                  |        |                        |      |                |     |                           |     |                         |     |                        |     |
| Middle                   | 1,363  | 832                    | 61.0 | 1              | 0.1 | 1                         | 0.1 | 7                       | 0.5 | 0                      | 0.0 |
| Northern                 | 278    | 201                    | 72.3 | 1              | 0.4 | 0                         | 0.0 | 4                       | 1.4 | 0                      | 0.0 |
| Southern                 | 1,788  | 1,307                  | 73.1 | 0              | 0.0 | 1                         | 0.1 | 18                      | 1.0 | 1                      | 0.1 |
| Georgia                  |        |                        |      |                |     |                           |     |                         |     |                        |     |
| Middle                   | 285    | 234                    | 82.1 | 0              | 0.0 | 0                         | 0.0 | 5                       | 1.8 | 0                      | 0.0 |
| Northern                 | 615    | 354                    | 57.6 | 2              | 0.3 | 1                         | 0.2 | 5                       | 0.8 | 0                      | 0.0 |
| Southern                 | 427    | 337                    | 78.9 | 3              | 0.7 | 0                         | 0.0 | 17                      | 4.0 | 1                      | 0.2 |

| CIRCUIT                 | §5K<br>SUBSTA<br>ASSIST | NTIAI | §5K3<br>EARI<br>DISPOSI | LY   | OTHI<br>GOV<br>SPONSC | Т    | DOWNW<br>DEPARI |     | DOWNW<br>DEPART<br>W/ BOO | TURE | BELO<br>RANO<br>W/ BOO | ĞΕ   | REMAII<br>BELC<br>RAN( | <b>)</b> W |
|-------------------------|-------------------------|-------|-------------------------|------|-----------------------|------|-----------------|-----|---------------------------|------|------------------------|------|------------------------|------------|
| District                | Ν                       | %     | Ν                       | %    | Ν                     | %    | Ν               | %   | Ν                         | %    | Ν                      | %    | Ν                      | %          |
| NINTH CIRCUIT           | 1,005                   | 8.9   | 3,125                   | 27.7 | 618                   | 5.5  | 210             | 1.9 | 120                       | 1.1  | 856                    | 7.6  | 161                    | 1.4        |
| Alaska                  | 25                      | 19.4  | 0                       | 0.0  | 17                    | 13.2 | 1               | 0.8 | 4                         | 3.1  | 11                     | 8.5  | 2                      | 1.6        |
| Arizona                 | 138                     | 4.8   | 1,464                   | 51.1 | 105                   | 3.7  | 38              | 1.3 | 23                        | 0.8  | 117                    | 4.1  | 16                     | 0.6        |
| California              |                         |       |                         |      |                       |      |                 |     |                           |      |                        |      |                        |            |
| Central                 | 169                     | 12.6  | 355                     | 26.4 | 86                    | 6.4  | 27              | 2.0 | 27                        | 2.0  | 108                    | 8.0  | 45                     | 3.3        |
| Eastern                 | 105                     | 14.7  | 162                     | 22.7 | 25                    | 3.5  | 12              | 1.7 | 8                         | 1.1  | 56                     | 7.9  | 8                      | 1.1        |
| Northern                | 60                      | 11.1  | 35                      | 6.5  | 57                    | 10.5 | 9               | 1.7 | 10                        | 1.8  | 55                     | 10.2 | 18                     | 3.3        |
| Southern                | 123                     | 3.9   | 988                     | 31.4 | 106                   | 3.4  | 82              | 2.6 | 13                        | 0.4  | 143                    | 4.5  | 53                     | 1.7        |
| Guam                    | 5                       | 9.1   | 0                       | 0.0  | 0                     | 0.0  | 1               | 1.8 | 0                         | 0.0  | 6                      | 10.9 | 2                      | 3.6        |
| Hawaii                  | 60                      | 29.4  | 0                       | 0.0  | 2                     | 1.0  | 2               | 1.0 | 2                         | 1.0  | 51                     | 25.0 | 2                      | 1.0        |
| Idaho                   | 62                      | 27.9  | 29                      | 13.1 | 2                     | 0.9  | 1               | 0.5 | 3                         | 1.4  | 19                     | 8.6  | 3                      | 1.4        |
| Montana                 | 40                      | 12.9  | 0                       | 0.0  | 0                     | 0.0  | 3               | 1.0 | 3                         | 1.0  | 29                     | 9.3  | 3                      | 1.0        |
| Nevada                  | 20                      | 6.1   | 0                       | 0.0  | 39                    | 11.9 | 2               | 0.6 | 2                         | 0.6  | 37                     | 11.3 | 4                      | 1.2        |
| Northern Mariana Island |                         | 22.2  | 0                       | 0.0  | 0                     | 0.0  | 0               | 0.0 | 1                         | 11.1 | 0                      | 0.0  | 0                      | 0.0        |
| Oregon                  | 97                      | 19.3  | 19                      | 3.8  | 53                    | 10.5 | 14              | 2.8 | 6                         | 1.2  | 77                     | 15.3 | 1                      | 0.2        |
| Washington              |                         |       |                         |      |                       |      |                 |     |                           |      |                        |      |                        |            |
| Eastern                 | 20                      | 7.1   | 60                      | 21.4 | 32                    | 11.4 | 1               | 0.4 | 2                         | 0.7  | 48                     | 17.1 | 2                      | 0.7        |
| Western                 | 79                      | 12.3  | 13                      | 2.0  | 94                    | 14.7 | 17              | 2.7 | 16                        | 2.5  | 99                     | 15.4 | 2                      | 0.3        |
| TENTH CIRCUIT           | 329                     | 6.9   | 440                     | 9.2  | 380                   | 8.0  | 119             | 2.5 | 57                        | 1.2  | 395                    | 8.3  | 23                     | 0.5        |
| Colorado                | 60                      | 16.8  | 0                       | 0.0  | 15                    | 4.2  | 16              | 4.5 | 5                         | 1.4  | 43                     | 12.0 | 4                      | 1.1        |
| Kansas                  | 93                      | 17.1  | 0                       | 0.0  | 31                    | 5.7  | 3               | 0.6 | 2                         | 0.4  | 46                     | 8.5  | 1                      | 0.2        |
| New Mexico              | 62                      | 2.6   | 287                     | 12.2 | 280                   | 11.9 | 74              | 3.1 | 29                        | 1.2  | 130                    | 5.5  | 6                      | 0.3        |
| Oklahoma                |                         |       |                         |      |                       |      |                 |     |                           |      |                        |      |                        |            |
| Eastern                 | 0                       | 0.0   | 0                       | 0.0  | 0                     | 0.0  | 0               | 0.0 | 0                         | 0.0  | 4                      | 5.6  | 0                      | 0.0        |
| Northern                | 28                      | 15.6  | 0                       | 0.0  | 1                     | 0.6  | 1               | 0.6 | 0                         | 0.0  | 13                     | 7.3  | 0                      | 0.0        |
| Western                 | 18                      | 6.2   | 0                       | 0.0  | 3                     | 1.0  | 4               | 1.4 | 0                         | 0.0  | 46                     | 15.9 | 2                      | 0.7        |
| Utah                    | 42                      | 6.1   | 153                     | 22.1 | 38                    | 5.5  | 19              | 2.7 | 2                         | 0.3  | 79                     | 11.4 | 7                      | 1.0        |
| Wyoming                 | 26                      | 9.2   | 0                       | 0.0  | 12                    | 4.3  | 2               | 0.7 | 19                        | 6.7  | 34                     | 12.1 | 3                      | 1.1        |
| ELEVENTH CIRCUI         | Г 886                   | 15.3  | 24                      | 0.4  | 63                    | 1.1  | 76              | 1.3 | 60                        | 1.0  | 618                    | 10.7 | 39                     | 0.7        |
| Alabama                 |                         |       |                         |      |                       |      |                 |     |                           |      |                        |      |                        |            |
| Middle                  | 46                      | 21.7  | 0                       | 0.0  | 1                     | 0.5  | 2               | 0.9 | 0                         | 0.0  | 16                     | 7.5  | 1                      | 0.5        |
| Northern                | 73                      | 20.2  | 0                       | 0.0  | 2                     | 0.6  | 3               | 0.8 | 2                         | 0.6  | 43                     | 11.9 | 1                      | 0.3        |
| Southern                | 104                     | 22.3  | 0                       | 0.0  | 1                     | 0.2  | 2               | 0.4 | 2                         | 0.4  | 42                     | 9.0  | 2                      | 0.4        |
| Florida                 |                         |       |                         |      |                       |      |                 |     |                           |      |                        |      |                        |            |
| Middle                  | 269                     | 19.7  | 24                      | 1.8  | 19                    | 1.4  | 19              | 1.4 | 14                        | 1.0  | 172                    | 12.6 | 5                      | 0.4        |
| Northern                | 53                      | 19.1  | 0                       | 0.0  | 0                     | 0.0  | 2               | 0.7 | 1                         | 0.4  | 14                     | 5.0  | 2                      | 0.7        |
| Southern                | 166                     | 9.3   | 0                       | 0.0  | 16                    | 0.9  | 18              | 1.0 | 27                        | 1.5  | 216                    | 12.1 | 18                     | 1.0        |
| Georgia                 |                         |       |                         |      |                       |      |                 |     |                           |      |                        |      |                        |            |
| Middle                  | 32                      | 11.2  | 0                       | 0.0  | 3                     | 1.1  | 1               | 0.4 | 0                         | 0.0  | 10                     | 3.5  | 0                      | 0.0        |
| Northern                | 107                     | 17.4  | 0                       | 0.0  | 15                    | 2.4  | 23              | 3.7 | 14                        | 2.3  | 84                     | 13.7 | 10                     | 1.6        |
| Southern                | 36                      | 8.4   | 0                       | 0.0  | 6                     | 1.4  | 6               | 1.4 | 0                         | 0.0  | 21                     | 4.9  | 0                      | 0.0        |

<sup>1</sup>Of the 61,898 cases, 1,581 were excluded because information was missing from the submitted documents that prevented the comparison of the sentence and the guideline range. Districts for which information needed to determine the relationship between the sentence imposed and the guideline range is missing in five percent or more of the cases received included: Western Oklahoma (37.6%), Eastern Virginia (24.1%), Middle Georgia (21.1%), Central California (13.5%), Northern New York (8.3%), Southern Mississippi (7.8%), Maryland (7.0%), Middle Tennessee (5.6%), Western Washington (5.6%), Southern Florida (5.1%), and Northern Mississippi (5.1%). Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

## SENTENCES RELATIVE TO THE GUIDELINE RANGE BY EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                                       |        | WITHI<br>GUIDEL<br>RANG | INE  | UPWAR<br>DEPARTU |      | UPWAR<br>DEPARTU<br>W/ BOOK | RES | ABOVI<br>RANGE W<br>W/ BOOK | ITH | REMAINI<br>ABOVE<br>RANGE | 2   |
|---------------------------------------|--------|-------------------------|------|------------------|------|-----------------------------|-----|-----------------------------|-----|---------------------------|-----|
| PRIMARY OFFENSE                       | TOTAL  | Ν                       | %    | N                | %    | N                           | %   | N                           | %   | Ν                         | %   |
| TOTAL                                 | 60,287 | 35,714                  | 59.2 | 265              | 0.4  | 114                         | 0.2 | 522                         | 0.9 | 80                        | 0.1 |
| Murder                                | 46     | 21                      | 45.7 | 5                | 10.9 | 1                           | 2.2 | 2                           | 4.3 | 0                         | 0.0 |
| Manslaughter                          | 38     | 29                      | 76.3 | 3                | 7.9  | 0                           | 0.0 | 1                           | 2.6 | 0                         | 0.0 |
| Kidnapping/Hostage Taking             | 47     | 17                      | 36.2 | 0                | 0.0  | 0                           | 0.0 | 1                           | 2.1 | 0                         | 0.0 |
| Sexual Abuse                          | 406    | 286                     | 70.4 | 5                | 1.2  | 1                           | 0.2 | 12                          | 3.0 | 0                         | 0.0 |
| Assault                               | 523    | 341                     | 65.2 | 11               | 2.1  | 2                           | 0.4 | 22                          | 4.2 | 5                         | 1.0 |
| Robbery                               | 841    | 533                     | 63.4 | 11               | 1.3  | 10                          | 1.2 | 10                          | 1.2 | 2                         | 0.2 |
| Arson                                 | 50     | 28                      | 56.0 | 2                | 4.0  | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Drugs - Trafficking                   | 19,393 | 9,967                   | 51.4 | 36               | 0.2  | 14                          | 0.1 | 52                          | 0.3 | 18                        | 0.1 |
| <b>Drugs - Communication Facility</b> | 276    | 159                     | 57.6 | 1                | 0.4  | 1                           | 0.4 | 2                           | 0.7 | 0                         | 0.0 |
| Drugs - Simple Possession             | 342    | 307                     | 89.8 | 1                | 0.3  | 1                           | 0.3 | 5                           | 1.5 | 3                         | 0.9 |
| Firearms                              | 6,586  | 4,363                   | 66.2 | 49               | 0.7  | 24                          | 0.4 | 88                          | 1.3 | 13                        | 0.2 |
| Burglary/B&E                          | 31     | 24                      | 77.4 | 1                | 3.2  | 0                           | 0.0 | 1                           | 3.2 | 0                         | 0.0 |
| Auto Theft                            | 49     | 32                      | 65.3 | 0                | 0.0  | 0                           | 0.0 | 2                           | 4.1 | 0                         | 0.0 |
| Larceny                               | 1,195  | 867                     | 72.6 | 3                | 0.3  | 3                           | 0.3 | 22                          | 1.8 | 3                         | 0.3 |
| Fraud                                 | 5,944  | 3,640                   | 61.2 | 20               | 0.3  | 6                           | 0.1 | 55                          | 0.9 | 12                        | 0.2 |
| Embezzlement                          | 385    | 264                     | 68.6 | 0                | 0.0  | 1                           | 0.3 | 2                           | 0.5 | 0                         | 0.0 |
| Forgery/Counterfeiting                | 800    | 542                     | 67.8 | 1                | 0.1  | 3                           | 0.4 | 14                          | 1.8 | 2                         | 0.3 |
| Bribery                               | 186    | 77                      | 41.4 | 0                | 0.0  | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Tax                                   | 454    | 190                     | 41.9 | 2                | 0.4  | 1                           | 0.2 | 5                           | 1.1 | 0                         | 0.0 |
| Money Laundering                      | 708    | 286                     | 40.4 | 2                | 0.3  | 0                           | 0.0 | 4                           | 0.6 | 0                         | 0.0 |
| Racketeering/Extortion                | 613    | 278                     | 45.4 | 8                | 1.3  | 0                           | 0.0 | 10                          | 1.6 | 2                         | 0.3 |
| Gambling/Lottery                      | 98     | 47                      | 48.0 | 0                | 0.0  | 0                           | 0.0 | 1                           | 1.0 | 0                         | 0.0 |
| Civil Rights                          | 50     | 23                      | 46.0 | 1                | 2.0  | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Immigration                           | 17,364 | 10,960                  | 63.1 | 78               | 0.4  | 29                          | 0.2 | 171                         | 1.0 | 16                        | 0.1 |
| Pornography/Prostitution              | 1,326  | 688                     | 51.9 | 10               | 0.8  | 9                           | 0.7 | 18                          | 1.4 | 0                         | 0.0 |
| Prison Offenses                       | 309    | 235                     | 76.1 | 2                | 0.6  | 3                           | 1.0 | 4                           | 1.3 | 0                         | 0.0 |
| Administration of Justice Offenses    | 804    | 474                     | 59.0 | 6                | 0.7  | 3                           | 0.4 | 9                           | 1.1 | 2                         | 0.2 |
| Environmental/Wildlife                | 124    | 81                      | 65.3 | 0                | 0.0  | 0                           | 0.0 | 1                           | 0.8 | 0                         | 0.0 |
| National Defense                      | 41     | 22                      | 53.7 | 0                | 0.0  | 0                           | 0.0 | 1                           | 2.4 | 0                         | 0.0 |
| Antitrust                             | 23     | 4                       | 17.4 | 0                | 0.0  | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Food & Drug                           | 53     | 35                      | 66.0 | 0                | 0.0  | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Other Miscellaneous Offenses          | 1,182  | 894                     | 75.6 | 7                | 0.6  | 2                           | 0.2 | 7                           | 0.6 | 2                         | 0.2 |

