

## Chapter 11 Incident Management

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### **National Interagency Incident Management System (NIIMS)**

The National Interagency Incident Management System (NIIMS) is sponsored by the National Wildfire Coordinating Group (NWCG). It provides a universal set of structures, procedures, and standards for agencies to respond to all types of emergencies. NIIMS is compliant with the National Incident Management System (NIMS). NIIMS will be used to complete tasks assigned to the interagency wildland fire community under the National Response Plan.

### **Incident Command System (ICS)**

The Incident Command System is the on-site management system used in NIIMS/NIMS. The ICS is a standardized emergency management construct specifically designed to provide for an integrated organizational structure that reflects the complexity and demands of single or multiple incidents, without being hindered by jurisdictional boundaries. ICS is the combination of facilities, equipment, personnel, communications, and procedures operating within a common organizational structure to manage incidents. ICS will be used by the agencies to manage wildland fire operations.

### **Wildland Fire Complexity Analysis**

Wildland fires are typed by complexity, from Type 5 (least complex) to Type 1 (most complex). The ICS organizational structure develops in a modular fashion based on the complexity of the incident. Complexity is determined by performing an Incident Complexity Analysis - (Refer to samples in Appendix F & G). Units may develop their own Complexity Analysis format to replace Appendix G. It is the Incident Commander's responsibility to continually reassess the complexity level of the incident. When the complexity analysis indicates a higher complexity level, the IC must ensure that suppression operations remain within the scope and capability of the existing organization. Incident Commanders must continually reassess incident complexity to ensure the appropriate command organization is either in place or on order.

### **Fire Management Organization Assessment**

The Fire Management Organization Assessment is a short checklist that agency administrators may use to identify conditions associated with heavy fire activity that may overload the local fire staff, reducing its effectiveness to manage the situation. Identifying these conditions may help the agency administrator determine whether increasing staffing levels might be an appropriate action to take. See Appendix K.

1 **Incident Management and Coordination Components of NIIMS**

2 Effective incident management requires:

- 3 • Command Organizations to manage on-site incident operations.  
 4 • Coordination and Support Organizations to provide direction and supply  
 5 resources to the on-site organization.

<b>On Site Command Organizations</b>	<b>Off Site Coordination and Support</b>
Type 5 Incident Command	Initial Attack Dispatch
Type 4 Incident Command	Expanded Dispatch
Type 3 Incident Command	Buying /Payment Teams
Type 2 Incident Command	Coordination Centers (Geographic or National)
Type 1 Incident Command	
Fire Use Management Teams	Multi-Agency Coordinating Groups
Unified Command	(Local, Geographic, or National)
Area Command	

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7 **Command Organization**

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9 **Incident Command**

10 All fires, regardless of complexity, will have an Incident Commander (IC). The  
 11 IC is a single individual responsible to the agency administrator(s) for all  
 12 incident activities; including the development of strategies and tactics, and the  
 13 ordering, deployment, and release of resources. The IC develops the  
 14 organizational structure necessary to manage the incident. ICS Command Staff  
 15 (Safety Officer and Information Officer) and General Staff (Operations Section  
 16 Chief, Planning Section Chief, Logistics Section Chief, and Finance Section  
 17 Chief) are established as required to perform key functional responsibilities for  
 18 the IC.

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20 For purposes of initial attack the first Incident Commander (IC) on scene,  
 21 qualified at any level, will assume the duties of initial attack incident  
 22 commander. The initial attack incident commander will assume the duties and  
 23 responsibility (ies) for all suppression efforts on the incident, up to their level of  
 24 qualification, until relieved by an IC, qualified at a level commensurate with  
 25 incident complexity, arrives on scene.

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27 **Type 4 and 5 Incident Command**

28 Type 4 and 5 Incident Commanders (ICs) are qualified according to the *NWCG*  
 29 *Wildland Fire Qualifications Systems Guide PMS 310-1 (NFES # 310-1)*. The  
 30 Type 4 or 5 IC may assign personnel to any combination of ICS functional area  
 31 duties in order to operate safely and effectively. ICS functional area duties  
 32 should be assigned to the most qualified or competent individuals available.

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**1 Type 5 Incident Characteristics**

- 2 • Ad hoc organization managed by a Type 5 Incident Commander.
- 3 • Primarily local resources used.
- 4 • ICS command and general staff positions are not activated.
- 5 • Resources vary from two to six firefighters.
- 6 • Incident is generally contained within the first burning period and often  
7 within a few hours after resources arrive on scene.
- 8 • Additional firefighting resources or logistical support are not usually  
9 required.

**10 Type 4 Incident Characteristics**

- 12 • Ad hoc organization managed by a Type 4 Incident Commander.
- 13 • Primarily local resources used.
- 14 • ICS command and general staff positions are not activated.
- 15 • Resources vary from a single resource to multiple resource task forces or  
16 strike teams.
- 17 • Incident is usually limited to one operational period in the control phase.  
18 Mopup may extend into multiple operational periods.
- 19 • Written incident action plan (IAP) is not required. A documented  
20 operational briefing will be completed for all incoming resources. Refer to  
21 the *Incident Response Pocket Guide* for a briefing checklist.

**22 Type 3 Incident Command**

23 Type 3 Incident Commanders (ICT3s) are qualified according to the *310-1*.  
24 ICT3s are required to manage the incident. They must not have concurrent  
25 responsibilities that are not associated with the incident, and they must not  
26 concurrently perform single resource boss duties. It is important to note that not  
27 all Type 3 complexity incidents require a full complement of individuals at the  
28 command and general staff positions. A Type 3 Incident Commander (ICT3) is  
29 expected to exercise their authority and establish the appropriate organizational  
30 structure for each incident as based on complexity, and span of control.

