

Inspection and Evaluation Committee

President's Council on Integrity and Efficiency

March 2008



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**FOR MORE
INFORMATION...**

For more information about any item in this newsletter, or to contribute suggestions for future newsletters, please contact Cynthia Thomas at cynthia.thomas@oig.hhs.gov.

SECURITIES AND EXCHANGE COMMISSION IG JOINS I&E COMMITTEE



In December 2007, H. David Kotz, formerly the Inspector General of the Peace Corps,

became the new Inspector General of the Securities and Exchange Commission (SEC). As the SEC's Inspector General, Mr. Kotz will conduct independent and objective audits; investigations and inspections to detect waste, fraud, and abuse; and promote economy, effectiveness, and efficiency. Mr. Kotz will become only the second Inspector General in the history of the SEC. "The Office of Inspector General has an enormous responsibility to both the agency and the public that requires a principled and independent leader," said SEC Chairman Christopher Cox. "Mr. Kotz has demonstrated consistent strength, independence, and integrity as Inspector General of the Peace Corps. He will be responsible for assessing all aspects of

the Commission's programs to ensure efficiency, effectiveness, and accountability." As the former Inspector General of the Peace Corps, Mr. Kotz was responsible for overseeing the internal operations of Peace Corps programs in Washington, DC, at 11 regional offices and in nearly 70 countries around the world. We wish Mr. Kotz much success and look forward to his continued participation on the I&E Committee in his new role with SEC.

INFORMATION SHARING REGARDING TRAINING OPPORTUNITY

If your I&E unit is conducting a training course in-house and there are extra slots, please consider opening the training to others in the community. In addition, if you have a positive experience with an outside speaker, training vendor, or training course, please share that information with your colleagues in the community. Send information to cynthia.thomas@oig.hhs.gov and we will disseminate it to the I&E Roundtable. Thank you!

I&E TRAINING UPDATE UPCOMING DATA CALL

The FDIC Training Center in Arlington, Virginia has been selected as the location for the I&E training. Many thanks to Jon Rymer and Leslee Bollea for their efforts in facilitating the use of this “state-of-the-art” facility. Based on existing scheduling commitments at this center, the training will be held in early 2009. This will provide the training workgroup with the ability to reserve the necessary space and will also allow ample time for program planning. To determine the exact amount of space that will be needed for this training, a survey will be sent to the I&E Roundtable within the next 2 weeks requesting offices to provide the number of individuals that will be sent to the training, topics of interest, and the names of volunteers to assist in the planning. We would appreciate your prompt response to the data call. If you are interested in participating in the planning of the training conference, please send an e-mail to

sheri.denkensohn@oig.hhs.gov.

Please indicate whether you are interested in working on logistics or planning the conference program. A meeting of the training workgroup will be held on March 24 at HHS. Details will be sent via e-mail.

PCIE STANDARD OF THE MONTH

Each month we will highlight a different PCIE standard in an effort to encourage the consistent application of these standards throughout the Inspector General community. The March PCIE standard of the month is timeliness.

TIMELINESS

Inspections should strive to deliver significant information to appropriate management officials and other customers in a timely manner. This helps to ensure that the work is current and relevant. During an inspection, it may be appropriate to provide interim reporting of significant matters to appropriate officials. Such reporting is not a substitute for a final report, but it does serve to alert the appropriate officials to matters needing immediate attention so that corrective action may be initiated. The following guidance should be considered regarding timeliness:



UPCOMING MEETING

A host is still needed for the next I&E Roundtable. If your office would like to host the next I&E Roundtable or submit agenda items, please contact Cynthia Thomas at cynthia.thomas@oig.hhs.gov or 410-786-7896.

- Timeframes should be flexible in response to changing priorities.
- Timeframes established during planning are subject to change due to unforeseen circumstances, such as the need to expand the scope of an inspection or the need to add additional objectives.

This standard is part of the PCIE Quality Standards for Inspections.

EXTERNAL REVIEW WORKGROUP UPDATE

An External Review Workgroup meeting is scheduled for Thursday April 3, 10-11 a.m., at the Department of Health and Human Services in Room 5542, Cohen Building, 330 Independence Avenue, SW., Washington, DC 20201. If you would like to attend the meeting, please contact Cynthia Thomas at cynthia.thomas@oig.hhs.gov or 410-786-7896.

