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UNITED STATES OF AMERICA

NUCLEAR REGULATORY COMMISSION

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ADVISORY COMMITTEE ON NUCLEAR WASTE (ACNW)

177th MEETING

+ + + + +

TUESDAY,

MARCH 20, 2007

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The meeting was convened in Room T-2B3 of Two White Flint North, 11545 Rockville Pike, Rockville, Maryland, at 11:00 a.m., Dr. Michael T. Ryan, Chairman, presiding.

MEMBERS PRESENT:

- MICHAEL T. RYAN Chair
- ALLEN G. CROFF Vice Chair
- JAMES H. CLARKE Member
- WILLIAM J. HINZE Member
- RUTH F. WEINER Member

NRC COMMISSIONER PRESENT:

GREGORY B. JACZKO

1 NRC STAFF PRESENT:
2 FRANK P. GILLESPIE
3 NEIL M. COLEMAN
4 CHRISTOPHER L. BROWN
5 LATIF HAMDAN
6 ANTONIO DIAS
7 DEREK WIDMAYER
8 MERAJ RAHIMI
9 EARL EASTON
10 LARRY CAMPBELL
11 ED HACKETT
12 BERNIE WHITE
13 GREG HATCHETT
14
15 ALSO PRESENT:
16 BARRY SCHEETZ
17 WAYNE HODGES
18 NANCY OSGOOD
19 EVERETT REDMOND
20 ALBERT MACHIELS
21 BRANT CARLSON
22 GORDON BJORKMAN
23 PHILIP WHEATLEY
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P-R-O-C-E-E-D-I-N-G-S

(11:09 a.m.)

CHAIR RYAN: We will go ahead and start the record.

The meeting will come to order please. This is the first day of the 177th meeting of the Advisory Committee on Nuclear Waste.

During today's meeting the committee will consider the following: Savannah River national laboratory workshop on cementitious (phonetic) materials used in waste determination activities; stakeholder views on moderator exclusion; the Idaho National Laboratory U.S. Department of Energy views on moderator exclusion; the roundtable discussion on moderator exclusion; and the ACNW meeting with Commissioner Gregory B. Jaczko who will be speaking to the committee later this afternoon.

Antonio Dias is the designated federal official for today's session. We have received no written comments or requests for time to make oral statements from members of the public regarding today's sessions. Should anyone wish to address the committee, please make your wishes known to one of the committee's staff. It is requested that speakers use one of the microphones, identify

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1 themselves, and speak with sufficient clarity and
2 volume so they can be readily heard.

3 It's also requested that if you have
4 cell phones or pagers, that you kindly turn them
5 off. Thank you very much.

6 And without further ado, I will turn
7 over the rest of the morning's session to Allen
8 Croff, Vice Chair, who is the cognizant member for
9 the session this morning. Allen.

10 SAVANNAH RIVER NATIONAL LABORATORY WORKSHOP ON
11 CEMENTITIOUS MATERIALS USED IN WASTE DETERMINATION

12 VICE CHAIR CROFF: Thank you, Mike.

13 To review sort of how we got to this
14 point, last year we had a working group meeting on
15 waste incidental to the processing where we
16 discussed a little bit about cementitious waste
17 forms, and our staff indicated it was a high
18 priority to them and a risk-significant item.

19 Based on that we later convened a full
20 working group meeting on cementitious materials, and
21 wrote a letter on it subsequent to that.

22 Possibly because of that, or for their
23 own reasons, the Department of Energy decided to
24 have a workshop on cementitious materials in
25 December when our letter was in fact done, and these

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1 other events had been completed. And we thought it
2 would be a good idea pursuant to our responsibility
3 to track technology related to waste incidental to
4 reprocessing to get - to understand what went on.

5 Unfortunately it coincided with our
6 December meeting. So we asked Professor Barry
7 Scheetz from Penn State who attended our earlier
8 working group meetings to go to the meeting and
9 report back to us. He tried to do that in February,
10 but Mother Nature didn't agree with our plans. So
11 here we are at a somewhat more pleasant time of
12 year.

13 So Barry is going to tell us what he
14 heard down in Savannah River at this DOE workshop
15 and what he thinks about it.

16 Barry.

17 MR. SCHEETZ: Thank you.

18 I'm a pacer, so you'll bear with me.
19 The objective that was presented for this workshop
20 was to provide common understanding for the issues
21 involved with the use of cement on DOE supported
22 closure projects, and to establish the needs for
23 better long term performance. It's motherhood and
24 apple pie. We know that; we don't have to go
25 through that.

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1 What the workshop was purported as being
2 centered around - oops, let me work on this; I'm new
3 on this - was the role of cementitious materials for
4 low level waste, and in fact, I don't believe low
5 level waste per se, as such, was ever discussed
6 within the context of the meeting, except for the
7 part of the lecture, the presentations that were
8 given under this heading.

9 The other heading was the chemistry and
10 mineralogical properties, and contaminate transport
11 in cementitious materials; water and gas transport
12 through cementitious materials; the degradation
13 mechanisms; and test methods; durability criteria;
14 and long term degradation evaluation.

15 And again, this is primarily motherhood
16 and apple pie issues.

17 Long term performance prediction, risk
18 assessment, integration, cementitious materials, and
19 performance assessment model - those are the five
20 categories that they had for the meeting, and then
21 they took various presentations and put them under
22 those terms.

23 The difficulty and the challenge that is
24 before DOE and before us is the short term
25 assimilation of civil engineering data is used as a

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1 starting point to go forward. This is what we are
2 basing our information on; this is what we are
3 basing our judgments on.

4 And if you look at that engineering
5 application, our design for 25 to perhaps 100 year -
6 we are trying to build 100-year roads now. I know
7 when Pennsylvania was looking to construct its own
8 internal low level repository, we were looking at
9 500 years.

10 But the bottom line on it is, the vast
11 majority of our experience is limited to the time
12 frame of 25 to 100 years. And the reality of the
13 matter is, is that all of the mechanical properties,
14 all of the evaluation properties that we develop for
15 this cement is developed in that time frame, and
16 they may or may not be applicable to longer time
17 frames.

18 There is another issue that follows hand
19 in glove with this, and that is, that DOE looks to
20 the civil engineering application of cementitious
21 materials for the warm and fuzzies. They look to
22 these materials or to this group to get insight as
23 to what materials can be added to cement, what
24 adulterants can be added to cement.

25 We call them supplemental cementitious

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1 materials. They perform in a similar manner to the
2 hydration of Portland cement, but they perform at
3 different rates; they tend to be cheaper; and they
4 have other characteristics.

5 But the bottom line is that these
6 materials then get used in DOE applications. And I
7 am here to tell you mostly they probably get abused.
8 What they will do is, they will get used well beyond
9 the scope of the area that provided the comfort zone
10 for applications in civil engineering. And of
11 course this now creates uncertainty in the long
12 haul.

13 The approach that I am going to take
14 here, and the approach that I give in the report
15 was, I didn't like those five topics, and when you
16 looked at those five topics, there are actually
17 issues that cross cut them. And I'd rather do
18 issues rather than topics, and that's what I'm going
19 to try to present here today.

20 So the issues. The conceptual model:
21 what is the conceptual model? How do we develop it?
22 What should be included in it? How detailed? We'll
23 discuss that.

24 The perceived needs: everybody at this
25 meeting, this is what we need. And the need, the

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1 list of needs is surprisingly large when you look at
2 it in context of what's out there for civil
3 engineering applications for cementitious materials.
4 And the - we'll discuss the reasons.

5 Part of the proceedings have to do with
6 modeling; part of it have to do with database. I'm
7 going to talk about issues not discussed, and this
8 is my overlay on the whole meeting.

9 And then I'm going to give you again
10 some observations I have that there were overlays on
11 the meeting.

12 So let's talk about the conceptual
13 model. The concern about the conceptual model is
14 it's appropriateness. Do we have a conceptual
15 model? We have to be able to develop one that's
16 going to - to look at the performance of
17 cementitious materials. It's going to have to
18 establish the performance of cementitious materials.
19 And then it's going to have to be able to describe
20 it for the time interval involved.

21 In the October letter one of the
22 questions was, how long is this? How long is it
23 going to last?

24 That issue was never brought up at the
25 meeting. Nobody discussed anything in terms of, oh,

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1 this is going to last 5,000 years, or we are going
2 to project it to last 2,000 years.

3 The terms, were all discussed in terms
4 of 10,000 years. So the underlying conceptual basis
5 for what took place at this meeting was basically
6 the 10,000-year time frame.

7 We don't even know the mechanisms for
8 that period of time. So there's a great deal that
9 has to - and a great deal of initial thought that
10 has to go into the development of the conceptual
11 model.

12 We have to make it detailed enough to be
13 effective, but we can't make it too detailed,
14 because between you and I the amount of material and
15 the amount of information that is going to be
16 necessary to support this is going to be staggering.
17 And under those circumstances you can go too
18 detailed, and I will try to get into that a little
19 bit more.

20 So this conceptual model has to strike
21 an even chord.

22 The other thing that the conceptual
23 model has to take into consideration is that in the
24 decades to come, while we are cleaning up DOE, the
25 various sites on DOE, there are going to be

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1 regulation changes. And how do we integrate those
2 changes into this conceptual model?

3 The model has to be robust enough that
4 it's got to allow those changes to be integrated.

5 And it has to be robust enough to take
6 an iterative approach. There was one very, very
7 good paper by NIST down there, a guy by the name of
8 Snyder, and he was talking about long term modeling,
9 and how to do long term models, and it's this
10 iterative approach. And you sort of meander from
11 side to side down some mean, which you don't know
12 where that mean is until you focus in on your end
13 your result and your final product.

14 It was an excellent, excellent
15 presentation, and I think it may have just, phht,
16 over the heads of everybody that was there.

17 But we have to take that into
18 consideration. We have to take into consideration
19 that this is going to change; our standards are
20 going to change. How does this conceptual model
21 change with it, with response to, oops. What we
22 have to also look at is this 10,000-year time frame.
23 Is that the appropriate time frame? Is that the
24 appropriate time frame for the sequestration that we
25 are looking for?

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1 It may not necessarily be the
2 appropriate time frame for all of the materials that
3 DOE is going to have to address. And some of those
4 could be relatively short term, in the term of
5 several hundreds, say 500 year, on out.

6 Got to do it. Got to figure out what
7 this model is. And this is the starting point for
8 which evaluations of cementitious materials needs to
9 be done, and it's the key point, I think.

10 This was brought up about monitoring and
11 maintenance. And actually I brought it up. And
12 nobody wanted to hear, as far as I could tell, this
13 idea of the potential of going back and doing
14 maintenance. The whole discussion down there
15 focused on, I'm going to do this. I'm going to
16 finish it. I'm going to get rid of it. I'm going
17 to walk away from it.

18 No, you are not. Some of the projects
19 are going to end up as legacy projects. Some of the
20 projects are going to be so large we are not going
21 to walk away from them.

22 The concept of monitoring, of
23 nonintrusive monitoring, is in my estimation an
24 extremely interesting area right now. And it's an
25 area that I think there's a potential for an

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1 enormous amount of growth.

2 I have colleagues at Penn State right
3 now who can take a sensor and embed it in a piece of
4 concrete, walk up to it with a microwave and
5 interrogate it. It's passive. It sits there 99.99
6 percent of the time until you tweak it, and you can
7 interrogate it with a microwave beam, and it will
8 begin to oscillate, and you can pick up the
9 oscillations, and determine the state and conditions
10 of the concrete inside.

11 And this is only the very beginning,
12 this idea of smart aggregates that would be passive
13 smart aggregates that would be placed into the
14 concrete that would withstand the chemical
15 environment. It will sit there, and when you ask it
16 to, when you interrogate it, when you tweak it with
17 a microwave, you can get it to evaluate its
18 surroundings and report back to you.

19 This is coming, and it's going to be I
20 think the potential growth area is absolutely
21 enormous.

22 I notice in the letter that there were
23 concerns about how you are going to monitor, and if
24 you drill into something do you provide an access
25 from the exterior to the interior of the monolith,

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1 that way, and potentially jeopardize the
2 performance.

3 This is an area of growth, and this is
4 an area I think of potential future interest.

5 Maintenance on these things: we are
6 going to do maintenance. We have to do maintenance.
7 It allows us to do that interim approach to focus
8 down on the end state that we want.

9 The other thing it's going to allow us
10 to do, it's going to allow us to use insight that
11 develops in the interim. We are not going to be out
12 there necessarily every year with a trowel and
13 mortar patching this thing. But with time, on a set
14 schedule, you are going to go out and look at the
15 monolith to see how it's performing. And in that
16 interim, you may indeed come up with new insights,
17 with new techniques that you can apply, and the
18 maintenance will have the potential to extend this.

19 One of the things that was very, very
20 heavily stressed in the conversations at this
21 meeting was to try to avoid the trap of being
22 conservative. Here we have done this for years and
23 years and years, and frankly I think they have shot
24 themselves in the foot in many instances where they
25 are taking a very conservative approach, and it's

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1 too conservative. And I think it has extended the
2 cleanup in many cases, where they just grossly
3 underestimated the performance of the system.

4 Where you can take credit for it, you
5 need to. You need to set appropriate degrees of
6 complexity in the conceptual model. In fact, I
7 think this next topic was brought up by David Esh,
8 who was down there, about you know, he put it out as
9 a conversational point, that we don't necessarily
10 need a numeric value for a property, but perhaps a
11 less than value is more correct, so that you can
12 provide an acceptable risk to the biosphere.

13 The idea of getting a finite number
14 tends to overdrive the system. And it's the classic
15 engineer versus science argument. When is enough?
16 When is it enough that I get six decimal places, or
17 seven decimal places, or eight decimal places? When
18 perhaps all I only need is one.

19 So when we do the conceptual model
20 design on this that we are going to need to do for
21 performance assessment, all of this has to be
22 factored into it.

23 The perceived model, the bottom line on
24 this whole thing was that there are too many models.
25 There are far too many models. The models are

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1 overlapping. Sometimes they are using each other's
2 data. Sometimes the same data has different values.
3 The data is not vetted properly.

4 Some models are trying to be a model
5 that's all inclusive so that the structure and the
6 components that go into it are well beyond normal
7 uses. They become very very complex, and as a
8 consequence, it makes the model much harder to use.

9 And in some cases, I'll be honest with
10 you, there are people out there who have vested
11 interest in pushing a model. And that vested
12 interest is a financial interest.

13 So what needs to be done is, this needs
14 to be honed in. Like asking the question, who
15 should be leading this?

16 And NIST is a really good potential for
17 a group to lead the charge on this. NIST has an
18 excellent modeling effort. They have an excellent
19 group in thermodynamics. They have an excellent
20 group on mass transport mobile. They may have - and
21 if they don't have everything that's need, they are
22 not far from it.

23 The concept of reaction transport, this
24 area looks very good. Neil Plummer has developed
25 PHREEQE and has maintained PHREEQE over the years,

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1 and it's again a thermodynamic program based on an
2 equilibrium situation. But it really looks like
3 it's enhanced. It looks like the know how is there,
4 not necessarily all of the data that we would want
5 or need or desire is there. But I think the mass
6 transport is pretty much okay.

7 The idea of taking and coupling reaction
8 transport with mechanical problems - or mechanical
9 properties is not there. Nobody has done that. And
10 this is something that is going to be an area - that
11 is perceived as an area of importance, that is an
12 area of need.

13 The bottom line on it is that I don't
14 know anybody out there that's doing this. So this
15 is a fresh area.

16 And I moved these around this morning;
17 that's why they're coming up funny here.

18 Going back to the duplicate model, one
19 of the things that we need to keep in mind with this
20 duplicate model, many of the models are taking data
21 output and they are just fitting the data. They
22 don't know why the data is doing what it's doing.
23 It has not necessarily have anything to do with the
24 mechanism that's going on. It's just data fitting.
25 And that's fraught with danger.

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1 I think everything, any of these big
2 models that we endorse, or the model that we
3 endorse, must be mechanistically controlled. And
4 it's got to be applied appropriately when it is.

5 So this is very important, and these
6 were issues that came up.

7 We have a degradation model right now.
8 We now - I teach in class how cement falls apart.
9 And Walton, who is now at the Southwest Research
10 Institute, when he was out at Idaho, had a really
11 nice little monograph on the durability of
12 cementitious bodies for low level waste disposal.
13 And he's got a nice little model. We know the
14 mechanisms. We know what mechanisms come apart, or
15 make the concrete come apart.

16 But the question is, in the long haul,
17 is there anything there out there beyond the next
18 500 years that is going to kick in? Is there
19 something out there that becomes more important at
20 year 500 than it does at year 200?

21 This remains to be seen. Getting a
22 robust integrated degradation model was needed, and
23 was perceived to be needed. And that wouldn't
24 necessarily be that far off of making it work.

25 What was very important that was

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1 discussed was the transport in the vados zone. And
2 here you have two-phase flow in soils. And there's
3 been very, very little work done on this according
4 to the people who talked at the meeting. I'm not a
5 vados zone person, but I can look at the vados zone,
6 and look at the transport in there, and imagine it
7 is similar to transport in a porous material, aka
8 cement or concrete, and the two-phase flow in these
9 materials is a challenge. There are a lot of people
10 working on it, but in the mechanisms in soils, this
11 was deemed to be a very important area.

12 The other thing that we need to do is,
13 we need to look at probabilistic models. This idea
14 of coming up with a number, and coming up with the
15 number, is short sighted. We have to, if we are
16 going to do this, and we are going to try to predict
17 out these long time intervals, then what we really
18 need to do is, we need to see what the probability
19 is of this occurring. We need to apply risk
20 assessment concepts. We need to just - Monte Carlo
21 works very well. I can't emphasize that more.

22 There were people who were talking at
23 the meeting who are hamstrung that they cannot - and
24 I believe Hanford I believe is one of these - that
25 they cannot use a probabilistic model to lay out the

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1 performance of whatever their model. They have to
2 have the number.

3 And you can't do it. It's just not a
4 feasible concept. At least with the probabilistic
5 approach, we have an idea, and we have an
6 understanding, of what the distribution of the
7 probability of an occurrence is, and the number you
8 can check to see where it falls within that.

9 But it just seems silly that we are
10 hamstringing our efforts.

11 Data needs: there's lack of some
12 fundamental thermodynamic data. We have
13 thermodynamic data for many, many phases, but not
14 necessarily all of the phases. We don't have
15 thermodynamic data for radionuclide complexes
16 necessarily that would be necessary to go into like
17 PHREEQUE and these models.

18 So there is going to be some data that
19 is going to be necessary. That data is going to
20 have to be vetted. It should be collected with an
21 acceptable protocol.

22 So this idea of standards and standard
23 data acquisition methods becomes increasingly
24 important, because you can use several different
25 ways of getting data. If you are using the Scheetz

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1 method, or the Dias method, the Dias method may be
2 an ASTM vetted method, and mine may not be. I'm
3 putting my data in, and that just muddies the water.

4 If we are going to do this, it should be
5 done with some kind of a standardization, and a
6 standard - acceptable vetting process.

7 The thermodynamic database, as I said,
8 is not too bad. It's there. There is some more
9 data that is needed.

10 What is missing is the kinetic data.
11 And the kinetics data becomes - (makes sound
12 effect). You know at least thermodynamic data you
13 can calculate. The kinetics data are going to be
14 dependent upon external factors, the environment in
15 which the concrete or the cementitious body is
16 setting; what the moisture is; the temperature; the
17 carbon dioxide partial pressure. There is a
18 gazillion variables potentially that could go into
19 that.

20 And what that does is, it makes it
21 exceedingly difficult to get this data.

22 If you look at the cement literature,
23 Fred Glasser who sat right over there at our meeting
24 earlier in the year, he's done a great deal of work
25 on the hydration of various phases in Portland

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1 cement. But he hasn't done the hydration of these
2 phases in the presence of fly ask, which is a
3 supplemental cementitious material that's widely
4 used in both civil engineering applications and in
5 DOE applications.

6 All of this has to be taken into
7 consideration. And when you look at the variability
8 of components versus the variability of
9 environmental constraints, this is a daunting task.

10 It's an impossible feat to get a
11 database of kinetic data for everything. This is
12 where a well developed conceptual model should be
13 able to focus this in, and at least put constraints.

14 There was an expressed interest - there
15 is a lack of redox couple information in this highly
16 alkaline environment of the Portland cement.

17 Portland cement, in order to be stable as Portland
18 cements need to be at pH greater than about 10.6.
19 Typically the pore fluids of a Portland cement are
20 in the neighborhood of 13.3, 13.4, because of
21 potassium hydroxide that is being manufactured into
22 the cement.

23 So the oxidation reduction for
24 immobilization of species of interest is very
25 important. We will typically use ground granulated

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1 blast furnace slides because they contain elements
2 of sulphur which acts as a redox couple and pulls
3 them down.

4 But you know the reality of the matter
5 is, good hard data, evidently, is not there to the
6 dismay of many who are out there modeling.

7 Same way is the lack of speciation data.
8 And this is what I was trying to get at earlier for
9 the nuclides in this high pH environment. Most of
10 the work has been focused on environmental issues,
11 and you very rarely get the high pHs for
12 environmental issues.

13 Same way, needs lack of experience with
14 transport in the vados zone. It's interesting that
15 if we went out and Googled cement, we could probably
16 fill this room with publications. But you know
17 there is no single database with engineering
18 properties.

19 Now we have standardization where we
20 have an A type of cement. And we know what that
21 type on cement is like, because there is a
22 prescriptive standard for it, and you can go to
23 Washington and get Type 1, you can go to Washington
24 State and get Type 1, and they will still fall
25 within that prescriptive standard.

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1 And you know, you can't go anywhere and
2 find the data. You can't find engineering data for
3 this. And this is what was asked for. What's out
4 there that we can look at that we could use? There
5 is no single source for this. The sole source are
6 the della Roys and the Fred Glassers of the world
7 that are out there. They are wonderful databanks,
8 but they are just not there. You can't plug a card
9 reader in and dial and expect to get all the
10 information out of it.

11 But we need this. This is something
12 that would be a great input to both the DOE program,
13 and it would certainly be a great input into civil
14 engineering in general.

15 Data needs: as a framework for the
16 survivability of blended cement. You know we talk
17 about these blended cements, and we talk about using
18 supplemental cementitious materials in Portland
19 cement. I would challenge you to find a concrete
20 anywhere in the United States that's placed that
21 doesn't have a supplemental cementitious material
22 added to it.

23 Why? Because they make cement better.
24 And if you - I mean I can get on my high horse here
25 and start talking about cement manufacture, and what

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1 I think about it. But the reality of the matter is
2 that we adulterate the cements with materials that
3 are generally waste products - and I hate that term,
4 waste products - they are cast offs, they are
5 important materials, they are useful materials, that
6 one industry doesn't need, doesn't want, but one
7 other industry can use. So they are cast off
8 materials.

9 But they will in all cases augment and
10 improve the properties of the cementitious body.
11 Otherwise who would use them? I mean that's the
12 bottomline. They all offer some benefit.

13 The problem is that they are cast off
14 materials from manufacturing processes today, and
15 they vary. And as manufacturing processes change
16 over the next couple of decades that we are going to
17 be applying this, they are going to change.

18 We don't know what the properties are,
19 we don't know the survivability, we don't know the
20 durability of those materials. We have an idea that
21 they are going to be good, because the cementitious
22 reactions that take place with the use of
23 supplemental cementitious materials is the same as
24 what's taking place in Portland cement. But they
25 take place either at different rates, or through

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1 slightly different routes - I am not going to say
2 mechanisms, because mechanisms of hydration are
3 pretty much the same, but they will take different
4 routes.

5 But how do you get the necessary
6 thermodynamic data, or the necessary kinetics data,
7 on a target that is going to be moving?

8 They are important. We can't live
9 without them in the cement industry. But the
10 reality of the matter is, we don't know very much
11 about them.

12 As I used the example of Fred Glasser a
13 little bit earlier, he started to do this, and he
14 can hydrate cement for you as a function of time,
15 and as a function of a small increase in
16 temperature.

17 But if we throw fly ash in, or we throw
18 silica fume in, or if we throw ground granulated
19 blast furnaceslag from Alabama in, all of a sudden
20 the wheels come off the cart.

21 So this framework has to be set up, the
22 data has to be there, and we have to understand it,
23 and we have to understand it in the context of it
24 changing.

25 Cracking, in the letter, cracking was

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1 posed as a significant problem. It is a problem,
2 but I'm not sure that it's a catastrophic problem.
3 There are cracks, and then there are cracks. When
4 you use the word cracking, it's sort of derogatory.
5 It sounds like it would fail.

6 The reality of the matter is that if a
7 crack is less than point zero zero eight inches,
8 whatever that number is, it won't carry water. And
9 nobody cares in a civil engineering application
10 because it will not carry water.

11 So you can have a material, a
12 cementitious body, that is cracked to high heaven,
13 and if nothing is going to flow through those
14 cracks, so what? It's engineered to withstand the
15 cracks. Most cracks don't penetrate very far, when
16 they do crack. And it depends upon the structure of
17 the body.

18 You know cracking could be good, it
19 could be bad. I'm not sure it could be good, but it
20 doesn't necessarily have to be bad.

21 Are there models for cracking? No, not
22 that I'm aware of. We know why things crack. We
23 have a fairly significant idea of why things crack.
24 Are there models that will start with fundamental
25 composition of a Portland cement and predict

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1 cracking? No. Most cracking is going to be
2 irrespective of what the cement is. We do need to
3 have a better understanding of cracking. But
4 cracking isn't a four-letter word.

5 There was a significant concern about
6 the monitoring of the microstructural development of
7 the hydrating cementitious bodies. And nothing
8 there. The background that I am using on my slide
9 is a hydrating cementitious body. I mean how do you
10 quantify that? How do you model it? How do you put
11 it into some kind of a transport, reaction transport
12 scenario, and context?

13 There are some challenges here. But we
14 really do need to know what is going on. The
15 microstructure is everything. These are pores, this
16 dark shadow here are pores. The fuzzy nature is the
17 glue. That's the glue in Portland cement that's
18 making it Portland cement.

19 I can control that. There are products
20 on the market that are nanometer seeds that are
21 being sold in the United States, and are used to
22 product concrete in the tens of thousands of tons
23 over the past 25 - almost 30 years now that are the
24 same composition as those, as the glue, and it goes
25 into concrete at 400 parts per million, very very

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1 small mass amount, but in vary, very large numbers,
2 and it can control the microstructure. It's a seed.
3 It templates the growth. You can make waterproof
4 cement in that case.

5 But how do you model it? So these are
6 things, and these are going to be challenges to the
7 scientific community.

8 This again is the data necessary to
9 support the degradation model. We know what's
10 important. What was discussed down there was
11 basically sulfate attack and carbonate attack as the
12 two principal sources of the degradation of Portland
13 cement.

14 I'm not sure that that's totally always
15 the case. I'm not sure in some scenarios how much
16 of a problem carbon dioxide really is.

17 We know that cement is thermodynamically
18 unstable. We state that up front. The end state of
19 this is silica, it's quartz, it's carbon dioxide,
20 it's water, and it's calcium carbonate. Those are
21 the components that cement started from. And that's
22 what they'll ultimately end up going to.

23 But that's if they are exposed to a high
24 relative humidity and a high moisture environment -
25 or a high carbon dioxide environment. The

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1 Colosseum, the Colosseum had cementitious material
2 in it. If you go - and actually della Roy did this,
3 she walked over and you can picture this genteel
4 little lady going over and pulling this pick axe out
5 of her bag and going whack, and walking away.
6 Nobody challenges.

7 And so you have a piece of cement from
8 the Colosseum, and if you look at it, it's quartz
9 and calcite; it's exactly what it started as. But
10 what's the Colosseum been? It's been exposed to the
11 atmosphere.

12 Chris Langton as part of her program of
13 study with us at Penn State when she was a student
14 there, she went over with the National Geographic
15 Society, and she went to Crete, and she got water
16 basins, that were still carrying water, that had
17 this material in it, right? So concrete or
18 cementitious material, and the degradation and
19 alteration of these is a function of its
20 environment.

21 So here you have something that's lasted
22 for several thousand years - now it was a pretty
23 crappy cement to begin with, but nonetheless it was
24 a cementitious material - it's still carrying water,
25 thousands of years later, because it's always

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1 carried water. It's been kept wet. It's been kept
2 out of the air, and drying and humidity. So it
3 depends on where your concrete goes.

4 If you look at the applications that
5 we're talking about, about going back in and filling
6 a submerged - or an underground tank, or filling a
7 canyon to close one of the canyons at Hanford or
8 Savannah River, what's that concrete going to be
9 exposed to? It's certainly not going to be the
10 Colosseum. So the alteration products, so the
11 kinetics of those alteration products, aren't going
12 to be the same.

13 In that canyon where it's restricted
14 from carbon dioxide, it's in a 100 percent relative
15 humidity environment all the time, it could last
16 thousands of years or - well, I'm not going to say
17 tens of thousands - it could last thousands of
18 years, or multiple thousands of years, before those
19 alteration processes start.

20 So this - I'm hoping to try to pull all
21 these threads together and make a net out of this.
22 We need to understand that.

23 Sulfate, everybody is concerned about,
24 is from sulfate in the groundwater. So if you have
25 a tank and you are going to put this in - out at

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1 Hanford in a shallow landfill, and the gypsum that
2 is in the environment out there, and the environment
3 changes, we get more rain and you are percolating
4 sulfate laden groundwater through it, you have the
5 problem - the potential of causing problems.

6 Look at what's taken place in
7 California. All of these multimillion dollar houses
8 are built out there. This is the latest fiasco in
9 the cement industry, the concrete industry. They
10 built all these big houses. They poured concrete
11 basements, the walls for the concrete basements, and
12 they were just fine. Then they landscaped the
13 house, and they put gypsum, ah it's nice, these nice
14 white stones, they put gypsum landscaping all around
15 the house. Gypsum has got a finite solubility, and
16 it soaked in next to the foundation. And guess
17 what? They got degradation.

18 This is a billion dollar lawsuit,
19 billions of dollars in lawsuits. And they could
20 have solved it very simply; used quartz instead of
21 gypsum for your landscaping.

22 But these are the kinds of issues. And
23 the people who have talked about this figured that
24 the sulfate and the carbonate were the big issues.
25 Well, we know how to handle those.

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1 There were a couple of issues not
2 discussed. One of the issues that was not discussed
3 was the role of organics. Organics are used, modern
4 concrete is a soup, it's an organic soup. I've
5 actually seen one situation where they were calling
6 for the addition of a retarder, an addition of an
7 accelerator, plus an air entraining agent, plus a
8 superplasticizer. And you know, it's like taking
9 Valium and then taking an upper to overcome the
10 Valium, and taking Exlax to plasticize everything.

