DOE F 470.8
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All Other Editions are Obsolete

U.S. Department of Energy

SURVEY/INSPECTION REPORT FORM

1. Survey Type: ☐ Initial ☐ Periodic ☐ Special ☐ Termination ☐ EPR ☐ NPR ☐ OA		2. Report #
3. Facility Name:		4. a. Facility Code: b. RIS Code:
5. Survey Date(s):	6. a. Findings: ☐ Yes ☐ No b. Findings Against Other Facilities:	7. Composite Rating:
8. Previous Survey Date(s):	9. Unresolved Findings: ☐ Yes ☐ No	10. Previous Rating:
11a. Surveying Office:	11b. Cognizant Security Office:	11c. Other Offices with Interests:

12. Ratings:

a) PROGRAM MANAGEMENT AND SUPPORT
- PROTECTION PROGRAM MANAGEMENT _____
  - Program Management and Administration _____
  - Resources and Budgeting _____
  - Personnel Development and Training _____
- S&S PLANNING AND PROCEDURES _____
- MANAGEMENT CONTROL _____
  - Surveys and Self Assessment Programs _____
  - Performance Assurance Program _____
  - Resolution of Findings _____
  - Incident Reporting and Management _____
- PROGRAM WIDE SUPPORT _____
  - Facility Approval and Registration of Activities _____
  - Foreign Ownership, Control or Influence _____
  - Security Management in Contracting _____

OVERALL RATING _____

b) PROTECTIVE FORCE
- MANAGEMENT _____
- TRAINING _____
- DUTIES _____
- FACILITIES AND EQUIPMENT _____

OVERALL RATING _____

c) PHYSICAL SECURITY
- ACCESS CONTROLS _____
- INTRUSION DETECTION & ASSESSMENT SYSTEMS _____
- BARRIERS AND DELAY MECHANISMS _____
- TESTING AND MAINTENANCE _____
- COMMUNICATIONS _____

OVERALL RATING _____

d) INFORMATION PROTECTION
- BASIC REQUIREMENTS _____
- TECHNICAL SURVEILLANCE COUNTERMEASURES _____
- OPERATIONS SECURITY _____
- CLASSIFICATION GUIDANCE _____
- CLASSIFIED MATTER PROTECTION & CONTROL _____
  - Control of Classified Matter _____
  - Special Access Programs and Intelligence Information _____

OVERALL RATING _____

e) CYBER SECURITY
- CLASSIFIED CYBER SECURITY _____
  - Leadership, Responsibilities and Authorities _____
  - C&A, Risk Management and Planning _____
  - Policy, Guidance and Procedures _____
  - Technical Implementation _____
  - Performance Eval Feedback & Continuous Improvement _____
- TELECOMMUNICATIONS SECURITY _____
- UNCLASSIFIED CYBER SECURITY _____
  - Leadership, Responsibilities and Authorities _____
  - C&A Risk Management and Planning _____
  - Policy, Guidance and Procedures _____
  - Technical Implementation _____
  - Performance Eval Feedback & Continuous Improvement _____

OVERALL RATING _____

f) PERSONNEL SECURITY PROGRAM
- ACCESS AUTHORIZATIONS _____
- HUMAN RELIABILITY PROGRAM _____
- CONTROL OF CLASSIFIED VISITS _____
- SAFEGUARDS AND SECURITY AWARENESS _____

OVERALL RATING _____

g) UNCLASSIFIED VISITS & ASSIGNMENTS BY FOREIGN NATIONALS
- SPONSOR PROGRAM MANAGEMENT & ADMIN _____
- COUNTERINTELLIGENCE REQUIREMENTS _____
- EXPORT CONTROLS/TECH TRANSFER REQUIREMENTS _____
- SECURITY REQUIREMENTS _____
- APPROVALS AND REPORTING _____

OVERALL RATING _____

h) NUCLEAR MATERIALS CONTROL & ACCOUNTABILITY
- PROGRAM ADMINISTRATION _____
- MATERIAL ACCOUNTABILITY _____
- MATERIALS CONTROL _____

OVERALL RATING _____

13. Report Prepared by: Date:	14. Report Approved by: Date:

15. Distribution:

16. General Comments:

SURVEYS: S = Satisfactory M = Marginal U = Unsatisfactory D = Does Not Apply NR = Not Rated (SPEC only)

INSPECTIONS: EP = Effective Performance NI = Needs Improvement SW = Significant Weakness D = Does Not Apply