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33 As an incident escalates, a continuing assessment of the complexity level should  
34 be completed to validate the continued Type 3 effort or the need for a higher  
35 level of incident management.

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37 The following chart illustrates the minimum qualifications required for  
38 individuals performing Type 3 complexity functions:

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Type 3 Functional Responsibility	Specific 310-1 or equivalent qualification standards required to perform ICS functions at Type 3 level
Incident Command	Incident Commander Type (ICT3)
Safety	Line Safety Officer
Operations	Strike Team Leader or Task Force Leader
Division	Single Resource Boss
Plans	Local entities can establish level of skill to perform function.
Logistics	Local entities can establish level of skill to perform function.
Information	Local entities can establish level of skill to perform function.
Finance	Local entities can establish level of skill to perform function.

- 1 • *FS - Refer to FSM 5109.17 for Additional standards.*

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3 Type 3 experience that is input into the Incident Qualification and Certification  
4 System (IQCS) will not exceed an individual's current Incident Qualification  
5 Card.

6

### 7 **Type 3 Incident Characteristics**

- 8 • Ad hoc or pre-established Type 3 organization managed by a ICT3.
- 9 • The IC develops the organizational structure necessary to manage the  
10 incident. Some or all of ICS functional areas are activated, usually at the  
11 division/group supervisor and/or unit leader level.
- 12 • The Incident Complexity Analysis process is formalized and certified daily  
13 with the jurisdictional agency. It is the IC's responsibility to continually  
14 reassess the complexity level of the incident. When the complexity  
15 analysis indicates a higher complexity level the IC must ensure that  
16 suppression operations remain within the scope and capability of the  
17 existing organization, and that span of control is consistent with  
18 established ICS standards.
- 19 • Local and non-local resources used.
- 20 • Resources vary from several resources to several task forces/strike teams.
- 21 • May be divided into divisions.
- 22 • May require staging areas and incident base.
- 23 • May involve low complexity aviation operations.
- 24 • May involve multiple operational periods prior to control, which may  
25 require a written Incident Action Plan (IAP).
- 26 • Documented operational briefings will occur for all incoming resources  
27 and before each operational period. Refer to the *Incident Response Pocket*  
28 *Guide* for a briefing checklist.
- 29 • ICT3's will not serve concurrently as a single resource boss or have any  
30 non incident related responsibilities.

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**1 Type 1 and 2 Incident Command**

2 Type 1 and 2 Incident Commanders are qualified according to the 310-1. These  
3 ICs command pre-established Incident Management Teams that are configured  
4 with ICS Command Staff, General Staff, and other leadership and support  
5 positions. Personnel performing specific Type 1 or Type 2 command and  
6 general staff duties must be qualified at the Type 1 or Type 2 level according to  
7 the 310-1 standards.

**9 Type 2 Incident Characteristics**

10 Type 2 teams are managed by Geographic Area Multi-Agency Coordinating  
11 Groups, and are coordinated by the Geographic Area Coordination Centers.

- 12 • Pre-established incident management team managed by Type 2 Incident  
13 Commander.
- 14 • ICS command and general staff positions activated.
- 15 • Many ICS functional units required and staffed.
- 16 • Geographic and functional area divisions established.
- 17 • Complex aviation operations involving multiple aircraft.
- 18 • Incident Command Post, base camps, staging areas established.
- 19 • Incident extends into multiple operational periods.
- 20 • Written incident action plan required for each operational period.
- 21 • Operations personnel often exceed 200 per operational period and total  
22 personnel may exceed 500.
- 23 • Requires a Wildland Fire Situation Analysis (WFSA).
- 24 • Requires a written Delegation of Authority to the Incident Commander.

**26 Type 1 Incident Characteristics**

27 Type 1 teams are managed by Geographic Area Multi-Agency Coordinating  
28 Groups, and are coordinated by the Geographic Area Coordination Centers. At  
29 national preparedness levels 4 and 5 these teams are coordinated by the National  
30 Interagency Coordination Center.

- 31 • Pre-established incident management team managed by Type 1 Incident  
32 Commander.
- 33 • ICS command and general staff positions activated.
- 34 • Most ICS functional units required and staffed.
- 35 • Geographic and functional area divisions established.
- 36 • May require branching to maintain adequate span of control.
- 37 • Complex aviation operations involving multiple aircraft.
- 38 • Incident command post, incident camps, staging areas established.
- 39 • Incident extends into multiple operational periods.
- 40 • Written incident action plan required for each operational period.
- 41 • Operations personnel often exceed 500 per operational period and total  
42 personnel may exceed 1000.
- 43 • Requires a Wildland Fire Situation Analysis. (WFSA)
- 44 • Requires a written Delegation of Authority to the Incident Commander.

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**1 Fire Use Management Teams (FUMT)**

2 Fire Use Management Teams provide land managers with skilled and mobile  
3 personnel to assist with the management of Wildland Fire Use (WFU) fires and  
4 with prescribed fires. Fire Use Management Teams are available as an  
5 interagency resource for assignment to all agencies and units. FUMTs consist of  
6 the following positions:

- 7 • Incident Commander Type 2 (ICT2)
- 8 • Safety Officer 2 (SOF2)
- 9 • Public Information Officer 2 (POI2)
- 10 • Operations Sections Chief Type 2 (OSC2)
- 11 • Planning Section Chief Type 2 (PSC2)
- 12 • Long Term Fire Behavior Analyst (LTAN)
- 13 • Logistics Section Chief Type 2 (LSC2)
- 14 • Three additional positions

**16 National Incident Management Organization Teams**

17 Two National Incident Management Organization (NIMO) teams are configured  
18 as short Type I incident management teams. Each team has a full-time Incident  
19 Commander and six full-time Command & General Staff. One NIMO team is  
20 mobilized from Atlanta and the other from Boise. NIMO teams will be assigned  
21 to incidents as appropriate.