11 (Laughter)

12 This whole issue of organics is very
13 important. We rely very very heavily, construction,
14 engineering today relies very heavily on the use of
15 organics to ameliorate the radiologic properties of
16 concrete.

17 Folks in the DOE have used it. We have
18 other wastes that can integrate into it that are
19 organic. These are probably the biggest long term
20 threat. We don't know how they are going to behave.
21 They are certainly going to respond to a radiation
22 field from entrained emitting particles.

23 This is an issue that needs to be
24 addressed, and needs to be talked about, but wasn't.

25 The other one that surprised the

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1 bejeebers out of me was this: and this is baffling.
2 You had - and I'm going to kick my academician
3 colleagues in the shins. I hate that word, oh, it's
4 only an academic exercise. Bull.

5 But you know you mix things up in the
6 laboratory with a Waring blender. It's a food
7 blender, a food mixer, that you use for - in the
8 kitchen, right? It's the same thing. The Hobarth -
9 not the Waring blender, I'm sorry, the Hobarth
10 blender, the Hobarth blender was developed and
11 standardized by ASTM to mix concrete, or mix mortars
12 for cement.

13 So we mix it in the lab with small
14 scale. And you just can't do it. You can't do a
15 big scale, so you mix small scale, and you get these
16 to vet the mechanical properties.

17 Well, when it comes to doing it big
18 scale, it doesn't work. The properties are
19 different. In our laboratory, what we are doing is,
20 we will do the lab scale just to point us in the
21 right direction. Then we will go to a three-quarter
22 yard from a quart to three quarters of a cubic yard
23 to do it, and then when we really want to vet it,
24 when we really want to get the correct properties
25 for Penn DOT who we were working for, we got the

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1 local cement company to mix it up and bring it in,
2 back the truck up to our building, and dump it into
3 our molds, and then we test it.

4 Some of the most recent research that
5 one of my graduate students is finishing up right
6 now is for a Penn DOT project. We've seen the proof
7 testing for concrete bridge deck applications, and
8 the company - the engineering company mixed it up in
9 a four cubic yard truck, and they roll it.

10 Now you can picture a truck, right, and
11 it's half full, and it's rolling and mixing. They
12 did it half full, and then when they start
13 delivering this to the site, the truck is full.
14 Now, you know, you are rolling it, and the energy
15 that you are putting in, and the mixing, that makes
16 it different that you are carrying that cement up
17 and you are dropping it down the diameter of that
18 barrel, and you are getting good agitation and good
19 mixing.

20 If it's half full versus full when you
21 are mixing, that's different. And we can see it.
22 And it just surprised the bejeebers out of me that
23 this wasn't recognized by my colleagues both from
24 the DOE side, from the national laboratory side, and
25 from the academic side.

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1 Fred Glasser is over there. He knows
2 it. I know it. But I think Fred and I were just
3 two people out on the fringe.

4 This is a very, very important issue,
5 and it needs to - the devil, you know the devil?
6 It's in the details.

7 Finally, I have one last observation.
8 I've been doing this for 32 years, and up until this
9 meeting, every meeting I've been at in the past
10 people are bemoaning the fact, ah, I need
11 characterization equipment. I can't see this; I
12 can't see that.

13 You know there wasn't one person down
14 there who said anything about characterization. We
15 must have it. I mean we must be able to do what we
16 want to do with all the instrumentation that's out
17 there. There wasn't one peep about having
18 limitations.

19 And I was sort of pleased at that.
20 We've come - that's a major milestone as far as I
21 can see that we understand - that we have available
22 to us whatever is needed in order to characterize
23 these bodies.

24 I'd like to just take - this is a slide
25 you don't have - I'd just like to take two minutes

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1 and I had some comments on the letter, your October
2 letter.

3 There were some wording in there that
4 was used that I thought could have been chosen
5 better. The description of blended cements, dirty
6 cements, leaves a negative connotation when I read
7 it. They are blended cements, and they are blended
8 for a reason, because the materials that are added
9 really do carry something to the mixture.

10 Yeah, I understand, I understand the
11 term dirty, and I understand how it was used in the
12 context of - within which it was used. But you know
13 I don't like it.

14 The other thing that we need to talk
15 about I think is the movement of water through
16 concrete. The description in the letter suggests
17 that you have a porous cementitious material; you
18 pour water in the top and it runs down through it,
19 flows out.

20 I mean that was the connotation that
21 comes with it. The reality of the matter is that
22 the permeability of a reasonable cementitious body
23 is about 10 to the minus six centimeters per second
24 to 10 to the minus eight centimeters per second.
25 And once you get down below 10 to the minus eight

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1 and 10 to the minus nine you are pushing on to
2 diffusion, to thermally driven movement of water
3 through an object.

4 So we have something, a good quality
5 concrete, a good quality cementitious body, has got
6 a very low flow. So if it's a thin member, it might
7 not take very long to go through. But if it's a
8 large cementitious object, like a filled canyon or a
9 tank, and you look at water flowing through this,
10 and you look at the head necessary to drive it
11 through something of that permeability, you know,
12 you're never going to get that head.

13 So these things don't - water doesn't
14 run through this concrete. Even in 10,000 years
15 water doesn't run through this concrete. Get
16 Walton's paper and look at that. He's done some
17 really fundamentally crude calculations on the flow
18 of water through cementitious bodies, and you know,
19 the numbers for any number of feet are coming up in
20 the hundreds of thousands of years.

21 So even if it's cracked - remember, not
22 all cracks carry water. This is turning into a
23 lecture, and it shouldn't, but here comes - not all
24 those cracks are going to carry water.

25 And particularly if this thing is kept

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1 in a moist environment, it's going to maintain this
2 microstructure for a long time. You are not going
3 to get a lot of surface penetration of carbon
4 dioxide, of oxygen. It's only going to occur in
5 thin members if they are exposed.

6 The other - the other issue in the
7 letter that I wanted to bring up, where it has to do
8 with the one recommendation on the chemicals that
9 cause degradation, I know that was talked about in
10 our meeting here earlier.

11 You know I'm not sure that that's really
12 that big an issue. It's important, but it's not
13 like there are a gazillion out there. It's not like
14 the periodic tables influencing this.

15 The degradation of concrete is going to
16 occur from just a finite number of compounds.
17 Somebody can go out and do this. But there are
18 other issues, there are other needs that I think are
19 bigger. And I'm not sure that I necessarily agree
20 with that.

21 The other issue in there was monitoring,
22 and I think I touched on monitoring. I think
23 monitoring is necessary. I think monitoring and
24 maintenance, hand in hand, are necessary, and going
25 to happen. And I think that, if you want to put

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1 your money somewhere, put it there.

2 I'll take questions.

3 VICE CHAIR CROFF: Okay, thanks Barry.

4 We got started a bit late, but not got a
5 lot of time left. So a couple of questions each,
6 maybe?

7 MR. SCHEETZ: And NIST I think is a
8 reasonable choice. I really do. I think NIST has
9 the modeling capabilities. NIST has the
10 thermodynamic capabilities. NIST has the
11 programmatic mind set to do it.

12 What they don't have they can get. And
13 the other thing they probably don't have is the
14 crinkly green lubricant.

15 MR. HODGES: To put this in context,
16 before your presentation, which was a real wower, I
17 asked the question, who is putting all this
18 together, and who is capable?

19 And I suggested that NIST is - what will
20 it take - is DOE putting all of this together?

21 MR. SCHEETZ: You know that - I think
22 they would like to.

23 MR. HODGES: You are talking about
24 probabilistic performance assessment. And it could
25 just be a series of interactive models that are

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1 involved. Who is putting all of this together,
2 looking at the uncertainties, and looking at the
3 interconnections?

4 You haven't talked at all about coupled
5 processes. And it would seem to me that that's an
6 issue.

7 MR. SCHEETZ: I did talk about coupled
8 processes, with the mechanical properties in
9 reaction transport, reaction transport. So there
10 are some of those coupled properties.

11 But those are data needs rather than -

12 MR. HODGES: I feel the pressure from my
13 colleague on the left.

14 Let me ask you a very simple question.
15 Let me try to put this without putting words into
16 your mouth.

17 But what I heard initially from you is
18 that the long term performance assessment of these
19 cementitious barriers is a very difficult process,
20 and is next to impossible at our current state.

21 My question to you is, what is
22 preventing us from extrapolating from the present,
23 or from a few tens of years, or maybe a hundred
24 years, into a thousand years, 10,000 years?

25 What is the issue here that is

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1 preventing us from this type of extrapolation?

2 MR. SCHEETZ: Nothing. I mean we can
3 extrapolate.

4 MR. HODGES: With limitations on the
5 uncertainties.

6 MR. SCHEETZ: If you - the limitations on
7 the extrapolation is going to be - what's the
8 environment that you want to extrapolate this into?

9 MR. HODGES: It really is, when you
10 talked about the processes over the next 10,000
11 years being unknown, what you really are talking
12 about are not cement properties necessarily or
13 processes, but more the environmental processes.
14 What is the climate change going to be? What is the
15 change in the water table? What is the change in
16 the geochemistry?

17 MR. SCHEETZ: That's the constraints. I
18 mean -

19 MR. HODGES: It's less the cementitious
20 characteristics and more the environmental
21 characteristics?

22 MR. SCHEETZ: Right. And what I have to
23 stress, again, and I know I can't begin to stress
24 this enough, you think of the ore basin and the
25 Colosseum, right. The Colosseum has been exposed to

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1 varying relative humidities and carbon dioxide at 10
2 to the minus three - or three point five.

3 MR. HODGES: Let me interrupt you,
4 because you are taking up too much fo my time.

5 (Laughter)

6 Barry, a very quick question, because
7 I'm being pushed here. And that is, when I read
8 your report, I sensed that there was a lack of
9 consideration or concern about using archeological
10 cements and geological analog, and that these
11 received very little attention at this meeting.

12 MR. SCHEETZ: They did.

13 MR. HODGES: And a very simple question:
14 why is this true?

15 MR. SCHEETZ: Funding. There was just -
16 I mean what the people were reporting on was
17 basically on their research; what was going on.

18 MR. HODGES: It's easier to sit in front
19 of the screen and model than it is to go out and
20 look at the real world, which I sense you are coming
21 from in your presentation.

22 With that I'll pass on.

23 CHAIR RYAN: Cement has always intrigued
24 me in that we tend to focus a lot on the
25 phenomenology around the cement. And I come at it

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1 from a different angle. I don't really care about
2 the phenomenology. I want to know how well it
3 contains waste. So I'm interested in the experiment
4 where we put some waste in cement, in whatever form
5 or fashion, and then put it in some kind of
6 environment, hopefully a realistic one, and see how
7 it behaves.

8 We've got the branch technical position
9 here at NRC, waste form and waste classification,
10 which is make little cement cubes, and soak them in
11 fluids, and if it passes these relief fraction
12 testing things, you're fine.

13 Help me understand who is really on the
14 cutting edge of experimental work, or system
15 behavior - systems - whole system, the radioactive
16 material, the waste form, the cement, the
17 environment it's in and all that safe, to say how
18 they are going to perform, whether it's short,
19 intermediate or long term? Is there a -

20 MR. SCHEETZ: For the leaching?

21 CHAIR RYAN: Well, that's where the
22 rubber meets the road.

23 MR. SCHEETZ: Yeah, for the leaching, we
24 know that Vanderbilt is doing a great deal with that
25 model from -

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1 CHAIR RYAN: That's a model. I'm not
2 interested in a model. I'm interested in cement in
3 laboratory stuff.

4 MR. SCHEETZ: Well, they are actually
5 doing laboratory stuff to verify that.

6 In the -

7 CHAIR RYAN: That's a different kind of
8 experiment.

9 MR. SCHEETZ: That's a different kind of
10 experiment.

11 CHAIR RYAN: I'm not asking about those.

12 MR. SCHEETZ: PNNL and Savannah are the
13 two major areas where there is anything going on.

14 Let me just share - I'll take two
15 minutes - one minute - 30 seconds to share a quick
16 observation with you.

17 In my formative years I went to the
18 American Ceramics Society and I gave a presentation
19 on the leaching of waste forms. And this was when
20 we were still messing around trying to find out,
21 glass, cin rock, super calcite, cement, glass, you
22 know. And of course -

23 CHAIR RYAN: Fifteen seconds.

24 MR. SCHEETZ: And of course the leaching
25 protocol turned out to be, you use glass, and you

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1 use the geometric surface area. Because on a glass
2 the geometric surface area is good.

3 So I gave a presentation at this
4 meeting, and I used real surface areas of cement
5 versus glass. And if you looked at them on a
6 geometric, they compared favorably. But when I used
7 real surface areas of the cement, my leach rates
8 were five, six, seven orders of magnitude below
9 glass. And those were real surface areas.

10 CHAIR RYAN: You know I understand all
11 that. But at the end of the day, it matters how
12 much gets out, and how much gets to a receptor.
13 That's the performance measure that counts. The
14 rest of it is kind of fun with numbers.

15 MR. SCHEETZ: Don't say academic.

16 CHAIR RYAN: I said fun with numbers.
17 With that I will pass to my colleague to the left.

18 DR. WEINER: Wow. I just have one
19 question: If you were to advise - if DOE or some
20 agency were to say to you that they would like to
21 use some form of cement to stabilize radioactive
22 waste for some period of time, say between 5,000 and
23 10,000 years, and this was what was available to
24 them, maybe the top surface would be exposed, maybe
25 most of it would be exposed to the ordinary

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1 atmosphere, what kind of advice would you give them?

2 MR. SCHEETZ: Well, A, it could be done.
3 I think it could be done. It would be an engineered
4 approach. It would be a multi-barrier approach.
5 And knowing the degradation mechanisms and knowing
6 the shortcomings of cement that we have right now,
7 we could design this and engineer this to - and I
8 would need to know the waste, obviously, and that.
9 But I think it could be done. I really do.

10 DR. WEINER: And you would feel fairly
11 confident predicting that this would remain stable
12 without significant degradation for that period?

13 MR. SCHEETZ: Whatever, yes. Whatever
14 significant degradation means. I wouldn't - I think
15 we can do that. Yes. I think you can do it. I
16 think that these things are going to perform.

17 We have the natural analogs, and we have
18 the manmade analogs. And if we really understand
19 them and study them, natural analogs only work if
20 they are quantitative, and that's the problem.
21 You've got to make them quantitative.

22 DR. WEINER: Thank you, and I'll pass to
23 my colleague on the left here.

24 DR. CLARKE: I guess just a quick comment
25 and a question. I am absolutely flabbergasted to

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1 hear your assessment that you were the only person
2 there concerned about monitoring and maintenance.

3 I mean I couldn't agree with what you
4 said more. I think those are key, critical issues
5 in long term performance.

6 MR. SCHEETZ: I won't tell you that they
7 threw tomatoes and old cabbage at me, but it was
8 damn near.

9 DR. CLARKE: It may not be part of the
10 agenda, I don't know. But at any event, I was
11 flabbergasted to hear that.

12 The question is, are there plans for
13 proceedings? Are they going to publish the papers
14 and make them available to us?

15 MR. SCHEETZ: It's my understanding that
16 they are going to put out a CD with everyone on it.

17 DR. CLARKE: And I just wonder, Allen,
18 are you plugged into that? Can we get that?

19 MR. SCHEETZ: I haven't received it yet.

20 VICE CHAIR CROFF: I'll tell you what, if
21 you could remember, just drop me an email when you
22 get yours, and then we can go and -

23 DR. CLARKE: If there is a plan to do it.
24 I can certainly get one.

25 MR. SCHEETZ: And I understand the DOE EM

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1 has indicated that they anticipate having follow up
2 meetings.

3 DR. CLARKE: Okay, thank you.

4 A couple of things. First, this sort of
5 follows on a question of Bill's. Was your sense out
6 of this that DOE is going to try to undertake some
7 kind of program on cements? And move forward with
8 this? Or was this some sort of just everybody get
9 together and have a good time for a few days?

10 MR. SCHEETZ: No, I think that they would
11 like to take on a program on cement. And I think
12 they are groping to understand what to do. I think
13 that that's what this was.

14 Yes, there will be follow up meetings.
15 My sense of this whole thing is that there has to be
16 some lead agency. There has to be a unified
17 national effort if you are going to do this.

18 And there are simple things. You take
19 one lead agency. If it's DOE or it's NIST or
20 whomever, you appoint that agency. You cut down on
21 the number of models. You come to consensus on
22 what's the best model. You come to consensus on
23 data that's needed. You come to consensus on data
24 collection.

25 None of this data is any good if it's

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1 not internally consistent. And you know what that
2 means is, that whoever is going to take on those
3 responsibilities has to do it for life. And you
4 look at Lawrence Livermore - yeah, LLNL, Lawrence
5 Livermore Nationals Labs, and they've taken on EQ3,
6 EQ6, and run that database. And that's been a
7 lifelong project. That's what you need. You need
8 somebody who is dedicated. Somebody who has secure
9 funding to support him for - or them, you know,
10 generic term - for the duration.

11 You are looking at something that is
12 going to be 30 or 40 or 50 or 60 years out. You
13 need that institutional support.

14 DR. CLARKE: Okay. Maybe one more. I
15 didn't hear - or at least I didn't take out of it -
16 let me back up. DOE is trying to take credit for
17 maintaining certain chemical conditions in their
18 grouts, reducing conditions, and a low pH in terms
19 of radionuclide movement.

20 Was there any discussion of modeling the
21 ability of a concrete to maintain those conditions,
22 as opposed to mechanical properties or something
23 else?

24 MR. SCHEETZ: To the best of my
25 recollection there was not.

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1 DR. CLARKE: Fascinating.

2 VICE CHAIR CROFF: Okay, with that, thank
3 you very much.

4 Barry, thank you very much. It was
5 really an informative talk, and thank you for
6 bringing us that information.

7 We apologize again for the snow storm
8 and all of that out of control. But we are glad you
9 are here now.

10 With that we will adjourn until 1:00
11 o'clock.

12 (Whereupon at 12:14 p.m. the
13 proceeding in the above-
14 entitled matter went off the
15 record to return on the record
16 at 1:03 p.m.)

17 CHAIR RYAN: This afternoon we're going
18 to hear a number of presentations on moderator
19 exclusion from a number of different presenters.
20 And we really appreciate everybody coming back for
21 the second round of this session.

22 It was clear from our first round that
23 we had a lot more information to gather than we had
24 time allotted for it. So I really appreciate the
25 Staff's patience in that. At the end of the day I

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1 ended up talking to Bill Brock and I said "I don't
2 think we did you justice, and this is a more
3 involved topic." And we decided to kind of reset,
4 and not only have you guys come back, but the Staff
5 and to have other stakeholders and participants come
6 back so we could gather a broader range of input and
7 information.

8 So, again, thanks for your patience and
9 thanks for coming back. And thanks, everybody else,
10 for participating today.

11 Without further ado I'll turn the
12 meeting over to Dr. Weiner, who is our cognizant
13 member for the afternoon session.

14 One last note, we will have to finish on
15 time. And on time means that we'll be done by a few
16 minutes before 4:30 because we have a briefing with
17 Commissioner Jaczko here right after that and we
18 want to be mindful of his schedule. So we'll plan
19 our afternoon accordingly.

20 Thank you very much. And without
21 further ado, Ruth, it's all yours.

22 MEMBER WEINER: Thank you, Mike.

23 I'm not used to these new speakers yet.

24 Our first speaker for the afternoon is
25 Wayne Hodges, who represents himself. I have no

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1 idea what H3222 Consulting is. So, go ahead, Wayne.

2 Wayne is a retired member of NRC Staff
3 for those of you who aren't aware.

4 MR. HODGES: Thank you. I am Wayne
5 Hodges.

6 The H322, Dr. Ruth, that's a Soundex
7 representation of Hodges. Hopefully, it'll be easy
8 to remember.

9 My last eight years that I was with the
10 NRC before retiring I spent in the Spent Fuel
11 Project Office. And in that position I had a very
12 strong interest in moderator exclusion and what
13 might be done with it. So that's primarily the
14 reason I think I'm here speaking today.

15 Anything that I say will be own views.
16 I'm not representing anyone else. And I will
17 primarily address moderator exclusion as it related
18 to commercial spent fuel transportation because I
19 don't know a lot about the DOE fuel and all the
20 things they're trying to do there. I do know more
21 about commercial spent fuel and issues related to
22 that. And so my comments will be slanted in that
23 direction.

24 And finally, I think an overriding
25 question that needs to come out as part of this

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1 meeting is should transportation spent fuel be risk-
2 informed. And if the answer is yes, you might head
3 in direction. If the answer is no, you might head in
4 another. And that's a question to kind of keep in
5 mind as we go through all of the discussion today.

6 Because not everyone understands exactly
7 what we meant by moderator exclusion, and it was
8 agreed I would go first in the presentation, I want
9 to talk a little bit about what we mean by moderator
10 exclusion.

11 When a package, a transportation package
12 is analyzed for criticality purposes, generally it's
13 assumed that the moderate is inside the containment.
14 And so that is an assumption that is made for
15 purposes of analysis to demonstrate that even with
16 water present, it is sub-critical. If you have
17 moderator exclusion and you don't allow the water to
18 get, then the criticality analysis is much
19 different. And that's all that's really meant by
20 moderator exclusion.

21 Now the current regulations,
22 particularly as it's interpreted by the Staff,
23 requires a nonmechanistic intrusion of water into
24 the package for criticality analysis. The wording is
25 not exactly into the package. It's more into the

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1 containment. So I think the Staff would normally
2 view everything inside the containment boundary as
3 being part of inside the containment, and therefore
4 I think that leads to their interpretation. Other
5 people would say if you've got multiple boundaries,
6 you could still be inside of the containment
7 boundaries but not surrounding the fuel, for
8 example. So that's a question for interpretation
9 and probably a major to be considered in the DOE
10 application.

11 Part 71.55(c) does allow moderator
12 exclusion as an exceptional case. But to my
13 knowledge that exception has never been applied and
14 there is I think a great reluctance on the part of
15 the Staff to do that, to allow it.

16 There is an ISG-19 which allows
17 moderator exclusion under accident conditions. And
18 this gets then to the fact that the 71.55(b)
19 basically says if you have a moderator in there
20 under the most credible configurations and a normal
21 fuel configuration would be a credible
22 configuration, that's also subject to experience and
23 loading and unloading, and so that is a
24 configuration that is used by the Staff for
25 moderator exclusion, whereas under accident

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1 conditions it could be slightly different. And ISG-
2 19 allows consideration of moderator exclusion under
3 accident conditions with some fairly stringent
4 criteria.

5 Now why do you need moderator exclusion?
6 And there's other options to doing moderator
7 exclusion. One is burnup credit, which will be
8 discussed. And it's my understanding that if full
9 burnup credit were allowed, that 90 to 95 percent of
10 the spent reactor fuel could be shipped today in
11 large transport casks. Now as you go to higher
12 burnup fuel, that percentage might go down somewhat.
13 But you could ship most of it in the large transport
14 cask. The rest of it would have to be shipped in
15 smaller casks.

16 But full burnup credit is now allowed,
17 and one of the primary reasons is that there are
18 very large uncertainties today, particularly for
19 some of the plants. And so the Staff applies
20 uncertainty bounds to those various nuclides and you
21 come up with essentially a considerable reduction in
22 how much credit is allowed for burnup. It's not that
23 the Staff doesn't recognize that you have a burnup
24 effect, it's the database is slim, and so the
25 uncertainties in the data are large.

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1 There is one company I think that has
2 been approved by the staff for burnup credit that
3 goes beyond actinide-only. But that is still very
4 restricted because of large uncertainties.

5 There is also an ISG that allows for
6 actinide-only credit. And if you use that, less than
7 30 percent of the fuel today could be shipped in the
8 large transport packages.

9 Another reason that may influence that
10 is that as you get to the higher burnup on the
11 fuels, the cladding properties are unknown. There's
12 a fair amount of data for burnups up to about 45
13 gigawatt data at the time. But beyond that there is
14 very little data. And if you go to even the newer
15 fuels that have the M5 cladding or Zirlo there's
16 simply no data. So there's a major concern about
17 the properties of the cladding for the high burnup
18 fuel. And if you're trying to predict a
19 configuration of fuel, whether it holds together
20 under accident conditions, that becomes an issue.

21 Now I talked about being able to ship
22 the fuel in large casks. Well, why do you need to
23 use large casks? And there's several reasons.

24 One is economy. If you use larger
25 casks, you'd have fewer shipments.

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1 There's also a safety reason. Because
2 the more shipments you have, the more likely you are
3 to have an accident on the highway or on the rails.
4 So if you larger casks to do shipping there is some
5 reduction from that aspect in the risk.

6 There's also an ALARA concern because
7 you could get less dose from the loading and
8 unloading. And if you do have to take the fuel out
9 of the package or even if you use the same canister
10 in final disposal, there would be less waste if you
11 had larger casks.

12 So there's a number of reasons to use
13 larger casks if you can.

14 And as I said, for high burnup fuel
15 there's a lack of data for the cladding material
16 properties. But the lower burnup data suggests as
17 you get to the higher burnup, the cladding becomes
18 ductile. And also there's an issue with the buildup
19 of hydride. And under high temperature, as you
20 might see during active drying and high stresses you
21 can get hydride reorientation, which effects the
22 brittleness aspect. And as I said, we've got no
23 data for the M5 or the Zirlo.

24 Now, because this is primarily a concern
25 for the accident conditions where you have to worry

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1 about the reconfiguration of the fuel, it may be
2 that ISG-19 removes the high burnup aspect -- but
3 there's one other issue that kind of creeps in, and
4 that is oxidation of the fuel. If you've got
5 pinhole leaks, hairline cracks or various aspects
6 and you expose the fuel to non-oxidizing
7 environment, you can have a swellage of the pellets.
8 And that can lead to fuel failures, even without
9 having an accident. So there may still be some
10 consideration. It's a somewhat murky issue I think
11 at this point.

12 Moderator exclusion is not the only
13 option for increasing the amount of fuel that's
14 going to be transported in a large package. You
15 could also use burnup credit, as we talked about
16 previously. But there are large uncertainties as to
17 how much credit you'll ever get for that. I don't
18 know.

19 One thing that would I think take care
20 of the potential increase of reactivity if you did
21 have fuel configuration is allowing the k-effective
22 to go up to .95 to some higher value, for example
23 .98. I think there have been some preliminary
24 studies done that show that would take care of any
25 potential increase in reactivity from a

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1 reconfiguration. Or you could use some combination
2 of the above.

3 Now, what are the pros for moderator
4 exclusion? Economy is one. We talked about it.
5 And the fewer trips that you take as far as
6 transportation trips, fewer accidents.

7 One potential consideration that maybe
8 be moot, I don't know, because of the TAD is
9 elimination of the need for aluminum materials
10 inside the cask. It moots the issue of burnup
11 criticality for the high burnup fuel.

12 And the next question, a pro for it
13 would be risk-informed. If you're going try to be
14 risk-informed, this is something that you would
15 allow. It clearly would be probabilistic-informed.
16 We don't really know enough about the risk I think
17 at this point to say what the risk would be. But
18 from a probabilistic standpoint, we would argue for
19 it.

20 The cons. There's an increased
21 criticality risk, particularly during loading and
22 unloading. For transportation itself an accident is
23 small, but there is some for particularly the
24 loading and unloading.

25 The environmental impact statement for

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1 transportation would need to be revised. And it
2 does constitute a major departure from current
3 practice except for UF₆. UF₆ a moderator exclusion
4 has been allowed for UF₆ for some time, primarily
5 because it was being shipped in the packages that
6 were used before the regulations were in place. And
7 since it had been grandfathered, although the
8 current regulations, the latest revisions recognize
9 it explicitly.

10 And probably the major con is public
11 acceptance. If you could go through rulemaking or
12 anything else, you're going to have probably a lot
13 of outcry from the public because you're losing the
14 ability to say you absolutely cannot have a
15 criticality. Now you're going to go to a low
16 probability of criticality, and that may be a big
17 step from the public acceptance standpoint.

18 Now, I'll talk a little bit about risk
19 considerations. And I say considerations because
20 risk is really composed of the probability and the
21 consequences. And I think we understand the
22 probabilities relatively well. We don't understand
23 the consequences very well at all. And so it's
24 difficult to talk about the actual risk.

25 But the NUREG/CR-4829 did estimate the

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1 leakage of water into a containment, there's a very
2 low probability. Now once in 10 million years for
3 650 shipments. Now that was for a generic kind of a
4 package that didn't have, for example, a canister
5 inside an overpack. And so if you have a package
6 like most of the vendors have these days, the number
7 would be even lower, I suspect.

8 If you look at the loading aspect there
9 have been somewhat in excess of 800 storage casks
10 loaded in the U.S. with the same process for loading
11 a shipping cask, basically. And essentially no
12 problem with that 800. It doesn't tell you what the
13 number is. It says we've had a large number of
14 loadings without a major issue.

15 When you are loading the casks,
16 generally the boron content of the water in the pool
17 adjacent to the cask is monitored -- it's tested
18 just before loading. And so the likelihood of an
19 inadvertent deboration is very, very low. And the
20 tests that are required by Part 71, the 30 foot drop
21 test, the fire test, all of these, assure a very
22 robust design for hypothetical accidents. So the
23 likelihood of getting water into a cask is extremely
24 small.

25 Now, at the last meeting it was

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1 mentioned that there were a couple of truck casks
2 that were found with water. And I went back and
3 checks the reports on those, and the reports
4 basically said there was less than a half of liter
5 in each one of them. And these are small casks.
6 They're truck casks. And the water got in there
7 during the loading operation, not during the
8 transportation event. But, again, a very small
9 amount of water.

10 MEMBER WEINER: Wayne, excuse me for
11 interrupting. But you might give some idea of the
12 internal volume of NAC-LWT as compared to a half a
13 liter of water?

14 MR. HODGES: I don't know the number. Do
15 any of the Staff know that number?

16 MS. OSGOOD: I know the number. But
17 they're --

18 MEMBER WEINER: Go ahead.

19 MS. OSGOOD: It's about a 13 inch
20 diameter and they're about 170/160 inches high. So
21 I think the total volume, internal volume, was about
22 --

23 MEMBER WEINER: Well, the figure doesn't
24 matter. I just wanted to make it clear that a small
25 cask is not small compared to half liter of water.

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1 MR. HODGES: Right. Right.

2 MS. OSGOOD: Right. Yes. It's very
3 large.

4 MR. HODGES: Yes. That's a very small
5 amount of water.

6 MEMBER WEINER: Please, when you speak
7 up, say your name for the recorder. It's Nancy
8 Osgood.

9 MR. HODGES: And, again, continuing on
10 the list considerations and trying to make a
11 comparison to what's done in the reactor world. And
12 I've got two slides in here. One it is part of core
13 damage frequency and one for the LERF. And what you
14 see here is the core damage -- the way I read this
15 curve here, is a core damage frequency greater than
16 ten to the minus four is acceptable to the Staff.
17 I'm not saying the reactors go there. I think most
18 of them are lower. But that would be an acceptable
19 core damage frequency.

