Chapter 09 Preparedness

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Preparedness

5 Preparedness is the result of activities that are planned and implemented prior to
6 wildland fire ignitions. Preparedness is a continuous process that includes
7 developing and maintaining unit, state/regional, and national level firefighting
8 infrastructure, predicting fire activity, hiring, training, equipping, and deploying
9 firefighters, evaluating performance, correcting deficiencies, and improving
10 overall operations. The preparedness process includes routine pre-season
11 actions as well as incremental in-season actions conducted in response to
12 increasing fire danger.

Preparedness actions are based on operational plans such as fire danger operating plans, which use information from decision support tools such as the National Fire Danger Rating System (NFDRS), the Canadian Forest Fire Danger Rating System (CFFDRS, used in interior Alaska), the Palmer Drought Index, National Weather Service and other predictive services products, live fuel moisture data, Seasonal Risk Analyses, and other established information

20 sources.

22 Fire Danger Rating Operating Plan

A fire danger rating operating plan is a fire danger applications guide for agency users at the local level. A fire danger rating operating plan documents the establishment and management of the local unit fire weather station network and describes how fire danger ratings are applied to local unit fire management decisions. Fire danger rating operating plans may be packaged as either standalone documents or as part of a larger planning effort such as a fire management plan. Fire danger rating operating plans include, but are not limited to, the following minimum components:

Roles and Responsibilities

Defined for those responsible for maintenance and daily implementation of the plan, program management related to the plan, and associated training. Training for development of fire danger rating areas is available through NWCG-sponsored NFDRS courses.

• Operational Procedures

This section establishes the procedures used to gather and process data in order to integrate fire danger rating information into decision processes. The network of fire weather stations whose observations are used to determine fire danger ratings is identified. Station maintenance schedules are defined as appropriate.

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NFDRS offers several choices of fuel model and output to the user. Distinct selections of fuel model and index/component are appropriate for different

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management decisions (such as internal readiness or industrial and public restrictions). The choice of NFDRS fuel model and index or component used to determine fire danger ratings to support particular decisions is explained in this

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NEDRS requires perio

NFDRS requires periodic management in order to produce appropriate results that are applied in a timely manner. Some daily observation variables (such as state of the weather, fuels wet flags) are entered manually. This procedure (often called "taking the weather") also initiates the calculation of daily and forecasted outputs in the Weather Information Management System (WIMS) and ensures data storage in the National Interagency Fire Management 11 Integrated Database (NIFMID). These efforts are coordinated with the local National Weather Service fire weather meteorologists and Geographic Area Coordination Center (GACC) predictive services meteorologists to provide timely forecasted NFDRS outputs. Observed (afternoon) and forecasted (tomorrow) NFDRS outputs are communicated daily. Live fuel moisture model inputs (such as herbaceous vegetation stage, season code, greenness factor) are adjusted seasonally in WIMS (http://famweb.nwcg.gov/) at appropriate times. Decision points (such as percentiles discussed below) are determined in FireFamily Plus and review and adjusted annually or more often as appropriate

• Fire Danger Rating Inventory

in WIMS and/or other fire danger platforms.

Identifies basic components of the operating plan such as dispatch response areas, protection units, administrative units, fire history, land management planning direction, standards and guidelines, etc; aggregates NFDRS fuel models, slope classes (topography), and weather/climatology into fire danger rating areas; validates the existing weather station network and identifies any additional stations to support danger rating needs.

29 • Climatic Breakpoints and Fire Business Thresholds

Climatic breakpoints and fire business thresholds are used to define fire danger inputs for management decisions in each fire danger rating area or group of areas. Activities, events, and fire operations affected by fire danger are identified, and appropriate NFDRS components or indices are selected as decision guides. Historical analysis of fire weather data is used to identify climatic breakpoints for staffing level and adjective fire danger rating outputs.