**23 Area Command**

24 Area Command is an Incident Command System organization established to  
25 oversee the management of multiple incidents that are each being managed by  
26 an ICS organization or to oversee the management of large or multiple incidents  
27 to which several Incident Management teams have been assigned. Area  
28 Command may become Unified Area Command when incidents are multi-  
29 jurisdictional. The determining factor for establishing area command is the span  
30 of control of the agency administrator.

**32 Area Command Functions**

- 33 • Establish overall strategy, objectives, and priorities for the incident(s)  
34 under its command.
- 35 • Allocate critical resources according to priorities.
- 36 • Ensure that incidents are properly managed.
- 37 • Coordinate demobilization.
- 38 • Supervise, manage, and evaluate Incident Management Teams under its  
39 command.
- 40 • Minimize duplication of effort and optimize effectiveness by combining  
41 multiple agency efforts under a single Area Action Plan.

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**1 Area Command Teams**

2 National Area Command teams are managed by National Multi-Agency  
3 Coordinating (NMAC) and are comprised of the following:

- 4 • Area Commander (ACDR)
- 5 • Assistant Area Commander, Planning (AAPC)
- 6 • Assistant Area Commander, Logistics (AALC)
- 7 • Area Command Aviation Coordinator (ACAC)
- 8 • Area Command Trainees (2, as identified by the Area Commander)

9 Depending on the complexity of the interface between the incidents, specialists  
10 in other areas such as aviation safety or information may also be assigned.

11

**12 Unified Command**

13 Unified Command is an application of the Incident Command System used  
14 when there is more than one agency with incident jurisdiction or when incidents  
15 cross political jurisdictions. Under Unified Command, agencies work together  
16 through their designated incident commanders at a single incident command  
17 post to establish common objectives and issue a single Incident Action Plan.  
18 Unified Command may be established at any level of incident management or  
19 area command. Under Unified Command all agencies with jurisdictional  
20 responsibility at the incident contribute to the process of:

- 21 • Determining overall strategies.
- 22 • Selecting alternatives.
- 23 • Ensuring that joint planning for tactical activities is accomplished.
- 24 • Maximizing use of all assigned resources.

25

**26 Advantages of Unified Command are:**

- 27 • A single set of objectives is developed for the entire incident.
- 28 • A collective approach is used to develop strategies to achieve incident  
29 objectives.
- 30 • Information flow and coordination is improved between all jurisdictions  
31 and agencies involved in the incident.
- 32 • All involved agencies have an understanding of joint priorities and  
33 restrictions.
- 34 • No agency's legal authorities will be compromised or neglected.

35

**36 Coordination and Support Organizations**

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**38 Initial Attack Dispatch**

39 Initial Attack is the planned response to a wildfire, given the wildfire's potential  
40 fire behavior. The command decision to move suppression resources is made by  
41 an authorized person at a local Initial Attack Dispatch Center.

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**1 Expanded Dispatch**

2 Expanded Dispatch is the organization needed to support an incident which  
3 expands along with the Incident Command System. Expanded dispatch is  
4 established when a high volume of activity indicates that increased dispatch and  
5 coordination capability is required.

**7 Expanded Dispatch Organization**

8 An Expanded Dispatch operations center may be established. The Expanded  
9 Dispatch coordinator facilitates accomplishment of goals and direction of the  
10 Agency administrator and, when activated, the Multi Agency Coordinating  
11 Group. The position may be filled by the person normally managing the day-to-  
12 day operations of the center or an individual from a higher level of management.

13 The Expanded Dispatch center coordinator is responsible for:

- 14 • Filling and supervising necessary positions, if they are necessary, in  
15 accordance with coordination complexity.
- 16 • Implementing decisions made by the Multi-Agency Coordination (MAC)  
17 group.

**19 Expanded Dispatch Facilities and Equipment**

20 Expanded Dispatch facilities and equipment should be pre-identified, procured,  
21 and available for immediate setup. The following key items should be provided  
22 for:

- 23 • Work space separate from, but accessible to, the initial attack organization.
- 24 • Adequate office space (lighting, heating, cooling, security).
- 25 • Communications equipment (telephone, fax, computer hardware with  
26 adequate data storage space, priority use, and support personnel).
- 27 • Area suitable for briefings (agency administrators, media).
- 28 • Timetable/schedule should be implemented and adhered to (operational  
29 period changes, briefings, strategy meetings).
- 30 • A completed and authorized Continuation of Operations Plan (COOP).
- 31 • Qualified personnel on site to staff operations for the entire operational.

**33 Buying/Payment Teams**

34 Buying/Payment Teams support incidents by procuring services and supplies  
35 and renting land and equipment. These teams may be ordered when incident  
36 support requirements exceed local unit capacity. These teams report to the  
37 agency administrator or the local unit administrative officer. See the *Interagency*  
38 *Incident Business Management Handbook* for more information.