20 And if you go the LERF, basically an
21 order of magnitude better because you got a
22 containment around the reactor. You're talking about
23 still something in excess of ten to the minus five,
24 using this figure from Reg. Guide 1.174.

25 So we're talking about as far as the

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1 reactor world the problem and then acceptable
2 probability of a large early release of being
3 greater than ten to the minus five. As far as
4 transportation, we've got a standard that says no
5 release. And that's quite a bit different. Again if
6 you're going to be risk-informed, you've got to go
7 more in this direction. If the decision is you're
8 not going to be risk-informed, then you keep it like
9 it is.

10 You'd probably have a hard time arguing
11 just on the need for large transportation casks
12 alone to argue moderator exclusion. But you'll need
13 to look at it in an overall picture.

14 And I'm done.

15 MEMBER WEINER: Thank you.

16 We have a round table discussion
17 scheduled for the end of this section of the
18 meeting. I'm going to hold my own questions, but
19 each Member of the Committee, feel free to ask one
20 or two questions.

21 Dr. Hinze?

22 MEMBER HINZE: Pass.

23 MEMBER WEINER: Al?

24 VICE CHAIR CROFF: Pass.

25 MEMBER WEINER: Chair?

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1 CHAIR RYAN: Just a couple to clarify,
2 if you don't mind, Wayne.

3 MR. HODGES: Sure.

4 CHAIR RYAN: I guess they're not
5 numbered. It's the why needed slide. Maybe you
6 could snap to it on the presentation for the other
7 folks.

8 MR. HODGES: You said it's 6?

9 CHAIR RYAN: Yes, why needed? On the
10 burnup credit page. It says "Huge uncertainties in
11 data for some nuclides." Tell me about "huge," and
12 tell me which radionuclides.

13 MR. HODGES: Oh, okay. All right. Yes.
14 That one.

15 CHAIR RYAN: It's the second bullet.
16 What's huge?

17 MR. HODGES: Huge is -- all right. If
18 you look at the amount of credit you get with
19 actinide-only and say compare that to an ideal world
20 where you got full credit, you'd maybe get about
21 half of that credit with the actinide-only.

22 So with the large uncertainties you're
23 maybe in the neighborhood of 15 percent, maybe about
24 10 or 15 percent above that.

25 CHAIR RYAN: That's not my question. My

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1 question is we're talking that a fuel burnup credit
2 is not allowed now because there are uncertainties
3 in data --

4 MR. HODGES: Right.

5 CHAIR RYAN: -- for radionuclides.

6 MR. HODGES: Yes.

7 CHAIR RYAN: What data, what
8 radionuclides and how big?

9 MR. HODGES: Oh.

10 CHAIR RYAN: What is it? Is it cross
11 sections, is it --

12 MR. HODGES: It's on the cross section.
13 Some of the Staff --

14 CHAIR RYAN: There are neutron poisons
15 in the fission product inventory, so is what you're
16 telling me you don't know the neutron poison
17 inventory well enough?

18 MR. HODGES: Both inventory and cross
19 section itself.

20 MR. RAHIMI: This is Meraj Rahimi, NRC
21 Spent Fuel Division.

22 What he is referring to is unquantified
23 uncertainty with respect to some of the isotopes.
24 And as Wayne indicated, there has been a case that
25 the way to approve that has gone beyond actinide

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1 only and the applicant quantified those uncertainty.
2 There are still some isotopes that have not been
3 quantified. You know, the fission product
4 technetium, some of the technetium. And samarium-
5 149, these are some of the isotopes. There are 29
6 isotopes normally that the applicants go after.
7 Fourteen actinides, 15 fission product isotopes
8 normally.

9 CHAIR RYAN: Okay. Now we're getting to
10 it. We have 15 fission products?

11 MR. RAHIMI: Yes.

12 CHAIR RYAN: And of those we're certain
13 or uncertain by what? An order of magnitude? Five
14 orders of magnitude? What?

15 MR. RAHIMI: Right. There are some
16 isotopes like curium-244 that you will see, you
17 know, the uncertainty was 100 percent. They could
18 not figure out why they were off, so they're not
19 taking credit for that one.

20 We gave them credit for some of the
21 isotopes that they had quantified with enough data
22 over the range of enrichment and burnup.

23 CHAIR RYAN: But I mean a 100 percent
24 error in americium, for example, doesn't trouble me
25 so much because you can always deal with that as a

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1 range of values or a conservative value or whatever.
2 So huge uncertainties in data for some nuclides
3 doesn't really nail down to me that it's a not
4 doable problem. I still think it's a doable problem
5 --

6 MR. HODGES: Well in a public meeting,
7 and we're in a public meeting now anyhow, and the
8 number he's talking about were in a proprietary
9 report.

10 CHAIR RYAN: Okay. No, no. I'm not
11 asking for proprietary information.

12 MR. HODGES: So we can talk in terms
13 around it. But it's going to be difficult for me --

14 CHAIR RYAN: But it's not -- the message
15 I'm taking away is it's within a doable range of
16 problem. It's not intractable?

17 MR. HODGES: No. One vendor has already
18 been through the process, have gotten credit for it
19 and it's better than actinide only. It's just not
20 as good as if you didn't have the large
21 uncertainties.

22 CHAIR RYAN: Thank you.

23 One last quick question, if I may. And
24 that's on consequence and probability. I'm taking
25 away from your presentation, Wayne, that your

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1 uncertainty is mainly about consequences as opposed
2 to probability of an accident?

3 MR. HODGES: Yes. When I was with the
4 Staff we tried to do a scoping study on the
5 consequences. It's not a simple thing to do. It's a
6 very dynamic problem.

7 CHAIR RYAN: Yes.

8 MR. HODGES: And I'm not aware of anyone
9 who has done a decent analysis of the consequences.
10 So we can talk in general terms about it, but it's
11 just not well known.

12 CHAIR RYAN: That surprises me a lot. I
13 mean, we've bashed casks with lots of stuff over the
14 years.

15 MR. HODGES: Oh, yes, we've done a lot.
16 But that was not making them go critical. But the
17 difference is -- I mean, we know type of behavior if
18 you run a train into it, if you drop it, you do a
19 bunch of other things. But when you have a situation
20 where you take away the boron that's in the
21 canisters that you no longer are going to be
22 subcritical, but with water in there.

23 CHAIR RYAN: Yes.

24 MR. HODGES: And so you're looking at
25 not a current design, but a new design that's taking

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1 advantage of moderator exclusion. And now you put
2 water in there where it can go critical. It's going
3 to surge and likely it's going to sit there and
4 cycle. So it's going to go critical, it's going to
5 quick spew the water out and if water can get back
6 in, it's going to come back in and you're going to
7 see a cyclic phenomenon. And trying to predict what
8 goes out in that cyclic phenomenon, and just how
9 severe it is, that's not a simple problem.

10 CHAIR RYAN: Yes. And whether it blows
11 apart or stays cyclic and all that. I understand all
12 those issues.

13 MR. HODGES: Yes.

14 CHAIR RYAN: Okay. Well, that's enough
15 for now. Thanks.

16 MR. HODGES: Yes.

17 MEMBER WEINER: Jim?

18 MEMBER CLARKE: Just a clarifying
19 question to make sure I understand your use of risk-
20 informed. I was trying to see if you had it on a
21 slide, but I'm not finding it.

22 The question is you believe, if I
23 understood what you said, that the moderator
24 exclusion is risk-informed, is that --

25 MR. HODGES: I believe to use that would

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1 be a risk-informed --

2 MEMBER CLARKE: To use that --

3 MR. HODGES: You're considering risk
4 issues in what you allow and you don't allow.

5 MEMBER CLARKE: Okay. And just to follow
6 up on that, as I understand it the situation now is
7 case-by-case and you would encourage risk-informed
8 to be not case-by-case but in every case?

9 MR. HODGES: Well, case-by-case so far
10 has been zero.

11 MEMBER CLARKE: Right. I understand. I
12 noticed that, yes. So there are advantages to not
13 doing it on a case-by-case --

14 MR. HODGES: I think, you know, part of
15 the problem is the arguments that you would make for
16 a DOE canister, say, moderator exclusion are very
17 similar to the same arguments you would make for a
18 commercial field canister. And if you allow it in
19 one and you don't allow it in the other, you have an
20 equity issue. And so it may be a matter of being
21 equally tough on everybody.

22 MEMBER CLARKE: That's helpful. Thank
23 you.

24 MEMBER WEINER: I have just one
25 clarifying question. What do you mean by large

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1 transportation cask? Is that a 21 assembly cask, a
2 --

3 MR. HODGES: Okay. They're generally for
4 PWRs, a 32. For BWR it would be in the 68 or so
5 range. If you got down to 24 or less, you wouldn't
6 need moderator exclusion.

7 MEMBER WEINER: I see. So this the extra
8 large rail casks?

9 MR. HODGES: Well, the ones that are
10 currently being marketed.

11 MEMBER WEINER: Thank you.

12 MEMBER CLARKE: If I could follow up on
13 that. As I understand it, that's bigger than the
14 TAD, is that --

15 MR. HODGES: The TAD is proposed to be,
16 I think, 21.

17 MEMBER CLARKE: Twenty-one and 44 I
18 think, somewhere around there.

19 MR. HODGES: Right.

20 MEMBER WEINER: Thank you.

21 Our next speaker -- where is he?
22 Everett Redmond from NEI. And without further ado -
23 - oh, I should mention that Tom Hill is on the
24 speaker phone. And for his benefit I'll repeat what
25 I said before while Everett is getting set up. There

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1 will be a round table discussion at the end of this
2 segment of the program. So I've asked people to
3 hold most of their questions until then.

4 And welcome. Everett, it's all yours.

5 MR. REDMOND: My name is Everett
6 Redmond. I'm with the Nuclear Energy Institute.
7 Just for a little bit of background, I've been with
8 NEI since October. Prior to that I spent ten years
9 with a dry cask storage vendor doing licensing work
10 and shielding analyses.

11 Wayne has already given you a discussion
12 on moderator exclusion and a little bit of
13 information in that regard. I'm going to expand
14 upon what he said and talk about what we view as a
15 generic issue in the industry here.

16 Currently high density dual purpose
17 storage canisters are being loaded. And for
18 reference here, high density means 32, approximately
19 32 pressurized water reactor assemblies as opposed
20 to 21 t 23 pressurized water reactor assemblies
21 within the same canister volume. So the size of the
22 canister is the same. So the 21/24 or 32, it's all
23 the same physical size, same rail cask. But we're
24 talking high density here.

25 Because of differences in analyses

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1 techniques between storage and transportation, it's
2 not clear whether these high density dual purpose
3 canisters will be acceptable for transport.

4 These dual purpose canisters have been
5 designed for both storage and transport. They've
6 been analyzed for thermal, structural and shielding
7 purposes. But as I said from a criticality
8 perspective, the techniques are different in Part 72
9 and Part 71 resulting in the contents being unclear
10 for transport at this point in time.

11 Now there's two ways to deal with this,
12 and I'm going to elaborate on these as I go through
13 the talk. Moderator exclusion is one, or enhanced
14 Part 71 burnup credit is the second. And either one
15 of these would provide an assurance that these
16 canisters will be transportable at some point in
17 time in the future.

18 Now I understand the purpose of today's
19 talk is moderator exclusion, so I'm not going to go
20 into detail on the burnup credit. But I just mention
21 it here because it's important to understand the
22 context of the issue that we're talking about.

23 What we see here is a comparison of
24 loading requirements. In Part 72 when you load a
25 storage canister, the criticality analysis is based

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1 on fresh fuel and full credit for soluble boron.
2 Typically high levels of soluble boron 2,000 ppm
3 plus. And that results in basically a loading
4 criteria that says 5 percent fresh fuel any burnup.
5 That's represented here on the right with the dashed
6 black line. So anything to the left of that, any
7 burnup versus enrichment combination is acceptable
8 for loading into a storage canister at this point in
9 time.

10 Now when you go to transport it,
11 currently with the exception of the cask vendor
12 that's already received something above ISG-8, ISG-8
13 require actinide-only burnup credit. And you end
14 with a burnup versus enrichment curve which is shown
15 in the red dashed line there.

16 Now, as you can see here there is a big
17 difference between what is transportable, which is
18 to the left of the dashed line and what is permitted
19 to be loaded, which is to the left of the solid or
20 the dashed black line.

21 Now what I've done here is to populate
22 this figure with the Westinghouse 17 fuel data,
23 burnup versus enrichment data. This is taken out of
24 the DOE RW8-59 database from 2002. And what we can
25 see here is that what's to the left of the red

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1 dashed line is 21 percent of the population. But
2 fuel is currently being loaded into the high density
3 DPCs from any of the assemblies that are listed
4 here. So we have situations where canisters are
5 being loaded now that may or may not be
6 transportable if that red dashed line is not
7 altered.

8 Now the reasons utilities are doing this
9 is because it's really not practical to simply
10 choose fuel assemblies from what's to the left of
11 the red dashed line. There's requirements as far as
12 heat load in the spent fuel pool and spent fuel pool
13 management issues that come into play. So it's not
14 practicable to simply choose from that small subset.
15 So we have canisters that are being loaded now that
16 come from the entire population here.

17 Now to quickly summarize the issue then,
18 and I haven't touched on it before, but we have Part
19 50, Part 72 and Part 71 all have different
20 criticality analysis requirements, different
21 criticality analysis methods. And the result is fuel
22 that is currently being loaded in the high density
23 DPCs, fuel that is currently stored in the spent
24 fuel storage racks and the spent fuel pool may or
25 may not be acceptable for transport once Part 71

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1 license amendments are submitted and approved.

2 Now how do we fix the problem? As I
3 mentioned, one option is Part 71 criticality
4 analysis to be aligned with Part 50, basically
5 analyze it the same way you do in spent fuel pool.
6 If it's acceptable in the spent fuel pool, it'll be
7 acceptable for transporting the cask. That does not
8 require rulemaking.

9 The second option would be to recognize
10 moderator exclusion or leaktightness, and I'll talk
11 about that in just a second, in licensing basis.

12 Now there's in my view here two ways to
13 do moderator exclusion really. There's one
14 moderator exclusion from the inner canister. So in
15 our case we're talking about the dual purpose
16 canisters, the welded canisters that's inside the
17 storage overpack.

18 DOE Idaho is going to talk shortly about
19 their standardized canister, which is also inside of
20 transportation cask. So this is moderator exclusion
21 from that canister. That does not require
22 rulemaking, in my view, anyway. 71.55(b)
23 requirement says that you must flood the containment
24 system. It doesn't say you have to flood all free
25 volume within the containment system. And then it

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1 goes on to talk about the most credible extent.

2 The second option would be moderator
3 exclusion from the containment system, which would
4 clearly in my view require a rulemaking since
5 71.55(b) says you must flood the containment system.

6 Or we could do a combination of the
7 both. For example, apply Part 50 burnup credit
8 methodology to Part 71, but recognize that as far
9 defense-in-depth the canisters are leaktight and
10 that you won't get water in it. So you're doing your
11 analysis based on burnup credit, assuming water, but
12 you're recognizing the fact that they're leaktight.

13 Now these canisters, a lot of the welded
14 canisters for your information are considered
15 leaktight from the purposes of radiation leading out
16 during an accident scenario. But they're not
17 considered leaktight for the purposes of water
18 coming in during an accident scenario. So that's a
19 different condition there.

20 And I should say -- back up for a second
21 because I just misspoke a little bit. IGS-19 does
22 talk about moderator exclusion and the Staff has
23 outlined a manner in which a vendor could apply for
24 moderator exclusion during transport, during
25 accident scenario. But I have not seen an instance

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1 where the Staff is willing to consider moderator
2 exclusion or consider the leaktightness of the
3 canister when talking about burnup credit as a
4 defense-in-depth measurement, defense-in-depth
5 approach. And so to us if direction from the
6 Commission is needed, for example, to be able to
7 consider leaktightness and defense-in-depth, then
8 that's what we would urge.

9 Now to quickly summarize, in our view
10 SFST should consider all options for ensuring that
11 fuel loaded in DPCs is approved for transport. And
12 NEI believes that generic loading transport issue,
13 which I described, can best be solved by permitted
14 Part 50 burnup credit for transportation. And, as I
15 said before, this can be accomplished by rulemaking.

16 We also believe that DPC leaktightness
17 should be recognized for defense-in-depth if that
18 helps provide some alleviation to some of the issues
19 in the burnup credit world. And we would certainly
20 welcome the opportunity to come back and discuss
21 burnup credit in more detail at a later time. I know
22 we touched on it a little bit in Wayne's area, but
23 it's not the purpose of today's meeting so we
24 certainly would welcome that opportunity to dive
25 into that in more detail.

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1 That's what I had to say for today.

2 MEMBER WEINER: Well, thank you. And
3 since you've been so accommodatingly brief in your
4 presentation, thank you. We do have time for
5 questions.

6 Dr. Clarke?

7 MEMBER CLARKE: I don't have any.

8 MEMBER WEINER: Dr. Ryan?

9 CHAIR RYAN: And maybe this we'll save
10 it for the round table, you can think about it. If
11 you were to include burnup credit in your thinking,
12 could you give us any sense of what contribution to
13 conservatism with a lack of criticality, however you
14 want to look at it, would come from burnup credit
15 versus moderator exclusion? Just maybe you can
16 think about that, and that'll be something we can
17 ask all the panels. Because it would be helpful to
18 the Committee to get a sense of where's the real
19 value added for each issue and which is the one that
20 would likely if risk-informed as Wayne suggested do
21 a better job of making the whole process risk-
22 informed. So just a thought.

23 MR. REDMOND: That's an excellent
24 question. BE happy to discuss that.

25 CHAIR RYAN: Okay. Great.

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1 MEMBER WEINER: Allen?

2 VICE CHAIR CROFF: No thanks.

3 MEMBER WEINER: Bill?

4 MEMBER HINZE: Perhaps this is better in
5 the round table, but what evidence do we have that
6 we can really achieve leaktightness?

7 MR. REDMOND: There's a standard ISG
8 that talks about welded canisters for, again, for
9 the purposes of radiation coming out of the
10 canisters. I'm not a structural engineer so I'm
11 afraid I'm not able to go into too much detail in
12 that regard. The Staff could actually probably
13 answer that better than I could. But there is an ISG
14 that for the purposes of containment analysis talks
15 about the canisters being leaktight.

16 MEMBER HINZE: And just so we're on the
17 same page, everyone, you're saying radiation
18 leakage. You really mean radioactive material?

19 MR. REDMOND: Radioactive material,
20 correct.

21 MEMBER HINZE: Yes. Okay. I just want to
22 be clear.

23 MR. REDMOND: Right.

24 MEMBER HINZE: Well, let's hold that off
25 and ask that question.

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1 MR. REDMOND: Okay.

2 MEMBER WEINER: I have one question. If
3 you go back to your slide 4, could you please.

4 MR. REDMOND: Okay.

5 MEMBER WEINER: Would burnup credit
6 accommodate all of these casks that are between your
7 transportable and loadable curves? In other words,
8 that whole bunch that's to the right of the
9 transportable but left of --

10 MR. REDMOND: If I -- let me check
11 something here. If you don't mind, I'll just jump
12 ahead into the additional information because I have
13 to figure the answer to that question.

14 MEMBER WEINER: Yes.

15 MR. REDMOND: Okay. What you see here
16 is a figure that shows different loading
17 requirements. And what we have here is, again, the
18 Part 72 is shown here. Oh, I'm sorry. The Part 72 -
19 -

20 CHAIR RYAN: You'll need to use the
21 stand up microphone.

22 MR. REDMOND: I apologize. Thank you.
23 I'm sorry for that.

24 We have the red dashed line here which
25 is the Part 71 ISG-8 again and 21 percent are to the

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1 left of that. We have the black line here which is
2 Part 72. This red dashed line is the requirement
3 that is developed in Part 50 that the spent fuel
4 storage racks are licensed to. So a high density
5 spent fuel storage rack, which looks essentially the
6 same in many cases to the high density 32 canister
7 casks that are being loaded now, covers more than 95
8 percent of the fuel assemblies out there.

9 So basically you're pulling fuel
10 assemblies out of your spent fuel pool, your high
11 density rack, this population here and you're
12 putting them into your high density canister. And
13 if the analyses methods were the same, again, 90/95
14 percent or more of the assemblies would be
15 acceptable for transport. The only issue that the
16 vendor -- the utilities would have to worry about is
17 this population here, which in many plants are
18 stored in like typical Region 1 style low density
19 casks. But, again, the Part 72 requirements actually
20 permit you to load any of those assemblies.

21 MEMBER WEINER: So that almost all of
22 your assemblies that would not be transportable
23 currently would be under the burnup credit?

24 MR. REDMOND: Right. And in fact I
25 would say this but not with certainty, but I believe

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1 it is unlikely that utilities would be loading this
2 population down here anyways because they tend to
3 want to get the higher burnup, hotter fuel out of
4 their pools.

5 MEMBER WEINER: I see. Thank you.

6 Our next speaker for this session is Dr.
7 Albert Machiels. I hope I have pronounced this
8 correctly. From EPRI, Electric Power Research
9 Institute.

10 And I would point out while Dr. Machiels
11 is getting set up, that there are additional slides
12 in everyone's handout that we thought there might
13 not be time for presentation. But they have
14 additional information that people may want to look
15 at.

16 DR. MACHIELS: Good afternoon. My name
17 is Albert Machiels. I'm a Senior Technical Manager
18 at EPRI.

19 And first of all, I would like to thank
20 the Committee for the opportunity to present a few
21 considerations related to criticality in the complex
22 of transportation of spent fuel.

23 Personally I've been involved in this
24 area since the late '90s when the NRC issues a
25 number of circled ISG or interim staff guidance.

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1 And for the first three year we essentially work on
2 the storage side of the equation. And since 2002
3 when the storage issue was essentially resolved, we
4 have been working on topics related to
5 transportation.

6 And we have worked on topics related to
7 burnup credits, cladding integrity, risk and so on.
8 And we have produced one report which I have
9 presented to the Committee on moderator exclusion
10 that we produced about a year and a half ago. And I
11 will not cover that report because I think it's not
12 really technical nature, it's more of an options
13 that the regulations have at the present time. And
14 you will see a lot of parallel between that specific
15 report and the content of the presentation that was
16 provided to you earlier by Ms. Osgood.

17 What I would like to do then is try to
18 tackle a number of issues related to the discussions
19 here, but more responding to the request that were
20 made and then emailed to me to look at the risk
21 equation as well as some issues related to the lack
22 of cladding integrity, the reconfiguration what
23 roles it may play.

24 Now, first of all, we're going to talk
25 about spent fuel and I would like to give a

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1 perspective here which could be a little bit maybe
2 different from some of the previous speakers.

3 Spent fuel is a material which has to
4 fulfill its function. That means when it came into
5 the reactor it has a specific purpose, a lot of
6 reactivity. When it came out of the reactor, most of
7 that reactivity was used. And so from that point of
8 view when we look at criticality there are a lot of
9 considerations which make absolute sense in a very
10 rigorous manner when you look at shipping enriched
11 uranium or plutonium or fresh fuel. But the same
12 considerations may not necessarily be directly
13 relevant or directly applicable to the same rigor to
14 spent fuel.

15 Spent fuel comes with its burden of
16 isotopes and fission products which accompany the
17 residual reactivity. And whether you take credit or
18 not for it explicitly, it is there. Okay. So
19 essentially spent fuel it really doesn't have the
20 same potential for criticality compared to some
21 other species like highly enriched uranium or fresh
22 fuel and so on. So that's one consideration to keep
23 in mind.

24 In the U.S. there has been a number of
25 program. Crash testing example of Sandia at the top

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1 where a train collided with a truck carrying a spent
2 fuel waste. And there has been also included -- I
3 basically took from a website, some information
4 about the experience in the U.S.

5 And what has been always fairly typical
6 is that the waste package itself has behaved
7 extremely well in this process. But you can see that
8 if we look at another part of the risk equation that
9 we'll be discussing a little bit later and as Wayne
10 Hodges has already presented is that there are risks
11 which are not radiologic driven. And you can see
12 that in the top picture as well as the existing
13 experience is that the human body is not designed to
14 perform very well in this type of accident should
15 they happen. And at the present time, the only
16 really negative impact of transportation has been
17 one casualty which resulted from the accident
18 involving one of those.

19 So the record from a radiological point
20 of view is perfect. Obviously, there are risks which
21 are typical with transportation.

22 So what I would like to do, and this is
23 my bottom line, so I didn't know exactly how much
24 time I had, so at least I want to leave a message is
25 that based on NRC and EPRI sponsored study, the EPRI

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1 conclusion, I don't want to misinform you, this is
2 not the NRC conclusion. Based on a piece of
3 information we have taken from NRC work as well as
4 some EPRI work, is that the criticality risk during
5 transportation are essentially zero. And we will try
6 to quantify that a little bit more.

7 And I will also argue a little bit
8 later, that -- but the question is the
9 reconfiguration effects, that means somebody doesn't
10 keep geometry as a result of an accident, that those
11 really can be dismissed because of a number of
12 configuration is that when we assume physical
13 unreality in representing some reconfiguration, that
14 doesn't even lead to a criticality configuration.
15 And also when we talk about property of cladding and
16 so on, we are really in the realm that if we talk
17 about high burnup fuel and if for some reason there
18 is a lot of reactivity left in that spent fuel, it
19 is not high burnup to start with. Is that the
20 cladding properties obviously were not irradiated to
21 the design level and that means the cladding
22 property fall well within the bounds of what we know
23 at the present time. So from that point of view if
24 you really have a degradation mechanism that would
25 lead to some concern about reconfiguration, it is

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1 very likely that if it's only operative when the
2 burnup is very high at a time where essentially the
3 reactivity of the fuel is extremely low compared to
4 something which would have a lot of reactivity left,
5 then obviously the spent fuel would not be
6 classified as high burn.

7 So from our perspective of this, from
8 the EPRI perspective we believe that there is an
9 opportunity to rationalize the regulations or their
10 interpretation which could result I believe in over
11 risk to the general public as well as reduce the
12 effort, time, results for obtaining regulatory
13 approval.

14 This has been covered in quite a bit of
15 details previously. And has been mentioned already,
16 the enabling technologies of moderator exclusion and
17 burnup credits.

18 I'd like to add a piece of detail with
19 regard to burnup credit which I think may provide
20 some information to Dr. Ryan here.

21 That's my perspective. There is
22 typically a disconnect between the criticality
23 community which is responsible for enforcing the
24 rules of criticality and the reactor physics
25 community that operates the reactor.

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1 The reactor community that operates the
2 reactor use codes and they don't necessarily look at
3 each isotope individual. They look at groups of
4 isotopes. And so they have a way to handle that.

5 Now the criticality community has a
6 different approach. Is that they look at each
7 species, each nuclide individually. And then you
8 have to ascertain what is the concentration and what
9 is cross section, the worth in some context. And
10 systematically then you have to account for the
11 uncertainties in those area as well as taking into
12 account any bias of the methodology that you use.

13 So as a result of that this method makes
14 a lot of sense when we talk about highly enriched
15 uranium or plutonium, you deal with a limited number
16 of nuclides and the potential for criticality is
17 large, so you'd better be averse. When you talk
18 about spent fuel, which was as mentioned,
19 considering up to 29 isotopes, you can see that the
20 uncertainties can overwhelm you very rapidly. Is
21 that even if you know the behavior of integral
22 manner when you start splitting and adding
23 systematically the uncertainty in the same
24 directions, you basically eat a lot of the margin
25 that you actually have. Okay.

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1 So this is really the challenge for
2 burnup credit is to be able to essentially collect
3 the data with regard to concentration and worth of
4 those fission products and in the manner that you
5 can build the statistical analysis coming with
6 reasonable levels of assurances with regard to the
7 uncertainties. And that's not easy.

8 Taking spent fuel, setting it in the hot
9 cell, doing an analysis is very expensive, to start
10 with. There are the error of the analysis itself.
11 And so just the combination by which essentially you
12 don't get essentially the benefits that you would
13 like to have.

14 The practical approach for burnup credit
15 has been to try to limit that to a number of fission
16 products for transportation with basically the
17 biggest bang for the bucks. But even thought, these
18 are not trivial issues.

19 So now I would like to talk a little bit
20 about risks. And there has been a fair amount of
21 work which has been sponsored by the NRC with regard
22 to risk in transportation of spent fuel.

23 I think that's it.

24 The risk has essentially focused on the
25 radiological consequences and the normal as well as

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1 accident conditions. Criticality risks have not
2 been tackled to any extent because the assumption
3 has been we are going to assume that that spent fuel
4 is actually behaving like fresh fuel. And so from
5 that point of view this is a totally incredible
6 event to assume criticality, so we are not going to
7 include that in the risks.

8 And the non-radiological risk haven't
9 been formally assessed except indirectly through
10 Part 51 where there is some environmental aspects
11 for nuclear power.

12 Now, know that already a hint is that
13 under accidents conditions when we look at the risk
14 from the point of view of releases of radio active
15 material from the package into the environment,
16 those risks as performed under this study here
17 indicates that they are very low. That means that
18 not much escapes out of the package. And if you take
19 the logic that if not much escape, not much can get
20 in either, okay, when we talk about the water
21 potential, water intrusion into the package.

22 Now, we have presented over the past
23 several years some basically back-of-the-envelope
24 calculations of risk to the Staff. And more recently
25 than last year we decided to do a better documented

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1 and also a little bit more rigorous approach. And
2 the bottom line is that, and it's written
3 explicitly, is that the probability of any
4 criticality accident over a total of many shipments
5 is that estimated to be very low, which is
6 essentially negligible risk.

7 Qualifiers is that we're talking about
8 commercial spent fuel. We're not talking about
9 research reactor fuel and so on. We didn't look at
10 that, obviously.

11 We focused on railroad shipments, which
12 is anticipated to be by far the means for
13 transporting spent fuel.

14 And we looked as a reference 32 assembly
15 package. That means that when we'll talk about
16 misloading, potential for misloading, there are 22
17 opportunities basically for misloading into such a
18 package.

19 And obviously the analysis always
20 depends on the experience of the analyst. And I
21 think we believe that we have a very credible
22 organizations, ABS Consulting and Dykes being the
23 main principal investigator.

24 So from a risk perspective the logic is
25 fairly simple and the numbers are there. But you

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1 basically go through a process of estimating the
2 probability or the frequency of an accident and then
3 in that if an accident occur, what is the
4 probability that accident will be severe enough such
5 that it will punch some kind of a defect through the
6 different layer of the containment confinement. And
7 on top of that then you have to assume that there's
8 a probability that there will be some water present
9 such that the water can intrude into the package.

