

## DWARF SPERM WHALE (*Kogia sima*): Northern Gulf of Mexico Stock

### STOCK DEFINITION AND GEOGRAPHIC RANGE

The dwarf sperm whale appears to be distributed worldwide in temperate to tropical waters (Caldwell and Caldwell 1989). Sightings of these animals in the northern Gulf of Mexico occur primarily in oceanic waters (Figure 1; Mullin *et al.* 1991; Mullin and Fulling 2004). Dwarf sperm whales and pygmy sperm whales (*Kogia breviceps*) are difficult to differentiate at sea, and sightings of either species are usually categorized as *Kogia* spp. Sightings of this category were documented in all seasons during GulfCet aerial surveys of the northern Gulf of Mexico from 1992 to 1998 (Hansen *et al.* 1996; Mullin and Hoggard 2000). The difficulty in sighting dwarf and pygmy sperm whales may be exacerbated by their avoidance reaction towards ships, and change in behavior towards approaching survey aircraft (Würsig *et al.* 1998).

In a study using hematological and stable-isotope data, Barros *et al.* (1998) speculated that dwarf sperm whales may have a more pelagic distribution than pygmy sperm whales and/or dive deeper during feeding bouts. The Gulf of Mexico population is provisionally being considered a separate stock for management purposes, although there is currently no information to differentiate this stock from the Atlantic Ocean stock(s). Additional morphological, genetic and/or behavioral data are needed to provide further information on stock delineation.

### POPULATION SIZE

Estimates of abundance were derived through the application of distance sampling analysis (Buckland *et al.* 2001) and the computer program DISTANCE (Thomas *et al.* 1998) to sighting data. From 1991 through 1994, line-transect vessel surveys were conducted during spring in the northern Gulf of Mexico from the 200m isobath to the seaward extent of the U.S. Exclusive Economic Zone (EEZ) (Hansen *et al.* 1995). Survey effort-weighted estimated average abundance of dwarf and pygmy sperm whales for all surveys combined was 547 (CV=0.28) (Hansen *et al.* 1995). As recommended in the GAMMS Workshop Report (Wade and Angliss 1997), estimates older than 8 years are deemed unreliable, and therefore should not be used for PBR determinations.

Similar surveys were conducted during April/May from 1996 to 2001 (excluding 1998) in oceanic waters of the northern Gulf of Mexico, using NOAA ships *Oregon II* (1996, 1997, 1999) and *Gordon Gunter* (2000, 2001). Estimates for all oceanic strata were summed, as survey effort was not uniformly distributed, to calculate a total estimate for the entire northern Gulf of Mexico oceanic waters (Figure 1; Mullin and Fulling 2004). Due to limited survey effort in any given year, survey effort was pooled across all years to develop an average abundance estimate.

The estimate of abundance for dwarf and pygmy sperm whales in oceanic waters, pooled from 1996 to 2001, is 742 (CV=0.29) (Mullin and Fulling 2004), which is the best available abundance estimate for these species in the northern Gulf of Mexico. A separate estimate of abundance for dwarf sperm whales cannot be estimated due to uncertainty of species identification at sea.

### Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normal distributed abundance estimate. This is equivalent to the 20th percentile of the log-normal distributed abundance estimate as specified by Wade and Angliss (1997). The best estimate of abundance for dwarf and pygmy sperm whales is 742