**40 Multi-Agency Coordination (MAC) Group**

41 Multi-Agency Coordination Groups are part of the National Interagency  
42 Incident Management System (NIIMS) and are an expansion of the off-site  
43 coordination and support system. MAC Groups are activated by the Agency  
44 administrator(s) when the character and intensity of the emergency situation  
45 significantly impacts or involves other agencies. A MAC Group may be



1 activated to provide support when only one agency has incident(s). The MAC  
2 Group is made up of agency representatives who are delegated authority by their  
3 respective agency administrators to make agency decisions and to commit  
4 agency resources and funds. The MAC Group relieves the incident support  
5 organization (dispatch, expanded dispatch) of the responsibility for making key  
6 decisions regarding prioritization of objectives and allocation of critical  
7 resources. The MAC Group makes coordinated agency administrator level  
8 decisions on issues that affect multiple agencies. The MAC Group is supported  
9 by situation, resource status, and intelligence units who collect and assemble  
10 data through normal coordination channels.

11

### 12 **MAC Group Direction**

13 MAC Group direction is carried out through dispatch and coordination center  
14 organizations. When Expanded Dispatch is activated, the MAC Group direction  
15 is carried out through the expanded dispatch organization. The MAC Group  
16 organization does not operate directly with Incident Management Teams or with  
17 Area Command teams, which are responsible for on-site management of the  
18 incident.

19

### 20 **MAC Group Activation Levels**

21 MAC groups may be activated at the local, state, regional, or national level.  
22 National level and Geographic Area level MAC Groups should be activated in  
23 accordance with the preparedness levels criteria established in the National and  
24 Geographic Area Mobilization Guides.

25

### 26 **MAC Group Coordinator**

27 The MAC Group coordinator facilitates organizing and accomplishing the  
28 mission, goals, and direction of the MAC Group. The MAC Group coordinator:

- 29 • Provides expertise on the functions of the MAC Group and on the proper  
30 relationships with dispatch centers and incident managers.
- 31 • Fills and supervises necessary unit and support positions as needed, in  
32 accordance with coordination complexity.
- 33 • Arranges for and manages facilities and equipment necessary to carry out  
34 the MAC Group functions.
- 35 • Facilitates the MAC Group decision process. Implements decisions made  
36 by the MAC Group.

37

### 38 **MAC Group Functions**

39 Activation of a MAC Group improves interagency coordination and provides for  
40 allocation and timely commitment of multi-agency emergency resources.

41 Participation by multiple agencies in the MAC effort will improve:

- 42 • Overall situation status information.
- 43 • Incident priority determination.
- 44 • Resource acquisition and allocation.
- 45 • State and Federal disaster coordination.

- 1 • Political interfaces.
- 2 • Consistency and quality of information provided to the media and involved
- 3 agencies.
- 4 • Anticipation of future conditions and resource needs.

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## 6 **Managing the Incident**

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### 8 **Agency Administrator Responsibilities**

9 The agency administrator (AA) manages the land and resources on their  
10 organizational unit according to the established land management plan. Fire  
11 management is part of that responsibility. The AA establishes specific  
12 performance objectives for the Incident Commander (IC), and delegates the  
13 authority to the IC to take specific actions to meet those objectives.

14 AA responsibilities to a Type 1 or 2 Incident Management Team (IMT) or Fire  
15 Use Management Team (FUMT) include:

- 16 • Conduct an initial briefing to the Incident Management Team (Appendix  
17 D).
- 18 • Provide an approved and certified Wildland Fire Situation Analysis  
19 (WFSA) or Wildland Fire Implementation Plan (WFIP). The WFSA is  
20 validated daily and the WFIP is validated as required.
- 21 • Complete an Incident Complexity Analysis (Appendix F & G) to  
22 accompany the WFSA.
- 23 • Issue a written Delegation of Authority (Appendix H) to the Incident  
24 Commander and to other appropriate officials (agency administrator  
25 Representative, Resource Advisor, and Incident Business Advisor). For  
26 Type 3, 4, or 5 Incidents, delegations may be written or oral. The  
27 delegation should:
  - 28 ➤ State specific and measurable objectives, priorities, expectations,  
29 constraints, and other required direction.
  - 30 ➤ Establish the specific time for transfer of command.
  - 31 ➤ Assign clear responsibilities for initial attack.
  - 32 ➤ Define your role in the management of the incident.
  - 33 ➤ Assign a resource advisor(s) to the IMT.
  - 34 ➤ Define public information responsibilities.
  - 35 ➤ If necessary, assign a local government liaison to the IMT.
  - 36 ➤ Assign an Incident Business Advisor (IBA) to provide incident  
37 business management oversight commensurate with complexity.
  - 38 ➤ Direct IMT to address rehabilitation of areas affected by suppression  
39 activities.
- 40 • Coordinate Mobilization with the Incident Commander:
  - 41 ➤ Negotiate filling of mobilization order with the IC.
  - 42 ➤ Establish time and location of agency administrator briefing.
  - 43 ➤ Consider approving support staff additional to the IMT as requested  
44 by the IC.
  - 45 ➤ Consider authorizing transportation needs as requested by the IC.

1 In situations where one agency provides fire suppression service under  
2 agreement to the jurisdictional agency, both jurisdictional and protecting  
3 agencies will be involved in the development of, and signatories to, the  
4 delegation of authorities and the WFSA to the incident management teams.