10 Now having said that, if you have water
11 which is intruding into the package, that doesn't
12 mean that you have a criticality accident,
13 obviously. On the contrary. You have a criticality
14 accident only if you have something in the package
15 that's not supposed to be there and in the quantity
16 which is sufficient for bringing the whole system to
17 a critical point. Because we have loaded the package
18 in such a way that it was not going to be critical.
19 So from that point of view then, you have to take
20 into consideration what is the probability assuming
21 that accident severe enough and water present, what
22 is the probability that when water gets there that
23 you have actually enough reactivity in the package
24 so that you would have a criticality event?

25 So the analysis that we did was fairly

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1 rigorous with regard to estimating misloading of the
2 misload of a spent fuel cask. And that's basically
3 by reference to the practices of a nuclear power
4 plant, three way communication, video, a
5 verification of whether it's independent or not
6 making it a little bit of a difference.

7 The train accident per train mile, this
8 can be obtained directly from the Federal Railroad
9 Administration and the NRC used the same sources,
10 obviously. This is directly from work from the NRC
11 what is the probability of an accident which is
12 large enough to create a defeat into the packages
13 and water present directly from work performed by
14 the NRC that Wayne has already referred to.

15 And then we also assigned a probability.
16 Just subjective here. This number is subjective
17 here, which says that given that we have the
18 accident and the presence of water, given there has
19 been some misload what is the probability that the
20 misload will result in a criticality accident. And
21 I will try to justify these numbers a little bit
22 later. But we believed that those are all on the
23 conservative side. And I'll hopefully say why later.

24 Then we assume a number of train miles
25 per shipment about 2000 miles. Frequency, then you

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1 can calculate essentially the frequency of
2 criticality accidents per shipment as well as any
3 number per year as a total of accident. And you get
4 those numbers, which are very low indeed.

5 Now let me try to justify here why if
6 you have an accident which result in damage and
7 water and you have misload on top of that, why this
8 is not a criticality accident. Well, there are two
9 things.

10 One is that we have done a number of
11 calculations which shows that this is the k-
12 effective. And you have criticality when that k-
13 effective becomes equal to one. And then this is the
14 value when everything is supposed to be as designed.
15 We're talking about five percent enrichment and 45 -
16 - so you have a k-effective between .85 and .9

17 And then you introduce misload. This
18 curve here indicates that we're misloading something
19 which has a burnup not of 45, but 25. And that means
20 we introduce more reactivity. And then you can see
21 the progression in the k-effective. And you can see
22 that in this case it never even get close to the
23 criticality level.

24 The biggest bang for the buck from that
25 point of view is to be able to load essentially, to

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1 put a fresh fuel into your cask. Then you can have a
2 substantial jump here, and that you can see that
3 after one misload, two misload, three misload you
4 would be over the criticality region.

5 CHAIR RYAN: I'm sorry. Just to be
6 clear, the red line is fresh fuel and the blue is 25
7 megawatt--

8 DR. MACHIELS: Yes. Yes. The red line is
9 misloading one, two, three, four, five and so on
10 fresh fuel assemblies. And the blue line is loading
11 one, two, three under burnup. Under burnup.

12 CHAIR RYAN: Okay. I got you. Thank
13 you.

14 DR. MACHIELS: Now the NRC would use a
15 different approach. They would not show a curve
16 like this. They would say let's start to the
17 conditions of .95 and let's see what would result
18 into a potential criticality event. So if you move
19 all those curve here the only time you can go beyond
20 the criticality level, the only time is when you
21 load a fresh BWR with five percent enrichment. If
22 you load for something which less than five percent,
23 like four percent, three percent, it takes several
24 of those to get there.

25 And so that's the reason why we picked

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1 this probability less than one and somewhat
2 subjectively, but I think we really believe it's
3 actual very conservative.

4 But now if you look at the picture here,
5 this is fresh fuel assemblies here. This is once
6 burned fuel. So from a point of view of human
7 error, you can see that first of all that there is
8 quite a hint to the person loading the assemblies
9 that they don't look the same, obviously. And
10 clearly each of those assemblies about a million
11 dollar worth, they are special babies into the pool.
12 On top of that in most cases is that spent fuel
13 assembly -- fresh fuel are not present in the pool
14 when they do cask loading. Because when you do cask
15 loading, it's not your refueling time. It's
16 basically prepare -- refueling. And from that point
17 of view the fresh fuel is into its proper place,
18 which is not in the spent fuel but into -- which is
19 in dry storage.

20 So there is a number of reason, as you
21 can see, that the fact that we have very low
22 probability of accident resulting into damage to a
23 cask coupled with the fact that there has to be some
24 water. On top of that is not because you bring
25 water into the package that is going to go critical.

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1 Now this is the potential reduction in
2 shipment by using a 32 versus a 24 cask assemblies.
3 And if you instead of loading all into 24, you could
4 load 20 percent of the -- or 40 percent or 60
5 percent or 80 percent or 100 percent based on this
6 number of assemblies here. And you can basically
7 calculate from this straight curve the reduction in
8 the number of shipments.

9 Now this was as was held by my co-worker
10 John Kessler on this one, and really it was really
11 kind of a very rough comparison which says that this
12 is the risk from criticality based on the number
13 that I just showed you extracting data from the
14 final environmental impact statement on Yucca
15 Mountain, we basically compare basically the risk of
16 criticality versus the radiological risk. And the
17 risk of criticality, I mean we're talking about very
18 small numbers here, but the risk of criticality from
19 a public safety point of view are much larger than
20 the risk -- excuse me. The nonradiological risk of
21 hurting people are much larger than the risk from
22 criticality. So this is certainly not enough in my
23 situation. And from the point of view of reducing
24 risk, reducing the number of shipments is really
25 what does the trick.

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1 All right. Now I would like to tackle
2 the other part, which is the high burnup issues.
3 You have heard that NRC is comfortable with
4 transporting fuel which has a burnup up to 45. But
5 there are some concerns about the behavior of the
6 cladding when the burnup is greater than that.

7 And I will not go into the details here.
8 But if we wanted to go in the details, that would
9 take too much time. But let me simply say that we
10 discussed this issue with the Staff numerous times,
11 and we have actually a joint program to look at some
12 of those issues. And I've documented some of the
13 result here.

14 What I would like to do is just taking
15 more or less the common sense approach by looking at
16 a report that was sponsored by the NRC. And it says
17 what is the maximum increase in k-effective when you
18 assume a number of reconfiguration, first of all.
19 So I'm not trying to even to figure out what the
20 likelihood of those reconfiguration.

21 And I will warn you that there is a
22 statement by the author that of those scenarios
23 consider go beyond critical conditions, as you will
24 see, they represent a theoretical limit on the
25 effects of severe accident conditions.

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1 Now there are three tables there with
2 numbers, and I crossed out those two because the
3 assumption is fresh fuel. And as mentioned, we're
4 not talking with fresh fuel. We're talking about
5 spent fuel.

6 Now if we look at the spent fuel
7 assemblies and put water, it's close to optimum with
8 regard to the ratio of water to the fuel. But not
9 quite. It's under much rated. That means if you
10 bring more water, it will actually become more
11 reactive. So in this case what we do is that we
12 extract one rod from the assembly, and as a result
13 of extracting that rod the water comes there and
14 adds some reactivity. The effect is very small.

15 We didn't do it, Oak Ridge did it, some
16 kind of a random process of trying to optimize what
17 is the biggest effect by taking multiple rods, you
18 can see that the effect of the k-effective is still
19 very small.

20 This one is very strange. This one is
21 that you take the cladding off but you leave the
22 pellets stacked. Okay. So that means that the
23 cladding now is removed and you put water where the
24 cladding was, and what additional water essentially
25 then result in additional moderation. And that's

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1 why, you know, those go beyond credible conditions.

2 You can see that the effect is .03.

3 This one is very strange as well. This
4 one is fuel rubble where you have the pellets of the
5 fuel actually floating in two waters. The water is
6 the density of about one, the pellets have a density
7 of ten. It doesn't matter. It's arranged in such a
8 way that they're systematic arrangement to get the
9 maximum. So again something which is not very
10 credible. And effect pretty small.

11 Assembly slips eight inches above or
12 below neutron poison panel. This is a design
13 consideration. I think that there's no reason to
14 allow this and the vendors of these data --
15 basically have about an inch of play.

16 And this is a variation of pitch where
17 you systematically pull the rods apart.

18 Now I'm going to cover this one in the
19 next slide, but you can see that if you started from
20 .95, none of those come over the threshold -- or up
21 to one over the threshold. So even assuming
22 reconfiguration, which doesn't belong to the real
23 world, you don't end up with a critical
24 configuration.

25 And this one is the one where you

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1 systematically increase the pitch. You can see that
2 the reactivity increase and then at one time the
3 only way to keep increasing reactivity is to
4 basically change the dimension of your cask because
5 you're starting separating the rods, and obviously
6 that can happen only until you reach a physical
7 limit. And then at one time here either you have to
8 remove some rods and then your activity goes down,
9 or basically you have to increase the size the cask,
10 which is again not a very realistic approach.

11 So my conclusion is just focusing on
12 those two parts is what have we learned based on NRC
13 work that we use as much as possible because the
14 credibility that goes with that work within the NRC
15 as well as some additional EPRI work, that the
16 criticality risk during transportation are
17 negligible and are the result of two factors. First
18 of all, the intrinsic properties of the spent fuel,
19 it's spent fuel. And second of all on the extrinsic
20 properties of the package, which is a very sturdy
21 package.

22 And I think that the reconfiguration
23 effects has been something which has been blown out
24 of proportion in terms of the impact that it has
25 because even assuming nonphysical reconfiguration,

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1 we do not reach a critical configuration. And as
2 mentioned before, is that when we talk about high
3 burnup if you want to look at how much reactivity
4 you can introduce, that means that your cladding
5 obviously hasn't been irradiated to this level.

6 So from that point of view I think this
7 is what I would like at least to leave for your
8 consideration is that there is some kind of a risk
9 framework, and obviously it would be subjective
10 questions and these type of things which indicates
11 that we have achieved extremely low risk at the
12 present time. Very low. And if risk is our main
13 perspective, there are ways to improve it by
14 essentially trying to reduce the number of
15 shipments. And that would reduce at the same time,
16 not only lower risk but reduce all the factors that
17 we indicated like economy, and this type of thing,
18 ALARA and so on.

19 Thank you for your attention.

20 CHAIR RYAN: Thank you.

21 MEMBER WEINER: Bill?

22 MEMBER HINZE: Do your calculations
23 assume that there's full saturation of the
24 containment?

25 DR. MACHIELS: Yes.

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1 MEMBER HINZE: Have there been any
2 calculations for only partial, and it is a linear
3 function or how would that change?

4 DR. MACHIELS: There has been a
5 calculation in the past by the NRC and it showed
6 some different level of saturation in terms of the
7 amount of liquid in the water.

8 We didn't do that. We did -- we rely on
9 the really obvious cases.

10 MEMBER HINZE: Is it strictly a linear
11 function or is there a critical level of water?

12 DR. MACHIELS: I think there's a
13 critical level of water, right? Earl would no.

14 MEMBER WEINER: Earl, say who you are,
15 please.

16 MR. EASTON: Earl Easton.

17 We looked at this in the past and
18 typical spent fuel is not as burned up on the ends,
19 so you could conceivably get an amount of water on
20 the bottom or top by uprighting a cask and have a
21 critical slab. So you don't need the total volume
22 of water. And I don't know, I think there was a
23 foot or two of water. You might be able to get a
24 critical slab.

25 Now, you haven't analyzed the effects or

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1 the consequence of what that might do.

2 MEMBER HINZE: Do you have any estimate
3 of whether this would be a linear function. Have
4 you estimated that? You're talking about -- about a
5 ten percent filling of the container.

6 MR. RAHIMI: Meraj Rahimi, NRC.

7 Normally as part of the certification
8 the applicant does the k-effective calculation as a
9 function of the water density, first of all, in
10 terms of saturation. And most of the design it
11 shows at the full density. That's when you get your
12 maximum k-effective.

13 With respect to the water height, there
14 is for the purpose of the burnup credit calculation
15 that has been done, but normally you would get a
16 critical condition if you don't have any of the
17 hardware. You've got one foot bottom under burn.
18 But normally with the hardware in there if you look
19 at the realistic condition, the system -- I mean two
20 ends are kind of coupled in between the burn
21 section. So it is subcritical under realistic
22 condition.

23 MEMBER HINZE: Thank you.

24 DR. MACHIELS: And that's what we
25 emphasize in our -- is the realistic conditions.

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1 Except that we didn't take credit for all the
2 fission products. We only took credit for those
3 fission products that we needed to receive the
4 biggest benefit.

5 MEMBER HINZE: Let me ask a stupid
6 question. If the water can get in, why doesn't the
7 heat drive the water out?

8 DR. MACHIELS: Well, obviously, you
9 would have a vaporization of part of the water in
10 that heat and it would come out, obviously. This is
11 what I think that Wayne was talking about if you had
12 a criticality accident, you might have a cyclic
13 behavior of --

14 MEMBER HINZE: Oh, that's where the
15 cyclic-- okay.

16 MR. HODGES: You have to have a continual
17 source of water whether it's a river or some other
18 source. You've got to have a continual source of
19 water, but it will blow it out.

20 MEMBER HINZE: But under a slug function
21 of water, that would not happen.

22 MR. HODGES: No, if you just get one
23 thing it's going to blow it out and that's it.

24 MEMBER HINZE: Okay.

25 DR. MACHIELS: But even with

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1 criticality, you would have that cyclic behavior.

2 MEMBER HINZE: Right. Yes. Thank you.

3 MEMBER WEINER: Allen?

4 VICE CHAIR CROFF: I'll wait. Thanks.

5 MEMBER WEINER: Since we are a little
6 bit ahead of time, if our next speaker doesn't mind,
7 we'd like to have Brant Carlsen present now, and
8 then we can take a break for the round table
9 discussion. Is that okay with you, Brant?

10 MR. CARLSEN: Okay.

11 MEMBER WEINER: Brant Carlson from Idaho
12 National Laboratories is our last speaker in this
13 session.

14 MR. CARLSEN: I'm Brant Carlsen. I work
15 for Battelle Alliance as a contractor to the
16 Department of Energy at the Idaho National
17 Laboratory., And I work in a group that supports
18 the national spent nuclear fuel program, which is
19 actually part of the Department of Energy's Office
20 Environmental Management. And they're tasked
21 specifically with identifying the strategies and
22 technologies needed to ensure safe storage and
23 disposition of the large variety of fuels that are
24 the purview of the DOE.

25 Phil Wheatley is here. He manages our

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1 group. And Phil may be participating with me during
2 the question and answer period.

3 I'd also like to acknowledge Dick Blaney
4 back here sitting next to Phil. He's our
5 representative from the Department of Energy.

6 We appreciate the opportunity to be here
7 today and present our position. I'd like especially
8 to thank the Commission for bringing this issue to
9 the attention of the Committee, and thank the
10 Committee for giving us an opportunity to present
11 our position and participate in this forum today.

12 And lastly, I think it would be
13 appropriate for me to recognize the NRC staff. They
14 have been very patient in accommodating with us as
15 we've worked towards trying to identify an effective
16 regulatory path to accommodate our fuels. We've had
17 three meetings thus far. I think we've made great
18 progress in understanding each others issues and
19 concerns. But we've still got work to do and we're
20 working towards a consensus on this issue.

21 The objective of our presentation today
22 is to demonstrate the robustness of our standardized
23 canister. We really want to focus on our package and
24 the confidence we have in that in assuring that the
25 moderator will not intrude into the package. So we

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1 will basically spend a fair amount of the time
2 summarizing the analysis and testing that have been
3 done to demonstrate the performance of our package.

4 Our presentation will start by giving
5 kind of a broad overview of the safety strategy the
6 Department of Energy intends to apply for management
7 and disposition of its fuels.

8 And we'll talk about package design and
9 testing. Specifically we'll show an overview of our
10 proposed transportation package and summarize the
11 testing that's been done to demonstrate its
12 performance objectives on that.

13 We'll talk about compatibility with
14 current regulations. And we will suggest an
15 alternative interpretation of the current regulation
16 that we believe, if accepted, would allow us to
17 credit the leaktightness of our package under the
18 framework of the existing regulations.

19 And finally, we'll end up with a brief
20 summary and recommendation.

21 I should point out that I also have some
22 backup slides. as did the others, in my
23 presentation. And I will try to refer to those as
24 appropriate as we go through the presentation.

25 And by kind of an overall context of our

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1 spent fuel management issues, I wanted to show the
2 disposition path.

3 Now as we retire aging storage
4 facilities and as we prepare our fuels for disposal,
5 we plan on repackaging them into a standardized
6 canister. As we repackage those into a standardized
7 canister, for each canister those contents will be
8 dried, the package will be alerted, it will be
9 sealed on leak check before being placed into a dry
10 storage facility.

11 Now, when it's removed from the dry
12 storage facility the cask loading operation will be
13 a dry loading operation. It'll be transported to the
14 repository where again they'll be unloaded using a
15 dry unloading operation. And I think it's important
16 to point out that once that fuel is sealed, dried
17 and ordered a leak check and packaged away in that
18 canister, we have no intention of reopening that
19 canister. And we also have no intention of ever
20 submerging that canister. All of the steps in the
21 life cycle of that canister thereafter are done
22 using dry operational processes.

23 Now, if this is were -- I'd have a
24 little arrow right here that says "You are here."
25 We're standing on the front end of this planning

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1 scenario. We're trying to come up with the right
2 package for intramanagement or for management of our
3 fuels. We want to do it right the first time in the
4 sense that we want to be able to look down the road
5 and understand the requirements that will be placed
6 on this package from each of the subsequent phases
7 of the life cycle. Because as I mentioned, we plan
8 on sealing that package. We don't want to have to
9 reopen it. And so we want to make sure we've look
10 down the road and to begin with the end in mind and
11 make sure it will meet all of the subsequent needs.

12 We have succeeded in licensing a dry
13 storage facility based on our canister design. We've
14 included the leaktightness and the robustness of the
15 canister in the safety analysis that's included in
16 the design and licensing to support the repository
17 design and licensing. And what we're seeking today
18 basically is an understanding or some assurance that
19 our package here in this canister will be acceptable
20 for transportation.

21 Specifically what we're asking is that
22 the DOE standardized canister be recognized and
23 credited as a leak type boundary during
24 transportation. In short, we've got a moderator
25 exclusion. We recognize that has not been granted in

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1 the past, but we want to point out that we are
2 proposing a different transportation package, which
3 I'll show here shortly, and also that the issues
4 associated with transportation of our fuels are
5 significantly differently than for commercial fuels.

6 First off, we have a large variety of
7 spent fuel. Our fuels come from reactors over the
8 past 50 years that span a large time period;
9 research reactors, test reactors, production
10 reactors and we've been very creative over the
11 years. And the result is we have a broad
12 distribution of different characteristics of those
13 fuels. We have a broad range of burnups, different
14 cladding types, different fuel types, different
15 geometries. And I've summarized kind of the
16 distribution of those characteristics in backup
17 slide number 17 and 18, and I won't go much further
18 here. But suffice it to say it's a different animal
19 than what has been dealt with traditional or
20 commercial fuel.

21 CHAIR RYAN: Is there a wide range of
22 enrichments, too?

23 MR. CARLSEN: Yes. Our enrichments run
24 from LAU up to 93 plus percent.

25 CHAIR RYAN: Right.

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1 MR. CARLSEN: So we cover the whole
2 spectrum there as well.

3 Now, if we need to rely upon geometry
4 control for criticality, we expect that we would
5 have to undertake a characterization effort to
6 obtain a fuel specific mechanical properties needed
7 for that analysis. That would be a very challenging
8 undertaking, and in some cases it's questionable
9 what the likelihood of success would be.

10 I also want to point out that the
11 handling practices have altered some fuel geometry.
12 An example there is many of our fuels have been
13 cropped in that we have removed the end fittings,
14 we've cut off the nonstructural material to reach
15 into the fuel assemblies. The purpose for that was
16 to conserve storage space, but also to minimize the
17 nonfuel material which was destined for the
18 dissolvers.

19 Similarly, our historical records, like
20 our handling practices, were based on the intended
21 disposition of our fuel. And up through the late
22 1980s that intended disposition was simply to drop a
23 bucket of fuel in the dissolver. And under that
24 scenario maintaining detailed fuel specific
25 information -- to structural integrity of the fuel

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1 geometry simply wasn't a primary concern. Now as our
2 disposition pack has changed, our fuel handling
3 practices and our record keeping practices have also
4 evolved.

5 Several years ago when we realized that
6 we would be disposing of this fuel in an NRC
7 regulated repository we undertook a significant
8 effort to try to gather up the available data,
9 preserve that to help us with our licensing and
10 safety analyses. And we've had a considerable
11 amount of success. And we have gathered a lot of
12 data for these fuels. But that fuel comes from a
13 variety of sources. These sources include
14 everything from textbooks and reactor handbooks to
15 safety analyses and technical reports. And this
16 data is very useful for scoping studies and for
17 doing defense-in-depth type calculations. But
18 because of the non traditional sources, we believe
19 that if we rely upon this data as our primary safety
20 basis, that we are concerned that much of it will
21 not lead to current QA requirements.

22 So based on these conditions we've
23 developed a safety strategy. Specifically as to
24 base on our safety or minimize our reliance on fuel
25 specific data for our safety case. We've

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1 successfully used three different technique for our
2 repository analyses. The first is by using bounding
3 analyses, selecting very conservative parameters as
4 inputs to the analyses we're able to bound the range
5 of uncertainties such that all the uncertainties are
6 within the analyzed envelope.

7 We've also groups fuels. In grouping
8 fuels we consolidate analyses for a number of
9 individual fuels into one analyses that's
10 represented by a bounding or representative fuel
11 from each group. Grouped fuels then for each
12 analyses based on the fuel performance
13 characteristics or properties that are important for
14 that analyses.

15 And when we looked at transportation
16 from that perspective to see what grouping might be
17 effected there, it became very apparent that the
18 performance characteristics that are important for
19 transportation are radiological shielding,
20 radiological confinement and criticality safety.

21 Now the shielding function is performed
22 entirely by the transportation cask. We're not
23 seeking any credit for the shielding provided by the
24 canister.

25 But when we look at radiological

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1 confinement and criticality safety, we find that the
2 leaktight barrier provided by our canister does
3 prevent leakage of radiological materials coming
4 out, and also as pointed out earlier, that prevents
5 the leakage of moderated coming in.

6 So we've concluded that the primary
7 performance characteristics for transportation are
8 the transportation cask and a leaktight canister
9 that provides our second redundant boundary within
10 the cask. So we'd like basically to shift the basis
11 from reliance on fuel specific performance
12 characteristics to a reliance on engineered
13 barriers. In our case two engineered barriers, that
14 of the canister and of the cask.

15 We don't believe we're giving up any
16 safety in making this switch. In fact, we believe
17 it a more technically sound strategy. And this is
18 basically because the defense-in-depth that we
19 formally provided by the nonmechanistic assumption
20 of moderated intrusion into the cask cavity is
21 basically replaced by the protection provided by
22 having a secondary leaktight boundary within the
23 cask.

24 So with that in mind our transportation
25 package looks like this. Now I'll go over the

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1 details of the canister here in the next couple of
2 slides. But we basically place our fuels in a
3 canister that's fully seal weld but it's leaktight.
4 The canister's been drop tested to the hypothetical
5 accident conditions prescribed in 10 CFR 71.73 even
6 without the protection of the cask.

7 We take that sealed canister and we
8 slide it into a cask, we seal the cask up and now
9 it's behind another barrier which has also been
10 tested about the Part 71 criteria. And what we have
11 is a new and different package that I don't believe
12 has been analyzed for transportation in the past.
13 We have two leaktight barriers, each of which is
14 tested to the 10 CFR 71 criteria. And this package,
15 we believe, clearly provided a basis for making a
16 distinction for moderator intrusions past the first
17 barrier into the cask cavity and moderator intrusion
18 past the second barrier, which would be also into
19 the cavity of the internal canister.

20 To give you a little bit of a feel for
21 what the canister looks like, what you're looking at
22 here is a cross section of an infitting from a
23 canister. This is the top end section so you can
24 see the protective features. It's fabricated
25 entirely from 316 L stainless steel. This is the

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1 fresher boundary and the wall thickness here. It is
2 three-eighths inch. And we have a protective skirt on
3 each end, which is basically a build in impact
4 absorber that's also three-eighth inch stainless
5 steel.

6 We have on each end of the canister a
7 two inch thick impact plate to protect the heads of
8 the canister from the penetration loads that may
9 occur from the contents of the canister within.

10 We've done extensive testing and
11 analyses to confirm the canister will perform its
12 function. I could talk for a day on the analyses
13 that's been done. And what I've done is I've
14 included in back slides number 19 and 20 a list of
15 the references, the detailed testing that's been
16 done. And we can provide those references and
17 discuss those separately if interested.

18 To summarize very quickly, we've
19 developed an analytical modeling capability to
20 predict the material response. We've done material
21 testing to confirm the behavior of modeling of the
22 materials. Specifically we've identified critical
23 flaw size mainly to ensure there are no preexisting
24 flaw in the inside material fabrication error or a
25 material or fabrication error would be significantly

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1 larger than detectable limits. So we don't have a
2 situation where critical flaw can cause an untended
3 barrier.

4 And we're looking at strain-rate and
5 temperature effects to ensure that the material
6 properties that we include in our models properly
7 account for temperatures and strain-rates over the
8 range of interest during our accident.

9 And lastly, and probably most
10 significantly, we've done full scale drop testing to
11 confirm canister performance.

12 We took nine full scale canister and
13 drop tested them to the 10 CFR 71.73 hypothetical
14 accident conditions. And hopefully I can get these
15 video clips to work. But each of the 15 foot
16 canisters in order to maximize the damage, we loaded
17 it to the full 6,000 pound design capacity. We
18 dropped it at various angles from 30 feet to find
19 the maximum damage.

20 We also did the puncture drop test,
21 which again is a fully loaded canister dropped 40
22 inches onto a six inch post.

23 And hopefully these video clips will
24 run.

25 I sent this during the break and

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1 apparently we didn't save the new presentation
2 before we saved it again. So rather than spending
3 five minutes resetting it up, I'm just going to let
4 you look at this in the small video clip here.

5 And what you see here is it's dropped 30
6 feet from 45 degrees. You see the impact absorber.
7 The skirt on each end takes a considerable amount of
8 the impact, absorbs energy and it does protect the
9 pressure boundary from taking that energy. Where it
10 impacts on one end, it bounces, forms the skirt on
11 both ends and then it settles down.

12 We were quite pleased with this. There
13 was very minimal deformation of the pressure
14 boundary. And the impact absorbing skirt served
15 their function.

16 As I mentioned, we also did the puncture
17 drop where the full impact of the drop was taken on
18 the pressure boundary itself. And to maximize the
19 damage there, what we did we took a fully loaded
20 canister, we dropped so we impacted right on the
21 center of gravity so both ends went down on it. And
22 we also removed the sleeve inside the canister and
23 we removed the weights from within the canister in
24 the actual impact design so there could be no
25 possibility of any stiffening effect from the

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1 contents within the canister.

2 I'll show you the video clip here. I'll
3 show it in slow motion, a little more impact. It
4 takes the initial impact, rolls over, bounces off
5 the post here.

6 And you can also see right here the seam
7 that we fabricated the canister with. We dropped it
8 so it impacted right on the seam. So we did
9 everything we could to make sure we maximized the
10 damage and made these tests as severe as we could.

11 Both of these canisters, as well as the
12 other seven that we tested, all proved to be
13 leaktight following the tests. And we felt that
14 that drop test was very successful at demonstrating
15 the performance of our canisters.

16 In addition to demonstrating the
17 canister performance we did something else that is
18 very valuable to our program. We also confirmed the
19 ability of our analytical models to predict canister
20 deformation. What you're looking at here is the end
21 skirt from the 30 foot drop you just witnessed
22 compared to our predrop prediction. And you'll see
23 excellent fidelity. I've also included in the
24 backup slides a similar slide for the puncture drop.

25 Now with this analytical capability that

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1 gives us the ability to investigate other scenarios
2 and also to investigate margin to failure based on
3 the predicted strains. We haven't done that for a
4 transportation scenario. We modeled the
5 hypothetical cask loaded with nine canisters. We've
6 put that cask through a very severe incident. And
7 what we found was based on the predicted strains.
8 We still had a two to one safety factor or margin of
9 safety based on the strains even at maximum
10 temperature and a four to one margin of safety for
11 lower temperatures.

12 So we believe that shifting our safety
13 strategy from reliance on offerings of the fuel to
14 reliance on the barrier provided by the canister it
15 not only significantly reduces the complexity of the
16 criticality analysis and the data needed, but also
17 provides us more confidence in the result. It
18 definitely increases the surety of operations
19 because we're relying on engineered features of the
20 design to analyze and tested to ensure that they
21 meet their performance standard. And by
22 standardizing our operation or equipment and
23 procedures we improve both human and equipment
24 reliability. And by simplifying our safety
25 regulatory basis, we are able to basically put

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1 procedures and processes in place that are ore
2 easily understood, implemented and inspected.

3 We also believe that the overall risk is
4 reduced because we eliminate the need for obtaining
5 and justifying these fuel specific mechanical and
6 chemical properties of our diverse fuel types. This
7 would be a significant effort, if needed, and it
8 would have attended costs both in terms of personnel
9 exposure and radiological waste generation, all of
10 which can be avoided if we don't move to gather that
11 data.

12 And lastly, we reduced reliance upon
13 analytical solutions that would inherit the
14 uncertainties associated with that input data, more
15 specifically the data that we would have to derive
16 for.

17 In short, when you look at the entire
18 risk picture we believe that safety is better served
19 by investing in an engineered barrier than by
20 developing or defending the data that would be
21 needed to assure criticality safety under flooded
22 conditions.

23 We're confident that our approach is
24 technically sound. What we're proposing here is
25 consistent with the approach that we've taken under

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1 the risk-informed regulation of Government's
2 repository safety and the preclosure safety analysis
3 that's been done. And we believe it's feasible
4 within the framework of the existing regulations,
5 although it may require reconsideration of the
6 existing interpretation or existing step practice
7 relative to 71.55(b).

8 Now I've included the full text of
9 71.66(b) as well as 71.55(e) and the IAEA standard
10 in the backup slides. I believe you'll find this is
11 a faithful rendition of the requirement. Basically
12 the package must be subcritical with leakage into
13 the containment system in its most reactive credible
14 configuration and with moderation by water to the
15 most reactive credible extent. We would like to be
16 able to base our safety and we propose that we base
17 our compliance with this requirement on three
18 things.