The Staffing Level is used to make daily internal fire operations decisions. A unit can operate with anywhere from 3 to 9 levels of staffing. Most units typically use 5 (1,2,3,4,5) or 6 (1,2,3L,3H,4,5). Staffing Level is a direct output of the danger rating processor and is based on one of the following:

- NFDRS (Burning Index, Energy Release Component, Spread Component, or Ignition Component)
- Keetch-Byram Drought Index
- Additional Considerations:

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- Palmer Drought Index or other drought index
- ➤ Live Fuel Moisture (calculated or sampled)
- Canadian Forest Fire Danger Rating System
- Soil Moisture

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6 Adjective Fire Danger Rating

Adjective Fire Danger Rating (low, moderate, high, very high, extreme) is based
 on the NFDRS index or component used to compute staffing level and the
 ignition component. It is a general description of fire danger for the purpose of
 informing the public. Adjective ratings are computed automatically in the
 Weather Information Management System (WIMS) based on NFDRS
 parameters provided by local fire managers.

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Climatic Breakpoints and Fire Business Thresholds

Climatic breakpoints and fire business thresholds are established to provide
NFDRS-based decision points for all appropriate management responses.
Climatological breakpoints are points on the cumulative distribution of one fire
weather/fire danger index without regard to associated fire occurrence/
business. For example, the value of the 90th percentile ERC is the
climatological breakpoint at which only 10 percent of the ERC values are
greater in value. The percentiles for climatological breakpoints are
predetermined by agency directive as shown below.

- **BLM** 80th and 95th percentiles
- FWS 90th and 97th percentiles
- NPS 90th and 97th percentiles
- **FS** 90th and 97th percentiles

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It is equally important to identify the period or range of data analysis used to
determine the agency percentiles, as well as what percentiles are used. The
actual calculated percentile values for 12 months of data will be different from
the percentile values for the fire season. Year round data should be used for
percentiles for severity type decisions, and percentiles based on fire season data
for staffing levels and adjective fire danger.

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Fire business thresholds are values of one or more fire weather/fire danger indexes that have been statistically related to occurrence of fires (fire business). Generally the threshold is a value or range of values where historical fire activity has significantly increased or decreased.

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Climatic breakpoints and fire business thresholds are developed with NFDRS
 software, such as FIREFAMILY PLUS, and are applied to appropriate NFDRS
 processors, such as WIMS, to determine daily staffing levels and adjective
 ratings. Training for the FIREFAMILY PLUS program is available at local,
 regional, and national NFDRS courses.

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Applications for climatic breakpoints and fire business thresholds include:

- Public Information
- Public/Industrial Use Restrictions
- Staffing Levels
- Severity Requests
- Situational Awareness
- Predictive Services
- Fire Planning

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- Pre-Positioning
- o Dispatch Levels
- Fire Program Analysis (FPA)
- National Preparedness Levels
- Local Preparedness Levels
- Resource Allocation
- Resource Prioritization
- Rx Fire Complexity Analysis

Fire Danger Pocket Card for Firefighter Safety

The Fire Danger Pocket Card is used to communicate information on fire danger to firefighters. The prime objective of fire danger rating is to provide a measure of the seriousness of local burning conditions. The Pocket Card provides a visual reference of those conditions and how they compare to previous fire seasons. Pocket Cards are developed and implemented according to NWCG guidelines posted at http://famweb.nwcg.gov/pocketcards/. Fire Danger Pocket Cards are recommended at each local unit where weather data exists.

- BLM Fire Danger Pocket Cards are developed for and implemented at each local unit.
- FS Forest Supervisors will develop and distribute Fire Danger Pocket Cards to each fireline supervisor.

Preparedness Plan

Preparedness plans provide management direction given identified levels of burning conditions, fire activity, and resource commitment, and are required at national, state/regional, and local levels. Preparedness Levels (1-5) are determined by incremental measures of burning conditions, fire activity, and resource commitment. Fire danger rating is a critical measure of burning conditions. Refer to the *National Interagency Mobilization Guide* for more information on preparedness plans.

Preparedness Level/Step-up Plans

Preparedness Level/Step-up Plans are designed to direct incremental preparedness actions in response to increasing fire danger. Those actions are delineated by "staffing levels." Each step-up plan should address the five

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preparedness levels (1, 2, 3, 4, and 5) and the corresponding planned actions that are intended to mitigate those fire danger conditions. Several assessment tools are available to measure fire danger.