5

#### 6 **Agency Administrator Representative Responsibilities**

7 The agency administrator representative (the on-scene agency administrator) is  
8 responsible for representing the political, social, and economic issues of the  
9 agency administrator to the Incident Commander. This is accomplished by  
10 participating in the agency administrator briefing, in the IMT planning and  
11 strategy meetings, and in the operational briefings. Responsibilities include  
12 representing the agency administrator to the IMT regarding:

- 13 • Compliance with the Delegation of Authority and the WFSA.
- 14 • Public Concerns (air quality, road or trail closures, smoke management,  
15 threats)
- 16 • Public Safety (evacuations, access/use restrictions, temporary closures)
- 17 • Public Information (fire size, resources assigned, threats, concerns, appeals  
18 for assistance)
- 19 • Socioeconomic, Political, or Tribal Concerns
- 20 • Land and Property Ownership Concerns
- 21 • Interagency and Inter-governmental Issues
- 22 • Wildland Urban Interface Impacts
- 23 • Media Contacts

24

#### 25 **Resource Advisor Responsibilities**

26 The Resource Advisor is responsible for anticipating the impacts of fire  
27 operations on natural and cultural resources and for communicating protection  
28 requirements for those resources to the Incident Commander. The Resource  
29 Advisor should ensure IMT compliance with the Land Management Plan and  
30 Fire Management Plan direction, and provide the Incident Commander with  
31 information, analysis, and advice on these areas:

- 32 • Rehabilitation requirements and standards
- 33 • Land Ownership
- 34 • Hazardous Materials
- 35 • Fuel Breaks (locations and specifications)
- 36 • Water Sources and Ownership
- 37 • Critical Watersheds
- 38 • Critical Wildlife Habitat
- 39 • Noxious Weeds
- 40 • Special Status Species (threatened, endangered, proposed, sensitive)
- 41 • Fisheries
- 42 • Poisonous Plants, Insects, and Snakes
- 43 • Mineral Resources (oil, gas, mining activities)
- 44 • Archeological Site, Historic Trails, Paleontological Sites
- 45 • Riparian Areas

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**11-11**

- 1 • Military Issues
- 2 • Utility Rights-of-way (power, communication sites)
- 3 • Native Allotments
- 4 • Grazing Allotments
- 5 • Recreational Areas
- 6 • Special Management Areas (Wilderness Areas, Wilderness Study Areas,  
7 Recommended Wilderness, National Monuments, National Conservation  
8 Areas, National Historic Landmarks, Areas Of Critical Environmental  
9 Concern, Research Natural Areas, Wild And Scenic Rivers)

10

11 The Resource Advisor and agency administrator representative positions are  
12 generally filled by local unit personnel. These positions may be combined and  
13 performed by one individual. Duties are stated in the *Resource Advisor's Guide*  
14 *for Wildland Fire* (NWCG PMS 313, NFES 1831, Jan 2004).

15

#### 16 **Incident Action Plan**

17 When a written Incident Action Plan is required, suggested components may  
18 include objectives, organization, weather forecast, fire behavior forecast,  
19 division assignments, air operations summary, safety message, medical plan,  
20 communications plan, and incident map.

21

#### 22 **Incident Status Reporting**

23 The Incident Status Summary (ICS-209), submitted to the GACC, is used to  
24 report large wildland fires, and any other significant events on lands under  
25 federal protection or federal ownership. Lands administered by states and other  
26 federal cooperators may also report in this manner.

27

28 Large fires are classified as 100 acres or larger in timber fuel types, 300 acres or  
29 larger in grass fuel types, or when a Type 1 or 2 Incident Management Team is  
30 assigned. A report should be submitted daily until the incident is contained.

31 The agency administrator may require additional reporting times. Refer to local,  
32 zone, and/or GACC guidance for additional reporting requirements.

33

#### 34 **Incident History and Financial Records**

35 Wildland fire incidents on Federal lands managed by the FS and DOI (except  
36 BIA) require creation of an Incident History File (IHF) to document significant  
37 events, actions taken, lessons learned and other information with long-term  
38 value for managing natural resources. IHF contents and instructions and tools  
39 for creating the IHF are found at [www.nifc.gov](http://www.nifc.gov).

40

41 For incidents involving use of wildland fire for resource benefit, include  
42 Wildland Fire Implementation Plans (Stages I, II, and III) or equivalents with  
43 the records shown above.

44

1 The ordering host unit will be responsible for retaining the incident  
2 documentation package including the Incident History File (IHF) and financial  
3 records.

4

#### 5 **Transfer of Command**

6 The following guidelines will assist in the transfer of incident command  
7 responsibilities from the local unit to incoming Type 1 or 2 Incident  
8 Management Team, and back to the local unit.

- 9 • The local team or organization already in place remains in charge until the  
10 local representative briefs their counterparts on the incoming team, a  
11 delegation of authority has been signed, and a mutually agreed time for  
12 transfer of command has been established.
- 13 • The ordering unit will specify times of arrival and transfer of command,  
14 and discuss these timeframes with both the incoming and outgoing  
15 command structures.
- 16 • Clear lines of authority must be maintained in order to minimize confusion  
17 and maintain operational control.
- 18 • Transfers of command should occur at the beginning of an operational  
19 period, whenever possible.
- 20 • All operational personnel will be notified on incident command  
21 frequencies when transfer of command occurs.

22

#### 23 **Release of Teams**

24 The release of a Type 1 or 2 IMT should follow an approved transfer of  
25 command process. The agency administrator must approve the date and time of  
26 the transfer of command. The transition plan should include the following  
27 elements:

- 28 • Remaining organizational needs and structure
- 29 • Tasks or work to be accomplished
- 30 • Communication systems and radio frequencies
- 31 • Local safety hazards and considerations
- 32 • Incident Action Plan, including remaining resources and weather forecast
- 33 • Facilities, equipment, and supply status
- 34 • Arrangement for feeding remaining personnel
- 35 • Financial and payment processes needing follow-up
- 36 • Complexity Analysis

37

#### 38 **Team Evaluation**

39 At completion of assignment, Incident Commanders will receive a written  
40 performance evaluation from the agency administrators prior to the teams  
41 release from the incident. Certain elements of this evaluation may not be able to  
42 be completed at the closeout review. These include; accountability and property  
43 control; completeness of claims investigation/documentation; and completeness  
44 of financial and payment documentation. The final evaluation incorporating all