19 First, nonmechanistic leakage into the
20 containment system is assumed in that criticality
21 analyses. Alluded to the fact that the requirement
22 specifies that the containment must be -- leakage
23 must be into the containment system. And we do, in
24 fact, assume nonmechanistic leakage into the cask
25 cavity. However, leakage beyond that is not credible

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1 in our opinion. Our DOE canisters provide a
2 redundant leak type boundary that assure that
3 splinter leakage is not credible. And I've done a
4 very similar calculation of our estimated likelihood
5 of moderator intrusion into the canister, and I've
6 include slide 23 what we believe to be a very
7 conservative estimate. And it concludes that
8 there's a five to one minus 12th likelihood of
9 inadvertent or moderator intrusion into the canister
10 during transportation. We think that's a valid basis
11 for concluding that moderator intrusion to that
12 extent is not credible.

13 Also we've demonstrated subcriticality
14 based on the above conditions. We assume -- got
15 into the cask cavity and dry canisters and under
16 those conditions we've made some bounding
17 assumptions with regard to the degradation of the
18 fuel. We've assumed that the canister internals are
19 fairly degraded and optimally reconfigured and we've
20 demonstrated that our a single canister and that our
21 weighted canisters are subcritical under those
22 conditions.

23 Now, in summary as written 71.55(b)
24 requires that moderation and reconfiguration be
25 considered only to the most reactive credible

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1 extent. Current practice, however, requires a
2 nonmechanistic assumption of intrusion in all spaces
3 within the containment system without regard to
4 their credibility. It also allows analyses, and
5 those analyses presented in 55(b) to be done in some
6 cases using the as loaded condition of the fuel. In
7 other words, current practice allows credit for
8 maintaining configuration but denies credit for
9 relief tightness. Given the unique needs of the DOE
10 fuel, basically are diverse fuels, our low less
11 package and our entrance storage in sealed
12 containers, we believe that reconsideration to this
13 present interpretation is appropriate. Specifically
14 reconsideration of the credibility of both moderator
15 intrusion and also fuel reconfiguration.
16 Specifically by acknowledging the contribution of
17 both factors and considering a trade off from
18 relying on fuel integrity and reducing that reliance
19 and increasing commensurately the reliance on
20 leaktightness on the engineered barrier, we believe
21 that we can assure equivalent or improved safety
22 performance on the other objectives.

23 And we believe this interpretation is
24 plausible several reasons. First of all, it's
25 difficult to reconcile the terminology here,

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1 moderation to the most reactive credible extent with
2 the nonmechanistic assumption of fully -- to all
3 void spaces.

4 Secondly, the language in 55(b) is very
5 similar to the language in 55(e) which I'll show in
6 just a moment. In 55(e) credit for moderator
7 exclusion is allowed under certain conditions based
8 on a leaktight boundary.

9 And lastly, we believe it's a plausible
10 interpretation because the underlying assumptions --
11 or it appears at least that the underlying
12 assumptions behind the current interpretation of
13 71.55(b) is based on the presumption of a wet
14 loading process using a traditional transportation
15 package. Neither of those apply to our case. We
16 have a nontraditional package with these two
17 independently leak type barriers, and also as
18 pointed out we don't intend to submerge the cask for
19 either the loading or the unloading process. The
20 canister will remain dry through all the phases of
21 its life cycle after it's loaded and confirmed to be
22 dry.

23 So with that in mind we look at 10 CFR
24 71.55(e). The language of this requirement is very
25 consistent with the language in 71.55(b) with the

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1 exception of this introductory clause "following the
2 test prescribed by 10 CFR 71.73 and consistent with
3 its damaged condition," and from thereon it goes on
4 to assure that it must be subcritical assuming most
5 reactive credible configuration under most reactive
6 extent of moderator inclusion. However, if we
7 recall the basis for our compliance, at least the
8 compliance that we would like to use for complying
9 the 71.55(b), we assumed leakage into the cask
10 cavity, we demonstrated that leakage into the
11 canister was not credible and we used bounding
12 assumptions for the configuration of the canister
13 internals. Under those conditions the analyses that
14 we have proposed to provide for demonstrating
15 compliance with 55(b) would also demonstrate
16 compliance with 71.55(e).

17 I am tongue-tied on all these numbers
18 here.

19 ISG-19 has been mentioned in a couple of
20 the presentations. And I just wanted to point out
21 that the NRC Staff in this ISG has indicated that
22 for demonstrated compliance with at least 71.55(e)
23 it may be appropriate to credit a leaktight boundary
24 for preventing leakage into a package when there is
25 limited availability of data regarding the

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1 structural integrity of the fuel.

2 Now the scope of ISG-19 as it stands now
3 it applies specifically to commercial fuel. But I
4 point that out because we basically have an
5 analogous situation. We have limited data
6 availability, but our data disparity is
7 significantly larger than it is for commercial fuels
8 due to the number of our fuel types and the records
9 that we have maintained.

10 So we're proposing a similar solution
11 based on a similar need. And we would like to
12 extend the solution to 55(b) as well based on the
13 robustness and the demonstrated leaktightness of our
14 canister.

15 Now to summarize, I'd like to point that
16 criticality safety is a multiple variable problem.
17 It's been pointed out on several occasions that it
18 can be managed with burnup credit, with poison,
19 there are several ways to crack the nut to solve the
20 problem.

21 We would also like to point out that the
22 nonmechanistic assumption of moderator intrusion is
23 a simplification of the issue, it is conservative
24 and it removes one of the variables, but it also may
25 needlessly have costs in the sense that it limits

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1 available solutions to present and future needs.

2 By reconsidering the present limitations
3 due to our current interpretation on moderate
4 exclusion -- relatively moderator exclusion we think
5 there are some benefits that can be obtained.

6 First is we can reduce the fuel specific
7 data needs and thereby considerably simplify the
8 compliance basis for a transportation package. And
9 also it will allow us to focus on energy and
10 resources on assuring safety with an engineered
11 barrier rather than by demonstrating safety be a
12 characterization analysis of our fuel types.

13 We do recognize that anything that
14 impacts criticality safety particularly in the
15 transportation arena is a very important issue that
16 has potentially significant implications for safety
17 security and policy. But we're confident that our
18 canister will assure safety.

19 So to summarize, our DOE standardized
20 canister insures leakage into the fuel cavity if the
21 package is not credible. And we believe moderator
22 exclusion should be considered as a regulatory
23 option. And we go one further on that, we believe
24 that it can be considered as a regulatory option
25 within the current regulatory framework, although it

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1 will require us to rethink some of the existing
2 practices.

3 Moderator exclusion has traditionally
4 been viewed as an exception rather than an option.
5 In our judgment the public interests are better
6 served by applying our resources to developing an
7 engineered barrier that assures safety independent
8 of detailed fuel specific properties rather than on
9 characterization and analyses needed to demonstrated
10 safety under flooded conditions. And we've
11 developed a transportation package to meet this need
12 and we've offered an alternative interpretation of
13 the current regulations that would allow us to
14 proceed with our request.

15 Now in conclusion, I'd like to dig kind
16 of deep into the history of the regulation. Last
17 month when the Staff presented the background of the
18 root of the regulation, Nancy pointed out that the
19 roots of the current regulations go back to 1966. I
20 went back into the *Federal Register* and found the
21 notice of the proposed rulemaking from December of
22 1965 and also the subsequent statements of
23 consideration associated with that. And there's
24 some interest in their quote there I'd like to read.

25 It says: "The proposed revision of Part

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1 71 to a large extent suggested that:

2 (1) The regulation should emphasize
3 performance standards insofar as possible rather
4 than detailed design specifications for shipping
5 containers and shipping procedures, and;

6 (2) The method of shipment to satisfy
7 those performance standards should be left to the
8 ingenuity of the shippers."

9 And this is precisely what we're
10 requesting. We recognize that our request does
11 represent a departure from past practice. We would
12 like to point out that we have a different package
13 that has been evaluated in past practice, and we
14 have different needs.

15 The current practice would provide no
16 credit for the additional barrier provided by our
17 proposed package, and if retained could result in a
18 new consistent standard of performance. It may also
19 have the effect of disincentivizing new solutions
20 that may provide added safety, current and/or future
21 needs.

22 We believe we've proposed a technical
23 sound solution that meets the unique needs and
24 objectives associated with management of DOE spent
25 fuel. And we're requesting that it be evaluated on

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1 its own merits.

2 That concludes the presentation I have
3 with the exception that there were four topics that
4 the ACNW asked us to address. I believe two of them
5 are addressed at least briefly; our estimate of
6 likelihood of moderator intrusion into the canister
7 and our view on the compatibility of the existing
8 regulations. And number two had to do with the
9 leakage between moderator exclusion and burnup
10 credit, which has been talked to by other
11 presenters. And the last one is our own experience.
12 And I am prepared to at least talk to those briefly
13 if the Committee requests.

14 MEMBER WEINER: We'll save the further
15 discussion for the round table.

16 We have a little bit of time if
17 somebody, anyone has specific questions. And then
18 we'll take a break.

19 MEMBER CLARKE: I just have kind of a
20 basic clarifying question. It seems that there are
21 two parts to this. You're referring to a DOE
22 standardized canister and you've shown us the
23 results of drop testing of that canister.

24 You also said you have a wide variety of
25 spent nuclear fuel. So is it fair to assume that

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1 canister will accommodate that wide variety? We're
2 just talking about one standard canister, is that
3 correct?

4 MR. CARLSEN: Yes. We've developed a
5 standardized canister. Now there's a couple of
6 different flavors of that canister. It comes in a
7 ten foot length and a 15 foot length.

8 MEMBER CLARKE: Yes.

9 MR. CARLSEN: And there are two
10 different diameters.

11 MEMBER CLARKE: Understand.

12 And then the other piece is the
13 redundant transportation package, the way you're
14 using those canisters in a transportation cask.

15 MR. CARLSEN: We've drop tested those
16 canisters to the criteria of 73 without placing them
17 in a cask. But that was in a cask itself, which was
18 also tested.

19 MEMBER CLARKE: Understand. Understand.
20 Thank you.

21 MEMBER WEINER: Is there --

22 CHAIR RYAN: Just one quick one. I'm on
23 your slide 5. You talked about bounding analysis,
24 grouping fuels and two of your strategies.

25 MR. CARLSEN: There?

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1 CHAIR RYAN: Yes, that's it.

2 MR. CARLSEN: Okay.

3 CHAIR RYAN: There's a lot of ground
4 covered in those first two sub-bullets.

5 MR. CARLSEN: Yes.

6 CHAIR RYAN: Okay.

7 MR. CARLSEN: And I can talk to those
8 specifically. Most of that work has been done to
9 support repository analyses, but it's been
10 successful and we would like to apply some of those
11 principles to our transportation safety analyses.

12 CHAIR RYAN: Well, if you're in the --
13 you know, less than three up to 90 something percent
14 enriched, you've got a really wide range of
15 materials you're dealing with. And I can imagine,
16 just tell me if I'm right or wrong, that some
17 shipments you'll have a wide variety of total
18 amounts of fuel by whatever measure you want,
19 kilograms or uranium-235 based on its configuration
20 enrichment and all that.

21 MR. CARLSEN: Let me give you an example
22 of how we would apply that to transportation as far
23 as bounding analyses. We have done our
24 transportation criticality analyses based on our
25 most reactive fuel, our highest fissile load. And

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1 we've assumed no credit, we've basically allowed the
2 fuel to levelize. So we've allowed it to fully
3 degrade and we've optimally reconfigured it. Now we
4 have credited moderator exclusion. So under those
5 situations we can go to a full bounding criticality
6 analyses and demonstrate criticality safety. So the
7 criticality safety becomes almost entirely
8 independent of the configuration and condition of
9 the canister contents. It becomes dependent upon
10 the fissile loading and maintaining the
11 leaktightness.

12 CHAIR RYAN: So you did a more realistic
13 loading instead of a bounding analyses. You might
14 actually come up with less shipments than you're
15 planning now.

16 MR. CARLSEN: Well, our loading
17 configuration we don't intend to load up to the
18 maximum fissile loading basically. We have a
19 loading configuration that's restrained by our
20 canisters. I didn't go into the canister, but our
21 canister that we proposed for our moderator
22 exclusion exception has ten fuel positions. And we
23 load based -- we can stack two or three of those
24 canisters in a cask. So we have a limited number of
25 fuel assemblies.

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1 Now when we compare the fissile loading
2 of the configuration based on that limitation on the
3 number of fuel assemblies, we're significantly less
4 -- the fissile loading is significantly less than
5 the bounding loading we've analyzed. So our intent
6 is not to load up to that. It's basically just to
7 show that the loading in its as-loaded configuration
8 comes in under the analyzed scenario.

9 CHAIR RYAN: Okay. Thanks.

10 MEMBER WEINER: Allen? Bill?

11 I only have one brief one. Did I
12 understand you to just say that really in your case
13 it wouldn't make any difference in the number of
14 shipments that you're planning whether you have
15 moderator exclusion or not?

16 MR. CARLSEN: No. No. What I was saying
17 was is we would not be seeking to reduce the number
18 of shipments by maximizing the fissile content per
19 load.

20 MEMBER WEINER: Thank you for that
21 clarification.

22 We can take a break now until 10 after
23 the hour, and then come back to the round table
24 discussion.

25 CHAIR RYAN: And again, I'd like to stay

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1 on schedule for 3:10 and then we can finish up at
2 about 4:10 or so. And that'll give us time to get
3 reorganized for our last effort for the day.

4 Thank you all. That was great. Terrific.

5 (Whereupon, at 2:54 p.m. a recess until
6 3:09 p.m.)

7 CHAIR RYAN: I realize we have some
8 participants on the conference call. Could you
9 please identify yourselves so we could include that
10 in our record?

11 MR. HILL: This is Tom Hill with the
12 Idaho National Laboratory

13 CHAIR RYAN: Thank you.

14 DR. WEINER: Anyone else on the speaker?
15 Okay. Well, welcome. And to reconvene, Gordon
16 Bjorkman has a brief statement with emphasis on
17 brief, because we'd like to give everybody a chance
18 to ask all the questions they have.

19 MR. BJORKMAN: Okay. One of the things
20 that was missing --

21 DR. WEINER: Please use the microphone.
22 Does he have a microphone?

23 CHAIR RYAN: It's right in front of him.

24 DR. WEINER: Oh, there it is.

25 MR. BJORKMAN: One of the things that

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1 was not discussed in our last presentation, although
2 we mentioned ISG-19, was basically the philosophy
3 behind ISG-19. And the philosophy behind ISG-19 is
4 not even written into. You sort of have to garner
5 it from reading.

6 ISG-19 was written about 2003, that's
7 four years ago. And ISG-19 deals with moderator
8 exclusion under accident conditions. It is for
9 commercial spent nuclear fuel. If we go and look at
10 the essence of the regulation, that is 71.55(b) and
11 (e), which is what we've been concerning ourselves
12 with mostly today, basically it says, "Demonstrate
13 no criticality for as-loaded fuel in water", that's
14 71.55(b), "and for reconfigured fuel in water",
15 that's 71.55(e), that's the accident. "If the fuel
16 does not reconfigure then you have the as-loaded
17 condition, you've satisfied the criticality
18 condition through (b).

19 EPRI and others have talked today about
20 the extremely low probability of water getting into
21 the cask, or beyond the containment bound. That is
22 absolutely true. These are extremely low
23 probabilities; however, the regulation does not
24 begin with the low probabilities, it begins with
25 water already in the cask. And this is where the

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1 staff begins its evaluation, with water in the
2 containment boundary.

3 What does ISG-19 attempt to do? It's a
4 risk-informed balance between two things, and those
5 two things are the increase in the probability of
6 criticality due to fuel reconfiguration in the
7 presence of water versus, on the other hand, the
8 added assurance for the structural integrity of the
9 containment boundary to exclude water under accident
10 conditions, so we have this balance. What is the
11 increase in the probability of criticality, versus
12 what is the added assurance on the other side that
13 the containment boundary's structural integrity will
14 be maintained?

15 For spent nuclear fuel, we know the
16 geometry quite well. We can discuss its
17 reconfiguration reasonably well, and the staff has,
18 over the years since 2003, begun to understand its
19 reconfiguration characteristics much better. EPRI
20 explained some of those reconfiguration studies that
21 they have done, as well. So the probable increase
22 in criticality due to reconfiguration now gets
23 smaller and smaller, so the added assurance would be
24 less and less that we would require.

25 The added assurance in ISG-19 right now

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1 is to do some additional testing, but that's only
2 guidance. We have applications in-house in which
3 the added assurance comes from a double lid
4 reconfiguration, Highstar 180. That would be
5 balanced against the increased probability of
6 criticality, versus the added assurance of no water
7 getting into the containment.

8 We have before us, also, the Idaho
9 National Lab, or will shortly, the Idaho National
10 Lab White Paper. Now we're beyond commercial spent
11 nuclear fuel 5 percent enriched. Now we're up into
12 the potential for 90 percent enrichment. Now the
13 probability of criticality becomes greater, so on
14 one side the probability of criticality becomes
15 greater. What is the added assurance that we can
16 maintain the integrity of the water boundary, or the
17 containment boundary?

18 Idaho has presented us with basically
19 two independent containment boundaries, both tested
20 to the conditions of 71/73 hypothetical accident
21 conditions. Now what we have to do is weigh that
22 additional assurance against the increased potential
23 for criticality.

24 In this process of what is the increased
25 probability of criticality come other factors, which

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1 have not been factored in, or were not factored into
2 the original ISG, which was four years ago. We've
3 got additional studies. We've got high burn-up,
4 burn-up credit, we've got reconfiguration studies
5 that also lower the increase in the probability of
6 criticality; and, therefore, would say now you need
7 less added assurance. But what is that balance?
8 Well, that balance is a risk-informed balance, and
9 this is really what this whole thing comes down to,
10 I think, is this weighing the two. And I don't know
11 how we actually do that, whether it's subjective, or
12 quantitative. Ultimately, it's going to be a
13 combination of both, I think.

14 Okay. So I just wanted to clarify what
15 the philosophy of ISG-19 was, and the fact that that
16 same philosophy can also move forward beyond
17 commercial spent nuclear fuel, as well.

18 DR. WEINER: You want to start with --

19 CHAIR RYAN: No, let's get the panel
20 together.

21 DR. WEINER: Everybody up together?

22 CHAIR RYAN: Yes.

23 DR. WEINER: Thank you. I'm going to
24 start with questions from the Committee, if I could.
25 Bill, you had some very basic concerns, as I recall.

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1 DR. HINZE: Well, I think this last
2 presentation was very helpful to me, extremely
3 helpful in terms of what some of the technical
4 issues are, and how they interface with really the
5 regulatory issues. I did raise the question about
6 the leak-proofness of the container, and I'd like to
7 ask Mr. Carlson a couple of questions that might
8 help me, at least. I'm just wondering if in your
9 modeling of the damage of the canisters, if you saw
10 that the weakest point was in the welds of the lids?
11 Is there anything in your analysis that would focus
12 us in on the welds?

13 MR. CARLSON: The welds are all full
14 penetration structural welds that are done per ASME
15 code, so we don't expect there to be any weakness,
16 or issue associated with the welds. You did note
17 during the drop testing when you saw that to the
18 extent we could during our drop tests, we tried to
19 drop them such that the welds were impacted, so we
20 did get some of the most severe testing. Now in our
21 modeling analyses, what we have done is, in one of
22 the references that I showed in the backup slides,
23 we have an engineering design file where our
24 structural analysts went through a derivation of
25 what they felt was an acceptable failure criteria

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1 based on strain. That's not out of the code. What
2 they did is they looked at the maximum strains that
3 we saw in our test canisters, and from that, and
4 based on some code-based limitations, they derived a
5 failure criteria, which was significantly less than
6 the strains that we saw in our canisters, or the
7 deformations. And that's what we used when I
8 mentioned that our modeling showed that we had a
9 safety margin of 2-1, or 4-1 relative to our failure
10 criteria. It was the criteria we derived in that
11 engineering design file.

12 DR. HINZE: There are a number of these
13 canisters that will be used. How do you achieve
14 zero defects in the welds?

15 MR. CARLSON: I don't think you ever
16 achieve, or at least you ever want to claim to
17 achieve zero defect in anything.

18 DR. HINZE: How do you evaluate the
19 number of failures then?

20 MR. CARLSON: A couple of things I can
21 add there. I mentioned the critical flaw size
22 testing. We did evaluate what we -- did some
23 testing and analyses, or analyses supported by
24 testing, to identify what the size would be of a
25 pre-existing flaw that could cause failure under the

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1 test conditions. That flaw size turned out to be
2 substantially larger than detectible limits, and we
3 also have, I believe it was Everett that alluded to
4 interim staff guidance, ISG-18, which provides
5 guidance from the staff, on welding stainless steel
6 canisters. And that guidance, if I'm not mistaken,
7 it states that if they're welded and inspected per
8 ISG-15, for all intents and purposes, no significant
9 flaws would remain. So I guess it's a two-pronged
10 approach.

11 We've tested flaws that are
12 significantly larger than what we can detect, in
13 fact, and seeing that the canister will withstand
14 deformations well beyond what we saw in our drop
15 tests, even with that pre-existing deformation. And
16 we would also fall back on the ISG guidance that
17 shows that if you weld it, and test it, and inspect
18 it to certain specifications, flaws that would cause
19 failure are not expected.

20 MR. WHEATLEY: This is Phillip Wheatley
21 from the Idaho National Laboratory. Let me add to
22 that - we also have an inspection system that goes
23 along with the welding. We've developed the
24 inspection system to be real time, ultrasonic
25 testing. It does a pass by pass ultrasonic

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1 examination of the weld, so we can spot defects as
2 we do them in each pass, if they should be there.
3 And we have grinding tools and technology to take
4 them out, re-weld without providing too much heat
5 to the area, and so we have a high confidence that
6 we can detect the defects in the welding as we go.

7 DR. HINZE: A further question, if I
8 might. You showed the angle of the drop variable.
9 Did you ever drop with the pin on the end of the
10 canister?

11 MR. CARLSON: Yes.

12 DR. HINZE: And what was the result?

13 MR. CARLSON: That's an interesting
14 drop.

15 DR. HINZE: Yes, right. You have to hit
16 the pin. Right.

17 MR. CARLSON: No.

18 DR. HINZE: No?

19 MR. CARLSON: The puncture drop. Okay.
20 The puncture drop, we did the one puncture drop for
21 this CFR 71.73 criteria, which is 40 inches on to
22 the six inch steel pin. And what we did to maximize
23 that drop is we made the impact right at the center
24 of gravity at the maximum load with no internal
25 stiffening at all, but we didn't drop it on the

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1 head.

2 DR. HINZE: You didn't drop it on the
3 head. Did you ever move to failure? Did you ever
4 put the canister under conditions to failure and see
5 what those failure conditions were?

6 MR. CARLSON: No. We drop tested per
7 the 71.73 criteria, and we leak tested, and we did
8 not push them to find the margin to failure based on
9 drops, although we have done some work in that area
10 based on analyses.

11 DR. HINZE: The history of this goes
12 back into the 60s, as we've heard. Have there been
13 any change in the canisters? Is this canister that
14 you're talking about a new canister? You talked
15 about, Jim Clarke's question, you talked about the
16 two different types. Is this designed for this
17 purpose, or is this the normal canister that is
18 being used?

19 MR. CARLSON: It's a purpose-built
20 canister we've designed specifically to fit into our
21 safety strategy. And the objective was to come up
22 with a canister that would provide a sufficient
23 boundary to allow us to effectively de-couple our
24 safety basis to the extent possible from the
25 material within the canister. So the canister we

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1 have designed has not been used or analyzed to-date.
2 It's on the table for handling and transporting DOE
3 spent fuel. And it's also the canister we intend to
4 use for interim storage and disposal.

5 DR. HINZE: That's all I have on this
6 leak aspect.

7 DR. WEINER: Well, since this is a round
8 table, feel free to ask any other question.

9 DR. HINZE: Well, one of the things --

10 DR. WEINER: And, by the way, please
11 everyone should feel free to answer.

12 VICE CHAIR CROFF: I'm going to try.
13 There's an awful lot of moving parts in these
14 presentations taken as a group, and somewhat
15 different directions for the various presenters.
16 First, a specific question of Wayne Hodges. In your
17 slide on pros for moderator exclusion, one bullet
18 says, "Eliminates need for aluminum-based materials
19 inside cask." What's the issue with aluminum-based
20 material?

21 MR. HODGES: Well, it's just a matter
22 that I think for final disposal, if you -- it's less
23 desirable to have those kind of materials in a cask
24 than the stainless steel and the cladding. That's a
25 fairly minor point.

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1 VICE CHAIR CROFF: This is a repository
2 impact issue?

3 MR. HODGES: Well, if you're going to
4 use the same canister for storage, transportation,
5 and disposal, then you would need to worry about it
6 for the whole range. And so it's strictly a
7 disposal concern.

8 VICE CHAIR CROFF: What bad thing does
9 aluminum do?

10 MR. HODGES: Well, it's not going to
11 stand up as long as some of the others will.

12 VICE CHAIR CROFF: Oh, I see. Okay.
13 It's the corrosion rate.

14 MR. HODGES: And it's also, so your
15 boron that's in there won't have the same
16 reconfiguration.

17 VICE CHAIR CROFF: Okay. Going back
18 into Part 71, is my understanding correct, that at
19 the time Part 71 was originally developed, there
20 wasn't any contemplation that the spent fuel would
21 be canistered? In other words, anticipated that
22 during spent fuel transport, there would be the
23 cask, there would be a basket inside, fuel would go
24 in the basket, the lid would go on, and off it would
25 go. And now we're talking, I think in both cases

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1 here, about the fuel being canistered. Is that
2 correct? Anybody at all.

3 MS. OSGOOD: This is Nancy Osgood. I'll
4 answer that question. It is an interesting
5 question, the history of Part 71, but basically, the
6 regulation that exists today governs the transport
7 of all fissile material, including spent fuel, but
8 also including things like Plutonium, low enriched
9 Uranium, oxides, pellets, fresh fuel. So the
10 regulations are not specific to, I'm going to say,
11 the purpose of the end-use of the contents. They're
12 generic safety requirements that should be applied
13 to all packages. And I think that that's one of the
14 things that has come to light. And as we become
15 more mature and there's more shipments, there are
16 certain parts of the regulation that probably should
17 be examined with respect to risk, because the
18 regulations are old, and they are generic, and
19 developed for safety of all fissile materials.

20 VICE CHAIR CROFF: But I want to be
21 clear on this specific point. When Part 71 was
22 first developed, spent fuel, in general, was not
23 going to be canistered.

24 MS. OSGOOD: That's correct.

25 VICE CHAIR CROFF: Okay. On burn-up

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1 credit, I know this isn't on burn-up credit, but I
2 was struck by - whose slide is this, Mr. Redmond's -
3 noting that the criticality analyses in the three
4 different regulations are rather distinctly
5 different. And if I understood correctly, Part 50
6 presently allows, or takes into account the effects
7 of burn-up, or burn-up credit; whereas, 71 does not.

8 MR. REDMOND: Part 71 takes into account
9 partial burn-up credit. I mean, there's actonide
10 only burn-up credit for IFD-8. Part 72 has no burn-
11 up credit at all. Part 72 is fresh fuel with
12 soluble boron. There's basically two burn-up
13 credits, one full burn-up credit Part 50, one Part
14 71, which is dictated by interim staff guidance.
15 And then Part 72, which is not burn-up credit.

16 VICE CHAIR CROFF: I'm, I guess,
17 perplexed about - I don't know - how that came to
18 be. Is there some technical reason behind this, why
19 you should be able to do it in the pool, but not in
20 the storage cask or something like this?

21 MR. REDMOND: Nancy will probably have
22 to address that, but in my view, there should not be
23 any technical reason why spent fuel is different, be
24 it in a spent fuel pool, storage cask, or transport
25 cask. I mean, it's the same fuel, same

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1 reconfiguration, essentially the same
2 reconfiguration.

3 MR. RAHIMI: Let me answer that
4 question, as well. Meraj Rahimi, NRC. The reason
5 there are differences that you see on the Part 50
6 side, and Part 71 side - Part 71 were shipping fuel,
7 spent fuel out on the public highways, outside.
8 It's not in a controlled area, like reactors. On
9 one side reactors, for criticality for the rack, is
10 in the borated pool. So reactors, they always have
11 that boron, PWR. And, normally, burn-up credit is
12 for PWR. They have that boron to rely on. It's a
13 defense-in-depth. Therefore, for burn-up credit,
14 they don't go into a level of details in terms of
15 benchmarking, quantifying uncertainties for each
16 isotope, that Dr. Machiels mentioned that the
17 approach methodology is different on the Part 71
18 side, because the environment is different, because
19 these casks are in public highway. When we say the
20 k-effective of that cask, we have to say with a high
21 confidence, quantifying the uncertainties of all
22 those isotopes, calculating k-effective. But on the
23 Part 50 side, they always have that boron, that
24 defense-in-depth, so in terms of benchmarking, they
25 said well, these codes have been benchmarked against

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1 the reactor core. Every time they do restart, they
2 use that code, so it is risk-informed on the reactor
3 side. It is adequate, their methodology for Part 50
4 side.

5 VICE CHAIR CROFF: Are BWR pools also
6 borated?

7 MR. RAHIMI: No. No, but we don't - to-
8 date, no burn-up credit is needed, at least for the
9 transportation, for BWR.

10 MR. REDMOND: Right. If I may, though,
11 in regards to BWR spent fuel pools, the analysis in
12 Part 50, though, does take credit for a limited
13 amount of burn-up. BWR fuel is unique from
14 pressurized water reactor fuel, in that it's
15 reactivity increases with burn-up slightly, until
16 about 15 gigawatt days per metric ton, and then
17 begins to decrease again, so you have to analyze
18 those spent fuel pools at the peak reactivity. And
19 that is done with the same codes that we analyze PWR
20 fuel, and taking credit for the fission product
21 build-up up to 15 gigawatt days, so it is a form of
22 burn-up credit that is done for the BWRs.

23 MR. RAHIMI: I do want to add that,
24 again, on the Part 70 side, we are hopefully -- we
25 are on the road to get full burn-up credit, but the

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1 data has to come in. In one case, we had a Holtec
2 which presented more data. And in that application,
3 we went beyond actinide-only. We provided credit
4 for some fission products commensurate with the data
5 they presented. So where already there is -- I
6 mean, the staff is on the road to look at all these
7 isotopes, and hopefully some day, if the data comes
8 in, give the credit for those isotopes.

9 VICE CHAIR CROFF: Okay. I think I
10 understand, sort of. There is, I guess, as I
11 understand, in the existing regulation, there is
12 already an exemption provision, a moderator
13 exclusion. I'm back on that now. But there seems
14 to be some reluctance to go in that direction, I
15 guess, if I could state that, in sort of wanting to
16 look at other alternatives. Is there a problem with
17 the exemption?