Outputs from the fire danger rating operating plan process, such as staffing

levels, are used to support the decisions found in staffing plans, step-up staffing

plans, preparedness levels, dispatch response plans, dispatch response levels,

etc. Increasing fire danger results in increasing staffing levels, suggesting a

corresponding increase in preparedness actions intended to mitigate those fire

9 danger conditions.

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The staffing plan describes escalating responses that are pre-approved in the fire
management plan. Mitigating actions are designed to enhance the unit's fire
management capability during short periods (one burning period, Fourth of July
or other pre-identified events) where normal staffing cannot meet initial attack,
prevention, or detection needs. The difference between preparedness level/stepup and severity is that preparedness level/step-up actions are established in the
unit fire management plan, and implemented by the unit when those preidentified conditions are experienced. Severity is a longer duration condition
that cannot be adequately dealt with under normal staffing, such as a killing frost
converting live fuel to dead fuel or drought conditions. Severity is discussed
later in this chapter.

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Mitigating actions identified in the fire management plan should include, but are not limited to, the following items:

- Management direction and considerations
- Fire prevention actions, including closures/restrictions, media messages, signing, and patrolling
- Prepositioning suppression resources
- 29 Cooperation discussion and/or involvement
- 30 Safety considerations: safety message, safety officer
- Augmentation of suppression forces
- Support function: consideration given to expanded dispatch activation, initial attack dispatch staffing, and other support needs (procurement, supply, ground support, and communication)
- Support staff availability outside of fire organization
- Communication of Fire Weather Watch and Red Flag Warning conditions
- Fire danger/behavior assessment
- Briefings for management and fire suppression personnel
- Fire information internal and external
- Multi-agency coordination groups/area command activation
- Prescribed fire direction and considerations
- Increased detection activities

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Seasonal Risk Analysis

A Seasonal Risk Analysis requires fire managers to review current and predicted

- weather and fuels information, compare this information with historic weather
- 4 and fuels records, and predict the upcoming fire season's severity and duration
- for any given area. It is important to incorporate drought indices into this

6 assessment.

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- 8 Information from a Seasonal Risk Analysis can be used to modify the AOP,
- 9 step-up and pre-attack plans. It provides the basis for actions such as
- prepositioning critical resources, requesting additional funding, or modifying
- memoranda of understanding (MOU) to meet anticipated needs.

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Each unit selects, and compares to normal, the current value and seasonal trend of one or more of the following indicators which are most useful in predicting fire season severity and duration in its area:

- NFDRS (or CFFDRS) index values (ERC, BI)
- 7 Temperature levels
- Precipitation levels
- Humidity levels
- Palmer Drought or Standardized Precipitation Index
- 1000-hour fuel moisture (timber fuels)
- Vegetation moisture levels
- 23 Live fuel moisture (brush fuels)
- Curing rate (grass fuels)
- Episodic wind events (moisture drying days)
- o Unusual weather events (early severe frost) €
- 27 Fires to date

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The seasonal trend of each selected indicator is graphically compared to normal and all-time worst. This comparison is updated regularly and posted in dispatch and crew areas.

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If the Seasonal Risk Analysis suggests that an abnormal fire season might be anticipated, a unit should notify the state/regional office and request additional resources commensurate with the escalated risk.

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- Local risk analyses should be compiled at the state/regional office to determine the predicted fire season severity within the state/region, and then forwarded to
- 39 the respective national office for use in determining national fire preparedness
- 40 needs
- Risk Analysis is ongoing. It should be reviewed periodically and revised when
- 42 significant changes in key indicators occur. All reviews of risk analysis, even if
- no changes are made, should be documented.

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Fire Severity Funding

Definition

Fire severity funding is the authorized use of suppression operations funds (normally used exclusively for suppression operations, and distinct from preparedness funds) for extraordinary preparedness activities that are required due to an abnormal increase in fire potential or danger, or to fire seasons that either start earlier or last longer than planned in the fire management plan.