- 1 of the above elements should be sent to the Incident Commander within 60 days.  
2 See Appendix J for the IMT evaluation form.  
3  
4 The Delegation of Authority, the WFSA, and agency administrator's direction  
5 will serve as the primary standards against which the IMT is evaluated.  
6  
7 The agency administrator will provide a copy of the evaluation to the IC, the  
8 state/regional FMO, and retain a copy for the final fire package.  
9  
10 The state/regional FMO will review all evaluations and will be responsible for  
11 providing a copy of evaluations documenting performance to the geographic  
12 area board managing the IMT.  
13

#### 14 **Post Wildfire Activities**

15 Each wildland fire management agency is responsible for taking prompt action  
16 to determine the need for and to prescribe and implement emergency treatments  
17 to minimize threats to life or property or to stabilize and prevent unacceptable  
18 degradation to natural and cultural resources resulting from the effects of a fire  
19 on the lands they manage.  
20

21 Damages resulting from wildland fires are addressed through four activities:

- 22 • **Wildfire Suppression Activity Damage Repair** - Planned actions taken  
23 to repair the damages to resources, lands, and facilities resulting from  
24 wildfire suppression actions and documented in the Incident Action Plan.  
25 These actions are usually implemented immediately after containment of  
26 the wildfire by the Incident Management Team before demobilization.
- 27 • **Emergency Stabilization** - Planned actions to stabilize and prevent  
28 unacceptable degradation to natural and cultural resources, to minimize  
29 threats to life or property resulting from the effects of a wildfire, or to  
30 repair/replace/construct physical improvements necessary to prevent  
31 degradation of land or resources. Emergency stabilization actions must be  
32 taken within one year following containment of a wildland fire and  
33 documented in a Burned Area Emergency Response Plan.
- 34 • **Rehabilitation** - Efforts taken within three years of containment of a  
35 wildland fire to repair or improve wildfire-damaged lands unlikely to  
36 recover naturally to management approved conditions, or to repair or  
37 replace minor facilities damaged by wildfire. These efforts are  
38 documented in a separate Burned Area Rehabilitation Plan.
- 39 • **Restoration** - Continuing the rehabilitation beyond the initial three years  
40 or the repair or replacement of major facilities damaged by the wildfire.  
41  
42  
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1

**BAER Components Table**

	<b>Suppression Rehabilitation</b>	<b>Emergency Stabilization</b>	<b>Rehabilitation</b>	<b>Restoration</b>
<b>Objective:</b>	Repair suppression damages	Protect life and property	Repair damages	Long Term Ecosystem Restoration
<b>Damage due to:</b>	Suppression activities	Post-fire events	Fire	Fire
<b>Urgency:</b>	Before incident closeout	1-12 months	1-3 years	3 + years
<b>Responsibility</b>	Incident commander	Agency Administrator	Agency Administrator	Agency Administrator
<b>Funding type:</b>	Suppression (fire)	Emergency Stabilization	Rehabilitation	Regular program

2

3

**Approval Authorities Table**

	BIA	BLM	FWS	NPS	FS
Local Approval Level	\$100,000 Agency Superintendent	\$0 Field/District Manager	\$0 Refuge Manager	\$0 Park Superintendent	\$0 District Ranger \$0 Forest Supervisor
Regional/State Approval Level	\$100,000/\$250,000 Regional Director	<\$100,000 State Director	<\$500,000 Regional Director with Regional Fire Management Coordinator concurrence	<\$500,000 Regional Director	\$500,000 Western Regional Foresters \$100,000 Eastern Regional Foresters
National Approval Level	>\$500,000 Director of Fire Management	>\$100,000 Director	>\$500,000 Chief, Branch of Fire Management	>\$500,000 National Fire Management Officer	>\$100,000 or \$500,000 Chief

4

**Burned Area Emergency Response (BAER) Teams**

5 BAER Teams are a standing or ad hoc group of technical specialists (e.g.,  
 6 hydrologists, biologists, soil scientists, etc.) that develop and may implement  
 7 portions of the Burned Area Emergency Response Plans. They will meet the  
 8 requirements for unescorted personnel found in Chapter 07 under “Visitors to  
 9 the Fireline” when working within the perimeter of an uncontrolled wildfire.  
 10 The team’s skills and size should be commensurate with the size and complexity  
 11 of the wildfire.  
 12

- 1 • It is the agency administrator's (not the Incident Commander's)  
2 responsibility to designate an interdisciplinary BAER team. However,  
3 BAER teams must coordinate closely with IC and Incident Management  
4 teams to work safely and efficiently. Initial requests for funding for BAER  
5 should be submitted to the appropriate agency administrator for approval  
6 within 7 calendar days after the total containment of the fire. If additional  
7 time is needed, extensions may be negotiated with those having approval  
8 authority.
- 9 • *DOI - The Department of the Interior maintains one standing National*  
10 *BAER Team with pre-identified positions listed in the National Interagency*  
11 *Mobilization Guide and are comprised of personnel from the Bureau of*  
12 *Indian Affairs, Bureau of Land Management, National Park Service, Fish*  
13 *and Wildlife Service, and Forest Service. The DOI-BAER Team is*  
14 *dispatched by the National Interagency BAER Team Dispatch*  
15 *Prioritization Criteria Evaluation. The DOI-BAER Teams should be*  
16 *requested at least 10 days prior to expected date of wildfire containment.*
- 17 • *FS - The Forest Service utilizes BAER Teams through a pool of resources*  
18 *with the skills identified by the receiving unit. When needed, BAER*  
19 *personnel from other units can either be contacted directly or through*  
20 *dispatch. Placing a general fire resource order for BAER team members*  
21 *via dispatch is not appropriate for ad hoc Forest Service teams. See FSM*  
22 *2523 and FSH 2509.13 for agency specific policy and direction for BAER*  
23 *team.*