18 MR. REDMOND: I believe the indications
19 that vendors have received from the staff is that
20 71.55(c) has never been applied before, and that
21 there would be great reluctance in an application
22 coming in trying to use that. So it just hasn't
23 been pursued because of the --

24 CHAIR RYAN: Can I pick up on that for a
25 minute?

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1 VICE CHAIR CROFF: Be my guest. That
2 was my last one, so I'll pass.

3 CHAIR RYAN: All right. Great. Well,
4 that's a segue.

5 DR. WEINER: I really would like Nancy
6 to answer that.

7 CHAIR RYAN: Well, I'm going to ask a
8 follow-up question.

9 DR. WEINER: Okay.

10 CHAIR RYAN: When we met last time, we
11 talked about this exact point, and the idea that you
12 needed rule making to somehow address it. Is that
13 right? I haven't heard anything that tells me
14 that's so, and here's what I've heard. And, again,
15 I open it up to all the vendors to tell me, no,
16 you've got it wrong, or yes, you've got it right. I
17 heard strategies from DOE and from the commercial
18 sector saying that they have strategies to take
19 advantage of that current regulation, and how to
20 assess their circumstances and situations, and offer
21 packages to staff to say here's how we meet that
22 obligation, and all the attendant obligations that
23 reach out and beyond that one exemption clause. And
24 again, having sat in the licensee applicant's seat
25 years ago, I can tell you that guidance is a whole

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1 lot more helpful than a regulation, which is a few
2 lines in 10 CFR somewhere. So why can't this be
3 handled with more detailed guidance?

4 MS. OSGOOD: We searched for options
5 with respect to dealing with moderator exclusion,
6 and we came up with, I guess, a range of possible
7 approaches going from keeping our staff practice,
8 the way we interpret the rule now to allow the, I'm
9 going to say, exception provision to be applied for
10 specific shipments with additional risk information,
11 all the way from allowing interpretations. You can
12 see that there's a wide variety of possible
13 interpretations of the regulations, and allowing
14 moderator exclusion under some new interpretation of
15 the rule, or to do this in a very methodological and
16 risk-informed environment --

17 CHAIR RYAN: Just to add a thought here.
18 I mean, you can add risk-informed guidance to how
19 things get done. That doesn't mean everybody gets
20 everything. I mean, you could decide on these are
21 the top three that we really need to address, and
22 hit one, two, and three, and take the approach that
23 we're going to look at case one, two, and three,
24 whoever that might affect, or whatever. I'm not
25 trying to pick on any one example we've heard today.

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1 And, again, thinking about a rule making process is
2 years, and it's real clear to me in listening to all
3 of you folks that the staff and the regulated
4 community have a real clear understanding of all the
5 issues, and coming to effective guidance. I mean, I
6 heard one - well, we've talked three times, and
7 we're now sensitive and aware of each others issues,
8 and we're moving down the road, and so forth. I
9 mean, why won't guidance work?

10 MS. OSGOOD: I'll let Earl Easton answer
11 that.

12 MR. EASTON: Can I give you a little
13 different perspective?

14 CHAIR RYAN: No, I want to get an answer
15 to my question.

16 MR. EASTON: Okay. Why guidance won't
17 work? I think for 10, 15, 20 years we have been
18 implementing this regulation in a consistent
19 concerted fashion, and I think our stakeholders have
20 come to depend on that. And when I say
21 stakeholders, states, they make routing decisions
22 based on the fact that a criticality is not
23 possible, because in the end, it's like --

24 CHAIR RYAN: That' just not good
25 thinking, because not possible means zero? We heard

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1 it's not zero, even though it's very small.

2 MR. EASTON: Well, we've told them,
3 basically, that if you penetrate a cask from a
4 safety or security event, and fill it with
5 moderator, you still don't get a criticality. Okay.
6 That's what we've told them, and I think that
7 message is important because here you have an
8 activity that is not protected by site boundaries,
9 and is in the hands of unlicensed people, carriers.
10 When you turn these things over, it's a carrier,
11 it's not an NRC licensee.

12 CHAIR RYAN: I understand all that.

13 MR. EASTON: Okay.

14 CHAIR RYAN: I know about shipments,
15 trust me.

16 MR. EASTON: So what I'm saying is, when
17 you change the rules of the game to make this the
18 rule, not the exception, I think stakeholders need
19 to have an input, because we have basically told
20 people, this is the rules that you play for by all
21 those number of years.

22 CHAIR RYAN: I hear you, Earl, but I'm
23 struggling with the fact that none of these other
24 presentations have given me any indication
25 whatsoever - in fact, they've given me indications

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1 to the contrary, that if there was credit for
2 moderator exclusion, nothing would change with
3 regard to that transportation decision making in
4 terms of risk.

5 MR. EASTON: Well, I think --

6 CHAIR RYAN: It would meet all the
7 requirements in all the parts.

8 MR. EASTON: I'm not sure we know about
9 risk, because I tell you why. We have another major
10 organization come in with a thing called TADS. TADS
11 are smaller, which means --

12 CHAIR RYAN: On the table today. I want
13 to keep aside what we've heard about today.

14 MR. EASTON: Okay. All I'm saying is
15 with moderator exclusion, you heard the case that
16 you have larger casks, less shipments. This does
17 not comport with the future policy of the way we're
18 going to ship material.

19 CHAIR RYAN: It's a policy for down the
20 line. That's tomorrow's problem. Yes, sir. Tell
21 us who you are, please?

22 MR. CAMPBELL: Larry Campbell, Spent
23 Fuel Storage and Transportation. If the industry
24 comes in, if you look at the regulation, it's an
25 exception. If the industry comes in, it will no

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1 longer be exception, it will be the majority of the
2 shipments which following that. And I think that's
3 why we're looking at rule making, is because now
4 we're going from exception to possibility 100
5 percent of future applications would go with
6 moderator exclusion. The intent of the rule was on
7 a case-by-case exception basis, and I believe that's
8 why we need rule making.

9 CHAIR RYAN: That's a good point, but a
10 case-by-case exception basis that hasn't been
11 exercised is not 100 percent everybody going with
12 the exception. So maybe it's not today to decide to
13 do rule making, maybe you do three, or four, or
14 five, or whatever number to get some experience on
15 what is the range of this exception, how is it
16 applied? And somewhere down the line, maybe it's
17 two, or three, or four cases down the line, then
18 you've got the basis to decide does this need to be
19 generalized in a codified rule. And I appreciate
20 that point, that's a good point, but I just don't
21 see the evidence today to say jump into rule making,
22 at least satisfies me.

23 MR. BJORKMAN: Gordon Bjorkman, again.
24 I think that rule making was the preferred option of
25 the staff. What we're moving forward with is with a

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1 commission paper to inform the commission about
2 various options and possibilities. And I think that
3 the rule making is one of those options. If the
4 commission decides that given the evidence of the
5 low probability of these events, and given
6 additional information based upon reconfiguration
7 and burn-up, that rule making is not important, or
8 rule making is not necessary. The commission would
9 then basically leave it to the staff to provide
10 guidance. So we're just moving forward in a process
11 at this point.

12 CHAIR RYAN: Still, I get a little
13 twitchy when I hear well, we're going to say the
14 preferred option is new rule making. Again, from
15 the regulated community standpoint, that's a multi-
16 year deal.

17 MR. HODGES: But even if you don't do
18 rule making, if you go out and say we want to get
19 the commission's approval to follow this other
20 approach, the one that's proposed, and we'll use an
21 exception basis everything that's out there. You
22 still have an environment impact statement out there
23 that's going to have to be changed.

24 CHAIR RYAN: Okay.

25 MR. HODGES: And you're going to

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1 probably have numerous meetings with the public, and
2 so the process may not be drastically different
3 whether you go with the simple change, and now use
4 the exception, or go with rule making. It may be a
5 little bit cleaner to do it with rule making, but
6 the time frames may be very close to the same.

7 CHAIR RYAN: I guess we haven't talked
8 enough about the environmental impact statement side
9 of that, so I've got a good feel that I either agree
10 or disagree with you; although, I hear your point.

11 MR. HODGES: All right.

12 MR. REDMOND: If I may, for a second.
13 I'm just a little confused, I'm afraid. DOE is
14 talking about a standardized canister which, in
15 their view, can be done within -- cut inside a cask,
16 which is the containment boundary. And then within
17 the context of the regulation, which says flood the
18 containment boundary, and then talks about the most
19 credible extent, DOE is saying that they have their
20 system which remains dry, and they've done drop
21 tests. That, in itself, to me, meets the regulation
22 71.55(b), not the exception part. To me, the
23 exception part is talking about the containment
24 system, and an exception to that, which is
25 different.

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1 In regards to the issue of, if DOE gets
2 it, everybody's going to want it. Well, that's not
3 true, necessarily, either, because there's certain
4 constraints that the staff would put on DOE,
5 granting DOE to do that, that well, if industry as a
6 whole can meet it, sure, we want it, but we're not
7 likely to be able to meet those same constraints.

8 What industry is looking for, though, in
9 terms of burn-up credit, for example, is we'd like
10 to be able to do burn-up credit, but just have the
11 staff recognize as defense-in-depth - Meraj talked
12 about defense-in-depth, you've have soluble boron on
13 the spent fuel pools, PWRS, anyway, BWRs you don't.
14 But you have that as defense-in-depth. We'd like
15 recognition for the leak tightness of the canisters
16 for the defense-in-depth part that he's talking
17 about. But what I'm hearing is that staff may need,
18 in order to make that leap, which I view as a
19 relatively small one, they still may need direction
20 from the commission to do that, or they believe they
21 may.

22 CHAIR RYAN: Just to add one last
23 question. Thank you for your patience. My question
24 of burn-up credit versus moderator exclusion. What
25 happens if you put both of those babies in the same

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1 baby carriage and figure it out?

2 MR. REDMOND: Industry's perspective is
3 burn-up credit solves our problem. Burn-up credit
4 fixes - if we are going to analyze the same as we do
5 our spent fuel pools, our problem goes away. And
6 that takes care of our high density DPCs, which one
7 thing I forgot to mention when I was talking, it
8 slipped my mind, we have over 60 - actually, over
9 80 of these high density canisters already loaded,
10 and there are more continuing to be loaded annually,
11 so the Part 50 burn-up credit fixes our issue, if we
12 need defense-in-depth, which I understand we all
13 want defense-in-depth, and it is necessary, look at
14 the canister.

15 MR. BJORKMAN: I think that Meraj put it
16 quite eloquently, when he talked about you can take
17 advantage of burn-up credit when you're on the
18 reactor site in one way, but you have to look at
19 burn-up credit, and reduce the uncertainties when
20 you look at burn-up credit when the fuel is being
21 transported in the public domain.

22 CHAIR RYAN: Something magic happens
23 when it crosses the gate, huh?

24 MR. BJORKMAN: Doesn't the canister do
25 that?

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1 CHAIR RYAN: I mean, I don't buy that,
2 tell you the truth. I mean, I understand that 50
3 applies on the reactor, and 70 applies on a public
4 highway, but I find that to be not a compelling
5 argument.

6 MR. RAHIMI: Well, because Part 50 -
7 Meraj Rahimi, NRC. On the Part 50 side is the level
8 of details. I've sat down with the staff on the
9 Part 50 side, looked at their review of burn-up
10 credit for racks, and how they do the review. They
11 are being risk-informed, rightly so. They've got
12 boron in the pool. They're not asking for the data
13 for each single isotope. That's what I'm talking
14 about.

15 With respect to Everett's comments,
16 actually, staff's preference is burn-up credit. You
17 bring the data, we'll be more than happy to give you
18 the level of credit that you need. With respect to
19 the DOE's issue, they're not asking for burn-up
20 credit. They don't want burn-up credit, because
21 they cannot really tell you what the burn-up of
22 these foreign research reactor spent fuel are and
23 how they were operated --

24 CHAIR RYAN: Question - DOE has a
25 tougher hill to climb on that score. I'm done,

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1 Ruth. Go ahead.

2 DR. WEINER: I'm sorry. Excuse me.

3 DR. MACHIELS: Clearly, when a vendor
4 goes for a certificate to the NRC for
5 transportation, the vendor has, obviously, no idea
6 what specific fuel that will go into that container.
7 And so, from that point of view, there has to be a
8 certain conservatism built into the system, but when
9 a utility does an analysis using their methodology,
10 they actually do it on the fuel that they know, so
11 it's very well characterized. And so I think that's
12 the option, at least, if it were available, for
13 doing criticality calculation using utility
14 methodology. The utility has a value given that
15 they doing on a very specific number of assemblies,
16 and they know exactly the power history of those
17 assemblies, compared to somebody who has to apply in
18 a fairly generic manner, doesn't have the same level
19 of detailed information.

20 CHAIR RYAN: Thank you.

21 DR. WEINER: Jim.

22 DR. CLARKE: I have a couple of
23 questions. Hopefully, both of them will be quick,
24 although I'm concerned about the second one. I'm
25 still framing it. Just to follow-up on Bill's line

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1 of questioning with the Idaho folks, and I interpret
2 how do you assure no defects in terms of quality
3 control, and quality assurance, and what are you
4 doing to learn about the likelihood of defects? You
5 said you refer to tests, you refer to inspections
6 and things of that nature. Is it fair to assume
7 these are 100 percent quality control, all of the
8 welds are subjected to these tests, and other
9 pieces?

10 The second question that I'm kind of
11 struggling to frame, and I don't want to get us into
12 distraction, or a discussion that doesn't need to
13 take place. Much of this is very new to me, but
14 here we go. I get the impression in listening to
15 all of you that we are interpreting risk in terms of
16 probability. And one of the things I haven't heard
17 from any of you, and maybe I don't need to, and
18 maybe it's well in-hand, and you've looked at it
19 extensively, is consequences. And I guess my
20 question is, where does that fit into this?

21 MR. MACHIELS: I have alluded to that in
22 one of the slides, and what we did in order to
23 compare risk associated with a criticality event,
24 and risk associated with non-radiological events,
25 like

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1 accident --

2 DR. CLARKE: I saw that.

3 MR. MACHIELS: So we have to transform
4 the probability into a common basis.

5 DR. CLARKE: I saw that, and I liked
6 that. I mean, that's what I would call risk balance
7 when you're looking at --

8 MR. MACHIELS: And so we did --

9 (Simultaneous speech.)

10 MR. MACHIELS: -- analysis of the
11 criticality event by doing very straightforward
12 calculations. We assumed that the contents of the
13 32 assemblies were to come up with a dose.

14 DR. CLARKE: Okay. So you have looked
15 at this, and this is --

16 MR. MACHIELS: Yes. But when you have
17 probabilities of the ten to the minus whatever --

18 DR. CLARKE: I understand.

19 MR. MACHIELS: -- you can release a
20 gazillion curies, it will still come up to
21 essentially zero.

22 DR. CLARKE: Okay. I was just surprised
23 that we didn't hear more about it, but maybe we
24 don't need to.

25 MS. OSGOOD: I would like to make one

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1 comment, too. As part of any kind of rule making
2 program, that that would be part of the equation,
3 because I think you're exactly right, we've
4 concentrated and focused on these probabilities
5 during the transportation phase, but the risk from
6 loading, unloading, and looking at the consequence
7 part, I don't think is well understood, and that
8 would be part of any kind of rule making plan.

9 DR. CLARKE: I just like the definition
10 of risk that puts the two together.

11 MS. OSGOOD: Exactly.

12 CHAIR RYAN: Although, we had, what was
13 it, 800 casks that have been loaded from --

14 DR. WEINER: Brant had a --

15 CHAIR RYAN: We do have an awful lot of
16 loading experience.

17 DR. WEINER: Brant had a comment on the
18 question.

19 MR. CARLSON: I was going to respond to
20 at least the initial question that was posed here
21 with regard to quality control. Our canister design
22 specification, the design fabrication and inspection
23 would all be done per ASME code.

24 DR. CLARKE: My point was it's 100
25 percent.

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1 MR. CARLSON: Well, again, in the risk-
2 based or risk-informed, you never say 100 percent,
3 but it will be a code-stamped vessel so, I mean,
4 it's made to full quality control. There are a
5 couple of other issues that were brought up with
6 regard to our fuel that I probably ought to address
7 while I've got the floor here. And one is this,
8 with regard to moderator exclusion per the exception
9 in 71.55(c).

10 What we tried to point out is that
11 through a change in thinking with regard to 55(b),
12 and making a shift in reliance on putting all our
13 credit on knowing that we're in the as-loaded
14 condition, and we kind of assured that the fuel
15 reconfiguration has not occurred, under that
16 condition, you can assume - take a bounding
17 assumption with regard to leakage. What we said is
18 there's two factors that requires you to assume only
19 to the most reactive credible extent, so there is,
20 at least, a foot in the door to start thinking about
21 being risk-informed in the current regulation, that
22 talks about the most reactive credible extent for
23 both the fuel configuration, and the moderation.
24 And what we're saying is we want to take less credit
25 for fuel configuration, but more credit for

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1 rendering the moderation to be improbable. And
2 that's the approach that we're going, and we think
3 we can do that, as Everett mentioned, within the
4 existing 71.55(b), without asking for the exception.
5 Although, if the staff chooses to go that way, I
6 believe we meet the requirements that are specified
7 for granting the exception, but we don't like the
8 implication that that would leave, that we don't
9 meet 55(b), as stated, because we believe we are at
10 least as safe with our demonstration of leak
11 tightness under 55(b), as we would be if we did the
12 analysis based on the fuel configuration.

13 DR. WEINER: Thank you for that
14 clarification. I think that was fairly clear from
15 the slide, but that was necessary. I have a sort of
16 wrap-up question really directed at the staff. If
17 you were to go to rule making, I assume that the
18 tenor of that rule making would be that you would
19 either allow - either require moderator exclusion,
20 or show that there would be no criticality if there
21 were water intrusion. In other words, you would -
22 the rule would include those two options. Would it
23 also include burn-up credit?

24 MS. OSGOOD: I think with respect to
25 moderator exclusion, we haven't really formulated

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1 what that final rule might look like. It would be
2 part of the rule making process, and certainly, the
3 regulatory analysis would guide us that direction.
4 But I think from today's presentations, you can see
5 that there's ambiguity in the regulation, and wide
6 variation in interpretations, and so I think that
7 there are ways that we could give, I'm going to say,
8 regulatory relief and clarity under certain
9 circumstances to allow that as an option.

10 CHAIR RYAN: Why can't you do that with
11 guidance? Why do you need a new regulation?

12 MS. OSGOOD: I think - and my slide is
13 gone now, but I think there are some compelling
14 reasons. And I think that we've talked about the
15 use of an exception as a routine approval. Remember
16 my last talk, I talked about everything is licensed
17 under a general license, so it's not the same thing
18 as issuing a specific license. And I think, also,
19 we can't minimize Earl's earlier points with respect
20 to the public's understanding, and the way we do
21 business, and the risk assessments, and our generic
22 environmental impact statement that have always
23 provided the infrastructure for transportation.

24 DR. WEINER: Let me ask a follow-up
25 question. We, essentially, give technical advice.

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1 What technical work would need to be done to support
2 the decision of going for a rule, or not going for a
3 rule? And just to expand on that a little bit, are
4 you planning to do a comparative risk assessment of
5 these various options? And it seems to me, that's a
6 risk assessment that should be done. You can't
7 assume -- to get back to something --

8 CHAIR RYAN: We're losing track of your
9 question, Ruth.

10 DR. WEINER: I'm losing track of it
11 myself. To get back to Dr. Hinze's point, you have
12 to - you can't ensure moderator exclusion. You
13 can't be 100 percent sure that no water will ever
14 get in. So would you be doing a comparative risk
15 assessment of these various options, and would there
16 be other technical bases for a rule, or for saying
17 no rule?

18 MS. OSGOOD: I think one of the things
19 is - and maybe we're getting a little bit of the
20 cart before the horse - because I think that when we
21 evaluated the range of options that we might propose
22 to the commission with respect to kind of reaching a
23 resolution on this topic, we identified rule making
24 as an option. And how that would develop into a
25 regulatory analysis, I don't think we have concluded

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1 exactly what we would do. But I would envision some
2 kind of relative risk evaluation, but Earl is more
3 familiar with the risk assessments that have been
4 completed to-date. He might have a better --

5 CHAIR RYAN: Just before Earl answers
6 that, I guess I would offer you, again, the view
7 that five or six case-by-case kind of studies or
8 analyses, or individual efforts would give you the
9 meat on the bone to help you design the rule making.
10 I just - jumping right into rule making, I know
11 what's going to happen, or at least I have a feeling
12 what will happen. You'll write a rule, you'll get a
13 rule approved, and then you'll write guidance that
14 you could write right now and do on a case-by-case
15 basis, so that's just my thoughts.

16 MR. EASTON: I think that all of the
17 risk studies in the EIS that support this rule, rule
18 out criticality from the get-go, saying it can't
19 happen, it doesn't even consider it. And I think
20 the fact that we do this by a general license, the
21 public does not have an input. And if we --

22 CHAIR RYAN: Wait a minute. We just
23 heard about all sorts of criticality analyses these
24 folks are doing.

25 MR. EASTON: No, the public, like in 72

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1 they do a rule making, in Part 50 they have a
2 license, in Part 71, the public does not have an
3 input to the certification, so if we start changing
4 the exception to be the rule, I think you'll get a
5 lot of challenges maybe to how we implement the
6 rule, because of the risk studies and the
7 environmental impact statement.

8 CHAIR RYAN: It's very circular, Earl.
9 There are exceptions in the regulation now because
10 it was deemed to be helpful to deal with different
11 cases.

12 MR. EASTON: Right. And I think --

13 CHAIR RYAN: So I don't get the circular
14 argument. It doesn't fly, for me.

15 MR. EASTON: And I'm in favor of doing
16 the least risky thing on a case-by-case basis. I
17 mean, that's the bottom line. And if we have things
18 that are already loaded, and you don't want to
19 unload them, we ought to consider case-by-case
20 basis. If you have things that you don't know
21 about, and it's safer in the end to double-contain
22 it, we ought to consider that as an exception. But
23 I think before we turn it into the general rule, we
24 have an obligation to stakeholders to go back and
25 explain to them why what we've been telling them in

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1 risk studies and EISS for decades is not really the
2 rule.

3 CHAIR RYAN: Again, I'm not saying
4 rulemaking shouldn't happen at some point, but I
5 think that to meet your goal, three or four, or
6 whatever small number of cases evaluated and brought
7 through the process would give you the information
8 that would help in that process that you're talking
9 about.

10 MS. OSGOOD: Dr. Ryan, you also asked
11 about burn-up credit.

12 CHAIR RYAN: Yes.

13 MS. OSGOOD: And I think with respect to
14 rule making, so --

15 MR. RAHIMI: I would like to answer your
16 question about a rule making, would we include both
17 moderate exclusion and burn-up credit? I would say
18 that we should leave burn-up credit - burn-up credit
19 comes in the implementation of the regulation, and
20 it shouldn't go into the regulation. I mean, there
21 are appropriate words in the regulation, most
22 reactive credible reconfiguration consistent with
23 material --

24 CHAIR RYAN: So you agree with me that
25 guidance should be where that gets addressed.

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1 MR. RAHIMI: Burn-up credit. And we
2 have guidance, and ISG-19, moderator exclusion is
3 there is a guidance, so we've done --

4 CHAIR RYAN: I've heard people criticize
5 19 so far.

6 DR. WEINER: Well, I have to get back to
7 something Earl Easton said about public input. If
8 you have public input on moderator exclusion,
9 wouldn't you want it, as well, on burn-up credit?

10 MR. RAHIMI: Yes. In terms of public
11 input, when we put out ISG, there is a public
12 commenting period. ISG-8, that there was on burn-up
13 credit, that we did that. But to go back to your
14 question, why rule making with respect to moderator
15 exclusion - on a case-by-case, the regulation
16 intended to do it like a per shipment or a case-by-
17 case basis. But here, we have --

18 CHAIR RYAN: It doesn't say that.

19 MR. RAHIMI: It doesn't say that, but
20 it's in that regulation. But here we have DOE
21 coming in for a design approval, so it's not a sort
22 of a shipment, per shipment, single shipment, one
23 time shipment. They want a general design approval
24 moderator exclusion.

25 CHAIR RYAN: And, again, I think we've

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1 recognized that there are some aspects of DOE's case
2 that are very different than the commercial power
3 reactor case, so let's don't pick on DOE, although,
4 I think the case you made was pretty compelling from
5 the technical perspective, that there are issues
6 there that could be evaluated under the exception,
7 or within the context of the existing 71.55(b).

8 DR. WEINER: Aren't they always design
9 approvals? I mean, you just said DOE came in with a
10 design approval, but they're always design
11 approvals, aren't they?

12 MS. OSGOOD: In general, that's how we
13 do transportation approvals. We approve a design,
14 and that's one of the beauties of Part 71, is once
15 we approve a design, any licensee is authorized to
16 use that package. They can build one of that
17 package design, they can build 100 of that package
18 design, and any licensee is authorized to use that
19 package for basically, shipments to anywhere.

20 CHAIR RYAN: All right. I want to ask a
21 question on rule, or using these various -- how many
22 casks have you guys approved over time?

23 MS. OSGOOD: How many spent fuel casks?
24 Hundreds.

25 CHAIR RYAN: Hundreds.

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1 MS. OSGOOD: Hundreds. Hundreds.

2 CHAIR RYAN: Now you've approved
3 hundreds of individual casks under the existing
4 rules.

5 MS. OSGOOD: Hundred designs, yes. A
6 hundred designs.

7 CHAIR RYAN: A hundred designs.

8 MS. OSGOOD: Some packages, they have a
9 thousand units, or multiple thousands of units.

10 CHAIR RYAN: Not worried about the
11 multiple units.

12 MS. OSGOOD: Okay.

13 CHAIR RYAN: Because I used to work with
14 guys that brought you in design packages.

15 MS. OSGOOD: Okay. Oh, yes, I know
16 that.

17 CHAIR RYAN: Lots of them. Oh, yes. So
18 the point I making is that one, two, three extra
19 packages doesn't add a lot to that load. I just
20 don't see the arguments of where we're doing a
21 better job of informing the public, when we've been
22 doing this under these existing rules for decades.
23 I mean, by the way, that does not diminish my desire
24 to fully inform the public about everything the
25 agency does. I think that's a great, absolute goal.

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1 MR. HACKETT: I was going to try one.
2 This is Ed Hackett from SFST staff, too. I think,
3 to me, listening to the debate and trying to make
4 some observations here, I think to take a step back,
5 I think the common theme I'm hearing is risk-
6 informing this area.

7 CHAIR RYAN: Exactly.

8 MR. HACKETT: And how we go about it,
9 whether it's through rule making, or guidance
10 enhancement, or any number of mechanisms, I think is
11 what we're looking at as our going forward approach.

12 CHAIR RYAN: And I think we have maybe
13 some different views on where's the horse and the
14 cart.

15 MR. HACKETT: Exactly.

16 CHAIR RYAN: Okay.

17 MR. HACKETT: But I see a most --
18 everyone has presented today aligned with the idea
19 that risk-informing in this area would be a benefit
20 pretty much to everyone, to the industry, and
21 Idaho's got a special case, certainly to the staff,
22 because we've been - just by virtue of the three
23 meetings Brett referred to, we've been learning and
24 looking at our guidance going forward. I think
25 there is need for some enhanced clarity, that I

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1 think would come through risk-informing this area in
2 a more -- and one way, as we've been talking about,
3 is through rule making, in terms of framing it. But
4 I think that's --

5 CHAIR RYAN: You're absolutely right.
6 And, again, my plea is that we step back and think
7 more about that, maybe evaluate a few more cases
8 before you make a commitment that rule making is at
9 the top of the list of what things we need to do.
10 Sir?

11 MR. WHITE: Yes. This is Bernie White.
12 I'm in NRC SFST, and if I could address the rule
13 making versus issuing guidance.

14 CHAIR RYAN: Guidance.

15 MR. WHITE: Yes. I think what we've
16 seen over the past, and now this goes back - I've
17 been working 15 years. When one applicant comes in
18 and asks for something and they get it, like when
19 the fresh fuel people went to 5 percent, they all
20 kind of came in and went for 5 percent, so we tend
21 to see applications come in in bunches over a couple
22 of three years.

23 I think one thing the staff was trying
24 to avoid is to have an applicant come in, or two
25 applicants come in, ask for moderator exclusion, and

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1 then we go, oh, what do we do now? We've never done
2 this. Then we see three, or four, or five more
3 wanting to come in for the same issue, for a generic
4 approval. And then we go well, what do we do?
5 Well, maybe we've got to ask the commission? And
6 then we're kind of in the part where we're doing the
7 rule making, or not doing the rule, but we're asking
8 the commission at the same time we're supposed to be
9 doing the licensing, and we were trying to
10 circumvent that, and get up to the commission, and
11 kind of get their views on this prior to
12 applications coming in. I think that's where we saw
13 this going long-term.

14 CHAIR RYAN: And I appreciate that, but
15 there is the other side of the coin, which is, are
16 you going to have one or ten? So I wouldn't want to
17 embark on a multi-year rule making until I had a
18 better feel for that.

19 MR. WHITE: And I don't think we have a
20 feel for that.

21 CHAIR RYAN: Fair enough.

22 DR. WEINER: Could I ask one final
23 thing? So I understand it, Bernie, from what you
24 just said, that what you're looking for is to
25 prepare for - do some preparatory work to decide

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1 whether or not there should be a rule making. And
2 that's where your cases are going to come in, and
3 that's where your comparative risk assessments are
4 going to come in. Is that a correct reading of
5 where the staff is going?

6 MS. OSGOOD: I think so, because in NMSS
7 rule making space, of course, before we would even
8 have a proposed rule, that we would issue guidance
9 contemporaneously with, we would do the regulatory
10 analysis, even before we go down that path, so
11 that's exactly right.

12 DR. WEINER: Does anyone else have any
13 further comments, questions? Anybody? If not --

14 CHAIR RYAN: I want to thank again the
15 staff and all the participants today. We had a
16 really breakneck session last time trying to cover
17 this entire space, and I think it seemed like 20
18 minutes, it was way too short. And I want to thank
19 Bill Brock for helping reorganize all of his staff,
20 and again, all the participants here today. We have
21 a much fuller picture, and I think a much better
22 picture of your intent, what some of the issues are
23 with other stakeholders, and hopefully, we'll do a
24 better job of formulating our views in detail in a
25 letter to the commission, but again, I want to thank

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1 everybody for putting up with another session with
2 us to give us a lot more insight, which it was
3 obviously a very complicated topic, and I'm glad we
4 all came back together, so thanks very much.

5 DR. WEINER: I want to add my thanks to
6 the participants, the speakers for keeping within
7 our time schedule. Thank you so much. I know that
8 many of you had other slides, and I would encourage
9 everybody to look at the additional material that
10 was submitted along with the slides, because I know
11 that, especially Dr. Machiels and Everett cut-back
12 their presentations a great deal, because we kept
13 telling them there's no time. So thanks again to
14 everyone.