|                                       | §5K1<br>SUBSTAN<br>ASSISTA | NTIAL | §5K3<br>EARI<br>DISPOSI | LY . | OTHI<br>GOV<br>SPONSO | 'T   | BELO<br>DOWNW<br>DEPART | ARD | DOWNW<br>DEPART<br>W/ BOOM | URE | BELO<br>RANG<br>W/ BOO | ΕE   | REMAIN<br>BELO<br>RANG | W   |
|---------------------------------------|----------------------------|-------|-------------------------|------|-----------------------|------|-------------------------|-----|----------------------------|-----|------------------------|------|------------------------|-----|
| PRIMARY OFFENSE                       | Ν                          | %     | Ν                       | %    | Ν                     | %    | Ν                       | %   | Ν                          | %   | Ν                      | %    | Ν                      | %   |
| TOTAL                                 | 8,060                      | 13.4  | 4,690                   | 7.8  | 2,493                 | 4.1  | 1,224                   | 2.0 | 748                        | 1.2 | 5,682                  | 9.4  | 695                    | 1.2 |
| Murder                                | 6                          | 13.0  | 1                       | 2.2  | 2                     | 4.3  | 0                       | 0.0 | 2                          | 4.3 | 5                      | 10.9 | 1                      | 2.2 |
| Manslaughter                          | 0                          | 0.0   | 0                       | 0.0  | 2                     | 5.3  | 1                       | 2.6 | 0                          | 0.0 | 2                      | 5.3  | 0                      | 0.0 |
| Kidnapping/Hostage Taking             | 15                         | 31.9  | 1                       | 2.1  | 3                     | 6.4  | 1                       | 2.1 | 1                          | 2.1 | 8                      | 17.0 | 0                      | 0.0 |
| Sexual Abuse                          | 14                         | 3.4   | 1                       | 0.2  | 25                    | 6.2  | 4                       | 1.0 | 7                          | 1.7 | 47                     | 11.6 | 4                      | 1.0 |
| Assault                               | 6                          | 1.1   | 5                       | 1.0  | 41                    | 7.8  | 22                      | 4.2 | 8                          | 1.5 | 51                     | 9.8  | 9                      | 1.7 |
| Robbery                               | 95                         | 11.3  | 0                       | 0.0  | 26                    | 3.1  | 22                      | 2.6 | 26                         | 3.1 | 100                    | 11.9 | 6                      | 0.7 |
| Arson                                 | 12                         | 24.0  | 0                       | 0.0  | 1                     | 2.0  | 2                       | 4.0 | 1                          | 2.0 | 4                      | 8.0  | 0                      | 0.0 |
| Drugs - Trafficking                   | 5,028                      | 25.9  | 890                     | 4.6  | 677                   | 3.5  | 349                     | 1.8 | 264                        | 1.4 | 1,941                  | 10.0 | 157                    | 0.8 |
| <b>Drugs - Communication Facility</b> | 46                         | 16.7  | 1                       | 0.4  | 14                    | 5.1  | 3                       | 1.1 | 3                          | 1.1 | 41                     | 14.9 | 5                      | 1.8 |
| Drugs - Simple Possession             | 9                          | 2.6   | 0                       | 0.0  | 8                     | 2.3  | 0                       | 0.0 | 1                          | 0.3 | 4                      | 1.2  | 3                      | 0.9 |
| Firearms                              | 731                        | 11.1  | 23                      | 0.3  | 220                   | 3.3  | 142                     | 2.2 | 100                        | 1.5 | 747                    | 11.3 | 86                     | 1.3 |
| Burglary/B&E                          | 2                          | 6.5   | 0                       | 0.0  | 0                     | 0.0  | 1                       | 3.2 | 0                          | 0.0 | 1                      | 3.2  | 1                      | 3.2 |
| Auto Theft                            | 9                          | 18.4  | 0                       | 0.0  | 1                     | 2.0  | 0                       | 0.0 | 1                          | 2.0 | 3                      | 6.1  | 1                      | 2.0 |
| Larceny                               | 82                         | 6.9   | 5                       | 0.4  | 31                    | 2.6  | 20                      | 1.7 | 12                         | 1.0 | 116                    | 9.7  | 31                     | 2.6 |
| Fraud                                 | 877                        | 14.8  | 42                      | 0.7  | 210                   | 3.5  | 121                     | 2.0 | 109                        | 1.8 | 740                    | 12.4 | 112                    | 1.9 |
| Embezzlement                          | 22                         | 5.7   | 0                       | 0.0  | 12                    | 3.1  | 8                       | 2.1 | 6                          | 1.6 | 61                     | 15.8 | 9                      | 2.3 |
| Forgery/Counterfeiting                | 83                         | 10.4  | 0                       | 0.0  | 13                    | 1.6  | 25                      | 3.1 | 6                          | 0.8 | 97                     | 12.1 | 14                     | 1.8 |
| Bribery                               | 50                         | 26.9  | 0                       | 0.0  | 12                    | 6.5  | 1                       | 0.5 | 3                          | 1.6 | 40                     | 21.5 | 3                      | 1.6 |
| Tax                                   | 95                         | 20.9  | 0                       | 0.0  | 16                    | 3.5  | 12                      | 2.6 | 18                         | 4.0 | 105                    | 23.1 | 10                     | 2.2 |
| Money Laundering                      | 184                        | 26.0  | 6                       | 0.8  | 53                    | 7.5  | 15                      | 2.1 | 23                         | 3.2 | 122                    | 17.2 | 13                     | 1.8 |
| <b>Racketeering/Extortion</b>         | 156                        | 25.4  | 0                       | 0.0  | 64                    | 10.4 | 13                      | 2.1 | 6                          | 1.0 | 65                     | 10.6 | 11                     | 1.8 |
| Gambling/Lottery                      | 19                         | 19.4  | 0                       | 0.0  | 10                    | 10.2 | 4                       | 4.1 | 1                          | 1.0 | 11                     | 11.2 | 5                      | 5.1 |
| Civil Rights                          | 9                          | 18.0  | 0                       | 0.0  | 2                     | 4.0  | 1                       | 2.0 | 0                          | 0.0 | 14                     | 28.0 | 0                      | 0.0 |
| Immigration                           | 254                        | 1.5   | 3,706                   | 21.3 | 852                   | 4.9  | 368                     | 2.1 | 69                         | 0.4 | 713                    | 4.1  | 148                    | 0.9 |
| Pornography/Prostitution              | 43                         | 3.2   | 3                       | 0.2  | 94                    | 7.1  | 38                      | 2.9 | 39                         | 2.9 | 363                    | 27.4 | 21                     | 1.6 |
| Prison Offenses                       | 12                         | 3.9   | 2                       | 0.6  | 10                    | 3.2  | 5                       | 1.6 | 4                          | 1.3 | 29                     | 9.4  | 3                      | 1.0 |
| Administration of Justice Offenses    | 78                         | 9.7   | 3                       | 0.4  | 46                    | 5.7  | 23                      | 2.9 | 15                         | 1.9 | 130                    | 16.2 | 15                     | 1.9 |
| Environmental/Wildlife                | 14                         | 11.3  | 0                       | 0.0  | 9                     | 7.3  | 1                       | 0.8 | 3                          | 2.4 | 13                     | 10.5 | 2                      | 1.6 |
| National Defense                      | 4                          | 9.8   | 0                       | 0.0  | 3                     | 7.3  | 3                       | 7.3 | 2                          | 4.9 | 6                      | 14.6 | 0                      | 0.0 |
| Antitrust                             | 18                         | 78.3  | 0                       | 0.0  | 0                     | 0.0  | 0                       | 0.0 | 0                          | 0.0 | 1                      | 4.3  | 0                      | 0.0 |
| Food & Drug                           | 8                          | 15.1  | 0                       | 0.0  | 2                     | 3.8  | 2                       | 3.8 | 1                          | 1.9 | 5                      | 9.4  | 0                      | 0.0 |
| Other Miscellaneous Offenses          | 79                         | 6.7   | 1                       | 0.1  | 34                    | 2.9  | 17                      | 1.4 | 17                         | 1.4 | 97                     | 8.2  | 25                     | 2.1 |

<sup>1</sup>Of the 61,898 cases, 1,611 were excluded due to one or both of the following reasons: missing primary offense (38) or missing information from the submitted documents that prevented the comparison of the sentence and the guideline range (1,581). Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

## Table 3A

### SENTENCES RELATIVE TO THE GUIDELINE RANGE BY EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

|                                       |         | WITHI<br>GUIDEL<br>RANG | INE  | UPWAR<br>DEPARTU |     | UPWAR<br>DEPARTU<br>W/ BOOK | RES | ABOVI<br>RANGE W<br>W/ BOOK | ITH | REMAINI<br>ABOVE<br>RANGE | C   |
|---------------------------------------|---------|-------------------------|------|------------------|-----|-----------------------------|-----|-----------------------------|-----|---------------------------|-----|
| PRIMARY OFFENSE                       | TOTAL   | Ν                       | %    | Ν                | %   | N                           | %   | Ν                           | %   | Ν                         | %   |
| TOTAL                                 | 205,423 | 125,853                 | 61.3 | 897              | 0.4 | 389                         | 0.2 | 1,386                       | 0.7 | 548                       | 0.3 |
| Murder                                | 241     | 143                     | 59.3 | 12               | 5.0 | 5                           | 2.1 | 6                           | 2.5 | 5                         | 2.1 |
| Manslaughter                          | 180     | 112                     | 62.2 | 8                | 4.4 | 7                           | 3.9 | 10                          | 5.6 | 5                         | 2.8 |
| Kidnapping/Hostage Taking             | 142     | 62                      | 43.7 | 1                | 0.7 | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Sexual Abuse                          | 947     | 661                     | 69.8 | 19               | 2.0 | 15                          | 1.6 | 28                          | 3.0 | 7                         | 0.7 |
| Assault                               | 1,652   | 1,147                   | 69.4 | 38               | 2.3 | 9                           | 0.5 | 29                          | 1.8 | 18                        | 1.1 |
| Robbery                               | 3,471   | 2,307                   | 66.5 | 27               | 0.8 | 16                          | 0.5 | 32                          | 0.9 | 14                        | 0.4 |
| Arson                                 | 199     | 114                     | 57.3 | 5                | 2.5 | 4                           | 2.0 | 8                           | 4.0 | 3                         | 1.5 |
| Drugs - Trafficking                   | 71,930  | 38,473                  | 53.5 | 102              | 0.1 | 38                          | 0.1 | 150                         | 0.2 | 88                        | 0.1 |
| <b>Drugs - Communication Facility</b> | 1,151   | 725                     | 63.0 | 3                | 0.3 | 2                           | 0.2 | 1                           | 0.1 | 4                         | 0.3 |
| Drugs - Simple Possession             | 1,601   | 1,460                   | 91.2 | 12               | 0.7 | 5                           | 0.3 | 17                          | 1.1 | 15                        | 0.9 |
| Firearms                              | 24,592  | 17,141                  | 69.7 | 154              | 0.6 | 74                          | 0.3 | 225                         | 0.9 | 88                        | 0.4 |
| Burglary/B&E                          | 131     | 95                      | 72.5 | 0                | 0.0 | 2                           | 1.5 | 3                           | 2.3 | 1                         | 0.8 |
| Auto Theft                            | 181     | 118                     | 65.2 | 2                | 1.1 | 1                           | 0.6 | 4                           | 2.2 | 3                         | 1.7 |
| Larceny                               | 4,837   | 3,770                   | 77.9 | 14               | 0.3 | 7                           | 0.1 | 41                          | 0.8 | 28                        | 0.6 |
| Fraud                                 | 20,190  | 13,010                  | 64.4 | 88               | 0.4 | 71                          | 0.4 | 219                         | 1.1 | 83                        | 0.4 |
| Embezzlement                          | 1,493   | 1,128                   | 75.6 | 0                | 0.0 | 1                           | 0.1 | 4                           | 0.3 | 0                         | 0.0 |
| Forgery/Counterfeiting                | 3,244   | 2,385                   | 73.5 | 27               | 0.8 | 8                           | 0.2 | 27                          | 0.8 | 11                        | 0.3 |
| Bribery                               | 607     | 290                     | 47.8 | 0                | 0.0 | 0                           | 0.0 | 2                           | 0.3 | 0                         | 0.0 |
| Tax                                   | 1,775   | 894                     | 50.4 | 1                | 0.1 | 3                           | 0.2 | 16                          | 0.9 | 3                         | 0.2 |
| Money Laundering                      | 2,670   | 1,285                   | 48.1 | 11               | 0.4 | 4                           | 0.1 | 12                          | 0.4 | 4                         | 0.1 |
| Racketeering/Extortion                | 1,851   | 984                     | 53.2 | 18               | 1.0 | 5                           | 0.3 | 20                          | 1.1 | 11                        | 0.6 |
| Gambling/Lottery                      | 332     | 215                     | 64.8 | 1                | 0.3 | 0                           | 0.0 | 1                           | 0.3 | 0                         | 0.0 |
| Civil Rights                          | 191     | 104                     | 54.5 | 0                | 0.0 | 0                           | 0.0 | 3                           | 1.6 | 0                         | 0.0 |
| Immigration                           | 50,133  | 31,360                  | 62.6 | 270              | 0.5 | 63                          | 0.1 | 379                         | 0.8 | 109                       | 0.2 |
| Pornography/Prostitution              | 3,914   | 2,556                   | 65.3 | 39               | 1.0 | 22                          | 0.6 | 75                          | 1.9 | 18                        | 0.5 |
| Prison Offenses                       | 980     | 774                     | 79.0 | 4                | 0.4 | 1                           | 0.1 | 8                           | 0.8 | 9                         | 0.9 |
| Administration of Justice Offenses    | 2,952   | 1,814                   | 61.4 | 26               | 0.9 | 16                          | 0.5 | 37                          | 1.3 | 11                        | 0.4 |
| Environmental/Wildlife                | 465     | 302                     | 64.9 | 0                | 0.0 | 1                           | 0.2 | 1                           | 0.2 | 1                         | 0.2 |
| National Defense                      | 94      | 32                      | 34.0 | 0                | 0.0 | 0                           | 0.0 | 1                           | 1.1 | 0                         | 0.0 |
| Antitrust                             | 39      | 6                       | 15.4 | 0                | 0.0 | 0                           | 0.0 | 0                           | 0.0 | 0                         | 0.0 |
| Food & Drug                           | 200     | 157                     | 78.5 | 3                | 1.5 | 0                           | 0.0 | 2                           | 1.0 | 0                         | 0.0 |
| Other Miscellaneous Offenses          | 3,038   | 2,229                   | 73.4 | 12               | 0.4 | 9                           | 0.3 | 25                          | 0.8 | 9                         | 0.3 |

|                                       | §5K1<br>SUBSTAN<br>ASSISTA | NTIAL | §5K3<br>EARI<br><u>DISPOSI</u> | ĹY   | OTHE<br>GOV<br>SPONSO | 'T   | BELO<br>DOWNW<br>DEPART | ARD | DOWNW<br>DEPART<br>W/ BOOM | URE | BELO<br>RANG<br>W/ BOO | E    | REMAIN<br>BELO<br>RANG | W   |
|---------------------------------------|----------------------------|-------|--------------------------------|------|-----------------------|------|-------------------------|-----|----------------------------|-----|------------------------|------|------------------------|-----|
| PRIMARY OFFENSE                       | N                          | %     | N                              | %    | N                     | %    | N                       | %   | N                          | %   | N                      | %    | N                      | %   |
| TOTAL                                 | 29,677                     | 14.4  | 14,796                         | 7.2  | 6,639                 | 3.2  | 5,174                   | 2.5 | 3,096                      | 1.5 | 13,389                 | 6.5  | 3,579                  | 1.7 |
| Murder                                | 23                         | 9.5   | 1                              | 0.4  | 15                    | 6.2  | 5                       | 2.1 | 5                          | 2.1 | 17                     | 7.1  | 4                      | 1.7 |
| Manslaughter                          | 1                          | 0.6   | 1                              | 0.6  | 8                     | 4.4  | 7                       | 3.9 | 3                          | 1.7 | 17                     | 9.4  | 1                      | 0.6 |
| Kidnapping/Hostage Taking             | 48                         | 33.8  | 2                              | 1.4  | 15                    | 10.6 | 1                       | 0.7 | 0                          | 0.0 | 9                      | 6.3  | 4                      | 2.8 |
| Sexual Abuse                          | 19                         | 2.0   | 1                              | 0.1  | 72                    | 7.6  | 19                      | 2.0 | 17                         | 1.8 | 74                     | 7.8  | 15                     | 1.6 |
| Assault                               | 29                         | 1.8   | 23                             | 1.4  | 116                   | 7.0  | 59                      | 3.6 | 35                         | 2.1 | 114                    | 6.9  | 35                     | 2.1 |
| Robbery                               | 419                        | 12.1  | 1                              | 0.0  | 94                    | 2.7  | 139                     | 4.0 | 95                         | 2.7 | 261                    | 7.5  | 66                     | 1.9 |
| Arson                                 | 51                         | 25.6  | 0                              | 0.0  | 5                     | 2.5  | 3                       | 1.5 | 1                          | 0.5 | 5                      | 2.5  | 0                      | 0.0 |
| Drugs - Trafficking                   | 18,597                     | 25.9  | 3,673                          | 5.1  | 2,203                 | 3.1  | 1,633                   | 2.3 | 1,117                      | 1.6 | 4,735                  | 6.6  | 1,121                  | 1.6 |
| <b>Drugs - Communication Facility</b> | 199                        | 17.3  | 0                              | 0.0  | 39                    | 3.4  | 21                      | 1.8 | 24                         | 2.1 | 107                    | 9.3  | 26                     | 2.3 |
| Drugs - Simple Possession             | 26                         | 1.6   | 1                              | 0.1  | 13                    | 0.8  | 4                       | 0.2 | 7                          | 0.4 | 25                     | 1.6  | 16                     | 1.0 |
| Firearms                              | 2,854                      | 11.6  | 112                            | 0.5  | 622                   | 2.5  | 653                     | 2.7 | 433                        | 1.8 | 1,806                  | 7.3  | 430                    | 1.7 |
| Burglary/B&E                          | 5                          | 3.8   | 0                              | 0.0  | 3                     | 2.3  | 3                       | 2.3 | 3                          | 2.3 | 11                     | 8.4  | 5                      | 3.8 |
| Auto Theft                            | 29                         | 16.0  | 0                              | 0.0  | 3                     | 1.7  | 5                       | 2.8 | 2                          | 1.1 | 12                     | 6.6  | 2                      | 1.1 |
| Larceny                               | 312                        | 6.5   | 18                             | 0.4  | 96                    | 2.0  | 107                     | 2.2 | 53                         | 1.1 | 275                    | 5.7  | 116                    | 2.4 |
| Fraud                                 | 3,096                      | 15.3  | 12                             | 0.1  | 453                   | 2.2  | 542                     | 2.7 | 398                        | 2.0 | 1,670                  | 8.3  | 548                    | 2.7 |
| Embezzlement                          | 51                         | 3.4   | 0                              | 0.0  | 27                    | 1.8  | 38                      | 2.5 | 40                         | 2.7 | 153                    | 10.2 | 51                     | 3.4 |
| Forgery/Counterfeiting                | 322                        | 9.9   | 5                              | 0.2  | 58                    | 1.8  | 60                      | 1.8 | 49                         | 1.5 | 228                    | 7.0  | 64                     | 2.0 |
| Bribery                               | 194                        | 32.0  | 1                              | 0.2  | 21                    | 3.5  | 13                      | 2.1 | 10                         | 1.6 | 56                     | 9.2  | 20                     | 3.3 |
| Tax                                   | 277                        | 15.6  | 6                              | 0.3  | 50                    | 2.8  | 100                     | 5.6 | 57                         | 3.2 | 292                    | 16.5 | 76                     | 4.3 |
| Money Laundering                      | 684                        | 25.6  | 22                             | 0.8  | 137                   | 5.1  | 71                      | 2.7 | 84                         | 3.1 | 278                    | 10.4 | 78                     | 2.9 |
| <b>Racketeering/Extortion</b>         | 440                        | 23.8  | 0                              | 0.0  | 112                   | 6.1  | 48                      | 2.6 | 24                         | 1.3 | 146                    | 7.9  | 43                     | 2.3 |
| Gambling/Lottery                      | 43                         | 13.0  | 0                              | 0.0  | 7                     | 2.1  | 11                      | 3.3 | 6                          | 1.8 | 31                     | 9.3  | 17                     | 5.1 |
| Civil Rights                          | 44                         | 23.0  | 1                              | 0.5  | 6                     | 3.1  | 7                       | 3.7 | 5                          | 2.6 | 17                     | 8.9  | 4                      | 2.1 |
| Immigration                           | 991                        | 2.0   | 10,855                         | 21.7 | 1,986                 | 4.0  | 1,281                   | 2.6 | 384                        | 0.8 | 1,882                  | 3.8  | 573                    | 1.1 |
| Pornography/Prostitution              | 164                        | 4.2   | 13                             | 0.3  | 149                   | 3.8  | 113                     | 2.9 | 113                        | 2.9 | 578                    | 14.8 | 74                     | 1.9 |
| Prison Offenses                       | 39                         | 4.0   | 16                             | 1.6  | 25                    | 2.6  | 22                      | 2.2 | 12                         | 1.2 | 54                     | 5.5  | 16                     | 1.6 |
| Administration of Justice Offenses    | 369                        | 12.5  | 17                             | 0.6  | 167                   | 5.7  | 101                     | 3.4 | 60                         | 2.0 | 257                    | 8.7  | 77                     | 2.6 |
| Environmental/Wildlife                | 54                         | 11.6  | 2                              | 0.4  | 27                    | 5.8  | 19                      | 4.1 | 7                          | 1.5 | 33                     | 7.1  | 18                     | 3.9 |
| National Defense                      | 24                         | 25.5  | 1                              | 1.1  | 8                     | 8.5  | 7                       | 7.4 | 3                          | 3.2 | 12                     | 12.8 | 6                      | 6.4 |
| Antitrust                             | 23                         | 59.0  | 0                              | 0.0  | 1                     | 2.6  | 1                       | 2.6 | 3                          | 7.7 | 5                      | 12.8 | 0                      | 0.0 |
| Food & Drug                           | 19                         | 9.5   | 0                              | 0.0  | 8                     | 4.0  | 2                       | 1.0 | 1                          | 0.5 | 7                      | 3.5  | 1                      | 0.5 |
| Other Miscellaneous Offenses          | 231                        | 7.6   | 12                             | 0.4  | 93                    | 3.1  | 79                      | 2.6 | 45                         | 1.5 | 222                    | 7.3  | 72                     | 2.4 |

<sup>1</sup>Of the 213,704 cases, 8,281 were excluded due to one or both of the following reasons: missing primary offense (203) or missing information from the submitted documents that prevented the comparison of the sentence and the guideline range (8,102). Descriptions of variables used in this table are provided in Appendix A.

