



U.S. Department of Justice

United States Trustee  
Central District of California

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Santa Ana, CA 92701  
(714) 338-3400  
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725 South Figueroa St.  
Suite 2600  
Los Angeles, CA 90017  
(213) 894-6811  
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3685 Main St.  
Suite 300  
Riverside, CA 92501  
(909) 276-6990  
FAX (909) 276-6973

21051 Warner Center Lane  
Suite 115  
Woodland Hills, CA 91367  
(818) 716-8800  
FAX (818) 716-1576

Debtor: \_\_\_\_\_ Bankruptcy Case # \_\_\_\_\_

EIN: \_\_\_\_\_ Employer maintains a  group health  pension plan

Is this a public corporation?  Yes  No

**1. If the debtor sponsors a group health or dental plan, complete the information below. Yes \_\_\_ . If No, go to #2.**

Premiums paid through  employee contributions  employer contributions  
Are the premium payments current?  Yes  No  
Benefits paid from  employee contributions  general assets of the company

Name, address and telephone number of responsible officer: \_\_\_\_\_

**2. If the debtor sponsors a pension plan, complete the information below. Yes \_\_\_ . If No, go to #3.**

401(k) Plan  Profit Sharing Plan  Defined Benefit Plan  
 Money Purchase Plan  Employee Stock Ownership Plan

Name, address and telephone number of responsible officer: \_\_\_\_\_

Does the employee make contributions to the Plan?  Yes  No

Have all employee contributions been forwarded to the trust fund?  Yes  No

If the debtor maintains a defined benefit or money purchase plan, are they fully funded?

Have any trustees, officers, owners or board members of the debtor received any distributions from the plan within the last year? If so, please provide the name, address and title for each individual:

\_\_\_\_\_  
\_\_\_\_\_

Have any trustees, officers, owners or board members received any loans from the plan that are not participant loans? If so, please provide the name, address and title for each individual:

\_\_\_\_\_  
\_\_\_\_\_

Has the debtor company received any loans from the plan? If so, please state the approximate date, amount and purpose of the loan.

\_\_\_\_\_

**3. I declare under penalty of perjury that the answers contained in the foregoing question are true and correct to the best of my knowledge.**

Dated \_\_\_\_\_

By: \_\_\_\_\_