## 24

### 25 Incident Business Management

#### 26

#### 27 Cost Containment

28 The primary criteria for choosing suppression strategies are to minimize costs  
29 without compromising safety. Planned and actual suppression costs must be  
30 commensurate with the values to be protected. They must be included and  
31 displayed in the Wildland Fire Situation Analysis. Even though resource  
32 benefits may result in some areas of a fire, it is inappropriate to expend  
33 suppression dollars with the explicit objective of achieving resource benefit.  
34 Indirect containment strategies are appropriate only if they are the safest or least  
35 cost option. Selection of these strategies must be carefully scrutinized when fire  
36 danger trends are rising. Long duration wildfires need to be closely evaluated  
37 by cost containment teams to ensure that operations are not occurring beyond  
38 the point of diminishing returns.

39  
40 An Incident Business Advisor (IBA1) must be assigned to any fire with  
41 suppression costs of more than \$5 million. An IBA2 is advised for fires with  
42 suppression costs of \$1-5 million. If a certified IBA is not available, the  
43 approving official will appoint a financial advisor to monitor expenditures.

44  
45 Incident suppression cost objectives will be included as a performance measure  
46 in Incident Management Team evaluations.



**1 Cache Management**

2 The DOI-BLM manages two National Interagency Support Caches (NISC), and  
3 USDA-Forest Service manages nine national caches. Agencies often serve as  
4 interagency partners in local area support caches, and operate single agency  
5 initial attack caches. All caches will maintain established stocking levels,  
6 receive and process orders from participating agencies, and follow ordering and  
7 fire replenishment procedures as outlined by the national and geographic area  
8 cache management plans and mobilization guides.

- 9 • *FS - Refer to FSM 5160 for specific requirements.*

10

**11 National Interagency Support Caches**

12 The eleven national caches are part of the National Fire Equipment System  
13 (NFES). Each of these caches provides incident support in the form of  
14 equipment and supplies to units within their respective geographic areas. The  
15 NFES cache system may support other emergency, disaster, fire-related or land  
16 management activities, provided that such support is permitted by agency  
17 policies and does not adversely affect the primary mission. These national  
18 caches do not provide supplies and equipment to restock local caches for non-  
19 incident requests. Non-emergency (routine) orders should be directed to the  
20 source of supply, e.g., GSA or private vendors. The Great Basin Cache at NIFC  
21 provides publications management support to the National Wildfire  
22 Coordinating Group (NWCG). Reference the NWCG, *National Fire Equipment*  
23 *System Catalog (NFES 0362)* for more detailed information.

24

25 Forest Service National Symbols Program distribution is through the Northeast  
26 Area National Interagency Support Cache. This material is coordinated by the  
27 USDA Forest Service, under advisement of the National Association of State  
28 Foresters' (NASF) Cooperative Forest Fire Prevention Committee (CFFP), and  
29 the DOI Bureau of Land Management. Materials include Smokey Bear  
30 prevention items, and Junior Forest Ranger environmental educational materials.  
31 Northeast Area National Interagency Support Cache also distributes DOI Fire  
32 Education materials and provides resource kits for National Fire Prevention  
33 Teams. The website at [www.symbols.gov](http://www.symbols.gov) contains the catalog of these materials  
34 and offers information having to do with these programs.

35

**36 Local Area Interagency Support Caches**

37 These caches directly support more than one agency, and generally cover more  
38 than one administrative unit. They will maintain stocking levels to meet the  
39 identified needs of the multiple agencies for whom service is provided.

40

**41 Initial Response Caches**

42 Numerous caches of this level are maintained by each agency. These caches  
43 will establish and maintain stocking levels to meet the initial response needs of  
44 the local unit(s).

45

46

1 **Inventory Management**

2

3 **System Implementation**

4 Each fire cache, regardless of size, should initiate and maintain a cache  
5 inventory management system. Agency management systems provide a check  
6 out/return concept that incorporates a debit/crediting for all items leaving the  
7 cache. This system is strictly followed in the NISC's. Inventory management  
8 processes should be implemented for all local interagency support and initial  
9 action caches.

10

11 **Reporting Requirements**

12 By April 1st of each year, all local interagency support and initial action caches  
13 will submit inventories to their servicing NISC.

14

15 All items reported will conform to refurbishment standards set forth in *NFES*  
16 *2249, Fire Equipment Storage and Refurbishment Standards*. Those items not  
17 identified in *NFES 2249* will not be refurbished.

18

19 **Accountability**

20 Fire loss/use rate is defined as all property and supplies lost, damaged or  
21 consumed on an incident. It is reported as a percentage that is calculated in  
22 dollars of items issued compared to items returned. The reasonable anticipated  
23 fire loss/use rate for all items issued to an incident is 15 percent of trackable and  
24 durable items. Consumable items are not included in this total. All items  
25 stocked in agency fire caches will be categorized for return (loss tolerance/use  
26 rate) and accountability purposes.

27

28 **Trackable Items**

29 Include items that a cache may track due to dollar value, sensitive property  
30 classification, limited quantities available, or other criteria set by each NISC.  
31 Items that are considered trackable are usually engraved or tagged with a cache  
32 identification number. These items must be returned to the issuing cache at the  
33 end of the incident use, or documentation must be provided to the issuing cache  
34 as to why it was not returned. All trackable items are also considered durable.  
35 100 percent accountability is expected on trackable items.