# SENTENCES RELATIVE TO THE GUIDELINE RANGE BY EACH PRIMARY SENTENCING GUIDELINE<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                   |           |           | Upv     | vard   |         |        |         |         |         | Down    | ward   |         |        |
|-------------------|-----------|-----------|---------|--------|---------|--------|---------|---------|---------|---------|--------|---------|--------|
|                   |           | Within    | Depa    | rture  | Above   | Range  | Govern  | ment Sp | onsored | Depa    | rture  | Below   | Range  |
|                   |           | Guideline | Gdline  | with   | with    | All    |         |         | Other   | Gdline  | with   | with    | All    |
| Guideline         | Total     | Range     | Only    | Booker | Booker  | Others | §5K1.1  | §5K3.1  | Gov't   | Only    | Booker | Booker  | Others |
| §2A1.1            | 140       | 79        | 0       | 0      | 1       | 0      | 35      | 0       | 8       | 0       | 3      | 12      | 2      |
| §2A1.2            | 40        | 17        | 5       | 1      | 3       | 0      | 2       | 1       | 4       | 2       | 1      | 3       | 1      |
| §2A1.3            | 13        | 11        | 1       | 0      | 0       | 0      | 0       | 0       | 0       | 1       | 0      | 0       | 0      |
| §2A1.4            | 32        | 23        | 2       | 0      | 1       | 0      | 0       | 0       | 3       | 1       | 0      | 2       | 0      |
| §2A1.5            | 18        | 11        | 0       | 0      | 0       | 1      | 4       | 0       | 1       | 0       |        | 0       | 0      |
| §2A2.1            | 80<br>226 | 51        | 1       | 1      | 2       | 0      | 11      | 0       | 6       | 2       |        | 3       | 2      |
| §2A2.2<br>§2A2.3  | 326<br>19 | 196<br>16 | 13<br>0 | 2<br>0 | 14<br>1 | 2<br>0 | 11<br>0 | 2<br>0  | 29<br>2 | 11<br>0 | 3<br>0 | 36<br>0 | 7<br>0 |
| §2A2.3<br>§2A2.4  | 19        | 10        | 0       | 0      | 4       | 0      | 1       | 2       | 2       | 6       |        | 19      | 3      |
| §2A2.4<br>§2A3.1  | 140       | 71        | 2       | 0      | 4       | 0      | 3       | 1       | 9       | 0       |        | 19      | 0      |
| §2A3.1<br>§2A3.2  | 38        | 25        | 1       | 0      | 2       | 0      | 0       | 0       | 1       | 0       |        | 8       | 1      |
| §2A3.3            | 8         | 6         | 0       | 0      | 1       | 0      | 0       | 0       | 0       | 0       |        | 1       | 0      |
| §2A3.4            | 21        | 18        | 0       | 0      | 2       | 0      | 0       | 0       | 1       | 0       |        | 0       | 0      |
| §2A3.5            | 94        | 71        | 0       | 0      | 0       | 0      | 2       | 0       | 5       | 1       | 3      | 11      | 1      |
| §2A4.1            | 64        | 26        | 0       | 0      | 3       | 0      | 18      | 1       | 5       | 0       | 0      | 10      | 1      |
| §2A4.2            | 1         | 1         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       | 0      | 0       | 0      |
| §2A5.1            | 0         | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       | 0      | 0       | 0      |
| §2A5.2            | 21        | 17        | 0       | 0      | 2       | 0      | 0       | 0       | 0       | 0       | 0      | 2       | 0      |
| §2A5.3            | 0         | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2A6.1            | 136       | 91        | 2       | 0      | 13      | 3      | 0       | 0       | 4       | 5       |        | 11      | 1      |
| §2A6.2            | 8         | 7         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 1       | 0      |
| §2B1.1            | 6,641     | 4,232     | 26      | 10     | 82      | 15     | 827     | 43      | 186     | 129     |        | 841     | 138    |
| §2B1.2            | 0         | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2B1.3<br>\$2B1.4 | 09        | 0         | 0       | 0<br>0 | 0       | 0<br>0 | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2B1.4<br>§2B1.5  | 9<br>14   | 3<br>7    | 0       | 0      | 0       | 0      | 4       | 0<br>0  | 0<br>2  | 1       | 0      | 2       | 0<br>0 |
| §2B1.5<br>§2B2.1  | 14<br>45  | 29        | 1       | 0      | 3       | 0      | 5       | 0       | 2       | 1       |        | 4       | 1      |
| §2B2.1<br>§2B2.2  | 45<br>0   | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2B2.3            | 3         | 2         | 0       | 1      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2B3.1            | 1,427     | 811       | 22      |        | 28      | 7      | 255     | 1       | 42      | 28      |        | 166     | 24     |
| §2B3.2            | 45        | 14        | 0       | 0      | 1       | 0      | 3       | 0       | 16      | 0       | 0      | 9       | 2      |
| §2B3.3            | 12        | 7         | 0       | 0      | 0       | 0      | 2       | 0       | 2       | 0       | 0      | 1       | 0      |
| §2B4.1            | 54        | 25        | 0       | 0      | 0       | 0      | 10      | 0       | 1       | 2       | 2      | 10      | 4      |
| §2B5.1            | 369       | 243       | 0       | 1      | 8       | 0      | 35      | 0       | 4       | 16      |        | 51      | 7      |
| §2B5.2            | 0         | 0         | 0       |        | 0       |        | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2B5.3            | 176       | 65        | 0       | 0      | 0       | 0      | 50      | 0       | 3       | 7       |        | 44      | 1      |
| §2B5.4            | 0         | 0         | 0       |        | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2B6.1            | 6<br>225  | 3         | 0       | 0      | 0       | 0      | 2       | 0       | 0       | 0       |        | 0       | 1      |
| §2C1.1<br>§2C1.2  | 235<br>18 | 91<br>11  | 1 0     |        | 0       | 1<br>0 | 68<br>1 | 0<br>0  | 21<br>1 | 3       |        | 44 5    | 2<br>0 |
| §2C1.2<br>§2C1.3  | 4         | 4         | 0       |        | 0       |        | 0       | 0       | 1 0     | 0       |        | 0       | 0      |
| §2C1.5<br>§2C1.4  | -<br>0    | 4<br>0    | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2C1.4<br>§2C1.5  | 0         | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2C1.6            | ů<br>0    | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2C1.7            | 8         | 2         | 0       | 0      | 0       | 0      | 4       | 0       | 0       | 0       |        | 2       | 0      |
| §2C1.8            | 4         | 1         | 0       |        | 0       | 0      | 2       | 0       | 0       | 0       |        | 0       | 1      |
| §2D1.1            | 19,623    | 10,197    | 40      | 12     | 55      | 19     | 5,015   | 880     | 671     | 352     | 250    | 1,960   | 172    |
| §2D1.2            | 268       | 179       | 0       | 3      | 0       | 0      | 42      | 0       | 8       | 3       | 8      | 23      | 2      |
| §2D1.3            | 0         | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       |        | 0       | 0      |
| §2D1.4            | 0         | 0         | 0       | 0      | 0       | 0      | 0       | 0       | 0       | 0       | 0      | 0       | 0      |

|                  |              |           | -      | vard   |      |        |                  |                  |          |         | ward    |           |         |
|------------------|--------------|-----------|--------|--------|------|--------|------------------|------------------|----------|---------|---------|-----------|---------|
|                  |              | Within    |        | rture  | -    | Range  | Govern           | ment Sp          |          |         | rture   | Below     | 0       |
| C                | T-4-1        | Guideline | Gdline |        | with | All    | 8 <b>51</b> 71 1 | 8 <b>51</b> 70 1 | Other    | Gdline  |         | with      | All     |
| Guideline        | Total        | Range     |        | Booker | 1    | Others | -                | §5K3.1           |          | · ·     | Booker  | Booker    |         |
| §2D1.5<br>§2D1.7 | 16<br>2      | 3<br>1    | 0      |        | 0    |        | 12<br>1          | 0<br>0           | 0<br>0   | 0       |         | 1         | 0<br>0  |
| §2D1.7<br>§2D1.8 | 68           | 23        | 0      | 0      | 0    |        | 13               | 0                | 6        | 2       |         | 18        | 0<br>3  |
| §2D1.8<br>§2D1.9 | 0            | 23<br>0   | 0      |        | 0    |        | 13               | 0                | 0        | 0       |         | 18        | 0       |
| §2D1.9           | 4            | 3         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 1         | 0       |
| §2D1.11          | 77           | 27        | 3      |        | 0    |        | 23               | 0                | 3        | 2       |         | 15        | 1       |
| §2D1.12          | 6            | 4         | 0      |        | 0    |        | 1                | 0                | 0        | 0       |         | 1         | 0       |
| §2D1.13          | 2            | 2         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2D1.14          | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2D2.1           | 232          | 214       | 1      | 1      | 6    | 3      | 2                | 0                | 2        | 0       | 1       | 1         | 1       |
| §2D2.2           | 40           | 36        | 0      | 0      | 0    | 0      | 1                | 0                | 0        | 1       | 0       | 1         | 1       |
| §2D2.3           | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2D3.1           | 3            | 2         | 0      | 0      | 0    | 0      | 1                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2D3.2           | 0            | 0         | 0      | 0      | 0    |        | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2D3.3           | 0            | 0         | 0      | 0      | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2D3.4           | 0            | 0         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2D3.5           | 0            | 0         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2E1.1           | 41           | 20        | 0      |        | 1    | 0      | 6                | 0                | 5        | 2       |         | 7         | 0       |
| §2E1.2           | 15           | 6         | 0      |        | 0    |        | 6                | 0                | 1        | 0       |         | 2         | 0       |
| §2E1.3           | 6            | 1         | 0      | 0      | 0    |        | 3                | 0                | 1        | 0       |         | 0         | 1       |
| §2E1.4<br>§2E1.5 | 2<br>0       | 2<br>0    | 0      | 0<br>0 | 0    |        | 0                | 0                | 0<br>0   | 0       | 0       | 0         | 0       |
| §2E1.5<br>§2E2.1 |              | 8         | 0      | 0      | 0    |        | 4                | 0<br>0           | 9        | 0       |         | 2         | 0<br>0  |
| §2E2.1<br>§2E3.1 | 26<br>99     | 8<br>47   | 1 0    |        | 1    | 0      | 4<br>20          | 0                | 9<br>10  | 03      | 2       | 12        | 5       |
| §2E3.1<br>§2E3.2 | 0            | 47        | 0      |        | 0    |        | 20               | 0                | 0        | 0       |         | 0         | 0       |
| §2E3.3           | ů<br>0       | 0         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2E4.1           | 44           | 25        | 0      |        | 0    |        | 10               | 0                | 2        | 1       | 0       | 5         | 1       |
| §2E5.1           | 7            | 3         | 0      |        | 0    |        | 1                | 0                | 0        | 0       |         | 2         | 0       |
| §2E5.2           | 0            | 0         | 0      |        | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2E5.3           | 6            | 6         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2E5.4           | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2E5.5           | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2E5.6           | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2F1.1           | 202          | 60        | 0      |        | 1    | 1      | 80               | 1                | 13       | 6       |         | 27        | 8       |
| §2F1.2           | 0            | 0         | 0      |        | 0    |        | 0                | 0                |          | 0       | 0       | 0         | 0       |
| §2G1.1           | 71           | 38        | 0      |        | 2    |        | 12               | 0                | 2        | 0       |         | 11        | 1       |
| §2G1.2           | 0            | 0         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2G1.3           | 184          | 125       | 3      |        | 6    |        | 16               | 0                | 10       | 3       |         | 16        | 2       |
| §2G2.1<br>§2G2.2 | 137<br>1,067 | 85<br>546 | 2      |        | 10   |        | 4<br>25          | 1                | 14<br>72 | 0<br>31 | 1<br>34 | 21<br>323 | 5<br>13 |
| §2G2.2<br>§2G2.3 | 1,007        | 0         | 0      |        | 0    |        | 23               | 0                | 0        | 0       |         | 0         | 0       |
| §2G2.3<br>§2G2.4 | 42           | 18        | 1      | 2      | 0    |        | 2                | 0                | 4        | 5       |         | 9         | 1       |
| §2G2.4<br>§2G2.5 |              | 0         | 0      |        | 0    |        | 0                | 0                | 4<br>0   | 0       |         | 0         | 0       |
| §2G3.1           | 13           | 3         | 0      |        | 1    |        | 1                | 0                | 2        | 2       |         | 2         | 1       |
| §2G3.2           | 0            | 0         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2H1.1           | 46           | 18        | 0      | 0      | 0    |        | 17               | 0                | 2        | 0       | 0       | 9         | 0       |
| §2H1.2           | 0            | 0         | 0      | 0      | 0    |        | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2H1.3           | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2H1.4           | 0            | 0         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2H1.5           | 0            | 0         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2H2.1           | 10           | 5         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 1       | 0       | 4         | 0       |
| §2H3.1           | 5            | 5         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 0       |
| §2H3.2           | 1            | 1         | 0      |        | 0    |        | 0                | 0                | 0        | 0       |         | 0         | 0       |
| §2H3.3           | 6            | 5         | 0      | 0      | 0    | 0      | 0                | 0                | 0        | 0       | 0       | 0         | 1       |
|                  |              |           |        |        |      |        |                  |                  |          |         |         |           |         |

|                  |                 | Within         | -        | vard<br>arture | Above     | Range  | Govern    | ment Sp | onsored | Down<br>Depa |         | Below     | Range    |
|------------------|-----------------|----------------|----------|----------------|-----------|--------|-----------|---------|---------|--------------|---------|-----------|----------|
|                  |                 | Guideline      | Gdline   |                | with      | All    | Govern    | ment op | Other   | Gdline       | with    | with      | All      |
| Guideline        | Total           | Range          | · ·      | Booker         | 1         | Others | -         | §5K3.1  |         | Only         | Booker  | Booker    |          |
| §2H4.1           | 22              | 9              | 0        |                | 0         |        | 4         |         |         | 1            | 0       | 7         | 0        |
| §2H4.2<br>§2J1.1 | 0<br>0          | 0<br>0         | 0        |                | 0         | 0<br>0 | 0         |         |         | 0            | 0<br>0  | 0         | 0        |
| §2J1.1<br>§2J1.2 | 113             | 52             | 0        |                | 1         | 0      | 6         | 0       |         | 7            | 8       | 26        | 0<br>1   |
| §2J1.2<br>§2J1.3 | 68              | 32             | 0        |                | 0         | 0      | 10        | 0       |         | 4            | 3       | 13        | 2        |
| §2J1.4           | 9               | 9              | 0        | 0              | 0         | 0      | 0         | 0       | 0       | 0            | 0       | 0         | 0        |
| §2J1.5           | 3               | 3              | 0        | 0              | 0         | 0      | 0         | 0       | 0       | 0            | 0       | 0         | 0        |
| §2J1.6           | 46              | 35             | 2        |                | 0         |        | 0         |         | 3       | 0            | 0       | 4         | 1        |
| §2J1.7           | 2               | 2              | 0        |                | 0         | 0      | 0         |         |         | 0            | 0       | 0         | 0        |
| §2J1.8           | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            |         | 0         | 0        |
| §2J1.9<br>§2K1.1 | 1<br>6          | 1<br>6         | 0        |                | 0         | 0<br>0 | 0         |         |         | 0            |         | 0         | 0<br>0   |
| §2K1.1<br>§2K1.2 | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            | 0       | 0         | 0        |
| §2K1.3           | 32              | 14             | 0        |                | 2         | 0      | 7         | 0       |         | 0            | 0       | 6         | 0        |
| §2K1.4           | 62              | 35             | 3        | 0              | 1         | 0      | 13        | 0       | 2       | 2            | 1       | 5         | 0        |
| §2K1.5           | 9               | 9              | 0        | 0              | 0         | 0      | 0         | 0       |         | 0            | 0       | 0         | 0        |
| §2K1.6           | 0               | 0              | 0        |                | 0         | 0      | 0         | 0       |         | 0            | 0       | 0         | 0        |
| §2K1.7           | 0               | 0              | 0        |                | 0         | 0      | 0         | 0       |         | 0            |         | 0         | 0        |
| §2K2.1<br>§2K2.2 | 5,081<br>0      | 3,394<br>0     | 33<br>0  |                | 60<br>0   | 6<br>0 | 463<br>0  | 23<br>0 |         | 130<br>0     |         | 633<br>0  | 56<br>0  |
| §2K2.2<br>§2K2.3 | 0               | 0              | 0        |                | 0         | 0      | 0         | 0       |         | 0            | 0       | 0         | 0        |
| §2K2.4           | ů<br>0          | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            |         | 0         | 0        |
| §2K2.5           | 16              | 16             | 0        | 0              | 0         | 0      | 0         | 0       | 0       | 0            | 0       | 0         | 0        |
| §2K2.6           | 3               | 2              | 0        | 0              | 0         | 0      | 0         | 0       | 0       | 0            | 1       | 0         | 0        |
| §2K3.1           | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            | 0       | 0         | 0        |
| §2K3.2           | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            | 0       | 0         | 0        |
| §2L1.1<br>§2L1.2 | 2,771<br>11,191 | 1,296<br>6,689 | 26<br>38 |                | 15<br>105 | 5<br>6 | 146<br>50 |         |         | 43<br>313    | 5<br>58 | 94<br>528 | 32<br>80 |
| §2L1.2<br>§2L1.3 | 11,191          | 0,089          |          |                | 103       | 0      | 0         |         |         | 0            |         | 0         | 80<br>0  |
| §2L2.1           | 365             | 236            | 0        |                | 1         | 0      | 62        | 0       |         | 3            | 3       | 34        | 12       |
| §2L2.2           | 1,510           | 1,349          | 8        | 5              | 46        | 4      | 21        | 3       | 18      | 7            | 4       | 32        | 13       |
| §2L2.3           | 0               | 0              | 0        | 0              | 0         | 0      | 0         | 0       | 0       | 0            | 0       | 0         | 0        |
| §2L2.4           | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            | 0       | 0         | 0        |
| §2L2.5           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M1.1<br>§2M2.1 | 0               | 0<br>0         | 0        |                | 0         | 0<br>0 | 0         | 0<br>0  |         | 0            | 0<br>0  | 0         | 0        |
| §2M2.1           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M2.3           | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            |         | 0         | 0        |
| §2M2.4           | 0               | 0              | 0        | 0              | 0         | 0      | 0         | 0       | 0       | 0            | 0       | 0         | 0        |
| §2M3.1           | 1               | 1              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M3.2           | 2               | 2              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M3.3           | 2               | 1              | 0        |                | 0         |        | 0         |         |         | 1            | 0       | 0         | 0        |
| §2M3.4<br>§2M3.5 | 0<br>0          | 0<br>0         | 0        |                | 0         | 0<br>0 | 0         |         |         | 0            |         | 0         | 0<br>0   |
| §2M3.6           | 0               | 0              | 0        |                | 0         | 0      | 0         |         |         | 0            |         | 0         | 0        |
| §2M3.7           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M3.8           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M3.9           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M4.1           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
| §2M5.1           | 11              | 1              | 0        |                | 0         | 0      | 4         |         |         | 0            |         | 4         | 0        |
| §2M5.2<br>§2M5.3 | 34<br>10        | 18<br>8        | 0        |                | 1 0       | 0<br>0 | 6         |         |         | 3            |         | 4         | 0<br>0   |
| §2M5.5<br>§2M6.1 | 10<br>3         | 8<br>2         | 0        |                | 0         | 0      | 0         |         |         | 0            |         | 1         | 0        |
| §2M6.2           | 0               | 0              | 0        |                | 0         |        | 0         |         |         | 0            |         | 0         | 0        |
|                  |                 |                |          |                |           |        |           |         |         | •            |         | •         |          |