15 CHAIR RYAN: That's great. Thank you
16 all very much. We really appreciate it.

17 I guess with that, we're scheduled to
18 visit with Commissioner Jaczko at 4:30.

19 DR. WEINER: Yes.

20 CHAIR RYAN: And we can take a short
21 break until say 4:25.

22 (Whereupon, the proceedings went off the
23 record at 4:06 p.m., and went back on the record at
24 4:27 p.m.)

25 CHAIRMAN RYAN: I thought we would just

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1 take a minute to try to summarize. And I think we
2 are going to prepare a letter on now the full
3 presentations on the issues of moderator exclusion
4 and the transportation staff's presentations to us.
5 So, Ruth, do you have any initial thoughts or --

6 MEMBER WEINER: Well, I talked to Chris.
7 And I would like to take a look at the transcript
8 before we embark on the letter just to make sure we
9 know who said what and actually what was said.

10 CHAIRMAN RYAN: Okay.

11 MEMBER WEINER: But the staff that --

12 CHAIRMAN RYAN: Have you got any themes
13 you might think about? Can I offer you one?

14 MEMBER WEINER: You're about to anyway.
15 So please.

16 CHAIRMAN RYAN: The one theme that I
17 thought that everybody sort of agreed on that we
18 caught a couple of times, many times, actually,
19 during the presentation was risk-informing.

20 MEMBER WEINER: Yes. And this --

21 CHAIRMAN RYAN: So that's one general
22 thing we need to make sure we cover of what people's
23 views are in risk-informing whatever is the activity
24 that comes later.

25 MEMBER WEINER: And Bill just made an

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1 interesting point. If there is a basic change in
2 approach, it needs to have broader optics than
3 guidance.

4 CHAIRMAN RYAN: And I think the
5 alternative view of that, which I would offer, is --
6 and I think that is right -- that maybe some case by
7 case sorts of work would better inform how generally
8 what specific issues need to be in the more
9 generalized regulation.

10 So I always wrestle with what is the
11 split between what is in the regulation language and
12 what is in guidance. And I think that's something
13 we will have to think through in our letter as we
14 study the transcript.

15 Frank?

16 MR. GILLESPIE: But they might not be
17 mutually exclusive.

18 CHAIRMAN RYAN: Absolutely.

19 MR. GILLESPIE: So you might want to
20 consider that it makes sense --

21 CHAIRMAN RYAN: Yes.

22 MR. GILLESPIE: -- while you are
23 considering a typical two-year rulemaking schedule,
24 --

25 CHAIRMAN RYAN: Right.

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1 MR. GILLESPIE: -- a year to propose, a
2 year to final, which is kind of typical, that the
3 staff should, in fact, entertain the case-specific
4 ones to inform that process.

5 CHAIRMAN RYAN: Right.

6 MEMBER WEINER: I think that came out.

7 CHAIRMAN RYAN: Thinking about that and
8 then how that all winds up we will need to
9 understand a little bit more, but I think that is
10 certainly something we need to cover.

11 MR. GILLESPIE: Because there was a
12 temporal nature to at least three of the cases here.

13 CHAIRMAN RYAN: Right.

14 MR. GILLESPIE: I mean, obviously the
15 people came. So they felt it was very important in
16 the near term with them.

17 CHAIRMAN RYAN: Right. And again, I
18 don't really have a good feel for how long such a
19 rulemaking might take, but the length of time of
20 rulemaking versus interim guidance now and
21 rulemaking later on, all that needs to be thought
22 through.

23 I wouldn't propose that we have an
24 answer. And I think we need to try and lay out what
25 we heard from everybody about the variables involved

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1 and then what our views as the Committee might be on
2 those variables.

3 MEMBER HINZE: It might be useful to the
4 Committee and to the staff to encourage the NMSS or
5 the NRC to prepare a position paper which outlines
6 all the pros and cons of these various approaches
7 and look at some of the risks involved in these --

8 CHAIRMAN RYAN: I think we heard that
9 that would be in the regulatory analysis part. So
10 that would all be something that would be covered.
11 So I think that that is certainly --

12 MEMBER WEINER: I thought that Wayne's
13 explication of the pros and cons of a rule on
14 moderator exclusion was a very good framework for
15 that.

16 MR. HAMDAN: Can I add something on the
17 risk? I think it would be a good idea to initiate a
18 study for converting risk with and without the
19 moderator exclusion. I think I would start that
20 tomorrow.

21 MEMBER WEINER: Yes.

22 CHAIRMAN RYAN: Well, there are several
23 elements of that that we heard about. And we didn't
24 intend to dive into all of these. So it's by no
25 means a criticism that we didn't cover the full

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1 breadth of all of these. But there are obviously
2 probability issues which were covered. And then
3 there are some consequence issues, which were
4 covered, in part.

5 I am a little bit interested in some of
6 the details of whether the consequence assumptions
7 are risk-informed or not risk-informed.
8 Probabilities I think tend to be risk-informed just
9 by the very nature of how you calculate
10 probabilities.

11 And then on the transportation side, you
12 know, we have wrestled with before -- and we have
13 talked about it before. What are the different
14 databases that have been used to calculate
15 transportation accident rates?

16 MR. HAMDAN: If it could be done, can
17 you imagine if you calculated the risk with
18 moderator exclusion and without it for a few case
19 studies --

20 MEMBER WEINER: I think that's --

21 MR. HAMDAN: -- and you get some numbers
22 back?

23 CHAIRMAN RYAN: Certainly something to
24 think about.

25 MR. HAMDAN: They could tell you the

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1 difference is very small or they could say the
2 difference is huge.

3 MEMBER WEINER: Well, the problem is
4 that in any case, the radiological risk is always
5 very small. But the question is, what is the
6 difference?

7 MR. HAMDAN: Yes.

8 MEMBER WEINER: Is there a significant
9 difference? And I think that that was touched on in
10 the transcript.

11 MR. HAMDAN: You did it.

12 CHAIRMAN RYAN: Anything else?

13 MR. GILLESPIE: Just that I saw Jack
14 Strohsnyder in the room. I would like to give an
15 "Attaboy" to the transportation people since we have
16 an office director here.

17 (Laughter.)

18 MR. GILLESPIE: And if you observed the
19 discussion, I know it might be the wrong office, but
20 it was a great presentation we just had, I think, on
21 the technical aspects of the technical questions.

22 CHAIRMAN RYAN: We kind of left an hour
23 for last month. And we decided last month we needed
24 more than an hour. So we had a whole bunch of folks
25 and had a really good afternoon on the topic of

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1 moderator exclusion and new casks and new
2 transportation months for spent fuel.

3 MR. GILLESPIE: And, Mike, tomorrow is
4 Jack's last day.

5 CHAIRMAN RYAN: I know that.

6 MR. GILLESPIE: And he is coming here.
7 (Laughter.)

8 CHAIRMAN RYAN: Let me congratulate Jack
9 on his just highly successful career in NRC and his
10 highly successful career in the days and years
11 ahead. Jack, thank you. On behalf of the
12 Committee, I think I want to recognize that Jack has
13 really been very helpful at working with all of the
14 offices to help the Committee get information and
15 access to the staff and really make our work easier
16 and better for your participation.

17 So, Jack, congratulations again. And we
18 really appreciate your being with us. Thank you.

19 MR. STROHSNYDER: I will just quickly
20 thank you. And, as I said many times before, we
21 really value the input from the Committee
22 technically. And you help us a lot, make sure we
23 get the right quality products. So thanks. Thanks
24 for everything.

25 6) ACNW MEETING WITH COMMISSIONER GREGORY B. JACZKO

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1 CHAIRMAN RYAN: Welcome. Commissioner
2 Jaczko, it is a great pleasure to have you with the
3 ACNW. We are looking forward to your views and
4 opinions and information and guidance.

5 So, without further ado, let me turn
6 over the podium to you.

7 COMMISSIONER JACZKO: Well, I thank you
8 for that. And I appreciate the opportunity to speak
9 here today. I have an opportunity to interact with
10 some of you periodically. And I thought it would be
11 nice to have an opportunity to interact with you as
12 a group.

13 I really look at this as an opportunity
14 for me to talk to you about some issues that I think
15 are important to me and then hear from you about
16 what you think of those things certainly or other
17 things that are on your mind. And I would certainly
18 welcome any kind of a discussion that you would want
19 to have.

20 CHAIRMAN RYAN: Thank you.

21 COMMISSIONER JACZKO: And there are a
22 couple of things that I thought I would start out
23 with. And then certainly we can discuss anything
24 you would like to discuss.

25 I think the first thing that I wanted to

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1 say is that as I have been here now, been a
2 commissioner about two years and I have become
3 familiar with the ACRS and the role that ACRS plays
4 and I have become familiarity with the role that you
5 all play, I think that there is opportunity to work
6 on the role for ACNW and to put that I think on more
7 of an equivalent footing for ACRS, just dealing with
8 a different set of issues.

9 I think sometimes -- and I have been
10 guilty of this -- that we have a very overworked and
11 sometimes under-appreciated staff. Well, I guess
12 maybe you could say always under-appreciated. And I
13 think sometimes given the workload of the materials
14 area, that we have asked you oftentimes to to some
15 degree be a surrogate staff to develop policy kinds
16 of things and policy issues. And I don't think that
17 that is often the most effective use of your skills
18 and talents.

19 And I really think that the Commission
20 should really look to working to making the Advisory
21 Committee truly an advisory committee in the sense
22 that they're really providing a review, an
23 independent review, of staff issues, from really
24 primarily I think on the technical side and looking
25 at those things and working on those things and

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1 giving us an independent look at some issues,
2 pointing out to us what is important.

3 I think that that has certainly happened
4 in a lot of areas. I think on the high-level waste
5 area, I think that has happened quite a bit and the
6 Committee provides a tremendous asset in that
7 regard. And I think it would be nice to see that
8 expanded in more areas.

9 I think that involves two things. I
10 think, one, it involves us making sure the staff has
11 resources to be able to implement the things in the
12 policy arena that they need to implement as well as
13 making sure that you have the flexibility in your
14 charter or other appropriate guidance to be able to
15 do that as well and to solidify that relationship.

16 So I think I just thought I would start
17 with that because I think that for me really is how
18 I see the ACNW playing a role. And I think that is
19 a role. I think I would view that as perhaps a
20 little bit of an expanded role from what you have
21 now. If it's not seen that way, I would certainly
22 appreciate your feedback because it's intended to be
23 seen that way.

24 You know, no matter where we go and what
25 we do, I think the NRC will always be viewed as a

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1 power reactor agency. One of the first things that
2 I learned when I got here -- of course, when I got
3 here, I wasn't too familiar with all the other
4 things we do. But it is really in the materials
5 area where people are harmed on, unfortunately, I
6 would have to say, you know, on a weekly or a daily
7 basis, if you will.

8 It's in the use of nuclear materials.
9 People get real exposures. They get acute
10 exposures. They get exposures that have real
11 immediate health consequences.

12 I think that it's unfortunate to some
13 degree that we don't focus as much or this agency
14 isn't known as much for the work that we do in
15 controlling that aspect of our regulatory authority
16 or in implementing that aspect of our regulatory
17 authority.

18 So I think there are a tremendous number
19 of things that can be done in that area and that
20 there is a lot that we can do, whether it is looking
21 at improvements in human performance or training or
22 other kinds of things to really reduce the incidence
23 of medical exposures, of industrial exposures, of
24 these kinds of things. I think that certainly is an
25 area that is one of tremendous interest to me.

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1 Another -- and these are just some areas
2 that I think are important and where I would
3 certainly -- again, I view this more as an
4 opportunity for me to throw some ideas out there.
5 And then I would really like to hear from you all
6 what you think about some of these and your
7 thoughts.

8 Another area that I think, a scenario
9 that I know very little about but have just enough
10 knowledge about based on past work that I have done
11 to be able to comment on -- and I think that is
12 sometimes the most dangerous position to be in. And
13 that has to do with the use of models.

14 Again, I think this is an area where
15 ACNW can really provide good guidance to the
16 Commission is on the use of models in a variety of
17 applications, whether it is decommissioning and dose
18 analysis and dose assessments or even all the way in
19 an area where I think there has been a lot of
20 information. And that is on high-level waste.

21 I always remember that when I was a
22 graduate student, I had an opportunity to do some
23 modeling. And the modeling I always did was
24 particle physics modeling. So the modeling was a
25 relatively easy thing to do from the standpoint of

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1 you could control, really, the interactions that you
2 were dealing with.

3 And the results of your models were
4 really well-defined by a set of mathematical
5 equations. I mean, you had a good theory. The
6 difficulties and challenges weren't so much in
7 understanding the theoretical basis, but it was in
8 the actual limitations of calculational ability to
9 take those equations and actually do analytic
10 solutions or develop analytic solutions to these
11 equations. So you used modeling as a way to replace
12 that. And you could do that in a very rigorous and
13 I think refined way.

14 What I see often in the work that we do
15 here from a regulatory standpoint is that the
16 theoretical basis isn't always as clearly defined
17 and clearly understood. And so not only do you get
18 into challenges, actual computational challenges,
19 with modeling, but you get into challenges of are
20 the models an accurate reflection of whatever
21 physical processes we're actually trying to make
22 predictions on and then throw on top of that the
23 fact that you are trying to do this for a regulatory
24 standpoint.

25 So I think modeling is really an issue

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1 that we don't spend enough time doing. And then, of
2 course, from the Commission's standpoint, when we
3 present information, we want to present information
4 I think in a way that is accessible to
5 policy-makers, policy-makers outside of this agency.

6 And it's easier to talk about things
7 when you can talk about a number. So there is a
8 tendency to want to take numbers and use numbers
9 that we have derived from models, but it's really
10 important, I think, in particular, to hear from you
11 all about what those numbers mean, what the
12 limitations of those models are. Is this an
13 appropriate use of these models?

14 Those are all the kinds of questions
15 that are much more difficult than challenging but
16 really go to the heart of whether or not that number
17 that we are using really has any meaning in a
18 regulatory, even just in a physical context. So I
19 say that, as I said, with enough information to be
20 somewhat knowledgeable and with probably not enough
21 information to be totally accurate.

22 Another issue that I think -- and, Mike,
23 you and I have talked about this, and that is really
24 this issue of I think how we do this whole framework
25 of waste. We have waste that is defined, by and

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1 large, by function or origin and not by dose or not
2 in a risk-informed way or in a -- I like to think of
3 it more in terms of the health and safety
4 implications of that waste. I think that is clearly
5 an area.

6 The one issue that particularly hit home
7 for me was a cleanup that we were doing at the
8 Heritage site in New Jersey. And there you had
9 uranium and thorium that were contaminating certain
10 areas of that site. Some of that uranium and
11 thorium happened to be licensable material because
12 it happened to meet the .05 percent by weight
13 definition. Some of it was not.

14 Well, from the standpoint of I think
15 what our agency's broader mission is, our mission is
16 really to look at that from a public health and
17 safety standpoint. And the .05 percent by weight
18 definition is not a health and safety-based
19 definition.

20 So we were making arbitrary -- well, not
21 arbitrary but a decision about what material was
22 licensable, then going through a process and
23 determining doses from that while neglecting other
24 material that may have had dose implications but,
25 nonetheless, was not material that was licensable

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1 and, therefore, wasn't involved in our cleanup
2 activities or, for that matter, was included in the
3 dose calculations, more importantly. So, again, it
4 gets back to kind of that idea of the models and how
5 we use and do these calculations.

6 So that is a specific area where I think
7 the Commission could make some changes and perhaps
8 move to a definition or an understanding of those
9 materials that is based on the public health and
10 safety definition, not what I understand is a
11 definition that really had to do with whether or not
12 this material could be useful in a commercial
13 source. And I don't think it ever really was
14 envisioned that we would wind up having to use this
15 as a cleanup standard to some extent in the future.

16 A couple of other areas I will just
17 touch on briefly. And this one I will raise perhaps
18 as more not so much a comment but just to say that I
19 think this is an area where I think that the
20 Committee has done a lot of work. And I think that
21 is really in the issue of low-level waste and how we
22 get -- a lot of this is in conjunction, too, with
23 the National Academy of Sciences and how we deal
24 long term with the issues of low-level waste in
25 getting good regulatory framework and really to some

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1 extent a good national policy for low-level waste
2 disposal in this country and greater than class C
3 waste as well, I think, going into that category.

4 The last point perhaps I will raise is
5 -- and I will leave this perhaps more as a question
6 -- the staff has done a lot of work recently on
7 looking at a risk analysis toward dry cask storage,
8 which I think was a very good product that the staff
9 worked on to take a look at what the risks would be
10 associated with moving fuel to dry cask storage and
11 the risk through the whole process, from loading a
12 cask to storing a cask, or to transferring a cask,
13 to ultimately storing the cask.

14 And I think that is a very good piece of
15 work that the staff has done and is I think to some
16 extent laid at the doorstep of the Commission an
17 important issue that I think we really need to think
18 about. And that is whether there is information in
19 that that tells us that we need to maybe more
20 proactively and from a regulatory standpoint move
21 towards requiring or encouraging the movement of
22 fuel from wet into dry cask storage.

23 I was surprised by that particular
24 report and really even that the integrated risk was
25 really so low, even when you consider the transfer,

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1 the risks associated with transfer, because that
2 was, as I had always understood, really the area
3 where there was the most concern.

4 And taking into consideration that as
5 well as the long-term risk issues I think I was
6 surprised to see that numbers were so, so low that,
7 you know, while the risks from spent fuel storage
8 and wet storage are comparably low from an accident
9 standpoint or not comparably but are themselves
10 somewhat low, I think the Commission has always been
11 in a position that that is, to some extent, safe,
12 but I think there is such a dramatic reduction in
13 risk from the movement that it may warrant an
14 examination on the Commission's part of maybe doing
15 some things to encourage more movement and more dry
16 cask storage.

17 So those are a couple of issues that I
18 had on my mind and Greg suggested that I talk about.

19 (Laughter.)

20 COMMISSIONER JACZKO: So I will leave it
21 to you, however you would like to do this, if you
22 would like to ask me questions, or however you want
23 to proceed.

24 CHAIRMAN RYAN: Well, thank you very
25 much for your list. I think it is a

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1 thought-provoking list. I am happy to hear several
2 things that will come to you and the other
3 commissioners in our revised action plan and
4 charter.

5 I think we, like you, recognize that we
6 have shifted from kind of a really heavily weighted
7 high-level waste program to now a more materials and
8 other issues kind of program for the ACNW as well as
9 the high-level waste piece. And I think we can add
10 value. So I am pleased to hear that you want to
11 enhance that.

12 So you will see that in our action plan,
13 which responds to the SRMs that the Commission has
14 given us as well as in our charter. So that is kind
15 of a general comment that much of what we have
16 talked about you will see parts of it fed back in
17 those two documents.

18 First of all, let me ask each member to
19 maybe introduce themselves and say where they are
20 from just so you get a better feel for everybody.
21 So let me start over here with Professor Clarke.

22 MEMBER CLARKE: Jim Clarke, Vanderbilt
23 University.

24 CHAIRMAN RYAN: And do you want to say a
25 minute about your background, areas of expertise?

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1 MEMBER CLARKE: I joined the faculty at
2 Vanderbilt in 2000; prior to that, 25 years of
3 experience in the private sector. A lot of that
4 focused on investigating and remediating
5 contaminated sites initially and then chemically
6 contaminated sites and then expanding into chemicals
7 and radionuclides and risk assessments using the EPA
8 approach.

9 MEMBER WEINER: I am Ruth Weiner. I
10 spent up until 1993 almost 40 years in the academic
11 world. And my last position was as dean and
12 professor of environmental studies at Western
13 Washington University.

14 And I am now at Sandia Labs. And I am
15 the principal investigator for RadTran, which is the
16 model -- and I'm glad you mentioned models -- for
17 assessing radiological risk of transporting
18 radioactive materials. And we actually do all
19 radioactive materials.

20 I am also an adjunct professor in the
21 Department of Nuclear Engineering at the University
22 of Michigan.

23 COMMISSIONER JACZKO: Do you spend most
24 of your time in Michigan or --

25 MEMBER WEINER: No. I live in

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1 Albuquerque when I'm not coming to Washington. Once
2 a week fall semester, I go to Michigan. You have
3 hired a number of my students --

4 COMMISSIONER JACZKO: Oh, yes?

5 MEMBER WEINER: -- at NRC.

6 COMMISSIONER JACZKO: Oh, good. Good.

7 VICE CHAIRMAN CROFF: I am Allen Croff.
8 I worked at Oak Ridge National Laboratory for 30
9 years and retired a few years back. By training, I
10 am a nuclear chemical engineer. And my work was in
11 nuclear waste management, EM cleanup, and nuclear
12 fuel recycle.

13 MEMBER HINZE: I am Bill Hinze. I spent
14 my academic career walking over Bascomb Hill between
15 Science Hall and Sterling Hall.

16 COMMISSIONER JACZKO: Oh, yes.

17 MEMBER HINZE: So you know where I am
18 coming from. I have taught geophysics at Michigan
19 State and spent the last 25 years at Purdue and am
20 emeritus professor there and interested in both the
21 geological -- all the geos.

22 CHAIRMAN RYAN: And I am Mike Ryan. And
23 my background is health physics and nuclear
24 engineering. I think I am the only member of this
25 Committee that was a licensee at one point.

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1 MEMBER WEINER: Yes.

2 CHAIRMAN RYAN: So I always have that
3 perspective to offer. I graduated from Georgia Tech
4 and University of Massachusetts at Lowell.

5 MEMBER WEINER: I should mention that
6 both Dr. Clarke and I are graduates of Johns Hopkins
7 University. We got our Ph.D.'s in the same
8 department.

9 CHAIRMAN RYAN: We won't hold that
10 against you.

11 (Laughter.)

12 CHAIRMAN RYAN: Anyway, that's kind of
13 just a brief introduction to the staff. I think
14 with the broad range of skills that we have, we can
15 certainly address a broad range of issues.

16 And I would be remiss to not immediately
17 mention the ACNW staff, many of whom are here today,
18 both our technical and support staff. Without all
19 of them, we would be ineffective at our job because
20 they are here all four weeks of the month. And we
21 come in one week of the month and work remotely from
22 that point.

23 Without their concerted efforts and
24 their real dedication to the technical excellence of
25 our work, we wouldn't be doing as good of a job as

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1 we are doing. So they are really kind of a key
2 backbone to our effort. So I wanted to recognize
3 all of them who are here today.

4 I would also be remiss not to recognize
5 Frank's predecessor, Dr. John Larkins, who I won't
6 say departed -- who retired --

7 (Laughter.)

8 CHAIRMAN RYAN: -- in December of this
9 year but is still helping in the HR area in the
10 agency.

11 Okay. With those introductions, boy,
12 this is a terrific list. First of all, I guess I
13 will offer you my views. And I would ask the
14 Committee to jump in as they might want to offer.

15 I really resonate with the idea that
16 this isn't just the power reactor agency. There are
17 20,000 licensees in the agreement states program,
18 something like that. And I agree with you that
19 there is a lot of opportunity to do a better job of
20 radiation protection and material management in that
21 arena.

22 You know, there are 34 or '5 agreement
23 states now with a couple in the mill. And that has
24 got a direct connection to this agency through the
25 agreement states program and the MPEP oversight

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1 program and all of that.

2 So I think there is a lot of good
3 connection that can be made where the agency's
4 skills and abilities can translate to the states.
5 And that is not to say it doesn't already because
6 the Conference of Readiness of Control Program
7 Directors, the Organization of Agreement States,
8 both of whom interact with the Commission and the
9 staff at a variety of levels. But I think there is
10 a lot of power in maintaining and actually
11 increasing that synergy.

12 You know, you mentioned industrial.
13 There is just one little study done in Texas on the
14 group of folks who received the highest and most
15 frequent overexposures. And that is industrial
16 radiographers.

17 Bob Emory is at the University of Texas,
18 the other big school in Texas besides A&M, who
19 looked at the hiring dates and the incidence of
20 these overexposures. And guess what? The curves
21 overlap. It is a training issue for new entrants
22 into the profession. And with the ups and downs in
23 the oil industry, he saw three of these spikes over
24 the last 20 years. So it's real clear that it is a
25 training issue. And now Texas is working on that

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1 new training requirement kind of question for that
2 industry segment.

3 So there are lots of opportunities to
4 take that as a lessons learned and share that with
5 everybody. So that is I think something where we
6 could provide some input and help.

7 The modeling and monitoring question is
8 also near and dear to my heart. I'm always
9 interested in people's perception of what's a good
10 answer.

11 In internal dosimetry, you know, I
12 inhale or ingest something. If I calculate an organ
13 dose to within 100 percent, that's a great answer.
14 That's a win. But, you know, if I am doing a
15 criticality calculation, .006 percent error could be
16 a real bad thing.

17 So the context of uncertainty I think is
18 really what we have addressed. And I think we are
19 continuing our work on modeling and monitoring for
20 the purpose of feedback. How are things behaving?
21 Are they behaving like you think they are or are you
22 just having what I call numerical narcosis events,
23 where you are just calculating stuff? And, you
24 know, is it really serving a useful, informative
25 purpose? So we will continue to I think address

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1 that.

2 COMMISSIONER JACZKO: No. I would say,
3 I mean, I think that is really one of the issues and
4 I think one of the challenges that we have as an
5 agency, how you communicate that kind of information
6 to people who are maybe not from a technical
7 background but, nonetheless, have an important role
8 in policy.

9 I think that is one of the challenges
10 because it is easy, I think, to fall into the
11 perspective of not giving that aspect of it, the
12 error aspect of it.

13 CHAIRMAN RYAN: Absolutely.

14 COMMISSIONER JACZKO: Yet, sometimes
15 then, you know, particularly in a policy arena,
16 giving numbers that don't have precision to them can
17 have its own challenges. So there is a real balance
18 there in terms of how you do that and how you
19 communicate that. But it is an important thing that
20 we have to get right as an agency.

21 Well, it is an interesting one. And if
22 you look at different applications, I think the
23 timeline aspect of it is the critical issue. If I
24 have a medical test, they inject or I ingest
25 radioactive material and they measure it somewhere

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1 and immediately we know if things are right or wrong
2 based on how much goes to where they're looking for
3 it to go.

4 In an environmental model for a
5 decommissioning site, we might have, you know, some
6 radioactive material, we are trying to predict its
7 future behavior. And that may be over literally
8 hundreds of years.

9 So one strategy that we are thinking
10 about more and more is how do you couple the
11 monitoring requirement for a long-term with modeling
12 exercise that gets you started to say, well, it
13 seems like things are okay, but, you know, what's
14 the obligation to make sure they're okay as time
15 progresses and even into longer time frames.

16 So we are thinking more and more about
17 that as we deal with decommissioning and legacy
18 sites and low-level waste sites and things like
19 that. So that's a topic we will probably address in
20 future letters and so forth.

21 Anybody else have particular points?

22 MEMBER WEINER: Can I jump in?

23 CHAIRMAN RYAN: Please? Ruth?

24 MEMBER WEINER: I got interested in
25 transportation about 15 years ago, when I first went

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1 to Sandia as a summer faculty fellow, but it has
2 come home to me that this is the most visible part
3 of the entire nuclear endeavor.

4 People see the trucks, and they see the
5 trains. And they see the big casks with the trefoil
6 on them. This has always seemed like the red-haired
7 stepchild of the whole nuclear industry.

8 And I was just curious as a new
9 commissioner and with -- you were a Congress science
10 fellow, as I was; so you have ties to Congress --
11 what the Commission's view is of the role of
12 transportation and transportation analysis.

13 And to date everyone has focused on
14 transportation of spent nuclear fuel, which is a
15 small chunk. I mean, most packages are not spent
16 nuclear fuel. So I would be very interested in your
17 view.

18 COMMISSIONER JACZKO: I think there are
19 a couple of things. And I will say this is
20 definitely my view and not necessarily the
21 Commission's view.

22 I think you are right. I think
23 transportation is a very visible aspect of a lot of
24 the nuclear fuel cycle. And I think the focus has
25 been on spent fuel because I think from a risk

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1 standpoint, there is a -- well, I don't want to say
2 from a risk standpoint, but there is a lot more
3 activity in spent fuel than in a lot of other
4 shipments.

5 So I think there has been a lot of focus
6 on that. And I think the Commission has put in
7 place a set of requirements to address accidents
8 involving that or I guess -- well, I guess I want to
9 say high-level waste. Is that DOE requirements or
10 they're NRC, they're NRC requirements? The NRC
11 requirements for the cask.

12 You know, I bring this specific example
13 up because this is something that happened when I
14 worked on the Hill. We started looking into whether
15 or not testing had been done but whether the NRC
16 allowed for full-scale or required full-scale
17 testing of casks in transportation campaigns. And
18 the answer was no. I mean, there was allowance for
19 reliance on scale modeling or scale model tests and
20 then modeling.

21 And the person I worked for at the time
22 suggested that, well, maybe we should take a look at
23 actually doing some tests. And out of that came the
24 package performance -- well, I don't want to say out
25 of it came the package performance study. That was

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1 going on somewhat simultaneously. And I think it
2 helped move that in a slightly different direction
3 when it came to actually doing testing in that case.

4 So I think spent fuel transportation is
5 a very visible thing. I think it is a challenging
6 area for the NRC because of our relationship with
7 the Department of Transportation.

8 So with the exception of spent fuel, you
9 know, a lot of what we do from a safety standpoint
10 and really even a security standpoint, we have
11 tremendous relationships or established
12 relationships with the Department of Transportation,
13 where they have, by and large, the responsibility
14 for those shipments. And we have a responsibility
15 in our cask certification, but safety of shipments
16 is really a DOT responsibility, as we have
17 established.

18 So it is a challenging area I think for
19 us as a regulatory body because of that shared
20 responsibility.

21 MEMBER WEINER: We know almost nothing
22 about, we have done almost no testing of packages
23 other than spent fuel casks. And this is an area
24 that has always concerned me. You know, we assume
25 that if it is Type A package, everything goes, but

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1 we know that that is not the case.

2 COMMISSIONER JACZKO: And that is an
3 interesting point. And I think this was the reason
4 that I think that when I worked on the Hill in this
5 particular scenario, I mean, I looked at this and I
6 thought, "Okay. Well, you know, we can do tests of
7 these. And we can subject a spent fuel canister to
8 an immersion and a 30-minute fire."

9 You can do these things. It's not
10 technically limited, you know, your instrumentation
11 and what kind of results you get. There might be
12 some limitations there in designing a good
13 experiment. But, by and large, it's something we
14 can do.

15 I always try to compare it with the
16 analogy of nuclear weapons tests. I mean, there we
17 have made for policy reasons a decision not to
18 conduct tests of weapons but that we would rely on
19 modeling as a surrogate to figure out what the
20 performance and behavior are.