36

37 **Durable Items**

38 Include cache items considered to have a useful life expectancy greater than one  
39 incident. High percentages of return for these items are expected. These items  
40 are not specifically cache identified/tagged/engraved. Acceptable loss tolerance/  
41 use rates for the following durable goods have been established:

- 42 • 10% for water handling accessories, helicopter accessories, tents, and camp  
43 items such as heaters, lights, lanterns, tables, and chairs.
- 44 • 20% for hose, tools, backpack pumps, sleeping bags, pads, and cots.
- 45 • 30% for personal protective equipment.

46

**1 Consumable Items**

2 Include items normally expected to be consumed during incident use.  
3 Consumable items returned in unused condition are credited to the incident.  
4 Examples of consumable items are: batteries, plastic canteens, cubitainers,  
5 forms, MREs, fusees, hot food containers, petroleum products, and medical  
6 supplies.

**8 Incident to Incident Transfer of Supplies and Equipment**

9 Transfer of supplies and equipment between incidents is not encouraged, due to  
10 the increased possibility of accountability errors. In instances when it is  
11 determined to be economically feasible and operationally advantageous, the  
12 following must be accomplished by the Supply Unit Leader from the incident  
13 that is releasing the items.

14  
15 Documentation will be completed on the *Interagency Incident Waybill (NFES*  
16 *#1472)*, and must include the following:

- 17 • NFES Number
- 18 • Quantity
- 19 • Unit of Issue
- 20 • Description
- 21 • Property number, if item is trackable
- 22 • Receiving incident name, incident number and resource request number
- 23 • The Supply Unit Leader will send the waybill transfer information to the  
24 servicing NISC to maintain proper accountability recording.

25  
26 Upon request, the servicing NISC can provide the Supply Unit Leader with and  
27 Outstanding Items Report to facilitate accurate waybill documentation.

**29 Fire Loss Tolerance Reporting for Type 1 and 2 Incidents**

30 In order to help managers keep incident-related equipment and supply loss to a  
31 minimum, incident management teams (IMT)'s are required to maintain  
32 accountability and tracking of these items. Guidelines and procedures to assist  
33 with this accountability are provided in Chapter 30 of the *IIBMH*. To further  
34 facilitate these procedures and provide oversight, a fire loss report has been  
35 developed that provides detailed information regarding used and trackable item  
36 use. This report has been accepted by NWCG for all wildland fire agencies and  
37 will be compiled for all Type 1 and Type 2 incidents. Investigations may be  
38 conducted in those cases where loss/use tolerances rates may have been  
39 exceeded.

40  
41 These reports are compiled by the NISC servicing the particular incident.  
42 Reports will then be forwarded to the responsible local office, with a copy to the  
43 state/regional FMO, within 60 days of the close of the incident to meet these  
44 time limits. The following steps must be followed to insure accurate reports:

- 1 • At the close of each incident, all property must be returned to the servicing  
2 NFES cache.
- 3 • If accountable property has been destroyed or lost, appropriate  
4 documentation must be provided to the cache for replacement and updating  
5 property records.
- 6 • All property purchased with emergency fire funds for an incident must be  
7 returned to the NFES cache system.
- 8 • All unused consumable and/or durable NFES items must be returned to the  
9 servicing NFES cache within 30 days of control of the incident.
- 10 • Agency administrators/fire management officers must review the fire loss  
11 report and recommend appropriate follow-up action if losses are excessive.  
12 Those actions and recommendations should be documented and filed in the  
13 final incident records.

14

#### 15 **Incident Supply and Equipment Return Procedures**

16 Supplies and equipment ordered with suppression funds will be returned to the  
17 ordering unit at the close of the incident and dispersed in one of three ways:

- 18 • Items meeting NFES standards will be returned to the local or geographic  
19 area cache for reuse within the fire supply system.
- 20 • Items not meeting the prescribed NFES standards will either be purchased  
21 with project funds by the local unit if the items are needed for program use.
- 22 • Items will be delivered to the unit's excess property program for disposal.

23

#### 24 **Cache Returns and Restock Procedures**

25 All returns for credit and restock of caches to specific incident charges should be  
26 made within 30 days after the close of the incident. If that timeframe cannot be  
27 met, it is required that returns and restock be made during the same calendar  
28 year as items were issued. All returns should be tagged with appropriate  
29 incident number, accompanied by an interagency waybill identifying the  
30 appropriate incident number, or accompanied by issue documents to ensure  
31 proper account credit is given. Any items returned after the calendar year of  
32 issue will be returned to multiple-fire charges, unless specific incident charge  
33 documentation (issues) can be provided with the return.

34

#### 35 **Incident Replacement of Government Property**

36 Refer to the *IIBMH, Chapter 30* for procedures governing property management  
37 relating to incident activities. The agency administrator is responsible for  
38 providing agency property management guidelines and/or procedures to incident  
39 personnel.

40

41 Damage or Loss for assigned property is addressed under *IIBMH Chapter 30,*  
42 *35.4.* Specialty or non-cache items originally provided by the home unit through  
43 the use of preparedness funds will be replaced by home unit funds if the loss is  
44 due to normal wear and tear. If the government property is damaged on the  
45 incident due to a specific event, eg., wind event damages tent, the incident may,

- 1 upon receipt of required documentation and proof of damage, authorize
- 2 replacement using the *Incident Replacement Requisition (OF315)*. Cache items
- 3 will be replaced at the incident if available. Cache items that are not available at
- 4 the incident may be authorized for restocking at the home unit via an authorized
- 5 *Incident Replacement Requisition*.