|                        |          |           | Upwa          | ard      |          |         |            |            |         | Dowr       | nward  |        |          |
|------------------------|----------|-----------|---------------|----------|----------|---------|------------|------------|---------|------------|--------|--------|----------|
|                        |          | Within    | Depar         | ture     | Above    | Range   | Govern     | ment Sp    | onsored | Depa       | rture  | Below  | Range    |
|                        |          | Guideline | Gdline        | with     | with     | All     |            |            | Other   | Gdline     | with   | with   | All      |
| Guideline              | Total    | Range     | Only <i>E</i> |          | Booker   |         | §5K1.1     | §5K3.1     |         |            | Booker | Booker |          |
| §2N1.1                 | 2        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 1          | 0      | 1      | 0        |
| §2N1.2                 | 1        | 1         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2N1.3                 | 3        | 1         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 2          | 0      | 0      | 0        |
| §2N2.1                 | 19       | 18        | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 1      | 0        |
| §2N3.1                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2P1.1                 | 205      | 144       | 1             | 3        | 3        | 0       | 8          | 2          | 7       | 5          | 4      | 25     | 3        |
| §2P1.2                 | 73       | 61        | 1             | 0        | 0        | 0       | 3          | 0          | 3       | 0          | 0      | 5      | 0        |
| §2P1.3                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2P1.4                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2Q1.1                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2Q1.2                 | 39       | 12        | 0             | 0        | 1        | 0       | 3          | 0          | 8       | 3          | 3      | 8      | 1        |
| §2Q1.3                 | 26       | 6         | 0             | 0        | 0        | 0       | 8          | 0          | 5       | 2          | 2      | 2      | 1        |
| §2Q1.4                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2Q1.5                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2Q1.6                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2Q2.1                 | 87       | 55        | 0             | 0        | 0        | 0       | 12         | 0          | 7       | 0          | 1      | 10     | 2        |
| §2Q2.2                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2R1.1                 | 16       | 4         | 0             | 0        | 0        | 0       | 12         | 0          | 0       | 0          | 0      | 0      | 0        |
| §2S1.1                 | 822      | 312       | 1             | 1        | 10       | 0       | 258        | 2          | 43      | 20         | 24     | 136    | 15       |
| §2S1.2                 | 5        | 4         | 0             | 0        | 0        | 0       | 1          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2S1.3                 | 170      | 93        | 1             | 0        | 0        | 0       | 22         | 3          | 14      | 2          | 4      | 28     | 3        |
| §2S1.4                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T1.1                 | 387      | 149       | 2             | 0        | 2        | 0       | 80         | 0          | 16      | 15         | 16     | 98     | 9        |
| §2T1.2                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T1.3                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T1.4                 | 91       | 41        | 0             | 0        | 1        | 0       | 20         | 0          | 2       | 0          |        | 21     | 2        |
| §2T1.5                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T1.6                 | 16       | 4         | 0             | 0        | 0        | 0       | 2          | 0          | 0       | 0          |        | 9      | 1        |
| §2T1.7                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T1.8                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T1.9                 | 25       | 10        | 0             | 0        | 0        | 0       | 8          | 1          | 0       | 1          |        | 5      | 0        |
| §2T2.1                 | 1        | 1         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T2.2                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2T3.1                 | 10<br>0  | 7         | 0             | 0        | 0        | 0       | 2          | 0          | 0       | 0          |        | 1      | 0        |
| §2T3.2                 | U        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          | 0      | 0      | 0        |
| §2T4.1                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2X1.1                 | 22       | 7         | 0             | 1        | 0        | 0       | 7          | 0          | 2       | 1          | -      | 3      | 0        |
| §2X2.1                 | 0        | 0         | 0             | 0        | 0        | 0       | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2X3.1                 | 100      | 48        | 1             | 0        | 4        | 0       | 18         | 2          | 6       | 1          |        | 16     | 0        |
| §2X4.1                 | 390      | 238       | 3             | 1        | 2        | 1       | 38         | 0          | 22      | 14         |        | 64     | 5        |
| §2X5.1                 | 0<br>124 | 0         | 0             | 0        | 0        |         | 0          | 0          | 0       | 0          |        | 0      | 0        |
| §2X5.2                 | 124      | 116       | 1             | 1        | 0        | 1       | 0          | 0          | 1       | 0          |        | 1      | 3        |
| §2X6.1<br>82X7 1       | 0<br>0   | 0<br>0    | 0             | 0        | 0        | 0       | 0          | 0          | 0<br>0  | 0          |        | 0      | 0        |
| <u>§2X7.1</u><br>TOTAL | 56,757   | 32,659    | 0<br>255      | 0<br>106 | 0<br>515 | 0<br>76 | 0<br>7,972 | 0<br>4,599 | 2,356   | 0<br>1,211 |        | 5,609  | <u> </u> |
| IUIAL                  | 50,/5/   | 32,039    | 200           | 100      | 515      | /0      | 1,912      | 4,399      | 2,330   | 1,411      | /38    | 5,009  | 001      |

<sup>1</sup>Of the 61,898 cases, 5,141 were excluded due to one or both of the following reasons: missing information from the submitted documents that prevented the comparison of the sentence and the guideline range (1,581) or missing guideline applied (4,918). Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

## §5K1.1 SUBSTANTIAL ASSISTANCE DEPARTURE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|-------|---------------------------------|--|--|
| TOTAL                              | 7,620 | 36.0                            | 30.0   | 48.1   |
| Murder                             | 5     | 69.2                            | 232.0  | 73.8   |
| Manslaughter                       | 0     |                                 |  |  |
| Kidnapping/Hostage Taking          | 12    | 167.0                           | 83.5   | 31.5   |
| Sexual Abuse                       | 14    | 60.0                            | 32.5   | 40.7   |
| Assault                            | 6     | 7.0                             | 13.0   | 59.5   |
| Robbery                            | 92    | 46.5                            | 21.0   | 32.5   |
| Arson                              | 11    | 30.0                            | 26.0   | 33.2   |
| Drugs - Trafficking                | 4,780 | 51.0                            | 40.0   | 44.8   |
| Drugs - Communication Facility     | 43    | 12.0                            | 16.2   | 67.5   |
| Drugs - Simple Possession          | 9     | 18.0                            | 18.0   | 43.2   |
| Firearms                           | 675   | 57.0                            | 29.0   | 40.3   |
| Burglary/B&E                       | 2     |                                 |  |  |
| Auto Theft                         | 9     | 24.0                            | 13.0   | 33.3   |
| Larceny                            | 77    | 8.0                             | 12.0   | 59.9   |
| Fraud                              | 826   | 6.0                             | 15.0   | 70.7   |
| Embezzlement                       | 21    | 0.0                             | 10.0   | 100.0  |
| Forgery/Counterfeiting             | 81    | 6.0                             | 9.0  | 66.7   |
| Bribery                            | 49    | 2.0                             | 18.0   | 79.2   |
| Tax                                | 90    | 0.0                             | 12.0   | 100.0  |
| Money Laundering                   | 171   | 12.0                            | 26.0   | 60.9   |
| <b>Racketeering/Extortion</b>      | 144   | 30.0                            | 27.0   | 50.0   |
| Gambling/Lottery                   | 18    | 0.0                             | 6.0  | 100.0  |
| Civil Rights                       | 7     | 0.0                             | 12.0   | 100.0  |
| Immigration                        | 242   | 12.0                            | 10.7   | 47.3   |
| Pornography/Prostitution           | 41    | 58.0                            | 48.0   | 45.0   |
| Prison Offenses                    | 9     | 5.7                             | 9.3  | 66.7   |
| Administration of Justice Offenses | 74    | 0.0                             | 12.0   | 100.0  |
| Environmental/Wildlife             | 14    | 0.0                             | 12.0   | 100.0  |
| National Defense                   | 4     | 0.5                             | 31.0   | 95.0   |
| Antitrust                          | 14    | 0.0                             | 9.0  | 100.0  |
| Food & Drug                        | 7     | 0.0                             | 12.0   | 100.0  |
| Other Miscellaneous Offenses       | 73    | 1.0                             | 12.0   | 96.7   |

<sup>1</sup>Of the 61,898 cases, 8,065 received a §5K1.1 substantial assistance departure. Of these, 7,726 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 96 cases were also excluded from this table. Of the remaining 7,630 cases, ten were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

### Table 5A

## §5K1.1 SUBSTANTIAL ASSISTANCE DEPARTURE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                       | Ν      | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|---------------------------------------|--------|---------------------------------|--|--|
| TOTAL                                 | 27,977 | 36.0                            | 29.0   | 48.0   |
| Murder                                | 14     | 74.0                            | 93.0   | 51.5   |
| Manslaughter                          | 1      |                                 |  |  |
| Kidnapping/Hostage Taking             | 37     | 120.0                           | 78.0   | 43.3   |
| Sexual Abuse                          | 18     | 33.5                            | 25.0   | 50.4   |
| Assault                               | 29     | 26.0                            | 16.0   | 46.3   |
| Robbery                               | 405    | 50.0                            | 27.0   | 34.1   |
| Arson                                 | 46     | 40.0                            | 28.0   | 47.3   |
| Drugs - Trafficking                   | 17,632 | 51.0                            | 40.0   | 43.8   |
| <b>Drugs - Communication Facility</b> | 197    | 12.0                            | 17.9   | 60.4   |
| Drugs - Simple Possession             | 22     | 19.3                            | 11.0   | 61.5   |
| Firearms                              | 2,565  | 40.0                            | 25.0   | 44.4   |
| Burglary/B&E                          | 4      | 2.5                             | 4.5  | 68.8   |
| Auto Theft                            | 27     | 24.0                            | 18.0   | 36.7   |
| Larceny                               | 295    | 5.0                             | 10.0   | 67.5   |
| Fraud                                 | 2,922  | 3.1                             | 12.0   | 83.8   |
| Embezzlement                          | 48     | 0.0                             | 10.5   | 99.7   |
| Forgery/Counterfeiting                | 314    | 3.0                             | 9.0  | 78.3   |
| Bribery                               | 193    | 5.0                             | 18.0   | 87.0   |
| Tax                                   | 268    | 0.0                             | 10.0   | 100.0  |
| Money Laundering                      | 656    | 15.0                            | 22.0   | 59.5   |
| Racketeering/Extortion                | 399    | 30.0                            | 31.0   | 52.6   |
| Gambling/Lottery                      | 41     | 0.0                             | 8.0  | 100.0  |
| Civil Rights                          | 42     | 12.0                            | 12.0   | 69.3   |
| Immigration                           | 944    | 12.0                            | 10.0   | 48.0   |
| Pornography/Prostitution              | 155    | 46.0                            | 28.0   | 38.5   |
| Prison Offenses                       | 34     | 12.0                            | 8.5  | 52.0   |
| Administration of Justice Offenses    | 341    | 0.0                             | 12.0   | 100.0  |
| Environmental/Wildlife                | 49     | 0.0                             | 12.0   | 100.0  |
| National Defense                      | 24     | 12.0                            | 23.5   | 61.9   |
| Antitrust                             | 16     | 5.0                             | 10.0   | 69.4   |
| Food & Drug                           | 19     | 0.0                             | 12.0   | 100.0  |
| Other Miscellaneous Offenses          | 220    | 0.0                             | 13.5   | 99.9   |

<sup>1</sup>Of the 213,704 cases, 29,695 received a \$5K1.1 substantial assistance departure. Of these, 28,431 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 364 cases were also excluded from this table. Furthermore, 38 cases were excluded due to several logical criteria. Of the remaining 28,029 cases, 52 were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG \$5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# §5K3.1 EARLY DISPOSITION PROGRAM DEPARTURE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                                       |       | Median<br>Sentence | Median Decrease<br>in Months From | Median Percent<br>Decrease From |
|---------------------------------------|-------|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE                       | N     | in Months          | Guideline Minimum                 | Guideline Minimum               |
| TOTAL                                 | 4,514 | 18.0               | 7.0                               | 29.5                            |
| Murder                                | 1     |                    |                                   |                                 |
| Manslaughter                          | 0     |                    |                                   |                                 |
| Kidnapping/Hostage Taking             | 1     |                    |                                   |                                 |
| Sexual Abuse                          | 1     |                    |                                   |                                 |
| Assault                               | 5     | 18.0               | 3.0                               | 16.7                            |
| Robbery                               | 0     |                    |                                   |                                 |
| Arson                                 | 0     |                    |                                   |                                 |
| Drugs - Trafficking                   | 848   | 13.0               | 10.0                              | 37.5                            |
| <b>Drugs - Communication Facility</b> | 1     |                    |                                   |                                 |
| <b>Drugs - Simple Possession</b>      | 0     |                    |                                   |                                 |
| Firearms                              | 22    | 14.5               | 8.0                               | 20.9                            |
| Burglary/B&E                          | 0     |                    |                                   |                                 |
| Auto Theft                            | 0     |                    |                                   |                                 |
| Larceny                               | 5     | 6.0                | 6.0                               | 28.6                            |
| Fraud                                 | 39    | 5.0                | 1.0                               | 16.7                            |
| Embezzlement                          | 0     |                    |                                   |                                 |
| Forgery/Counterfeiting                | 0     |                    |                                   |                                 |
| Bribery                               | 0     |                    |                                   |                                 |
| Tax                                   | 0     |                    |                                   |                                 |
| Money Laundering                      | 5     | 0.0                | 13.0                              | 100.0                           |
| Racketeering/Extortion                | 0     |                    |                                   |                                 |
| Gambling/Lottery                      | 0     |                    |                                   |                                 |
| Civil Rights                          | 0     |                    |                                   |                                 |
| Immigration                           | 3,577 | 18.0               | 6.0                               | 28.3                            |
| Pornography/Prostitution              | 3     | 30.0               | 18.0                              | 34.8                            |
| Prison Offenses                       | 2     |                    |                                   |                                 |
| Administration of Justice Offenses    | 3     | 4.0                | 12.0                              | 60.0                            |
| Environmental/Wildlife                | 0     |                    |                                   |                                 |
| National Defense                      | 0     |                    |                                   |                                 |
| Antitrust                             | 0     |                    |                                   |                                 |
| Food & Drug                           | 0     |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 1     |                    |                                   |                                 |
|                                       |       |                    |                                   |                                 |

<sup>&</sup>lt;sup>1</sup>Of the 61,898 cases, 4,693 received a §5K3.1 early disposition program departure. Of these, 4,515 had complete guideline application information. Furthermore, one case was excluded due to several logical criteria. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

### Table 6A

# §5K3.1 EARLY DISPOSITION PROGRAM DEPARTURE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                       | N      | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|---------------------------------------|--------|---------------------------------|--|--|
| TOTAL                                 | 14,161 | 18.0                            | 7.0  | 27.8   |
| Murder                                | 0      |                                 |  |  |
| Manslaughter                          | 1      |                                 |  |  |
| Kidnapping/Hostage Taking             | 2      |                                 |  |  |
| Sexual Abuse                          | 1      |                                 |  |  |
| Assault                               | 22     | 15.0                            | 6.0  | 35.0   |
| Robbery                               | 1      |                                 |  |  |
| Arson                                 | 0      |                                 |  |  |
| Drugs - Trafficking                   | 3,430  | 13.0                            | 10.0   | 40.0   |
| <b>Drugs - Communication Facility</b> | 0      |                                 |  |  |
| Drugs - Simple Possession             | 1      |                                 |  |  |
| Firearms                              | 106    | 12.0                            | 6.0  | 21.6   |
| Burglary/B&E                          | 0      |                                 |  |  |
| Auto Theft                            | 0      |                                 |  |  |
| Larceny                               | 15     | 6.0                             | 8.0  | 50.0   |
| Fraud                                 | 9      | 0.0                             | 6.0  | 100.0  |
| Embezzlement                          | 0      |                                 |  |  |
| Forgery/Counterfeiting                | 5      | 4.4                             | 5.6  | 58.3   |
| Bribery                               | 1      |                                 |  |  |
| Tax                                   | 5      | 0.0                             | 12.0   | 99.8   |
| Money Laundering                      | 21     | 3.9                             | 6.0  | 60.0   |
| <b>Racketeering/Extortion</b>         | 0      |                                 |  |  |
| Gambling/Lottery                      | 0      |                                 |  |  |
| Civil Rights                          | 1      |                                 |  |  |
| Immigration                           | 10,482 | 21.0                            | 6.0  | 26.0   |
| <b>Pornography/Prostitution</b>       | 11     | 28.0                            | 21.0   | 43.2   |
| Prison Offenses                       | 15     | 12.0                            | 5.0  | 22.2   |
| Administration of Justice Offenses    | 17     | 6.0                             | 5.5  | 43.1   |
| Environmental/Wildlife                | 2      |                                 |  |  |
| National Defense                      | 1      |                                 |  |  |
| Antitrust                             | 0      |                                 |  |  |
| Food & Drug                           | 0      |                                 |  |  |
| Other Miscellaneous Offenses          | 12     | 7.0                             | 6.0  | 52.8   |

<sup>1</sup>Of the 213,704 cases, 14,808 received a §5K3.1 early disposition program departure. Of these, 14,209 had complete guideline application information. Furthermore, 35 cases were excluded due to several logical criteria. Of the remaining 14,174 cases, 13 were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