Objective

The objective of fire severity funding is to mitigate losses by improving 11 suppression response capability when, due to the potential for abnormally severe fire behavior or fire occurrence outside of the normal fire season, current fiscal year appropriations are insufficient to provide for an adequate suppression response. Fire severity funds are not provided to augment the current appropriation or to cover the difference between the resources funded by the current appropriation and those approved in the fire management plan. 17

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Typical Uses

Severity funds are typically used to increase prevention activities, temporarily increase firefighting staffing, pay for standby, preposition initial attack suppression forces, provide additional aerial reconnaissance, provide for standby aircraft availability, and other supplemental contractual services. 23

Authorization 25 Authorization to use severity funding is provided in writing based on a written request. Specific information required in the request is outlined below. Authorization is on a project by project basis and comes with a unit specific cost code and a project code. Authorization is provided for a maximum of thirty days per request, however, regardless of the length of the authorization, use of severity funding must be terminated when abnormal conditions no longer exist. 31

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State/Regional Level Severity Funding

Every fiscal year the national office will provide each state/region with \$100,000 and a specific project number for state/regional short-term severity needs (e.g., wind events, cold dry front passage, lightning events, unexpected events such as OHV rallies that are expected to last less than one week). Expenditure of these funds is authorized by the state/regional director at the written request of the Agency Administrator. State/Regional Directors are responsible and accountable for ensuring that these funds are used only to meet severity funding objectives and that amounts are not exceeded. The national office will notify the state/regional director, state/regional budget officer, and the state/regional FMO when the project number is provided, and will request the applicable national finance center to enter the projects in the accounting system. 09-7

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FS - Forest Service severity funding direction is found in FSM 5190.

National Level Severity Funding

The National Fire Director is authorized to allocate suppression operations subactivity funds greater than \$100,000 for use in preparedness activities to improve response capability. Expenditure of these funds is authorized by the national director at the written request of the state/regional director. Funds will be used only for preparedness activities and timeframes specifically outlined in the authorization, and only for the objectives stated above.

Appropriate Fire Severity Funding Charges

Labor

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- Includes regular and overtime pay.
- Severity funded personnel and resources must be available for immediate initial attack regardless of the daily task assignment.
- Severity funded personnel and resources will not use severity project number while assigned to a wildland fires. The incident number will be used.
- Overtime pay for severity funded personnel will be paid by severity funds, unless the personnel are assigned to a wildland fire.
- Overtime pay must be based on need; it is not guaranteed.
- Severity assignments/details may last up to 30 days and should not be constrained by 14-day fire assignment limitations.

• Vehicles and Equipment

This includes GSA rental and mileage, agency-owned use rate (but not fixed ownership rate), and commercial rentals and contracts.

Aircraft

This includes contract extensions, the daily minimum for call when needed (CWN) aircraft, preposition flight time, and support expenses necessary for severity funded aircraft (facility rentals, utilities, telephones, etc.).

Travel and Per Diem

Off-unit personnel assisting in severity request assignments are fully subsisted by the government in accordance with their agency regulations. Costs covered include lodging, government provided meals (in lieu of per diem), airfare (including returning to their home base), privately owned vehicle mileage (with prior approval), and other miscellaneous travel and per diem expenses associated with the assignment.

Inappropriate Fire Severity Funding Charges

- Administrative surcharges, indirect costs, fringe benefits.
- Equipment purchases.
- The purchase of vehicles or maintenance, FOR, repairs, and upgrades.

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- Radios (unless approved by the National Office).
- Purchase of Telephones (including cellular).

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- Pumps, saws, and similar suppression equipment.
- Aircraft availability during contract period.
- Cache supplies which are normally available in fire caches.

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Documentation

- 6 The state/regional and national office will document and maintain severity 7 funding requests, authorizations, and use. At a minimum, this includes:
- Signed request from the Agency Administrator (or state director) with rationale for the request (abnormal increase in fire potential or danger, fire seasons that either start earlier or last longer than planned in the fire management plan, wind events, cold dry front passage, lightning events, unexpected events such as OHV rallies).
- supporting data such as predictive services products.
 - signed authorization from the state/regional director (or national director)
- written record of how the funds are used.

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Interagency Requests

Agencies working cooperatively in the same geographic area should work together to generate and submit joint requests, and to utilize severity funded resources in an interagency manner. However, each agency should request funds only for its own agency specific needs. The joint request should be routed simultaneously through each agency's approval system, and the respective fire directors will issue a joint authorization that specifies allocations by agency.