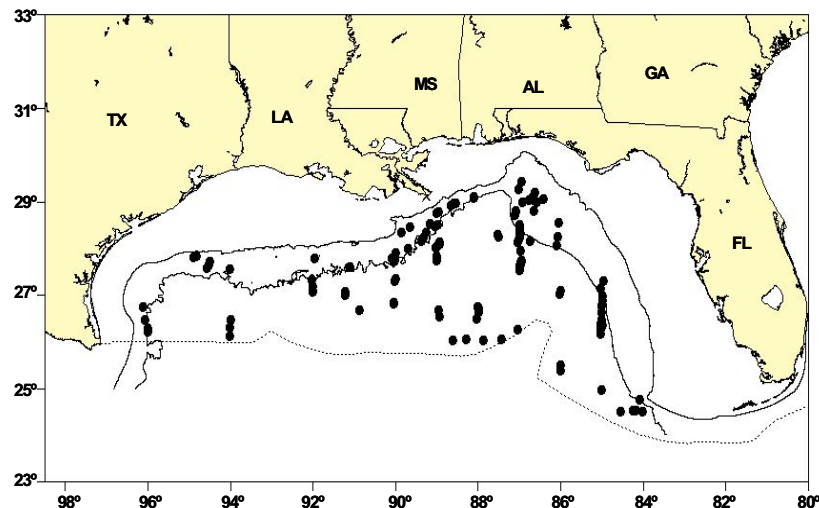


Figure 1. Distribution of dwarf and pygmy sperm whale sightings from SEFSC spring vessel surveys during 1996-2001. All the on-effort sightings are shown, though not all were used to estimate abundance. Solid lines indicate the 100m and 1,000m isobaths and the dotted line indicates the offshore extent of the U.S. EEZ.

(CV=0.29). It is not possible to determine the minimum population estimate for only dwarf sperm whales. The minimum population estimate for the northern Gulf of Mexico is 584 dwarf and pygmy sperm whales.

### Current Population Trend

There are insufficient data to determine the population trends for this species.

### CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Current and maximum net productivity rates are unknown for this stock. For purposes of this assessment, the maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive history (Barlow *et al.* 1995).

### POTENTIAL BIOLOGICAL REMOVAL

Potential biological removal level (PBR) is the product of the minimum population size, one half the maximum net productivity rate and a recovery factor (MMPA Sec. 3.16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size for dwarf and pygmy sperm whales is 574 (CV=0.29). The maximum productivity rate is 0.04, the default value for cetaceans. The “recovery” factor, which accounts for endangered, depleted, threatened stocks, or stocks of unknown status relative to optimum sustainable population (OPSP), is assumed to be 0.5 because the stock is of unknown status. PBR for the northern Gulf of Mexico dwarf and pygmy sperm whales is 5.8. It is not possible to determine the PBR for only dwarf sperm whales.

### ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

There has been no reported fishing-related mortality of dwarf or pygmy sperm whales during 1998-2003 (Yeung 1999; Yeung 2001; Garrison 2003; Garrison and Richards 2004).

### Fisheries Information

The level of past or current, direct, human-caused mortality of dwarf sperm whales in the northern Gulf of Mexico is unknown. Pelagic swordfish, tunas and billfish are the targets of the longline fishery operating in the U.S. Gulf of Mexico. There were no reports of mortality or serious injury to dwarf sperm whales by this fishery.

### Other Mortality

There were no documented strandings of dwarf sperm whales in the northern Gulf of Mexico during 1999-2003 which were classified as likely caused by fishery interactions, but there have been stranding investigation reports of dwarf sperm whales which may have died as a result of other human-related causes. At least 7 dwarf sperm whale strandings were documented in the northern Gulf of Mexico from 1999 through 2003 (Table 1; 5 showed no signs of human interaction and 2 were designated “could not be determined”). An additional 5 *Kogia* spp. stranded during this same period. Stranding data probably underestimate the extent of fishery-related mortality and serious injury because not all of the marine mammals which die or are seriously injured in fishery interactions wash ashore, not all that wash ashore are discovered, reported or investigated, nor will all of those that do wash ashore necessarily show signs of entanglement or other fishery-interaction. Finally, the level of technical expertise among stranding network personnel varies widely as does the ability to recognize signs of fishery interactions.

State	1999	2000	2001	2002	2003	TOTAL
Alabama	0	0	0	0	0	0
Florida	0	2	0	3	1	6
Louisiana	0	0	0	0	0	0
Mississippi	0	0	0	0	0	0
Texas	0	0	0	1	0	1
<b>Total</b>	0	2	0	4	1	7

## STATUS OF STOCK

The status of dwarf sperm whales in the northern Gulf of Mexico, relative to OSP, is unknown. The species is not listed as threatened or endangered under the Endangered Species Act. There are insufficient data to determine the population trends for this species. The total fishery-related mortality and serious injury for this stock is unknown, but assumed to be less than 10% of the calculated PBR and can be considered to be insignificant and approaching zero mortality and serious injury rate. This is not a strategic stock because average annual fishery-related mortality and serious injury does not exceed PBR.

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