## OTHER GOVERNMENT SPONSORED BELOW RANGE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|-------|---------------------------------|--|--|
| TOTAL                              | 2,291 | 21.0                            | 10.0   | 27.8   |
| Murder                             | 2     |                                 |  |  |
| Manslaughter                       | 2     |                                 |  |  |
| Kidnapping/Hostage Taking          | 3     | 235.0                           | 84.0   | 27.5   |
| Sexual Abuse                       | 23    | 70.0                            | 15.0   | 30.6   |
| Assault                            | 34    | 14.8                            | 11.5   | 42.1   |
| Robbery                            | 26    | 44.0                            | 13.0   | 20.0   |
| Arson                              | 1     |                                 |  |  |
| Drugs - Trafficking                | 643   | 60.0                            | 15.0   | 23.7   |
| Drugs - Communication Facility     | 14    | 24.0                            | 19.5   | 40.6   |
| Drugs - Simple Possession          | 7     | 60.0                            | 10.0   | 16.4   |
| Firearms                           | 203   | 36.0                            | 15.0   | 25.0   |
| Burglary/B&E                       | 0     |                                 |  |  |
| Auto Theft                         | 1     |                                 |  |  |
| Larceny                            | 28    | 0.0                             | 7.5  | 100.0  |
| Fraud                              | 155   | 11.0                            | 10.0   | 50.4   |
| Embezzlement                       | 12    | 0.0                             | 10.0   | 99.9   |
| Forgery/Counterfeiting             | 13    | 8.0                             | 8.0  | 41.7   |
| Bribery                            | 12    | 34.0                            | 22.5   | 46.7   |
| Tax                                | 16    | 0.0                             | 12.0   | 100.0  |
| Money Laundering                   | 46    | 12.0                            | 10.0   | 56.9   |
| Racketeering/Extortion             | 57    | 24.0                            | 10.0   | 27.0   |
| Gambling/Lottery                   | 10    | 0.0                             | 6.0  | 99.8   |
| Civil Rights                       | 2     |                                 |  |  |
| Immigration                        | 798   | 12.0                            | 3.7  | 21.5   |
| Pornography/Prostitution           | 92    | 60.0                            | 33.0   | 38.1   |
| Prison Offenses                    | 9     | 10.0                            | 10.0   | 35.1   |
| Administration of Justice Offenses | 38    | 0.0                             | 11.5   | 100.0  |
| Environmental/Wildlife             | 9     | 0.0                             | 13.0   | 100.0  |
| National Defense                   | 2     |                                 |  |  |
| Antitrust                          | 0     |                                 |  |  |
| Food & Drug                        | 2     |                                 |  |  |
| Other Miscellaneous Offenses       | 31    | 0.0                             | 12.0   | 100.0  |

<sup>1</sup>Of the 61,898 cases, 2,496 received an other government sponsored downward departure. Of these, 2,301 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional eight cases were also excluded from this table. Of the remaining 2,293 cases, two were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

### Table 7A

## OTHER GOVERNMENT SPONSORED BELOW RANGE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                       | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|---------------------------------------|-------|---------------------------------|--|--|
| TOTAL                                 | 6,078 | 24.0                            | 10.0   | 29.8   |
| Murder                                | 13    | 120.0                           | 72.0   | 35.7   |
| Manslaughter                          | 7     | 15.0                            | 10.0   | 47.1   |
| Kidnapping/Hostage Taking             | 14    | 156.5                           | 52.0   | 23.6   |
| Sexual Abuse                          | 67    | 40.0                            | 21.0   | 35.1   |
| Assault                               | 107   | 18.0                            | 12.0   | 47.8   |
| Robbery                               | 84    | 64.0                            | 26.5   | 29.7   |
| Arson                                 | 4     | 42.0                            | 19.5   | 33.7   |
| Drugs - Trafficking                   | 2,046 | 60.0                            | 18.0   | 25.8   |
| <b>Drugs - Communication Facility</b> | 37    | 24.0                            | 24.0   | 50.0   |
| Drugs - Simple Possession             | 9     | 6.0                             | 9.0  | 40.0   |
| Firearms                              | 565   | 37.0                            | 15.0   | 30.0   |
| Burglary/B&E                          | 3     | 10.0                            | 27.0   | 73.0   |
| Auto Theft                            | 3     | 93.0                            | 42.0   | 14.7   |
| Larceny                               | 85    | 0.0                             | 10.0   | 100.0  |
| Fraud                                 | 406   | 5.1                             | 10.3   | 67.1   |
| Embezzlement                          | 26    | 0.0                             | 8.0  | 99.7   |
| Forgery/Counterfeiting                | 50    | 3.3                             | 10.0   | 73.3   |
| Bribery                               | 20    | 2.5                             | 10.0   | 93.3   |
| Tax                                   | 47    | 0.0                             | 10.0   | 100.0  |
| Money Laundering                      | 124   | 15.0                            | 12.0   | 40.3   |
| <b>Racketeering/Extortion</b>         | 102   | 46.0                            | 20.4   | 32.4   |
| Gambling/Lottery                      | 7     | 0.0                             | 8.0  | 100.0  |
| Civil Rights                          | 6     | 0.0                             | 20.0   | 100.0  |
| Immigration                           | 1,807 | 12.0                            | 4.0  | 21.7   |
| <b>Pornography/Prostitution</b>       | 146   | 60.0                            | 30.0   | 29.8   |
| Prison Offenses                       | 23    | 10.0                            | 6.0  | 25.0   |
| Administration of Justice Offenses    | 145   | 6.0                             | 12.0   | 58.9   |
| Environmental/Wildlife                | 23    | 0.0                             | 10.0   | 100.0  |
| National Defense                      | 8     | 11.5                            | 26.5   | 65.0   |
| Antitrust                             | 1     |                                 |  |  |
| Food & Drug                           | 8     | 17.0                            | 14.5   | 39.7   |
| Other Miscellaneous Offenses          | 85    | 0.0                             | 11.6   | 100.0  |

<sup>&</sup>lt;sup>1</sup>Of the 213,704 cases, 6,659 received an other government sponsored downward departure. Of these, 6,131 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 27 cases were also excluded from this table. Furthermore, 13 cases were excluded due to several logical criteria. Of the remaining 6,091 cases, 13 were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

## DOWNWARD DEPARTURES FROM GUIDELINE RANGE: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|-------|---------------------------------|--|--|
| TOTAL                              | 1,166 | 24.0                            | 10.0   | 28.5   |
| Murder                             | 0     |                                 |  | 20.5   |
| Manslaughter                       | 1     |                                 |  |  |
| Kidnapping/Hostage Taking          | 1     |                                 |  |  |
| Sexual Abuse                       | 4     | 34.0                            | 7.0  | 26.1   |
| Assault                            | 19    | 7.0                             | 12.0   | 41.7   |
| Robbery                            | 22    | 27.0                            | 15.0   | 26.2   |
| Arson                              | 2     |                                 |  |  |
| Drugs - Trafficking                | 327   | 53.0                            | 13.0   | 20.5   |
| Drugs - Communication Facility     | 2     |                                 |  |  |
| Drugs - Simple Possession          | 0     |                                 |  |  |
| Firearms                           | 136   | 30.5                            | 9.0  | 19.4   |
| Burglary/B&E                       | 1     |                                 |  |  |
| Auto Theft                         | 0     |                                 |  |  |
| Larceny                            | 20    | 0.0                             | 10.0   | 100.0  |
| Fraud                              | 114   | 5.0                             | 10.0   | 64.6   |
| Embezzlement                       | 7     | 1.0                             | 8.0  | 87.5   |
| Forgery/Counterfeiting             | 24    | 2.7                             | 8.5  | 70.8   |
| Bribery                            | 1     |                                 |  |  |
| Tax                                | 11    | 0.0                             | 8.0  | 100.0  |
| Money Laundering                   | 13    | 21.0                            | 8.0  | 18.2   |
| Racketeering/Extortion             | 11    | 71.0                            | 18.0   | 17.1   |
| Gambling/Lottery                   | 4     | 0.0                             | 7.0  | 100.0  |
| Civil Rights                       | 1     |                                 |  |  |
| Immigration                        | 360   | 20.0                            | 7.0  | 26.0   |
| Pornography/Prostitution           | 37    | 46.0                            | 25.0   | 31.0   |
| Prison Offenses                    | 5     | 12.0                            | 3.0  | 19.8   |
| Administration of Justice Offenses | 23    | 6.0                             | 9.0  | 60.0   |
| Environmental/Wildlife             | 1     |                                 |  |  |
| National Defense                   | 3     | 7.0                             | 10.0   | 30.0   |
| Antitrust                          | 0     |                                 |  |  |
| Food & Drug                        | 2     |                                 |  |  |
| Other Miscellaneous Offenses       | 14    | 2.0                             | 9.5  | 82.1   |

<sup>&</sup>lt;sup>1</sup>Of the 61,898 cases, 1,224 received a downward departure from the guideline range. Of these, 1,170 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional two cases were also excluded from this table. Of the remaining 1,168 cases, two were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

SOURCE: U.S. Sentencing Commission, 2008 Datafile, USSCFY08.

### Table 8A

# DOWNWARD DEPARTURES FROM GUIDELINE RANGE: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                       | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|---------------------------------------|-------|---------------------------------|--|--|
| TOTAL                                 | 4,856 | 24.0                            | 10.0   | 29.6   |
| Murder                                | 5     | 70.0                            | 27.0   | 27.8   |
| Manslaughter                          | 7     | 21.0                            | 12.0   | 26.8   |
| Kidnapping/Hostage Taking             | 1     |                                 |  |  |
| Sexual Abuse                          | 19    | 24.0                            | 7.0  | 14.3   |
| Assault                               | 55    | 12.0                            | 8.0  | 42.4   |
| Robbery                               | 133   | 42.0                            | 11.0   | 20.5   |
| Arson                                 | 1     |                                 |  |  |
| Drugs - Trafficking                   | 1,514 | 60.0                            | 15.0   | 23.1   |
| <b>Drugs - Communication Facility</b> | 20    | 12.0                            | 10.0   | 37.4   |
| Drugs - Simple Possession             | 3     | 70.0                            | 16.0   | 23.9   |
| Firearms                              | 625   | 27.0                            | 11.0   | 25.9   |
| Burglary/B&E                          | 3     | 6.0                             | 5.0  | 50.0   |
| Auto Theft                            | 5     | 7.0                             | 41.0   | 42.5   |
| Larceny                               | 100   | 0.0                             | 8.0  | 100.0  |
| Fraud                                 | 504   | 2.4                             | 10.0   | 82.1   |
| Embezzlement                          | 37    | 0.0                             | 10.0   | 99.6   |
| Forgery/Counterfeiting                | 59    | 5.0                             | 8.0  | 60.0   |
| Bribery                               | 12    | 0.0                             | 9.0  | 100.0  |
| Tax                                   | 95    | 0.0                             | 10.0   | 100.0  |
| Money Laundering                      | 64    | 17.0                            | 12.0   | 49.2   |
| <b>Racketeering/Extortion</b>         | 43    | 30.0                            | 14.0   | 27.5   |
| Gambling/Lottery                      | 9     | 0.0                             | 8.0  | 100.0  |
| Civil Rights                          | 7     | 0.0                             | 6.0  | 100.0  |
| Immigration                           | 1,209 | 24.0                            | 7.0  | 22.1   |
| Pornography/Prostitution              | 112   | 25.0                            | 21.0   | 33.7   |
| Prison Offenses                       | 18    | 15.0                            | 5.5  | 33.3   |
| Administration of Justice Offenses    | 95    | 0.0                             | 10.0   | 100.0  |
| Environmental/Wildlife                | 19    | 0.0                             | 8.0  | 100.0  |
| National Defense                      | 7     | 10.0                            | 36.0   | 78.3   |
| Antitrust                             | 1     |                                 |  |  |
| Food & Drug                           | 1     |                                 |  |  |
| Other Miscellaneous Offenses          | 73    | 0.0                             | 10.0   | 100.0  |

<sup>1</sup>Of the 213,704 cases, 5,177 received a downward departure from the guideline range. Of these, 4,875 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional nine cases were also excluded from this table. Furthermore, two cases were excluded due to several logical criteria. Of the remaining 4,864 cases, eight were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# DOWNWARD DEPARTURES WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                       | N   | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|---------------------------------------|-----|---------------------------------|--|--|
| TOTAL                                 | 709 | 24.0                            | 15.0   | 40.0   |
| Murder                                | 2   |                                 |  |  |
| Manslaughter                          | 0   |                                 |  |  |
| Kidnapping/Hostage Taking             | 0   |                                 |  |  |
| Sexual Abuse                          | 6   | 25.5                            | 17.5   | 34.9   |
| Assault                               | 8   | 1.5                             | 14.8   | 87.5   |
| Robbery                               | 26  | 44.5                            | 13.0   | 22.8   |
| Arson                                 | 1   |                                 |  |  |
| Drugs - Trafficking                   | 246 | 60.0                            | 23.0   | 31.0   |
| <b>Drugs - Communication Facility</b> | 3   | 11.9                            | 18.1   | 60.4   |
| Drugs - Simple Possession             | 1   |                                 |  |  |
| Firearms                              | 98  | 24.0                            | 15.0   | 35.0   |
| Burglary/B&E                          | 0   |                                 |  |  |
| Auto Theft                            | 1   |                                 |  |  |
| Larceny                               | 10  | 0.0                             | 8.0  | 100.0  |
| Fraud                                 | 104 | 6.0                             | 12.0   | 66.7   |
| Embezzlement                          | 6   | 0.0                             | 11.0   | 99.6   |
| Forgery/Counterfeiting                | 6   | 0.0                             | 14.0   | 100.0  |
| Bribery                               | 2   |                                 |  |  |
| Tax                                   | 18  | 0.0                             | 12.0   | 99.9   |
| Money Laundering                      | 22  | 21.0                            | 16.5   | 79.2   |
| <b>Racketeering/Extortion</b>         | 6   | 39.0                            | 27.0   | 33.9   |
| Gambling/Lottery                      | 1   |                                 |  |  |
| Civil Rights                          | 0   |                                 |  |  |
| Immigration                           | 65  | 22.0                            | 12.0   | 35.1   |
| Pornography/Prostitution              | 38  | 51.0                            | 25.5   | 38.5   |
| Prison Offenses                       | 4   | 16.5                            | 4.5  | 22.4   |
| Administration of Justice Offenses    | 14  | 6.0                             | 9.5  | 61.0   |
| Environmental/Wildlife                | 3   | 0.0                             | 8.0  | 100.0  |
| National Defense                      | 1   |                                 |  |  |
| Antitrust                             | 0   |                                 |  |  |
| Food & Drug                           | 1   |                                 |  |  |
| Other Miscellaneous Offenses          | 16  | 0.0                             | 10.0   | 100.0  |

<sup>1</sup>Of the 61,898 cases, 748 received a downward departure from the guideline range with *Booker*/18 U.S.C § 3553. Of these, 713 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional four cases were also excluded from this table. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

### Table 9A

# DOWNWARD DEPARTURES WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|-------|---------------------------------|--|--|
| TOTAL                              | 2,871 | 24.0                            | 15.0   | 40.0   |
| Murder                             | 2,071 | 78.0                            | 36.0   | 26.7   |
| Manslaughter                       | 3     | 12.0                            | 8.0  | 33.3   |
| Kidnapping/Hostage Taking          | 0     |                                 |  |  |
| Sexual Abuse                       | 17    | 37.0                            | 21.0   | 26.1   |
| Assault                            | 34    | 4.5                             | 12.0   | 79.4   |
| Robbery                            | 88    | 45.5                            | 16.5   | 22.8   |
| Arson                              | 0     |                                 |  |  |
| Drugs - Trafficking                | 1,028 | 60.0                            | 22.0   | 31.4   |
| Drugs - Communication Facility     | 21    | 6.0                             | 24.0   | 71.4   |
| Drugs - Simple Possession          | 7     | 0.0                             | 12.0   | 100.0  |
| Firearms                           | 403   | 24.0                            | 13.0   | 35.1   |
| Burglary/B&E                       | 3     | 13.0                            | 6.0  | 25.0   |
| Auto Theft                         | 2     |                                 |  |  |
| Larceny                            | 51    | 0.0                             | 10.0   | 100.0  |
| Fraud                              | 367   | 6.0                             | 12.0   | 68.6   |
| Embezzlement                       | 40    | 0.0                             | 10.0   | 99.7   |
| Forgery/Counterfeiting             | 47    | 1.6                             | 9.0  | 93.4   |
| Bribery                            | 7     | 12.0                            | 15.0   | 50.0   |
| Tax                                | 57    | 0.0                             | 10.0   | 100.0  |
| Money Laundering                   | 78    | 13.5                            | 12.0   | 50.7   |
| <b>Racketeering/Extortion</b>      | 23    | 15.0                            | 24.0   | 41.7   |
| Gambling/Lottery                   | 5     | 0.1                             | 8.0  | 99.4   |
| Civil Rights                       | 4     | 6.0                             | 12.0   | 74.9   |
| Immigration                        | 352   | 22.0                            | 12.0   | 34.9   |
| Pornography/Prostitution           | 105   | 36.0                            | 24.0   | 41.0   |
| Prison Offenses                    | 12    | 8.1                             | 3.0  | 32.9   |
| Administration of Justice Offenses | 57    | 3.0                             | 10.0   | 85.0   |
| Environmental/Wildlife             | 6     | 0.0                             | 7.0  | 100.0  |
| National Defense                   | 3     | 40.0                            | 12.0   | 25.8   |
| Antitrust                          | 3     | 0.0                             | 15.0   | 100.0  |
| Food & Drug                        | 1     |                                 |  |  |
| Other Miscellaneous Offenses       | 43    | 0.0                             | 12.0   | 100.0  |