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Requesting Fire Severity Funding

National fire severity funding requests (including modifications and extensions of existing approved requests) should be requested in writing from the state/regional director to the national fire director. Requests should be dated and signed.

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- An example of a Fire Severity Funding Request may be found at the following
- http://www.fire.blm.gov/Standards/BLM_Fire_Severity_Funding_Request.htm

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Fire severity funding requests should include, at a minimum, the following information:

37 Narrative Statement

- The signed and dated request letter should include a brief narrative description of the interagency fire situation (local and/or geographic) that justifies the
- 40 request.

41 Quantification of Need

- To adequately quantify the need for severity funding, at least one of the criteria
- below should demonstrate that fuel and weather conditions exceed those used in
- 44 the Fire Management Plan, and, therefore, the planned workload.

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Fire danger models

Fire danger analysis software (FireFamily Plus) that graphically contrasts

- the current seasonal trend for ERC-fuel model G and any appropriate fire
- danger indices with average and all-time worst values, based on an
- analysis of year-round data. Likewise, those areas employing the Canadian
- 6 Forest Fire Danger Rating System should provide similar data for the
- Buildup Index (BUI) and/or Duff Moisture Code (DMC).

8 • Precipitation/drought

The U.S. Drought Monitor plus one or more indices, such as the

Standardized Precipitation Index and Palmer Drought Severity Index,

which specify the departure from normal.

12 • Fuel loading

Quantitative information comparing current to average conditions.

Live and dead fuel moistures

5 Current values, trends, average and all-time worst conditions. Include

Normalized Difference Vegetative Index (NDVI), Great Basin Live Fuel

Moisture Project reports, and Wildland Fire Assessment System (WFAS)

products as appropriate. Note that NDVI and Great Basin Live Fuel

Moisture Project data are compiled on weekly or longer basis.

20 • 30-day weather outlook

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22 Itemized List of Requested Resources

Resources should be requested by type, quantity, and cost. For each resource

type requested, the actual number of that resource type funded under the current

fiscal year appropriation should be identified, as per the example below.

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27 Authorization to Use Fire Severity Funding

Requests for fire severity funding will be authorized or denied in writing from

29 the national fire director to the state/regional director. The National Fire

30 Director may authorize requests on a line item basis.

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Sequence of Action and Responsible Parties for Severity Funding Requests

Action	Responsible Party
Identify and develop severity funding request.	Unit FMO
Review, modify, and approve (or reject) request. Forward to state/regional office.	Unit Agency Administrator
Review, modify, and approve (or reject) unit request. Add state/regional needs or consolidate with separate state/regional request. Forward to state/regional director for approval within 48 hours.	State/Regional FMO

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Review, modify, and approve (or reject) request. Forward to National Fire Director within 48 hours. Notify the fire budget staff.	State/Regional Director
Review, modify, and approve (or deny) the request within 48 hours. Issue written authorization with project number.	National Fire Director
Establish projects in FFIS within 24 hours.	Applicable National Finance Center
Notify unit office(s) and state/regional budget lead upon receipt of authorization.	State/Regional FMO
Execute severity project. Ensure that project expenditures are only used for authorized purposes.	Unit Office
Maintain severity files, including requests, authorizations, and summary of expenditures and activities	Unit/State/Regional/ National Offices

Labor Cost Coding For Severity Funded Personnel

- BLM Fire personnel outside their normal activation period and BLM employees whose regular salary is not funded by preparedness (2810), should charge regular time and approved severity overtime to the severity suppression operations subactivity (2821-HT) and the appropriate office's severity project number. Administratively Determined (AD) employees hired under an approved severity request should charge their time to the severity suppression operations subactivity (2821-HT) using the hiring unit's organizational code and severity project number.
- BLM BLM fire funded personnel should charge their regular base
 (guarantee) hours (8, 9, or 10 hours per the normal weekly tour of duty) to
 preparedness (2810) using their home unit's organizational code.
 Overtime associated with the severity request should be charged to the
 severity suppression operations subactivity (2821-HT) using the
 appropriate office's organizational code and severity project number.
- BLM Regular hours worked in suppression operations will require the
 use of the appropriate fire subactivity (2810-HU or 2821-HU) with the
 appropriate Fire Code number. Overtime in fire suppression operations
 will be charged to the suppression operations subactivity (2821-HU) using
 the home unit organizational code and Fire Code number.
 - **BLM** Non-federal agency employees should charge their time in accordance with the approved severity request and the appropriate local and statewide agreements. A reimbursable agreement should be established and is authorized under the Interagency Agreement for Fire Management.