21 Well, in the case of casks, you can do
22 it. There is no technical limitation, really, to
23 doing it. So it is something that it makes sense to
24 do, where we don't need to model, you know, we
25 shouldn't model, we should do testing.

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1 And I think that is generally a
2 philosophy that I have tried to bring to this, not
3 to say that modeling isn't important and modeling
4 can't be useful but it is a surrogate. And we
5 shouldn't use it unless we need to in that sense.

6 I think, again, it goes back to the
7 point perhaps that I made earlier that, by and
8 large, what we're known for is the reactor side of
9 things. So when it comes to transportation, the
10 thing that people are most interested in is the
11 transportation of the reactor things, which is the
12 spent fuel and, you know, to some extent even on the
13 new fuel.

14 But shipments of other materials, it's
15 not really, again, as much of a focus, certainly
16 from my perspective at a Commission level, as some
17 of the other things. And I think it is an important
18 point.

19 CHAIRMAN RYAN: Go ahead, Allen.

20 VICE CHAIRMAN CROFF: I was interested
21 in your mention of the source space waste
22 classifications and the dysfunctional impacts and
23 ramifications of it.

24 The Committee has had contact with the
25 high-level waste issue, where you want some kind of

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1 a floor. And in low-level waste, there are
2 difficulties at the very dilute end, where it is
3 almost not waste, and at the very concentrated end,
4 where it goes out of low-level waste burial greater
5 than Class C and some sealed sources and maybe the
6 depleted uranium issue, but we will see what comes
7 forth.

8 So far the system and even Committee
9 recommendations have approached it on trying to fix
10 it without changing the definitions per se of
11 low-level waste or high-level waste because that
12 seemed to be sort of almost a lightning rod or too
13 difficult.

14 But looking into the future, there is
15 the inventiveness of people. They always seem to be
16 coming up with something new that doesn't quite fit.
17 And if we were to go to recycle and reprocessing,
18 there would be a whole bunch of waste that we
19 haven't faced if it's done anything like what DOE
20 currently envisions.

21 Do you have any thoughts at what point
22 you sort of stop trying to patch the existing system
23 and say, "Okay. We sort of need a blank piece of
24 paper. Let's try to do this right on a risk basis"?

25 COMMISSIONER JACZKO: Well, I think we

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1 have passed that point.

2 (Laughter.)

3 VICE CHAIRMAN CROFF: Oh, boy.

4 COMMISSIONER JACZKO: But the practical
5 realities are it is difficult to do, I think. And
6 we have done it. You know, the reclassification of
7 waste at Savannah River and Idaho is an example of
8 that, where people looked at a definition that was
9 source-based and said, "Well, that may not make
10 sense from the standpoint of health and safety or
11 activity or whatever other kind of basis you want to
12 categorize waste as." So waste was reclassified in
13 Savannah River or will potentially be reclassified
14 in those places.

15 So I think on an ad hoc basis, it has
16 started to basis. But I think, as I said, the
17 shorter answer is I think we have reached the point
18 at which we really need to do it. But it's a very,
19 very difficult thing to do because fundamentally it
20 is, by and large, it is a legislative change that
21 needs to happen.

22 I mean, that's why I bring up the issue
23 of the uranium and thorium. In that particular
24 case, the Commission has the full discretion to do
25 that. We regulate uranium and thorium at all

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1 levels. And it is an exclusive NRC or federal
2 government material. So we license that.

3 The definition of the .05 percent by
4 weight definition is a regulatory definition. So I
5 kind of focus on that one because it is one we can
6 change simply by action of this agency. So it gives
7 you an opportunity to start to try and develop a
8 system for dealing with uranium and thorium
9 specifically in this form and start to show that you
10 can come up with some reasonable definitions that
11 aren't really source-based in the same way.

12 I mean, I fundamentally think that it's
13 something that needs to happen, probably should
14 happen already, perhaps might help bring some
15 coherence to this system.

16 It's there. You know, you think of
17 places like Heritage. These were not people who
18 were in the nuclear business. And, yet, they found
19 themselves in the nuclear business because of the
20 processes that they happen to have been using.

21 And that has implications, then, for
22 decommissioning. It has implications for a wide
23 variety of things. And there is really no
24 coherence, then, to how we look at waste, how we
25 look at the original source material because that

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1 definition of thorium isn't a waste definition.

2 It's the source definition.

3 But they are related. And the thing
4 that ultimately seems like from our agency's
5 perspective that relates them is their public health
6 and safety consequences.

7 So I think, as I said, I think the time
8 has already passed for us to have done that, but I
9 think it will be challenging thing for the Congress
10 to try and do because it has such a technical basis
11 to it. And everyone wants to make sure that their
12 facility isn't being or their cleanup isn't being
13 redefined legislatively from being a cleanup to a
14 non-cleanup or whatever the case may be.

15 The other case -- and I think, Mike,
16 this is something you and I had discussed, that this
17 may have implications for things like *in situ* leach
18 mining, you know, where right now we regulate
19 because of the fact that ultimately we are
20 processing or milling this material underground.
21 But if you looked at this perhaps from a risk-based
22 standpoint, we may have a very different regulatory
23 approach for dealing with that kind of activity.

24 But, again, it's not really a waste
25 issue necessarily there. It's a processing issue.

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1 But, nonetheless, the processing is intimately tied
2 to the waste issue, to the decommissioning issue.

3 So I think these things really are not
4 separable in the way that we have separated them.
5 You know, radiological material has health and
6 safety ramifications, whether it is in a way stream,
7 whether it is in the initial product stream, you
8 know, or, you know, in the middle of its industrial
9 application.

10 CHAIRMAN RYAN: I think that's a
11 terrific view. You know, if you look at just the
12 waste side of it, take cobalt-60, which is a
13 five-year half-life and from a disposal management
14 point of view, it is fairly easy to deal with.

15 It is immobile. It is insoluble. And
16 it's a five-year half-life. You don't have to work
17 too hard to get it isolated until it has decayed
18 away. Yet, it is the driver in greater than Class C
19 irradiated hardware. It is the principal
20 radionuclide.

21 So it gets down to a couple of
22 interesting questions. One is quantity. And the
23 other is concentration. We tend to regulate based
24 on concentration when, in fact, risk is more related
25 to quantity and concentration based on the

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1 particulars of the setting. And you gave a few, *in*
2 *situ* leach mining and others.

3 So I think there are some fruitful areas
4 for us to think about and maybe think about it in
5 the context of okay. Where is the low-hanging
6 fruit? Maybe uranium/thorium is the one.

7 And then the other approach, which I
8 would be happy to get your reaction on, is, for
9 example, in waste disposal, small, tiny sealed
10 sources, which on a mass basis or a volume basis
11 calculate up to huge numbers, are now managed by
12 exception.

13 You take it, put it in some special
14 container and capsule and average over the volume of
15 the mass. And it's clearly a small source. And
16 it's disposed as Class A waste right on up to the
17 Trojan reactor vessel, where averaging was an
18 appropriate approach and it's used in hardware, you
19 know, hot stuff and cold stuff in the same package
20 and on down through the list.

21 Those are approaches to take a step.
22 Maybe it's not a big enough step or maybe there
23 ought to be three of them, but, you know, we could
24 think more about how do we better risk-inform those
25 aspects? Maybe there is a middle ground. Maybe we

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1 don't throw out the definitions right away. That
2 will happen later on its own.

3 But think about how could we change
4 certain aspects of the regulation to allow
5 applicants, licensees, or whoever it might be to
6 take risk-informed approaches to taking some
7 exercise with the definitions and offering
8 alternative views. Maybe that is an approach to
9 think about.

10 COMMISSIONER JACZKO: Well, you know,
11 one of the things that I have thought about and
12 raised in that context is really the
13 interrelationship with RICRA Subtitle C facilities
14 and some very low-activity Class A waste.

15 And there I wonder if there isn't an
16 opportunity for us to do something with EPA where we
17 sit down and think about what are the requirements
18 that you have on those facilities compared to what
19 kinds of requirements we would have for that
20 low-activity waste from a health and safety
21 standpoint.

22 And would it be possible to open up
23 those facilities through an MOU through some kind of
24 relationship where we establish that those
25 facilities would be viable for -- you know, if

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1 licensed under Part 61, they would meet a certain
2 set of performance objectives for low-activity
3 waste. And if they meet it because it's RICRA
4 Subtitle C material, that should be perhaps
5 acceptable from our perspective to have those as an
6 alternate disposal site but formalize that and
7 regularize it in a way so that we're not doing it by
8 exemption, you know, we're not on a project-specific
9 basis taking waste and fighting alternative disposal
10 pathways but we formalize that in a way that opened
11 it up.

12 CHAIRMAN RYAN: Well, I think you will
13 see that in our action plan as one of the activities
14 we have thought more about and kind of formalized
15 the plan on. And I think Jim Fark will have the
16 lead and I will be helping him with it a bit, but I
17 think that is right on target.

18 If you really think about it, fly ash is
19 used as a stabilization agent in RICRA landfills all
20 over the country. Well, fly ash has more
21 radioactivity than anything else in the landfill.
22 It's just naturally occurring uranium and thorium
23 radionuclides.

24 So the addition of some small quantity
25 concentration-based or quantity-based or both in

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1 that setting doesn't necessarily upset the risk
2 equation for that facility. And certainly when you
3 look at the other constituents that will be
4 permanent, that's a fruitful area to plow.

5 What we are doing, I think -- and I just
6 might preview this -- is we are trying to collect up
7 any information we can on cases where that has been
8 done. So we can pull all that in one, kind of
9 similar to the low-level waste white paper, and then
10 explore. The EPA has had a rulemaking and there is
11 some provision in states and other places for where
12 people address this.

13 So we can least gather the information
14 and say, "Well, here is the starting point." Now,
15 maybe there are some options we will see out of
16 that. Maybe we will pick them up as we go through
17 it. But we are hopefully on the path to have that
18 as a part of our activity the next year.

19 MR. HAMDAN: Mike, can I add something
20 to that?

21 CHAIRMAN RYAN: Yes, Latif?

22 MR. HAMDAN: The re-creation in Appendix
23 A of --

24 CHAIRMAN RYAN: Latif, would you mind
25 telling the commissioner your name and --

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1 MR. HAMDAN: I am Latif Hamdan. I have
2 been with ACNW for 3 years and 15 years with NRC.
3 And I am glad to see you here --

4 COMMISSIONER JACZKO: Thank you.

5 MR. HAMDAN: -- with Greg also, Greg.

6 I just wanted to say that the
7 regulations for the hearings in 40 CFR Appendix A
8 are derived from the EPA standards in 40 CFR 192.
9 The groundwater prediction standards in 40 CFR 192
10 are derived almost verbatim from the solid waste,
11 the hazardous waste regulations, 40 CFR 264.

12 So the regulations for groundwater
13 prediction that are controlling the milltailing
14 regulations at NRC and the EPA are the exact same
15 standards in 40 CFR 264 for solid waste.

16 CHAIRMAN RYAN: That is an interesting
17 basis. So I think you are trying to draw a string
18 and see what that well looks like and then from
19 there hopefully develop interesting avenues to
20 pursue further works.

21 COMMISSIONER JACZKO: I look forward to
22 seeing that.

23 CHAIRMAN RYAN: Yes. Anyone else?

24 (No response.)

25 MEMBER HINZE: If I might?

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1 CHAIRMAN RYAN: Please?

2 MEMBER HINZE: A question. Being
3 interested in the natural Earth systems and, thus,
4 very much interested in doing the right thing for
5 Yucca Mountain and for the country, we have a
6 limited time going up to June 30th, '08.

7 And I'm curious as to and I think our
8 Committee is as to how we can be of most help to the
9 Commission leading up to that June 30th date and
10 subsequently. And I would really appreciate your
11 comments on this.

12 COMMISSIONER JACZKO: Well, I think in a
13 broad sense, I mean, obviously it's all modeling. I
14 mean, the reality is it's -- well, I don't want to
15 say it's all modeling, but --

16 MEMBER HINZE: Let me make a comment on
17 that.

18 COMMISSIONER JACZKO: Yes.

19 (Laughter.)

20 MEMBER HINZE: Your interest in modeling
21 parallels very much that of the Committee. And in
22 the Earth sciences, oftentimes our theoretical basis
23 and our parameter, our database is insufficient to
24 give us a singular model that we can validate in the
25 face of other models. And we end up with

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1 professional judgments.

2 And one of the things that I think this
3 Committee has been trying to do is to make it clear
4 that there are alternative views that must be
5 considered and must be validated and put into this
6 scrutiny and the scrutiny of geological analogues as
7 well as the theoretical and quantitative bases.

8 And that is one of the things we are
9 trying to emphasize in our letters but also in this
10 white paper on igneous activity that we are in the
11 midst of preparing.

12 COMMISSIONER JACZKO: Well, I mean, by
13 and large, I don't think I could have said it as
14 well as you did, but that is, by and large, one of
15 the areas where I think the Committee can be most
16 helpful, helping us understand what the limitations
17 are, what the -- well, I guess that's the best way
18 to say it, what the limitation in the modeling is.

19 And, I mean, again, it is a very, very
20 difficult situation because we have developed a
21 regulatory framework for the licensing of the
22 geologic repository at Yucca Mountain which is
23 based, by and large, on the answer that comes out of
24 that model.

25 And looking at it, there is some

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1 question in my mind whether that is really a viable
2 framework to make a regulatory decision because you
3 can get an answer. And that is absolutely true.
4 You can go and calculate. And run various
5 scenarios, do some sensitivity analysis, variety
6 parameters, and based on that say, "Okay. We're
7 going to pick a mean value" or "99th percentile" or
8 whatever value we are going to take for what we get
9 and use that as the number to say whether we need 15
10 millirem or not or various other regulatory
11 standards.

12 Looking at it, I don't know that that is
13 valid. I don't know that you can really do that if
14 there are uncertainties in the model, if there are
15 parameterizations in the model that are not based on
16 empirical data but our judgment.

17 And if that's the case, then you have to
18 realize the judgments going into it and how do we
19 then make regulatory decisions when we have a
20 framework that, by and large, says, "Look at the
21 model, and you'll get an answer." I think that is
22 the challenge, really, that I see for the Commission
23 going forward as we deal with this.

24 MEMBER HINZE: Well, as Mike mentioned
25 previously, you know, the uncertainties are a part

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1 of our mantra --

2 COMMISSIONER JACZKO: Yes.

3 MEMBER HINZE: -- and will continue to
4 be. And by constraining those as much as possible
5 but not over-constraining them, if you will, you
6 know, realizing that there are these differences --
7 you know, that is part of the sequence of letters
8 that you have received from us. But we have a short
9 time frame here.

10 COMMISSIONER JACZKO: Yes.

11 MEMBER HINZE: We have a little over a
12 year that we can be of assistance, probably less
13 than that, really. Are there any holes that you see
14 where we might spend more of our time or our
15 interest?

16 COMMISSIONER JACZKO: I am reluctant to
17 suggest any because I think that there are -- I have
18 not gotten too far into the details, by and large,
19 because of the ultimate role that the Commission
20 will play. I think it is always a balance between
21 trying to get too much information ahead of time and
22 getting enough information to know that the process
23 can work.

24 MEMBER HINZE: I don't want to leave the
25 impression that we don't know where we are going.

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1 COMMISSIONER JACZKO: No, no, I don't
2 get that at all. I didn't get that at all.

3 (Laughter.)

4 MEMBER HINZE: Because, frankly, we do
5 have some very interesting topics as a result of
6 conversations with NMSS and our own thinking.

7 COMMISSIONER JACZKO: Perhaps I would
8 suggest I would be curious as to what you think what
9 those topics are, what you think are the most
10 important things that you need to focus on for the
11 next --

12 MEMBER HINZE: That can be helpful right
13 now. I think igneous activity is one. And one of
14 the things that I can think of we can do and can be
15 very helpful to the Commission on is making certain
16 that we look at this from a risk-informed standpoint
17 because there are some differences of opinion that,
18 in my view, without having run the whole analyticals
19 of performance assessment, I suspect there is really
20 no risk-informed difference between these.

21 And so are we just -- I don't want to
22 say wasting our time, but we could be putting this
23 in a more effective way on some things.

24 CHAIRMAN RYAN: There is probably one
25 area, Bill, where I think we are ready to understand

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1 what the EPA standard finally comes out to and then
2 what NRC regulation will look like because obviously
3 that time frame is an area where we have not spent a
4 huge amount of time either gathering information
5 through the staff and what their analyses are all
6 about.

7 So the 10k to 10⁶ year time frame is
8 where I think we will probably focus some effort
9 once things get finalized as we get closer to the
10 L.A. However that timing works out I don't know,
11 but that's an area of interest.

12 MEMBER HINZE: But the answer to that is
13 seismic --

14 COMMISSIONER JACZKO: Seismic, right.

15 MEMBER HINZE: -- both in the pre and
16 the post-closure and very closely associated with
17 that. What you have ramifications in several areas
18 is the whole item of drift stability, whether you're
19 talking about 10,000 years versus a million years.
20 It's a great deal of difference.

21 And drift stability, as we all know, can
22 have an impact far greater than just, for example,
23 venting the canisters and accelerating the
24 corrosion, et cetera. And then these are simple
25 topics that I think are within our purview that we

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1 can be of assistance.

2 COMMISSIONER JACZKO: Well, I mean, I
3 think those are all good areas. I mean, I think --
4 and, again, I have not looked in tremendous depth at
5 the analysis, but there is a tremendous amount I
6 think of areas in which better information would
7 always, I mean, in terms of the Commission having
8 more information can -- and that is not to say that
9 I don't want that to be interpreted at all that I
10 think the staff is not doing a good job.

11 I think the staff is doing a very good
12 job in this area. But I think there is just a
13 tremendous amount of information built into the
14 model, the SPA or whatever the name is, that is
15 extremely important information.

16 And some of it may seem subtle and less
17 intuitive in the sense that it may not intuitively
18 have a ramification on the final outcome, but some
19 of it may, in fact. Some parameters, there may be
20 tremendous sensitivities to variations in those
21 parameters that it's just not known analytically or
22 a priori.

23 And I think those are the things that I
24 worry about as we go forward that we haven't missed
25 some of those and that, you know, as you said, that

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1 there may be some that we spend a lot of time
2 discussing that in the end may not have real impact
3 on the final outcome.

4 MEMBER HINZE: Well, hopefully an
5 advisory committee can bring in a certain amount of
6 experience, which in an intuitive way helps to zero
7 in or suggest areas that can be most productive.

8 COMMISSIONER JACZKO: Yes, yes. I think
9 --

10 CHAIRMAN RYAN: If I could shift gears a
11 little bit, Bill, you mentioned the ACRS and the
12 ACNW and us maybe looking at little bit more alike
13 as time goes forward. Do you have any thoughts
14 about the new reactor licensing efforts and
15 activities as things that we ought to begin our
16 thought process about?

17 COMMISSIONER JACZKO: Well, I think one
18 area in that regard which I think you are already
19 looking at is the 20.14.06 area.

20 CHAIRMAN RYAN: Yes.

21 COMMISSIONER JACZKO: I think that is an
22 area where I think there is real ramifications for
23 -- this is something that I heard. I can't tell you
24 how many times I have heard it. And it's mostly
25 from decommissioning managers.

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1 And they have said the best thing you
2 can do for decommissioning is deal with cleanup when
3 it happens. It has tremendous ramifications for how
4 we actually have to decommission.

5 In every facility I have ever been to
6 that has legacy contamination, it's usually a spill.
7 It's usually somewhere in the process that -- well,
8 not always but often it's there was a spill at some
9 time and that spill wasn't remediated and now you
10 have a contamination plume somewhere that is
11 migrating that is now much more challenging to
12 remediate than it would have been had you cleaned up
13 the original spill.

14 So I think that is one area, to provide
15 technical and other support to the Commission and to
16 the staff as they go through and look at how they
17 are going to apply that particular provision to new
18 reactors. I think that is an area that is
19 tremendously important.

20 And I think just in general on the waste
21 management side and the long-term look at how we are
22 going to do decommissioning -- and we have -- people
23 are talking about today, you know, I think an issue
24 that was never really envisioned, of course, when
25 reactors were originally built, which was that they

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1 would be replacing steam generators and other large
2 components.

3 Well, we have done that. That has
4 ramifications, then, for decommissioning. What are
5 we going to do with these steam generators that are
6 sitting at facilities now, some of them in vaults,
7 which now you have taken something, rather than
8 disposing of it immediately, you have taken it, you
9 have put it on site, you have now contaminated
10 concrete through activation or whatever happens.

11 So now not only do you have to dispose
12 of the steam generator you have to dispose of the
13 vault that it was in. And what do we do with all of
14 that material? Are there better ways to deal with
15 that to begin with?

16 And that gets more in to not really the
17 licensing but the decommissioning and ties back in,
18 of course, to disposal and do we have disposal sites
19 for these kinds of things.

20 So I think that that is an area that
21 would be important for us to make sure we are
22 getting right going into it because I think, really,
23 we have seen obviously the issues with tritium have
24 been -- well, not from a health and safety
25 standpoint problematic.

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1 They have been problematic from a public
2 perception. And that has created challenges for
3 this agency. And a lot of those are issues that
4 could have been dealt with better had we gone into
5 this with a better understanding of how we're going
6 to mitigate and deal with spills and how we are
7 going to deal with those kinds of things, if nothing
8 else, from a decommissioning standpoint, not
9 tritium.

10 The half-life is short enough that, by
11 and large, I think most tritium, you know, if a
12 spill happened early enough in the life of the
13 reactor, that tritium is mostly decayed by the time
14 you get to decommissioning or it could really
15 migrate off site, but there may be other
16 radionuclides where that is not the case. And so
17 thinking about those things ahead of time and really
18 forcing us to focus on those things now I think will
19 have long-term benefits when we get to
20 decommissioning and those kinds of things.

21 CHAIRMAN RYAN: That is kind of
22 consistent with our thinking as we have thought a
23 little bit about it and recognizing those issues.

24 Jim, do you have a comment?

25 MEMBER CLARKE: I thought it was a great

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1 list, too. And I was especially interested in items
2 2, 3, and 4, the use of models and how we could
3 advise you there. And we have been working in that
4 area, as you know, and within a decommissioning
5 context, the value of a model and the value of a
6 conceptual understanding of the site is something
7 that needs to be moved up as well.

8 So it's not just when you get to the
9 end, what do you have and how do you deal with it?
10 It's more how do you prevent that problem, as you
11 know, in getting there? So that is an important
12 piece in the RICRA landfills, the low-activity
13 waste.

14 And it struck me in listening to the
15 discussion that RICRA isn't all that risk-informed
16 either.

17 (Laughter.)

18 COMMISSIONER JACZKO: I will thankfully
19 say that we don't have any responsibility for that.

20 (Laughter.)

21 MEMBER CLARKE: I know, but it may be a
22 piece of it. And, you know, while you could argue,
23 I guess, that the characteristics of hazardous waste
24 might have some tie to risk with extraction
25 procedures and MCLs and ignitability and things like

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1 that, certainly being on the list with hazardous
2 waste, being mixed with hazardous waste doesn't have
3 a whole lot to do with risk. So that is a piece.

4 And then I think the especially
5 challenging issues are when you put very long time
6 horizons into the equation.

7 COMMISSIONER JACZKO: Well, you know, I
8 think -- and you have raised the issue of modeling.
9 And I go back, too, to the issue of this issue of
10 20.14.06. And, you know, again, the modeling, if we
11 don't ever have to get to modeling, that would be
12 great.

13 I go back as you were talking about
14 that. And I thought, you know, wouldn't it be
15 better if we remediate these issues early so we
16 don't have to find ourselves from a decommissioning
17 standpoint where we are having to model the behavior
18 of a plume and how to remediate that.

19 This isn't to denigrate modeling, but I
20 think computers have made modeling far too easy.
21 And, again, I think back. I was a graduate student
22 for five years. And then I left kind of a
23 scientific career. So all I know about science, I
24 learned in school, I guess, not through actually
25 really practice to some extent.

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1 But my adviser at the time, my thesis
2 adviser, was a traditionalist from a computational
3 standpoint. He could calculate everything. I mean,
4 it didn't matter what it was.

5 (Laughter.)

6 COMMISSIONER JACZKO: And I would try
7 and model everything. And I would come back to him
8 with some results and talk to him about it. And,
9 you know, he would think about it, and he would do a
10 little something and say, "Well, that doesn't make
11 sense to me."

12 You know, that modeling has become so
13 easy that there is a temptation to want to use it a
14 lot because it does give you concrete answers, but I
15 always keep in mind the thing that he used to tell
16 me because also often in the physics department
17 these days, it seems like if you are a graduate
18 student, you also somehow wind up maintaining the
19 computers. It seemed to be a common practice. And
20 I always used to worry whenever our computers were
21 crashed I would have to go tell him, "Oh, you know,
22 our computers are crashed."

23 And he would say, "Great. Now we can
24 actually get some work done."

25 (Laughter.)

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1 COMMISSIONER JACZKO: So, you know, he
2 was not a fan of modeling. And I always try and
3 keep that in the back of my head. Then, again, it's
4 not -- I mean, people who model, I think it's
5 excellent work.

6 And it's not to denigrate modeling, but
7 it is something that I think because of the ease of
8 it, people that are then put into a policy arena, we
9 tend to not always look at what the limitations are
10 of the models, what uses the models were developed
11 for, and are they applicable for the kinds of
12 questions we are trying to answer. And it is very
13 easy for us just to gloss over that.

14 And I think that is why your insights
15 can be extremely valuable to keep us on track when
16 we are doing that so that we don't get too far into
17 doing something that looks attractive because we can
18 get an answer that we can go talk to a member of
19 Congress and say, "Well, see, this is why we made
20 that decision, because we took this model and it
21 said X and X is determined to be okay."

22 That is a very tempting thing to want to
23 do and to be able to do because it gives us an
24 ability to explain our answer, rather than having to
25 try to explain, "Well, you know, we made a judgment.

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1 We had a model, but we weren't quite sure that the
2 model was appropriate."

3 And they would say, "Well, what did the
4 model tell you?"

5 "Well, it said that this was safe to
6 do."

7 They say, "Well, why didn't you think it
8 was?"

9 And then you would say, "Well, why don't
10 -- you know, but the number is such and such." That
11 is a much more difficult conversation to have, but
12 in the end, I think it is a better conversation to
13 have.

14 MEMBER CLARKE: During your opening
15 comments, I was reminded I was in a theoretical
16 chemical physics group. And I was reminded that we
17 had the arrogant way of looking at things that went
18 like this. If the model and the experiment don't
19 agree, then the experiment must be wrong.

20 (Laughter.)

21 COMMISSIONER JACZKO: Absolutely.

22 MEMBER CLARKE: I am afraid some of that
23 still persists.

24 COMMISSIONER JACZKO: Yes.

25 MEMBER CLARKE: And, in addition to

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1 improving our model confidence, I think we need to
2 find ways -- as Dr. Hinze mentioned, we have natural
3 analyzed things that can support these models.

4 COMMISSIONER JACZKO: Absolutely. And I
5 think particle physics these days is all about
6 trying to get nature to justify the models to tell
7 us that these particles that we have predicted that
8 are out there are there.

9 And some of that is theoreticals. It's
10 not just modeling. But there is a lot of that that
11 goes on now. Modeling has allowed the theory to get
12 out in front of what the experimental data supports.
13 And so there's a lot of work now and a lot of things
14 when I left the field where they were learning that
15 the modeling was wrong.

16 MEMBER HINZE: Looking at very simple
17 systems and the equations were well-defined, a lot
18 of the solutions were analytical, if not solved by
19 simple series expansions.

20 And now the systems are incredibly
21 complex. The conceptual model may even be an issue.
22 So I couldn't be more excited about --

23 COMMISSIONER JACZKO: Well, thank you.

24 MEMBER WEINER: You made an interesting
25 point, too, about decommissioning and cleaning it

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1 up, cleaning up things. And one of the things that
2 we haven't really looked at is when you clean up
3 immediately, what do you do with what you have
4 cleaned up? And all too often, you know, you have
5 created two contaminated sites. I think that is a
6 point that we just seem to miss.

7 CHAIRMAN RYAN: One interesting view of
8 that, Ruth -- and we have talked a little bit about
9 it in Committee -- is what does a licensee benefit
10 if he does all this, you know, clean up as we go?

11 MEMBER WEINER: Yes.

12 CHAIRMAN RYAN: Does he have a lower
13 decommissioning cost? You know, there are ways to
14 incentivize good behavior. So we can think about
15 that.

16 Commissioner, I am mindful of your time.
17 I think we are a few minutes over. I don't want to
18 interrupt the rest of your evening. We would be
19 happy for you to stay for a long time. I don't want
20 to cut you off, but I sure don't want to intrude on
21 the rest of your afternoon.

22 COMMISSIONER JACZKO: No. I probably
23 should get back. I have a couple of other things to
24 do this evening. But I do appreciate the
25 opportunity to do this. I think it has been a very

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1 interesting discussion for me and --

2 CHAIRMAN RYAN: We will look forward to
3 your action to our action plan and our revised
4 charter. And we would welcome you back with Greg,
5 who sets the agenda --

6 (Laughter.)

7 MR. GILLESPIE: I do have to say that --

8 CHAIRMAN RYAN: -- any time to have
9 another dialogue with you. This has been very
10 helpful to us. So we really appreciate it.

11 MR. GILLESPIE: This is kind of funny
12 because this meeting went very well. We had a good
13 dialogue. We turned a 20-minute meeting into an
14 hour.

15 CHAIRMAN RYAN: Let me, add, too, that
16 there are other staff folks here in the audience.
17 You know, I mentioned the ACNW staff, but many folks
18 from many different parts of this agency come and
19 give us presentations they work hard preparing.
20 They are always very thoughtful. They are always
21 very open.

22 This is a public environment. So it is
23 an opportunity for anybody that wants to come from
24 the members of the public to be with us. And I
25 would be remiss not to say that everybody who comes

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1 to this Committee every month does a very, very good
2 job and they are very thoughtful and they are very
3 open with us. And, again, that is part of how we
4 can do a good job because of their willingness to
5 come and participate fully with us.

6 COMMISSIONER JACZKO: I appreciate that.
7 I think that's --

8 CHAIRMAN RYAN: So let me share that
9 with you as well.

10 COMMISSIONER JACZKO: Thank you.

11 MR. GILLESPIE: I would like to say
12 thank you not only for the Committee but for the
13 staff. The staff appreciates you coming down and
14 showing support for the whole organization.

15 COMMISSIONER JACZKO: Absolutely. Well,
16 thank you very much. I appreciate it.

17 CHAIRMAN RYAN: Thank you.

18 (Whereupon, the foregoing matter was
19 concluded at 5:38 p.m.)

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