<sup>&</sup>lt;sup>1</sup>Of the 213,704 cases, 3,099 received a downward departure from the guideline range with *Booker/*18 U.S.C § 3553. Of these, 2,886 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 11 cases were also excluded from this table. Of the remaining 2,875 cases, four were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# BELOW GUIDELINE RANGE WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N     | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|-------|---------------------------------|--|--|
| TOTAL                              | 5,396 | 27.0                            | 12.0   | 33.3   |
| Murder                             | 5,570 | 240.0                           | 52.0   | 16.7   |
| Manslaughter                       | 2     |                                 |  |  |
| Kidnapping/Hostage Taking          | 7     | 240.0                           | 70.0   | 30.0   |
| Sexual Abuse                       | 42    | 33.0                            | 14.9   | 38.3   |
| Assault                            | 50    | 13.5                            | 9.0  | 38.7   |
| Robbery                            | 97    | 40.0                            | 12.0   | 21.7   |
| Arson                              | 4     | 66.0                            | 13.5   | 17.7   |
| Drugs - Trafficking                | 1,841 | 60.0                            | 17.0   | 23.7   |
| Drugs - Communication Facility     | 40    | 13.5                            | 12.0   | 68.2   |
| Drugs - Simple Possession          | 4     | 30.0                            | 9.0  | 59.9   |
| Firearms                           | 721   | 27.0                            | 12.0   | 30.1   |
| Burglary/B&E                       | 1     |                                 |  |  |
| Auto Theft                         | 3     | 6.0                             | 4.0  | 40.0   |
| Larceny                            | 114   | 0.0                             | 9.0  | 100.0  |
| Fraud                              | 701   | 8.0                             | 10.0   | 58.3   |
| Embezzlement                       | 61    | 1.0                             | 9.0  | 93.3   |
| Forgery/Counterfeiting             | 93    | 0.0                             | 10.0   | 100.0  |
| Bribery                            | 29    | 12.0                            | 12.0   | 50.0   |
| Tax                                | 102   | 1.0                             | 11.0   | 91.7   |
| Money Laundering                   | 113   | 20.0                            | 12.0   | 35.1   |
| <b>Racketeering/Extortion</b>      | 60    | 36.0                            | 12.0   | 27.4   |
| Gambling/Lottery                   | 11    | 0.0                             | 6.0  | 100.0  |
| Civil Rights                       | 14    | 8.5                             | 10.0   | 52.5   |
| Immigration                        | 657   | 24.0                            | 10.0   | 33.2   |
| Pornography/Prostitution           | 357   | 60.0                            | 28.0   | 34.8   |
| Prison Offenses                    | 29    | 9.0                             | 8.0  | 37.5   |
| Administration of Justice Offenses | 127   | 6.0                             | 9.8  | 59.9   |
| Environmental/Wildlife             | 12    | 0.0                             | 10.0   | 100.0  |
| National Defense                   | 6     | 3.2                             | 9.7  | 71.6   |
| Antitrust                          | 1     |                                 |  |  |
| Food & Drug                        | 5     | 1.0                             | 8.0  | 83.3   |
| Other Miscellaneous Offenses       | 87    | 0.0                             | 10.0   | 100.0  |

<sup>1</sup>Of the 61,898 cases, 5,682 were sentenced below the guideline range with *Booker/*18 U.S.C § 3553. Of these, 5,431 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 22 cases were also excluded from this table. Furthermore, two cases were excluded due to several logical criteria. Of the remaining 5,407 cases, 11 were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

### Table 10A

# BELOW GUIDELINE RANGE WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | Ν      | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|--------|---------------------------------|--|--|
| TOTAL                              | 12,468 | 27.0                            | 12.0   | 33.3   |
| Murder                             | 12     | 90.0                            | 22.0   | 20.3   |
| Manslaughter                       | 17     | 18.0                            | 12.0   | 40.0   |
| Kidnapping/Hostage Taking          | 9      | 121.0                           | 60.0   | 27.8   |
| Sexual Abuse                       | 63     | 36.0                            | 17.0   | 34.8   |
| Assault                            | 107    | 12.0                            | 10.0   | 42.9   |
| Robbery                            | 252    | 51.0                            | 14.0   | 20.5   |
| Arson                              | 4      | 27.0                            | 14.5   | 35.0   |
| Drugs - Trafficking                | 4,358  | 60.0                            | 19.0   | 23.4   |
| Drugs - Communication Facility     | 102    | 25.5                            | 13.5   | 33.3   |
| Drugs - Simple Possession          | 22     | 3.9                             | 6.1  | 66.4   |
| Firearms                           | 1,715  | 27.0                            | 12.0   | 28.2   |
| Burglary/B&E                       | 11     | 6.0                             | 8.0  | 52.4   |
| Auto Theft                         | 12     | 6.5                             | 8.0  | 57.9   |
| Larceny                            | 260    | 0.0                             | 9.0  | 100.0  |
| Fraud                              | 1,570  | 5.0                             | 10.0   | 66.7   |
| Embezzlement                       | 143    | 0.0                             | 9.0  | 99.6   |
| Forgery/Counterfeiting             | 217    | 4.0                             | 8.0  | 75.0   |
| Bribery                            | 53     | 5.0                             | 10.0   | 74.5   |
| Tax                                | 273    | 3.0                             | 10.0   | 75.0   |
| Money Laundering                   | 249    | 15.0                            | 12.0   | 47.4   |
| Racketeering/Extortion             | 133    | 30.0                            | 12.0   | 33.3   |
| Gambling/Lottery                   | 30     | 0.0                             | 6.0  | 100.0  |
| Civil Rights                       | 16     | 6.0                             | 12.0   | 86.2   |
| Immigration                        | 1,745  | 24.0                            | 10.0   | 33.2   |
| Pornography/Prostitution           | 548    | 48.0                            | 25.0   | 33.3   |
| Prison Offenses                    | 51     | 10.0                            | 8.0  | 35.1   |
| Administration of Justice Offenses | 236    | 6.0                             | 10.0   | 66.7   |
| Environmental/Wildlife             | 30     | 0.0                             | 6.5  | 100.0  |
| National Defense                   | 10     | 34.5                            | 34.0   | 36.4   |
| Antitrust                          | 5      | 6.0                             | 7.0  | 50.0   |
| Food & Drug                        | 7      | 0.0                             | 10.0   | 100.0  |
| Other Miscellaneous Offenses       | 208    | 0.0                             | 10.0   | 100.0  |

<sup>&</sup>lt;sup>1</sup>Of the 213,704 cases, 13,395 were sentenced below the guideline range with *Booker/* 18 U.S.C § 3553. Of these, 12,614 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 119 cases were also excluded from this table. Furthermore, six cases were excluded due to several logical criteria. Of the remaining 12,489 cases, 21 were excluded due to one or both of the following reasons: missing primary offense category (1) or missing sentence information (20). Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# ALL REMAINING BELOW GUIDELINE RANGE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                                       |     | Median<br>Sentence | Median Decrease<br>in Months From | Median Percent<br>Decrease From |
|---------------------------------------|-----|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE                       | N   | in Months          | Guideline Minimum                 | Guideline Minimum               |
| TOTAL                                 | 608 | 15.0               | 7.0                               | 35.5                            |
| Murder                                | 0   |                    |                                   |                                 |
| Manslaughter                          | 0   |                    |                                   |                                 |
| Kidnapping/Hostage Taking             | 0   |                    |                                   |                                 |
| Sexual Abuse                          | 4   | 51.5               | 13.5                              | 35.9                            |
| Assault                               | 7   | 12.0               | 8.0                               | 59.9                            |
| Robbery                               | 6   | 44.5               | 14.0                              | 22.0                            |
| Arson                                 | 0   |                    |                                   |                                 |
| Drugs - Trafficking                   | 138 | 62.0               | 15.5                              | 25.3                            |
| <b>Drugs - Communication Facility</b> | 5   | 24.0               | 6.0                               | 20.0                            |
| Drugs - Simple Possession             | 3   | 1.5                | 6.0                               | 75.2                            |
| Firearms                              | 80  | 70.0               | 10.0                              | 16.5                            |
| Burglary/B&E                          | 1   |                    |                                   |                                 |
| Auto Theft                            | 1   |                    |                                   |                                 |
| Larceny                               | 28  | 0.0                | 6.0                               | 100.0                           |
| Fraud                                 | 98  | 4.6                | 6.0                               | 53.5                            |
| Embezzlement                          | 9   | 0.0                | 4.0                               | 99.3                            |
| Forgery/Counterfeiting                | 12  | 2.5                | 6.0                               | 83.1                            |
| Bribery                               | 3   | 3.0                | 7.0                               | 70.0                            |
| Tax                                   | 10  | 0.0                | 6.5                               | 100.0                           |
| Money Laundering                      | 10  | 0.1                | 6.0                               | 98.1                            |
| Racketeering/Extortion                | 8   | 69.0               | 24.0                              | 27.7                            |
| Gambling/Lottery                      | 5   | 0.0                | 6.0                               | 100.0                           |
| Civil Rights                          | 0   |                    |                                   |                                 |
| Immigration                           | 125 | 6.0                | 6.0                               | 34.8                            |
| Pornography/Prostitution              | 20  | 65.0               | 35.5                              | 28.0                            |
| Prison Offenses                       | 3   | 6.0                | 2.0                               | 25.0                            |
| Administration of Justice Offenses    | 10  | 0.0                | 7.5                               | 100.0                           |
| Environmental/Wildlife                | 1   |                    |                                   |                                 |
| National Defense                      | 0   |                    |                                   |                                 |
| Antitrust                             | 0   |                    |                                   |                                 |
| Food & Drug                           | 0   |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 21  | 0.0                | 6.0                               | 100.0                           |

<sup>1</sup>Of the 61,898 cases, 696 were otherwise sentenced below the guideline range. Of these, 615 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional six cases were also excluded from this table. Furthermore, one case was excluded due to several logical criteria. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

### Table 11A

# ALL REMAINING BELOW GUIDELINE RANGE CASES: DEGREE OF DECREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N          | Median<br>Sentence<br>in Months | Median Decrease<br>in Months From<br>Guideline Minimum | Median Percent<br>Decrease From<br>Guideline Minimum |
|------------------------------------|------------|---------------------------------|--|--|
| TOTAL                              | 3,102      | 18.0                            | 9.1  | 40.0   |
| Murder                             | 3,102<br>1 |                                 |  |  |
| Manslaughter                       | 1          |                                 |  |  |
| Kidnapping/Hostage Taking          | 3          | 324.0                           | 84.0   | 20.6   |
| Sexual Abuse                       | 15         | 36.0                            | 18.0   | 25.0   |
| Assault                            | 32         | 3.5                             | 5.5  | 67.5   |
| Robbery                            | 62         | 48.0                            | 17.5   | 23.0   |
| Arson                              | 0          |                                 |  |  |
| Drugs - Trafficking                | 937        | 57.0                            | 15.0   | 28.6   |
| Drugs - Communication Facility     | 24         | 27.0                            | 9.5  | 35.4   |
| Drugs - Simple Possession          | 15         | 1.0                             | 5.0  | 82.8   |
| Firearms                           | 390        | 36.0                            | 10.0   | 27.7   |
| Burglary/B&E                       | 5          | 0.0                             | 6.0  | 100.0  |
| Auto Theft                         | 2          |                                 |  |  |
| Larceny                            | 111        | 0.0                             | 6.0  | 100.0  |
| Fraud                              | 492        | 0.0                             | 6.0  | 99.7   |
| Embezzlement                       | 45         | 0.0                             | 6.0  | 99.6   |
| Forgery/Counterfeiting             | 58         | 0.0                             | 6.0  | 100.0  |
| Bribery                            | 19         | 2.0                             | 12.0   | 75.0   |
| Tax                                | 71         | 0.0                             | 9.0  | 99.7   |
| Money Laundering                   | 75         | 5.0                             | 6.0  | 66.7   |
| <b>Racketeering/Extortion</b>      | 32         | 33.5                            | 10.0   | 21.5   |
| Gambling/Lottery                   | 17         | 0.0                             | 6.0  | 100.0  |
| Civil Rights                       | 4          | 2.5                             | 8.5  | 79.0   |
| Immigration                        | 463        | 18.0                            | 6.0  | 33.2   |
| <b>Pornography/Prostitution</b>    | 67         | 30.0                            | 15.0   | 33.3   |
| Prison Offenses                    | 13         | 12.0                            | 6.0  | 48.1   |
| Administration of Justice Offenses | 63         | 6.0                             | 7.3  | 71.3   |
| Environmental/Wildlife             | 14         | 0.0                             | 6.0  | 100.0  |
| National Defense                   | 6          | 21.0                            | 29.0   | 50.7   |
| Antitrust                          | 0          |                                 |  |  |
| Food & Drug                        | 1          |                                 |  |  |
| Other Miscellaneous Offenses       | 64         | 0.0                             | 6.0  | 100.0  |

<sup>1</sup>Of the 213,704 cases, 3,580 were otherwise sentenced below the guideline range. Of these, 3,147 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a guideline minimum of life, an additional 23 cases were also excluded from this table. Furthermore, eight cases were excluded due to several logical criteria. Of the remaining 3,116 cases, 14 were excluded due to missing sentence information. Note that the information presented in this table does include sentences of probation, but does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# UPWARD DEPARTURES FROM GUIDELINE RANGE: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                                       |     | Median<br>Sentence | Median Increase<br>in Months From | Median Percent<br>Increase From |
|---------------------------------------|-----|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE                       | Ν   | in Months          | Guideline Maximum                 | Guideline Maximum               |
| TOTAL                                 | 246 | 60.0               | 13.5                              | 31.3                            |
| Murder                                | 4   | 330.0              | 68.0                              | 26.0                            |
| Manslaughter                          | 3   | 60.0               | 14.0                              | 30.4                            |
| Kidnapping/Hostage Taking             | 0   |                    |                                   |                                 |
| Sexual Abuse                          | 5   | 84.0               | 27.0                              | 47.4                            |
| Assault                               | 11  | 90.0               | 24.0                              | 46.3                            |
| Robbery                               | 11  | 120.0              | 39.0                              | 35.2                            |
| Arson                                 | 2   |                    |                                   |                                 |
| Drugs - Trafficking                   | 35  | 156.0              | 27.0                              | 24.4                            |
| <b>Drugs - Communication Facility</b> | 1   |                    |                                   |                                 |
| <b>Drugs - Simple Possession</b>      | 1   |                    |                                   |                                 |
| Firearms                              | 45  | 118.0              | 15.0                              | 22.9                            |
| Burglary/B&E                          | 1   |                    |                                   |                                 |
| Auto Theft                            | 0   |                    |                                   |                                 |
| Larceny                               | 3   | 37.0               | 16.0                              | 76.2                            |
| Fraud                                 | 19  | 60.0               | 14.0                              | 37.9                            |
| Embezzlement                          | 0   |                    |                                   |                                 |
| Forgery/Counterfeiting                | 1   |                    |                                   |                                 |
| Bribery                               | 0   |                    |                                   |                                 |
| Tax                                   | 2   |                    |                                   |                                 |
| Money Laundering                      | 2   |                    |                                   |                                 |
| Racketeering/Extortion                | 8   | 72.0               | 18.0                              | 33.1                            |
| Gambling/Lottery                      | 0   |                    |                                   |                                 |
| Civil Rights                          | 1   |                    |                                   |                                 |
| Immigration                           | 70  | 24.0               | 6.0                               | 33.3                            |
| Pornography/Prostitution              | 9   | 300.0              | 59.0                              | 18.5                            |
| Prison Offenses                       | 2   |                    |                                   |                                 |
| Administration of Justice Offenses    | 5   | 36.0               | 8.0                               | 100.0                           |
| Environmental/Wildlife                | 0   |                    |                                   |                                 |
| National Defense                      | 0   |                    |                                   |                                 |
| Antitrust                             | 0   |                    |                                   |                                 |
| Food & Drug                           | 0   |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 5   | 36.0               | 6.0                               | 20.0                            |

<sup>1</sup>Of the 61,898 cases, 265 received an upward departure from the guideline range. Of these, 250 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a sentence of life, one additional case was also excluded from this table. Furthermore, three cases were excluded due to several logical criteria. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

### Table 12A

# UPWARD DEPARTURES FROM GUIDELINE RANGE: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-Booker - 2008, Pre-Kimbrough/Gall Data

| PRIMARY OFFENSE                    | Ν   | Median<br>Sentence<br>in Months | Median Increase<br>in Months From<br>Guideline Maximum | Median Percent<br>Increase From<br>Guideline Maximum |
|------------------------------------|-----|---------------------------------|--|--|
| TOTAL                              | 835 | 48.0                            | 11.0   | 33.3   |
| Murder                             | 11  | 300.0                           | 105.0  | 77.8   |
| Manslaughter                       | 8   | 102.0                           | 18.0   | 39.0   |
| Kidnapping/Hostage Taking          | 1   |                                 |  |  |
| Sexual Abuse                       | 18  | 66.0                            | 19.5   | 34.3   |
| Assault                            | 38  | 72.0                            | 20.0   | 39.1   |
| Robbery                            | 27  | 168.0                           | 49.0   | 48.8   |
| Arson                              | 5   | 120.0                           | 36.0   | 42.9   |
| Drugs - Trafficking                | 92  | 72.0                            | 14.5   | 28.5   |
| Drugs - Communication Facility     | 3   | 48.0                            | 29.0   | 25.2   |
| Drugs - Simple Possession          | 11  | 12.0                            | 6.0  | 100.0  |
| Firearms                           | 137 | 77.0                            | 14.0   | 27.0   |
| Burglary/B&E                       | 0   |                                 |  |  |
| Auto Theft                         | 2   |                                 |  |  |
| Larceny                            | 12  | 39.0                            | 7.0  | 34.0   |
| Fraud                              | 80  | 47.0                            | 11.0   | 48.9   |
| Embezzlement                       | 0   |                                 |  |  |
| Forgery/Counterfeiting             | 27  | 48.0                            | 14.0   | 50.0   |
| Bribery                            | 0   |                                 |  |  |
| Tax                                | 1   |                                 |  |  |
| Money Laundering                   | 11  | 108.0                           | 34.0   | 90.5   |
| Racketeering/Extortion             | 15  | 96.0                            | 15.0   | 31.7   |
| Gambling/Lottery                   | 1   |                                 |  |  |
| Civil Rights                       | 0   |                                 |  |  |
| Immigration                        | 256 | 18.0                            | 5.5  | 28.6   |
| Pornography/Prostitution           | 37  | 110.0                           | 26.0   | 24.2   |
| Prison Offenses                    | 4   | 54.0                            | 6.0  | 13.8   |
| Administration of Justice Offenses | 23  | 24.0                            | 8.0  | 71.4   |
| Environmental/Wildlife             | 0   |                                 |  |  |
| National Defense                   | 0   |                                 |  |  |
| Antitrust                          | 0   |                                 |  |  |
| Food & Drug                        | 3   | 36.0                            | 18.0   | 100.0  |
| Other Miscellaneous Offenses       | 12  | 50.5                            | 8.5  | 31.0   |

<sup>&</sup>lt;sup>1</sup>Of the 213,704 cases, 897 received an upward departure from the guideline range. Of these, 847 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a sentence of life, an additional four cases were also excluded from this table. Furthermore, four cases were excluded due to several logical criteria. Of the remaining 839 cases, four were excluded due to missing sentence information. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# UPWARD DEPARTURES WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                                       | N        | Median<br>Sentence | Median Increase<br>in Months From | Median Percent<br>Increase From |
|---------------------------------------|----------|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE                       | N<br>100 | in Months          | Guideline Maximum                 | Guideline Maximum               |
| TOTAL                                 | 100      | 72.5               | 24.0                              | 56.0                            |
| Murder                                | 1        |                    |                                   |                                 |
| Manslaughter                          | 0        |                    |                                   |                                 |
| Kidnapping/Hostage Taking             | 0        |                    |                                   |                                 |
| Sexual Abuse                          | 1        |                    |                                   |                                 |
| Assault                               | 2        |                    |                                   |                                 |
| Robbery                               | 9        | 168.0              | 52.0                              | 82.5                            |
| Arson                                 | 0        |                    |                                   |                                 |
| Drugs - Trafficking                   | 13       | 132.0              | 51.0                              | 33.3                            |
| <b>Drugs - Communication Facility</b> | 1        |                    |                                   |                                 |
| <b>Drugs - Simple Possession</b>      | 1        |                    |                                   |                                 |
| Firearms                              | 22       | 108.0              | 36.0                              | 52.1                            |
| Burglary/B&E                          | 0        |                    |                                   |                                 |
| Auto Theft                            | 0        |                    |                                   |                                 |
| Larceny                               | 3        | 36.0               | 24.0                              | 200.0                           |
| Fraud                                 | 4        | 46.0               | 9.5                               | 24.4                            |
| Embezzlement                          | 1        |                    |                                   |                                 |
| Forgery/Counterfeiting                | 3        | 36.0               | 8.0                               | 50.0                            |
| Bribery                               | 0        |                    |                                   |                                 |
| Tax                                   | 1        |                    |                                   |                                 |
| Money Laundering                      | 0        |                    |                                   |                                 |
| Racketeering/Extortion                | 0        |                    |                                   |                                 |
| Gambling/Lottery                      | 0        |                    |                                   |                                 |
| Civil Rights                          | 0        |                    |                                   |                                 |
| Immigration                           | 22       | 16.0               | 7.0                               | 100.0                           |
| Pornography/Prostitution              | 9        | 240.0              | 56.0                              | 56.3                            |
| Prison Offenses                       | 2        |                    |                                   |                                 |
| Administration of Justice Offenses    | 3        | 24.0               | 6.0                               | 20.0                            |
| Environmental/Wildlife                | 0        |                    |                                   |                                 |
| National Defense                      | 0        |                    |                                   |                                 |
| Antitrust                             | 0        |                    |                                   |                                 |
| Food & Drug                           | 0        |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 2        |                    |                                   |                                 |