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- FWS Labor Cost Coding. Refer to Fire Management Handbook.
- NPS Labor Cost Coding. NPS severity funding direction in RM 18, Chapters 18 & 19.
- **FS** Labor Cost Coding. Forest Service severity funding direction in FSM 5190 provides agency specific direction.

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Fire Prevention/Mitigation

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Wildland Fire Cause Determination & Fire Trespass

Agency policy requires any wildfire to be investigated to determine cause, origin, and responsibility.

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For all human-caused fires where the guilty party has been determined, actions must be taken to recover the cost of suppression activities, land rehabilitation, and damages to the resources and improvements.

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Wildland Fire Mitigation/Prevention

To "proactively" mitigate damages and losses from unwanted wildland fires, reduce undesirable human caused ignitions, reduce suppression costs and mitigate the risks of wildland fire to natural and cultural resources, private property and the lives of firefighters and the public, units are required to fund and implement a unit Fire Prevention Plan by completing a wildland mitigation/prevention assessment (see RAMS below).

- NPS Only units that experience more than an average 26 human caused fires per ten-year period are required to develop a fire prevention plan, based upon a prevention analysis such as RAMS; however, use of this software is not required.
- FS Forest Service direction for wildland prevention and investigation is found in FSM 5110 and 5300.

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Wildland fire mitigation/prevention programs based on risks, hazards and values as determined through the Risk Assessment and Mitigation Strategies (RAMS) process are extremely effective in reducing damages and losses during periods of "average" weather, fuels, and human activity conditions. As "fire season" weather and fuel conditions move from normal to above average or severe, and/or human activity increases substantially, mitigation/prevention programs must be "stepped up" to maintain their ignition and loss prevention effectiveness.

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Therefore, as the components of wildland severity, human activities, Fire
Danger Operating Plan thresholds, and other signals indicate, additional
mitigation/prevention actions must be initiated and/or additional resources (Fire
Prevention/Education Teams, etc.) should be obtained through fire severity
requests or other means. With these additional efforts and resources in place

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before conditions and fire activity become problematic, suppression resources become more efficient (with reduced human-caused ignitions, suppression

- resources are available for response to unpreventable ignitions) and exposure to
- all firefighters and the public is reduced.

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- The mitigation of risk and losses during periods of wildland severity can be addressed by:
- Conducting local/regional interagency fire prevention needs assessments
 which determine the appropriate level of mitigation/prevention actions and
 resources, then obtaining these resources through details, field/state office
 severity requests, regional/national resource orders, etc.
- Mobilizing local or regional "fire prevention/education" team(s) to quickly assess, plan and implement immediate mitigation and outreach strategies during periods of abnormal wildland fire risk and/or human activity. Refer to the *National Interagency Mobilization Guide (Chapter 20)* or regional mobilization guides for prevention/education team information and mobilization procedures.

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Mobilization Guide

The National Interagency Coordination Center (NICC) at the National Interagency Fire Center (NIFC) is responsible for cost-effective and timely 21 coordination of national emergency response for wildland fire suppression. This is accomplished through planning, situation monitoring, and expediting resource 23 orders between the federal wildland fire agencies and their cooperators. 24 The National Interagency Mobilization Guide contains standard procedures that guide the operations of multi-agency logistical support activity throughout the coordination system. It is designed to accommodate amendments as needed, and will be retained as current material until amended. Local mobilization guides should be used to supplement the National Interagency Mobilization 29 Guide. 30

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Geographic areas will provide NICC with two copies of their mobilization guides and will provide amendments as issued. Local mobilization guides should be prepared on an interagency basis. Local units will provide their geographic area coordination center with two copies of their mobilization guide or dispatch plan and amendments as issued.