<sup>&</sup>lt;sup>1</sup>Of the 61,898 cases, 114 received an upward departure from the guideline range with *Booker/* 18 U.S.C § 3553. Of these, 102 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a sentence of life, one additional case was also excluded from this table. Furthermore, one case was excluded due to several logical criteria. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

### Table 13A

# UPWARD DEPARTURES WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | Ν   | Median<br>Sentence<br>in Months | Median Increase<br>in Months From<br>Guideline Maximum | Median Percent<br>Increase From<br>Guideline Maximum |
|------------------------------------|-----|---------------------------------|--|--|
| TOTAL                              | 359 | 72.0                            | 18.0   | <u>39.0</u>  |
| Murder                             | 4   | 276.0                           | 84.5   | 56.0   |
| Manslaughter                       | 7   | 96.0                            | 21.0   | 25.0   |
| Kidnapping/Hostage Taking          | 0   |                                 |  |  |
| Sexual Abuse                       | 14  | 138.0                           | 51.5   | 48.7   |
| Assault                            | 9   | 115.0                           | 28.0   | 72.8   |
| Robbery                            | 15  | 96.0                            | 18.0   | 23.1   |
| Arson                              | 4   | 93.0                            | 17.0   | 19.6   |
| Drugs - Trafficking                | 33  | 108.0                           | 31.0   | 37.1   |
| Drugs - Communication Facility     | 2   |                                 |  |  |
| Drugs - Simple Possession          | 4   | 13.5                            | 5.5  | 85.7   |
| Firearms                           | 67  | 63.0                            | 15.0   | 33.3   |
| Burglary/B&E                       | 2   |                                 |  |  |
| Auto Theft                         | 1   |                                 |  |  |
| Larceny                            | 6   | 54.0                            | 13.5   | 29.0   |
| Fraud                              | 65  | 60.0                            | 18.0   | 53.8   |
| Embezzlement                       | 1   |                                 |  |  |
| Forgery/Counterfeiting             | 8   | 69.0                            | 30.0   | 55.5   |
| Bribery                            | 0   |                                 |  |  |
| Tax                                | 3   | 30.0                            | 9.0  | 29.7   |
| Money Laundering                   | 3   | 121.0                           | 7.0  | 11.1   |
| <b>Racketeering/Extortion</b>      | 5   | 200.0                           | 46.0   | 23.5   |
| Gambling/Lottery                   | 0   |                                 |  |  |
| Civil Rights                       | 0   |                                 |  |  |
| Immigration                        | 58  | 40.0                            | 11.0   | 33.3   |
| Pornography/Prostitution           | 22  | 180.0                           | 48.0   | 36.7   |
| Prison Offenses                    | 1   |                                 |  |  |
| Administration of Justice Offenses | 15  | 36.0                            | 12.0   | 71.4   |
| Environmental/Wildlife             | 1   |                                 |  |  |
| National Defense                   | 0   |                                 |  |  |
| Antitrust                          | 0   |                                 |  |  |
| Food & Drug                        | 0   |                                 |  |  |
| Other Miscellaneous Offenses       | 9   | 27.0                            | 6.0  | 23.9   |

<sup>1</sup>Of the 213,704 cases, 389 received an upward departure from the guideline range with *Booker/*18 U.S.C § 3553. Of these, 369 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a sentence of life, an additional five cases were also excluded from this table. Furthermore, five cases were excluded due to several logical criteria. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# ABOVE GUIDELINE RANGE WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| PRIMARY OFFENSE                    | N                | Median<br>Sentence<br>in Months | Median Increase<br>in Months From<br>Guideline Maximum | Median Percent<br>Increase From<br>Guideline Maximum |
|------------------------------------|------------------|---------------------------------|--|--|
| TOTAL                              | 493              | 48.0                            | 14.0   | 50.0   |
| Murder                             | <b>4</b> )5<br>1 |                                 |  |  |
| Manslaughter                       | 1                |                                 |  |  |
| Kidnapping/Hostage Taking          | 0                |                                 |  |  |
| Sexual Abuse                       | 12               | 132.0                           | 26.5   | 30.9   |
| Assault                            | 21               | 72.0                            | 23.0   | 42.9   |
| Robbery                            | 10               | 110.0                           | 16.5   | 20.9   |
| Arson                              | 0                |                                 |  |  |
| Drugs - Trafficking                | 49               | 96.0                            | 14.0   | 20.0   |
| Drugs - Communication Facility     | 2                |                                 |  |  |
| Drugs - Simple Possession          | 4                | 9.5                             | 3.5  | 52.4   |
| Firearms                           | 84               | 95.0                            | 20.0   | 29.3   |
| Burglary/B&E                       | 1                |                                 |  |  |
| Auto Theft                         | 1                |                                 |  |  |
| Larceny                            | 21               | 36.0                            | 14.0   | 54.2   |
| Fraud                              | 50               | 60.0                            | 19.0   | 61.6   |
| Embezzlement                       | 1                |                                 |  |  |
| Forgery/Counterfeiting             | 14               | 36.0                            | 16.0   | 85.7   |
| Bribery                            | 0                |                                 |  |  |
| Tax                                | 5                | 82.0                            | 13.0   | 43.9   |
| Money Laundering                   | 4                | 48.0                            | 16.5   | 72.2   |
| Racketeering/Extortion             | 10               | 132.0                           | 48.0   | 68.5   |
| Gambling/Lottery                   | 1                |                                 |  |  |
| Civil Rights                       | 0                |                                 |  |  |
| Immigration                        | 163              | 18.0                            | 6.0  | 71.4   |
| Pornography/Prostitution           | 18               | 120.0                           | 27.5   | 29.4   |
| Prison Offenses                    | 4                | 30.0                            | 9.0  | 31.9   |
| Administration of Justice Offenses | 9                | 48.0                            | 15.0   | 42.5   |
| Environmental/Wildlife             | 1                |                                 |  |  |
| National Defense                   | 1                |                                 |  |  |
| Antitrust                          | 0                |                                 |  |  |
| Food & Drug                        | 0                |                                 |  |  |
| Other Miscellaneous Offenses       | 5                | 18.0                            | 12.0   | 50.0   |

<sup>1</sup>Of the 61,898 cases, 522 were sentenced above the guideline range with *Booker/*18 U.S.C § 3553. Of these, 497 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a sentence of life, an additional two cases were also excluded from this table. Furthermore, two cases were excluded due to several logical criteria. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

### Table 14A

# ABOVE GUIDELINE RANGE WITH *BOOKER* /18 U.S.C. § 3553: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-*Booker* - 2008, Pre-*Kimbrough/Gall* Data

|                                       | NT         | Median<br>Sentence | Median Increase<br>in Months From | Median Percent<br>Increase From |
|---------------------------------------|------------|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE<br>TOTAL              | N<br>1 275 | in Months<br>48.0  | Guideline Maximum<br>12.0         | Guideline Maximum<br>46.3       |
|                                       | 1,275      |                    |                                   |                                 |
| Murder                                | 6          | 270.0              | 56.0                              | 31.0                            |
| Manslaughter                          | 9          | 44.0               | 12.0                              | 29.7                            |
| Kidnapping/Hostage Taking             | 0          |                    |                                   |                                 |
| Sexual Abuse                          | 26         | 144.0              | 38.0                              | 41.3                            |
| Assault                               | 26         | 60.0               | 25.0                              | 51.9                            |
| Robbery                               | 29         | 125.0              | 33.0                              | 35.2                            |
| Arson                                 | 8          | 120.0              | 42.5                              | 65.9                            |
| Drugs - Trafficking                   | 138        | 96.0               | 17.0                              | 29.7                            |
| <b>Drugs - Communication Facility</b> | 1          |                    |                                   |                                 |
| Drugs - Simple Possession             | 15         | 12.0               | 6.0                               | 99.8                            |
| Firearms                              | 203        | 72.0               | 18.0                              | 37.5                            |
| Burglary/B&E                          | 3          | 30.0               | 4.0                               | 42.9                            |
| Auto Theft                            | 4          | 168.0              | 75.0                              | 89.3                            |
| Larceny                               | 38         | 24.0               | 10.0                              | 64.4                            |
| Fraud                                 | 201        | 48.0               | 12.0                              | 36.7                            |
| Embezzlement                          | 3          | 30.0               | 3.0                               | 33.3                            |
| Forgery/Counterfeiting                | 23         | 36.0               | 14.0                              | 66.7                            |
| Bribery                               | 1          |                    |                                   |                                 |
| Tax                                   | 14         | 36.0               | 9.0                               | 39.8                            |
| Money Laundering                      | 11         | 120.0              | 20.0                              | 87.5                            |
| Racketeering/Extortion                | 18         | 84.0               | 15.0                              | 25.2                            |
| Gambling/Lottery                      | 1          |                    |                                   |                                 |
| Civil Rights                          | 3          | 208.0              | 37.0                              | 24.5                            |
| Immigration                           | 360        | 24.0               | 7.0                               | 62.5                            |
| Pornography/Prostitution              | 68         | 156.0              | 39.5                              | 40.0                            |
| Prison Offenses                       | 8          | 33.0               | 8.5                               | 43.9                            |
| Administration of Justice Offenses    | 33         | 36.0               | 10.0                              | 37.4                            |
| Environmental/Wildlife                | 1          |                    |                                   |                                 |
| National Defense                      | 1          |                    |                                   |                                 |
| Antitrust                             | 0          |                    |                                   |                                 |
| Food & Drug                           | 2          |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 21         | 24.0               | 8.0                               | 82.6                            |
|                                       |            |                    |                                   |                                 |

<sup>1</sup>Of the 213,704 cases, 1,389 were sentenced above the guideline range with *Booker/*18 U.S.C § 3553. Of these, 1,312 had complete guideline application information. Due to an inability to calculate the extent of departure for cases with a sentence of life, an additional 14 cases were also excluded from this table. Furthermore, 22 cases were excluded due to several logical criteria. Of the remaining 1,276 cases, one was excluded due to missing sentence information. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# ALL REMAINING ABOVE GUIDELINE RANGE CASES: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

|                                       |    | Median<br>Sentence | Median Increase<br>in Months From | Median Percent<br>Increase From |
|---------------------------------------|----|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE                       | N  | in Months          | Guideline Maximum                 | Guideline Maximum               |
| TOTAL                                 | 51 | 60.0               | 9.0                               | 23.1                            |
| Murder                                | 0  |                    |                                   |                                 |
| Manslaughter                          | 0  |                    |                                   |                                 |
| Kidnapping/Hostage Taking             | 0  |                    |                                   |                                 |
| Sexual Abuse                          | 0  |                    |                                   |                                 |
| Assault                               | 2  |                    |                                   |                                 |
| Robbery                               | 2  |                    |                                   |                                 |
| Arson                                 | 0  |                    |                                   |                                 |
| Drugs - Trafficking                   | 11 | 96.0               | 14.0                              | 14.9                            |
| <b>Drugs - Communication Facility</b> | 0  |                    |                                   |                                 |
| Drugs - Simple Possession             | 2  |                    |                                   |                                 |
| Firearms                              | 11 | 180.0              | 18.0                              | 37.4                            |
| Burglary/B&E                          | 0  |                    |                                   |                                 |
| Auto Theft                            | 0  |                    |                                   |                                 |
| Larceny                               | 2  |                    |                                   |                                 |
| Fraud                                 | 5  | 60.0               | 14.0                              | 23.5                            |
| Embezzlement                          | 0  |                    |                                   |                                 |
| Forgery/Counterfeiting                | 1  |                    |                                   |                                 |
| Bribery                               | 0  |                    |                                   |                                 |
| Tax                                   | 0  |                    |                                   |                                 |
| Money Laundering                      | 0  |                    |                                   |                                 |
| Racketeering/Extortion                | 1  |                    |                                   |                                 |
| Gambling/Lottery                      | 0  |                    |                                   |                                 |
| Civil Rights                          | 0  |                    |                                   |                                 |
| Immigration                           | 12 | 13.5               | 5.5                               | 25.0                            |
| Pornography/Prostitution              | 0  |                    |                                   |                                 |
| Prison Offenses                       | 0  |                    |                                   |                                 |
| Administration of Justice Offenses    | 2  |                    |                                   |                                 |
| Environmental/Wildlife                | 0  |                    |                                   |                                 |
| National Defense                      | 0  |                    |                                   |                                 |
| Antitrust                             | 0  |                    |                                   |                                 |
| Food & Drug                           | 0  |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 0  |                    |                                   |                                 |

<sup>1</sup>Of the 61,898 cases, 80 were otherwise sentenced above the guideline range. Of these, 74 had complete guideline application information. Furthermore, 23 cases were excluded due to several logical criteria. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# Table 15A

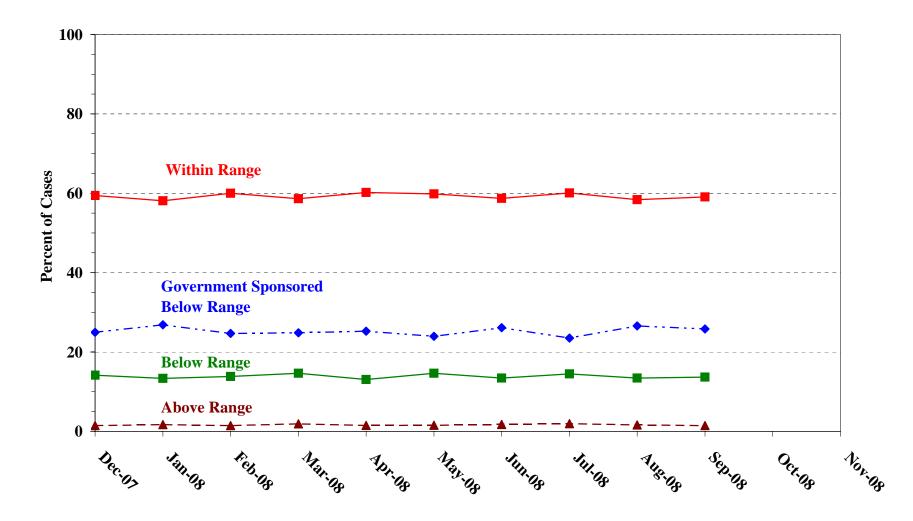
# ALL REMAINING ABOVE GUIDELINE RANGE CASES: DEGREE OF INCREASE FOR OFFENDERS IN EACH PRIMARY OFFENSE CATEGORY<sup>1</sup> Fiscal Year 2005, Post-Booker - 2008, Pre-Kimbrough/Gall Data

|                                       | N        | Median<br>Sentence | Median Increase<br>in Months From | Median Percent<br>Increase From |
|---------------------------------------|----------|--------------------|-----------------------------------|---------------------------------|
| PRIMARY OFFENSE<br>TOTAL              | N<br>424 | in Months<br>53.5  | Guideline Maximum                 | Guideline Maximum<br>33.3       |
|                                       |          |                    | <b>12.0</b>                       |                                 |
| Murder                                | 5        | 240.0              | 72.0                              | 42.9                            |
| Manslaughter                          | 5        | 41.0               | 14.0                              | 41.2                            |
| Kidnapping/Hostage Taking             | 0        |                    |                                   |                                 |
| Sexual Abuse                          | 7        | 180.0              | 37.0                              | 30.0                            |
| Assault                               | 16       | 98.0               | 27.0                              | 42.3                            |
| Robbery                               | 11       | 168.0              | 27.0                              | 23.7                            |
| Arson                                 | 3        | 84.0               | 13.0                              | 18.3                            |
| Drugs - Trafficking                   | 71       | 78.0               | 16.0                              | 26.7                            |
| <b>Drugs - Communication Facility</b> | 4        | 29.1               | 2.1                               | 21.0                            |
| <b>Drugs - Simple Possession</b>      | 8        | 24.0               | 12.0                              | 100.0                           |
| Firearms                              | 69       | 72.0               | 15.0                              | 27.7                            |
| Burglary/B&E                          | 1        |                    |                                   |                                 |
| Auto Theft                            | 3        | 36.0               | 6.0                               | 50.4                            |
| Larceny                               | 21       | 36.0               | 12.0                              | 50.0                            |
| Fraud                                 | 56       | 38.5               | 7.0                               | 31.9                            |
| Embezzlement                          | 0        |                    |                                   |                                 |
| Forgery/Counterfeiting                | 8        | 65.5               | 31.5                              | 55.6                            |
| Bribery                               | 0        |                    |                                   |                                 |
| Tax                                   | 2        |                    |                                   |                                 |
| Money Laundering                      | 3        | 56.9               | 15.9                              | 38.7                            |
| Racketeering/Extortion                | 9        | 120.0              | 42.0                              | 53.8                            |
| Gambling/Lottery                      | 0        |                    |                                   |                                 |
| Civil Rights                          | 0        |                    |                                   |                                 |
| Immigration                           | 94       | 24.0               | 6.0                               | 25.5                            |
| Pornography/Prostitution              | 14       | 180.0              | 59.0                              | 45.8                            |
| Prison Offenses                       | 5        | 50.0               | 5.0                               | 12.5                            |
| Administration of Justice Offenses    | 5        | 12.0               | 4.0                               | 50.4                            |
| Environmental/Wildlife                | 0        |                    |                                   |                                 |
| National Defense                      | 0        |                    |                                   |                                 |
| Antitrust                             | 0        |                    |                                   |                                 |
| Food & Drug                           | 0        |                    |                                   |                                 |
| Other Miscellaneous Offenses          | 4        | 30.0               | 15.0                              | 91.7                            |

<sup>&</sup>lt;sup>1</sup>Of the 213,704 cases, 549 were otherwise sentenced above the guideline range. Of these, 489 had complete guideline application information. Furthermore, 65 cases were excluded due to several logical criteria. Note that the information presented in this table does not include any time of alternative confinement as described in USSG §5C1.1. Descriptions of variables used in this table are provided in Appendix A.

# MONTHLY DATA FOR WITHIN RANGE AND OUT OF RANGE SENTENCES<sup>1</sup>

Fiscal Year 2008, Post-Kimbrough/Gall Data



<sup>&</sup>lt;sup>1</sup>The data reported for December 2007 include only those cases sentenced after the date of the Supreme Court descisions in *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007).

# MONTHLY DATA FOR WITHIN RANGE AND OUT OF RANGE SENTENCES<sup>1</sup> Fiscal Year 2008, Post-*Kimbrough/Gall* Data

| Sentencing Month | WITHIN<br>GUIDELINE<br>RANGE | ABOVE<br>GUIDELINE<br>RANGE | GOVERNMENT<br>SPONSORED<br>BELOW RANGE | BELOW<br>GUIDELINE<br>RANGE<br>% |
|------------------|------------------------------|-----------------------------|--|----------------------------------|
|                  | %                            | %                           | %                                      |                                  |
| December 2007    | 59.4                         | 1.5                         | 25.0                                   | 14.1                             |
| January 2008     | 58.1                         | 1.7                         | 26.8                                   | 13.4                             |
| February 2008    | 60.0                         | 1.5                         | 24.7                                   | 13.8                             |
| March 2008       | 58.6                         | 1.9                         | 24.8                                   | 14.6                             |
| April 2008       | 60.2                         | 1.5                         | 25.3                                   | 13.1                             |
| May 2008         | 59.9                         | 1.5                         | 24.0                                   | 14.6                             |
| June 2008        | 58.7                         | 1.7                         | 26.1                                   | 13.4                             |
| July 2008        | 60.1                         | 1.9                         | 23.5                                   | 14.5                             |
| August 2008      | 58.4                         | 1.6                         | 26.6                                   | 13.4                             |
| September 2008   | 59.1                         | 1.4                         | 25.8                                   | 13.7                             |
| October 2008     |                              |                             |  |                                  |
| November 2008    |                              |                             |  |                                  |

<sup>1</sup>The data reported for December 2007 include only those cases sentenced after the date of the Supreme Court descisions in *Kimbrough v. United States* and *Gall v. United States* (December 10, 2007).

# Appendix A Descriptions of Datafiles and Variables

#### **Introduction**

Federal courts are required to forward to the Commission sentencing documentation on all offenders sentenced under the Sentencing Reform Act of 1984 (SRA), except in cases that solely involve petty offenses. Standard information on each case is extracted from sentencing documents received and computerized for analysis.

Given the nature of the datafile and reporting requirements, the following are not included: cases initiated but for which no convictions were obtained, offenders convicted for whom no sentences were yet issued, and offenders sentenced but for whom no sentencing documents were submitted to the Commission. Because the Commission collects information only on cases sentenced under the guidelines, information on cases sentenced under prior law (pre-guidelines) during the current fiscal year is not available in this dataset. When cases are sentenced under both prior law and the guidelines, only the guideline relevant information is coded in the dataset.

Note that for all tables, total percentages may not add up to exactly 100 percent due to rounding.

### <u>Variables</u>

The following section describes the variables used in this report.

### Above Guideline Range With Booker/18 U.S.C. § 3553

Above Guideline Range with Booker/18 U.S.C. § 3553 consist of cases sentenced above the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing of the guideline system.

#### All Remaining Cases Above Guideline Range

All Remaining Cases Above Guideline Range consist of cases sentenced above the guideline range that could not be classified into any of the other three above the range categories. This category includes cases in which no reason was provided for a sentence above the guideline range.

#### All Remaining Cases Below Guideline Range

All Remaining Cases Below Guideline Range consist of cases sentenced below the guideline range that could not be classified into any of the other three below the range categories. This category includes cases in which no reason was provided for a sentence below the guideline range.

#### **Average Sentence Length**

Using sentencing information obtained from the Judgment and Commitment Order, *Average Sentence Length* is reported as the mean and median terms of imprisonment (including any months of alternative confinement as defined in §5C1.1) ordered for cases committed to the Bureau of Prisons. Cases that receive no term of imprisonment (i.e. probation) are also included in the average. Cases for which a term of imprisonment is ordered but the length is indeterminable are excluded. In most cases for which the exact term is unknown, the Judgment and Commitment Order merely specifies a sentence of time served. Prior to fiscal year 1993, the Commission defined life sentences as 360 months. However, to reflect life expectancy of federal criminal offenders more precisely and to provide more accurate length of imprisonment information, life sentences are now defined as 470 months.

### Below Guideline Range With Booker/18 U.S.C. § 3553

*Below Guideline Range with Booker/18 U.S.C. § 3553* consist of cases sentenced below the guideline range in which no departure was indicated and in which the court cited *United States v. Booker*, 18 U.S.C. § 3553, or related factors as one of the reasons for sentencing of the guideline system.

#### **Degree of Decrease**

*Degree of Decrease* is calculated based on the difference between the length of imprisonment (not including any months of alternative confinement as defined in §5C1.1) and the guideline minimum for the following categories of below range cases: departures below the guideline range, departures below the guideline range with *Booker*/18 U.S.C. § 3553, below the guideline range with *Booker*/18 U.S.C. § 3553, all other below range cases, §5K1.1 substantial assistance cases, and §5K3.1 early disposition program cases, and other government sponsored cases. Life sentences, and cases where the guideline minimum is zero months or life are all excluded from all degree of decrease calculations due to the logical difficulty in calculating a decrease from these values.

### **Degree of Increase**

*Degree of Increase* is calculated based on the difference between the guideline maximum and the length of imprisonment (not including any months of alternative confinement as defined in §5C1.1) for the following categories of above range cases: departures above the guideline range, departures above the guideline range with *Booker*/18 U.S.C. § 3553, above the guideline range with *Booker*/18 U.S.C. § 3553, and all other above range cases. Life sentences, sentences of probation, and cases where the guideline minimum is life are all excluded from all degree of increase calculations due to the logical difficulty in calculating an increase from these values.

### **Downward Departure From Guideline Range**

*Downward Departure from Guideline Range* consist of cases with downward departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

### Downward Departure With Booker/18 U.S.C. § 3553

*Downward Departure with Booker/18 U.S.C. § 3553* consist of cases sentenced below the guideline range in which the court indicated both a departure (see Downward Departure From Guideline Range) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

#### **Government Sponsored**

Government sponsorship of a departure is determined by a yearly case review by USSC staff of both the reasons for departure and the coding by USSC staff of any indication of government sponsorship as indicated on the Statement of Reasons. The SOR form AO245B version 12/03 and 06/05 have specific check-boxes to indicate the origins of the departure, but cases which do not use this form may also indicate in writing the origins of the departure. Additionally, all cases with one or more of the following reasons were classified as being sponsored by the government regardless of whether the SOR indicated sponsorship: pursuant to a plea agreement (binding, non-binding, or unknown), fast track, savings to the government, early plea, deportation, waiver of indictment and/or appeal, other government motion, global disposition, due to stipulations, facilitated early release of a material witness, joint recommendation, and large number of immigration cases. Note that since the USSC reviews the reasons on a yearly basis this list might be modified slightly from year to year. Unless otherwise broken out in the table or chart, this category also includes cases with §5K3.1 Early Disposition Program (EDP) departures.

#### **Guideline Offenders Sentenced**

Each *Guideline Offender Sentenced* or case, as recorded by the USSC, involves a single sentencing event for a single offender. Multiple counts, and even multiple indictments, are considered a single sentencing event if sentenced at the same time by the same judge. A single offender may appear in more than one case if involved in more than one sentencing event during the fiscal year. Co-offenders in the same sentencing will each appear as separate cases.

#### **Guideline Sentencing Range**

The *Guideline Sentencing Range* is taken from the Statement of Reasons provided by the sentencing court. Alternatively, if the Statement of Reasons is missing, then the information is taken from the presentence report.

For tables in this report, unless otherwise indicated, the guideline sentencing range does not take into account applicable statutory restrictions on either the maximum or the minimum of the range; therefore, it may differ from the available range, which does take into account the statutory restrictions.

#### **Outside of the Range Attribution Categories**

All categories replicate the list of checkboxes available on the SOR Form AO245B (Both 12/03 and 06/05). Not all checkbox categories are available in both the departure section and sentences outside the guideline system section on this form and that multiple checkboxes may be indicated in a single case so that totals in a table may exceed the total number of cases. USSC uses these checkboxes in determining government sponsorship: all cases in which one of the pursuant to a plea agreement boxes is indicated are attributed to the government. Additionally cases where a §5K1.1, §5K3.1, or other government motion checkbox was indicated in the pursuant to a motion not in a plea agreement section are also attributed to one of the government sponsored categories. Additionally other types of SOR forms may indicate sponsorship in writing and these attributions are also included in the appropriate category.

#### **Position of Sentence**

The *Position of Sentence* describes within-range guideline sentences in terms of their relative positions within their applicable guideline ranges. Only cases with complete information from the Statement of Reasons are used for this table. The *Position of Sentence* is determined by dividing the available range in half. This allows a sentence to fall into one of five distinct categories: the guideline minimum; the exact midpoint of the range; the guideline maximum; the lower-half of the range (when not at the guideline minimum); and the upper-half of the range (when not at the guideline maximum). The "available range" is the guideline range with applicable statutory restrictions on either the maximum or the minimum of the range taken into account. (For cases in which guideline §5C1.2 was applied, in accordance with the provisions of the guideline, the statutory restrictions on the range are those from 18 U.S.C. § 3553(f).) In cases in which the sentence is located outside the available sentencing range, departure information is provided as reported by the sentencing court. Differences in the number and percentage of cases in each range quarter may differ from other tables presented in this report; this is due to the exclusion of any case missing both complete information from the Statement of Reasons and information on statutory minima and maxima.

#### Post-Booker

Post-*Booker* means the period from the date of the United States Supreme Court decision in *United States* v *Booker*, 543 U.S. 220 (2005) (January 12, 2005) through December 9, 2007.

#### Post-Kimbrough/Gall

Post-*Kimbrough/Gall* means the period from the date of the United States Supreme Court decision in *Kimbrough v. United States*, 552 U.S. (2007) and *Gall v. United States*, 552 U.S. (2007) (December 10, 2007) and afterward.

#### **Primary Offense Category**

Information on *Primary Offense Category* is obtained from the Judgment and Commitment Order. The "primary" offense code for the case is determined to be the offense code applicable for the count of conviction with the highest statutory maximum. If two or more counts are found to have the same statutory maximum, "primary" offense is selected according to which count of conviction has the highest statutory minimum. Finally, in the event of a small number of cases still tied, the offense type that best represented the nature of the criminal behavior is chosen.

For convenience in analysis, a summary variable describing "primary offense category" is derived. This code is generated by grouping similar primary offense codes into a smaller set of categories. Note that the primary offense categories differ between the individual and organizational offender datafiles. Listed below are the offense types that are grouped into each of the primary offense categories used in the individual datafile tables for this report:

*Murder* includes first degree murder, felony with death resulting, second degree murder, and conspiracy to murder (with death resulting).

Manslaughter includes both involuntary and voluntary manslaughter.

Kidnapping/Hostage includes ransom taking and hostage/kidnapping.

*Sexual Abuse* includes sexual abuse of a minor, sexual abuse of a ward, criminal sexual abuse, and abusive sexual contact.

Assault includes attempt to commit murder, assault with intent to murder, threatening communication, aggravated assault, conspiracy with attempt to murder, obstructing or impeding officers, minor assault, and conspiracy that includes assault with attempt to murder.

*Robbery* includes bank robbery, aggravated bank robbery, Hobbs Act robbery, mail robbery, other robbery, and carjacking.

Arson also includes damage by explosives.

*Drugs: Trafficking* includes drug distribution/manufacture, drug distribution/manufacture – conspiracy, continuing criminal enterprise, drug distribution – employee under 21, drug distribution near school, drug import/export, drug distribution to person under 21, and establish/rent drug operation.

*Drugs: Communication Facility* includes use of a communication facility in a drug trafficking offense.

*Drugs: Simple Possession* includes distribution of a small amount of marijuana and simple possession.

*Firearms* includes unlawful possession/transportation of firearms or ammunition; possession of guns/explosives on aircraft; unlawful trafficking, etc. in explosives; possession of guns/explosives in federal facility/schools; use of fire or explosives to commit felony; and use of firearms or ammunition during crime.

*Burglary/Breaking & Entering* includes post office burglary, burglary of DEA premises (pharmacy), burglary of other structure, bank burglary, and burglary of a residence.

*Auto Theft* includes auto theft (including parts), receipt/possession of stolen auto or parts, and altered identification numbers/trafficking in altered (auto).

*Larceny* includes bank larceny, theft from benefit plans, other theft – mail/post office, receipt/possession of stolen property (not auto), other theft – property, larceny/theft-mail/post office, larceny/theft – property (not auto), and theft from labor union.

Fraud includes odometer laws and regulations, insider trading, and fraud and deceit.

*Embezzlement* includes embezzlement – property, embezzlement from labor unions, embezzlement – mail/post office, embezzlement from benefit plans, and bank embezzlement.

*Forgery/Counterfeiting* includes counterfeit bearer obligations and forgery/counterfeit (non-bearer obligations).

*Bribery* includes payment to obtain office, bribe involving officials, bribery – bank loan/commercial, loan or gratuity to bank examiner, etc., gratuity involving officials, and bribe or gratuity affecting employee plan.

*Tax Offenses* include receipt/trafficking in smuggled property, aid, etc., in tax fraud; fraud – tax returns, statements, etc.; fraud, false statement – perjury; failure to file or pay; tax evasion; evading import duties (smuggle); failure to collect or account for taxes; regulatory offenses – taxes; failure to deposit taxes in trust account; non-payment of taxes; conspiracy to avoid taxes; and offenses relating to withholding statements.

*Money Laundering* includes laundering of monetary instruments, monetary transaction from unlawful activity, failure to file currency report, and failure to report monetary transactions.

*Extortion/Racketeering* includes extortionate extension of credit, blackmail, extortion by force or treat, Hobbs Act extortion, travel in aid of racketeering, crime relating to racketeering, and violent crimes in aid of racketeering.

*Gambling/Lottery* includes engaging in a gambling business, transmission of wagering information, obstruction to facilitate gambling, and interstate transportation of wagering paraphernalia.

*Civil Rights* includes interference with rights under color of law; force or threats to deny benefits or rights; obstructing an election or registration; manufacture, etc. – eavesdropping device; other deprivations/discrimination; obstructing correspondence; peonage, servitude, and slave trade; intercept communication or eavesdropping; and

conspiracy to deprive individual of civil rights.

*Immigration* includes trafficking in U.S. passports; trafficking in entry documents; failure to surrender naturalization certificate; fraudulently acquiring U.S. passports; smuggling, etc.; unlawful alien; fraudulently acquiring entry documents; and unlawfully entering U.S.

*Pornography*, *Prostitution* includes dealing in obscene matter, transportation of minor for prostitution/sex, transportation for prostitution/sex (adult), sexual exploitation of minors, materials involving sexual exploitation of minors, obscene telephone or broadcasting, and selling or buying children for pornography.

Offenses In Prisons includes contraband in prisons, riots in federal facilities, and escape.

Administration of Justice includes commission of offense while on release, bribery of a witness, failure to appear by offender, contempt, failure to appear by material witness, obstruction of justice, payment of witness, perjury or subornation of perjury, misprision of a felony, and accessory after the fact.

*Environmental/Fish And Wildlife* includes waste discharge, specially protected fish, wildlife, and plants (waste discharge is presented as a separate offense category in Tables 51 and 52).

*National Defense* includes evasion of export controls and exportation of arms, etc., without license.

Antitrust includes bid-rigging, price-fixing, and market allocation agreement.

*Food and Drug* includes false information or tampering with products, tampering to injure business, tampering with risk of death or injury, and violation of regulations involving food, drugs, etc.

Other Miscellaneous Offenses includes illegal use of regulatory number - drugs; illegal transfer of drugs; illegal regulatory number to get drugs; drug paraphernalia; forgery/fraud for drugs; dangerous devices to protect drugs; manufacture drugs against quota; endangering life while manufacturing drugs; operate carrier under drugs; endangerment from hazardous/toxic substances; mishandling substances, records, etc.; threat of tampering with public water system; hazardous devices of federal lands; mishandling other pollutants, records, etc.; improper storage of explosives; record keeping violation – explosives; possession of other weapon – on aircraft, in federal facility; failure to report theft of explosives; feloniously mailing injurious articles; transport of hazardous material in commerce; interference with flight crew, other offense - aboard aircraft; criminal infringement of copyright/trademark; conflict of interest; unauthorized payment; non-drug forfeiture; impersonation; false statement to Employee Act; reporting offenses – labor related; criminal infringement of trademark; unlawful conduct relating to control/cigarettes; trespass; destruction of property; destruction of mail; aircraft piracy; conspiracy to murder (no death, assault, or attempt); conspiracy to commit murder; and all other miscellaneous offenses not previously listed in any of the other categories.

#### **Type of Guideline Sentence Imposed**

Using sentencing information obtained from the Judgment and Commitment Order, the *Total Receiving Prison* column includes the number of offenders sentenced (and percent of *Total Cases*) who received a commitment to the Bureau of Prisons. This column is the sum of cases in *Prison* and the *Prison/Community Split Sentence* categories.

The *Prison* category includes offenders sentenced to a term of imprisonment only, with no additional conditions of community confinement, home detention or intermittent confinement.

The *Prison/Community Split Sentence* category includes all cases in which offenders received prison and conditions of alternative confinement as defined in USSG §5C1.1. This category includes, but is not limited to, Zone A, Zone B, or Zone C cases receiving prison with additional conditions of a term of community confinement, home detention, or intermittent confinement.

The *Total Receiving Probation* column includes the number of offenders sentenced (and the percent of *Total Cases*) who received a term of probation with or without a condition of community confinement, intermittent confinement, or home detention. This column also represents the total of the *Probation Only* and *Probation and Confinement* categories.

The *Probation Only* column includes the number of offenders who received a term of probation without a condition of community confinement, intermittent confinement, or home detention.

*Probation and Confinement* includes the number of offenders who received a term of probation with a condition of community confinement, intermittent confinement, or home detention.

#### **Upward Departure From Guideline Range**

*Upward Departure from Guideline Range* consist of cases with upward departures in which the court did not indicate as a reason either *United States v. Booker*, 18 U.S.C. § 3553, or a factor or reason specifically prohibited in the provisions, policy statements, or commentary of the *Guidelines Manual*.

#### Upward Departure With Booker/18 U.S.C. § 3553

*Upward Departure with Booker/18 U.S.C. § 3553* consist of cases sentenced above the guideline range in which the court indicated both a departure (see Upward Departure From Guideline Range) and a reference to either *United States v. Booker*, 18 U.S.C. § 3553, or related factors as a reason for sentencing outside of the guideline system.

#### Year

Information on Year is obtained from the Judgment and Commitment Order. Unless otherwise indicated, the sentencing year is defined as the fiscal year in which the offender was sentenced.